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Antithrombin, protein C and protein S: Genome and transcriptome wide association studies identify 7 novel loci regulating plasma levels

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Abstract

Background: Antithrombin, protein C (PC) and protein S (PS) are circulating natural-anticoagulant proteins that regulate hemostasis and of which partial deficiencies are causes of

venous thromboembolism. Previous genetic association studies involving antithrombin, PC, and PS were limited by modest sample sizes or by being restricted to candidate genes. In the setting of the Cohorts for Heart and Aging Research in Genomic Epidemiology consortium, we meta-analyzed across ancestries the results from 10 genome-wide association studies (GWAS) of plasma levels of antithrombin, PC, PS free and PS total.

Methods: Study participants were of European and African ancestries and genotype data were imputed to TOPMed, a dense multi-ancestry reference panel. Each of 10 studies conducted a GWAS for each phenotype and summary results were meta-analyzed, stratified by ancestry. Analysis of antithrombin included 25,243 European ancestry (EA) and 2,688 African ancestry (AA) participants, PC analysis included 16,597 EA and 2,688 AA participants, PSF and PST analysis included 4,113 and 6,409 EA participants. We also conducted transcriptome-wide association analyses (TWAS) and multi-phenotype analysis to discover additional associations. Novel GWAS and TWAS findings were validated by *in vitro* functional experiments. Mendelian randomization was performed to assess the causal relationship between these proteins and cardiovascular outcomes.

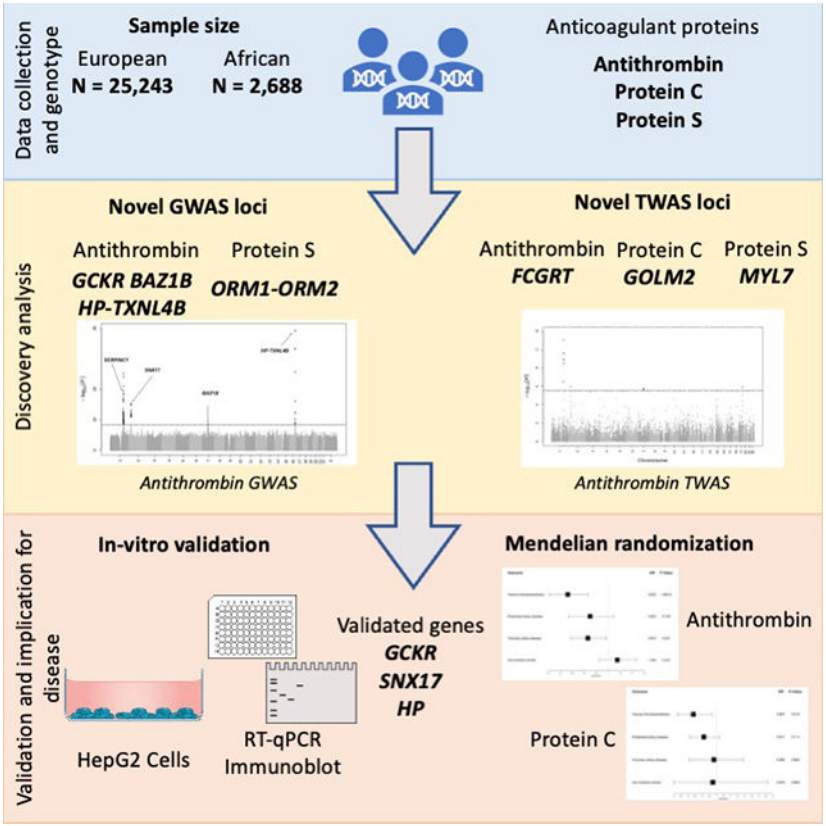
Results: GWAS meta-analyses identified 4 newly associated loci: 3 with antithrombin levels (*GCKR*, *BAZ1B,* and *HP-TXNL4B*) and 1 with PS levels (*ORM1-ORM2*). TWAS identified 3 newly associated genes: 1 with antithrombin level (*FCGRT*), 1 with PC (*GOLM2*), and 1 with PS (*MYL7*). In addition, we replicated 7 independent loci reported in previous studies. Functional experiments provided evidence for the involvement of *GCKR*, *SNX17*, and *HP* genes in antithrombin regulation.

Conclusions: The use of larger sample sizes, diverse populations, and a denser imputation reference panel allowed the detection of 7 novel genomic loci associated with plasma antithrombin, PC, and PS levels.

Summary:

Using cross-ancestry GWAS and TWAS methods, we report 7 novel associations for antithrombin, PC, and PS plasma levels: 4 novel loci regulating antithrombin plasma levels, 2 novel loci regulating PS plasma levels, and 1 novel locus regulating PC plasma levels. Post-GWAS analyses and functional work suggest both *SNX17* and *GCKR* are regulators of antithrombin on the chromosome 2 locus and validate an AA-specific *HP* gene locus. MR analyses provided evidence implicating low antithrombin levels in VTE risk and low PC levels in VTE and CAD risk. Overall, our findings identified novel pathways regulating the main anticoagulant proteins in hemostasis and strengthen their implication on disease outcomes.

Graphical Abstract:



INTRODUCTION

Antithrombin, protein C (PC), and protein S (PS) are circulating anticoagulant proteins, and low levels or low activity of these proteins are associated with the risk of venous thromboembolism (VTE)[1–4]. Genetic variants in the protein-coding genes for antithrombin, PC, and PS (*SERPINC1*, *PROC*, and *PROS1*, respectively)[5–7] have been studied for decades, and rare mutations have been associated both with low protein levels and with risk of VTE[5,8–11]. There have been at least 6 agnostic genome-wide association studies (GWAS) for antithrombin, PC, and PS, with sample sizes ranging from 351 (antithrombin) to 13,968 (PC). For antithrombin, no additional genome-wide significant loci beyond *SERPINC1* were identified[12,13]. For PC, significant loci at the *GCKR* and *BAZ1B* genes had been identified in European ancestry (EA) populations[14,15], and the *CELSR2-PSRC1-SORT1*, *PROC* and *PROCR* loci were identified in both EA and African-ancestry (AA) populations[13,15–17]. For PS, no genome-wide significant associations have been found. In this report, using larger sample sizes, diverse populations, and a denser imputation reference panel, we sought to identify novel genomic loci associated with plasma antithrombin, PC, and PS levels.

METHODS

The data that support the findings of this study are available from the corresponding author upon reasonable request. GWAS summary statistics are accessible through dbGAPs.

Overview

We used densely imputed genotypes to perform cross-ancestry (antithrombin and PC) and EA-only (PS) GWAS meta-analyses and attempted replication of the lead variants using available summary data from a proteomics-based study[18]. This was followed by a multi-phenotype analysis and transcriptome-wide association analyses (TWAS) in EA individuals. For characterization and prioritization of genes, we used colocalization and fine-mapping analyses, and novel GWAS findings were functionally interrogated. Last, we conducted Mendelian randomization (MR) analyses to assess causal relationships with cardiovascular clinical events. Figure 1 is a schematic summarizing our approach.

Study Design and Participating Studies

The setting for the meta-analysis was the Cohorts for Heart and Aging Research in Genomic Epidemiology (CHARGE) Consortium Hemostasis Working Group[19]. We included data from 10 studies from the US and Europe that measured 1 or more of the 3 natural anticoagulants in plasma, by antigen or activity methods. Study details including genotype and phenotype measurement, study design, population, and baseline time are found in Supplementary Tables S1, S2, and Supplementary Materials[13,15,20–32]. In total, 27,606 EA and 2,688 AA participants were included: 25,243 EA and 2,688 AA participants for antithrombin; 16,597 EA and 2,688 AA participants for PC; and 4,113 and 6,409 EA participants for PS. There were no AA participants with PS measures and too few non-EA/non-AA individuals with other measurements to perform meaningful association analyses. All studies were approved by appropriate research ethics committees and all participants provided informed consent.

Discovery Analysis

Study-Specific Genome-Wide Association Analyses—Each study imputed measured genotypes to the Trans-Omic for Precision Medicine (TOPMed) reference panel before association analyses[33]. Study-specific quality control was implemented before the analysis. Details about genotyping platforms and specific quality control parameters can be found in Supplementary Table S2. Each study followed a common analysis plan that required performing linear regression within each ancestry group, adjusting for sex, age, principal components, and study-specific variables, which included a kinship matrix when necessary to account for family structure. Details of the measures of the 3 natural anticoagulants and on regression methods can be found in Supplementary Table S2, and Supplementary Methods.

Population-Specific and Cross-Ancestry Meta-Analysis—Quality control across studies was conducted using EasyQC[34]. Details of meta-analysis quality control can be found in Supplementary Materials. We meta-analyzed study-level summary results using METAL software, first by phenotype measure (antigen or activity), then by ancestry. Only variants appearing in at least 2 studies were retained in the final meta-analyses. Cross-ancestry meta-analyses were conducted on those phenotypes that included EA and AA participants (antithrombin and PC). No other ancestries groups were available. Meta-analyses were performed by 2 analysts in parallel and compared for consistency.

The significance threshold[35] was set at 5×10^{-9}. A locus was defined as 1 Mb upstream and downstream of the variant with the lowest p-value. Genome-wide significant variants with MAF < 1%, present in 2 studies or fewer, or with inconsistent beta directions between studies were not considered.

Conditional Analysis—We performed approximate conditional and joint analyses for all variants with MAF > 1% using summary statistics from ancestry-specific meta-analyses (see Supplementary Methods).

Replication—We sought for replication of associations for the identified lead variants in an external dataset, using available summary data from DeCODE Genetics (see Supplementary Methods).

Transcriptome-Wide Association Analyses—We used GWAS results and S-PrediXcan and S-MultiXcan[36,37] to perform transcriptome-wide analyses for each phenotype within the EA populations in order to infer significant associations between the *cis* component of gene expression and the phenotypes. See detailed methods in Supplementary Methods.

Multi-Phenotype Meta-Analysis—We jointly analyzed the 4 meta-analyses results (cross-ancestry meta-analyses for antithrombin and PC, and the 2 EA PS meta-analyses) using a multi-phenotype method implemented in the metaUSAT R package 1.17[38]. Significant multi-phenotype associations were defined as any genome-wide significant lead variants in the multivariate analysis ($p\text{-values}_{multivariate}$ for the lead variant $< 5 \times 10^{-9}$), that were also nominally significant in a least 2 of the phenotypes individually ($p\text{-value}_{univariate} < 0.005$)[39]. Additionally, we considered novel variants to be those that were not genome-wide significant for any of the 4 phenotypes individually, or that had not been associated with antithrombin, PC, PS free or total in a previous GWAS for antithrombin, PC, PS free or total. Lead variants for each phenotype found in the discovery (Table 1) were queried using the HaploR R package v4.0.6 to extract functional annotations and biological information (Supplementary Table S4). Further details are reported in Supplementary Methods.

Characterization and Prioritization of Candidate Loci

Fine-Mapping and Colocalization—In all GWAS associated loci, we performed fine- mapping using FOCUS, and colocalization using COLOC and HyPrColoc. Detailed methods for fine-mapping and colocalization can be found in the Supplementary Methods.

Gene Prioritization—For all genes within 1 Mb of the lead variant from novel GWAS associated loci, we selected the closest gene to the lead variant, genes that had a TWAS significant p-value on the prioritized tissues, genes that had fine-mapping posterior inclusion probability (PIP) equal or higher than 0.95, genes that had a conditional probability of colocalization (CPC) equal or higher than 0.8, and genes with relevant functional annotations in HaploReg. Additionally, we selected all TWAS significant genes in the 5 prioritized tissues. Finally, to qualify for *in vitro* functional validation, prioritized genes were additionally required to be expressed in liver (>10 transcripts per million in GTeX) and

in HepG2 cells (>10nTPM, Human Protein Atlas). Figure 2 shows gene prioritization steps, and Supplementary Table S5 shows the specific genes selected for each phenotype.

In vitro Functional Validation—Functional validation of prioritized candidates was performed by *in vitro* silencing of candidate genes in a liver-derived hepatoblastoma (HepG2) cell expression system. Briefly, HepG2 cells were reverse-transfected with small interfering RNA (siRNA) against candidate genes. Cells were counted, and target proteins and genes were characterized by immunoblot of cell supernatants and RT-qPCR, respectively. Details on cell culture, transfection, RNA extraction, RT-qPCR, and immunoblotting methods can be found in Supplementary Methods.

Mendelian Randomization

Two-sample summary statistics-based MR was used to assess the association of genetically determined levels of antithrombin and PC with the risk of venous thromboembolism (VTE; 42,078 cases and 424,073 controls)[40,41], peripheral artery disease (PAD; 31,307 cases and 211,753 controls)[42], coronary artery disease (CAD; 60,801 cases and 123,504 controls)[43], and ischemic stroke (IS; 60,341 cases and 454,450 controls)[44]. We used instrumental variants from our GWAS results as main analyses. Additional details on methods are reported on Supplementary Methods. Given the small proportion of variance explained by the identified PS variants we did not investigate PS (PS_{free} and PS_{total}) in MR analyses because of insufficient number of genetic instruments. We then additionally validated our analyses using weights derived from deCODE genetics data[18].

RESULTS

Antithrombin activity (% or IU/mL*100, n = 26,999) or antigen (IU/mL*100; n = 932) was measured in 9 studies, PC activity (% or IU/mL*100; n = 6,734) or antigen (μg/mL; n = 12,551) was measured in 8 studies, PS Total (PS_{total}) activity (% or IU/mL*100 or IU/mL; n = 5,045) or antigen (IU/mL or μg/dL; n = 1,363) was measured in 7 studies, and PS Free (PS_{free}) activity (μg/dL or %; n = 1,998) or antigen (IU/mL*100; n = 2,115) was measured in 6 studies. See Supplementary Table S6.

Antithrombin

GWAS: The antithrombin meta-analysis include 25,243 EA and 2,688 AA participants. After quality control and filtering, 80,168,840 variants remained in the meta-analysis. All λ_{GC} for individual GWAS were 1.04 or below for all chromosomes. Additional details about quality control are provided in Supplementary Table S7 and Supplementary Material. Manhattan plots for the overall cross-ancestry meta-analyses are shown in Figure 3. A quantile-to-quantile plot (QQ plot) of p-values for these variants is presented in Supplementary Figure S1 and Manhattan plots for the EA and AA population specific analyses are available at Supplementary Figure S2.

In total, variants in 4 loci, exceeded the established genome-wide significance level in the cross-ancestry analysis, 2 loci in the EA-specific analysis and 1 locus in the AA-specific analysis. Forest plots for significant variants can be found in Supplementary Figure S3. Loci

at *SNX17-GCKR-NRBP1* (2p23.3), *MLXIPL-BAZ1B-BCL7B* (7q11.23) and *HP-TXNL4B* (16q22.2) were new associations. The association at *HP-TXNL4B* (16q22.2) was only found in the AA population. Lead variants in the cross-ancestry meta-analysis in each region are listed in Table 1 along with the meta-analysis p-value, ancestry specific p-value, effect allele frequency (EAF), beta estimates, and closest gene.

No significant heterogeneity was found in the direction or magnitude of beta coefficients for any of the lead variants associated with antithrombin, within or between ancestries. Conditional analyses using the population specific meta-analyses (Supplementary Table S8), identified no additional independent variants on *SNX17-GCKR-NRBP1* and *HP-TXNL4B* surrounding regions. On chromosome 1 locus (*SERPINC1*), we found 1 variant (rs182221508, MAF = 0.0017) intronic to *RABGAP1L* gene (600 kb upstream the lead variant), that was independent from the lead missense variant rs2227624 on *SERPINC1* gene.

Supplementary Table S9 shows the lead variants with the strongest associations in the EA and AA meta-analyses. There was 1 significant locus in the AA population specific analysis at chromosomal position 16q22.2 (*HP-TXNL4B*), which also appeared in cross-ancestry analysis. In the EA-specific population analysis, the results reflected cross-ancestry findings at 1q25.1 (*SERPINC1*) and 2p23.3 (*SNX17-GCKR-NRBP1*), with a different lead variant on chromosome 2: rs4665972, located in an intronic region of *SNX17*, was the lead variant in the cross-ancestry analysis, while rs11127048,150 kb upstream rs4665972 and located in an intergenic region between *SNX17* and *GCKR* genes was the lead variant in the EA-specific analysis. We did not find significant signals at 7q11.23 in the EA-specific analysis. The proportion of variance explained by the independent lead variants was 1.4% in EA and 4.3% in AA, of the total antithrombin variance.

All lead variants from GWAS were replicated in the deCODE summary results derived from SOMAscan measures of these anticoagulants (all p-values $<0.05/11 = 4.5\times10^{-3}$), except for the lead variant of the chromosome 16 locus, that was specific for the AA population and was not present in the DeCODE data (Table 1 and Supplementary Table S10).

TWAS: TWAS analyses identified associated genes in 4 different loci (Figure 3A). Associations on chromosomes 1 (*SERPINC1*), 2 (*GKCR*) and 7 (*MLXIPL*), identified by the strongest associated gene in the TWAS, matched associated loci found in the GWAS. Additionally, the *FCGRT* gene represented a new association on chromosome 19. The smallest GWAS p-value for this region approached significance and was for a rare intronic variant (rs111981233) in *FCGRT* gene (Figure 3 and Supplementary Table S11) that was replicated in the DeCODE study (Table 1 and Supplementary Table S10).

Fine Mapping: EA-specific fine-mapping results prioritized the *SERPINC1* gene on chromosome 1 and the *NRBP1* gene on chromosome 2. Given that FOCUS only prioritizes GWAS hits at TWAS risk loci, loci on chromosomes 16 (only GWAS) or 19 (only TWAS) could not be further explored for gene prioritization. In addition, after correcting for LD and pleiotropic effects, none of genes in chromosome 7 locus was included in the credible set,

suggesting a regulation mechanism that does not involve gene expression (Supplementary Table S12).

Colocalization: We obtained 2 significant colocalizations in lead variants located in the new antithrombin loci (Conditional Probability of Colocalization (CPC) > 0.8) and gene expression of nearby genes. On chromosome 2, *GTF3C2-AS2 (*at *SNX17-GCKR-NRBP1 locus)* gene expression in artery tibial tissue colocalized with antithrombin plasma levels and on chromosome 16 locus, *HP* gene expression in liver and whole blood also colocalized with antithrombin plasma regulation (Supplementary Table S13).

Functional Validation: We selected 1–3 genes per locus for functional analysis (4 genes total) based on the gene prioritization criteria described above (Figure 2, Supplementary Table S5): *SNX17, GCKR, NRBP1* (Chr 2), and *HP* (Chr 16). Genes in the newly associated locus on chromosome 7, and the gene associated in TWAS on chromosome 19 were not included because no expression was detected in HepG2 cells for of the selected genes (*BAZ1B, BCL7B, FCGRT*) or for biological implausibility (*MLXIPL)*. We transfected HepG2 cells with siRNA against each candidate gene and confirmed that target gene expression was significantly reduced (p-value<0.005). We then characterized effects of the gene knockdowns on cell count; *NRBP1* silencing significantly reduced the cell count and was removed from the screen (Supplementary Figure 4). Finally, we quantified antithrombin expression by immunoblot of cell supernatants and *SERPINC1* expression by RT-qPCR. As expected, control experiments showed that treatment of HepG2 cells with lipofectamine (alone) or siRNA against *PROC* did not significantly alter antithrombin (protein) or *SERPINC1* (gene) expression, whereas silencing *SERPINC1* significantly suppressed antithrombin and *SERPINC1* expression (Figure 4A–B). Quantification of immunoblots revealed that silencing *GCKR* enhanced, whereas silencing *SNX17* and *HP* suppressed, antithrombin protein production (Figure 4A). The *GCKR*-dependent increase in antithrombin was associated with a significant increase in *SERPINC1* expression, suggesting *GCKR* negatively regulates antithrombin gene expression (Figure 4B). The *SNX17*-dependent loss of antithrombin was associated with a trend toward decreased *SERPINC1* expression (p-value<0.09), suggesting *SNX17* positively regulates antithrombin gene expression (Figure 4B). Interestingly, *HP*-dependent loss of antithrombin was not accompanied by a significant decrease in *SERPINC1* expression (Figure 4B) suggesting effects of *HP* on antithrombin production are manifested via a post-transcriptional mechanism.

MR analysis: For the main analyses, we used 4 genetic instruments derived from our GWAS data (Supplementary Table S14) to investigate the association between antithrombin levels and VTE and PAD, and 3 to investigate its association with CAD and IS. We detected a significant deleterious effect of genetically determined low antithrombin levels and risk of VTE (IVW OR 0.84 [0.72–0.97], p-value: 0.015; Figure 5A). Sensitivity analyses showed consistent effect in size and direction with MR Egger, MR weighted median, and MR weighted mode (Supplementary Table S15 and Supplementary Figure S5). Leave-one-out sensitivity analyses showed homogeneity of effects among the instruments. No significant

results were found for the association of genetically determined antithrombin levels with IS, CAD or PAD (Figure 5A and Supplementary Figure S5).

Additional analyses using weights derived from deCODE genetics data confirmed the same trends although with non-significant results due to weaker instruments (Supplementary Table S15).

Protein C

GWAS: The PC meta-analysis included 16,597 EA and 2,688 AA participants. After quality control, 72,929,079 variants were included. All λ_{GC} for individual GWAS were 1.04 or below for autosomal chromosomes (1.18 for X chromosome). Additional details about quality control are provided in Supplementary Table S7 and Supplementary Material.

Manhattan and QQ-plots showing the cross-ancestry meta-analysis results are presented in Figure 3 and Supplementary Figure S1, respectively. We identified 5 regions associated with PC levels. All loci, located near *CELSR2-PRSC1* (1p13.3), *PROC* (2q14.3*), SNX17-GCKR-NRBP1* (2p23.3), *MLXIPL-TBL2* (7q11.23), and *PROCR* (20q11.22) genes, have been previously reported to be associated with PC. Coefficients, p-values, ancestry stratified EAF and p-values, and closest genes are listed in Table 1. Forest plots of significant signals found in the GWAS analysis can be found at Supplementary Figure S6.

In the conditional analysis at 1p13.3 (*CELSR2-PRSC1)*, 2p23.3 (*SNX17-GCKR-NRBP1)*, and 7q11.23 (*MLXIPL-TBL2)* loci in the EA population, no additional independent variants were identified (Supplementary Table S8). Within the *PROC* locus on chromosome 2, an additional independent variant (rs74392719, MAF = 0.01, 300 bases upstream of the lead variant) was identified in the EA population, located within the *PROC* gene. Finally, an additional independent variant (rs6060300, MAF = 0.2, 13 kb upstream of the lead variant) was found in the EA population, intronic to *PROCR*.

No significant heterogeneity was found in the direction or magnitude of beta coefficients for any of the lead variants associated with PC, within or between ancestries. AA and EA population-specific results are shown in Supplementary Table S9 and Supplementary Figure S2. The AA population analysis had findings at 2q14.3 (*PROC*) and 20q11.22 (*PROCR*); the EA population analysis recapitulated all the candidate loci found in cross-ancestry analysis. The proportion of variance explained by the identified independent variants was 12.7 % in EA and 7.4% in AA. The lead variants at the *PROCR* locus (rs11907011 and rs867186) alone explain 9.5% and 9% of the total variance in the EA and AA meta-analyses, respectively. All lead variants from GWAS were replicated in the deCODE data (all p-values $<0.05/11 = 4.5\times10^{-3}$; Table 1 and Supplementary Table S10).

TWAS: For PC levels, TWAS (Figure 3B) identified associated genes at 6 loci, matching all loci found in the cross-ancestry and EA GWAS, of which, the most significant based on TWAS z-score values were *PSRC1* (chromosome 1, *CELSR2-PRSC1 locus*), *GCKR* (chromosome 2, *SNX17-GCKR-NRBP1* locus), *PROC* (chromosome 2), *MLXIPL* (chromosome 7, *MLXIPL-TBL2* locus) and *PROCR* (chromosome 20). Additionally, 3 new

associations with PC were found in 1 locus on chromosome 15 for *GOLM2*, *LCMT2* and *CATSPER2* genes (Table 1 and Supplementary Table S11).

Fine Mapping: Fine-mapping results for PC prioritized the *PSRC1* gene on chromosome 1, *NRBP1* and *PROC* on chromosome 2 (*SNX17-GCKR-NRBP1 and CELSR2-PRSC1 locus,* respectively), *MLXIPL* and *TBL2* on chromosome 7 (*MLXIPL-TBL2* locus), and *PROCR* on chromosome 20. (Supplementary Table S12).

Functional Validation: Following the prioritization criteria described on Figure 2, a novel gene identified in the TWAS analysis (*GOLM2)* was selected as a candidate gene for functional validation. Transfection of HepG2 cells with siRNA against the *GOLM2* gene did not alter the cell count (Supplementary Figure S4). PC could not be detected in the media; however, we quantified effects of *GOLM2* silencing on *PROC RNA* expression by RT-qPCR. As expected, control experiments showed that treatment of HepG2 cells with lipofectamine (alone) or siRNA against *SERPINC1* did not significantly alter *PROC* (gene) expression, whereas silencing *PROC* significantly suppressed *PROC* expression (Figure 4C). However, silencing *GOLM2* did not significantly alter *PROC* expression (Figure 4C).

MR analysis: For PC, 4 variants were initially selected as genetic instruments for the main analyses, using GWAS summary results (Supplementary Table S14). After examination of pleiotropic effects, the variant at the *PROCR* gene (rs1799809) was excluded to avoid violations of MR assumptions. Moreover, additional evidence indicates that this variant is strongly associated with several hemostasis and thrombosis phenotypes and has opposite effect directions for venous and arterial thrombosis reflecting distinct pleiotropic biological mechanisms[17,45]. Details of selected genetic instruments can be found in Supplementary Table S14. There was a significant deleterious effect of genetically determined lower PC levels on VTE and CAD risk (VTE IVW OR:0.83 (0.76–0.92), p-value: < 0.001; CAD IVW OR: 0.92 (0.84–0.99), p-value: 0.031; Figure 5B). Sensitivity analyses showed consistent significant associations (Supplementary Table S15 and Supplementary Figure S5). No significant associations were found between genetically determined PC with PAD or IS (Figure 5B and Supplementary Figure S5).

Results using instruments and weights derived from deCODE genetics data replicated a causal association of lower PC on increased VTE risk but could not replicate the effect on CAD (Supplementary Table S15).

Protein S

GWAS: The PS meta-analysis included 4,113 EA individuals in PS_{free} analyses and 6,408 EA individuals in PS_{total} analyses. A total of 19,791,246 variants were investigated in the analysis of PS_{free} and 25,365,467 in the analysis of PS_{total}. All λ_{GC} for individual GWAS were 1.04 or below for autosomal chromosomes (1.19 for X chromosome). Additional details about quality control are provided in Supplementary Table S7 and Supplementary Material. Manhattan and QQ-plots describing the main results are shown in Figures 2C/D and Supplementary Figure S1 for PS_{free} and PS_{total}, and main associated variants are

listed in Table 1. Forest plots of significant signals for PS_{free} and PS_{total} can be found in Supplementary Figure S7.

We identified 1 novel genome-wide significant locus associated with PS_{free} and PS_{total} near *ORM1* and *ORM2* genes (9q32*)* and a known association located near *PROS1* gene (3q11.1) for $PS_{free.}$ The lead variant at *PROS1* locus (rs121918472, EA p-value = 2.04×10^{-16}, PS_{free} EAF (G) = 0.0108) was a missense variant located in the protein S coding gene *PROS1*. In our analysis, this variant was associated with PS_{free} level, but genome-wide significance was not observed in PS_{total} (PS_{total} p-value = 2×10^{-4}) although there was a consistent direction of effect.

Nominally significant heterogeneity p-values were detected in the *ORM1/ORM2* locus lead variant (PS_{total} Heterogeneity p-value = 0.03), indicating minor differences between the 2 measurement methods. No additional independent variants were found with conditional analyses (Supplementary Table S8). The variance explained by the identified variants in PS_{free} is 6% of the total variance of PS_{free} while the variance explained by the unique identified variant in PS_{total} is 1% of the phenotypic variance for PS_{total}.

Variants at both loci replicated in the deCODE data (all p-values $<0.05/11 = 4.5\times10^{-3}$; Table 1 and Supplementary Table S10).

TWAS: PS_{free} TWAS results recapitulated the 2 significant GWAS associations at chromosomes 3 (*PROS1*) and 9 (*ORM2*) and additionally revealed a new association at *MYL7* gene on chromosome 7 (Figure 3 and Supplementary Table S11).

Fine Mapping: Fine-mapping results did not prioritize any genes for PS_{free} or PS_{total}.

Colocalization: There was a significant colocalization for both PS phenotypes and *ORM2* gene expression in liver. (Supplementary Table S13).

Functional validation: Since we were unable to detect PS production in the HepG2 expression system, we were unable to perform functional validation for PS candidates.

MR analysis: Given the small proportion of variance explained by the limited number of genetic instruments (< 3), we did not investigate PS (PS_{free} and PS_{total}) in MR analyses.

Antithrombin, Protein C and S Multi-phenotype Analysis

Multi-phenotype analyses between antithrombin, PC, PS_{free} and PS_{total} revealed 1 additional novel GWAS association close to the *MAP1A* gene[46], on chromosome 15 (Table 1), previously found in the PC TWAS (*GOLM2-LCMT2-CATSPER2* locus). The lead variant is a missense variant on the *MAP1A* gene (rs55707100, p-value = 1.64×10^{-13}, EAF EA [T] = 0.03, EAF AA [T] = 0.0042) that was nominally associated in the GWAS for antithrombin and PC individually (antithrombin p-value = 1.04×10^{-6}, PC p-value = 4.76×10^{-8}) and was not significantly associated to either of the PS phenotypes (PS_{total} p-value = 0.2717, PS_{free} p-value = 0.9937). The colocalization results were significant (CPC > 0.8) between antithrombin and PC, suggesting the existence of a common variant as regulator of both

phenotypes. However, functional validation by silencing *GOLM2* gene in this locus did not significantly alter expression of *PROC* or *SERPINC1* in HepG2 cells (Figures 4B and 4C), suggesting any potential co-regulatory effect is not mediated in the production of these proteins.

DISCUSSION

We performed GWAS for 3 natural anticoagulant hemostasis phenotypes (antithrombin, PC, and PS [PS_{total} and PS_{free}]) using larger sample sizes and better imputation panels than previously reported and detected 4 novel associations: 3 loci for antithrombin (*SNX17-GCKR-NRBP1*, *MLXIPL-BAZ1B-BCL7B*, and *HP-TXNL4B*) and 1 locus for PS (*ORM1-ORM2*). For 3 genes within the newly associated loci with antithrombin (*SNX17*, *GCKR*, and *HP*), *in vitro* gene silencing in liver-derived cells provided functional evidence. Using TWAS methods, we detected 3 additional novel associations that did not reach significance in individual GWAS: *FCGRT* for antithrombin; *GOLM2* for PC; and *MYL7* for PS. Using MR, we also identified a causal relationship of antithrombin and PC levels with VTE, and of PC levels with CAD. This investigation elucidated genetic regulation of the anticoagulant pathway and provides new information that could identify therapeutic targets in VTE prevention or treatment.

Additionally, we replicated 7 known loci[5,13,15–17] for PC; and 1 for PS[7]. Two of the known PC loci, also had novel associations with antithrombin, demonstrating some genetic overlap between different anticoagulant proteins. This was also reflected in the multi-phenotype analysis results.

Characterization of Novel Loci

Antithrombin-associated Loci—More than 45 rare variants within the *SERPINC1* gene have already been described using non-GWAS approaches[47]. Our lead variant, rs2227624, is a known missense variant causing a Val to Glu amino-acid substitution that leads to antithrombin deficiency[48,49] and increases risk of VTE[50].

On chromosome 2, lead variants in locus *SNX17-GCKR-NRBP1* differed by ancestry. In the cross-ancestry analysis, rs4665972 was in an intronic region of *SNX17* whereas, in the EA-specific analysis, the lead variant (rs11127048) was located in an intergenic region between the *SNX17* and *GCKR* genes. Neither rs4665972 nor rs11127048 were significant in AA population suggesting that these variants are tagging an association within a large LD block in EA population. Consistent with this observation, conditional results indicate that the lead variant (rs4665972) is the only independent variant on this locus. Given limited power in the AA-specific analysis, we could not refine the region with AA data (Supplementary Figure S12). Functional validation in liver-derived cells suggests that *SNX17* positively, and *GCKR* negatively, alter plasma antithrombin levels via effects on *SERPINC1* expression.

SNX17 is a regulator of low density lipoprotein (LDL) receptors[51] and has not been previously associated to antithrombin levels but has been associated with CAD[52,53]. *GCKR* is a highly pleiotropic gene that has been found significantly associated to multiple phenotypes[18]. We and others have also reported genetic associations with several hemostatic

factors, including PC[14,15], Factor VII (FVII)[54], Factor XI (FXI)[55] and C-reactive protein (CRP)[56,57] in previous GWAS meta-analyses. In previous candidate gene studies[58–60], variant rs1260326 in *GCKR* was found to be related to multiple cardiometabolic traits, including total and LDL cholesterol, fasting plasma glucose, liver fat content and metabolic syndrome, suggesting that *GCKR* might act as a broad regulator of hepatocyte function.[61]

On chromosome 7, the lead variant (rs13244268) was located in an intronic region of *BAZ1B* gene and was only significant in the EA population. This gene has been previously associated with PC[14,15] and in our PC meta-analysis, but not with antithrombin. rs13244268 was also found significant in bivariate and univariate GWAS of CRP and high-density lipoprotein[62]. TWAS results confirmed an association between *BCL7B* and *MLXIPL* genes in this locus and antithrombin levels. Given the differences in LD blocks observed for this region in different populations, we sought to confirm the most plausible candidate genes in this locus with *in vitro* silencing studies in liver cells. Within the 3 candidate genes in the region (*BAZ1B*, *MLXIPL* and *BCL7B*), *BAZ1B* presented low expression in liver, and *MLXIPL* and *BCL7B* were not prioritized for functional validation due to biological implausibility. Since no candidates in this region passed pre-selection for functional work, the elucidation of this locus and its role on antithrombin regulation warrants further investigation.

The lead variant on *HP-TXNL4B* locus (rs5471) is in an intronic region of the *TXNL4B* gene and 5’ UTR of the *HP* gene and was only significant in the AA population. Colocalization results performed using cross-ancestry data, suggested the existence of a common regulatory variant between *HP* gene expression and antithrombin levels in liver and whole blood, and suggested that higher expression of *HP* in liver and blood were associated with higher levels of antithrombin in plasma. In the same direction, functional validation in HepG2 cells suggested a significant reduction of antithrombin levels upon *HP* silencing through as-yet unidentified post-transcriptional mechanism. *HP* codes for haptoglobin (Hp), which serves as a binding protein of hemoglobin, and affects the release of hemoglobin from red blood cells[63]. Its phenotype Hp2–2 was identified as a potential regulator of inflammation and reverse cholesterol transportation and has been suggested to have higher prevalence in VTE patients[64–66]. Overall, previous evidence suggests a potential role of Hp in the inflammation-induced thrombosis, and our results suggest *HP* is a potential direct regulator of antithrombin production.

Finally, TWAS results suggested a novel locus associated to antithrombin levels on the *FCGRT* gene. Colocalization results suggested the existence of a common regulatory variant between antithrombin levels and the expression of *FCGRT*, *RPS11* and *RCN3* in the aorta, tibial artery, and whole blood. In GWAS analysis, rs111981233 (intronic to *FCGRT*) nearly reached genome-wide significance levels. *FCGRT* encodes a receptor that binds immunoglobulin G and transfers immunoglobulin G antibodies from mother to fetus across the placenta[67] and previous studies demonstrate that *FCGRT* is also expressed in the liver[68]. Additional work is needed to further elucidate the role of this gene in antithrombin regulation.

Protein C-associated Loci—We found 5 loci associated with PC in the present GWAS meta-analysis, all of which had been previously described. In addition, 3 genes (*GOLM2*, *LCMT2*, and *CATSPER2*) were associated in a novel locus on chromosome 15 in the TWAS analysis. *GOLM2,* the only gene with significant expression in the liver, encodes for a transmembrane protein predicted to colocalize in the Golgi apparatus with no known function; Interestingly, a variant near this locus was significant in the PC-antithrombin multi-phenotype GWAS analysis, and colocalization results suggested the existence of a common variant between antithrombin and PC. Our functional validation suggest *GOLM2* does not regulate PC transcription, although our experiments did not allow us to assess a post-transcriptional role of *GOLM2* on PC, or a role in clearance.

Protein S-associated Loci—For PS, genome-wide associations found at the *ORM1-ORM2* locus represented novel findings for both PS_{free} and PS_{total}, and colocalization analysis suggests the existence of a common regulatory variant between PS_{free} and PS_{total} levels and *ORM2* expression in liver. *ORM1* is responsible for encoding acute phase plasma protein orosomucoid (ORM, also known as α1-acid-glycoprotein, AGP), which is increased with acute inflammation[69]. Previous genetic results suggested that *ORM1* was associated with thrombin generation potential[70] and the discovery was further confirmed with *in vitro* experiments. *ORM1* has also been associated with cell-free DNA levels in plasma, a surrogate marker of neutrophil extracellular traps that contribute to immunothrombosis[71]. Moreover, AGPs encoded by the *ORM1* and *ORM2* genes strongly bind to the vitamin K antagonist warfarin that reaches circulation, suggesting that these genes could be relevant in regulating the response to oral anticoagulation[72]. Supporting this hypothesis, *ORM1*, *ORM2* and *PROC* were nominally associated with warfarin dose requirement in a study of candidate gene analysis with 201 patients[73]. This is interesting, since it is widely known that one of the challenges in oral anticoagulation is the wide variation in response among patients[74]. Confirming novel genomic regulators of anticoagulant response could help explain the mechanisms of action of these drugs and move towards a personalized treatment based on genomic background.

MYL7, associated with PS levels in the TWAS analyses, is the gene coding for myosin light chain 7 protein, and was previously related to calcium ion binding activity[75,76]. Variants in this gene have been associated with fasting glucose levels and type II diabetes[77,78] probably for their proximity to the *glucokinase (GCK)* gene, which lies 1.9 kb upstream of *MYL7*, and is essential for producing glucose-6-phosphate.

Implication for disease outcomes: Several of the identified loci have been previously associated with cardiovascular disease outcomes (Supplementary Table S16).

The present MR results confirm a causal relationship between genetically determined plasma levels of antithrombin and PC with VTE events, and for PC with CAD outcomes. Specifically, we observed a 19% VTE risk increase per 1 SD decrease in antithrombin plasma levels, a 20% VTE risk increase per 1 SD decrease of PC plasma levels, and a 9% CAD risk increase per 1 SD decrease in PC plasma levels. Our findings of a causal relationship of antithrombin and PC with VTE agree with previous epidemiological studies that report an increased VTE risk in individuals with deficiencies of these

anticoagulants[4,79,80]. The causal relationship between PC and CAD was also reported in previous epidemiological and MR studies.[81,82] Overall, these results support previous data suggesting that antithrombin and PC are relevant proteins that regulate the risk of VTE, confirmed the causal association between PC levels and CAD, and corroborated that intervention in the anticoagulant system could be considered for VTE or CAD prevention[83,84].

Strengths and limitations: A major strength of this study is in the modestly large sample size, including around 30,000 individuals, compared with more limited studies in the previous discovery efforts. Additionally, the TOPMed imputation panel, provides better imputation quality for low-frequency variants compared with previous panels, which increases our power to detect rare variation. However, the present study was not designed to provide a detailed evaluation of rare variation within coding genes, and some rare variants within these genes were excluded from the analyses if they were present in less than 2 studies. Larger studies combined with whole genome sequencing data will help identify novel rare (familial) associations for these phenotypes and may provide better instruments that will improve the power for MR studies.

Inclusion of AA individuals has allowed the identification of novel associated loci for antithrombin in this population. We were not able to perform discovery in other ancestry populations since measures of phenotypes and genotypes were not available to us. Investing in these measures in other ancestry groups may increase the number of discoveries and provide more global insights. There is a recent debate [85,86] on transferability of results from GWAS studies to non-European populations, given the overwhelming majority of GWAS results in EA populations for most phenotypes. Although our sample was predominantly of EA, we were able to observe differences in LD blocks between EA and AA ancestry groups, which allowed us to detect novel associations in variants with lower frequency in the EA population, and to refine loci where the linkage blocks differed between ancestries. Ancestry-differences in genetic studies are usually not due to environmental or socials factors when populations stratification is properly accounted for as these factors cannot confound genetic associations. However, some of the follow-up methods (TWAS, approximate conditional analyses) depend on population reference panels and were limited to the EA population.

Additionally, sex-stratified analyses were not included in this work, and may have provided insights into possible sex-specific regulators of natural anticoagulants.

Finally, to reduce the risk of false positives, we used a stringent significance threshold (5×10^{-9}), sought replication of the main findings in an external study, and provided additional post-GWAS evidence for our novel findings. We included functional validation using *in vitro* silencing to provide evidence for causality of candidate genes and help understand the biological mechanism. We believe this strengthens the credibility of our results. However, liver cell-derived expression system is only able to assess effects of candidate genes on synthetic mechanisms (e.g., transcription, translation), and is not able to assess potential effects on protein stability and/or clearance. Thus, genes that did not demonstrate an effect,

as well as genes that were not selected for testing in this system, could regulate circulating anticoagulant protein expression via synthesis-independent mechanisms.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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ABBREVIATIONS

TOPMed	Trans-Omic for Precision Medicine
PC	protein C
PS	protein S
VTE	venous thromboembolism
CAD	coronary artery disease
PAD	peripheral artery disease
IS	ischemic stroke
GWAS	genome-wide association study
TWAS	transcription-wide association study
EA	European ancestry
AA	African ancestry
eQTL	expression quantitative trait locus

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HIGHLIGHTS

- Using cross-ancestry GWAS and TWAS methods, we report 4 novel loci regulating antithrombin plasma levels, 2 novel loci regulating PS plasma levels, and 1 novel locus regulating PC plasma levels.
- Post-GWAS analyses and functional work suggest both *SNX17* and *GCKR* are regulators of antithrombin on the chromosome 2 locus and validate an AA-specific *HP* gene locus.
- MR analyses provide evidence implicating low antithrombin levels in VTE risk and low PC levels in VTE and CAD risk.

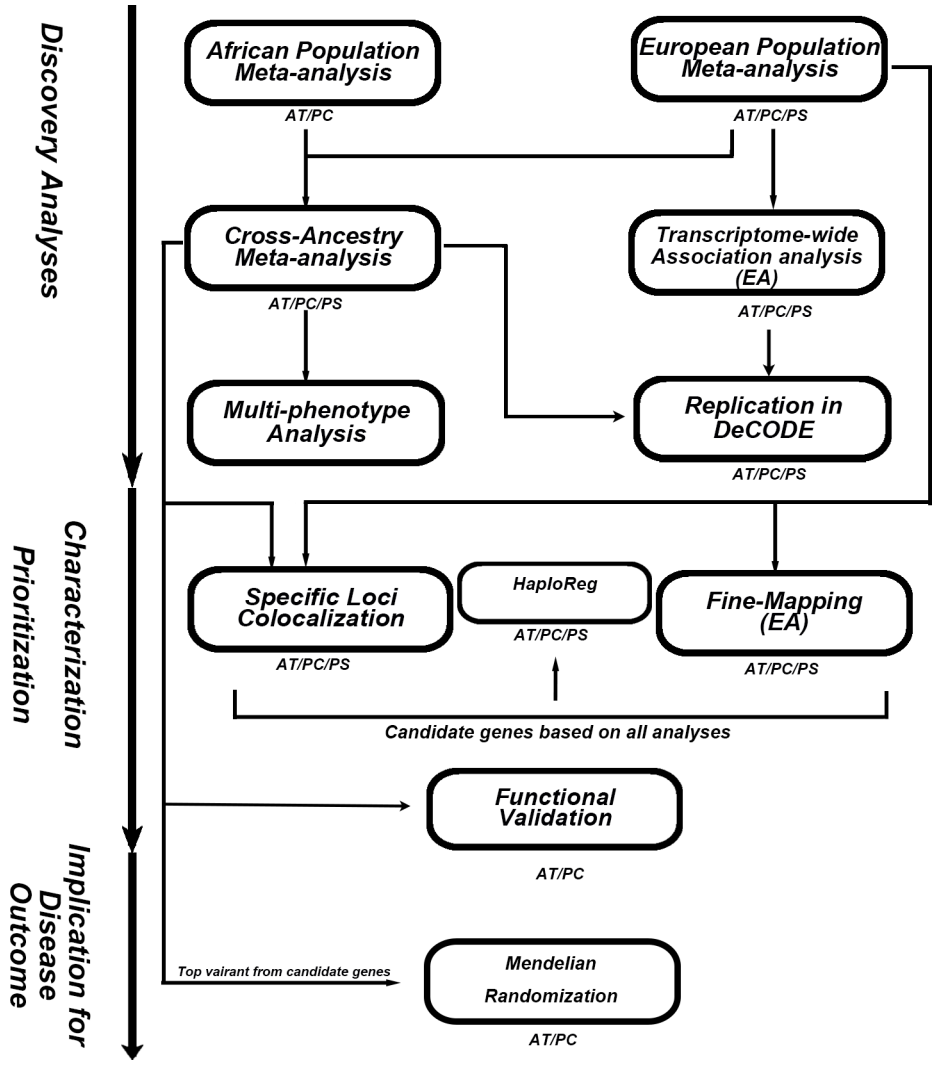

Figure 1.
Schematic view of the analysis's workflow.

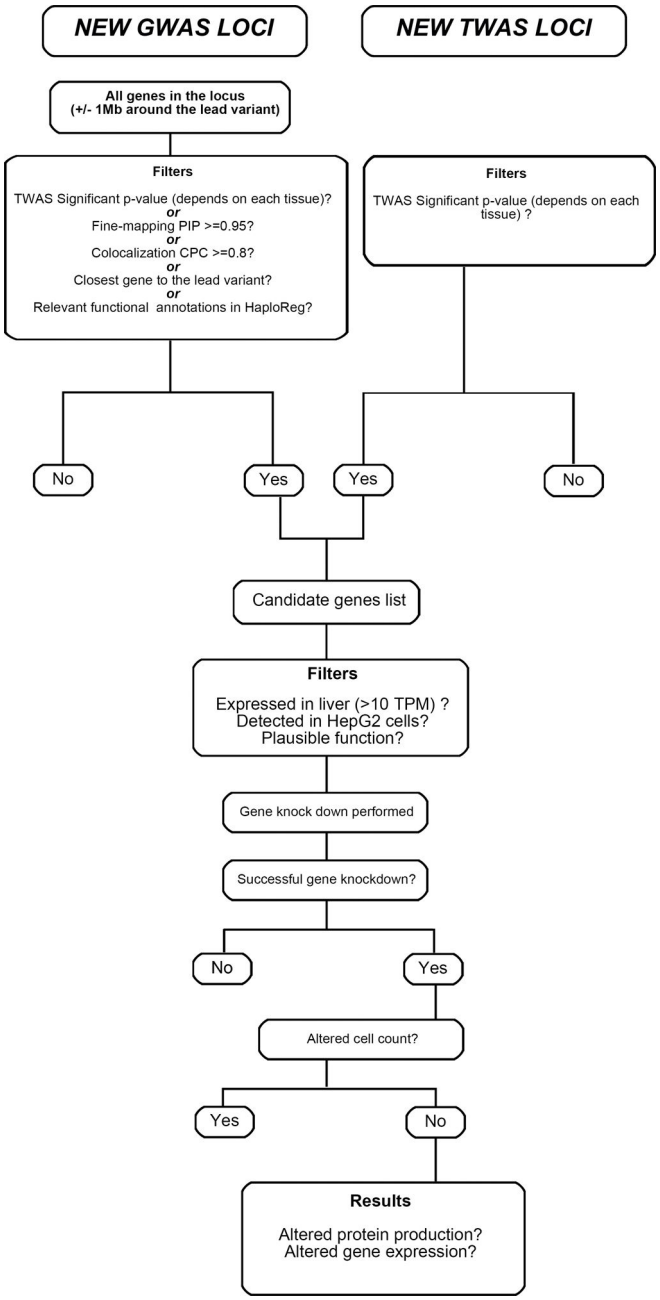

Figure 2.

Prioritization steps of functional analysis. GWAS: Genome wide association study; TWAS: Transcriptome wide association study; PIP: Posterior inclusion probability; CPC: Conditional probability of colocalization; TPM: Transcripts per million.

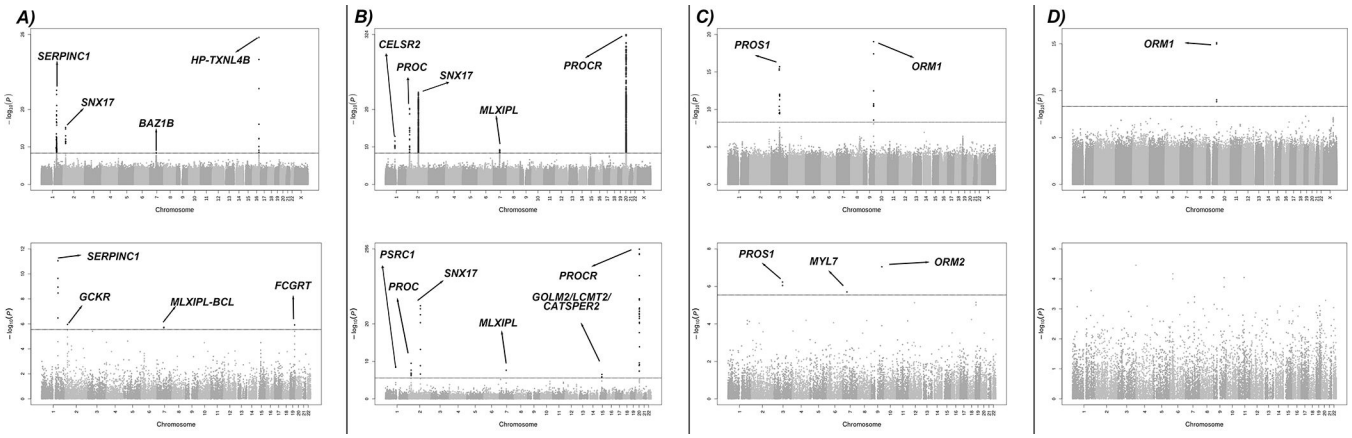

Figure 3.

Manhattan plots for discovery meta-analyses of GWAS (up) and TWAS (down) results. (A) Antithrombin (B) Protein C (C) Protein S Free (D) Protein S Total. Dots represent all allelic variants (GWAS) or genes (TWAS) sorted by chromosome and position throughout the X-axis.

Y-axis report inverse log transformed p-value for the associations.

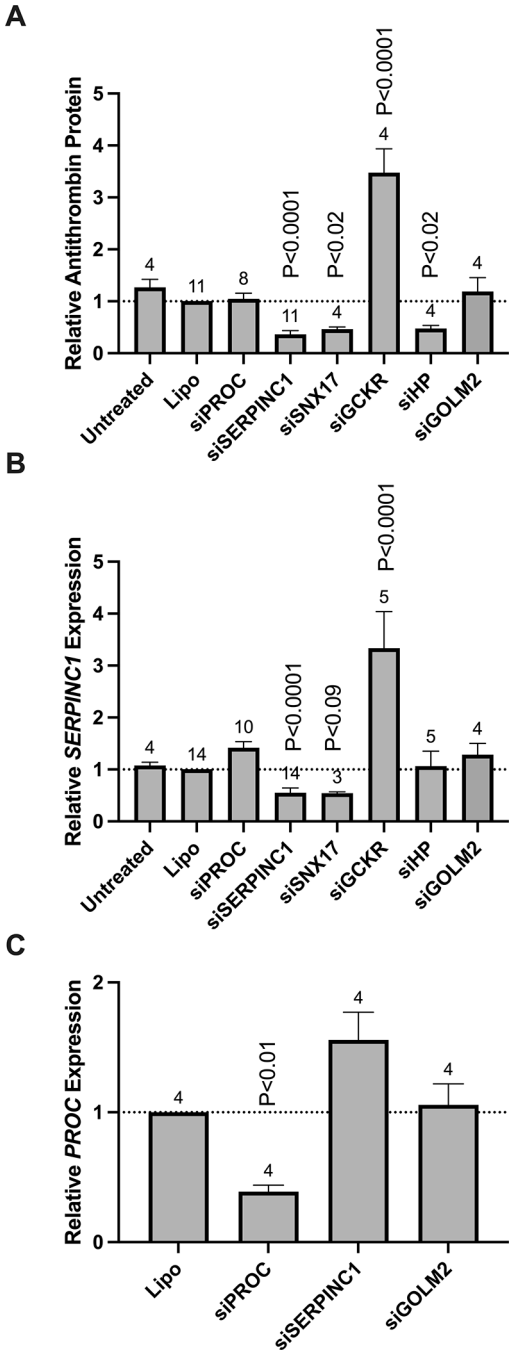

Figure 4.

Knockdown of *GCKR*, *SNX17*, and *HP* alter antithrombin production in HepG2 cells. A) Antithrombin secreted into the culture supernatant was detected by immunoblot and quantified by densitometry. B) *SERPINC1* expression and C) *PROC* expression were measured by RT-qPCR using the ΔΔCt method to compare mRNA abundance and 18S as the reference gene. Bars and error bars indicate mean and standard error of the mean; Numbers indicate biological replicates; Statistical comparisons were performed by one-way ANOVA and Šidák's multiple comparisons tests.

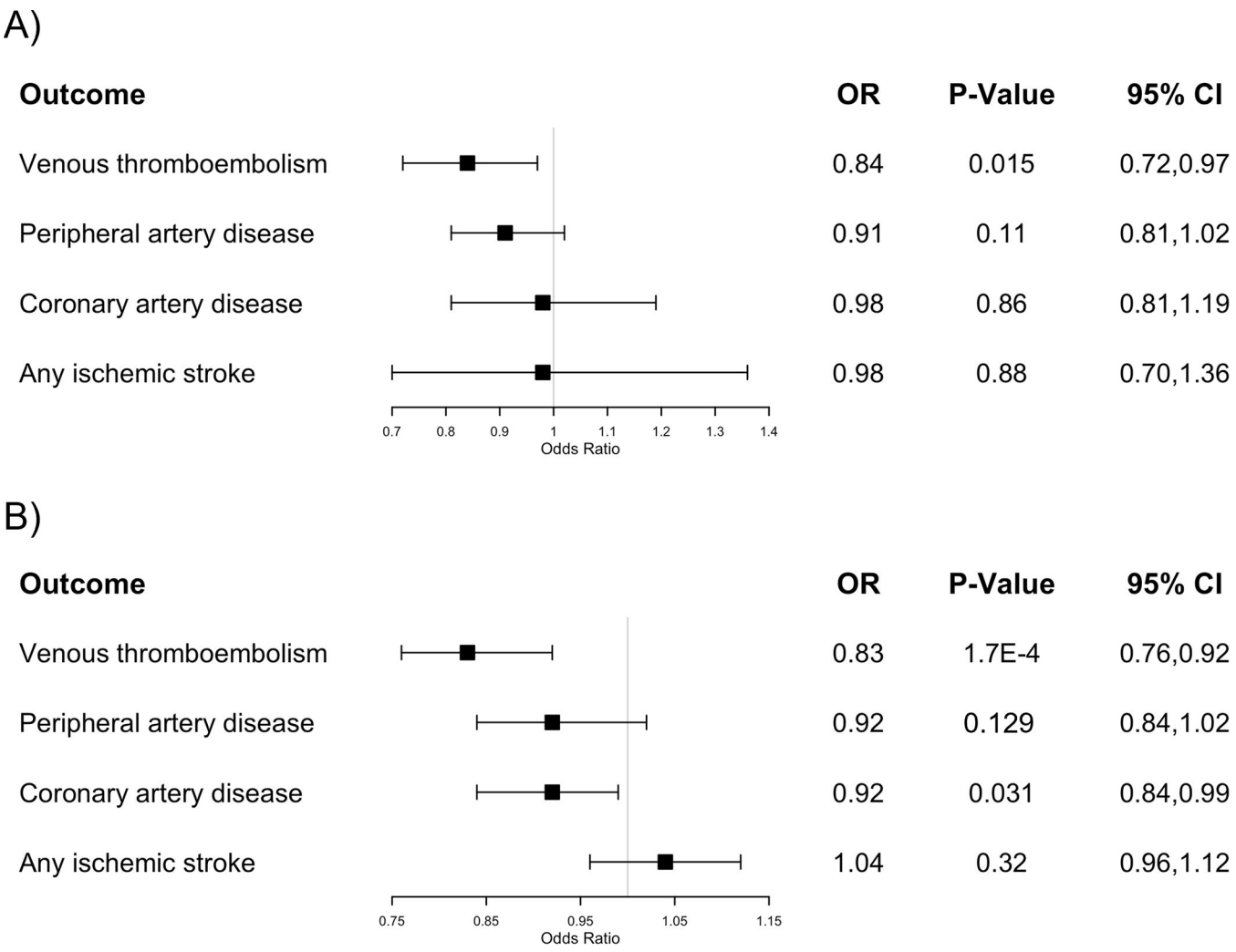

Figure 5.

Forest plot showing inverse variance weighted mendelian randomization results for multiple outcomes using antithrombin (A) and protein C (B) as exposure. Squares indicate OR (95% CI).

Table 1.

GWAS and post-GWAS evidence of candidate genes. A1: Effect Allele; A2: Other Allele; AA: African ancestry; EA: European ancestry; IV: Intronic Variant; MV: missense variant; 3'UTR: 3 Prime Untranslated Region; 5'UTR: 5 Prime Untranslated Region; PST: protein S total; PSF: protein S free.