



# A bioinformatics analysis and an experimental validation of the hypoxia-related prognostic model

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**Background:** Hypoxia plays an important role in the development of pancreatic cancer (PCA). However, there is few research on the application of hypoxia molecules in predicting the prognosis of PCA. We aimed to establish a prognostic model based on hypoxia-related genes (HRGs) for PCA to discover new biomarkers, and to reveal the potential of this prognostic model for evaluating the tumor microenvironment (TME).

**Methods:** Univariate Cox regression analysis was used to identify HRGs associated with overall survival (OS) of PCA samples. A hypoxia-related prognostic model was established based on least absolute shrinkage and selection operator (LASSO) regression analysis in The Cancer Genome Atlas (TCGA) cohort. The model was validated in the Gene Expression Omnibus (GEO) datasets. The Cell-type Identification by Estimating Relative Subsets of RNA Transcripts (CIBERSORT) algorithm was used to estimate the infiltration of immune cells. A wound healing assay and transwell invasion assay were used to explore the biological functions of target genes in PCA.

**Results:** A total of 18 HRGs were differentially expressed between the tumor and normal pancreatic tissue, 4 (*BHLHE40*, *ENO1*, *SDC4*, and *TGM2*) of which were selected to construct a prognostic model. According to this model, patients in the high-risk group had a less favorable prognosis. Furthermore, the proportion of M0 macrophages was significantly higher in high-risk tissue-type patients, whereas naïve B cells, plasma cells, CD8<sup>+</sup> T cells, and activated CD4<sup>+</sup> memory T cells were significantly lower. The expression of *BHLHE40* in PCA cells was significantly up-regulated under hypoxic conditions. Moreover, *BHLHE40* was shown to regulate the transcription and expression of the downstream target gene *TLR3*. The wound healing assay and transwell invasion assay indicated that *BHLHE40* mediated PCA cell migration and invasion by targeting the downstream gene *TLR3*.

**Conclusions:** The hypoxia-related prognostic model established by the expression pattern of 4 HRGs can be used to predict the prognosis and assess the TME of PCA patients. Mechanically, activation of the *BHLHE40*/*TLR3* axis is responsible for the promoted invasion and migration of PCA cells in a hypoxic environment.

**Keywords:** Hypoxia; pancreatic cancer; tumor microenvironment; migration; invasion

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## Introduction

Pancreatic cancer (PCA) is a deadly malignant tumor. Its mortality is increasing year by year, and it is expected to become the second most common cause of cancer-related death by 2030 (1). The 5-year survival rate of PCA patients is less than 10% (2).

Hypoxia, as a major feature of the tumor microenvironment (TME), exists in most malignancies. PCA is characterized by numerous and severe hypoxic regions with a median oxygen pressure (pO<sub>2</sub>) of 0–5.3 mmHg (0–0.7%), whereas the pO<sub>2</sub> of the adjacent normal pancreas is 24.3–92.7 mmHg (3.2–12.3%) (3). The presence of hypoxic regions within PCA is closely associated with tumor progression and poor prognosis (4,5). Moreover, hypoxia operates on various immune cells to promote an immunosuppressive TME, suggesting that it may be one of the critical factors leading to the failure of immune checkpoint inhibitor (ICI) treatment in PCA (6,7).

The hypoxic microenvironment promotes tumor metastasis, and HIF-1 $\alpha$  plays an important role in this process (8). Under hypoxia, HIF-1 $\alpha$  can activate the hepatocyte growth factor (HGF)/HGF receptor (c-MET)

signaling pathways that loosen the adhesion between tumor cells and degrade extracellular matrix. More importantly, the ability of tumor cells to invade and metastasize is enhanced (9). Hypoxia can also induce epithelial-mesenchymal transition (EMT) in tumor cells, inhibiting the expression of the epithelial cell marker E-cadherin and greatly increasing the expression of mesenchymal cell markers such as N-cadherin, vimentin, and Snail. Therefore, tumor cells exhibit more mesenchymal-like features and are more likely to metastasize (10).

Research have shown that the carbohydrate antigen 19-9 (CA19-9) and the carcinoembryonic antigen (CEA) can be used as biomarkers to predict the prognosis of many cancers, including PCA (11,12). However, the specificity or sensitivity for use in PCA of these biomarkers are not sufficient. The construction of a prognostic model based on the expression of multiple genes in PCA is more meaningful for predicting the prognosis of PCA patients. Previous studies have explored the predictive role of hypoxia-related prognostic models in the prognosis of hepatocellular carcinoma (13), glioma (14), and breast cancer (15) patients. This study aimed to develop a hypoxia-related prognostic model to predict the prognosis of PCA patients and assess the TME. Moreover, we used data from the Gene Expression Omnibus (GEO) database to verify the prognostic model we established. The prognostic model can help researchers to better assess the relationship between hypoxia and prognosis in PCA. In addition, we explored the specific mechanisms by analyzing the biological functions of hypoxia-related genes (HRGs). We present this article in accordance with the TRIPOD reporting checklist (available at <https://jgo.amegroups.com/article/view/10.21037/jgo-23-301/rc>).

## Highlight box

### Key findings

- We established a prognostic model based on 4 hypoxia-related genes (*BHLHE40*, *ENO1*, *SDC4*, and *TGM2*). The model can be used to predict the prognosis and assess the TME of PCA patients. Activation of the BHLHE40/TLR3 axis promoted invasion and migration of PCA cells.

### What is known and what is new?

- Hypoxia in PCA is associated with tumor progression and poor prognosis. Hypoxia operates on immune cells to promote an immunosuppressive TME.
- We established a hypoxia-related prognostic model to predict the prognosis and assess the TME of PCA patients. The activation of BHLHE40/TLR3 axis promoted invasion and migration of PCA cells.

### What is the implication, and what should change now?

- This study may provide new insights into how hypoxia affects the prognosis and TME of PCA patients and may benefit hypoxia-targeted therapies in the future.

## Methods

### Datasets

#### Patient datasets

RNA sequencing (RNA-seq) data and corresponding clinicopathological information from 177 PCA and 4 adjacent normal tissue samples were obtained from The Cancer Genome Atlas (TCGA) database (<http://cancergenome.nih.gov/>). The University of California Santa Cruz (UCSC) Xena resource (<http://xena.ucsc.edu/>)

**Table 1** Summary clinical characteristic of PCA patients

Characteristics	Training set (TCGA), N=177	Validation set (GEO), N=199
Age category		
<65 years	81	28
≥65 years	96	22
NA	0	149
Gender		
Male	97	29
Female	80	21
NA	0	149
Status		
Alive	89	57
Dead	88	136
NA	0	6
Grade		
G1	31	2
G2	94	35
G3	48	30
G4	2	1
NA	2	131
Tumor stage		
I	21	4
II	146	46
III	3	13
IV	4	6
NA	3	130
T stage		
T1	7	2
T2	24	9
T3	141	34
T4	3	5
NA	2	149
M stage		
M0	79	47
M1	4	3
MX	94	149

**Table 1** (continued)**Table 1** (continued)

Characteristics	Training set (TCGA), N=177	Validation set (GEO), N=199
N stage		
N0	49	31
N1	123	19
NA	5	149

NA, clinical data are unknown; PCA, pancreatic cancer; T, tumor; N, node; M, metastasis; TCGA, The Cancer Genome Atlas; GEO, Gene Expression Omnibus.

was used to obtain data on 167 GTEx healthy pancreatic tissue samples. A training set was created by using TCGA pancreatic cancer data. Subsequently, data for 199 PCA patients from the GSE102238, GSE62452, and GSE85916 datasets were downloaded from the GEO database (<https://www.ncbi.nlm.nih.gov/geo/>). These 3 GEO datasets were combined for batch normalization, and the combined result was used as a validation set for further analysis. Patients with less than 30 days of survival were excluded from the Cox and Kaplan–Meier (KM) analyses. Detailed information about the training and validation sets is provided in *Table 1*. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

#### Other datasets

The GSE67549 dataset detailed the hypoxic gene expression profile in 9 human PCA cell lines. A total of 9 human PCA cells were cultured under normoxic or hypoxic conditions (1% oxygen) for 24 h. The GSE107300 dataset described the expression profile of MDA-MB-231 human breast cancer cells that had been stably transfected with short hairpin RNA (shRNA) to knockdown *BHLHE40*. The expression profiles of the control (sh-NC) and knockdown *BHLHE40* (sh-*BHLHE40*) groups were analyzed. All the images of immunohistochemical (IHC) staining came from the Human Protein Atlas (HPA) database (<http://www.proteinatlas.org/>). The JASPAR database (<http://www.jaspar.genereg.net/>) was used to predict possible binding sites for *BHLHE40* and the promoter of Toll-like receptor 3 (*TLR3*).

#### Consensus clustering

Consensus clustering analysis on samples was performed using the K-means algorithm in the “ConsensusClusterPlus”

R package (16). The operation was repeated 1,000 times to ensure cluster consistency. The value of cluster number  $k$  was set to 2–9. The optimal number of clusters was determined through the cumulative distribution function (CDF) and the Delta plot of relative area change under the CDF curve, to ensure that the CDF distribution is smoother and the area improvement under the CDF curve is relatively greater.

### ***Gene set enrichment analysis (GSEA)***

GSEA was performed using GSEA 4.2.3 software (<https://www.gsea-msigdb.org/gsea/index.jsp>). The *c2.cp.kegg.v7.5.1.symbols.gmt* or *c2.cp.reactome.v7.5.1.symbols.gmt* in the Molecular Signature Database (MSigDB; <https://www.gsea-msigdb.org/gsea/msigdb/>) was used as the reference gene set. According to the gene set, 1,000 permutations were performed to determine P values. Pathways with nominal P value (NOM p-val) <0.05 and false discovery rate q-value (FDR q-val) <0.25 were considered significant. The most significantly enriched signaling pathways were selected based on the normalized enrichment score (NES).

### ***Analysis of differentially expressed HRGs***

The HRGs were obtained from the hallmark gene set collection of the MSigDB, which contains 200 genes that are commonly upregulated in human mammalian epithelial cells and a variety of cancers in response to low oxygen levels (17). In order to determine the differentially expressed HRGs between the tumor and normal pancreatic tissue in the training set, the R package “limma” was employed. The differentially expressed HRGs were defined as those with  $|\log \text{fold-change (FC)}| > 2.0$  and  $\text{FDR} < 0.05$ .

### ***Construction of a prognostic model***

In the training set, univariate Cox regression analysis was used to assess the relationship between the expression levels of differentially expressed HRGs and the overall survival (OS) of patients. The least absolute shrinkage and selection operator (LASSO) method was used to further screen the genes used to construct the prognostic model and obtain the corresponding regression coefficients.

$\text{Risk score} = \sum_{i=1}^n \text{Exp}_i \times \text{Coe}_i$ . “Exp” represents the expression level of the gene, and “Coe” represents the regression coefficient of each gene calculated by LASSO

regression analysis. The risk score of each patient was calculated according to the prognostic model. Based on the median risk score, patients in TCGA cohort were divided into 2 groups.

### ***Survival analysis***

The OS between different groups of patients was compared by KM analysis using the survival and survminer packages in R. To determine whether risk score can be distinguished from other conventional clinical characteristics (age, gender, histological grade, and stage) as an independent prognostic factor in PCA patients, univariate and multivariate Cox regression analyses were performed. A P value <0.05 was considered statistically significant. A nomogram was constructed to better assess the 1-, 3-, and 5-year survival rates of PCA patients.

### ***Analysis of the TME***

Based on the RNA-seq data of PCA samples in TCGA, differences in TME between subgroups were assessed. The Estimation of STromal and Immune cells in MAlignant Tumor tissues using Expression data (ESTIMATE) algorithm was adopted to estimate the stromal and immune cells in PCA tissue, and the proportion of immune-stromal components in TME was calculated using the “estimate” R package, which generated ImmuneScore, StromalScore, and EstimateScore. The higher respective score indicated the greater proportion of the corresponding component in TME. Cell-type Identification by Estimating Relative Subsets of RNA Transcripts (CIBERSORT) is a common method for immune cell infiltration estimation and analysis, evaluating the ratio of diverse cell subtypes in mixed cell samples by RNA-seq expression profile (18). CIBERSORT and LM22 signature matrix were used to assess the proportions of 22 immune cell subtypes between different groups. The cancer-immunity cycle describes a cycle of processes involving the eradication of cancer by the immune system, including the following steps: step 1: cancer cell antigen release, step 2: cancer antigen presentation, step 3: priming and activation, step 4: trafficking of T cells to tumors, step 5: infiltration of T cells into tumors, step 6: recognition of cancer cells by T cells, and step 7: killing of cancer cells (19). Based on the single-sample gene set enrichment analysis (ssGSEA) algorithm, we profiled the enrichment scores of steps in the cancer-immunity cycle.

### **Cell culture and transfection**

Three PCA cell lines MIA PaCa-2, SW1990, and PANC-1 cells were obtained from the American Type Culture Collection (ATCC; Manassas, VA, USA) and kept in Dulbecco's modified Eagle medium (DMEM; Cat: SH30243, HyClone, Logan, UT, USA) supplemented with 10% fetal bovine serum (FBS; Cat: 10100147, Gibco, Billings, MT, USA) and 1% penicillin-streptomycin solution (Cat: C0222, Beyotime, Jiangsu, China). The cells were maintained at 37 °C in a humidified environment containing 5% CO<sub>2</sub>. The cells were passaged every 2–3 days. The small interfering RNAs (siRNAs) targeting *BHLHE40* and *TLR3* were synthesized by GENEWIZ (Suzhou, Jiangsu, China) and transfected with Lipofectamine 3000 reagent (Cat: 100022052, Invitrogen, Carlsbad, CA, USA) according to the manufacturer's protocol. The sequences of siRNAs are summarized in [Table S1](#). The knockdown efficiency was evaluated by quantitative real-time polymerase chain reaction (qRT-PCR) 48 h after transfection.

### **qRT-PCR**

TRIzol reagent (Cat: 15596026, Thermo Fisher Scientific, Waltham, MA, USA) was applied to extract total RNA. Subsequently, reverse transcription was performed using the PrimeScript RT Reagent kit (Cat: RR037B, TaKaRa, Shiga, Japan). Aliquots of complementary DNA (cDNA) corresponding to equal amounts of RNA were used for quantification of messenger RNA (mRNA) by RT-PCR using the Light Cycler 96 Real-time Quantitative PCR Detection system (Roche, Indianapolis, IN, USA). The reaction system included the corresponding cDNA, forward primer, reverse primer, and SYBR Green PCR master mix (Cat: 04913914001, Roche). The primer sequences are summarized in [Table S2](#).

### **Wound healing assay**

Wound healing assays were performed to evaluate the migration ability of PANC-1 cells. PANC-1 cells were seeded into 6-well plates and then incubated for 48 h. A 200 µL pipette tip was used to draw a line perpendicular to the bottom of 6-well plate to produce a scratch. The cells then cultured in basal medium. After washing the cells with phosphate-buffered saline (PBS) to remove cellular fragments, each wound was imaged at 0-, 12-, and 24-h by inversion microscopy. Cell migration was quantified by

measuring the relative wound areas using ImageJ (National Institutes of Health, Bethesda, MD, USA).

### **Transwell invasion assay**

In order to assess the invasive capacity of the cells, the transwell invasion assay was performed. Matrigel (1:8 diluent of 50 mg/L) was coated on the upper surface of the bottom membrane of the transwell chamber and dried at 37 °C. The PANC-1 cells with serum-free DMEM were seeded into the upper chamber. The complete medium was added to the lower chamber. After 48 h of incubation, the cells that moved via the Matrigel matrix membrane were fixed in 4% paraformaldehyde and then stained with 0.1% crystal violet. Finally, representative images were obtained under a microscope and the number of cells in the picture can be calculated through ImageJ.

### **Chromatin immunoprecipitation assay**

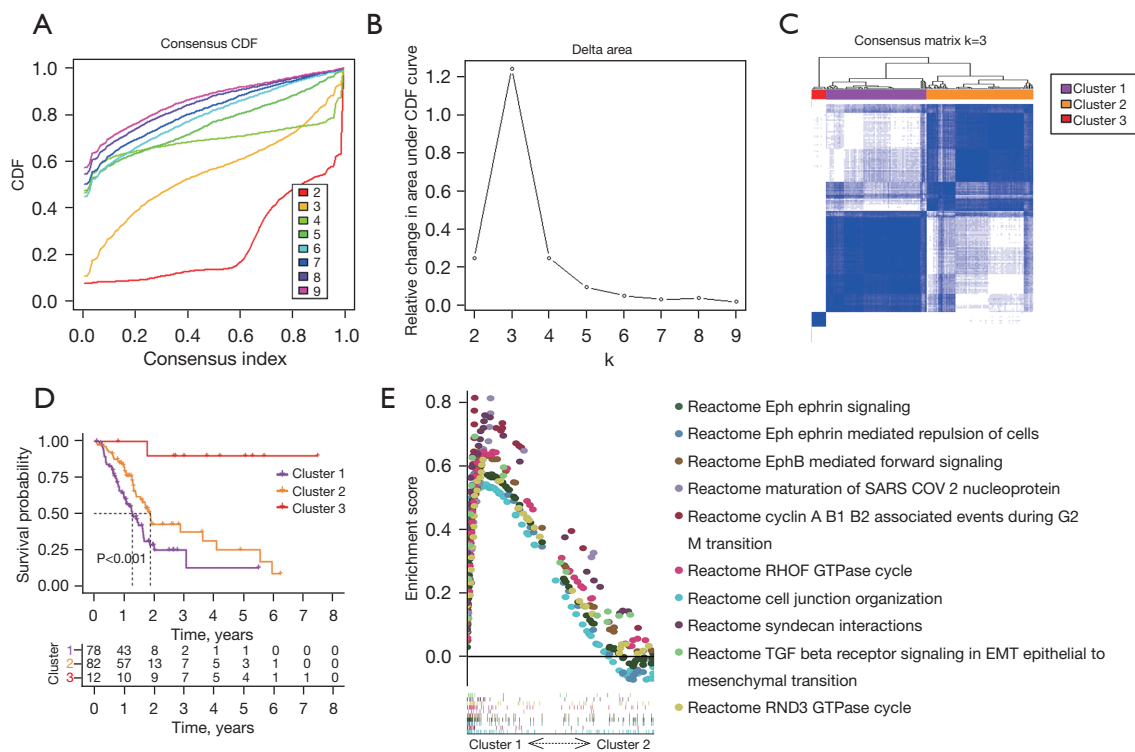
PANC-1 cells were cross-linked with formaldehyde, lysed in sodium dodecyl sulfate (SDS) buffer, and sheared mechanically by sonication to fragment the DNA. Protein-DNA complexes were precipitated with anti-BHLHE40 antibody (ab70723, Abcam, Cambridge, MA, USA) or anti-rabbit IgG [Cat: 7074, Cell Signalling Technology (CST), Danvers, MA, USA] as a control. DNA eluted from the chromatin immunoprecipitation (ChIP) assay was amplified by qRT-PCR. All fold-enrichment values were normalized according to IgG values. ChIP primer sequences are presented in [Table S3](#).

### **Statistical analysis**

All data were analyzed using R version 4.1.2 (R Foundation for Statistical Computing, Vienna, Austria) or GraphPad Prism 8 (GraphPad Software Inc., San Diego, CA, USA), and all experiments were repeated at least 3 times. These results were presented as mean ± standard deviation (SD).

### **Research procedures**

Based on the expression of HRGs, The PCA samples were clustered into different clusters by consensus clustering. Signaling pathways enriched in different clusters were analyzed using GSEA software. We identified the HRGs differentially expressed in PCA tumor tissue and normal pancreatic tissue. Then univariate Cox regression analysis



**Figure 1** Subtype identification based on HRGs in PCA. (A) CDF curve and (B) delta area in TCGA cohort; (C) heatmap representing the consensus matrix; (D) KM curves showing OS of 3 subtypes; (E) pathways enriched in cluster 1 in comparison with cluster 2. HRGs, hypoxia-related genes; PCA, pancreatic cancer; CDF, cumulative distribution function; TCGA, The Cancer Genome Atlas; KM, Kaplan-Meier; OS, overall survival.

was used to identify HRGs related to OS. The LASSO regression analysis was used to construct the prognostic model, and the corresponding regression coefficients of the genes involved in the model construction were calculated. The model was validated in the GEO datasets. Univariate and multivariate Cox regression analyses were performed to determine whether the risk score in the prognostic model could be distinguished from other conventional clinical characteristics as an independent prognostic factor. A nomogram was constructed to assess the 1-, 3-, and 5-year survival rates of PCA patients. Subsequently, the ESTIMATE algorithm was adopted to estimate the stromal and immune cells in PCA tissue. CIBERSORT and LM22 signature matrix were used to assess the proportions of 22 immune cell subtypes. Based on the ssGSEA algorithm, we then profiled the enrichment scores of steps in the cancer-immunity cycle. siRNA was transfected into PCA cells to interfere with the expression level of target genes, and the interference efficiency of siRNA was verified by qRT-PCR. A wound healing assay and transwell invasion assay were

used to explore the biological functions of target genes in PCA. Finally, we predicted downstream genes of the target genes and explored the biological function.

## Results

### Subtype identification based on HRGs in PCA

By using the “ConsensusClusterPlus” R package, the PCA samples obtained from TCGA were clustered into different subtypes based on the expression levels of the 200 HRGs, which were downloaded from MSigDB. *Figure 1A,1B* show the area under the cumulative distribution function (CDF) of the consensus cluster and the relative change of the CDF from  $k=2$  to 9.  $K=3$  was proven to be the most suitable choice to divide the PCA samples into 3 clusters, namely, cluster 1 ( $n=78$ ), cluster 2 ( $n=82$ ), and cluster 3 ( $n=12$ ). The matrix heatmap when  $k=3$  is shown in *Figure 1C*. KM survival analysis was performed to verify the prognostic value of sample clustering in PCA patients.

The results showed that the OS of patients in cluster 1 was significantly shorter than that in cluster 2 and cluster 3 (Figure 1D). Given the small sample size of cluster 3, only cluster 1 and cluster 2 were further analyzed for pathway enrichment using GSEA software. Transforming growth factor- $\beta$  (TGF- $\beta$ ) receptor signaling in EMT was found to be significantly enriched in cluster 1 (Figure 1E), suggesting hypoxia condition could trigger the EMT and metastasis process in PCA.

### Screening of key HRGs in PCA

Next, we tried to screen key HRGs to construct a prognostic model for PCA. TCGA PCA data and Genotype-Tissue Expression GTEx normal pancreatic tissue data were combined as a training set. According to the cut-off criteria ( $|\log FC| > 2.0$  and  $FDR < 0.05$ ), 18 HRGs that were differentially expressed in PCA samples and normal samples were identified. In PCA samples, 2 HRGs were lower expressed and 16 HRGs were higher expressed when compared to normal samples (Figure 2A, 2B). To further screen out HRGs associated with OS in PCA, univariate Cox regression analysis was performed on these 18 differentially expressed HRGs. The results showed that 5 HRGs (*BHLHE40*, *ENO1*, *PLAUR*, *SDC4*, and *TGM2*) were significantly associated with OS in PCA (Figure 2C). These 5 genes were all considered as risk factors for survival of patients, and their high expressions may shorten the survival time of PCA patients [all  $P < 0.05$ ; hazard ratio (HR), 1.002–1.058]. The “corrplot” R package was used to plot the correlation of the expression levels of these 5 HRGs (Figure 2D). Then we performed LASSO regression analysis for further establishment of a hypoxia-related prognostic model of PCA based on gene expression levels (Figure 2E, 2F). Finally, LASSO regression analysis established a prognostic model containing 4 HRGs: *BHLHE40*, *ENO1*, *SDC4*, and *TGM2*.

Figure 2G–2J show that the expression levels of *BHLHE40*, *ENO1*, *SDC4*, and *TGM2* in PCA tissue samples were higher than those in normal pancreatic samples. Then, we explored their relationship with survival status in PCA. According to the median expression of each gene, PCA patients were divided into 2 groups: the high expression group and the low expression group, and the KM survival curves of the relationship between gene expression and the survival status of PCA patients were drawn respectively (Figure 2K–2N). It was found that patients with higher expressions of *BHLHE40* (Figure 2K;  $P = 0.01914$ ), *SDC4*

(Figure 2M;  $P = 0.01248$ ), and *TGM2* (Figure 2N;  $P = 0.03432$ ) had worse prognosis. However, there was no significant difference in prognosis between patients with high and low expression of *ENO1* (Figure 2L).

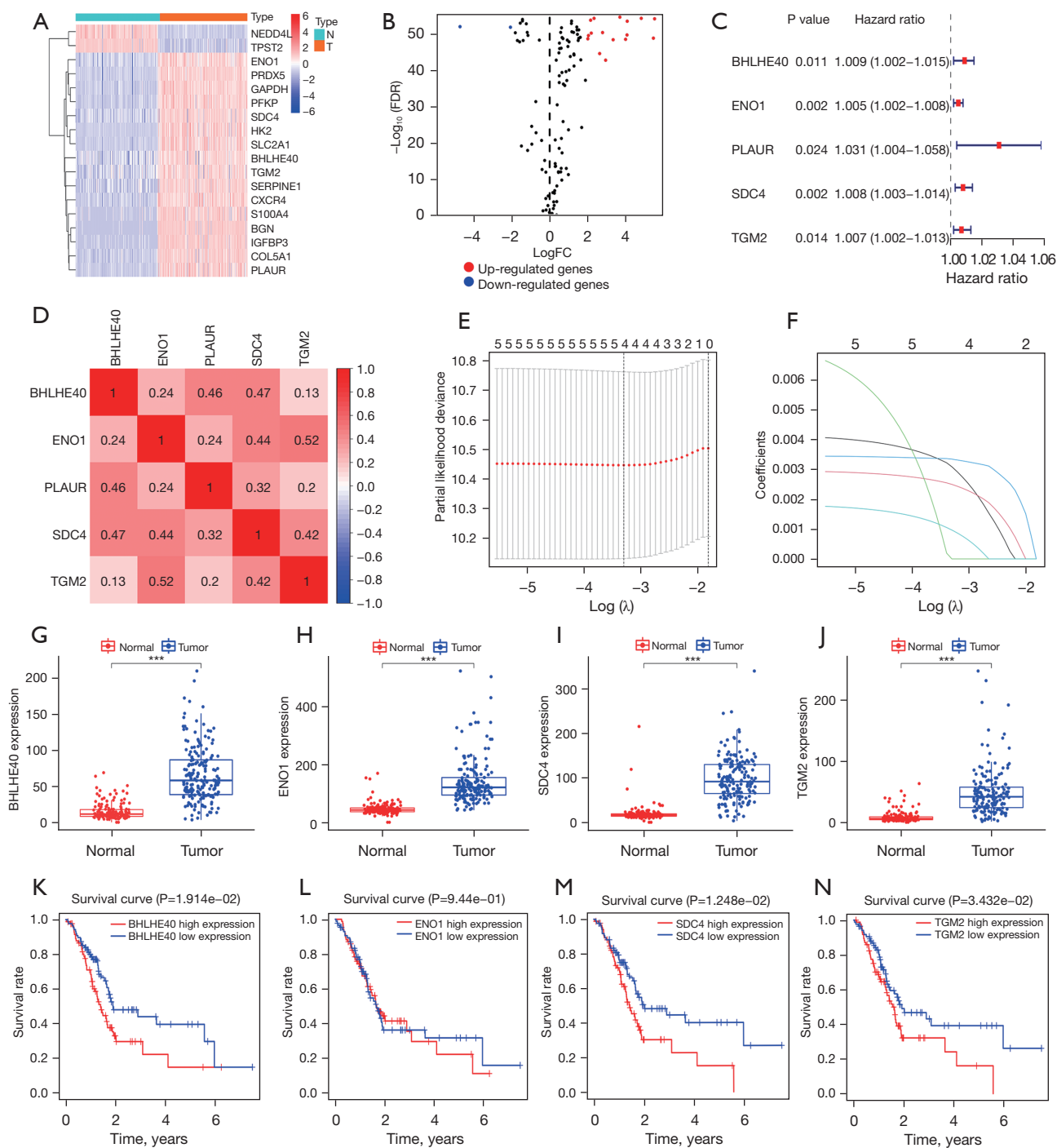
### Construction and validation of a hypoxia-related prognostic model

A hypoxia-related prognostic model was constructed to evaluate the prognosis of each patient as follows: Risk score =  $(0.0018 \times \textit{BHLHE40} \text{ expression}) + (0.0020 \times \textit{ENO1} \text{ expression}) + (0.0031 \times \textit{SDC4} \text{ expression}) + (0.0002 \times \textit{TGM2} \text{ expression})$ . The risk score of each patient was calculated according to the prognostic model. Based on the median risk score, 172 PCA patients in TCGA cohort were divided into 2 groups: the high-risk group ( $n = 86$ ) and the low-risk group ( $n = 86$ ). The risk score, survival time, and expression heatmap of the 4 HRGs for each patient are shown in Figure 3A–3C. The KM survival curve indicated that patients in the high-risk group showed markedly poorer OS than those in the low-risk group (Figure 3D). The data from the GSE102238, GSE62452, and GSE85916 datasets were combined as a validation set to validate the hypoxia-related prognostic model. Based on the same median risk score, we divided patients into a high-risk group ( $n = 13$ ) and a low-risk group ( $n = 173$ ). The risk score, survival time, and expression heatmap of 4 HRGs in the validation set are shown in Figure 3E–3G. Consistent with the results derived from the training set, the KM survival curve demonstrated that patients in the high-risk group had lower OS than those in the low-risk group in the validation set (Figure 3H).

By using univariate Cox regression analysis, the risk score was found to be significantly related to OS (Figure 4A;  $P < 0.05$ ), suggesting that the risk score was an independent prognostic factor for PCA patients. After accounting for other clinical characteristics, multivariate Cox regression analysis revealed that the risk score remained an independent prognostic factor (Figure 4B;  $P < 0.05$ ). A nomogram was constructed to predict 1-, 3-, and 5-year OS rates in PCA patients based on age, gender, grade, stage, and risk score (Figure 4C). Furthermore, the calibration curves of the nomogram were in good agreement with the actual OS rate (Figure 4D–4F).

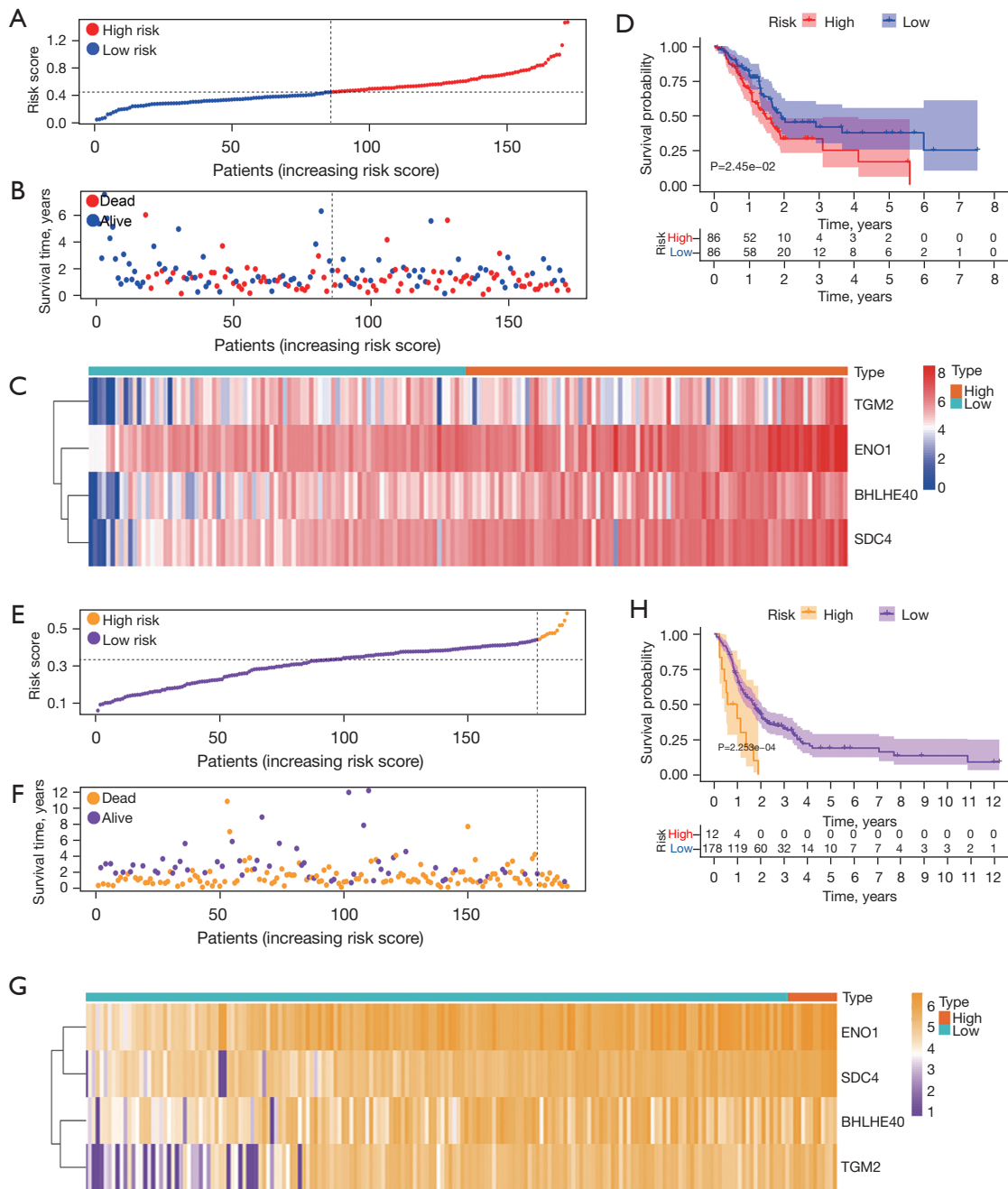
### Analysis of the TME in the hypoxia-related prognostic model

Accumulating evidence suggests that tumor hypoxia may protect tumors from natural anti-tumor immune responses

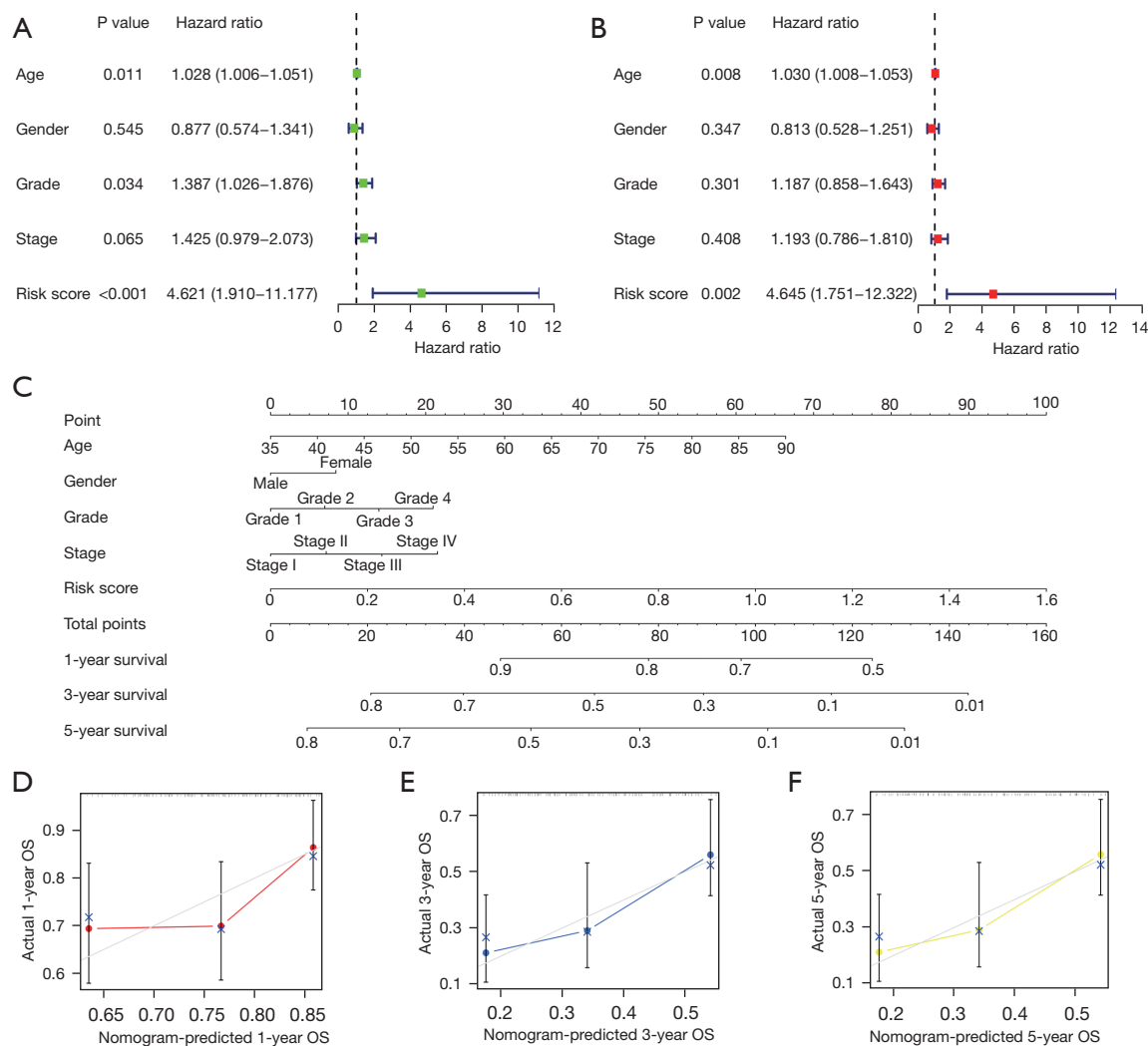


**Figure 2** Screening of key HRGs to construct a PCA prognostic model. (A) Heatmap and (B) volcano map of 18 differentially expressed HRGs. (C) Five HRGs closely related to OS in PCA by univariate Cox regression. (D) Spearman correlation analysis of 5 HRGs in the TCGA database. (E) Selection of the optimal genes used to construct the final prediction model by LASSO regression analysis. Ten-fold cross-validation for tuning parameter selection. (F) LASSO coefficient profiles of the key genes. Each colored line represents the variation trajectory of each gene coefficient; (G–J) comparison of the expression levels of *BHLHE40*, *ENO1*, *SDC4*, and *TGM2* between PCA tissue and normal pancreatic tissue in the training set. (K–N) KM survival curves for of *BHLHE40*, *ENO1*, *SDC4*, and *TGM2* in the training set. \*\*\*, P<0.001. HRGs, hypoxia-related genes; PCA, pancreatic cancer; FC, fold-change; FDR, false discovery rate; OS, overall survival; TCGA, The Cancer Genome Atlas; LASSO, least absolute shrinkage and selection operator; KM, Kaplan–Meier.





**Figure 3** Construction and validation of a hypoxia-related prognostic model. (A) Sorting patients according to risk score in the TCGA training set; (B) association between survival time and risk score in the TCGA training set; (C) heatmaps showing 4 HRGs expression profiles in high-risk and low-risk groups in the TCGA training set; (D) KM curves of patients in the high-risk and low-risk groups of TCGA training set; (E) sorting patients according to risk score in the GEO validation set; (F) association between survival time and risk score in the GEO validation set; (G) heatmaps showing 4 HRGs expression profiles in high-risk and low-risk groups in the GEO validation set; (H) KM curves of patients in the high-risk and low-risk groups of the GEO validation set. TCGA, The Cancer Genome Atlas; HRGs, hypoxia-related genes; KM, Kaplan–Meier; GEO, Gene Expression Omnibus.



**Figure 4** The risk score can be considered as an independent prognostic factor. (A,B) Univariate and multivariate Cox analyses evaluating the independent prognostic value of the hypoxia-related prognostic model; (C) a nomogram predicting the OS rates of PCA patients based on the TCGA cohort; (D-F) calibration curves for the nomogram. OS, overall survival; PCA, pancreatic cancer; TCGA, The Cancer Genome Atlas.

by inhibiting anti-tumor immune effector cells and facilitating immune escape (20,21). Here, we investigated the capability of the hypoxia-related prognostic model in evaluating the TME. The ESTIMATE algorithm was used to calculate the ImmuneScore, StromalScore, and EstimateScore for samples in the training set. We found that the ImmuneScore was significantly higher in the low-risk group than in the high-risk group (Figure 5A), indicating that the infiltration of immune cells in the high-risk group was decreased compared to that in the low-risk group. To further analyze the various immune cell subtypes,

the CIBERSORT method was introduced to calculate the relative proportions of 22 immune cells in each sample (Figure 5B). Compared to the low-risk group, the high-risk group had higher infiltration levels of M0 macrophages yet lower infiltration levels of naïve B cells, plasma cells, CD8<sup>+</sup> T cells, and activated CD4<sup>+</sup> memory T cells (Figure 5C). The correlations between the risk score and the above 5 kinds of immune cells were calculated by Spearman correlation analysis (Figure 5D). Infiltration levels of M0 macrophages were positively correlated with the risk score, whereas infiltration levels of naïve B cells, plasma cells,



CD8<sup>+</sup> T cells, and activated CD4<sup>+</sup> memory T cells were negatively correlated with the risk score (Figure 5D). Next, we analyzed the differences between the groups involved in the cancer-immunity cycle. We found that the high-risk group had a lower score in the following steps: CD4<sup>+</sup> T cell recruiting, dendritic cell (DC) recruiting, macrophage recruiting, Th17 cell recruiting, and killing of cancer cells (Figure 5E), suggesting that hypoxia hindered these steps in the cancer-immunity cycle.

### ***The clinical significance and biological function of BHLHE40 in PCA***

In the GSE67549 dataset, 9 PCA cells were cultured under normoxic or hypoxic (1% oxygen) conditions for 24 h. Through a paired *t*-test, it was found that the expressions of *BHLHE40*, *ENO1*, *SDC4*, and *TGM2* were up-regulated under hypoxic conditions, compared with those under normoxic conditions (Figure 6A). Since the FC of expression of *BHLHE40* was the highest (Figure 6A), we chose *BHLHE40* as a candidate target gene for further investigation. To determine the protein expression level of *BHLHE40*, IHC staining images of PCA tissue and normal pancreatic tissue were obtained from the Human Protein Atlas (HPA) database (<http://www.proteinatlas.org/>). The results showed that the protein expression of *BHLHE40* in PCA tissue was higher than that in normal tissue (Figure 6B). Based on PCA data in TCGA cohort, we found that there were significant differences in the expression of *BHLHE40* in patients with different grades, stages, tumor (T) stages, and metastasis (M) stages (Figure 6C-6F).

According to previous reports, tumor cells are more likely to invade and migrate under hypoxic conditions (9,10). To explore whether *BHLHE40* could participate in the invasion and migration of PCA, we used siRNAs targeting *BHLHE40* to investigate whether knockdown of *BHLHE40* could affect the metastasis of PCA cells. Firstly, qRT-PCR was used to detect the expression of *BHLHE40* in MIA PaCa-2, SW1990, and PANC-1 PCA cell lines. We found that PANC-1 cells expressed the highest level of *BHLHE40* among these 3 cell lines (Figure 6G). Then, the PANC-1 cell line was applied in subsequent experiments. Then, 3 siRNAs targeting *BHLHE40* were prepared, and their efficiency of knockdown was confirmed by qRT-PCR (Figure 6H). Among them, siBHLHE40-2 and siBHLHE40-3 presented better interference effects. Interfering of *BHLHE40* significantly repressed the invasion of PANC-1 cells (Figure 6I). Consistent with the

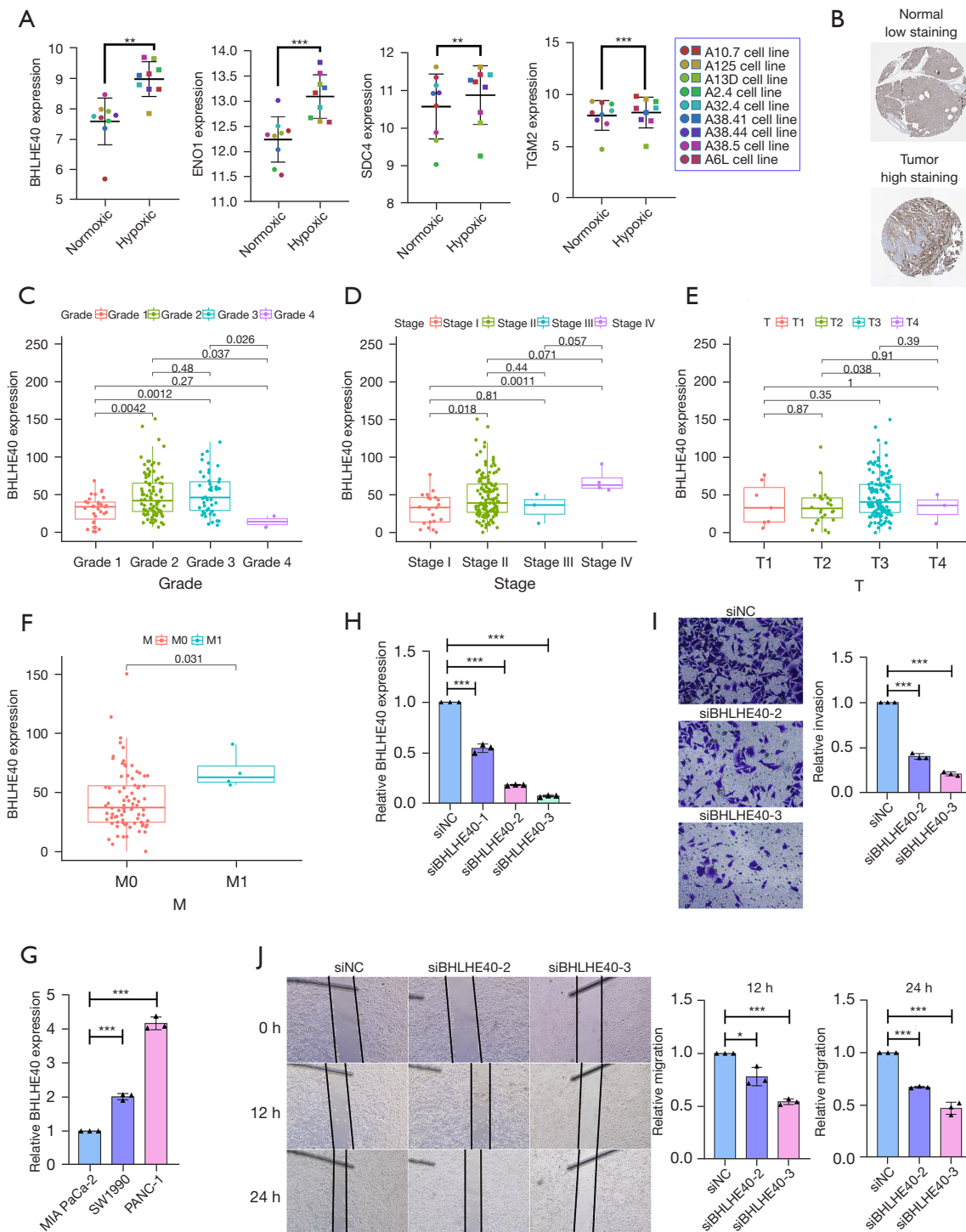
trend of invasion tests, the migration ability of PANC-1 cells was also significantly reduced by knockdown of *BHLHE40* (Figure 6J). Therefore, we found that *BHLHE40* participated in the metastasis of PCA cells.

### ***TLR3 serves as a downstream target gene of BHLHE40***

To explore the downstream target genes of *BHLHE40*, we performed differential analysis on the transcriptional data of the sh-NC group and the sh-BHLHE40 group in the GSE107300 dataset. Based on the criteria of  $|\log_2 \text{FC}| > 2$  and  $\text{FDR} < 0.05$ , 77 differentially expressed genes (DEGs) in MDA-MB-231 cells were screened out: 29 genes were up-regulated and 48 genes were down-regulated upon knockdown of *BHLHE40* (Figure 7A). Spearman correlation analysis was performed to further explore which of these DEGs were co-expressed with *BHLHE40* in TCGA PCA cohort. The results showed 12 out of 48 down-regulated genes and 3 out of 29 up-regulated genes were positively and negatively correlated with *BHLHE40*, respectively, in TCGA PCA cohort (Figure S1). The KM survival analysis was then performed on the above 15 genes co-expressed with *BHLHE40* to evaluate their relationship with prognosis (Figure S2). Finally, we identified 4 genes, *CYP24A1*, *GCNT4*, *TLR3*, and *TMEM139*, that were co-expressed with *BHLHE40* (Figure 7B-7E) and related to prognosis (Figure 7F-7I). To explore the potential functions of these 4 genes, samples from TCGA PCA cohort were divided into high and low expression groups based on the median expression of each gene and the GSEA enrichment analysis was performed. No pathways were found to be significantly enriched in the *TMEM139* high expression group. The significantly enriched pathways in the *CYP24A1* highly expressed group were mainly pathways involved in cancer (Figure 7J). The pathways that were significantly enriched in the *GCNT4* highly expressed group were mainly metabolism-related pathways (Figure 7K). Apoptosis, toll-like receptor, tight junction, and metabolism pathways were significantly enriched in the *TLR3* highly expressed group (Figure 7L).

### ***The BHLHE40/TLR3 axis promoted cell invasion and migration in PCA***

Previous study has verified the capability of transcription regulating of *BHLHE40* (22,23). In order to explore whether there were binding sites of *BHLHE40* in the promoters of *CYP24A1*, *GCNT4*, *TLR3*, and *TMEM139*,



**Figure 6** *BHLHE40* triggered metastasis of pancreatic cancer cells. (A) The expression levels of *BHLHE40*, *ENO1*, *SDC4*, and *TGM2* under normoxic or hypoxic conditions were evaluated in 9 PCA cell lines; (B) the protein expression level of *BHLHE40* in PCA (<https://www.proteinatlas.org/ENSG00000134107-BHLHE40/pathology/pancreatic+cancer#img>) and normal pancreatic tissue (<https://www.proteinatlas.org/ENSG00000134107-BHLHE40/tissue/pancreas#img>); (C-F) the expression of *BHLHE40* of PCA patients with different grades, stages, T stages, and M stages in the TCGA cohort; (G) the expression levels of *BHLHE40* in MIA PaCa-2, SW1990, and PANC-

1 cell lines were determined by qRT-PCR; (H) knockdown of *BHLHE40* by using siRNAs targeting *BHLHE40* (siBHLHE40) repressed the expression of *BHLHE40* in PANC-1 cells; (I) Transwell assays were performed to assess the invasion ability of PANC-1 cells transfected with siRNAs targeting *BHLHE40* (siBHLHE40) ( $\times 200$ ), in which cells were stained with 0.1% crystal violet; (J) cell migration was assessed using a wound healing assay ( $\times 40$ ). All data are presented as the mean  $\pm$  SD of 3 independent experiments. \* $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ . PCA, pancreatic cancer; TCGA, The Cancer Genome Atlas; siRNA, small interfering RNA; qRT-PCR, quantitative real-time polymerase chain reaction; SD, standard deviation.

the JASPAR database was used to analyze the sequences of these promoters (Figure S3). According to the criterion that the relative profile parameter threshold  $> 80\%$  and predicting score  $> 5$ , no predicted binding sites were obtained in the promoter of *CYP24A1* or *TMEM139*. Multiple predicted binding sites were identified in the promoter of *GCNT4*, and 2 binding sites were predicted in the promoter of *TLR3*, namely S1 (score = 5.89 and 4.45) and S2 (score = 4.99 and 6.76) (Figure 8A). Since pathways significantly enriched in the *TLR3* highly expressed group participate in the metastasis of cancer (as shown in Figure 7L), we speculated that *TLR3* might be responsible for *BHLHE40*-mediated invasion and migration. Interference against *BHLHE40* down-regulated *TLR3* mRNA expression (Figure 8B). To confirm the binding of *BHLHE40* to the promoter of *TLR3*, we performed ChIP assay using PCR primers targeting S1 and S2 regions of the *TLR3* promoter. As shown in Figure 8C, interfering with *BHLHE40* could weaken the binding of *BHLHE40* to the S1 and S2 sites of the *TLR3* promoter.

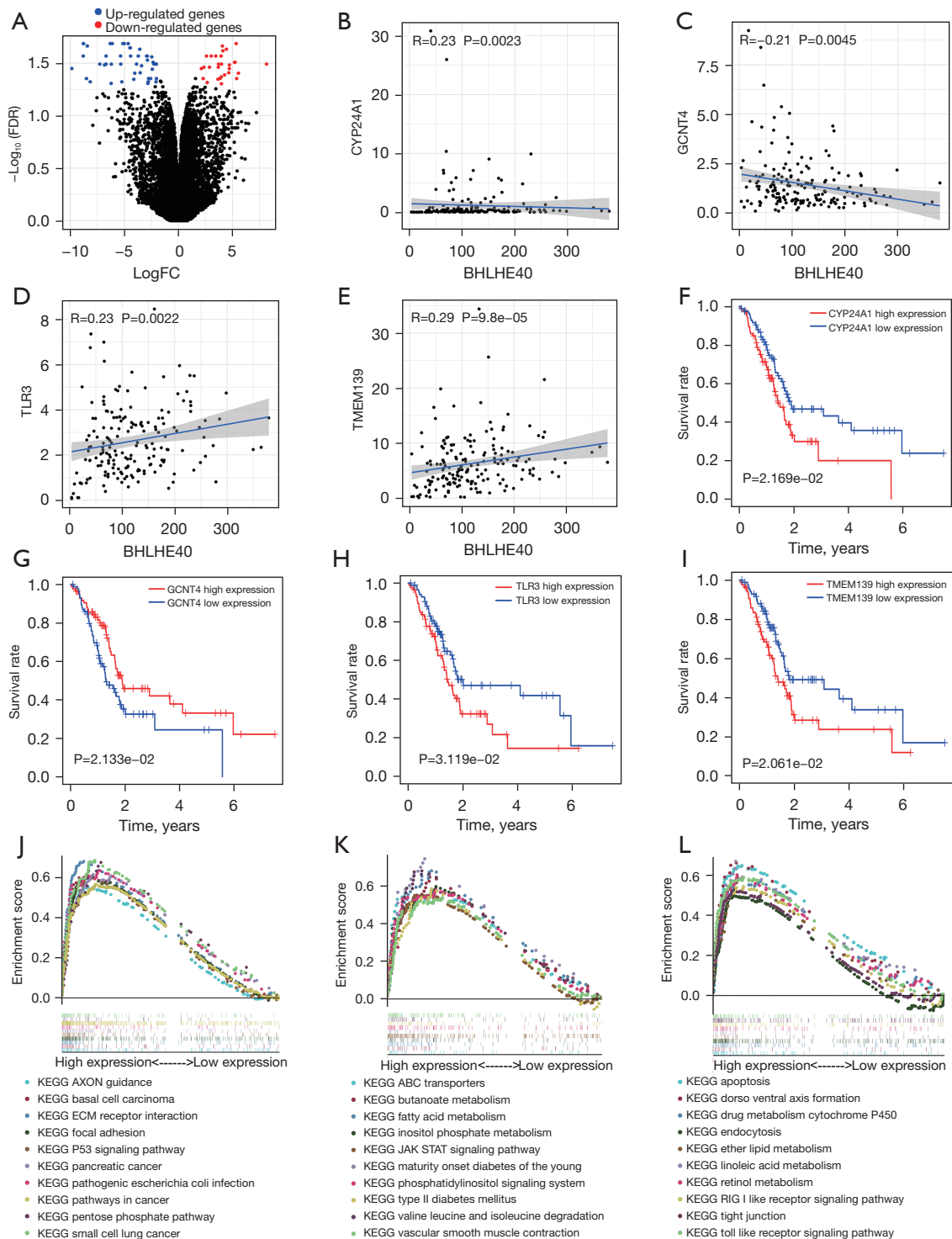
To further explore the biological function of *TLR3*, 3 siRNAs targeting *TLR3* (siTLR3) were prepared and their efficiency was confirmed by qRT-PCR (Figure 8D). The siTLR3-1 and siTLR3-2 were chosen for subsequent experiments. *TLR3* silencing by siRNAs suppressed the migration (Figure 8E) and invasion of PANC-1 cells (Figure 8F). Then, we co-transfected siBHLHE40 and siTLR3 into PANC-1 cells, and found that siTLR3 significantly reduced the inhibitory effect of siBHLHE40 on cell invasion (Figure 8G), suggesting that *BHLHE40* promoted PCA metastasis through *TLR3* dependent mechanism.

## Discussion

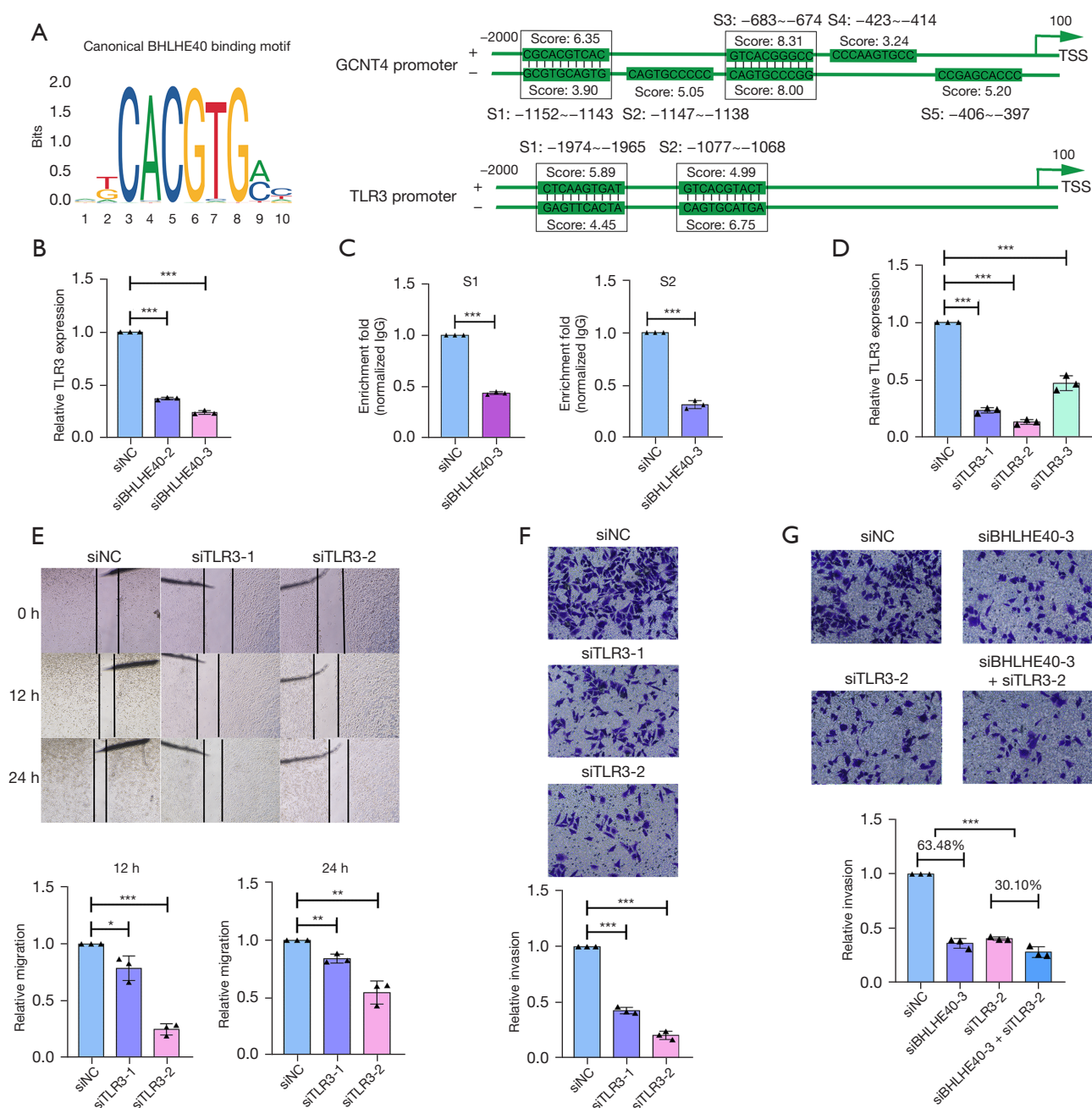
PCA is one of the deadliest diseases worldwide due to its typically late diagnosis, early metastasis, and resistance to current treatment strategies, including chemotherapy and radiotherapy. PCA is highly hypoxic compared to other solid tumors (24,25). The formation of a hypoxic

microenvironment in PCA is mainly due to its rich extracellular matrix, rapid proliferation of PCA cells, and poor vascularization, which leads to increased oxygen consumption and insufficient oxygen supply (26,27). Numerous studies have demonstrated that hypoxia plays an important role in the progression of cancer and the development of malignant phenotypes (28,29). Hypoxia can promote tumor cell metastasis, inhibit anti-tumor immune response, and promote dysfunctional angiogenesis (30-32). Although hypoxia is not associated with traditional clinical prognostic factors, such as tumor stage and tumor grade, it has been demonstrated as a significant unfavorable prognostic factor of tumor patients (33). In the present study, we found that hypoxia has a significant impact on the prognosis of PCA patients. Several methods have been developed to evaluate the degree of hypoxia in tumors, such as oxygen pressure measurement, blood flow velocity methods [such as blood oxygen level dependent magnetic resonance imaging (BOLD-MRI)], and the detection of hypoxia markers (such as HIF, nitroreductase) (34-36). We constructed and validated a prognostic model including 4 HRGs (*BHLHE40*, *ENO1*, *SDC4*, and *TGM2*) by LASSO regression analysis to evaluate the prognosis of PCA patients. This model has good prognostic predictive value. Furthermore, the nomogram we created could accurately predict the OS of individual PCA patients. These findings provide new insights into predicting the prognosis of PCA patients. In addition, by comparing the expression levels of 4 HRGs in the model under normoxic and hypoxic conditions, we found that they were all upregulated under hypoxic conditions. This means that changes in their expression levels to some extent can reflect tumor hypoxia. However, further confirmation is needed to determine whether these genes and the risk score of the model are related to the degree of tumor hypoxia.

Growing evidence suggests that hypoxia can drive tumor immunosuppression and immune escape (20,21). In a mouse model, HIF-1 $\alpha$  knockout in the pancreas has been shown to significantly increase infiltration levels of B cells in the PCA TME, leading to increased levels of B cell attractant



**Figure 7** Identifying the downstream genes of *BHLHE40*. (A) Volcano map of 77 DEGs between the control group and the *BHLHE40*-knockdown group in GSE107300; (B-E) Spearman correlation analyses between the expression of *BHLHE40* and 4 downstream genes in TCGA cohort, including *CYP24A1*, *GCNT4*, *TLR3*, and *TMEM139*; (F-I) KM survival curves of *CYP24A1*, *GCNT4*, *TLR3*, and *TMEM139* based on the TCGA cohort; (J-L) GSEA of *CYP24A1*, *GCNT4*, and *TLR3*, based on the median expression of each gene. DEGs, differentially expressed genes; FC, fold-change; FDR, false discovery rate; TCGA, The Cancer Genome Atlas; KM, Kaplan–Meier; GSEA, gene set enrichment analysis.



**Figure 8** *TLR3* served as a downstream gene of *BHLHE40* and promoted migration and invasion of PCA cells. (A) The JASPAR database predicts binding sites between *BHLHE40* and the promoters of *GCNT4* and *TLR3*; (B) knockdown of *BHLHE40* repressed the expression of *TLR3* in PANC-1 cells; (C) by using ChIP assay, *BHLHE40* was immunoprecipitated from PANC-1 cells, and the binding of *BHLHE40* to the promoter of *TLR3* was detected using qRT-PCR with the indicated primers; (D) knockdown of *TLR3* by using siTLR3 repressed the expression of *TLR3* in PANC-1 cells; (E) cell migration was assessed using a wound healing assay upon treatment with siTLR3 ( $\times 40$ ); (F) the invasion ability of PANC-1 cells transfected with siTLR3 was evaluated by using transwell assay ( $\times 200$ ), in which cells were stained with 0.1% crystal violet; (G) the invasion ability of PANC-1 cells co-transfected with siBHLHE40 and siTLR3 was determined by transwell assay ( $\times 200$ ), in which cells were stained with 0.1% crystal violet. All data are presented as the means  $\pm$  SD of 3 independent experiments. \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ . PCA, pancreatic cancer; TSS, transcription start site; ChIP, chromatin immunoprecipitation; qRT-PCR, quantitative real-time polymerase chain reaction; siRNAs, small interfering RNAs; SD, standard deviation; siTLR3, siRNAs targeting *TLR3*.



chemokines such as CXCL13 (37). This result shows that hypoxia leads to reduced B cell infiltration levels (37). Hypoxia-induced reactive oxygen species (ROS) preserves immunosuppressive and potentially fatal effects on T cells (38). Superoxide is created in the mitochondria by STAT3 and NADPH activation, which subsequently activates the caspase cascade and induces T cell death (39). Macrophages are a primary component of the innate immune response (40). M2 macrophages are located in more hypoxic areas of PCA, whereas M1 macrophages tend to be in normoxic areas farther away from cancer cells (41,42). In our present study, we found that the high-risk group had a lower ImmuneScore than the low-risk group in the hypoxia-related prognostic model. The infiltration levels of naïve B cells, plasma cells, CD8<sup>+</sup> T cells, and activated CD4<sup>+</sup> memory T cells in the high-risk group were lower than those in the low-risk group. Comparison of the cancer-immunity cycle score between groups revealed that the high-risk group had a lower score in the following steps, such as CD4<sup>+</sup> T cell recruiting, DC recruiting, macrophage recruiting, Th17 cell recruiting, and killing of cancer cells. These results suggested that hypoxia in PCA affected the infiltration levels of immune cells in the TME, driving immune suppression and immune escape.

The 4 HRGs, *BHLHE40*, *ENO1*, *SDC4*, and *TGM2*, involved in the prognostic model, were all up-regulated under hypoxic conditions according to GSE67549 dataset, and the increased FC of *BHLHE40* was the most significant among these 4 HRGs. *BHLHE40*, also known as DEC1/Stral3/Sharp2, is a basic helix-loop-helix (bHLH) transcription factor involved in circadian rhythm, cell proliferation, apoptosis, hypoxia response, various stresses, and EMT of tumor cells (22,23,43-45). Compared with adjacent normal tissue, *BHLHE40* is highly expressed in many tumors, such as colon cancer (46), liver cancer (47), and brain tumors (48). By using bioinformatics analysis, we found that *BHLHE40* was also highly expressed in PCA compared with normal pancreatic tissue. Furthermore, PCA patients with higher *BHLHE40* expression level had a less favorable prognosis. To date, there have been few studies on *BHLHE40* in tumor invasion or metastasis, and the results are inconsistent (49-51). According to previous studies, *BHLHE40* mediates transcriptional repression of *CLDN1* and promotes cell invasion by interacting with SP1 in breast cancer cells (49). *BHLHE40* also promotes EMT in PCA cells by activating Smad3 phosphorylation (50). However, in endometrial adenocarcinoma, *BHLHE40* inhibits the transcription of Twist by competing for the

binding site with SP1, thereby inhibiting EMT (51). Our study verified the role of *BHLHE40* in PCA cell invasion and migration *in vitro* through regulation on downstream *TLR3*. Besides *BHLHE40*, the other 3 HRGs, *ENO1*, *SDC4*, and *TGM2*, were found to be involved in the progression of cancers as well. Enolase 1 (*ENO1*), also known as pyruvate dehydrogenase 1, is a key regulatory enzyme of glycolysis (52). Consistent with the results of our bioinformatics analysis, *ENO1* has been found to be highly expressed in PCA tissue compared to normal tissue, and is involved in PCA tumorigenesis, invasion, and metastasis (53-55). Studies have reported that high *ENO1* expression predicted a poor prognosis in PCA (56,57). In our bioinformatics analysis, we found that *ENO1* overexpression is an adverse factor affecting OS in PCA patients. It has been reported that *ENO1* silencing impairs hypoxia-induced gemcitabine chemoresistance in PCA cells by modulation of redox homeostasis (57). *FAM126A* interacted with *ENO1* mediates proliferation and metastasis in PCA via PI3K/AKT signaling pathway (58). Syndecan-4 (*SDC4*), as an important member of the SDC family, is a ubiquitously expressed transmembrane proteoglycan with heparan sulfate chains (59). *SDC4* promotes tumor development and progression by affecting cell proliferation, invasion, and migration (60-63). Knockout of *SDC4* in PCA cells significantly impairs macropinocytosis, colony formation, and xenograft tumor growth (64). Recent studies have shown that the expression level of *SDC4* is significantly related to OS in patients with pancreatic cancer and affects the prognosis of patients, which is consistent with our results (65). Transglutaminase 2 (*TGM2*) is a member of the transglutaminase enzyme family (66). As a calcium-dependent cross-linking enzyme, *TGM2* catalyzes protein modifications via transamidation. *TGM2* is involved in multiple biological functions, including cell differentiation, extracellular matrix stabilization, and cell migration (67). *TGM2* expression is negatively correlated with the prognosis of pancreatic cancer patients (68). Recent studies have confirmed that the promotion of EMT in hepatocellular carcinoma cells by activated hepatic stellate cells is mediated by pseudohypoxia induced via the TGM2/VHL/HIF-1 $\alpha$  pathway (69). *TGM2* also induces EMT in colon, breast, and gastric cancer cells, potentially leading to the acquisition of drug resistance (70-72).

In this study, we identified DEGs upon knockdown of *BHLHE40* in the GSE107300 dataset. These genes were further screened in TCGA PCA cohort to identify those that were co-expressed with *BHLHE40* and related to

prognosis. Finally, we screened out *CYP24A1*, *GCNT4*, *TLR3*, and *TMEM139* could be the candidate downstream targets of *BHLHE40*. Then, we predicted the potential functions of these 4 genes by using GSEA, and explored the interaction between *BHLHE40* and the promoters of these 4 genes using the JASPAR database. Since the potential functions of *TLR3* were involved in metastasis and 2 binding sites of *BHLHE40* were identified in the promoter of *TLR3*, we proposed that *TLR3* might function as a direct target of *BHLHE40* mediating metastasis of PCA cells. *TLR3* is an important member of the TLR family, a group of pattern recognition receptors that mediate immune responses (73). *TLR3* is mostly found to be expressed in immune cells, such as macrophages, DC, and natural killer (NK) cells (74). It plays a role in activating innate immunity, resulting in the production of cytokines, chemokines, and adhesion molecules that cause inflammation (74). There is a growing body of evidence suggesting that *TLR3* is also expressed in tumor cells and has dual roles in tumor progression. Several studies have shown that *TLR3* promotes tumor cell apoptosis in breast (75), prostate (76), and liver (77) cancers. In addition, some studies have indicated that *TLR3* can promote tumor progression (78-80). It has been reported that *TLR3* is associated with more aggressive tumor behavior in head and neck cancer (81). *TLR3* expressed in the host lung epithelial cell has been shown to promote lung pre-metastatic niche formation via tumor exosome-mediated neutrophil recruitment (82). In this study, we found that *TLR3* is a downstream gene of *BHLHE40*, and that it participated in the invasion and migration of PCA cells.

This study has various limitations and needs further optimization. Although the prediction model we established has been verified with retrospective data in the public database, more prospective data are needed to verify its clinical applicability. Besides *TLR3*, the other 3 potential genes, including *CYP24A1*, *GCNT4*, and *TMEM139*, at the downstream of *BHLHE40* could also be involved in the progression of PCA. Although the regulation of *CYP24A1*, *GCNT4*, or *TMEM139* by *BHLHE40* was not investigated in the present study, further study is still necessary to reveal the role of *CYP24A1*, *GCNT4*, and *TMEM139* in the progression of PCA under hypoxic conditions. Moreover, the cell signaling transduction between *BHLHE40* and downstream genes still needs further exploration.

## Conclusions

In conclusion, the present study constructed and validated

a prognostic model based on 4 HRGs, *BHLHE40*, *ENO1*, *SDC4*, and *TGM2*. The hypoxia-related prognostic model can also assess TME in PCA patients. Moreover, we found that the *BHLHE40*/*TLR3* axis promoted invasion and migration of PCA cells, revealing a mechanism involved in the unfavorable prognosis of PCA. This study may provide new insights into how hypoxia affects the prognosis and TME of PCA and may benefit hypoxia-targeted therapies for this aggressive tumor in the future.

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## Footnote

*Reporting Checklist:* The authors have completed the TRIPOD reporting checklist. Available at <https://jgo.amegroups.com/article/view/10.21037/jgo-23-301/rc>

*Data Sharing Statement:* Available at <https://jgo.amegroups.com/article/view/10.21037/jgo-23-301/dss>

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*Conflicts of Interest:* All authors have completed the ICMJE uniform disclosure form (available at <https://jgo.amegroups.com/article/view/10.21037/jgo-23-301/coif>). The authors have no conflicts of interest to declare.

*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

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