1	Persistence of intact HIV-1 proviruses in the brain during
2	antiretroviral therapy
3	
4	
5	Weiwei Sun <sup>1</sup> , Yelizaveta Rassadkina <sup>1</sup> , Ce Gao <sup>1</sup> , Sarah Isabel Collens <sup>2</sup> , Xiaodong
6	Lian <sup>1</sup> , Isaac H. Solomon <sup>3</sup> , Shibani Mukerji <sup>2</sup> , Xu G. Yu <sup>1,4</sup> , Mathias Lichterfeld <sup>1,4</sup>
7	
8	
9	<sup>1</sup> Ragon Institute of MGH, MIT and Harvard, Cambridge, MA
10	<sup>2</sup> Department of Neurology, Massachusetts General Hospital, Boston, MA
11	<sup>3</sup> Department of Pathology, Brigham and Women's Hospital, Boston, MA
12	<sup>4</sup> Infectious Disease Division, Brigham and Women's Hospital, Boston, MA
13	
14	Corresponding author:
15	Mathias Lichterfeld, M. D., Ph. D.
16	Professor of Medicine, Harvard Medical School
17	Infectious Disease Division, Brigham and Women's Hospital
18	65 Landsdowne Street
19	Cambridge, MA 02139, USA
20	Email: <u>mlichterfeld@partners.org</u>
21	
22	
23	
24	

#### 25 Abstract

HIV-1 reservoir cells that circulate in peripheral blood during suppressive antiretroviral 26 27 therapy (ART) have been well characterized, but little is known about the dissemination 28 of HIV-1-infected cells across multiple anatomical tissues, especially the central nervous system (CNS). Here, we performed single-genome, near full-length HIV-1 29 30 next-generation sequencing to evaluate the proviral landscape in distinct anatomical compartments, including multiple CNS tissues, from 3 ART-treated participants at 31 32 autopsy. While lymph nodes and, to a lesser extent, gastrointestinal and genitourinary 33 tissues represented tissue hotspots for the persistence of intact proviruses, we also 34 observed intact proviruses in CNS tissue sections, particularly in the basal ganglia. 35 Multi-compartment dissemination of clonal intact and defective proviral sequences 36 occurred across multiple anatomical tissues, including the CNS, and evidence for the clonal proliferation of HIV-1-infected cells was found in the basal ganglia, in the frontal 37 lobe, in the thalamus and in periventricular white matter. Deep analysis of HIV-1 38 39 reservoirs in distinct tissues will be informative for advancing HIV-1 cure strategies. 40

#### 42 Introduction

43

Combination antiretroviral therapy has extended the life expectancy of people living with HIV (PLWH) to near-normal levels, but it is clear that antiretroviral therapy (ART), in its present form, does not lead to a sustained, drug-free remission of HIV-1 infection; instead, a long-lived reservoir of virally-infected cells persists despite ART (1, 2) and can effectively fuel rebound viremia in case of treatment interruptions. Hence, antiretroviral therapy needs to be taken life-long; finding a way to eliminate HIV-1 reservoir cells remains critical to finding a cure for HIV-1 infection.

51

52 HIV-1 reservoir cells that circulate in the peripheral blood have been well-described in 53 recent years (3). These circulating reservoir cells mostly consist of memory CD4 T cells that persist life-long and harbor chromosomally-integrated viral DNA, also referred to 54 55 as a "provirus". The pool size of HIV-1-infected cells during suppressive antiretroviral 56 therapy is frequently maintained or replenished by clonal proliferation of viral reservoir 57 cells, during which an identical viral DNA copy is passed on to daughter cells (4-7). 58 Important advances in recent technology development, including single-genome near 59 full-length proviral sequencing, have demonstrated that the vast majority of HIV-1 DNA 60 species encountered in ART-treated persons are defective (8-10), mostly due to errors 61 occurring during reverse transcription of viral RNA into DNA. Such errors can lead to 62 large deletions, premature stop codons, or defects in the viral packaging signal region; moreover, specific host proteins such as APOBEC-3G/3F can induce lethal 63 64 hypermutations into the proviral sequence. The ability of viral reservoir cells to persist indefinitely is frequently attributed to very limited or absent proviral gene transcription; 65 this viral latency protects infected cells from host immune responses and reduces 66 67 possible cytopathic effects associated with viral gene expression. However, recent studies have emphasized that proviral gene expression can be ongoing in some HIV-68 1-infected cells during antiretroviral therapy (11), typically when proviruses are 69 70 integrated in immediate proximity to activating chromatin marks (12).

72 Much less is currently known about HIV-1 reservoir cells in other body compartments 73 that are more difficult to access for analytic purposes. Lymph nodes and lymphoid tissues in the gastrointestinal tract harbor the vast majority of all lymphocytes and are 74 75 likely to represent a prime location for the persistence of virally-infected cells (13-16); however, studies that interrogate viral reservoir cells in these tissues remain limited. 76 Even less is known about HIV-1 persistence in other organs, and, in particular, in the 77 CNS, although recent studies have started to explore viral reservoir cells in such 78 79 specific body compartments (17). In the present study, we used single-genome proviral 80 sequencing to conduct a detailed analysis of HIV-1 proviral sequences in autopsy samples from up to n=18 different organ systems from three study participants. 81 82 83

85 Methods

86

## 87 Study Participants

HIV-1-infected study participants were recruited at the Massachusetts General Hospital (MGH) and the Brigham and Women's Hospital in Boston, MA. Fresh tissues were sampled during routine autopsy according to protocols approved by the Institutional Review Board and cryopreserved for future study according to standard protocols. The clinical characteristics of study participants are summarized in **Figure S1**.

94

#### 95 HIV-1 DNA quantification by IPDA

Tissue samples were dissected and subjected to genomic DNA extraction using the DNeasy Blood and Tissue Kit (QIAGEN DNeasy, #69504). HIV-1 DNA was analyzed by the Intact Proviral DNA Assay (IPDA), using primers and probes described previously (18). PCR was performed using the following program: 95 °C for 10 min, 45 cycles of 94 °C for 30 s and 59 °C for 1 min, 98 °C for 10 min. The droplets were subsequently read by the QX200 droplet reader (Bio-Rad), and data were analyzed using QuantaSoft software (Bio-Rad).

103

# 104 Near full-length HIV proviral sequencing

105 Genomic DNA diluted to single HIV-1 genome levels based on Poisson distribution 106 statistics and IPDA results was subjected to near full-genome HIV-1 amplification using 107 a one-amplicon approach (9, 19). PCR products were visualized by agarose gel 108 electrophoresis. Amplification products were individually subjected to Illumina MiSeq 109 sequencing at the MGH DNA Core facility. The resulting short reads were de novo 110 assembled using Ultracycler v1.0 and aligned to HXB2 to identify large deleterious deletions (<8000 bp of the amplicon aligned to HXB2), out-of-frame indels, 111 premature/lethal stop codons, internal inversions, or 5'-LTR defect (≥15 bp insertions 112 and/or deletions relative to HXB2), using an automated in-house pipeline written in 113 Python scripting language (https:// github.com/BWH-Lichterfeld-Lab/Intactness-114

Pipeline). The presence/absence of APOBEC-3G/3F-associated hypermutations were 115 determined using the Los Alamos HIV Sequence Database Hypermut 2.0 program. 116 117 The sequences of individual genes were extracted by GeneCutter, and the start codons of Gag, Pol, and Env were examined and considered. Viral sequences that lacked all 118 defects listed above were classified as "genome-intact". Multiple sequence alignments 119 were performed using MUSCLE (20). Phylogenetic analyses were conducted using 120 MEGA X, applying maximum likelihood approaches. Viral sequences were considered 121 clonal if they had completely identical consensus sequences; single-nucleotide 122 variations in primer binding sites were excluded for clonality analysis. When viral DNA 123 124 sequences were undetectable, data were reported as LOD (limit of detection), calculated as 0.5 copies per maximum number of cells tested without target 125 identification. The sensitivity of proviral species to broadly-neutralizing antibodies 126 (bnAb) was estimated by calculating the number of amino acid signature sites 127 associated with sensitivity to four bnAb classes within the env amino acid sequence 128 from each intact provirus, as previously described (21). 129

130

## 131 Data Analysis and Statistics

Data are summarized as bar graphs. Phylogenetic relationships were evaluated using 132 133 maximum-likelihood phylogenetic trees. Images were prepared using Adobe Illustrator. HIV-1 tropism computationally inferred Geno2pheno 134 was using (https://coreceptor.geno2pheno.org/). HIV-1 tropism was classified as "CCR5" if the 135 136 false-positive rate (FPR) predicted by Geno2pheno was >2%, however, 92% of our proviral sequences meeting this definition had a FPR score >10%; proviruses were 137 138 considered "CXCR4-tropic" if FPR was <2%. Due to confidentiality concerns, proviral 139 sequencing data cannot be publicly released, but will be made available upon reasonable request and after signing an institutional data-sharing agreement. 140

#### 142 **Results**

143

#### 144 *Frequency of intact and defective proviruses in tissue compartments*

To investigate the proviral landscape across multiple anatomical tissues, including the 145 central nervous system (CNS), we focused on 3 participants from whom post-mortem 146 147 tissue samples were available for HIV-1 research. Tissue samples from the CNS and other organs were collected by a rapid (<24 hour) autopsy after death. The clinical and 148 149 demographic characteristics of these study participants are shown in Figure S1A. All study participants adhered to antiretroviral treatment until death; plasma viral loads 150 151 were undetectable by commercial assays in study participants 1 and 2, in whom 14 and 15 different tissue sections were sampled, respectively (Figure S1B). Organ-152 specific tissues analyzed in these two study participants included lymph node, spleen, 153 colon, liver, pancreas, kidney, thyroid gland, and adrenal gland; in the female study 154 participant 1, ovarian and uterus tissues were analyzed, while in the male study 155 participant 2, prostate and testicular tissues were studied. In both of these study 156 157 participants, four different CNS tissue sections (basal ganglia, thalamus, frontal lobe, and occipital lobe) were collected for investigation. In study participant 3, plasma viral 158 load was 136 copies/ml at the time of death; 5 different tissue sections from the CNS 159 160 (basal ganglia, thalamus, occipital lobe, frontal lobe, periventricular white matter) were analyzed in this person. 161

162

163 Near full-length single-template next-generation HIV-1 proviral sequencing was performed to profile the proviral reservoir landscape at single-molecule resolution in 164 165 tissue samples. The number of cells analyzed from each organ in each participant is 166 listed in Figure S1B. In total, 846.53, 425.54, and 199.66 million cells were assayed in study participants 1, 2, and 3, respectively, resulting in 1471.73 million cells analyzed 167 in all study participants combined. A total of 1497 individual proviral sequences were 168 169 amplified, of which n=497 were selected for next-generation sequencing based on their amplicon sizes on gel electrophoresis; the remaining sequences were classified as 170 proviruses with large deletions. All amplicons (n=74) from CNS tissues were 171

sequenced, regardless of their length. Using a previously-described computational
pipeline to identify lethal defects in proviral sequences, we identified 48 proviruses
(3.21% of all proviruses) that met our criteria for genome-intactness (Figure 1A-C);
this number is consistent with the small number of genome-intact proviruses detected
in previous studies. Many sequences, both genome-intact and defective, were
identified multiple times, consistent with clonal proliferation of infected cells (Figure
178
18), as reported in prior work (7, 9, 10, 22).

179

To evaluate HIV-1 persistence in selected tissue compartments, the frequencies of 180 total, intact, and defective HIV-1 proviruses in each tissue were analyzed (Figure 2A-181 C). Intact proviral sequences were only detected in 8 tissue sites, including basal 182 ganglia, periventricular white matter, lymph node, spleen, colon, kidney, prostate, and 183 184 thyroid (**Figure 2B**). The numbers of intact HIV-1 sequences in these 8 tissues varied from 0.01 to 0.6 copies per million cells. Consistent with previous studies, the 185 frequency of intact proviral sequences was highest in the lymph node in participant 1 186 187 (0.52 intact proviruses/million cells) and participant 2 (0.58 intact proviruses/million cells), followed by kidney, spleen, colon, and basal ganglia in participant 1 and by 188 prostate, spleen, thyroid in participant 2. Intact proviruses were detected in the basal 189 190 ganglia in study participant 1 (frequency of 0.015/million cells) and in study participant 3 (0.030 intact proviruses/million cells). Moreover, one intact provirus was also 191 192 detected in periventricular white matter in participant 3 (0.027 intact proviruses/million 193 cells), in whom analysis was limited to brain tissues. No intact proviruses were 194 detected in the CNS tissues of study participant 2, despite analyzing 66.29 million cells. 195 Together, these results indicate that intact HIV-1 proviruses are preferentially detected 196 in lymphoid and gastrointestinal (GI) tissues. The frequency of intact proviruses in the CNS is comparatively low; however, this study is the first one to document the 197 198 presence of genome-intact proviral sequences in CNS tissues using near full-length 199 proviral sequencing.

200

201 Defective proviral sequences were detected in all analyzed tissue samples except for

202 the thalamus from participant 1 (Figure 2C). In participant 1, the frequency of defective proviral sequences was highest in lymph nodes (5.7 defective proviruses/million cells), 203 204 followed by colon, spleen, and kidney. In participant 2, the frequency of defective proviral sequences was highest in lymph nodes (13.0 defective proviruses/million cells), 205 followed by prostate, colon, and spleen. Notably, the prostate had a very high 206 207 frequency of virally-infected cells. The ratio of intact to defective proviral species was 208 relatively high among sequences isolated from the basal ganglia of participants 1 and 209 3 (Figure S2). Taken together, these results demonstrate the highest frequencies of 210 defective proviruses in lymph nodes, in the colon and in the prostate. CNS tissues 211 contained relatively low frequencies of proviral sequences, compared to other tissue 212 sites; however, defective proviruses were isolated in all but one of the analyzed 13 213 different CNS samples.

214

# 215 *Phylogenetic associations and clonality*

A series of prior studies demonstrated large sequence-identical clones of intact and 216 217 defective proviruses in the peripheral blood of ART-treated study participants. In our 218 subsequent analysis, we studied the dissemination of clonal proviral sequences across different tissues. In participant 1, a total of 24 intact proviral sequences were detected. 219 220 Two large clones of intact proviruses were observed, one of which included sequences 221 detected in kidney, lymph node, and spleen samples (Figure 3A-B). The other clone 222 involved intact proviral sequences from basal ganglia and lymph node tissues. Among 223 218 defective proviruses sequenced in participant 1, 14 clones were observed across 224 multiple tissues (Figure 3C). In participant 2, among 22 intact proviral sequences, only 225 one clone with two member sequences was identified; both of these clonal sequences 226 were located in the prostate (Figure 3A-B). Fourteen clones of defective proviruses were observed across multiple tissues in participant 2 (Figure 3C). 227

Clonal proviral sequences in CNS tissues were detected in all three study participants.
In study participant 1, members of a clone of defective proviruses were observed in
the basal ganglia and the frontal lobe (Figure 4A-B). In participant 2, two clones of
defective proviruses were noted in the thalamus, one clone was detected in cells from

232 the basal ganglia, and members of a fourth clone were detected in the thalamus and the occipital lobe (Figure 4C-D), demonstrating rather extensive evidence for clonal 233 234 proliferation of virally-infected cells in the brain. Two of the 44 proviral sequences detected in the central nervous system of participant 3 met the criteria for genome-235 236 intactness; one of those was isolated from the basal ganglia and one from periventricular white matter. Defective proviruses, most of them harboring large 237 238 deletions, were detected in all 5 CNS tissues from participant 3 (Figure 4E-F). One 239 large clone involving 9 defective proviral sequences in participant 3 was broadly distributed across different brain tissues, encompassing sequences in the occipital 240 241 lobe, basal ganglia, thalamus, and periventricular white matter. The other clone of 242 defective proviruses was only detected in the periventricular white matter. Again, these 243 data suggest that clonal proliferation is a rather common feature of HIV-1 reservoir 244 cells in the CNS.

245

#### 246 Viral tropism and immune selection footprints

247 Viral tropism was evaluated based on the env V3 region of the proviruses using the 248 Geno2pheno algorithm. Notably, all proviral sequences containing the env V3 region of participant 1 (n=130, 53.7%) and 3 (n=6, 13.6%) were predicted to be CCR5-tropic 249 250 (Figure 5A). In participant 2, 62.1% of proviral sequences (n=131) were predicted to 251 be likely CXCR4-tropic, while 18.5% (n=39) were classified as CCR5-tropic; the 252 remaining 19.4% (n=41) were classified as undetermined due to the lack of env V3 253 regions in this study participant (Figure 5A). Notably, approximately half of the 131 254 proviruses with predicted CXCR4 tropism from study participant 2 (n=66, 50.4%) were 255 isolated from the prostate, followed by the spleen (n=38, 29.0%), lymph node (n=20, 256 15.3%) and thyroid gland (n=3, 2.3%) (Figure 5B-C). Among all proviruses (n=16) from CNS tissues of participant 2, only one provirus with a large deletion, isolated from the 257 occipital lobe, had predicted CXCR4-tropism; the tropism of other proviruses from the 258 259 CNS was unknown due to the lack of env V3 regions. As an additional analysis step, 260 we evaluated footprints of immune selection pressure and mutations resulting in 261 resistance to antiretroviral agents in intact proviral sequences from our study subjects.

262	We noted that the frequency of viral amino acid residues associated with resistance to
263	broadly-neutralizing antibodies did not notably differ among sequences isolated from
264	different tissue compartments (Figure S3). We did not observe sequence variations
265	consistent with escape from antiretroviral agents in any of the intact proviral sequences
266	analyzed here.
267	
268	

#### 269 **Discussion**

The lifelong persistence of viral reservoir cells makes HIV-1 infection an incurable 270 271 disease that necessitates indefinite antiretroviral suppression therapy. However, the 272 location of HIV-1 viral reservoir cells across different tissues has been difficult to assess in the past, due to the limited availability of tissue samples. Recent studies, pioneered 273 274 by investigators of the "Last Gift Cohort", have catalyzed investigations to characterize HIV-1 sequences in diverse organ systems, specifically in the CNS (23, 24). In our 275 276 study, we used single-genome near full-length proviral sequencing to evaluate the distribution of HIV-1 reservoir cells in multiple anatomical compartments from autopsy 277 samples of three individuals living with HIV-1 and receiving antiretroviral therapy until 278 279 the time of their decease. Consistent with previous studies (25, 26), intact proviruses 280 were readily detected in lymph nodes; moreover, we detected relatively high frequencies of intact proviruses in the colon, likely reflecting viral infection of CD4 T 281 cells residing in gut-associated lymphoid tissues (GALT). Of note, our study is among 282 283 the first investigations to identify near full-length proviral sequences from the central 284 nervous system in two study participants, supporting the hypothesis that CNS cells can serve as reservoirs for long-term HIV-1 persistence despite antiretroviral therapy. 285 Importantly, we noted that large clones of virally infected cells were broadly 286 disseminated across multiple tissues, and, in selected cases, involved cells from the 287 288 CNS; this suggests that HIV-1 reservoir cells seeded to the brain via hematogenous spread can proliferate in the local tissue microenvironment of the central nervous 289 system. Together, our work suggests that HIV-1 reservoir cells harboring intact 290 proviruses are broadly distributed across multiple anatomical locations, involving 291 292 lymphoid tissues, gastrointestinal tissues, genitourinary tissues, and, importantly, 293 central nervous system tissues.

294

HIV-1 can invade the central nervous system (CNS) within days after infection, as demonstrated in animal (27) and in human studies (28). Most likely, infection of CNS cells occurs as a result of transmigration of infected CD4 T cells and, possibly, macrophages across the blood-brain-barrier (BBB) (29, 30), a process that may be 299 facilitated by the increased permeability of the BBB during the initial, highly-replicative stage of HIV-1 infection. Infected cells that successfully enter the CNS may frequently 300 301 be short-lived, however some infected CD4 T cells in the brain may persist long-term. Moreover, invading infected cells can transmit the virus to resident CNS cells via 302 effective cell-to-cell transmission (30). At least three different CNS cell types seem to 303 be susceptible to HIV-1 infection: perivascular macrophages, microglial cells, and 304 astrocytes, although the role of the latter as HIV-1 target cells is more controversial 305 306 (31-33). Yet, due to the difficulties in accessing brain tissues for analytic purposes, the 307 role of the central nervous system in HIV-1 persistence during antiretroviral therapy 308 remained largely unknown for a long time. Using the intact proviral DNA assay (IPDA), 309 a ddPCR-based technique allowing to identify proviruses with a high probability of being genome-intact, previous investigators identified intact proviruses in 6 out of 9 310 311 ART-treated persons (17), although the precise proviral DNA sequence and their possible clonality was not assessed with this technology. In our study, a total of 13 312 CNS tissue samples from three study participants were analyzed, including specimens 313 314 from the basal ganglia, thalamus, occipital lobe, frontal lobe, and periventricular white matter. In two study persons (participants 1 and 3), intact proviral sequences were 315 detected in basal ganglia, suggesting that HIV-1 may preferentially persist in this 316 317 anatomical compartment in the CNS; an additional intact provirus was detected in periventricular white matter. Notably, the intact provirus from basal ganglia in one of 318 319 our study persons (participant 1) was clonal with 4 intact proviruses from the lymph 320 node, indicating, to our knowledge for the first time, that CNS tissue can be involved 321 in the multi-compartment dissemination of large clones of HIV-1 proviruses in ART-322 treated persons. Moreover, in multiple instances, we observed clones of HIV-1-infected 323 cells that were distributed across different autologous CNS tissues, specifically in study participant 3, suggesting local spread of virally infected cells through clonal 324 325 proliferation within the immune microenvironment of the CNS.

326

327 Our study did not allow to determine which cell types were infected by HIV-1 and 328 responsible for clonal expansion of viral reservoir cells in the CNS; however, it is

329 possible that infected microglia are involved. Microglial cells originate from erythromyeloid progenitors in the yolk sac and colonize the developing CNS during 330 331 embryogenesis (34); these cells act as the main innate immune cell population of the CNS. Due to their long half-life (typically several years), their ability to divide and self-332 renew, and their high cell-intrinsic susceptibility to HIV-1 (35), these cells may 333 represent a primary cellular site for long-term HIV-1 persistence in the CNS. In 334 335 particular, self-renewal through homeostatic proliferation in microglia (36) may support 336 HIV-1 persistence through clonal expansion. A recent study indeed identified HIV-1 DNA and RNA in microglia cells from autopsies from ART-treated PLWH who did not 337 338 have specific (HIV or non-HIV associated) CNS pathology (37). Evidence for HIV-1 339 persistence in CD68+ myeloid cells, most likely microglia cells, was also described by previous investigators (17). That said, the presence of clonal proviral sequences 340 341 shared between the CNS and lymphoid tissues suggests that migrating CD4 T cells 342 infected with R5-tropic viruses may infect the brain as "Trojan horses", and then potentially clonally expand in situ in the CNS; this hypothesis is consistent with recent 343 344 findings from Kincer et al (38). In the future, it may be possible to capture the phenotypic characteristics of HIV-1 reservoir cells from the CNS directly with single-345 cell assays that permit combined assessments of the phenotype and the proviral 346 347 sequence; an example for such an assay system was recently described (39).

348

In our study, CXCR4-tropic proviruses were exclusively detected in participant 2. 349 350 Compared to the other two participants who began ART shortly after HIV-1 diagnosis, participant 2 was diagnosed with HIV-1 25 years prior to starting antiretroviral therapy 351 352 and died 10 months after ART commencement; therefore, viral CXCR4 tropism most 353 likely resulted from "coreceptor switch" frequently occurring during advanced stages of immune deficiency (40). Whether the preferential persistence of CXCR4-tropic viruses 354 355 in study participant 2 was associated with our inability to detect intact proviruses in the 356 CNS in this person is unclear; however, prior studies suggested that CCR5 can act as the principal co-receptor for HIV-1 isolates in the brain (41, 42). Moreover, rebound 357 viremia in cerebrospinal fluid after ART interruption is mostly fueled by CCR5-tropic 358

359 virus (38), further supporting the assumption that R5-tropic viruses are better adjusted to persist in the brain. Another notable finding in our study was the high number of HIV-360 361 1 proviruses isolated from the prostate, which had the second highest proviral frequency among all analyzed tissues in study participant 2, second only to lymph node 362 samples. Other studies also reported that the prostate can represent a tissue reservoir 363 for HIV-1 (24). We were unable to identify the precise cell type harboring HIV-1 in the 364 365 prostate, but it is possible that myeloid cells may harbor HIV-1 in this location. A prior 366 study indeed demonstrated that intact, replication-competent HIV-1 can persist in myeloid cells from the urethra, located in immediate anatomical proximity to the 367 368 prostate (43).

369

Our study has several limitations. Importantly, this work includes only 3 participants, 370 371 and brain tissues were the only samples available from participant 3. Moreover, due to 372 limited tissue sizes, very few cells were assayed from the terminal ileum and testes; prior studies suggested high HIV-1 DNA levels in these 2 tissues during suppressive 373 374 antiretroviral therapy (44, 45). Another limitation was that peripheral blood samples were not available from the 3 participants, which made it impossible to study 375 phylogenetic associations between tissue reservoirs of HIV-1 relative to viral species 376 377 circulating in peripheral blood. Moreover, we cannot fully exclude contamination of tissue samples with cells from peripheral blood. However, after autopsy, the tissue 378 379 samples were washed thoroughly with PBS to eliminate blood as much as possible. 380 Notably, we failed to detect intact proviral sequences from over 100 million liver cells 381 of 2 participants, despite the fact that the liver contains about 13% of all human blood 382 supply at any given time point, arguing against contamination of tissues with blood 383 cells.

384

In sum, this study provides a deep analysis of tissue reservoirs for HIV-1 that includes a detailed assessment of HIV-1 sequences in CNS tissues. Our work supports the persistence of genome-intact HIV-1 in many tissues, including CNS tissues, emphasizing the difficulties in finding strategies to effectively eliminate HIV-1 from the

389 human body in clinical settings.

# 390 **References**

- Chun TW, Carruth L, Finzi D, Shen X, DiGiuseppe JA, Taylor H, et al.
   Quantification of latent tissue reservoirs and total body viral load in HIV-1
   infection. *Nature*. 1997;387(6629):183-8.
- Wong JK, Hezareh M, Gunthard HF, Havlir DV, Ignacio CC, Spina CA, et al.
   Recovery of replication-competent HIV despite prolonged suppression of
   plasma viremia. *Science*. 1997;278(5341):1291-5.
- Margolis DM, Archin NM, Cohen MS, Eron JJ, Ferrari G, Garcia JV, et al. Curing
   HIV: Seeking to Target and Clear Persistent Infection. *Cell.* 2020;181(1):189 206.
- 4. Einkauf K, Lee G, Gao C, Sharaf R, Sun X, Hua S, et al. Intact HIV-1 proviruses
  accumulate at distinct chromosomal positions during prolonged antiretroviral
  therapy. *J Clin Invest.* 2019;in press.
- 403 5. Cohn LB, Silva IT, Oliveira TY, Rosales RA, Parrish EH, Learn GH, et al. HIV-1
  404 Integration Landscape during Latent and Active Infection. *Cell.*405 2015;160(3):420-32.
- 4066.Hosmane NN, Kwon KJ, Bruner KM, Capoferri AA, Beg S, Rosenbloom DI, et407al. Proliferation of latently infected CD4(+) T cells carrying replication-408competent HIV-1: Potential role in latent reservoir dynamics. J Exp Med.4092017;214(4):959-72.
- 410 7. Bui JK, Sobolewski MD, Keele BF, Spindler J, Musick A, Wiegand A, et al.
  411 Proviruses with identical sequences comprise a large fraction of the replication412 competent HIV reservoir. *PLoS Pathog.* 2017;13(3):e1006283.
- 8. Ho YC, Shan L, Hosmane NN, Wang J, Laskey SB, Rosenbloom DI, et al.
  Replication-competent noninduced proviruses in the latent reservoir increase
  barrier to HIV-1 cure. *Cell.* 2013;155(3):540-51.
- 416 9. Lee GQ, Orlova-Fink N, Einkauf K, Chowdhury FZ, Sun X, Harrington S, et al.
  417 Clonal expansion of genome-intact HIV-1 in functionally polarized Th1 CD4+ T
  418 cells. *J Clin Invest.* 2017;127(7):2689-96.
- Hiener B, Horsburgh BA, Eden JS, Barton K, Schlub TE, Lee E, et al.
  Identification of Genetically Intact HIV-1 Proviruses in Specific CD4(+) T Cells
  from Effectively Treated Participants. *Cell Rep.* 2017;21(3):813-22.
- 422 11. Yukl SA, Kaiser P, Kim P, Telwatte S, Joshi SK, Vu M, et al. HIV latency in
  423 isolated patient CD4(+) T cells may be due to blocks in HIV transcriptional
  424 elongation, completion, and splicing. *Sci Transl Med.* 2018;10(430).
- 425 12. Einkauf KB, Osborn MR, Gao C, Sun W, Sun X, Lian X, et al. Parallel analysis
  426 of transcription, integration, and sequence of single HIV-1 proviruses. *Cell*.
  427 2022.
- 428 13. Estes JD, Kityo C, Ssali F, Swainson L, Makamdop KN, Del Prete GQ, et al.
  429 Defining total-body AIDS-virus burden with implications for curative strategies.
  430 *Nat Med.* 2017;23(11):1271-6.
- 431 14. Baiyegunhi OO, Mann J, Khaba T, Nkosi T, Mbatha A, Ogunshola F, et al. CD8
  432 lymphocytes mitigate HIV-1 persistence in lymph node follicular helper T cells
  433 during hyperacute-treated infection. *Nat Commun.* 2022;13(1):4041.

Kroon E, Chottanapund S, Buranapraditkun S, Sacdalan C, Colby DJ,
Chomchey N, et al. Paradoxically Greater Persistence of HIV RNA-Positive
Cells in Lymphoid Tissue When ART Is Initiated in the Earliest Stage of Infection. *J Infect Dis.* 2022;225(12):2167-75.

- 438 16. Beckford-Vera DR, Flavell RR, Seo Y, Martinez-Ortiz E, Aslam M, Thanh C, et
  439 al. First-in-human immunoPET imaging of HIV-1 infection using (89)Zr-labeled
  440 VRC01 broadly neutralizing antibody. *Nat Commun.* 2022;13(1):1219.
- 441 17. Cochrane CR, Angelovich TA, Byrnes SJ, Waring E, Guanizo AC, Trollope GS,
  442 et al. Intact HIV Proviruses Persist in the Brain Despite Viral Suppression with
  443 ART. *Ann Neurol.* 2022;92(4):532-44.
- Bruner KM, Wang Z, Simonetti FR, Bender AM, Kwon KJ, Sengupta S, et al. A
  quantitative approach for measuring the reservoir of latent HIV-1 proviruses. *Nature.* 2019;566(7742):120-5.
- Lee GQ, Reddy K, Einkauf KB, Gounder K, Chevalier JM, Dong KL, et al. HIV1 DNA sequence diversity and evolution during acute subtype C infection. *Nat Commun.* 2019;10(1):2737.
- 45020.Edgar RC. MUSCLE: multiple sequence alignment with high accuracy and high451throughput. Nucleic Acids Res. 2004;32(5):1792-7.
- 452 21. Bricault CA, Yusim K, Seaman MS, Yoon H, Theiler J, Giorgi EE, et al. HIV-1
  453 Neutralizing Antibody Signatures and Application to Epitope-Targeted Vaccine
  454 Design. *Cell Host Microbe.* 2019;26(2):296.
- Pinzone MR, VanBelzen DJ, Weissman S, Bertuccio MP, Cannon L, VenanziRullo E, et al. Longitudinal HIV sequencing reveals reservoir expression
  leading to decay which is obscured by clonal expansion. *Nat Commun.*2019;10(1):728.
- Tang Y, Chaillon A, Gianella S, Wong LM, Li D, Simermeyer TL, et al. Brain
  microglia serve as a persistent HIV reservoir despite durable antiretroviral
  therapy. *J Clin Invest.* 2023;133(12).
- 462 24. Chaillon A, Gianella S, Dellicour S, Rawlings SA, Schlub TE, De Oliveira MF,
  463 et al. HIV persists throughout deep tissues with repopulation from multiple
  464 anatomical sources. *J Clin Invest.* 2020;130(4):1699-712.
- 465 25. Kuo HH, Banga R, Lee GQ, Gao C, Cavassini M, Corpataux JM, et al. Blood
  466 and lymph node dissemination of clonal genome-intact HIV-1 DNA sequences
  467 during suppressive antiretroviral therapy. *J Infect Dis.* 2020.
- 46826.Banga R, Procopio FA, Noto A, Pollakis G, Cavassini M, Ohmiti K, et al. PD-4691(+) and follicular helper T cells are responsible for persistent HIV-1470transcription in treated aviremic individuals. Nat Med. 2016;22(7):754-61.
- 471 27. Chakrabarti L, Hurtrel M, Maire MA, Vazeux R, Dormont D, Montagnier L, et al.
  472 Early viral replication in the brain of SIV-infected rhesus monkeys. *Am J Pathol.*473 1991;139(6):1273-80.
- 474 28. Valcour V, Chalermchai T, Sailasuta N, Marovich M, Lerdlum S, Suttichom D,
  475 et al. Central nervous system viral invasion and inflammation during acute HIV
  476 infection. *J Infect Dis.* 2012;206(2):275-82.
- 477 29. Spudich S, and Gonzalez-Scarano F. HIV-1-related central nervous system

478disease: current issues in pathogenesis, diagnosis, and treatment. Cold Spring479Harb Perspect Med. 2012;2(6):a007120.

- 480 30. Liu Y, Tang XP, McArthur JC, Scott J, and Gartner S. Analysis of human
  481 immunodeficiency virus type 1 gp160 sequences from a patient with HIV
  482 dementia: evidence for monocyte trafficking into brain. *J Neurovirol.* 2000;6
  483 Suppl 1:S70-81.
- 484 31. Churchill MJ, Gorry PR, Cowley D, Lal L, Sonza S, Purcell DF, et al. Use of
  485 laser capture microdissection to detect integrated HIV-1 DNA in macrophages
  486 and astrocytes from autopsy brain tissues. *J Neurovirol.* 2006;12(2):146-52.
- Wallet C, De Rovere M, Van Assche J, Daouad F, De Wit S, Gautier V, et al.
  Microglial Cells: The Main HIV-1 Reservoir in the Brain. *Front Cell Infect Microbiol.* 2019;9:362.
- Woodburn BM, Kanchi K, Zhou S, Colaianni N, Joseph SB, and Swanstrom R.
  Characterization of Macrophage-Tropic HIV-1 Infection of Central Nervous
  System Cells and the Influence of Inflammation. *J Virol.* 2022;96(17):e0095722.
- 493 34. Kierdorf K, Erny D, Goldmann T, Sander V, Schulz C, Perdiguero EG, et al.
  494 Microglia emerge from erythromyeloid precursors via Pu.1- and Irf8-dependent
  495 pathways. *Nat Neurosci.* 2013;16(3):273-80.
- 496 35. Cenker JJ, Stultz RD, and McDonald D. Brain Microglial Cells Are Highly
  497 Susceptible to HIV-1 Infection and Spread. *AIDS Res Hum Retroviruses*.
  498 2017;33(11):1155-65.
- 49936.Reu P, Khosravi A, Bernard S, Mold JE, Salehpour M, Alkass K, et al. The500Lifespan and Turnover of Microglia in the Human Brain. Cell Rep.5012017;20(4):779-84.
- 37. Ko A, Kang G, Hattler JB, Galadima HI, Zhang J, Li Q, et al. Macrophages but
  not Astrocytes Harbor HIV DNA in the Brains of HIV-1-Infected Aviremic
  Individuals on Suppressive Antiretroviral Therapy. *J Neuroimmune Pharmacol.*2019;14(1):110-9.
- 50638.Kincer LP, Joseph SB, Gilleece MM, Hauser BM, Sizemore S, Zhou S, et al.507Rebound HIV-1 in cerebrospinal fluid after antiviral therapy interruption is508mainly clonally amplified R5 T cell-tropic virus. Nat Microbiol. 2023;8(2):260-71.
- Sun W, Gao C, Hartana CA, Osborn MR, Einkauf KB, Lian X, et al. Phenotypic
  signatures of immune selection in HIV-1 reservoir cells. *Nature*.
  2023;614(7947):309-17.
- 51240.Connor RI, Sheridan KE, Ceradini D, Choe S, and Landau NR. Change in513coreceptor use correlates with disease progression in HIV-1--infected514individuals. J Exp Med. 1997;185(4):621-8.
- Albright AV, Shieh JT, Itoh T, Lee B, Pleasure D, O'Connor MJ, et al. Microglia
  express CCR5, CXCR4, and CCR3, but of these, CCR5 is the principal
  coreceptor for human immunodeficiency virus type 1 dementia isolates. *J Virol.*1999;73(1):205-13.
- He J, Chen Y, Farzan M, Choe H, Ohagen A, Gartner S, et al. CCR3 and CCR5
  are co-receptors for HIV-1 infection of microglia. *Nature*. 1997;385(6617):6459.

43. Ganor Y, Real F, Sennepin A, Dutertre CA, Prevedel L, Xu L, et al. HIV-1
reservoirs in urethral macrophages of patients under suppressive antiretroviral
therapy. *Nat Microbiol.* 2019;4(4):633-44.

- 52544.Horn C, Augustin M, Ercanoglu MS, Heger E, Knops E, Bondet V, et al. HIV526DNA reservoir and elevated PD-1 expression of CD4 T-cell subsets particularly527persist in the terminal ileum of HIV-positive patients despite cART. HIV Med.5282021;22(5):397-408.
- Miller RL, Ponte R, Jones BR, Kinloch NN, Omondi FH, Jenabian MA, et al.
  HIV Diversity and Genetic Compartmentalization in Blood and Testes during
  Suppressive Antiretroviral Therapy. *J Virol.* 2019;93(17).
- 532
- 533

# 534 Acknowledgements

535 ML is supported by NIH grants Al117841, Al120008, Al130005, DK120387, Al152979,

536 AI155233, AI135940 and by the American Foundation for AIDS Research (amfAR,

537 #110181-69-RGCV). XGY is supported by NIH grants AI155171, AI116228, AI078799,

538 MH134823, HL134539, DA047034, amfAR ARCHE Grant # 110393-72-RPRL and the

539 Bill and Melinda Gates Foundation (INV-002703). ML and XGY are members of the

- 540 DARE, ERASE, PAVE and BEAT-HIV Martin Delaney Collaboratories (UM1 AI164560,
- 541 AI164562, AI164566, AI164570). IHS is supported by NIH grant R21NS119660.
- 542

# 543 Author contributions

- 544 Sample collection and preservation: SIC, IHS, SM
- 545 HIV-1 sequencing assays: WS, YR
- 546 Data analysis, interpretation, presentation: WS, CG, XL, XGY, ML
- 547 Study concept and supervision: IHS, SM, XGY and ML
- 548
- 549

# 550 Conflicts of Interest

551 The authors declare that conflicts of interest do not exist.

## 552 Figure legends

553

Figure 1. Proviral sequence classification in all analyzed HIV-1-infected cells 554 from 3 study participants. (A) Pie charts reflecting proportions of proviruses 555 classified as intact or defective. All proviruses identified by single-genome, near-full-556 557 length, next-generation sequencing and by counting amplification products in agarose gel electrophoresis were included. The total number of individual sequences included 558 559 is listed below each pie chart. (B) Pie charts indicating proportions of intact and 560 defective proviruses detected once (classified as non-clonal) and detected multiple 561 times (classified as clonal). The total number of proviral sequences identified by singlegenome, near-full-length, next-generation sequencing is listed below each pie chart. 562 563 (C) Virograms summarizing individual HIV-1 proviral sequences aligned to the HXB2 reference genome from each participant; color coding reflects the classification of 564 565 proviral sequences.

566

567 Figure 2. Distribution of total, intact, and defective HIV-1 proviruses in individual 568 tissue compartments. Bar diagrams reflect relative frequencies of total (A), intact (B), and defective (C) proviruses in all analyzed tissues in study participants 1-3. The total 569 number of individual proviral sequences determined by single-genome, near-full-length, 570 571 next-generation sequencing and by counting amplification products in agarose gel 572 electrophoresis from each tissue site of each participant is listed aside each bar. The red bars reflect samples with detectable proviral sequences; grey bars reflect samples 573 at limit of detection for proviral sequences, calculated as 0.5 (single genome near-full-574 575 length PCR) copies per maximum number of cells tested without target identification 576 (see Materials and Methods for details). N.d. (not done) indicates that the samples 577 were not available from the indicated tissue sites.

578

579 **Figure 3. Dissemination of HIV-1-infected cells across multiple anatomical** 580 **tissues in participants 1 and 2.** (A and B): Circular maximum likelihood phylogenetic 581 trees (A) and circus plots (B) of intact proviral sequences from participant 1 (P1) and 582 participant 2 (P2). HXB2, reference HIV-1 sequence. Color coding reflects tissue origins. Each symbol reflects one intact provirus. Clonal intact sequences, defined by 583 584 complete sequence identity, are indicated by blue arches in (A) and by internal connections in (B). (C) Circos plots reflecting the clonality of defective proviral 585 sequences from participant 1 (left panel) and participant 2 (right panel). Each symbol 586 reflects one defective provirus. Clonal sequences, defined by complete sequence 587 588 identity, are highlighted. Color-coded arches around the plots indicate types of defects 589 in HIV-1 genomes.

590

Figure 4. Dissemination of HIV-1-infected cells across CNS tissues. (A, C, and E) 591 Circular maximum likelihood phylogenetic trees of all proviral sequences derived from 592 CNS tissues of the 3 study participants (A, participant 1; C, participant 2; E, participant 593 3). Color coding reflects tissue origins. Clonal sequences, defined by complete 594 sequence identity, are indicated by blue arches. (B, D, and F) Circos plot reflecting the 595 clonality of all proviral sequences isolated from CNS tissues of 3 participants (B, 596 597 participant1; D, participant 2; F, participant 3). Each symbol reflects one provirus. Clonal sequences, defined by complete sequence identity, are highlighted. Color-598 coded arches around the plots indicate types of proviral sequences. 599

600

Figure 5. HIV-1 tropism analysis of proviral sequences. (A) Pie charts indicating 601 the proportions of all proviruses from each participant with CCR5-tropic or non-602 CCR5/CXCR4-tropic V3 envelope sequences are shown. The total number of proviral 603 sequences included in this analysis is listed below each pie chart. (B) The proportions 604 605 of proviruses with CCR5-tropic or non-CCR5/CXCR4-tropic V3 env sequences in each 606 tissue from participant 2 are shown. (C) Pie charts indicating the proportions of all non-CCR5-tropic proviruses from participant 2 are shown. Color coding reflects tissue 607 origins. The total number of analyzed proviral sequences is listed below the pie chart. 608 609 HIV-1 tropism was computationally inferred using Geno2pheno (https://coreceptor.geno2pheno.org/). HIV-1 tropism was classified as "CCR5" if the 610

- false-positive rate (FPR) predicted by Geno2pheno was >2% and "CXCR4" if FPR was
- 612 **<2%**.

# 613 Supplemental Material

614

Supplemental Figure 1: (A) Clinical and demographical data of study participants. (B)
 Cell numbers analyzed from each tissue of each study participant.

617

**Supplemental Figure 2:** (A) Bar diagrams reflect the ratios of intact to defective HIV-1 proviruses in all analyzed tissues in study participants 1-3. The red bars reflect samples with detectable proviral sequences; grey bars reflect samples at limit of detection for proviral sequences, calculated as 0.5 proviral copies per maximum number of cells tested without target identification (see Materials and Methods for details). N.d. (not done) indicates that the samples were not available from the indicated tissue sites.

625

**Supplemental Figure 3:** (A-F) Numbers of broadly neutralizing antibody (bnAb) sensitivity (A, C, and E) and resistance (B, D, and F) signature sites in intact proviral sequences from indicated tissues from each participant are shown. Each dot represents one intact provirus. Amino acid residues associated with susceptibility or resistance to bnAbs were inferred based on the study by Bricault (21).





Samples with detectable proviral sequences Samples at limit of detection for proviral sequences n.d. Not done







