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## Imaging nociceptive opioid peptide receptors in alcohol use disorders with [<sup>11</sup>C]NOP-1A and PET: findings from a second cohort

Savannah Tollefson, B.S.<sup>1</sup>, Clara Stoughton, B.S.<sup>1</sup>, Michael L. Himes, B.S.<sup>1</sup>, Kaylynn E. McKinney, B.S.<sup>1</sup>, Scott Mason, Ph.D.<sup>1</sup>, Roberto Ciccocioppo, Ph.D.<sup>3</sup>, Rajesh Narendran, M.D.<sup>1,2</sup>

<sup>1</sup>Department of Radiology, University of Pittsburgh, Pittsburgh, PA

<sup>2</sup>Department of Psychiatry, University of Pittsburgh, Pittsburgh, PA

<sup>3</sup>School of Pharmacy, Pharmacology Unit, University of Camerino, Camerino, Italy

### Abstract

**BACKGROUND:** Nociceptin (N/OFQ), which binds to the nociceptive opioid peptide receptor (NOP), regulates stress and reward in addiction. In a previous [<sup>11</sup>C]NOP-1A PET study, we found no differences in NOP in *non-treatment-seeking* AUD relative to healthy controls (HC). Here, we evaluated NOP in *treatment-seeking* AUD to document its relationship with relapse to alcohol.

**METHODS:** [<sup>11</sup>C]NOP-1A distribution volume ( $V_T$ ) was measured in recently abstinent AUD and HC (n=27/group) using an arterial-input function based kinetic analysis in brain regions that regulate reward and stress behaviors. Recent heavy drinking before PET was quantified using hair ethyl glucuronide (ETG+ 30pg/mg). To document relapse, twenty-two AUD subjects were followed with urine ETG tests (x3/week) for 12-weeks after PET, where they were incentivized with money to abstain.

**RESULTS:** There were no differences in [<sup>11</sup>C]NOP-1A  $V_T$  between AUD and HC. AUD who drank heavily before the study had significantly lower  $V_T$  than those with no recent heavy drinking history. Significant negative correlations between  $V_T$  and the number of drinking days and the number of drinks consumed per drinking day in the past thirty days before enrollment were also present. AUD who relapsed (and dropped-out) had significantly lower  $V_T$  than those who abstained for 12-weeks.

**CONCLUSIONS:** Lower NOP  $V_T$  in heavy drinking AUD predicted relapse to alcohol during a 12-week follow-up. The results of this PET study support the need to investigate medications that act at NOP to prevent relapse in AUD.

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Correspondence R. Narendran, MD, Department of Radiology and Psychiatry, University of Pittsburgh, University of Pittsburgh PET Facility, UPMC Presbyterian, B-938, Pittsburgh, PA 15213, Telephone: (412)-647-5176, Fax: (412)-647-0700, narendran@upmc.edu.

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#### FINANCIAL DISCLOSURE

The authors report no biomedical financial interests or potential conflicts of interest.

## Keywords

[<sup>11</sup>C]NOP-1A; positron emission tomography; nociceptin (N/OFQ) nociceptive opioid peptide receptors (NOP); alcohol use disorders (AUD); relapse; heavy drinking

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## INTRODUCTION

N/OFQ, which binds to NOP blocks the rewarding properties of alcohol in the conditioned place preference behavioral paradigm and reduces alcohol consumption (1, 2). It prevents the somatic and affective signs of alcohol withdrawal in alcohol-dependent rodents (3). N/OFQ also prevents both cue- and stress-induced reinstatement in animals self-administering alcohol (4, 5). N/OFQ blocks ethanol- and corticotrophin-releasing factor (CRF)- induced increases GABA in the amygdala to prevent reinstatement in alcohol dependent animals (6-8). Consistent with these results, numerous studies have documented the therapeutic potential of NOP agonists in rodent models of AUD (9). Counterintuitively, NOP antagonists have also been shown decrease alcohol reward, intake, binge drinking, and self-administration in rodents (9). N/OFQ stimulates ventral tegmental area NOP to decrease nucleus accumbens dopamine release and constraint motivation for natural rewards (10-13). N/OFQ-induced decreases in dopamine can promote a state of hyperkatifeia (a greater intensity of negative emotion/motivation) and contribute to negative reinforcement and relapse (9, 14). N/OFQ also enhances the rewarding and anxiolytic effects of alcohol when co-administered with alcohol in the amygdala (15). These mechanisms support using a NOP antagonist to block N/OFQ transmission to prevent relapse in AUD. Despite promising rodent data, no NOP agonists have been investigated as a therapeutic in humans with AUD. LY2940094, the only NOP antagonist investigated in AUD, was found to be no better than placebo in reducing the number of alcoholic drinks consumed per day (primary endpoint) (16-19). However, in the same 8-week clinical trial, LY2940094 was superior to placebo in decreasing the number of heavy drinking days and increasing the number of abstinent days (secondary endpoints). Exploratory subgroup analysis also favored using LY2940094 over placebo in reducing the number of drinks consumed daily in light to moderate drinkers and females. In a broad sense, it is unclear whether a successful strategy to prevent relapse in AUD should involve a NOP agonist or antagonist. It is also unclear whether AUD with specific characteristics (light/moderate vs. heavy drinkers, males vs. females, etc.) may benefit from a NOP agonist vs. antagonist treatment.

Our previous [<sup>11</sup>C]NOP-1A PET study in *non-treatment-seeking* AUD and matched controls, found no significant between-group differences in the in vivo binding to NOP receptors ( $V_T$ ) in brain regions involved in stress and reward pathways (20). They were partially consistent with a human postmortem study in AUD that reported lower NOP in the amygdala but not in the hippocampus and prefrontal cortex (2). However, they were inconsistent with higher NOP observed in another addictive disorder, i.e., cocaine use disorder patients, compared to controls (21). Here, we were interested in using [<sup>11</sup>C]NOP-1A PET to contrast NOP binding in a clinically representative treatment-seeking group of AUD with that in HC. We purposefully enrolled more females with AUD as they were underrepresented (33%) in our prior study (20). Our secondary objective was

to investigate the relationship between NOP  $V_T$  and relapse to alcohol in a 12-week follow-up during which AUD were incentivized to abstain from alcohol. Consistent with an observation in non-treatment-seeking AUD in whom lower OFC [ $^{11}\text{C}$ ]NOP-1A  $V_T$  was associated with higher cravings for alcohol (20), we hypothesized that lower NOP would predict relapse. Lastly, we were also interested in exploring the relationships between  $V_T$  and the clinical features of AUD.

## METHODS

### Human subjects

The University of Pittsburgh Human Research Protection Office and Radioactive Drug Research Committee approved the study. All subjects provided written informed consent. Subjects were recruited via advertisements and a University of Pittsburgh research registry (Pitt+Me). Study criteria for AUD included: (1) males and females between 18-55 years old; (2) fulfill DSM-5 criteria for AUD (3) no current DSM-5 major depressive, bipolar, psychotic or substance use disorders (tobacco use was not exclusionary); (4) no medical or neurological illness; (5) no medications that may bind to NOP (e.g., buprenorphine, or morphine); (6) not pregnant; (7) no recent research or occupational radioactivity exposure; and (8) no contraindications for magnetic resonance imaging (MRI). Study criteria for age- and sex- matched healthy controls included: (1) absence of DSM-5 psychiatric and substance use disorders; (2) no history of current heavy or binge drinking as defined by NIAAA criteria; and criteria 4 to 8 above. Inclusion/exclusion was determined using the Structured Clinical Interview for DSM-5, a physical exam, routine blood work, urine drug screen and pregnancy tests. Clinical assessments performed included the: Barratt Simplified Measure of Social Status, Michigan Alcohol Screening Test (MAST), Alcohol Dependence Scale (ADS), Penn Alcohol Craving Scale (PACS), and Fagerstrom Test for Nicotine Dependence, Perceived Stress Scale (PSS), and the Hamilton Anxiety Rating Scale (HAM-A). AUD were monitored three times per week using urine ETG (alcohol metabolite) tests for a minimum of ten days for abstinence before the PET scans. The absence of any recent drug use (including cannabis) was also confirmed using a urine drug screen on scan day in both AUD and controls. Hair sampling for ETG (or finger nails if hair was unavailable) in AUD was also performed on PET scan day to identify individuals with heavy alcohol use in the past 8- to 12-weeks before PET (22). Hair ETG analyses identified AUD with ETG  $\geq 30$  pg/mg (ETG+), which corresponds to the use of greater than 60g of pure ethanol/day, i.e., an equivalent of 30+ drinks per week as per the 2019 consensus for the use of alcohol markers in hair (23, 24).

### PET acquisition and analysis

[ $^{11}\text{C}$ ]NOP-1A PET scans, which lasted 70 minutes, were conducted using the Siemens Biograph64 mCT with an arterial line for a metabolite-corrected arterial input function as previously described (21, 25, 26). [ $^{11}\text{C}$ ]NOP-1A plasma free fraction (fp) was not pursued in this study because it has poor reproducibility (27), which is likely due to its high adherence to the ultracentrifugation filter as demonstrated in our earlier studies using a saline buffer (20, 28, 29). PMOD was used to correct head motion between frames, co-register the MR to PET, and generate time-activity curves. Regions of interest (ROI), including the amygdala,

hippocampus, midbrain, cerebellum, striatum (ventral striatum, caudate, and putamen), and prefrontal (dorsolateral, orbital, medial, and anterior cingulate) cortical subregions, were generated based on the AAL-VOIs atlas using PMOD's PNEURO Tool (30-32). ROIs were restricted to the same regions examined in our previous [ $^{11}\text{C}$ ]NOP-1A PET studies in addictive and stress disorders (20, 21, 25, 26). All ROIs generated by the PNEURO tool were visually inspected and adjusted as deemed necessary by an image analyst trained in manual region drawing. Derivation of [ $^{11}\text{C}$ ]NOP-1A volume of distribution were performed using a two-tissue compartment kinetic analysis using the arterial input function implemented in MATLAB (27, 33, 34).  $V_T$ , which includes both the receptor-bound specific and non-specific binding, was used as the outcome measure because no region in the brain can be used to estimate [ $^{11}\text{C}$ ]NOP-1A non-specific binding (35).

### Relapse monitoring protocol for AUD

In order to document relapse, AUD were enrolled in a 12-week follow-up protocol after the [ $^{11}\text{C}$ ]NOP-1A PET scan. This follow-up protocol used contingency management to encourage abstinence, as in cocaine use disorder (CUD) PET studies (21, 36). AUD were monitored with urine ETG tests three times a week, for which they earned voucher points on an escalating schedule for negative results. Subjects earned bonus points for every three-consecutive ETG-free urine sample (one week of abstinence). Missed appointments reset the voucher points to a value that was lower by 10 points. Subjects had the potential to earn a maximum of \$1197.00 for providing ETG-free urine samples for all (36 visits) scheduled monitoring visits. The money earned was disbursed to them on a weekly basis via a debit card. Subjects were terminated from the research protocol and referred to a treatment program (as they required more intensive treatment than offered in the research to remain abstinent) for testing positive for ETG three times (i.e., were allowed only three distinct relapses) or missing three consecutive scheduled appointments (i.e., were lost to follow-up for a week). Subjects were also monitored for psychiatric symptoms and de-briefed on the progress of their abstinence once a week. No psychotherapy was provided in addition to contingency management in the study.

### Statistical Analysis

All statistical analyses were conducted using IBM SPSS v.27. Group demographic and baseline scan parameter (such as injected dose, mass, plasma clearance) comparisons were performed with unpaired t-tests. Between-group differences in [ $^{11}\text{C}$ ]NOP-1A  $V_T$  were examined with a linear mixed model analysis (LMM) using ROIs as a repeated measure and diagnostic group (AUD vs. HC) as the fixed factor. The effect of tobacco use status, comorbid disorders, and psychotropic medications on  $V_T$  and diagnostic group were subsequently examined in a second level LMM analyses by including them as fixed factors in the model. LMM analyses using ROIs as a repeated measure were also used to examine the effect of factors, such as a history of heavy drinking prior to the scan (ETG+ vs. ETG-) and follow-up outcome (abstained vs. relapsed vs. dropped out) on  $V_T$  in AUD. Post-hoc unpaired t-tests in the individual ROIs were also conducted. Pearson product-moment correlations were used to explore the relationships between  $V_T$  and clinical variables of interest such as stress (PSS), anxiety (HAM-A), craving (PACS), alcohol use severity (MAST, ADS scores, number of drinking days and the mean number of drinks

consumed per drinking day in the past thirty days prior to enrollment, amount of voucher money earned (level of abstinence accomplished) and duration of abstinence before PET. A two-tailed probability value of  $p = 0.05$  was selected as the significance level for the LMM analyses that included all regions of interest. A Bonferroni corrected  $p$ -value of  $< 0.00454$  ( $n=0.05/11$ ) was used as the significance level to correct for multiple comparisons for the analyses that evaluated  $V_T$  in the individual regions of interest. No further multiple comparison corrections were implemented for the number of clinical correlations examined.

## RESULTS

Twenty-seven AUD (6 male, 21 female) and 27 HC subjects matched for age, sex, and tobacco use were scanned with [ $^{11}\text{C}$ ]NOP-1A. Subjects had no overlap with a published [ $^{11}\text{C}$ ]NOP-1A PET study in AUD (20). Seven AUD had a comorbid psychiatric and/or chronic pain disorder in the past twelve months (three with generalized anxiety disorder, one with post-traumatic stress disorder, and four with chronic pain; Note: one AUD had both PTSD and chronic pain). Eight AUD were on psychotropic medications (5 serotonin reuptake inhibitors, 1 serotonin-norepinephrine reuptake inhibitor, 1 tricyclic antidepressant, and 1 was on both bupropion and topiramate).

Twenty-two (out of 27) AUD were enrolled in the 12-week follow up to document relapse and relate it to  $V_T$ . COVID19 pandemic-related modifications implemented by the University to reduce the number of visits to the lab during the study did not allow for the inclusion of 5 AUD in the follow-up protocol. These modifications also led to three AUD completing part of their follow-up in an honor system via phone. Baseline demographic and clinical characteristics of the 27 AUD and 27 HC matched on age, sex, and tobacco use are shown in Table 1.

### [ $^{11}\text{C}$ ]NOP-1A scan parameters

No significant differences in [ $^{11}\text{C}$ ]NOP-1A injected dose (AUD  $12.0 \pm 1.1\text{mCi}$ ; HC  $12.6 \pm 1.0\text{mCi}$ ), mass (AUD  $2.4 \pm 0.9\mu\text{g}$ ; HC  $2.3 \pm 0.6\mu\text{g}$ ), or plasma clearance (AUD  $151 \pm 47\text{L/h}$ ; HC  $147 \pm 33\text{L/h}$ ) were present between the AUD and HC groups. There were also no significant between-group differences in the MR-based ROI volumes (Table S1).

### [ $^{11}\text{C}$ ]NOP-1A distribution volume ( $V_T$ )

[ $^{11}\text{C}$ ]NOP-1A  $V_T$  was not significantly different between the AUD and HC groups (LMM, effect of diagnosis,  $F(1, 52) = 0.09$ ,  $p = 0.77$ ; effect of region,  $F(10, 520) = 601.95$ ,  $p < 0.001$ ; region X diagnosis,  $F(10, 520) = 0.33$ ,  $p = 0.97$ , see Figure 1).  $V_T$  was significantly higher in males than females, and a trend towards a higher  $V_T$  in tobacco users relative to non-users, especially in AUD, was observed (supplement analyses and Table S2). Nevertheless, the inclusion of sex or tobacco use as factors did not alter the significance of diagnosis in the LMM. Unpaired  $t$ -tests conducted to examine group differences in the individual regions of interest were also not significant (data not shown). Comorbid psychiatric/ chronic pain disorders and psychotropic medications had no significant effect on  $V_T$  in the AUD group (supplement analyses).

### **Lower [<sup>11</sup>C]NOP-1A V<sub>T</sub> in AUD subjects predicts relapse to alcohol (and early drop-out) in a 12-week follow up**

During the 12-week follow up of twenty-two AUD subjects in which abstinence from alcohol was promoted using contingency management, n=6 abstained, n=8 relapsed (defined as having tested positive on at least one urine ETG test during follow-up), and n=8 dropped-out. Hair ETG+ heavy drinkers (total, n=13) were more likely to relapse (n=7) and drop out (n=4) than abstain (n=2) during follow-up. Hair ETG- AUD subjects (total, n=8 in whom follow-up data were available) were more likely to abstain (n=4) and drop out (n=4) than relapse (n=0). These differences in follow-up outcome, ETG+ vs ETG- AUD subjects were significant (Chi-Square, p=0.03, Table S3). Trend level differences in the duration of abstinence before PET (i.e., time between last drink and PET) were also observed between AUD groups that abstained vs. relapsed during follow-up (unpaired t-test, p=0.08, Table S3).

Significant follow-up outcome (abstained, relapsed, dropped-out) based differences in V<sub>T</sub> were present in AUD (LMM, effect of final outcome status, F (2, 19)= 5.6, p = 0.012; effect of region, F (10, 190)=199.40, p < 0.001; region \* final outcome interaction, F (20, 190)= 2.67, p <0.001, see Figure 2). The relatively small number of subjects in the individual groups precluded us from conducting meaningful statistics to correct for the differences in the clinical characteristics (Table S3), including heavy drinking and duration of abstinence before PET.

Significant positive correlations between ROI V<sub>T</sub> and total money earned in the contingency management protocol (Figure 3 shows this correlation with OFC V<sub>T</sub>), which corresponds to the level of abstinence accomplished during follow-up, were also present. These correlations remained significant following a Bonferroni correction for multiple comparisons in all ten ROIs except the amygdala (Table S4). Controlling for heavy drinking status (ETG+ vs ETG-) and duration of abstinence before PET using partial correlations did not alter the Bonferroni-corrected significance of these relationships in the OFC (Tables S5 and S6).

### **Lower [<sup>11</sup>C]NOP-1A V<sub>T</sub> in AUD was related to recent heavy alcohol use.**

V<sub>T</sub> was significantly lower in hair ETG+ AUD vs. ETG- AUD (n=13/group, linear mixed model, LMM effect of ETG+, F (1,24)= 4.3, p =0.049; effect of region, F (10, 240)=246.4, p < 0.001; region x ETG interaction, F (10, 240)= 3.8, p < 0.001, see Figure 4). Post-hoc unpaired t-tests in the HIP, VST, DLPFC, OFC and ACC were nominally significant at the p<0.05 level. However, none survived a Bonferroni correction for multiple hypothesis testing in the ROIs. Further, consistent with the effect of heavy drinking, significant negative correlations (that survived multiple comparisons in several regions, see Table 2) between V<sub>T</sub> and the number of drinking days and mean drinks consumed per drinking day in the past 30 days prior to enrollment were observed. Several of these relationships remained significant when controlling for tobacco and sex using partial correlations (Table S7). Significant positive correlations that did not survive multiple comparisons (Table 2) between V<sub>T</sub> and the number of abstinent days before PET in AUD were also present.

## Relationships between [<sup>11</sup>C]NOP-1A V<sub>T</sub> and clinical measures

No significant relationships were observed between regional V<sub>T</sub> and any stress (PSS, HAM-A) or AUD clinical measures (MAST, ADS), including cravings for alcohol (PACS).

## DISCUSSION

In this PET study, we found no significant differences in the in vivo binding of [<sup>11</sup>C]NOP-1A in treatment-seeking AUD compared to HC. This result is consistent with our prior [<sup>11</sup>C]NOP-1A PET study that mostly included non-treatment-seeking males with no comorbid psychiatric and medical disorders (20). Our attempts to maximize the ability to detect differences in NOP binding in this study by investigating a clinically representative treatment-seeking sample of AUD with comorbid anxiety, trauma, and pain disorders (on psychotropic medications) and including more females (78% of the sample) was still unsuccessful. Congruent with these [<sup>11</sup>C]NOP-1A PET studies are the results of human postmortem studies that have also failed to find significant differences in NOP in brain regions (except for lower NOP in the amygdala in AUD in one out of two studies) in AUD relative to controls (2, 37). Contrary to these convergent human data in AUD, results of the basic studies measuring NOP (and N/OFQ) in AUD are mixed and inconclusive (Table S8). In these basic AUD studies, consideration for factors such as alcohol administration paradigm, duration of withdrawal, and regions examined do not allow for a discernible pattern to emerge. Notably, the only [<sup>11</sup>C]NOP-1A PET study investigating another substance use disorder found an ~ 10% higher V<sub>T</sub> in CUD relative to controls(21). A comparable observation that fell short of significance in the current PET study was a higher V<sub>T</sub> in tobacco users compared to non-users. This trend, which was driven by subjects with AUD (Table S2), was not observed in prior [<sup>11</sup>C]NOP-1A investigations(20, 21, 26). Future studies with larger samples should confirm whether NOP is higher in AUD who co-use tobacco and clarify its role in a relapse to alcohol. NOP binding is likely altered (or not) in humans depending on the substance (alcohol, cocaine, tobacco, etc.) or combination of substances they chronically use. Because N/OFQ broadly inhibits GABA, glutamate, and monoamines in the brain (38), the extent to which chronic alcohol vs. cocaine use (and comorbid tobacco use) alters these neurotransmitters probably influences NOP binding in these disorders.

The lack of a difference in NOP binding in AUD vs. HC should not diminish its pursuit as a therapeutic in AUD because findings linking it with clinically relevant features were present. Higher baseline V<sub>T</sub> in subjects with AUD successfully predicted their ability to abstain from alcohol during 12-weeks of contingency management. AUD subjects with lower baseline V<sub>T</sub> values were more likely to relapse and drop-out during follow-up. Reaffirming this finding were significant positive relationships between V<sub>T</sub> in the ROIs and the total money earned during contingency management (which reflected both the level of abstinence and the number of visits for which the subject was present during follow-up). These findings with relapse were predicted by an inverse relationship between lower OFC NOP-1A V<sub>T</sub> and higher craving for alcohol in non-treatment-seeking alcoholics(20). AUD subjects who reported chronic heavy drinking (confirmed using hair ETG) in the months prior to the study had significantly lower V<sub>T</sub> in the ROIs than AUD who did not report such a history. An

effect of recent alcohol use on  $V_T$  was also supported by the positive relationships, which fell short of significance, between regional  $V_T$  and the number of abstinent days before PET in subjects with AUD. In summary, the results of this study point towards lower NOP availability in AUD who drink heavily interferes with their ability to abstain from alcohol during treatment. They also suggest a possible recovery in NOP  $V_T$  in AUD with prolonged abstinence.

Lower NOP binding was observed in heavy drinking AUD who routinely consumed more than 30 drinks/week (roughly 2- and 4-fold higher than the NIAAA heavy drinking definition for males and females) than AUD who drank less prior to enrollment. Lower NOP binding in AUD also predicted relapse to alcohol and drop-out in a three-month follow-up protocol that used contingency management to incentivize abstinence. PET data acquired in this study do not inform whether lower NOP  $V_T$  in AUD who drink heavily and relapse results from higher (occupancy model) or lower (receptor/neurotransmitter expression model) N/OFQ levels. Higher N/OFQ in AUD who drink heavily (interpreted using an occupancy model) support using a NOP antagonist to block excessive N/OFQ transmission to promote abstinence. Proof for this approach was present in the failed LY2940094 AUD trial in which treatment with the NOP antagonist significantly decreased heavy drinking days and increased abstinent days relative to placebo in patients with AUD (16). Future NOP antagonist trials could enroll heavy drinking subjects with AUD as they are likely to have high N/OFQ levels (assumed from lower  $V_T$ ) and focus on heavy drinking and abstinence as the endpoints. Heavy drinking and abstinence as endpoints because they are linked with lower  $V_T$  (i.e., high N/OFQ) and are secondary measures that the NOP antagonist, LY2940094, met in the AUD trial. Incorporating [ $^{11}\text{C}$ ]NOP-1A PET in NOP antagonist trials to screen and enroll AUD with low  $V_T$  and, by extension, high N/OFQ levels could also be considered to maximize success. On the other hand, lower N/OFQ levels in AUD who drink heavily (interpreted using a low receptor /low neurotransmitter expression model) would support using a NOP agonist to promote abstinence. This approach is supported by most AUD rodent studies (17 out of 20) that have evaluated the efficacy of N/OFQ or NOP agonists(9). Basic and PET studies have also shown NOP receptors to upregulate, presumably to enhance N/OFQ transmission in response to increases in stress, cortisol, and CRF (25, 39, 40), all drivers of negative reinforcement in relapse. One unanswered question in the literature is whether NOP upregulation to enhance N/OFQ is an adaptive or maladaptive response to increases in stress and stress-promoting hormones. Albeit from a small sample, binding to NOP ( $V_T$ ) was higher in the six AUD who abstained from alcohol than in healthy controls in this study. Higher NOP in AUD who abstained supports the notion of it being an adaptive response to counter stress and CRF, which promote relapse. Unfortunately, the PET results do not inform the ongoing debate on whether to use a NOP agonist or antagonist in AUD. However, they underscore the need to clarify the role of N/OFQ in patients with AUD to inform NOP agonist and antagonist medication development efforts. Given the absence of clinical trials with NOP agonists and antagonists in humans, there may be value in repurposing drugs, such as buprenorphine, that show NOP agonism at high doses to treat AUD (41, 42).

In summary, consistent with our prior study in non-treatment seeking AUD, we found no between-group differences in [ $^{11}\text{C}$ ]NOP1A  $V_T$  in a clinical representative treatment-



seeking cohort of AUD relative to HC. Secondary analyses of the [<sup>11</sup>C]NOP-1A data found lower  $V_T$  in heavy drinking AUD to predict relapse (and drop-out) during a contingency management protocol that promoted abstinence. Limitations of these secondary findings, which were generated using a relatively small number of subjects per group (e.g., relapsed vs. abstinent), include the inability to account for the differences in clinical characteristics (such as tobacco use, heavy drinking, and duration of abstinence from alcohol before PET) that existed between these groups. For example, we cannot exclude the possibility of a higher number of heavy drinkers or a lower duration of abstinence in the relapsed group driving the relationship between relapse and NOP  $V_T$ . Studies in which heavy drinkers and duration of abstinence are more evenly distributed across follow-up outcomes (relapsed and abstinent) are necessary to clarify whether lower [<sup>11</sup>C]NOP-1A  $V_T$  is an independent risk factor for relapse. Enrolling and maintaining AUD subjects in the follow-up protocol to document relapse during the COVID19 pandemic was another challenge that impacted the sample size. Other methodological limitations include using  $V_T$  to quantify NOP because it cannot exclude the relative contribution of non-specific binding and plasma-free fraction, if any, to the findings. For example, we cannot exclude the contribution of between-group differences in  $f_p$  to the finding of lower  $V_T$  in ETG+ vs. ETG- AUD. Lastly, hair/nail ETG might have been vulnerable to various medical disorders, race, sex, genetic variation in enzymes metabolizing alcohol, use of medications, and recreational drugs(43). Despite these limitations, the results of this PET study support the investigation of medications that act at NOP to prevent relapse in AUD. These results also highlight the need to understand the clinical relevance of variability in PET studies that fail to find group differences in receptor binding measures.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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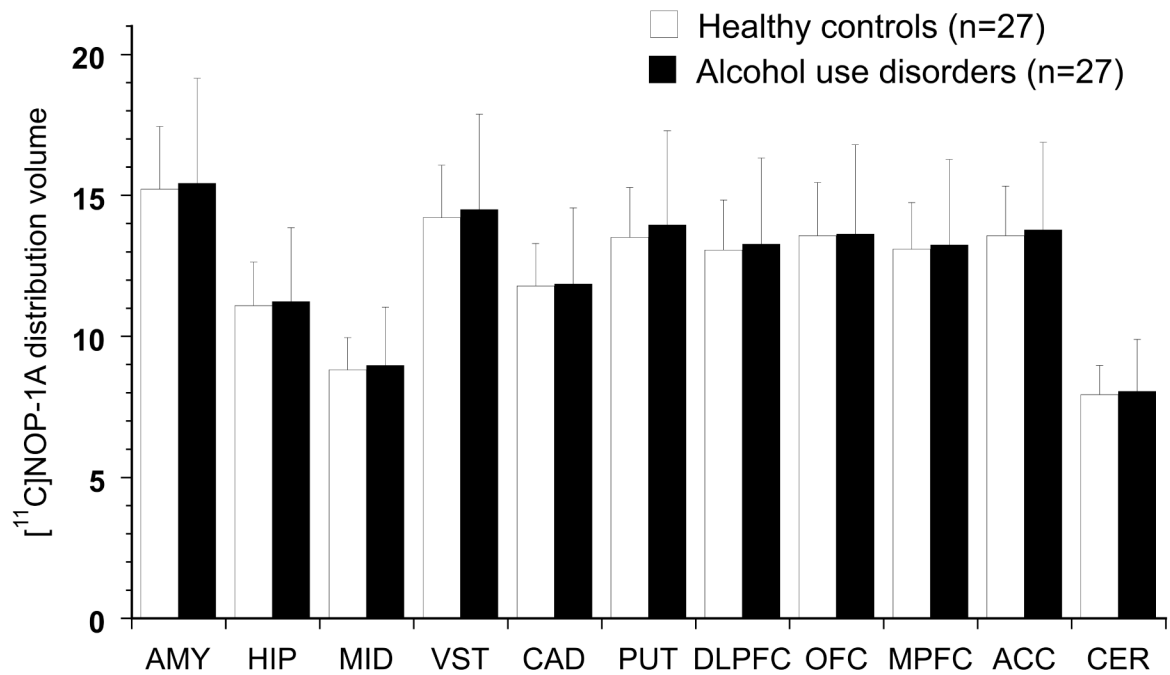
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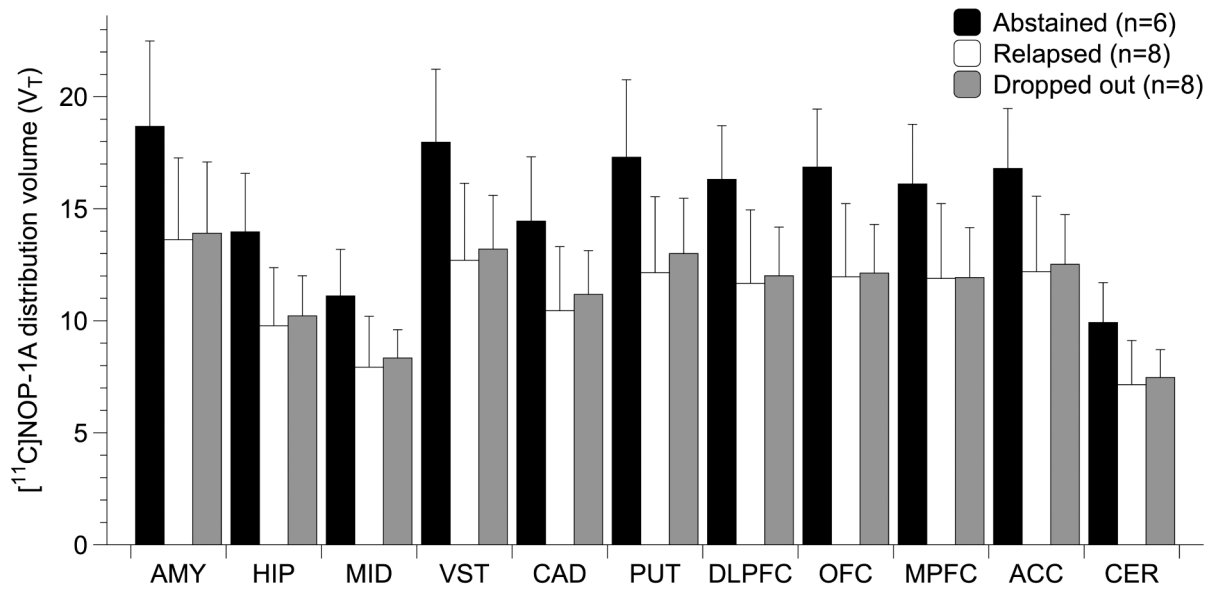
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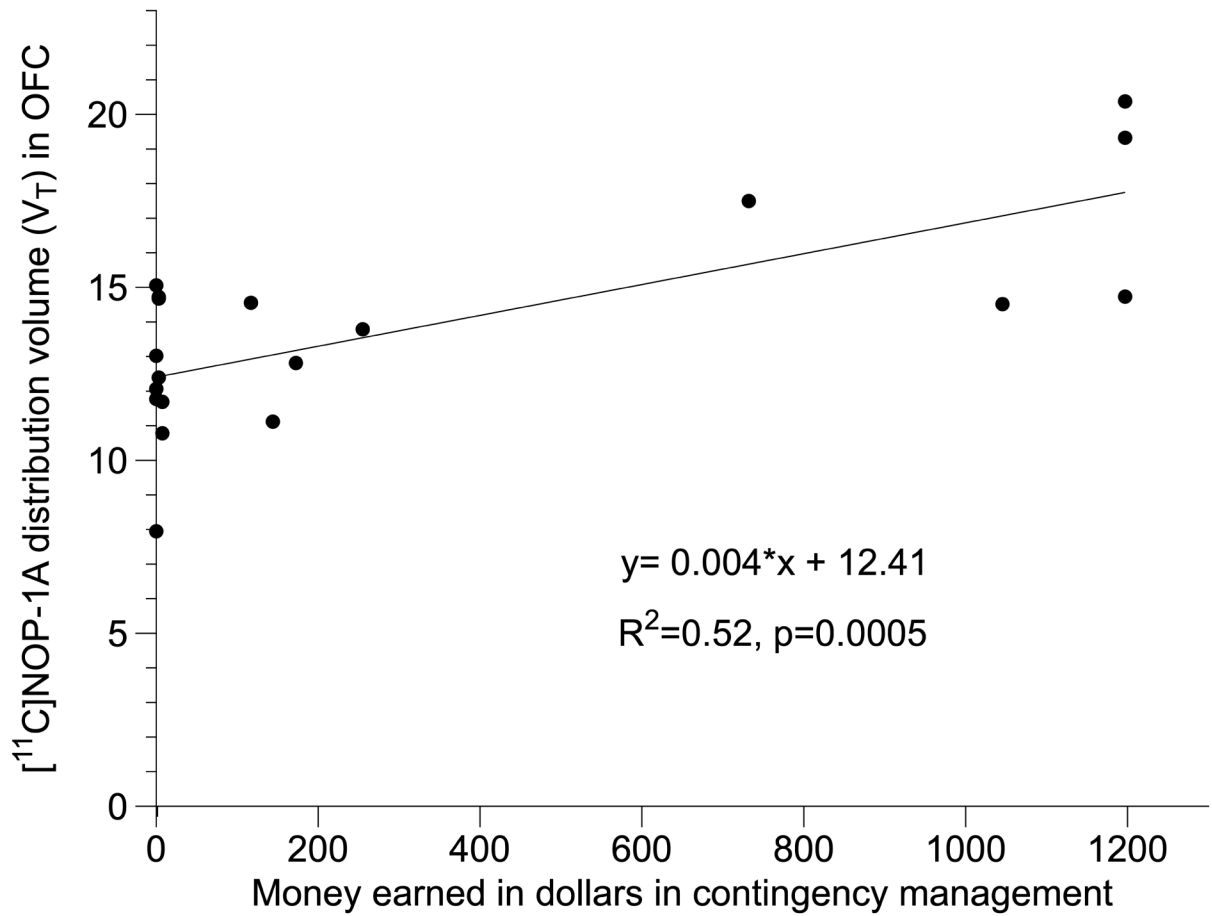


**Figure 1.**

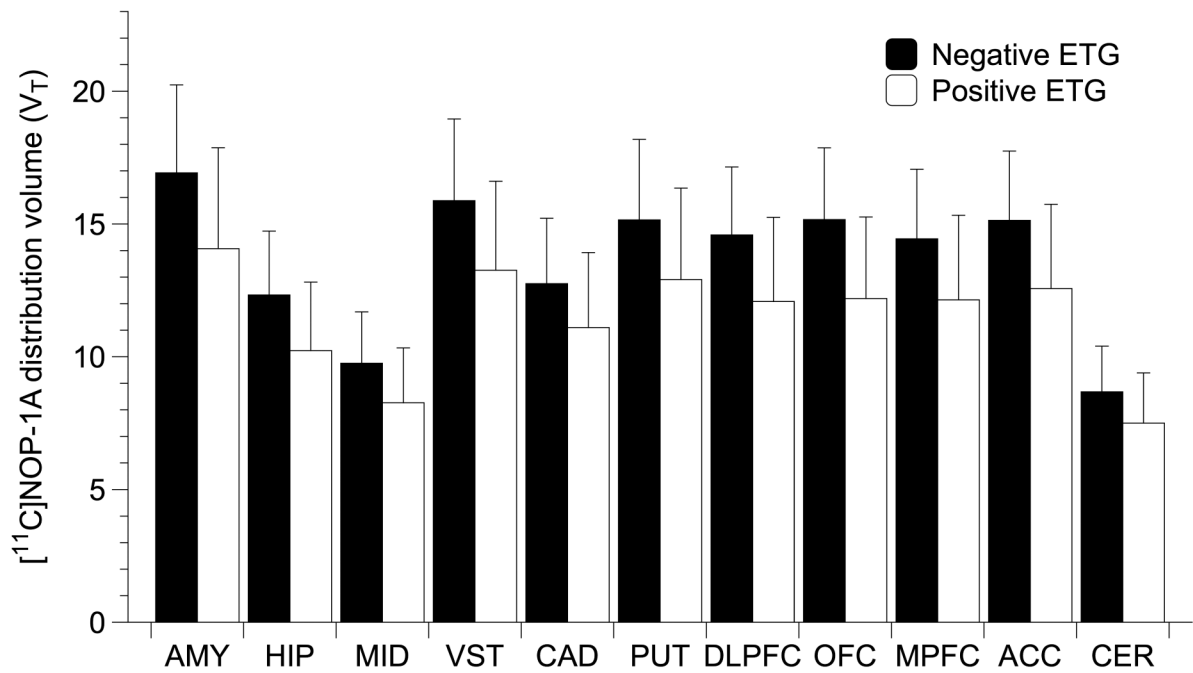
show no significant differences in  $[^{11}\text{C}]\text{NOP-1A } V_T$  (mean and standard deviation) between AUD and HC. Regions included are AMY: amygdala, HIP: hippocampus, MID: midbrain, VST: ventral striatum, CAD: caudate, PUT: putamen, DLPFC: dorsolateral prefrontal cortex, OFC: orbital frontal cortex, MPFC: medial prefrontal cortex, ACC: anterior cingulate cortex, CER: cerebellum.



**Figure 2.** shows significantly lower  $V_T$  (~ 25%,  $p = 0.012$ ) in AUD subjects who relapsed (or dropped out) compared to AUD who abstained during the 12-week follow-up period (data shown in the graph are mean  $\pm$  standard deviation).



**Figure 3.** shows the positive relationship between OFC  $V_T$  and total money earned during follow up (data shown is from  $n=19$  subjects because it excludes 3 AUD who completed the follow-up in an honor system via phone due to COVID19 pandemic).



**Figure 4.** shows significantly lower  $V_T$  (~ 15%,  $p = 0.049$ ) in Hair ETG+ AUD with recent heavy alcohol use compared to ETG- AUD subjects ( $n=13/\text{group}$ ). Error bars are standard deviation. Note: Hair ETG was not available for  $n=1$  subject.



**Table 1.** Demographic and clinical characteristics of alcohol use disorder and healthy control subjects

	Alcohol use disorder n = 27	Healthy controls n = 27
Age	36 ± 10	34 ± 9
Sex		
<i>Females</i>	21	21
<i>Males</i>	6	6
Ethnicity		
<i>African American</i>	4	3
<i>Caucasian</i>	21	22
<i>Asian</i>	2	2
Barratt Simplified Measure of Social Status		
<i>Education</i>	16 ± 3	16 ± 4
<i>Occupation</i>	26 ± 10	26 ± 10
<i>Total</i>	42 ± 12	42 ± 13
Tobacco use	12	15
<i>Fagerstrom Test for Nicotine Dependence moderate/high dependence (score 4)</i>	5	4
Hamilton Anxiety Rating Scale (range 0 to 56)	6 ± 6	2 ± 3 *
Perceived Stress Scale (range 0 to 40)	11 ± 8	7 ± 6 *
DSM-5 Alcohol use disorders (mild / moderate / severe)	2/3/22	-
Michigan Alcohol Screening Test (range 0 to 22)	10 ± 5	-
Alcohol Dependence Scale (range 0 to 47)	19 ± 7	-
Penn Alcohol Craving Scale (range 0 to 30)	15 ± 7	-
Age of first use of alcohol (years)	16 ± 3	-
Frequency of alcohol use in past 30 days prior to enrollment (days/month)	16 ± 9	-
Amount of alcohol use in past 30 days prior to enrollment (drinks/drinking day)	6.7 ± 4.5	-
Duration abstinence from alcohol before PET (days)	27 ± 20	-
<u>12-week follow-up protocol to monitor for relapse following PET scan (n = 22<sup>†</sup>)</u>		
Final Outcome		6
<i>Abstinent</i>		6

	Alcohol use disorder n = 27	Healthy controls n = 27
<i>Relapse confirmed with ETG+ urine sample</i>		
<i>Drop-out</i>	8	
Time to first relapse (days)	8 ± 7	
Time to drop-out (days)	11 ± 18	
Amount of money earned in vouchers (dollars)	421 ± 514	

\* p < 0.05, unpaired t-tests for numerical variables and Chi-square test for categorical variables.

<sup>†</sup> Includes three subjects (one in abstinent and two in relapse group) who completed part of their follow-up in an honor system via phone due to COVID19 pandemic. Note: Both subjects in the relapse group had a urine ETG+ test confirmed relapse before the follow-up protocol was converted to an honor system.

shows the Pearson's correlation coefficients ( $r$ ) for the relationships between  $V_T$  and self-reported alcohol use in 27 AUD.

**Table 2**

Region $V_T$	Prior to PET scans			30 days prior to enrollment		
	Number of days abstinent	Drinking days	Drinks per drinking day	Number of days abstinent	Drinking days	Drinks per drinking day
Amygdala	0.282	-0.548**	-0.562**			
Hippocampus	0.459*	-0.544**	-0.586**			
Midbrain	0.413*	-0.472*	-0.533**			
Ventral Striatum	0.415*	-0.568**	-0.560**			
Caudate	0.403*	-0.474*	-0.520*			
Putamen	0.403*	-0.526*	-0.542**			
Dorsolateral prefrontal cortex	0.366	-0.530**	-0.548**			
Orbitofrontal cortex	0.374	-0.598**	-0.593**			
Medial prefrontal cortex	0.361	-0.529*	-0.542**			
Anterior Cingulate cortex	0.401*	-0.547**	-0.556**			
Cerebellum	0.374	-0.445*	-0.530**			

Significant positive associations between  $V_T$  and abstinent days before PET were present in six out of eleven ROIs (Figure S1). Significant negative associations between  $V_T$  and number of drinking days (Figure S2), and mean drinks/drinking day (Figure S3) in the past thirty days prior to enrollment were present in all ROIs.

\*  $p < 0.05$

\*\* Bonferroni corrected  $p < 0.00454$ .

## KEY RESOURCES TABLE

Resource Type	Specific Reagent or Resource	Source or Reference	Identifiers	Additional Information
Add additional rows as needed for each resource type	Include species and sex when applicable.	Include name of manufacturer, company, repository, individual, or research lab. Include PMID or DOI for references; use "this paper" if new.	Include catalog numbers, stock numbers, database IDs or accession numbers, and/or RRIDs. RRIDs are highly encouraged; search for RRIDs at <a href="https://scicrunch.org/resources">https://scicrunch.org/resources</a> .	Include any additional information or notes if necessary.
Antibody				
Bacterial or Viral Strain				
Biological Sample				
Cell Line				
Chemical Compound or Drug	[C-11]NOP-1A precursor and standard	ABX advanced biochemical compounds	Custom synthesis ordered	
Chemical Compound or Drug	[C-11]NOP-1A synthesis	NIMH/SNIDD Database	<a href="https://kiddbdev.med.unc.edu/databases/snidd/IND/nop1a.html">https://kiddbdev.med.unc.edu/databases/snidd/IND/nop1a.html</a> Removed	
Commercial Assay Or Kit				
Deposited Data; Public Database				
Genetic Reagent				
Organism/Strain				
Peptide, Recombinant Protein				
Recombinant DNA				
Sequence-Based Reagent				
Software; Algorithm	MATLABR-2021b	Mathworks	<a href="https://www.mathworks.com/products/matlab.html">https://www.mathworks.com/products/matlab.html</a>	
Software; Algorithm	PMOD v4.2	PMOD Technologies	<a href="https://www.pmod.com/web/">https://www.pmod.com/web/</a>	
Software; Algorithm	IBM SPSS Statistics v 27	IBM SPSS	<a href="https://www.ibm.com/analytics/spss-statistics-software">https://www.ibm.com/analytics/spss-statistics-software</a>	
Transfected Construct				
Other				