

# Genomic Epidemiology of Carbapenem-Resistant *Klebsiella* in Qatar: Emergence and Dissemination of Hypervirulent *Klebsiella pneumoniae* Sequence Type 383 Strains

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ABSTRACT The emergence of carbapenem-resistant, hypervirulent Klebsiella pneumoniae is a new threat to health care. We studied the molecular epidemiology of carbapenem-resistant Klebsiella pneumoniae isolates in Qatar using whole-genome sequence data. We also characterized the prevalence and genetic basis of hypervirulent phenotypes and established the virulence potential using a Galleria mellonella model. Of 100 Klebsiella isolates studied, NDM and OXA-48 were the most common carbapenemases. Core genome single-nucleotide polymorphism (SNP) analysis indicated the presence of diverse sequence types and clonal lineages; isolates belonging to Klebsiella quasipneumoniae subsp. quasipneumoniae sequence type 196 (ST196) and ST1416 may be disseminated among several health care centers. Ten K. pneumoniae isolates carried rmpA and/or truncated rmpA2, and 2 isolates belonged to KL2, indicating low prevalence of classical hypervirulent isolates. Isolates carrying both carbapenem resistance and hypervirulence genes were confined mainly to ST231 and ST383 isolates. One ST383 isolate was further investigated by MinION sequencing, and the assembled genome indicated that bla<sub>NDM</sub> was located on an IncHI1Btype plasmid (pFQ61\_ST383\_NDM-5) which coharbored several virulence factors, including the regulator of the mucoid phenotype (rmpA), the regulator of mucoid phenotype 2 (rmpA2), and aerobactin (iucABCD and iutA), likely resulting from recombination events. Comparative genomics indicated that this hybrid plasmid may be present in two additional Qatari ST383 isolates. Carbapenem-resistant, hypervirulent K. pneumoniae ST383 isolates pose an emerging threat to global health due to their simultaneous hypervirulence and multidrug resistance.

**KEYWORDS** carbapenem resistance, genomics, molecular epidemiology, virulence, hybrid plasmid, *Klebsiella* 

K lebsiella pneumoniae is a Gram-negative bacterial pathogen that is widely present in nature and in the human intestine. *K. pneumoniae* is well known to cause hospital-acquired infections in immunocompromised patients (1, 2), but infections caused by *K. pneumoniae* can also occur in long-term-care facilities, such as nursing homes, **Copyright** © 2023 American Society for Microbiology. All Rights Reserved.

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Received 13 January 2023 Returned for modification 14 February 2023 Accepted 23 May 2023 Published 13 June 2023 and in the community. Types of infection vary and include hospital-acquired pneumonia, lung abscesses, bloodstream infections, catheter-related infections, wound or surgical site infections, upper and lower urinary tract infections, liver abscesses, and meningitis (1). Based on the genome sequencing data, various related species and subspecies, such as *K. aerogenes, K. oxytoca, K. quasipneumoniae*, and *K. variicola*, have been recognized (3–5). In our previous study, in which we had studied 149 carbapenem-resistant *Enterobacterales* (CRE) isolates in Qatar, *K. pneumoniae* (54%) and *K. quasipneumoniae* (16%) isolates were prevalent (6).

CRE infections are a global health priority and are among the most serious antimicrobial resistance (AMR) threats (7). Carbapenem resistance in *K. pneumoniae* is primarily driven by production of carbapenemases, with extended-spectrum  $\beta$ -lactamases (ESBL) such as CTX-M-2 playing a supplementary role in hydrolyzing cephalosporins in combination with decreased membrane permeability in the cell wall (6, 8–11). In the aforementioned study in Qatar, genes encoding metallo- $\beta$ -lactamases were detected in 45.8% of the isolates and OXA-48-like enzymes in 40.3% (6).

Hypervirulent *K. pneumoniae* (hvKp) can cause serious life-threatening infections, such as liver abscesses, and is associated with high mortality and morbidity (12). Several virulence factors contribute to the pathogenicity, including hypermucoviscosity-specific capsular antigens (i.e., K1 and K2 serotypes) and virulence loci. such as mucoid phenotype regulator, encoded by *rmpA*, and aerobactin (12, 13). Traditionally, multidrug-resistant (MDR) and hypervirulent phenotypes in *K. pneumoniae* have been associated with distinct lineages. However, MDR lineages acquiring virulence traits or hypervirulent lineages acquiring AMR genes have increasingly been reported in the last decade, especially in South and Southeast Asia (14–18), mostly through dissemination of conjugative and hybrid plasmids harboring both resistance and virulence genes. This may lead to widely disseminated community-acquired infections in healthy people that are difficult to treat.

While carbapenem resistance in *Klebsiella* is increasingly documented in the Middle East region, there is limited information on hypervirulence and how it intersects with carbapenem resistance. We therefore conducted an in-depth analysis of *Klebsiella* genomes that were sequenced in the study of CRE in Qatar during 2014 to 2017 (6), with the following three aims: (i) to describe the genetic diversity, AMR genes, and virulence determinants of *Klebsiella* isolates, (ii) to investigate the molecular epidemiology data of selected sequence types (ST) that had  $\geq$ 4 isolates, and (iii) to characterize the genetic context and virulence potential of a carbapenem-resistant hvKp strain belonging to ST383.

## RESULTS

Epidemiology of sequence types and AMR genes. Whole-genome sequencing (WGS) had been carried out on 100 carbapenem-resistant Klebsiella isolates, which was part of a larger-scale epidemiology study that included all CRE isolates retrieved from the Hamad Medical Corporation's microbiology department (Doha, Qatar) from 1 April 2015 to 30 November 2017 (6). As previously described, the species included K. pneumoniae (n = 80), K. quasipneumoniae subsp. quasipneumoniae (n = 14), K. quasipneumoniae subsp. similipneumoniae (n = 2), K. aerogenes (n = 3), and K. oxytoca (n = 1). Among the 40 different STs reported, 23 were represented by a single isolate; 4 isolates were not reported elsewhere and were submitted for assignment of new ST numbers, and 1 isolate (FQ156, ST25-1LV) did not meet the criteria for assignment (see Table S1 in the supplemental material). Common K. pneumoniae STs included ST147 (n = 13), ST231 (n = 7), and ST11 (n = 5). ST147 and ST11 belong to widespread clonal group 147 (CG147) and CG258 (Table 1; Table S1). ST147 isolates were identified from all specimen types (blood, pus, sterile body fluid, urine, and respiratory tract), while ST231 isolates were collected from all specimen types except sterile body fluid. Out of the 14 K. quasipneumoniae subsp. quasipneumoniae isolates (14%) (6), 9 belonged to ST196 and 4 belonged to ST1416. These isolates were identified from blood, pus, and urine specimens (Table 1).

As previously reported, carbapenemase genes identified included those encoding

	No. (%) of isolates from:						
Group or feature	Blood ( <i>n</i> = 20)	Pus ( <i>n</i> = 12)	Fluid and other sources ( $n = 6$ )	Urine ( <i>n</i> = 37)	RT <sup>a</sup> (n = 23)	Total	
Species							
K. pneumoniae	13 (65)	8 (66.7)	5 (83.3)	32 (86.4)	22 (95.7)	80	
K. quasipneumoniae	6 (30)	3 (25)	1 (16.7)	5 (13.5)	1 (4.3)	16	
K. aerogenes		1 (8.3)		2 (5.4)		3	
K. oxytoca <sup>b</sup>	1 (5)					1	
Major STs (CG)							
ST147 (CG147)	3 (15)	1 (8.3)	1 (16.7)	5 (13.5)	3 (13)	13	
ST231 (CG2321)	1 (5)	1 (8.3)		1 (2.7)	4 (17.4)	7	
ST11 (CG258)		1 (8.3)		2 (5.4)	2 (8.7)	5	
ST14/15 (CG15)		1 (8.3)		3 (8.1)		4	
ST383		1 (8.3)	1 (16.7)	2 (5.4)		4	
ST196	3 (15)	2 (16.7)		4 (10.8)		9	
ST1416	2 (10)		1 (16.7)		1 (4.3)	4	
AMR							
NDM	10 (50)	5 (43)	2 (33.3)	22 (59.5)	6 (26.1)	45	
OXA-48	8 (40)	3 (25)	1 (16.7)	18 (48.6)	12 (52.2)	42	
KPC		2 (16.7)	1 (16.7)		2 (8.7)	5	
CTX-M	19 (95)	12 (100)	5 (83.3)	34 (91.9)	21 (91.3)	91	
Virulence							
rmpA	1 (5)	1 (8.3)	2 (33.3)	2 (5.4)	2 (8.7)	8	
rmpA2		1 (8.3)	2 (33.3)	4 (10.8)	2 (8.7)	9	
iuc	2 (10)	3 (25)	2 (33.3)	5 (13.5)	4 (17.4)	16	
iro		1 (8.3)		3 (8.1)	1 (4.3)	5	
clb		1 (8.3)	1 (16.7)		1 (4.3)	3	
KL2/KL20	1 (5)			4 (10.8)	2 (8.7)	7	

TABLE 1 Comparison of key features of Klebsiella isolates from different specimens in Qatar

<sup>a</sup>RT, respiratory tract.

<sup>b</sup>As K. michiganensis in Kleborate.

NDM-1 (n = 39), OXA-48 (n = 20), OXA-232 (n = 10), and OXA-181 (n = 12), but KPC-2 (n = 3) and KPC-3 (n = 2) were rare. Seven *K. pneumoniae* isolates carried more than one carbapenemase gene, while 15 isolates did not harbor any carbapenemase gene and instead carried combinations of  $bla_{CTX-M}$  genes with mutations in porin genes ompK35 and ompK36 (Table S1), which have previously been linked to carbapenem resistance in *Klebsiella* (19). In total, 68 out of 100 *Klebsiella* isolates had ompK35 or ompK36 loss/truncation/mutation, which may contribute to reduced susceptibility to carbapenems (Table S1).  $bla_{CTX-M}$  was coharbored by 75 isolates (75/85 [88.2%]), including 66 isolates (77.6%) harboring  $bla_{CTX-M-15}$ , 7 isolates (8.2%) harboring  $bla_{CTX-M-14b}$ , and 2 isolates (2.3%) harboring  $bla_{CTX-M-27}$ . Cocarriage of  $bla_{NDM}$  and/or  $bla_{OXA-48-type}$  and  $bla_{CTX-M}$  was reported for 6 isolates, including 3 ST383 isolates (Table S1).

We then studied the genetic relationships among species/isolates using core genome single-nucleotide polymorphism (cgSNP) analysis based on whole-genome alignment. The cgSNP alignment containing 403,061 bases indicated that 93% identity was shared by isolates among *K. pneumoniae* and *K. quasipneumoniae*, as well as *K. oxytoca* and *K. aerogenes* (Fig. 1; Table S2). cgSNP analysis illustrated that *K. pneumoniae* and *K. quasipneumoniae* isolates shared around 98.2% identity (>7,000 cgSNP differences), and they each formed monophyletic clades. There were genetic variations within isolates of *K. pneumoniae* (0 to 905 cgSNPs), *K. quasipneumoniae* subsp. *quasipneumoniae* (0 to 868 cgSNPs), *K. quasipneumoniae* subsp. *similipneumoniae* (859 cgSNPs), and *K. aerogenes* (10 to 1,142 cgSNPs) (Table S2). Genetic variations were also detected within prevalent *K. pneumoniae* isolates in terms of STs and presence or absence of certain AMR genes. For example, ST147 isolates differed by 2 to 56 cgSNPs, and out of 13 isolates, 6 had *bla*<sub>NDM</sub> while 8 had *bla*<sub>OXA-48-like</sub>. Smilarly, ST231 isolates differed by 2 to 25 cgSNPs, and out of 7 isolates, only 5 had *bla*<sub>OXA-48-</sub>. ST383 isolates differed by 3 to 12 cgSNPs, and out of 4 isolates, 3 had *bla*<sub>NDM-5</sub>. In contrast, *K. quasipneumoniae* subsp.



FIG 1 Genetic relationship of Klebsiella isolates inferred from cgSNPs (Parsnp) overlaid with the presence/absence of AMR and virulence phenotypes.

*quasipneumoniae* ST196 was highly clonal (0 to 1 cgSNPs), and all isolates carried  $bla_{\text{NDM-1}}$  (Fig. 1).

Although short-read assemblies were fragmented due to repetitive mobile genetic elements like insertion sequences (ISs), we attempted to gain an overview on the spectrum of plasmids associated with carbapenemase genes based on the replicon sequences detected from the same contigs as the carbapenemase genes.  $bla_{\rm NDM-1}$  was associated with the IncFII replicon, while  $bla_{\rm OXA-48-like}$  ( $bla_{\rm OXA-181}$  and  $bla_{\rm OXA-232}$ ) were commonly associated with CoIKP3

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Isolate	Species	ST	Date of isolation (day/mo/yr)	Carbapenemase	Replicon
FQ103	K. pneumoniae	11	16/3/17	NDM-1	IncA/C2
FQ7	K. pneumoniae	17	20/5/15	NDM-1	IncFIB (pQil)
FQ94	K. pneumoniae	16	26/2/17	OXA-181	ColKP3
FQ115	K. pneumoniae	16	27/4/17	OXA-181	ColKP3
FQ186	K. pneumoniae	16	24/12/17	OXA-232	ColKP3
FQ22	K. pneumoniae	38	7/7/15	OXA-232	ColKP3
FQ27	K. pneumoniae	147	22/7/15	OXA-181	ColKP3
FQ28	K. pneumoniae	147	25/7/15	OXA-181	ColKP3
FQ45	K. pneumoniae	147	11/11/15	OXA-181	ColKP3
FQ70	K. pneumoniae	147	21/10/16	OXA-181	ColKP3
FQ114	K. pneumoniae	231	25/4/17	OXA-232	ColKP3
FQ120	K. pneumoniae	231	17/5/17	OXA-232	ColKP3
FQ148	K. pneumoniae	231	26/8/17	OXA-232	ColKP3
FQ144	K. pneumoniae	395	20/8/17	OXA-232	ColKP3
FQ138	K. pneumoniae	716	27/7/17	OXA-48	ColKP3
FQ151	K. pneumoniae	2096	5/9/17	OXA-232	ColKP3
FQ107	K. pneumoniae	5030	26/3/17	OXA-232	ColKP3
FQ149	K pneumoniae	5031	28/8/17	OXA-181	ColKP3
FQ58	K. quasipneumoniae subsp. quasipneumoniae	196	3/4/16	NDM-1	IncFII_1_pKP91
FQ60	K. quasipneumoniae subsp. quasipneumoniae	196	22/4/16	NDM-1	IncFII_1_pKP91
FQ91	K. quasipneumoniae subsp. quasipneumoniae	196	12/2/17	NDM-1	IncFII_1_pKP91
FQ101	K. quasipneumoniae subsp. quasipneumoniae	196	14/3/17	NDM-1	IncFII_1_pKP91
FQ117	K. quasipneumoniae subsp. quasipneumoniae	196	28/4/17	NDM-1	IncFII_1_pKP91
FQ137	K. quasipneumoniae subsp. quasipneumoniae	196	26/7/17	NDM-1	IncFII_1_pKP91

**TABLE 2** Plasmid replicons linked to carbapenemase genes in 24 isolates of *K. pneumoniae* and *K. quasipneumoniae* subsp. *quasipneumoniae* (from Plasmidfinder)

plasmids (Table 2). Most contigs carrying  $bla_{NDM}$  were divergent and had different mobile genetic elements. While  $bla_{NDM-1}$  was linked to IncFIB and IncA/C2 in *K. pneumoniae* in one isolate each, it was found to be associated with IncFII\_1\_pKP91 in 7 out of 9 *K. quasipneumoniae* ST196 isolates. When we aligned the contig carrying  $bla_{NDM-1}$  and  $bla_{CTX-M-15}$  in ST196 to the two homologous contigs in *K. quasipneumoniae* subsp. *quasipneumoniae* ST1416 isolates, we found that the overlapping region was highly similar (>90%) and included 6 AMR genes and ISs, suggesting that mobile genetic elements may spread these AMR genes among these STs. The contig bearing  $bla_{KPC-3}$  contained a commonly reported mobile genetic element, Tn4401a, based on annotation (Fig. S1). Overall, among all *Klebsiella* isolates, FIB was the most common F replicon, found in 89 isolates (89%), followed by FII, in 72 isolates (72%), and FIA, in 21 isolates (21%) (Table S1).

Prevalence of virulence markers. We used (i) the presence of rmpA or rmpA2 and/ or (ii) the presence of aerobactin (iuc) and salmochelin (iro) biomarkers that are associated with hypervirulence to qualify strains that may demonstrate a hypervirulent phenotype (20). According to Kleborate results, 10 isolates (12.5%) had rmpA and/or rmpA2 (rmpA2 was truncated in all isolates), and four of them belonged to ST383. The prevalences of the iuc or iro and rmpA combination in ST147, ST383, ST420, and ST231 were 7.7%, 75%, 100%, and 14.3%, respectively (Table 3). Sixteen (20%) K. pneumoniae isolates carried the aerobactin iuc locus, while only 2 (2.5%) isolates harbored the salmochelin iro locus, and they all belonged to ST420. Two (2.5%) isolates carried the colibactin clb locus, one of which belonged to ST258. The ybt locus, encoding the acquired siderophore yersiniabactin, was detected in 49 (61.3%) K. pneumoniae isolates, representing 20 different STs. Five different ybt locus types and their associated integrative conjugative elements (ICE) were identified, and the most prevalent locus was ybt14 (n = 18 [18.8%]), with ICEKp5 detected in 8 STs, followed by ybt9 with ICEKp3 (n = 12)[12.5%]) and ybt16 with ICEKp12 (n = 11 [11.5%]). All three K. aerogenes isolates carried iro, and one of them (FQ126) also harbored ybt and clb (Table S1). The virulence loci, such as ybt, clb, iro, rmpA, and rmpA2, were not detected in any of the K. oxytoca or K. quasipneumoniae isolates. The capsule biosynthesis (KL) were identified for all isolates, spanning 91 distinct KL types (Table S1). hvKp serotype KL1 was not detected in this

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Isolate Specimen	Infection	ST	rmpA	rmpA2	ybt	iuc	iro clt	K_locus	Carbapenemase(s	) ESBL	$\beta$ -lactamases	and variants	outcome	(30 days)
FQ44 Urine	ITU	14			ybt14; ICEKp5			KL2	NDM-1	CTX-M-15		ompK35, 88%; ompK36 GD	Alive	No
FQ139 Pus	IAI	15				iuc5		KL102	KPC-2	CTX-M-14, SHV-28.v1		ompK35, 21%	Alive	Yes
FQ39 Other	SSI	147	rmp1; KpVP-1	rmpA2_6*, 47%	ybt9; ICEKp3	iuc1		KL64	NDM-1	CTX-M-15	SHV-11.v1	ompK35, 25%	Alive	Yes
FQ148 Pus	SSI	231			ybt14; ICEKp5	iuc unknown		KL51	OXA-232		SHV-1	ompK35, 30%	Alive	No
FQ162 Urine	ITU	231			ybt14; ICEKp5	iuc unknown		KL51		CTX-M-15		ompK35, 30%; ompK36 GD	Alive	Yes
FQ62 Blood	BSI	231			ybt14; ICEKp5	iuc unknown		KL51	OXA-48	CTX-M-14; CTX-M-15	SHV-1	ompK35, 30%; ompK36, 72%	Dead	No
FQ114 RT	RTI	231	rmp1; KpVP-1	rmpA2_3*, 47%	ybt14; ICEKp5	iuc unknown		KL51	OXA-232	CTX-M-15		ompK35, 30%; ompK36 GD	Dead	No
FQ175 RT	RTI	231			ybt14; ICEKp5	<i>iuc</i> unknown		KL51	OXA-232	CTX-M-15	SHV-1	ompK35, 30%; ompK36 GD	Alive	Yes
FQ185 RT	RTI	231			ybt14; ICEKp5	<i>iuc</i> unknown		KL51		CTX-M-15; SHV-106		ompK35, 30%; ompK36 GD	Alive	Yes
FQ171 Blood	BSI	376	rmp1; KpVP-1			iuc1		KL2	OXA-48	CTX-M-14			Dead	Yes
FQ168 Urine	ITU	383		rmpA2_6*, 47%		iuc1		KL30	NDM-5; OXA-48	CTX-M-14; CTX-M-15	SHV-1	ompK35, 10%	Alive	No
FQ128 Urine	ITU	383	rmp1; KpVP-1	rmpA2_6, 60%		iuc1		KL30	NDM-5; OXA-48	CTX-M-14; CTX-M-15	SHV-1	ompK35, 10%	Alive	No
FQ66 Other	SSTI	383	rmp1; KpVP-1	rmpA2_6*, 60%		iuc1		KL30	OXA-48	CTX-M-14	SHV-1	ompK35, 10%	Alive	No
FQ61 Pus	SSTI	383	rmp1; KpVP-1	rmpA2_6, 60%		iuc1		KL30	NDM-5; OXA-48	CTX-M-14; CTX-M-15	SHV-1	ompK35, 10%	Alive	No
FQ75 Urine	ITU	420	rmp1; KpVP-1	rmpA2_3, 47%	ybt9; ICEKp3	iuc1	iro1	KL20	OXA-48	CTX-M-14	SHV-75		Alive	No
FQ72 RT	RTI	420	rmp1; KpVP-1	rmpA2_3, 47%	ybt9; ICEKp3	iuc1	iro1	KL20	OXA-48	CTX-M-14	SHV-75		Alive	No
FQ48 Urine	ΕŊ	2096		rmpA2_8, 60%	ybt14; ICEKp5	iuc1		KL64	OXA-232	CTX-M-15, SHV-28.v1		ompK36 GD	Alive	Yes
FQ156 RT	RTI	ST25-1LV			ybt14; ICEKp5		clt	v3 KL2	KPC-3; NDM-1	CTX-M-15	SHV-11.v1	ompK36, 50%	Alive	No
FQ135 Urine	ITU	ST35			ybt16; ICEKp12			KL2	OXA-181				Alive	No
FQ133 Urine	ΕIJ	ST39			ybt16; ICEKp12			KL2	NDM-1	CTX-M-15	SHV-11.v1	ompK35, 40%; ompK36, 55%	Alive	No

TABLE 3 Notable hypervirulent isolates in this investigation (virulence loci from Kleborate) $^{a}$ 

study, while KL2, usually associated with invasive liver abscess syndrome, was detected in 5 (5.8%) isolates of different STs. One (FQ44) of them belonged to ST14, and the rest belonged to ST376, ST35, ST39, and ST25-SLV (Table 3). KL20 was detected in two ST420 isolates (Table 3). The most prevalent KL type in *K. pneumoniae* was KL64 (n = 17 [17%]), followed by KL51 (n = 10 [10%]) and KL46 (n = 9 [9%]). The most prevalent O antigen-type loci were O2 variant 1 (O2v1) (n = 19 [19.8%]), O1v1 (n = 18 [18.8%]), and O1v2 (n = 17 [17.7%]) (Table S1).

In-depth investigation of K. pneumoniae ST383. Our collection had four ST383 isolates (FQ61, FQ66, FQ128, and FQ168) collected at different hospitals from April 2016 to October 2017; this ST is not commonly reported. Two isolates (FQ61 and FQ128) carried both rmpA (hypermucoidy locus rmpADC) and truncated rmpA2, as well as bla<sub>NDM-5</sub> and bla<sub>OXA-48</sub>; in contrast, FQ168 had both carbapenemase genes but did not have rmpA, while FQ66 had *rmpA* and *rmpA2* but did not have *bla*<sub>NDM-5</sub>. To understand the genetic basis and the plasmids associated with ST383 in Qatar, we sequenced isolate FQ61 using both Illumina and MinION technologies. Hybrid assembly revealed that FQ61 harbored a chromosome and five plasmids, including pFQ61\_ST383\_NDM-5 (IncHI1B;  $\sim$  376 kb)-, pFQ61\_ST383\_OXA-48 (IncL; ~72 kb)-, and Col (phAD28; 5 to 23 kb)-type plasmids. bla<sub>NDM-5</sub> was located on pFQ61\_ST383\_NDM-5 (IncHI1B type), which also carried eight other AMR genes, including bla<sub>CTX-M-15</sub>, bla<sub>OXA-9</sub>, bla<sub>TEM-1</sub>, aac(6')-lb, aph(3')-VI, aph(3')-la, dfrA5, and armA. Based on a BLAST search, plasmid FQ61\_ST383\_NDM-5 showed high similarity (>99%) with high query coverage (>99%) with pKpvST383L (GenBank accession number CP034201.2), a hybrid virulence/resistance plasmid reported for another ST383 strain in the United Kingdom and carrying multiple ISs as well as AMR and virulence genes (21). Based on the location of AMR and virulence genes, plasmid FQ61\_ST383\_NDM-5 was divided into three regions: MDR region 1, MDR region 2, and virulence (Fig. 2). Pairwise comparison revealed that MDR region 1 (34,570 bp) was highly similar (99 to 100%) to the homologous region in pKpvST383L (Fig. 2), while evidence of a large-scale inversion and rearrangement event was observed in MDR region 2 adjacent to the repetitive elements (45,327 bp) in comparison to pKpvST383L. The virulence region (42,300 bp) of FQ61\_ST383\_NDM-5, harboring virulence genes rmpA, rmpA2, iucABCD, and iutA, also exhibited high similarity (>99% identity and 100% query coverage) to pKvpvST383L (UK, 2018) (Fig. 2), as well as pKpvST147B (UK, 2019), pKP-135LU\_HIB-FIB (Italy, 2019), pSI0646A-ARMA-Vir-NDM (Italy, 2019), phvKpST395 (Russia, 2019), phvKpST874 (Russia, 2019), and phvKpST147 (Russia, 2017) in various K. pneumoniae isolates (Fig. 2) (22, 23). Based on contig analysis using BANDAGE and BRIG, we identified contigs in FQ128 that were highly homologous to pFQ61\_ST383\_NDM-5, suggesting that FQ128 may also have a plasmid highly similar to that in FQ61 and carrying both *bla*<sub>NDM-5</sub> and other virulence genes (Fig. 3). FQ168 also carried a similar plasmid that harbored bla<sub>NDM-5</sub> and bla<sub>CTX-M-15</sub> and the other virulence genes except rmpA; in contrast, the plasmids in FQ66 appeared to be distinct in terms of organization (Fig. 3).

 $bla_{OXA-48}$  was located on pFQ61\_ST383\_OXA-48 (lncL-type plasmid), together with AMR genes  $bla_{CTX-M-14}$  and aph(3')-Vib. pFQ61\_ST383\_OXA-48 was highly similar (>99.95%) to pKpvST383L\_2 (CP034202.1) reported from the United Kingdom (21). Contigs homologous to pFQ61\_ST383\_OXA-48 were also detected in FQ66, FQ128, and FQ168, indicating that these three other ST383 isolates may possess highly similar plasmids that carry  $bla_{OXA-48}$  and  $bla_{CTX-M-14b}$  (Fig. 3).

Genomic comparison of our ST383 isolates with global ST383 isolates (Table S3) revealed the genetic compositions as well as different resistomes and virulomes of the isolates which might be linked to mobile genetic elements. Figure 4 illustrates the phylogenetic relatedness of the local ST383 strains together with publicly available ST383 assembled genomes and raw reads (n = 32). The isolates in Qatar (FQ61, FQ128, and FQ168) clustered with those collected in Lebanon, the United Kingdom, and Italy, which also carried  $bla_{NDM-5}/bla_{NDM-1}$ ,  $bla_{OXA-48}$ ,  $bla_{CTX-M-15}$ , and  $bla_{CTX-M-14}$ , as well as several major virulence genes such as *iuc*, *rmpA1*, and *rmpA2*. In contrast, FQ66 nested in a clade which contains isolates from China and Germany mostly carrying  $bla_{OXA-48}$  and



FIG 2 Genomic comparison of hybrid plasmid harboring bla<sub>NDM-5</sub> and virulence phenotypes recovered from FQ61 and pKpvST383L (21).

 $bla_{CTX-M-14}$ . The tree suggested that there could be two independent plasmid acquisition events in the past few years: the first plasmid with  $bla_{OXA-48}$  and  $bla_{CTX-M-14}$ , followed by a hybrid plasmid carrying  $bla_{NDM-5}$  and the virulence genes (Fig. 4). However, earlier-reported ST383 isolates from Greece and France carried genes encoding carbapenemases such as KPC, OXA-48, and various VIM types (Fig. 4).

To correlate the presence of virulence genes with virulent phenotype, *Galleria mellonella* larvae were infected with selected *K. pneumoniae* isolates. In addition to the ST383 isolates, other isolates were selected based on the presence of KL2/KL20 loci such as *rmpA* and *iuc*, which are often associated with virulence. All larvae injected with 10 mM MgSO<sub>4</sub> solution only (negative control) survived. With an inoculum of  $1.0 \times 10^7$  CFU, the survival rates were 0% after 72 h with a classic hypervirulent K1 isolate (BL21, control) and 10% after 96 h with two hypervirulent K2 isolates (FQ44 and FQ156) (Fig. 5). The survival rates were 10 to 30% at 96 h after infection for the ST383 isolates (FQ61, FQ128, and FQ168). Also, the survival rates were 0% after 24 h with FQ114 (ST231; *rmpA*<sup>+</sup> *iuc*<sup>+</sup>) and 0% after 48 h with FQ75 (ST420; *rmpA*<sup>+</sup> *iuc*<sup>+</sup> *iro*<sup>+</sup> K20) and FQ179 (ST147; K64) (Fig. 5).

**Possible local outbreaks of** *K. pneumoniae* and *K. quasipneumoniae*. High-resolution SNP analysis based on read mapping and variant calling together with epidemiological investigation was performed on cases associated with *Klebsiella* isolates of prevalent STs ( $n \ge 4$ ), including ST147, ST231, ST11, ST196, ST383, and ST1416, to identify possible outbreak and transmission events (Table S4).

The largest cluster belonged to ST147, with 13 isolates collected from May 2015 and November 2017 (Fig. 6). Over half of the isolates were from hospital-acquired infections (HAI) (n = 7 [54%]), while the rest was present on admission (POA) (n = 6 [46%]). Mean pairwise SNP difference between these 13 isolates was 87.5 SNPs (range, 0 to 139) (Table 4); however, FQ27 and FQ28 differed by 0 SNPs, and the corresponding patients were admitted to the same hospital in different units on different dates, indicating possible intrahospital transmission. Another cluster involved 7 ST231 isolates collected from July 2016 to December 2017. Although most cases were determined to be HAI (n = 5 [71%]) in the



FIG 3 Homologous contigs from ST383 isolates FQ66, FQ128, and FQ168 were compared to two major plasmids, pFQ61\_ST383\_NDM-5 and pFQ61\_ST383\_OXA-48. BRIG was used to generate a visual representation with pKpvST383\_NDM\_OXA48 as a reference (CP034201.2 and CP034202.1, respectively). Red and black arcs in the outer ring represent the major well-annotated AMR and virulence genes.

same hospital, the SNP differences were large (range, 68 to 105) (Table 4), which was not consistent with intrahospital transmission/dissemination. Similarly, 5 ST11 isolates were collected from July 2015 to March 2017, but the epidemiological information, such as date of admission and hospital systems, and SNP differences (range, 3 to 170) did not suggest intra- or interhospital transmission (Table 4). In contrast, 4 ST383 isolates had relatively large genetic variation (SNP range, 44 to 183), and interestingly, three of them were POA, where the patients had travel and medical histories in Egypt within 6 months of hospital admissions, suggesting that the isolates might have been acquired there (Table S4).

Isolates of *K. quasipneumoniae* subsp. *quasipneumoniae* ST196 and ST1416 were clustered together with 0 to 1 cgSNPs (among isolates within each ST) in the cgSNP tree (Fig. 1; Table S2), which prompted an investigation to identify possible disease outbreaks. All ST196 and ST1416 isolates had identical capsular and lipopolysaccharide types and similar AMR genes (Fig. 1; Table S1). The high-resolution SNP tree (from mapping and variant calling) indicated that these two subspecies may be clonal, as all ST196 isolates except FQ158 were highly similar, with 0 to 10 high-resolution SNPs (Fig. 6). Most ST196 isolates (66.7%) were associated with HAI. They were collected from various patients in 5 different hospitals in different times and units, but interhospital transmission/dissemination due to transfer may still be possible (Table S4). While four ST1416 isolates were also highly similar, with 0 to 4 high-resolution SNPs (Table 4), and were from the same hospital and were identified as HAI (Fig. 6), there were no epidemiological and clinical associations among the four patients to suspect outbreaks among the isolates, although cryptic transmission events cannot be rule out (Table S4).

The virulence-associated loci such as *iuc, clb, iro,* and *rmpA* and *rmpA* were not detected in any of the *K. quasipneumoniae* subsp. *quasipneumoniae* isolates. We compared these *K. quasipneumoniae* subsp. *quasipneumoniae* isolates (ST1416 and ST196) to the global isolates to determine if they represented local clones. The isolates belonging to ST196 were genetically different from global ST196 isolates (Fig. S2). For instance, previously reported ST196 isolates were mostly KPC producers from Europe and the United States (Table S5), while most *K. quasipneumoniae* subsp. *quasipneumoniae* isolates in Qatar

### Genomic Epidemiology of Klebsiella Species in Qatar



FIG 4 Phylogenetic tree showing the relationships among *K. pneumoniae* ST383 isolates from different countries and Qatar using Parsnp (overlaid with presence/absence of key AMR genes and virulence loci). Arrowheads (blue and dark red) indicate the two possible plasmid acquisition events.

were NDM-1 producers. Similarly, *K. quasipneumoniae* subsp. *quasipneumoniae* ST1416 were not commonly reported elsewhere; the isolates in Nigeria and China were genetically divergent from the Qatari isolates (Fig. S2), despite the Chinese isolate also carrying *bla*<sub>NDM-1</sub>.

## DISCUSSION

*Klebsiella* species are responsible for HAI worldwide and are also increasingly implicated in community-associated infections. The predominant species is *K. pneumoniae*, but other *Klebsiella* species, including *K. aerogenes*, *K. michiganensis*, *K. quasipneumoniae*, and *K. variicola*, also cause human infections. The key clinically relevant attributes of *K. pneumoniae* are its antimicrobial resistance and virulence, or hypervirulence. However, these aspects are less well studied in *Klebsiella* species other than *K. pneumoniae*. Recent reports suggest that these species, like *K. pneumoniae*, are also sources of antimicrobial resistance and hypervirulence (5, 24, 25). Using a data set of carbapenem-resistant *Klebsiella* clinical isolates from a hospital in Qatar, we conducted in-depth genomic analysis of carbapenem resistance and its intersection with hypervirulence.

Our data revealed the presence of diverse STs and different lineages across the *Klebsiella* species. Among *K. pneumoniae* isolates, ST231, ST147, and ST11 were the most prevalent carbapenem-resistant clones, which were different from those isolated from rectal screening swabs in local pediatric populations (9), among which ST73, ST14, and ST17 were the most common STs. ST147 (CG147) and ST11 (CG258) are international high-risk clones reported mainly from Asia and Europe and have been responsible for nosocomial transmission and various care center outbreaks (26, 27), while ST231 was considered an endemic clone associated with *bla*<sub>OXA-232</sub> in India (28). Based on previous studies, *K. pneumoniae* CG258 (ST11 and ST258) is the predominant KPC-producing clone reported globally (29); however, only six isolates were reported in our study. In Qatar, substantial proportions of the population are migrant workers from the



Time since infection (H)

FIG 5 Virulence potential of selected K. pneumoniae isolates in a Galleria mellonella infection model.

Indian subcontinent. Pérez-López et al. (9) suggested that CRE in pediatric populations in Qatar were mainly introduced sporadically by asymptomatic carriers who received health care in some nearby countries in which they are endemic. Moreover, consistent with other CRE studies of pediatric and adult patients, genes encoding NDM and OXA-48-type carbapenemases were widely prevalent (9, 27, 30).

Isolates of the next common species, *K. quasipneumoniae* subsp. *quasipneumo-niae*, mostly belonged to ST196 or ST1416 and carried *bla*<sub>NDM</sub>. Outbreaks caused by *K. quasipneumoniae* have been rare, but ST334 has been reported as a potentially



FIG 6 Phylogenetic tree generated from high-resolution SNPs and linked epidemiological data of prevalent K. pneumoniae and K. quasipneumoniae subsp. quasipneumoniae isolates.

Organism and sequence type	No. of	Mean pairwise SNPs among samples in Qatar	
(no. of samples)	characters	(range, distance to reference genome)	Reference genome used in Snippy pipeline
K. pneumoniae			
ST147 (13)	485	87.5 (0–139)	NZ_CP012745.1.fasta
ST231 (7)	361	86.7 (68–105)	GCF_002909775.1_ASM290977v2_genomic.fna
ST11 (5)	348	101.6 (3–170)	GCF_003931835.1_ASM393183v1_genomic.fna
ST383 (4)	331	112.8 (44–183)	GCF_001611055.1_ASM161105v1_genomic.fna
K. quasipneumoniae subsp.			
quasipneumoniae			
ST196 (9)	227	3.9 (0–10)	GCF_003146655.1_ASM314665v1_genomic.fna
ST1416 (4)	137	2 (0–4)	GCF_005503875.1_ASM550387v1_genomic.fna

**TABLE 4** Pairwise SNPs differences among *K. pneumoniae* and *K. quasipneumoniae* subsp. *quasipneumoniae* isolates based on high-resolution SNP analysis (using Snippy workflow)

emerging outbreak-associated MDR clone in Pakistan and Cambodia (31, 32, 33). Our *K. quasipneumoniae* subsp. *quasipneumoniae* isolates were highly clonal within the STs across hospitals; thus, their potential for regional spread merits monitoring. Two *K. quasipneumoniae* subsp. *similipneumoniae* isolates (ST1584 and ST1998) also could raise public health concern on antimicrobial resistance, as they carried *bla*<sub>NDM-7</sub>.

Out of three *K. aerogenes* isolates in Qatar, two belonged to ST93, one of the prevalent STs and the founder genotype in the world (4). The remaining isolate belonged to ST206, which was reported only from Singapore according to the pubMLST database. Though these isolates did not carry known carbapenemase genes, the chromosomal *ampC* coupled with outer membrane porin alteration may be responsible for the development of carbapenem resistance (34).

In terms of hypervirulent traits, the classical hvKp lineages, including CG23 and CG86, were not among the carbapenem-resistant K. pneumoniae clinical isolates in Qatar. The proportion of hvKp isolates among K. pneumoniae isolates was 10% (rmpA and/or rmpA2) and could be up to 20% when solely aerobactin (iuc)-bearing isolates are also included. The prevalence of rmpA- or rmpA2-positive isolates among K. pneumoniae isolates was lower than in China and Vietnam (>20%) (12) but higher than in the United States or the United Kingdom (35, 36). rmpA- and/or rmpA2-mediated overproduction of capsular polysaccharide has been shown to contribute to hypervirulence (truncation of these loci may reduce virulence) (37), while iuc, iro, ybt, and ent mediate increased siderophore production under iron-limiting conditions (18). In addition, KL1 and KL2 hypermucoviscosity-specific capsular serotypes have been associated with invasive infections and accounted for approximately 70% of hvKp global isolates; however, they were not common in this collection. Convergence of carbapenem resistance and hypervirulence was found in limited STs such as ST231, ST383, and ST410, of which ST231 and ST410 are globally emerging hypervirulent clonal groups associated with virulence plasmids (28, 38, 39).

Our study indicates the emergence and transmission of carbapenem-resistant hvKp ST383 among patients worldwide. Three patients in Qatar infected with ST383 isolates had histories of travel to Egypt, suggesting that they likely acquired the isolates there. This may be in parallel with Kpv\_ST383\_S1, another ST383 isolate carrying hybrid virulence/resistance plasmids from a patient in Scotland, who had medical treatment in Cairo (21). International travel may play a role in the spread/dissemination of this hybrid plasmid. The wax moth larva virulence study indicated that these ST383 isolates were virulent, even though to a slightly lower degree than the classical hypervirulent KL1 isolate. Russo et al. (40) demonstrated that not all hvKp strains shared the same pathogenic potential in murine model infections. Previously, it was common for *K. pneumoniae* ST383 isolates to be resistant to carbapenems but not hypervirulent. Due to the acquisition of a hybrid plasmid that contains a fraction of the hvKp virulence plasmid during the evolution of conventional ST383 isolates, these new circulating isolates have become both MDR and hypervirulent and should be regarded as isolates of a superbug that could pose a serious threat to public

health. Early K. pneumoniae ST383 isolates carrying  $bla_{VIM-4r}$ ,  $bla_{KPC-2r}$  and  $bla_{CMY-2}$  were reported in a Greek hospital during 2009 to 2010 (41). A later study from the Czech Republic demonstrated the presence of *bla*<sub>VIM-19</sub> in isolates of suspected Greek origin (42). More recent studies indicated the presence of bla<sub>OXA-48</sub> in clinical isolates in Germany and China, which then went on to acquire another hybrid plasmid that carried both  $bla_{\rm NDM}$ and virulence genes (21, 43). Sabivora et al. proposed that the plasticity of the accessory genomes in ST383 isolates may benefit the acquisition of different plasmids (44). Turton et al. (35) studied 31 ST383 isolates in the United Kingdom and identified 5 isolates harboring rmpA or rmpA2 and iutA, which is part of the aerobactin iuc locus. These ST383 isolates have also emerged in Italy recently (43, 45) and in the Middle East, such as in Qatar, Egypt, and Lebanon (Fig. 3). Recently, an ST383 isolate harboring *bla*<sub>KPC</sub> and genes encoding various virulence factors was reported in Saudi Arabia (46); however, assembled genome data are not available in the public domain. Tracking the evolution and distribution of ST383 is of major importance due to its ability to acquire carbapenemase genes of different types as well as genes associated with the hv phenotype, but also the ability of mobile genetic elements to spread these genes to different ST types and species. Increasing reports of the presence of hybrid, mosaic plasmid carrying both carbapenem resistance and virulence genes suggest that carbapenem-resistant hvKp isolates are no longer confined to selected clones (16, 22, 23, 47), which will make containment of such isolates challenging.

In conclusion, our study has provided insights into the dynamics and epidemiology of carbapenem-resistant *Klebsiella* species in Qatar. Analysis of WGS data demonstrated the presence of clonal lineages. Our comparative genomic data also confirmed the emergence of carbapenem-resistant hvKp ST383 in the Middle East and worldwide. Acquisition of virulence-associated loci was reported for at least 10% of the carbapenem-resistant *K. pneumoniae* isolates, and one of the mechanisms was through the transfer of a carbapenem resistance and hypervirulence hybrid plasmid possibly mediated by mobile genetic elements, as demonstrated for ST383 isolates. Further studies will be required to understand the relationship between the hypervirulent phenotypes, carriage of the hybrid MDR-virulence plasmid, and capsular types.

#### **MATERIALS AND METHODS**

**Hospital settings, cultures, and antimicrobial susceptibility tests.** Hamad Medical Corporation (HMC) is the major provider of secondary and tertiary health care in Qatar and has 12 hospitals—9 specialist hospitals and 3 community hospitals—as well as the National Ambulance Service and home and residential care services. The isolates were collected, maintained, and identified in the Department of Microbiology in HMC as previously described (6). Also, the antimicrobial susceptibility testing for various antibiotics was performed on BD Phoenix (Becton, Dickinson and Company, USA) using the Clinical and Laboratory Standards Institute breakpoints (6). The study was approved by the institutional review board (MRC-16134/16).

Whole-genome sequencing (WGS) and data analysis. Briefly, genomic DNA was extracted using a DNeasy blood and tissue kit (Qiagen, Germany), and the DNA libraries were sequenced on the Illumina NextSeq 550 platform using 2 × 150 paired-end reads (PE) at the Microbial Genome Sequencing Center (MiGS; Pittsburgh, PA, USA) as previously described (6). In addition, long reads for isolate FQ61 were generated using an Oxford Nanopore MinION sequencer (SQK-LSK109 and flow cell R9.4.1) at MiGS. MinION reads were generated based on the Guppy software (v4.0.11) available from Oxford Nanopore Technologies (Oxford, UK). The raw reads from Illumina NextSeq were assembled *de novo* using SPAdes v3.9.0 (48) implemented in shovill (https://github.com/tseemann/shovill) (49). *De novo* Illumina-Nanopore assemblies were generated with Unicycler v0.4.7 (50).

STs, plasmid replicons, and AMR genes were predicted from the assembled contigs using multilocus sequence typing (MLST) (https://github.com/tseemann/mlst), pubMLST (51), Plasmidfinder v2.1 (52), and ResFinder v3.2 databases implemented in ABRicate v0.9 (https://github.com/tseemann/abricate), based on >70% coverage and 90% sequence identity. Kleborate v2 was used to detect the virulence genes and capsule synthesis (K) and lipopolysaccharide (O) loci, as well as AMR genes and known chromosomal mutations associated with resistance to fluoroquinolones, colistin, and carbapenems (14). Previously unreported STs were submitted to BIGSDB (https://bigsdb.pasteur.fr/klebsiella/) for ST assignment.

Genome assemblies were annotated with Prokka v1.13.3 (53), and the completed plasmid annotations were curated before deposit in GenBank. To clarify and study the plasmid variation among 4 ST383 isolates, assembled genomes of FQ66, FQ128, and FQ168 were manually explored in their assembly graphs using Bandage v0.8.1 (54) and the completed FQ61 plasmids built from Unicycler assembly as a reference. BRIG (55) was used to generate a visual representation of the contigs in FQ66, FQ128, and FQ168 aligned to the major plasmids in FQ61. Core genome phylogenetic trees were generated using Parsnp (56) and visualized together with associated metadata using iTOL (57). cgSNPs were extracted from the Parsnp alignment to determine the pairwise difference between *Klebsiella* species and between STs in *K. pneumoniae* and *K. quasipneumoniae* (56). Easyfig (58) was used to generate the diagram to compare the plasmid against highly homologous plasmids in the NCBI database. To generate high-resolution SNPs for epidemiological investigation within prevalent STs, the quality-trimmed reads for ST147, ST231, ST11, ST196, and ST1416 were mapped against their respective reference genome and high-quality SNPs were called by using the Snippy pipeline (https://github.com/tseemann/snippy). FastTree was used for phylogenetic analysis (59).

**Outbreak analysis.** Cases associated with prevalent *Klebsiella* STs ( $\geq$ 4 isolates) in this study and forming clusters in the core genome phylogenetic tree were carefully inspected as potential outbreaks. Epidemiological data, antimicrobial susceptibility testing results, and clinical information such as date of patient admission were reviewed to determine whether those isolates represented hospital-acquired infection (HAI) or community-acquired infection based on the National Health Care Safety Network (NHSN) definition published in January 2021 by HMC.

An infection was defined as an HAI if the NHSN site-specific infection occurred on or after the third calendar day of admission to an inpatient location where day of admission was calendar day 1. If the infection was identified within 2 days before admission or the day of admission to an inpatient location (calendar day 1) or calendar day 2 after admission, the infection was considered present on admission (POA).

**Virulence study.** The virulence of selected *K. pneumoniae* isolates, including 3 ST383 isolates, was tested in wax moth (*Galleria mellonella*) larvae. Briefly, overnight cultures of *K. pneumoniae* strains were prepared with 10 mM MgSO<sub>4</sub> solution and further adjusted to concentrations of  $1 \times 10^5$  CFU/mL,  $1 \times 10^6$  CFU/mL, and  $1 \times 10^7$  CFU/mL. We infected the *G. mellonella* with the bacteria as described previously (60), and the survival rate of the *G. mellonella* was recorded every 24 h for 4 days.

**Data availability.** Raw sequence reads are available on the NCBI website under BioProject accession number PRJNA656934. The genome sequence of FQ61 was submitted to GenBank under accession numbers CP091813 to CP091818.

## SUPPLEMENTAL MATERIAL

Supplemental material is available online only. SUPPLEMENTAL FILE 1, XLSX file, 0.1 MB. SUPPLEMENTAL FILE 2, PDF file, 0.2 MB.

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