

Therapies for Tau-associated neurodegenerative disorders: targeting molecules, synapses, and cells

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From the Contents

Abstract

Advances in experimental and computational technologies continue to grow rapidly to provide novel avenues for the treatment of neurodegenerative disorders. Despite this, there remain only a handful of drugs that have shown success in late-stage clinical trials for Tau-associated neurodegenerative disorders. The most commonly prescribed treatments are symptomatic treatments such as cholinesterase inhibitors and N-methyl-D-aspartate receptor blockers that were approved for use in Alzheimer's disease. As diagnostic screening can detect disorders at earlier time points, the field needs pre-symptomatic treatments that can prevent, or significantly delay the progression of these disorders (Koychev et al., 2019). These approaches may be different from late-stage treatments that may help to ameliorate symptoms and slow progression once symptoms have become more advanced should early diagnostic screening fail. This mini-review will highlight five key avenues of academic and industrial research for identifying therapeutic strategies to treat Tau-associated neurodegenerative disorders. These avenues include investigating (1) the broad class of chemicals termed "small molecules"; (2) adaptive immunity through both passive and active antibody treatments; (3) innate immunity with an emphasis on microglial modulation; (4) synaptic compartments with the view that Tau-associated neurodegenerative disorders are synaptopathies. Although this mini-review will focus on Alzheimer's disease due to its prevalence, it will also argue the need to target other tauopathies, as through understanding Alzheimer's disease as a Tau-associated neurodegenerative disorder, we may be able to generalize treatment options. For this reason, added detail linking back specifically to Tau protein as a direct therapeutic target will be added to each topic.

Key Words: Alzheimer's disease; antibody; frontotemporal dementia; immunotherapy; small molecules; synapses; tau; therapeutics

Introduction

Microtubule-associated protein Tau is linked to multiple neurodegenerative diseases where Tau inclusions are formed in the brain. Despite the diversity of their anatomical progression and symptoms, due to the commonality of Tau pathology between these disorders, they are termed "Tauopathies". Primary Tauopathies, where Tau protein deposition is the predominant feature, most commonly include frontotemporal dementia with parkinsonism-17, Pick disease, progressive supranuclear palsy, and corticobasal degeneration, with Alzheimer's disease (AD) as a "secondary tauopathy" (Spillantini et al., 2013). There are no disease-modifying therapies approved by the U.S. Food and Drug Administration for the treatment of tauopathies; all current treatments are symptomatic and therefore may reduce symptoms but do not slow disease progression (01/2023). Acetylcholinesterase inhibitors and memantine, an antagonist of N-methyl-d-aspartate receptors, are prescription drugs used for symptomatic relief of AD (O'Brien et al., 2017), with off-label use for the cognitive and behavioral symptoms in other tauopathies. Due to the high prevalence and large number of clinical trials targeted at AD treatments, this mini-review will largely focus on the current state of AD therapeutics and how this relates to the current hypotheses of disease etiology and prevention in this field. As the treatments for AD are currently used off-label for other tauopathies, breakthroughs in this field will hopefully generalize between tauopathies to offer targets for the treatment of multiple disorders. The topics have been split into investigations of (1) small molecules; (2) adaptive immunity using passive and active antibodies; (3) innate immunity with an emphasis on microglial modulation; and (4) synaptic functioning, due to the high representation of these targets among current Phase 3 clinical trials (01/2023) and their popularity as therapeutic avenues in this field. Tau will be discussed as the common thread that may act as the therapeutic target between these disorders.

Search Strategy

The reviewed literature on Tau biology and anti-tau drugs using PubMed, meeting abstracts, and Alzforum.org from inception to December 2022 for English language publications only. Literature on clinical trials was found using ClinicalTrials.gov to search "tauopathies" and selecting for Study Phase 3 and 4, and Status "not yet recruiting", "recruiting", "enrolling by invitation", and

"active, not recruiting". All ClinicalTrials.gov search results are from December 2022 unless otherwise stated.

Small Molecules

Small molecules can act to upregulate neuroprotective pathways or restore homeostasis to signaling pathways that are thought to become dysregulated during disease etiology. **Table 1** shows the small molecules and their targets that are currently undergoing Phase 3 human clinical trials for Tau-based neurodegenerative disorders (ClinicalTrials.gov). These drugs appear to cluster around pathways related to glucose regulation, phosphorylation and aggregation state, and receptor activation.

Computational methods have also been employed to design small molecules with putative beneficial pharmacological actions. Small molecule drug discovery involves screening compound libraries consisting of millions of drugs. However, often the library size does not represent chemical structure diversity, which has been suggested to be a hurdle for recent therapeutic success (Galloway et al., 2010). Using ligand and structural data with artificial intelligence/computational methods, a diverse portfolio of 3-dimensional drugs can be designed that modulate key interactions with a biological target. This can hopefully improve the specificity of the ligand-target interaction and reduce the number of chemicals that need to be screened. An example of structure-based *in silico* small molecule design is provided by Seidler et al. (2022) whereby they used structural studies to find the binding position for a small molecule known to disaggregate Tau fibrils but with low blood-brain barrier penetration when used *in vivo*. They used this binding position as a pharmacophore to computationally screen thousands of similar compounds with increased blood-brain barrier permeability (Seidler et al., 2022). Deep learning methods are also being used to predetermine complex drug-drug interactions that may be beneficial or harmful when used as drug "cocktails in human patients (Kpanou et al., 2021).

Small molecules can directly target Tau during aggregation, post-translational modification modulation, and degradation pathways. Molecules can bind to inhibit misfolded, pathological structures of Tau from forming, while other common molecules can act as kinase inhibitors or phosphatase activators to prevent hyperphosphorylation of Tau (For a review on small molecules for tauopathy in AD see Wang et al., 2021). Of the drugs in Phase 3 Clinical

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5-HT: 5-Hydroxytryptamine; AGB101: AgeneBio, Inc.; ALZ-801: Alzheon Inc.; AMPK: AMP-activated protein kinase; ANAVEX2-73: Anavex Life Science Corp.; AR1001: Aribio Co., Ltd.; ATH-1017; Athira Pharma.; Aβ42: amyloid beta 42; BPDO-1603: Hyundai Pharmaceutical Co.; cGMP: cyclic guanosine monophosphate; CREB: cAMP response element-binding protein; ERK: extracellular signal-regulated kinase; GSK-3β: glycogen synthase kinase-3 beta; HGF: hepatocyte growth factor; IMP: inositol monophosphatase; MT: melatonin receptors; NE3107: BioVie Pharma; NO: nitric oxide; Nrf2: nuclear factor erythroid 2-related factor 2; P2X : ATP-gated P2X receptor cation channel family; PDE: phosphodiesterase; PKG: cGMPdependent protein kinase; PSP: progressive supranuclear palsy; RyR: ryanodine receptor; SV2A: synaptic vesicle glycoprotein 2A; TRPV: transient receptor potential vanilloid; TRx0237: TauRx Therapeutics Ltd.

Trials, lithium and ANAVEX2-73 influence the glycogen synthase kinase-3 beta (GSK-3β) pathway, known to be a pathway in the hyperphosphorylation of Tau. An increased concentration of Tau is known to promote aggregation (Myers et al., 2006; Hu et al., 2017). Novel small molecules such as antisense oligonucleotides (ASOs) aim to reduce Tau expression by binding to, and preventing the translation, of Tau mRNA. The ASO, IONIS-MAPT_{Ry}, also known as BIIB080, is currently undergoing clinical trials to lower Tau concentration in tauopathies (Crooke et al., 2019). Alternatively, proteolysis-targeted chimeras help to increase Tau degradation. Proteolysis-targeted chimeras target a protein of interest to an E3 ligase to promote degradation by the ubiquitinproteasome system (Toure and Crews, 2016). The clinical benefits from Tau concentration-reducing drugs such as ASOs and proteolysis-targeted chimeras are not yet known, though the reduction in CSF Tau by semorinemab showed no clinical benefits. The ability to target intracellular Tau at earlier time points may have a very different effect from the use of extracellular antibodies late in the disease.

Adaptive Immunity: Antibodies

The role of adaptive immunity-based therapeutics cannot be overlooked since the report of a clinical trial of the antibody lecanemab which recently showed a minor reduction in cognitive decline over 18 months in early AD through its specificity for amyloid-beta (Aβ) soluble protofilaments, compared to monomers (van Dyck et al., 2022). Aducanumab is the first Food and Drug Administration-approved therapy to directly target pathology in AD via binding Aβ aggregates, however, its approval has been controversial (Walsh et al., 2021).

For targeting Tau in Tau-associated neurodegenerative disorders, the paratope of the antibody can be selected based on its affinity for a specific epitope of Tau, such as its aggregation-prone microtubule-binding region in monomers (Fitzpatrick et al., 2017), or specific structural conformations, or regions exposed during aggregation in oligomers or filaments. However, previous clinical trials with anti-Tau antibodies such as semorinemab, which binds the N-terminus of both monomeric and oligomeric Tau, have shown only limited effectiveness (Lee et al., 2016). In 2021, it was announced that semorinemab showed a 43.6% slowing of decline on the ADAS-Cog11 co-primary, but there were no other positive cognitive or functional outcomes. The limited benefits of this, and other N-terminus antibodies, may be linked to their inability to prevent tangle formation (No author listed, 2022a). An antibody targeting the microtubule-binding region of Tau is currently under Phase 3 trial (NCT05269394) and may provide insight into how this epitope compares to the N-terminus of Tau. Antibodies from humans resistant to diseases such as frontotemporal dementia, amyotrophic lateral sclerosis, and AD can be used either as therapeutic markers or as a therapeutic avenue. An ongoing antigendriven immune response against Tau has been shown in healthy individuals (Pascual et al., 2017; Hromadkova and Ovsepian, 2019). In these individuals, B cell receptor sequences can be sequenced and then expressed recombinantly as an antibody.

An alternative approach is a vaccine that uses active immunity through T helper cells activating B cells to produce antibodies against Tau. Two such vaccines are candidates for clinical trials. The first vaccine contains a phosphotau peptide anchored to a liposomal bilayer that also contains additional peptides to elicit an immune response by signaling to helper T cells (No author listed, 2022b). The second vaccine generates antibodies to target the phosphatase-activating domain of Tau in monomers, small fragments, and aggregated forms of Tau in AD patients' brain extracts (Hovakimyan et al., 2022). Results from these possible clinical trials may enable understanding of the contribution of the Tau epitope targeted, and any requirement of active versus passive immunity for long-term efficacy of the treatment (**Table 2**).

Following the apparent success of Lecanemab (van Dyck et al., 2022), many of these antibodies target the Aβ cascade, however, E2814, an antibody against the microtubule-binding region of Tau, is also being compared to lecanemab in a clinical trial (NCT05269394).

Combinations, or a "cocktail", of antibodies, could potentially be used to slow disease progression through multiple targets. In one trial (NCT05269394), the potential benefits of an anti-tau antibody (E2814) will be tested while an antiamyloid antibody (lecanemab) is given as a background therapy. The use of E2814 with lecanemab is in part an ethical decision to change amyloid disease pathology whilst the effects of E2814 are unknown.

Modern experimental and computational methods have epitope-directed antibody selection through 'smart-design' antibodies (Chen et al., 2020; Aguilar Rangel et al., 2022). In addition, modernized high-throughput methods can be used to characterize the binding affinity of Tau antibodies for different Tau binding regions and conformations (Younger et al., 2017). Purely computational deep-learning techniques can use unobserved patterns from amino acid sequences that associate with their structure and function (Rives et al., 2021). Deep sequencing of bulk BCR repertoires provides large datasets from which antibody-specific language models can be trained for understanding antibody and B cell receptor structure and function including

DeepAb, AntiBERTa, and Sapiens (Leem et al., 2022; Prihoda et al., 2022; Ruffolo et al., 2022).

Innate Immunity: Microglia

The innate immune system is being targeted as a therapeutic intervention due to its hypothesized role in the progression of AD and the spread of pathological Tau species (Perea et al., 2018; Ennerfelt and Lukens, 2020). These therapeutics may help to control microglial activation, metabolism, and response to CNS insult to act as an early-stage intervention (Schwabe et al., 2020). The tyrosine kinase inhibitor, masitinib, met its primary goal in Phase 2 trials (Dubreuil et al., 2009) and its effects were suggested to be through modulating mast cell and microglial activation.

Novel targets are also being identified based on transcriptomic studies in microglia from human postmortem tissue in an attempt to prevent dysfunctional microglial states thought to contribute to disease progression. Progranulin and Trem2 are commonly mentioned as antagonistic targets against the innate immune system in FTD or AD whereby decreased progranulin increases microglial activity, whereas decreased Trem2 causes impaired microglial activation (Mazaheri et al., 2017; Götzl et al., 2019; **Table 3**). Stimulating Trem2 to activate microglia is a suggested therapeutic to slow AD progression (Lewcock et al., 2020), Trem2 knockout (KO) can reduce microglial hyperactivation from progranulin deficits in a progranulin KO/Trem2 KO mouse model (Reifschneider et al., 2022). An antibody against the progranulin binding protein sortilin (AL001/AL101), a genetic risk factor for AD (Rogaeva et al., 2007), which increases the level of progranulin is currently entering Phase 3 clinical trials as it has been shown to slow disease progression in FTD (AL001; NCT04374136). However, progranulin has consistently been found to be a poor biomarker of mild cognitive impairment, AD, sporadic FTD, dementia with Lewy bodies, corticobasal syndrome, or progressive supranuclear palsy (Gass et al., 2012; Morenas-Rodríguez et al., 2016; Wang et al., 2020). Due to the appearance of both hypoactivation and hyperactivation of microglia in different disorders (Kwon and Koh, 2020), alongside the inability of restoring microglial activity to ameliorate glucose uptake, lysosomal dysfunction, and lipid metabolism, it seems possible that innate immunity alone will not provide a therapeutic solution (Reifschneider et al., 2022). Co-therapies against the innate immune system could be used pre-symptomatically as preventative measures to target systemic inflammation, the gut microbiome, or traumatic brain injury to prevent aberrant activation of the innate immune system (Hickman et al., 2018). Another interesting outcome of restoring the microglial activation state in progranulin KO/Trem2 KO mice was the exacerbation of synaptic loss, suggesting a possible role for synaptic therapeutic targets (Reifschneider et al., 2022).

Table 3 | **The anti-progranulin antibody AL001/AL101 targets innate immunity for its therapeutic function**

Synaptic Targets

Synaptic dysfunction is known to precede any gross structural changes, and the currently approved therapeutics modulate synaptic function (Lleó et al., 2019). It has previously been suggested that the synaptic vesicle cycle may be an effective early-stage treatment avenue and that the binding partners of Tau may play a role in synaptopathy in AD and frontotemporal dementia (Robbins et al., 2021; Robbins and Clayton, 2023; **Table 4**).

Table 4 | **The therapies in phase 3 clinical trials targeting synaptic compartments are small molecules**

Name	Role	Clinical trial registration
AGB101	Binding to the synaptic vesicle (SV) protein SV ₂ A	NCT03486938
Mastinih	Inhibitor of tyrosine kinase, Lyn and Fyn kinase	NCT05564169
Simufilam	Binds to filamin to stabilize actin	NCT05026177

AGB101: AgeneBio, Inc.; SV2A: Synaptic vesicle glycoprotein 2A. Other therapeutic targets may still have benefits to synapses by targeting pathways required for synaptic function.

To target the pre-synaptic compartment, the small molecule AGB101, which is currently approved by the Food and Drug Administration as an anticonvulsant through its mechanism of binding to the synaptic vesicle (SV) protein SV2A, is currently undergoing Phase 3 clinical trials to test whether it can delay mild cognitive decline in AD (NCT03486938). SV2A was suggested to regulate AD-related proteins through inverse expression of Tau for Taumediated inhibition of SV release; or promoting the production of Aβ by stabilizing amyloid precursor protein on the cell surface for cleavage, and

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by the inverse expression of BACE1. SV2A may additionally play a role in the PI3K pathway and promote insulin growth factor secretion (Kong et al., 2021). SV protein synaptogyrin-3 has also been suggested as a therapeutic target for tauopathies, as reducing its expression rescues synaptic dysfunction in tauopathy mouse models (Zhou et al., 2017; McInnes et al., 2018; Largo-Barrientos et al., 2021a). The reduction of synaptogyrin-3 expression in neurons expressing FTD mutant P301S Tau ameliorates synaptic plasticity defects, synaptic loss, and decline in working memory, whilst having no effect on microglial reactivity. This was taken to suggest that Tau-induced synaptic dysfunction can be rescued independently of the microglial state (Largo-Barrientos et al., 2021b).

Two small molecules, AZD0530 and simufilam, act at the intersection of Tau and Aβ pathology at post-synaptic receptors through the targets Fyn kinase or filamin, respectively. The drug AZD0530 is an Src family, including fyn kinase, an inhibitor that was tested in Phase 2 clinical trials but was not shown to be effective based on the study criteria (Van Dyck et al., 2019). Mastinib, a tyrosine kinase inhibitor undergoing Phase 3 clinical trials (NCT05564169) has listed effects including mast cell and migroglial modulation but also inhibiting Lyn and Fyn kinase (Dubreuil et al., 2009). The outcome of this clinical trial and through which mechanisms the small molecule is found to exert its effects in the phase 2 trial will be of interest (Piette et al., 2011; No author listed, 2020). Simufilam is another small molecule with post-synaptic therapeutic mechanisms acting via the scaffolding and actin-regulating protein filamin (Wang et al., 2017). Overexpression of filamin has been shown to promote Tau aggregation in progressive supranuclear palsy (Tsujikawa et al., 2022). Simufilam is reported to normalize signaling through the α7, NDMA, and insulin receptors by preventing or reversing the high-affinity binding of $A\beta_{42}$ and the α 7 nicotinic acetylcholine receptor that is stabilized by filamin. This is an important property as the $A\beta_{42}$ - α 7 interaction may cause tau phosphorylation and synaptic dysfunction (Wang et al., 2003, 2017).

More alternative synaptic therapeutics are also coming into sight, such as an mRNA vaccine to increase ATP to target synaptic hypometabolism that occurs before cognitive loss (Fessel, 2021). A synthetic synaptic protein that cointeracts with presynaptic neurexins and postsynaptic AMPA-type glutamate receptors was found to restore synaptic function, motor coordination, spatial and contextual memories, as well as locomotion in mouse models for cerebellar ataxia, AD, and spinal cord injury, respectively (Suzuki and Kimura, 2017). This is an example of a 'structure-guided' design and application that may help to design therapeutics that are specifically able to target synaptic impairment at the earliest stages of disease progression. In addition, by better understanding the diversity between different synapse types, we may be able to understand what makes some synapses vulnerable or resilient to pathology (Griffiths and Grant, 2023).

Discussion

The diversity of research aimed at developing a treatment against Taubased neurodegenerative diseases offers great hope to this currently limited field. Other therapies undergoing Phase 3 trials (Dec 2022) include 3 dietary supplement, 2 omega-3-based (NCT03691519; NCT02719327), and a glucose alternative (Tricaprilin; NCT04187547), a neuroprotective agent against oxidative and Aβ-induced stress (GV1001; NCT05303701), and a behavioral treatment based on computerized cognitive training (NCT03848312). Alongside these, the use of stem cells and extracellular vesicles, and deep brain stimulation, which have shown some success in Parkinson's disease, are also being further investigated (Mondragón-Rodríguez et al., 2017; Gonçalves et al., 2023). Whilst further therapeutic avenues are being investigated, a "healthy lifestyle" remains one of the best methods for a longer lifespan with later disease onset (Dhana et al., 2022).

It is also important to consider the limitations of the classes of therapeutics. Small molecules often suffer from limited specificity, leading to adverse effects and drug resistance. The tyrosine kinase inhibitors are an example of limited specificity and resistance, such as nilotinib, whereby cells showed drug resistance through upregulated expression of five kinase targets (Kim et al., 2011). Antibodies have poor blood-brain barrier penetration which therefore often requires high doses of intravenous infusion or subcutaneous injection on a regular basis. This may limit cocktail combinations until higher doses of a single antibody are achieved. In addition, there has been much publicity of amyloid-related imaging abnormalities, which results in swelling and/or bleeding in the brain in 21.3% of patients compared with 9% of placebo control patients (The Lancet, 2022; van Dyck et al., 2022). As we have seen, although a drug may ameliorate behavioral function in one domain, it does not always translate between cognitive tasks and therefore multiple therapeutic avenues may be required.

To recap on the therapeutic targets in this review, growth factor stimulation, insulin signaling, and promoting synaptic function offer great hope for the future of pre-symptomatic treatments, either as monotherapy or as a "cocktail" combination with therapies reducing protein aggregation. An interesting intersection of growth factor stimulation, insulin signaling, and synaptic function is through the activation state of GSK-3β (see lithium; ANAVEX2-73) and thereby both protein and polyglucan synthesis, as well as Tau phosphorylation state (Sperbera et al., 1995). GSK-3β can be inhibited by insulin (see semagludtide, piromelatine) and several growth factors, hormones, and AMPK (see metformin) downstream of caloric restriction. Increased GSK-3β increases Tau hyperphosphorylation, promotes inflammation, prevents synaptic vesicle exocytosis, and can activate Fyn

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kinase to cause nuclear export of Nrf2 (see hydralazine) (Eldar-Finkelman, 2002; Jain and Jaiswal, 2007; Jope et al., 2007; Zhu et al., 2010). Tau is also required for transient anchoring of GSK-3B in the postsynaptic compartment where it can phosphorylate Tau to induce long-term depression (Kimura et al., 2013). Tau deletion can promote brain insulin resistance which could further feedback to influence the GSK-3β signaling pathway (Marciniak et al., 2017). Of the disease-modifying therapies in Phase 3 clinical trials in January 2022, 29% targeted amyloid pathology, 19% targeted synaptic plasticity, and only 5% targeted Tau (Cummings et al., 2022). Many drugs against Aβ target Tau indirectly on the principle that Tau pathology is downstream of Aβpathology and therefore would be abolished if Aβ-pathology was prevented in the first instance (Bloom, 2014). However, it is also known that Tau is required for Aβ-induced pathology (Rapoport et al., 2002). In fact, as we have seen, Fyn (AZD0530, mastinib) is translocated by Tau to dendrites to phosphorylate NMDA receptors thereby allowing their stabilization by PSD95. This can lead to the excitotoxicity that increases Aβ-toxicity on neurons (Haass and Mandelkow, 2010). One of the currently prescribed AD treatments, memantine, is an NMDAR blocker, which also inhibits and reverses the protein phosphatase 2A inhibition-induced pathological hyperphosphorylation and accumulation of tau *in vitro* (Li et al., 2004). Memantine hints at the effectiveness of a synaptic target known to be influenced by Tau pathology. In addition, in primary tauopathies, Tau is the initial driving factor of disease pathology, and therefore directly targeting and preventing this pathology may allow generalized therapies between Tau-associated neurodegenerative disorders.

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