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Pharmacokinetics, the Immunological Impact, and the Effect on HIV *Ex-Vivo* Infectivity of Maraviroc, Raltegravir, and Lopinavir in Men Who Have Sex with Men Using Postexposure Prophylaxis

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Abstract

Most of the studies using the colorectal tissue explants challenge model have been conducted after one single dose and before reaching a steady state. We consider that longer exposure as in 28-day postexposure prophylaxis (PEP) course and in an *at-risk* setting, such as after a sexual risk exposure to HIV could give us valuable information about these drugs. In a substudy we assessed pharmacokinetics, changes on immune system and *ex-vivo* rectal mucosal susceptibility to HIV-1 infection after taking maraviroc (MVC), raltegravir (RAL), and ritonavir-boosted lopinavir (LPV/r) PEP-based regimens in 30 men who have sex with men. Participants received 28 days of twice-daily MVC ($n=11$), RAL ($n=10$) or LPV/r ($n=9$) all with tenofovir/emtricitabine (TDF/FTC) backbone. Blood, rectal fluid, and rectal tissue samples were collected at days 7, 28, and 90 after starting PEP. The samples obtained at day 90 were considered baseline. All studied antiretrovirals were quantifiable at 7 and 28 days in all tissues. Activation markers were increased in CD4 mucosal mononuclear cells (MMCs) after 28 days of MVC: CD38+68.5 versus 85.1, $p=.008$ and CD38+DR +16.1 versus 26.7, $p=.008$. Exposure to MVC at both endpoints (7 and 28 days) was associated with significant suppression of HIV-1_{BAL} ($p=.005$ and $p=.028$), but we did not observe this effect with RAL or LPV/r. Merging together changes in MMC in all arms, we found a positive correlation in the CD8 T cell lineage between the infectivity at day 7 and activation (CD38+ $r=0.43$, $p=.025$, DR+ $r=0.547$, $p=.003$ and 38+DR+ $r=0.526$, $p=.05$), senescence (CD57+CD28- $r=0.479$, $p=.012$), naive cells (RA+CCR7+ $r=0.484$, $p=.01$), and CCR5 expression ($r=0.593$, $p=.001$). We conclude that MVC in combination with TDF/FTC was associated with viral suppression in rectal explants and that overall *ex-vivo* HIV infectivity correlated with activation and senescence in CD8 MMCs.

Keywords: HIV, postexposure prophylaxis, maraviroc, rectal explants, prevention

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Introduction

CURRENTLY, WE HAVE different biomedical and behavioral strategies that have proven to prevent new HIV infections; however, there are key populations, such as men who have sex with men (MSM), that have 25 times more risk to have an HIV infection, hence we need focused efforts to find the most efficient preventive interventions.^{1,2}

For more than 30 years antiretroviral (ARV) drugs have been used as postexposure prophylaxis (PEP) with an overall low efficacy evidence but widely accepted and prescribed.³ More recently, as pre-exposure prophylaxis (PrEP) with very encouraging results when good adherence is achieved.⁴⁻⁶ PEP and PrEP rationale was initially based in studies conducted in nonhuman primates who were exposed to different ARVs within mucosal challenges and prevention of HIV infection was fairly achieved.^{7,8}

Maraviroc (MVC) is an entry inhibitor that prevents HIV entrance into the host cell by blocking the CCR5 coreceptor,⁹ and rapidly achieves high concentrations in rectal tissue (RT).¹⁰ There is some mixed evidence of MVC efficacy for preventing HIV infection, but overall single-dose studies have failed to demonstrate MVC efficacy after *ex-vivo* rectal explants challenges^{11,12} and a large PrEP study, including an explant challenge assay, showed that exposure to one dose of MVC given alone for a 24-week period poorly suppresses *ex-vivo* HIV infection.¹³

Raltegravir (RAL) is an integrase inhibitor that blocks the HIV integration into the newly infected cell, this step occurs more than 6 h after infection, which could extend the coital dosing window.¹⁴ RAL has been associated with *ex-vivo* protection¹⁵ and nowadays, it is the most recommended third drug for PEP regimens.^{16,17}

The protease inhibitor ritonavir-boosted lopinavir (LPV/r) was considered the standard of care as third drug in all PEP guidelines and still is in some middle- to low-income countries.¹⁸ To date, there are no pharmacokinetics (PK) or infectivity studies of this ARV in gastrointestinal tissue.

There are only a few studies assessing different ARVs in rectal mucosa after reaching a steady state.^{13,19-21} We considered that long exposure to treatment as in 28-day PEP course and in an at-risk setting, as after a sexual risk exposure to HIV, could give us valuable information about these ARVs. We assessed PK, the immunological impact and the effect against rectal mucosa *ex-vivo* HIV infection of MVC, RAL, and LPV/r in MSM on a three-drug PEP regimen.

Materials and Methods

Ethics

This study was conducted in accordance with Good Clinical Practices procedures and all applicable regulatory requirements. The study protocol was approved by the Ethics Committee for Clinical Research (CEIC) of Hospital Clinic of Barcelona.

Study design, participants, and clinical safety

This is a substudy of two PEP randomized clinical trials^{22,23} conducted in an HIV clinic in Barcelona. The MARAVIPEP and the RALPEP studies were prospective open randomized clinical trials that evaluated PEP noncompletion at 28 days of MVC or RAL in comparison with LPV/r all in combination

with tenofovir/emtricitabine (TDF/FTC) backbone. The following doses were administered: MVC 300 mg bis in die, twice a day (BID), RAL 400 mg BID, LPV/r 400/100 mg BID, and TDF/FTC 245/200 mg quaque die, once a day (QD) for 28 days. Substudy participation was proposed at the first follow-up visit (≤ 60 h after starting PEP) in the HIV clinic and if acceptance, an informed consent was signed.

Subjects eligible to participate were at-risk²⁴ HIV-uninfected MSM. PEP protocol in our center does not consider testing for HIV before starting the treatment, so we check this and other sexually transmitted infections (STI) during the first follow-up visit. After inclusion, three follow-up visits were scheduled: at day 7 and at day 28 after starting PEP and at day 90 after risk exposure. Since PEP is a treatment that must be started as soon as a risk has been assessed and within 72 h, we could not obtain before-drug baseline samples and the day 90 samples were considered baseline.

Demographics and risk behavior were assessed. Laboratory monitoring, including HIV, hepatitis B virus (HBV), hepatitis C virus (HCV), and syphilis, was performed following Spanish recommendations for PEP follow-up.²⁴

We did not ask for any changes in sexual practices, even though counseling on HIV and other STI prevention was provided. Adherence to PEP was also reinforced and monitored with the medication event monitoring system (MEMSTM AARDEX Group Ltd., Switzerland). An anal cytology was performed to evaluate intraepithelial lesions.

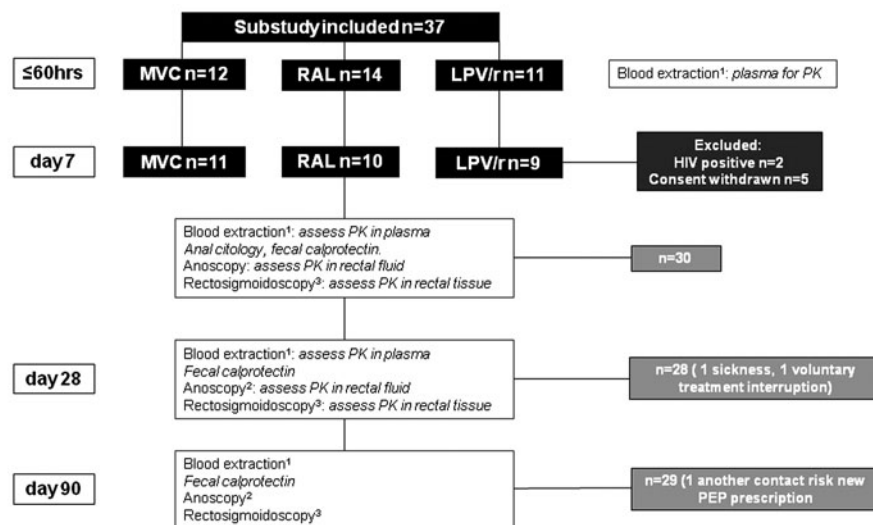
As part of the colorectal tissue evaluation we assessed subepithelial mucosal markers as previously described^{25,26}: (1) participants applied a preparatory enema (Enema Casen[®] Casen Recordati S. L.) 1 h before procedures and obtained a stool sample to perform a rapid fecal calprotectin test (Cal-Detect[®] test distributed by Preventis GmbH); (2) using a lubricated plastic anoscope, 2 swabs were inserted through it and placed in contact with the rectal wall, turned through 360° and removed to determine *Chlamydia trachomatis* and *Neisseria gonorrhoeae* (GC) infection and the microflora (swabs were delivered to the laboratory in ≤ 30 min). This assessment was conducted to determine mucosal baseline characteristics, any result suggestive of tissue disruption in an asymptomatic individual was considered to have a low impact, hence included in all the evaluations. Flowchart describing different assessments is shown in Figure 1.

Sample collection

Blood collection. Peripheral blood mononuclear cells (PBMCs) were collected at substudy inclusion (≤ 60 h after started PEP), days 7, 28, and 90 for immunoassays. Whole blood was obtained using K₂ EDTA collection tubes and processed as previously described¹⁰ at inclusion, days 7 and 28, and stored at -80°C until PK analysis.

At the same time points serum was obtained to evaluate soluble markers of inflammation [high-sensitive C-reactive protein (hs-CRP), interleukin-6 (IL-6), tumor necrosis factor-alpha (TNF- α)], and D-dimer. The serum was initially frozen at -80°C . hs-CRP was determined by an immune turbidimetric method (CardioPhase, Siemens Healthcare Diagnostics). A result over 0.5 mg/dL was considered positive. IL-6 and TNF- α were determined by enzyme-linked immunosorbent assay (Diasource Immunoassays, Louvain-la-Neuve, Belgium). A result over 5 and 10 pg/mL, respectively,

FIG. 1. Flowchart describing the participants' distribution and the different assessments performed during the study. LPV/r, ritonavir-boosted lopinavir; MVC, maraviroc; PK, pharmacokinetics; RAL, raltegravir.



¹Laboratory monitoring (complete blood count, chemistry, HIV, hepatitis B virus (HBV), hepatitis C virus (HCV) and syphilis) and peripheral blood mononuclear cells (PBMC) for immunoenalysis
²Swab for rectal PCR *C. trachomatis* and *N. gonorrhoeae* detection, swab for microflora determination and sponges for obtaining rectal fluid and study cytokines
³Obtain 17 biopsies to assess histopathology, mucosal mononuclear cells (MMC), infectivity

were considered positive. D-dimer was measured with a turbidimetric method (Innovance, Siemens Diagnostics, Marburg, Germany) in a BCS-automated coagulation system (Siemens Diagnostics). Normal cutoff is 500 ng/mL.

Rectal fluid collection. We performed an anoscopy at days 7, 28, and 90 to collect rectal fluid (RF) using four cellulose sponges (Medicalmix BVI WECK-CEL 0008685). Each sponge was processed as previously described,²⁶ weighted before, and after application and stored at -80° until PK or cytokine analysis.

RT collection. Rectal biopsies were obtained by flexible sigmoidoscopy²⁷ at days 7, 28, and 90 each with collection of 17 samples acquired at 20–30 cm from the anal verge, and distributed as follows: (1) histology: one sample fixed on formalin for histological scoring of inflammation,²⁸ these assessments were performed by a single pathologist; (2) PK analysis: five samples snap frozen at first in liquid nitrogen and stored at -80°C until analysis; (3) five samples to obtain mucosal mononuclear cells (MMCs) using enzymatic digestion²⁹; and (4) the remaining six samples were placed in fetal bovine serum plus dimethylsulfoxide (10%) and frozen at -80°C for further explants organization.³⁰ Cryovials were then transferred to the vapor phase of a liquid nitrogen freezer within 1 week.

In brief, biopsies to obtain MMC were digested with a solution of collagenase type II (250 $\mu\text{g}/\text{mL}$), 30 min at 37°C , shaking every 5–10 min to aid tissue dissociation. After that, remaining tissue was passed through a 70 μm filter by a 10 cc syringe and 16G needle to a clean tube containing R15 medium. Complete digestion of biopsies was obtained by repeating at least two rounds of collagenase digestion procedure. Cell yields was $2.5 \times 10^6 \pm 1.5 \times 10^6$ MMC with a cell viability, measured by Blue Trypan exclusion, $>90\%$.

PK assessment

MVC, RAL y LPV/r in blood plasma, RF, and RT^{10,31–33} were quantified using a validated high-performance liquid

chromatography with tandem mass spectrometric detection methods were performed by the UNC CFAR Clinical Pharmacology and Analytical Chemistry Core.

Flow cytometry and cytokines quantification

All analyses were done in freshly isolated PBMC or MMC, hence we did not use viability dye. PBMC were isolated by density gradient centrifugation using Ficoll-Hypaque (Sigma Chemical Co., St. Louis, MO). MMC were obtained using enzymatic digestion.^{27,29} In both cases, subpopulations of CD4+ and CD8+ T cells were determined using a comprehensive approach of simultaneous measurement³⁴ by a FACSCalibur (Becton Dickinson, San Jose, CA) four-color flow cytometry of different immunological parameters: activation (using CD38 and human leukocyte antigen-DR [HLA-DR] markers), senescence (using CD28 and CD57 markers), co-receptor expression (CCR5, CXCR4), and T cell differentiation stage (using CD45RA and CCR7).

The following monoclonal antibodies were used: CD8-peridinin chlorophyll protein (PerCP), CD4-allophycocyanin (APC), CD28-phycoerythrin (PE), CD57-fluoroisothiocyanate (FITC), CD38-PE, HLA DR-FITC, CD45RA-FITC, CCR7-PE, CCR5-FITC, and CXCR4-PE (all from Becton Dickinson, Mountain View, CA, except CCR7-PE from Milteny Biotec B.V. Leiden, NL). Mouse immunoglobulin isotypes conjugated with PerCP, PE, FITC, or APC were always used as negative controls for nonspecific binding. Thresholds for positive gated populations were defined using the corresponding isotype controls, unstained samples, and/or fluorescence minus one control. Data were analyzed using FlowJo v.7.6.5 software (BD Life Sciences). Gating strategy is represented in Supplementary Figure S1.

The concentrations of the different cytokines: GM-CSF, TNF- α , IL-1 β , IL-4, IL-6, MIP-1 β , Eotaxin, RANTES, MIG, IL-12, IL-8, IL-17, MIP-1 α , IL-10, IL-1RA, INF- γ , IL-13, MCP-1, IL-7, IL-15, INF- α , IL-2R, IP-10, IL-5, and IL2 were analyzed in the RF supernatant with a LuminexTM assay, according to the standard protocol (Thermo Fisher ScientificTM, Waltham, MA).

Ex-vivo infection

Owing to our laboratory lack of experience in *ex-vivo* infection and taking advantage of our colleagues in Instituto de Salud Carlos III (ISCIII) expertise, samples had to be cryopreserved and shipped to Madrid. Explants viability was assessed as previously described by Hughes et al.,³⁰ and as they have shown, we expected cryopreserved explants to have as much activity as fresh explants. Infection of endoscopic biopsies was performed with an R5 tropic HIVBal strain (10 ng/well or 10⁴ tissue culture infectious dose 50/mL) in 96-well U-bottom sterile plates with two explants per well and in triplicates for 2 h. Afterward, colorectal explants were extensively washed in phosphate buffered saline and transferred to Dulbecco's modified eagle medium pre-wet spongostan rafts in 24-well microplates.

Colorectal explants were maintained for up to 14 days in complete medium at 37°C and 5% CO₂. On days 3, 7, 10, and 14 supernatants were harvested and cultures re-fed with fresh complete medium. Supernatants were frozen at -20°C and quantification of p24 was performed with an Elecsys HIV p24 Ag Test system (Roche). Results are represented as cumulative p24 quantity versus time (days) and reported as area under the curve (AUC) as a model capable of distinguishing between classes. XY analysis and AUC were calculated using GraphPad Prism software. We also analyzed the data dividing the cumulative HIV-1 p24 by CD4+ T cell count in each explant tissue to normalize the infection susceptibility.

Statistics

Characteristics of the study population and the different immunological parameters, translocation, and inflammatory markers were recorded as median [interquartile range (IQR)], and comparisons between groups were made using the Kruskal-Wallis test. Pairwise comparisons were made using a Friedman test and Dunn's post-test for pairwise comparisons. Corrections for multiple comparisons were performed using the Bonferroni method. Correlations between quantitative parameters were explored using the Spearman's test. All statistical analyses were performed using the SPSS software version 20 (SPSS Inc., Chicago, IL).

Results

Subject characteristics, disposition, and safety

A total of 37 MSM were screened and 30 enrolled, distribution of participants is exposed in Figure 1. Median age (IQR) was 35 (22-54), 21 (70%) were Caucasian, 8 (27%) Latin-Americans and 1 participant was Arabic. Ten (33%) participants had a previous STI, 8 (30%) referred ≥10 sexual partners in the past 3 months before entering the study, and 13 (48%) countless sexual partners during lifetime. Medication was well tolerated and there were no significant laboratory abnormalities. In all included participants, HIV, HBV, HCV, and syphilis tests remained negative during the 6-month follow-up.

Two subjects had an abnormal cytology and were referred to a specialist for further evaluation. One subject in the RAL arm had a positive test for rectal GC at day 90 and although he was completely asymptomatic, we treated the infection with antibiotics as recommended.³⁵ No high-grade inflammation in calprotectin test was found and all microflora determina-

tions were saprophytic. As for the rectal histological evaluation, one subject had a persistent spirochetosis and four participants had epithelial erosion or ulceration at different time points, all five individuals were asymptomatic. All participants had ≥85% adherence measured by MEMS.

Pharmacokinetics

PK blood samples were obtained at substudy inclusion and, as RT samples, at days 7 and 28. The participants started PEP at different hours during the 24-day and due to center logistics the samples could only be obtained during the morning course, hence a wide variety of different drug concentrations were obtained. Plasma ARV median (IQR) concentrations for all study drugs according to PK time points were as follows: MVC 139 ng/mL (221.7), RAL 660 ng/mL (1,820.2), and LPV/r 8,668/542 ng/mL (8,670/816). The RF median (IQR) concentrations were MVC 30 ng/swab (65), RAL 41 ng/swab (224), and LPV/r 151/32 ng/swab (249/47).

Concentrations median (IQR) in RT were MVC 962 ng/g (3,855), RAL 2,714 ng/g (2,979), and LPV 8,151/3,000 ng/g (10,979/2,061) (Fig. 2A-C). We found no significant differences between days 7 and 28 in any of the studied tissues. The ratio of RT versus plasma for all three studied drugs was MVC 16.8, RAL 4.8, and LPV 0.7. We performed an analysis to correlate PK results in different tissues and different time points. We found that RF PK correlates with RT PK at day 7 ($r=0.5$, $p=.005$) and at day 28 ($r=0.45$, $p=.002$), and also with Plasma PK (day 7 $r=0.5$, $p=.004$; day 28 $r=0.44$, $p=.02$). We found a correlation between RT and plasma in the LPV arm ($r=0.51$, $p=.04$).

Immunological and inflammation changes

In patients receiving LPV/r there was significant variability in CCR5 expression by PBMC CD4 T cells ($p=.002$) and a trend in MVC group ($p=.06$) also relevant in CD8 T cells for LPV/r ($p=.005$) and MVC ($p=.006$) over time. Regarding MMC, there was significant variability over time in participants receiving MVC in CD38 and CD38DR expression by CD8 T cells ($p=.008$) (Fig. 3). Post-test comparisons revealed significant differences between baseline and day 28; for PBMC in LPV/r arm CD4+CCR5 ($p=.12$) and CD8+CCR5 ($p=.01$); for MMC in MVC arm CD4 CD38 ($p=.10$) and CD4 CD38R ($p=.005$). There were no significant changes in RF cytokines. Inflammation markers such as hs-CRP, IL-6, and TNF- α were assessed in plasma and we found no differences neither between time points nor arms. In the MVC arm, d-dimer levels decreased between baseline and day 7 (339 vs. 220, $p=.037$). All variations over time regarding immune system homeostasis are shown in Table 1.

Ex-vivo infection of RT

Overall, there were no differences in infectivity neither between time points nor arms. When we analyzed the differences within each arm, we found that AUC infectivity was significantly lower at day 7 (869 pg/mL) and at day 28 (948 pg/mL) compared with baseline (1,402 pg/mL) in the MVC arm ($p=.005$ and $p=.028$, respectively). No significant differences were observed between days 7 and 28 in MVC arm. There were no differences within LPV/r or RAL arms (Fig. 4).

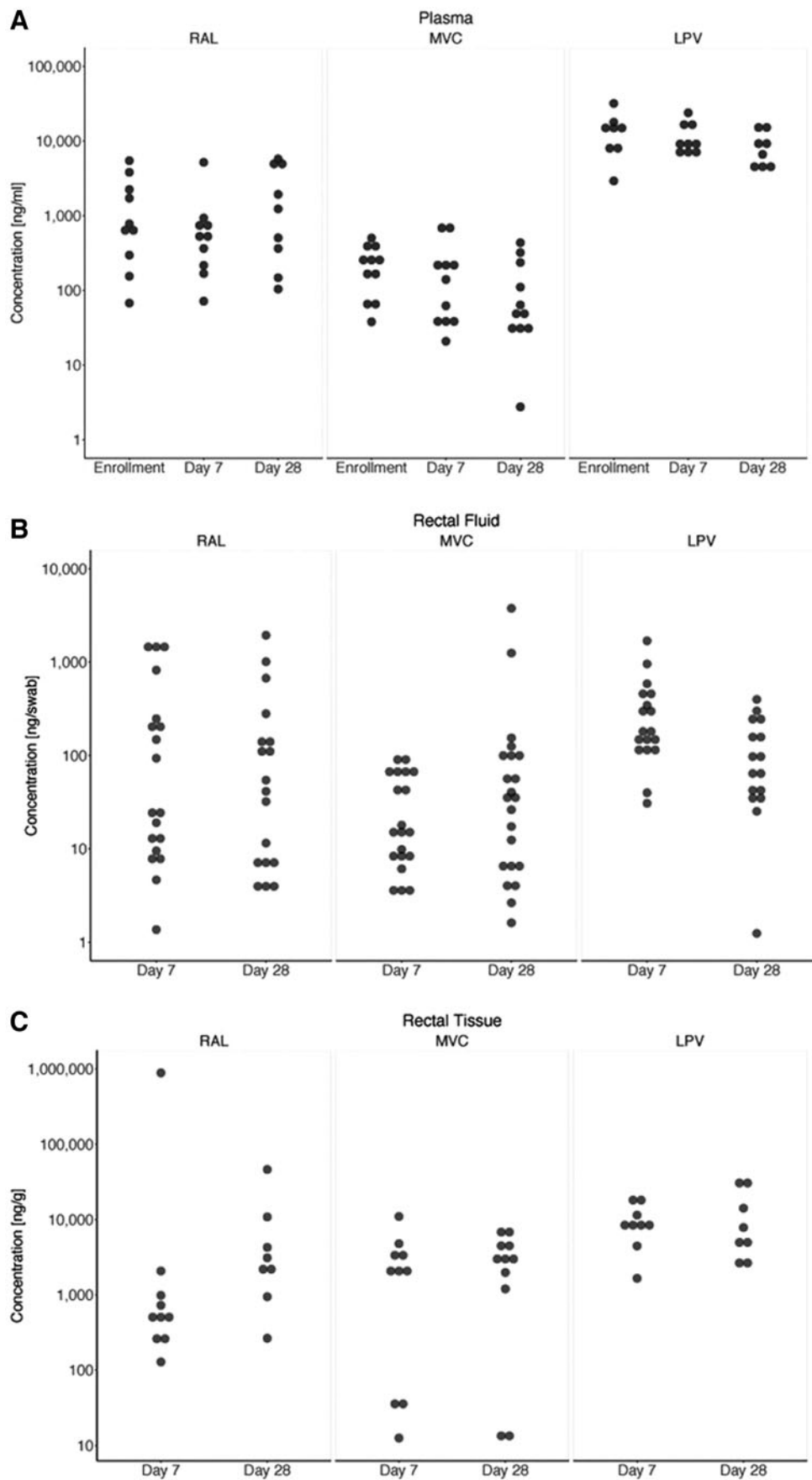


FIG. 2. (A–C) PK *box-plots* of each antiretroviral according to time since last dose, study time points (enrolment, days 7 and 28) and all three studied tissues: plasma, rectal fluid, and rectal tissue. Data collection about time since last dose was incomplete, which explains the large variability seen in the results.

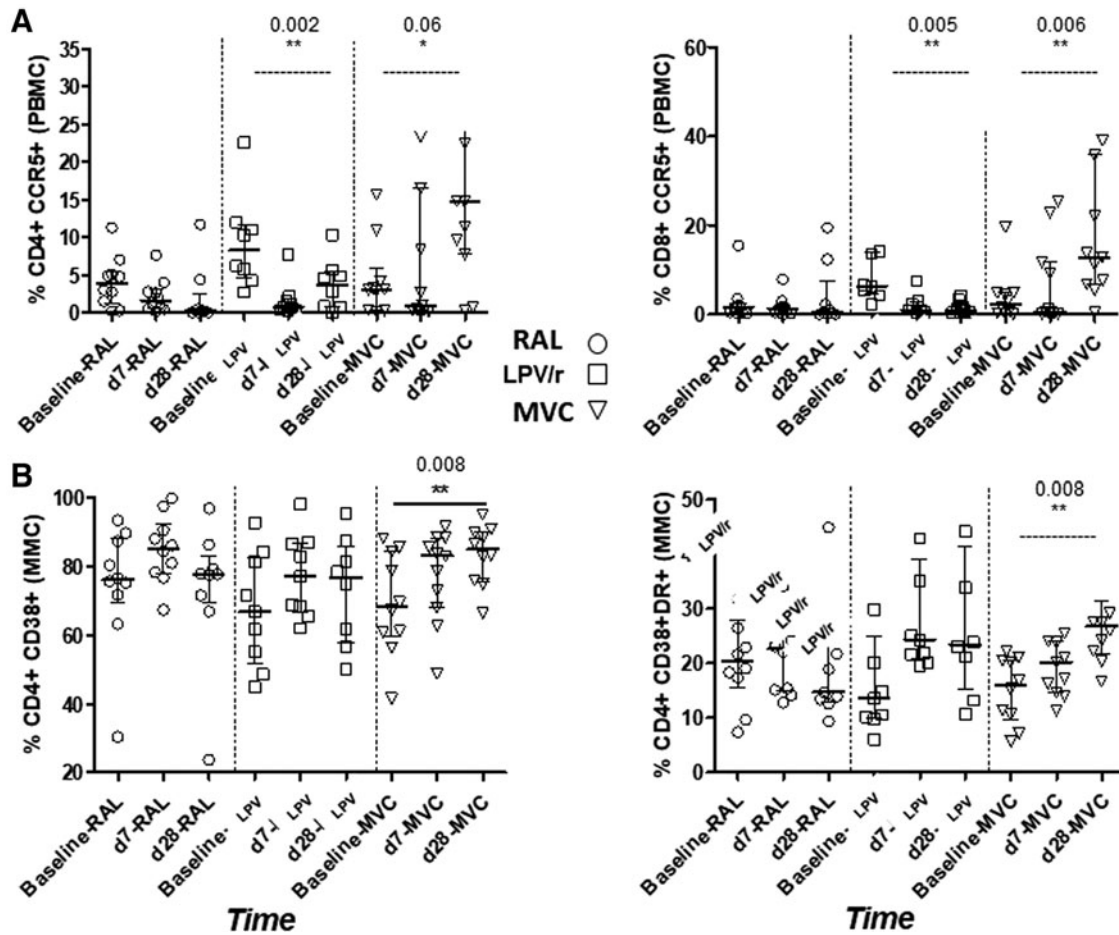


FIG. 3. Significant immunological effects in (A) PBMC and (B) MMC of the three studied antiretrovirals according to different time points. The interquartile range and median of the indicated subsets are shown. Pairwise comparisons were made using a Friedman test and Dunn's post-test for two pairwise comparisons. MMC, mucosal mononuclear cell; PBMC, peripheral blood mononuclear cell.

We also analyzed the cumulative HIV-1 p-24 by CD4+ T cell count in each explant tissue (Supplementary Fig. S2). In this analysis, exposure to MVC and RAL at 7 days showed a trend to suppress HIV-1_{BAL} ($p = .07$), but this effect was not observed at 28 days or with LPV/r.

Correlations between PK, infectivity, and immunological changes

When we analyzed the associations between PK and other parameters, we found a statistically significant inverse correlation between plasma drug concentrations and the changes in infectivity [merging together the three arms (day 7 $r = -0.398$, $p = .049$) (day 28 $r = -0.434$, $p = .03$)]. No other significant correlations were found between RF or RT drug concentrations and infectivity or between PK in plasma, RF or RT, and PBMC and MMC changes, cytokines or inflammation markers.

We did not find any significant correlations between infectivity and PBMC changes or inflammation markers in plasma. Merging together the changes in MMC in all three arms, we found a positive correlation in the CD8 T cell lineage between the infectivity at day 7 and activation (CD38+ $r = 0.43$, $p = .025$, DR+ $r = 0.547$, $p = .003$ and 38+DR+ $r =$

0.526 , $p = .05$), senescence (CD57+ $r = 0.479$, $p = .012$), naive cells (RA+CCR7+ $r = 0.484$, $p = .01$), and CCR5 expression ($r = 0.593$, $p = .001$). A negative correlation was found between infectivity at day 7 and CD8 central memory T cells (RA-CCR7+ $r = -0.435$, $p = .023$). When the cohort was separated by arms, we also observed significant correlations in the CD8 T cell lineage in the MVC arm.

There was a positive correlation at day 7 between infectivity and activation (CD38+ $r = 0.64$, $p = .03$, DR+ $r = 0.835$, $p = .001$ and CD38+38DR+ $r = 0.868$, $p = .001$), senescence (CD28-57+ $r = 0.714$, $p = .014$), memory T cells (RA+CCR7+ $r = 0.756$, $p = .007$), and CCR5 expression ($r = 0.820$, $p = .002$). Also, a negative correlation between infectivity and naive T cells at same time point (RA-CCR7+ $r = -0.647$, $p = .03$). Regarding association between infectivity and cytokine concentrations in RF, at day 28 there was a significant positive correlation between infectivity and IP10 ($r = 0.457$, $p = .043$) and MIP1 β ($r = 0.475$, $p = .019$) as well as at day 90 between infectivity and MIG ($r = 0.55$, $p = .012$).

Discussion

Several studies have been conducted evaluating anti-HIV *ex-vivo* efficacy of different ARVs but to our knowledge very

TABLE 1. IMMUNE SYSTEM HOMEOSTASIS: VARIATIONS OVER TIME OF PERIPHERAL BLOOD AND MUCOSAL MONONUCLEAR CELLS PHENOTYPE OUTCOME, AND OF INFLAMMATION MARKERS AND RECTAL CYTOKINES

<i>PBMC</i>	<i>LPV/r</i>	<i>MVC</i>	<i>RAL</i>	<i>p</i>
CD4%				
90 days (baseline)	32.4 (20.6–38.2)	40.2 (29.0–43.1)	37.5 (27.6–42.3)	NS
7 days	33.5 (27.6–38.7)	40.7 (30.6–43.6)	32 (27.8–45.1)	NS
28 days	26.6 (19.8–44.1)	42.3 (28.5–46.3)	43.6 (32.5–46.5)	NS
Overall <i>p</i>	NS	NS	.015	
CD4+CD28+ %				
90 days (baseline)	92.1 (83.2–95.8)	94.2 (86.6–96.1)	94.5 (92.2–97.9)	NS
7 days	91.3 (81.1–97.7)	94.7 (86.3–98.1)	98.4 (89.3–99.7)	NS
28 days	94.9 (90.5–97.1)	94.8 (88.8–98.8)	98 (93.8–99.6)	NS
Overall <i>p</i>	NS	NS	.038	
CD4+RA+CCR7+ %				
90 days (baseline)	36.8 (26.8–62.4)	42.8 (32.7–84.4)	28.7 (26.8–46.2)	NS
7 days	31.9 (19.9–44.7)	40.8 (30.3–70)	41.5 (26.2–50.7)	NS
28 days	31 (26.2–49.9)	41.4 (30.4–70.8)	29.5 (25.2–47.2)	NS
Overall <i>p</i>	NS	NS	.037	
CD4+CCR5+ %				
90 days (baseline)	10.3 (5.0–17.2)	3.0 (0.3–5.8)	2.9 (0.2–4.9)	.015
7 days	0.7 (0.3–1.8)	0.9 (0.4–16.4)	1.6 (0.5–3.1)	NS
28 days	3.7 (0.7–5.4)	14.7 (7.7–30.04)	0.3 (0.04–2.4)	.002
Overall <i>p</i>	.002	.06	NS	
CD8+CCR5+ %				
90 days (baseline)	6.5 (4.7–24.6)	2.3 (0.2–4.8)	1.6 (0.4–2.4)	.005
7 days	0.9 (0.6–3.4)	0.6 (0.1–11.7)	1.3 (0.4–2.1)	NS
28 days	1.0 (0.4–2.8)	12.8 (6.8–35.8)	0.4 (0.00–1.7)	.001
Overall <i>p</i>	.005	.006	NS	
MMC	LPV/r	MVC	RAL	p
CD4/CD8 ratio				
90 days (baseline)	1.0 (0.5–1.7)	1.1 (0.5–1.9)	2.5 (1.1–3.5)	.017
7 days	1.86 (0.76–2.61)	1.57 (0.67–2.68)	3.33 (1.29–4.19)	NS
28 days	1.35 (0.63–2.09)	1.39 (1.14–2.15)	1.47 (0.83–2.44)	NS
Overall <i>p</i>	NS	NS	NS	
CD4+CD38+ %				
90 days (baseline)	66.8 (51.9–82.8)	68.5 (59.6–84.7)	76.3 (69.7–88.1)	NS
7 days	77.2 (66.9–86.9)	83.2 (68.2–88.5)	85.4 (78.0–92.4)	NS
28 days	76.8 (57.9–86.0)	85.1 (75.8–90.1)	77.8 (69.3–83.0)	NS
Overall <i>p</i>	NS	.008	NS	
CD4+CD38+DR+ %				
90 days (baseline)	13.5 (9.9–25.0)	16.1 (9.7–20.5)	20.3 (15.4–27.8)	NS
7 days	24.3 (20.9–39.0)	20.2 (14.6–24.0)	22.6 (14.8–26.9)	NS
28 days	23.4 (15.1–41.5)	26.7 (21.8–31.2)	14.7 (12.9–24.6)	NS
Overall <i>p</i>	NS	.008	NS	
CD4+CD28+ %				
90 days (baseline)	98.7 (97.3–99.3)	99.2 (97.9–99.8)	99.5 (99.2–99.6)	NS
7 days	99.9 (99.5–100.0)	99.2 (97.6–99.7)	99.9 (98.8–100.0)	NS
28 days	99.9 (98.5–100.0)	99.9 (98.7–100.0)	98.9 (97.1–99.8)	NS
Overall <i>p</i>	.02	NS	NS	
CD4+RA+CCR7+ %				
90 days (baseline)	9.8 (5.5–27.2)	15.9 (8.1–38.1)	13.1 (8.8–20.3)	NS
7 days	30.5 (8.8–70.9)	32.3 (14.8–37.5)	27.8 (20.3–53.8)	NS
28 days	14.4 (8.4–40.9)	38.4 (24.9–58.6)	15.4 (3.5–21.9)	.001
Overall <i>p</i>	.02	NS	NS	
CD4+RA–CCR7– %				
90 days (baseline)	28.2 (7.6–74.4)	18.1 (1.6–41.6)	11.0 (6.0–40.1)	NS
7 days	9.7 (0.3–26.9)	7.1 (3.1–14.6)	11.6 (3.2–21.9)	NS
28 days	15.5 (6.5–42.0)	3.4 (2.8–10.5)	17.8 (9.2–33.1)	.03
Overall <i>p</i>	NS	NS	NS	

(continued)

TABLE 1. (CONTINUED)

<i>MMC</i>	<i>LPV/r</i>	<i>MVC</i>	<i>RAL</i>	<i>p</i>
CD8+CD28+ %				
90 days (baseline)	49.4 (35.2–68.5)	55.3 (46.1–62)	62.2 (43.7–72.4)	NS
7 days	66.1 (56.4–95.7)	59.3 (50.5–77.5)	69.4 (49.8–74.5)	NS
28 days	51.8 (39.6–88.7)	67.3 (48.4–80.2)	53.5 (39.1–80.3)	NS
Overall <i>p</i>	.008	.021	NS	
CD8+CD28–CD57+ %				
90 days (baseline)	3.3 (2.5–3.9)	1.7 (0.7–5.1)	1.5 (0.7–4.0)	NS
7 days	0.0 (0.0–1.9)	1.8 (0.7–3.7)	1.4 (0.0–4.3)	NS
28 days	1.8 (0.7–2.9)	1.6 (0.7–3.1)	3.4 (1.6–6.8)	NS
Overall <i>p</i>	.01	NS	NS	
CD8+RA+CCR7+				
90 days (baseline)	9.8 (5.5–27.2)	15.9 (8.1–38.1)	13.1 (8.8–20.3)	NS
7 days	30.5 (8.8–70.9)	32.3 (14.8–37.5)	27.8 (20.3–53.8)	NS
28 days	14.4 (8.4–40.9)	38.4 (24.9–58.6)	15.4 (3.5–21.9)	.01
Overall <i>p</i>	NS	NS	.013	
CD8+RA–CCR7– %				
90 days (baseline)	28.2 (7.6–74.4)	18.1 (1.6–41.6)	11.0 (6.0–40.1)	NS
7 days	9.7 (0.3–26.9)	7.1 (3.1–14.6)	11.6 (3.2–21.9)	NS
28 days	15.5 (6.6–42.1)	3.4 (2.8–10.5)	17.8 (9.2–33.1)	.04
Overall <i>p</i>	NS	NS	NS	
CD8+RA+CCR7– %				
90 days (baseline)	4.5 (2.1–7.8)	1.9 (0.2–6.1)	1.6 (0.0–2.5)	NS
7 days	1.4 (0.9–3.4)	1.9 (0.5–4.9)	0.7 (0.0–3.1)	NS
28 days	1.7 (0.1–3.3)	0.9 (0.0–2.9)	0.8 (0.0–1.8)	NS
Overall <i>p</i>	.012	NS	NS	
CD8+CCR5+ %				
90 days (baseline)	16.1 (6.3–27.3)	7.8 (5.0–9.9)	6.7 (1.8–14.6)	NS
7 days	19.6 (2.7–46.6)	6.6 (2.9–17.6)	10.1 (1.4–33.6)	NS
28 days	12.4 (8.0–19.9)	17.8 (7.3–33.6)	5.6 (0.3–10.2)	.038
Overall <i>p</i>	NS	NS	NS	
Inflammation markers				
D-DIMER ng/mL				
90 days (baseline)	159 (101–297.5)	333 (221.5–429.5)	218 (90–326.5)	NS
7 days	194 (124.5–274)	236 (145–294)	305 (177.5–364.7)	NS
28 days	251 (136–299)	228 (94.5–396)	232 (90–290)	NS
Overall <i>p</i>	NS	.037	NS	
Rectal cytokines				
IL-6 pg/mL				
90 days (baseline)	77.6 (46.2–375)	96.2 (47.2–369.2)	64.5 (18.9–161.6)	NS
28 days	103.7 (61.1–212.2)	71.6 (34.1–94.5)	16.3 (11.2–26)	.006
Overall <i>p</i>	NS	NS	NS	
TNF-α pg/mL				
90 days (baseline)	8.8 (0.7–11.6)	1.7 (0.6–5.7)	4.3 (1.6–16.3)	NS
28 days	8.2 (3.4–10.6)	1.3 (0.7–3.8)	1.8 (0.8–6.1)	.003
Overall <i>p</i>	NS	NS	NS	

Significant *p* values in bold.

IL-6, interleukin-6; LPV/r, ritonavir-boosted lopinavir; MMC, mucosal mononuclear cell; MVC, maraviroc; NS, not significant; PBMC, peripheral blood mononuclear cell; RAL, raltegravir; TNF- α , tumor necrosis factor-alpha.

few in an *at-risk* setting, as after a sexual risk exposure to HIV, and after reaching drug steady state, as in a PEP 28-day treatment.

We studied the PK, the immunological impact, and the effect on HIV-1 *ex-vivo* infectivity of MVC, an ARV that achieves high concentrations in rectal mucosa and has a relevant mechanism of action, of RAL, nowadays stan-

dard of care in PEP regimens and of LPV/r still the standard of care for PEP in resource-limited settings. In this study we have two main findings: (1) participants receiving twice-daily MVC for PEP showed a reduction of viral replication in *ex-vivo* RT explants; however, this association was not observed with normalized p24 values obtained using the CD4 T cell count; and (2) the *ex-vivo* infectivity

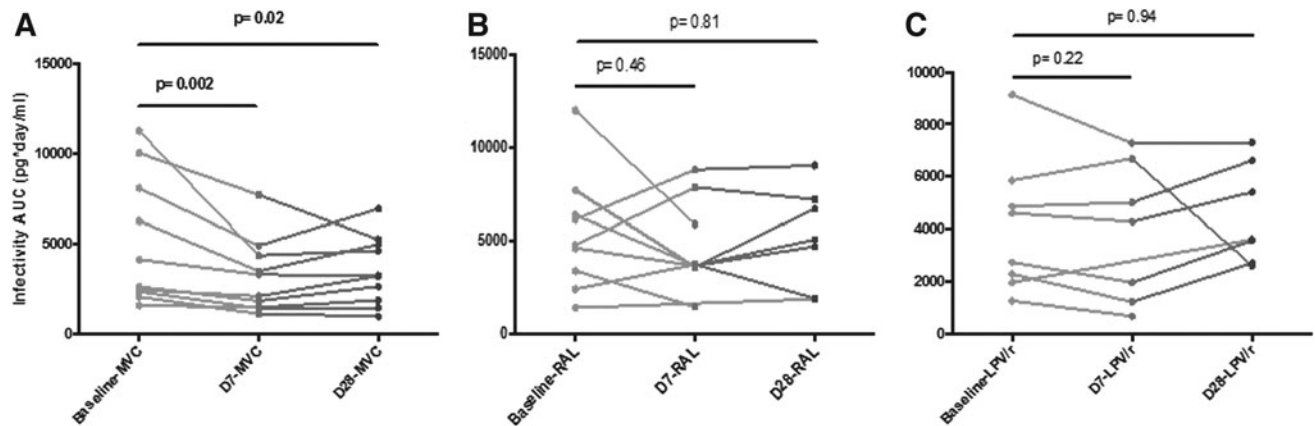


FIG. 4. (A–C) HIV infectivity AUC in rectal tissue according to study drug between time points (baseline D90—no treatment, D7—treatment initiation, D28—end of treatment). AUC, area under the curve.

correlated with activation and senescence in CD8 MMC and cytokine concentrations in RF.

Prior studies have shown a lack of efficacy of MVC preventing an HIV infection.^{11,12,36} These studies were trying to prove MVC preventive efficacy in what could be interpreted as an *on-demand* modality. In our study MVC was taken twice a day, which could result in tissue drug accumulation and maintained systemic drug concentrations¹⁰ that may possibly have a relation with protecting against rectal HIV *ex-vivo* infection. Other recent study found significant suppression of HIV *ex-vivo* infectivity after giving MVC 24 weeks as PrEP, but when it was compared with a combined treatment as TDF–disoproxil–fumarate/FTC this effect was considered poor.¹³ Another study using a PK-pharmacodynamic modeling to predict the tissue concentration profile of MVC alone or in combination with FTC or TDF showed that this combination prolonged the duration of the protection.³⁷

This effect could explain our results with this drug, but we also must consider that, we prescribed a higher dose of MVC (300 mg QD vs. 300 mg BID) and for a longer period of time as compared with most of the studies assessing *ex-vivo* HIV infectivity, without any significant impact in safety and tolerance. Previous studies in animal models and in HIV-infected individuals have shown an increased T cell CCR5+ expression in PBMC when taking MVC. It has been hypothesized³⁸ that these changes could have implications for preventive efficacy because it might increase the risk of HIV infection, but in our study did not seem to have this negative effect. In fact, according to our previous findings in HIV-exposed uninfected individuals, the immune activation of CD4+ T cells by itself is not sufficient to favor HIV infection.^{39,40}

Unlike MVC, the other studied ARV did not protect against rectal HIV *ex-vivo* infection. As for RAL, one of the possible reasons for this lack of protection could be the suboptimal drug concentrations we found in RT. Herrera et al showed that RAL can have high levels in RT, but this did not correlate with higher *ex-vivo* protection.¹⁵ Having this into consideration, we could attribute our results due to inadequate drug compliance, although our adherence monitoring showed differently. Further investigation on this drug should be noted.

As for LPV/r, we found no differences on inflammation and activation markers in blood, as previously described,^{41,42} but there was a significant increase of the senescence and inflammation markers in RT, which could have contributed to HIV replication.⁴³ To the best of our knowledge there are no other studies describing these results.

Finally, we observed that *ex-vivo* infectivity was correlated with CD8 T cells activation and inflammation in the rectal compartment. We could speculate that those viruses with higher potential to induce inflammation/immune activation in rectal compartments, have more potential to infect their target cells. In any case, all these data should be confirmed. In addition, having more naive cells after treatment could be due to either a reversion of more differentiated cells or to a new production of cells, although to have a more accurate answer to the meaning of this increase in cells with a naive phenotype would probably need not only a phenotypic analysis of cells but also a functional assay, and this could not be done.

Our study has several limitations. Owing to the nature of enrolment, no true baseline samples were available and considering that participants had a potential HIV risk exposure, immunology could have been affected and impact the results, as could be the differences found in several immune markers at baseline between arms. We have performed the *ex-vivo* HIV infection in cryopreserved RT and there have been some concerns that a frozen sample does not reproduce reliable infectivity data.⁴⁴ In an attempt to diminish this possible effect an AUC statistical analysis was performed.

A recent study have found noninferiority for HIV-infection assays between fresh and cryopreserved colorectal tissues,³⁰ and there is a suggestion that MVC is lost from tissue during incubation as compared with immediate frozen samples,²⁰ which supports this approach. Participants started PEP at different timings, even at midnights, and all the tests were performed only at mornings, so we obtained a wide variety of concentrations in all different tissues. Also, there are no previous studies available in RF to compare our results and at the same time the amount of mucosal lining fluid on the sponges differed among the participants. Considering all this heterogeneity, it is difficult to draw any strong conclusion, so we need to be cautious. Further studies are required to confirm our findings.

In summary, MVC used twice daily and in a steady state reduces viral replication in *ex-vivo* RT explants. In contrast, neither RAL nor LPV/r had any impact in HIV *ex-vivo* RT infection and this absence of effect could be related with poor distribution at this level, but we cannot rule out the possibility that the explants challenge assay may not be suitable for characterizing these ARV profile in RT. The data that we present could be helpful to achieve progress in understanding and predicting which ARV has better characteristics for mucosal tissue penetration, which is necessary to rapid advance in the prevention arena.

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Authors' Contributions

L.L., J.M.G., M.P., and F.G. conceived and designed the study. L.L. and F.G. conducted the clinical trial. C.R.M., J.L.L., and C.R. obtained and processed all the different samples. A.D.M.K. conducted the PK analysis. L.M.B., M.B., and J.A. conducted the *ex-vivo* infections. A.C.G., N.C., and M.P. conducted the immunogenicity analyses. L.L., M.P., and F.G. undertook the statistical analysis. L.L., A.C.G., L.M.B., M.P., and F.G. drafted the article. All authors contributed to the article and approved the submitted version.

Author Disclosure Statement

J.M.G. has received honoraria for speaking and advisory boards and his institution research grants from ViiV, MSD, Janssen, and Gilead. Since May 1st 2018, J.M.G. is a full-time employee of ViiV Healthcare. The rest of the authors do not have a commercial or other association that might pose a conflict of interest.

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Supplementary Material

Supplementary Figure S1
Supplementary Figure S2

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