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## Impaired endogenous neurosteroid signaling contributes to behavioral deficits associated with chronic stress

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### Abstract

**Background:** Chronic stress is a major risk factor for psychiatric illnesses, including depression. However, the pathophysiological mechanisms whereby stress leads to mood disorders remain unclear. Allopregnanolone acts as a positive allosteric modulator preferentially on  $\delta$  subunit-containing GABA<sub>A</sub> receptors ( $\delta$ -GABA<sub>A</sub>Rs). Accumulating clinical and preclinical evidence supports the antidepressant effects of exogenous administration of allopregnanolone analogs; yet the role of endogenous allopregnanolone in the pathophysiology of depression remains unknown.

**Methods:** We utilized a chronic unpredictable stress (CUS) mouse model, followed by behavioral and biochemical assays to examine whether altered neurosteroid signaling contributes to behavioral outcomes following CUS. We subsequently performed *in vivo* CRISPR knockdown of rate-limiting enzymes involved in allopregnanolone synthesis, 5 $\alpha$ -reductase type 1 and 2

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Author contributions:

NLW and JLM contributed to conceptualization of the project. NLW, TD, AES, AD, JG, RP, EJS, and JLM contributed to data generation and NLW, PA, LB, GLW, and JLM contributed to data analysis. SH and DK developed the CRISPR constructs used in the study. NLW, PA, GLW, and SH contributed to data visualization. NLW and JLM wrote the original draft of the manuscript and NLW, PA, LB, TD, AD, AES, JG, SH, RP, EJS, GLW, DK, and JLM also contributed to manuscript preparation (reviewing and editing).

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(5 $\alpha$ 1/2), in addition to lentiviral overexpression of 5 $\alpha$ 1/2 in the basolateral amygdala (BLA) of mice that underwent CUS to assess the impact of 5 $\alpha$ 1/2 on behavioral outcomes.

**Results:** The expression of  $\delta$ -GABA<sub>A</sub>Rs and endogenous levels of allopregnanolone were reduced in the BLA following CUS. Treatment with an exogenous allopregnanolone analog, SGE-516, was sufficient to increase allopregnanolone levels in the BLA following CUS. Knockdown of 5 $\alpha$ 1/2 in the BLA, mimicked the behavioral outcomes associated with CUS. Conversely, overexpression of 5 $\alpha$ 1/2 in the BLA improved behavioral outcomes following CUS.

**Conclusions:** Our findings demonstrate that chronic stress impairs endogenous neurosteroid signaling in the BLA which is sufficient to induce behavioral deficits. Further, these studies suggest allopregnanolone-based treatments may directly target the underlying pathophysiology of mood disorders suggesting that targeting endogenous neurosteroidogenesis may offer a novel therapeutic strategy.

### Keywords

Stress; neurosteroids; neurosteroidogenesis; GABA; Allopregnanolone; depression

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### Introduction

Neurosteroids, such as allopregnanolone, have been implicated in the pathophysiology of psychiatric illnesses, including anxiety, depression, and post-traumatic stress disorder (PTSD) (1,2,3,4,5,6,7), largely based on anxiolytic and antidepressant effects of exogenous administration of these compounds (8). Few studies have directly explored the pathophysiological link between endogenous neurosteroids and mood disorders. Deficits in neurosteroids and a reduction in the expression of rate limiting enzymes involved in endogenous neurosteroidogenesis, 5 $\alpha$ -reductases, have been demonstrated in preclinical models of chronic stress (2,3,4,5,9). Given that chronic stress is a major risk factor for psychiatric illnesses (10,11,12), these data suggest that deficits in endogenous neurosteroidogenesis may contribute to pathophysiological mechanisms underlying psychiatric illnesses (6,7). This hypothesis is supported by clinical evidence that finasteride, a 5 $\alpha$ -reductase inhibitor, is associated with mood disorders, including anxiety and depression, referred to as post-finasteride syndrome (PFS), the pathophysiology of which may involve deficits in endogenous neurosteroid signaling (13). Further, in animal models, the anxiolytic and antidepressant effects of progesterone are blocked by finasteride (14), suggesting that endogenous neurosteroids impact mood.

Allopregnanolone acts predominantly on  $\delta$  subunit-containing GABA<sub>A</sub> receptors ( $\delta$ -GABA<sub>A</sub>Rs) (15,16,17). GABA<sub>A</sub>Rs are heteropentameric ligand-gated ion channels which mediate the majority of inhibitory neurotransmission in the brain. Recently, we demonstrated that  $\delta$ -GABA<sub>A</sub>Rs are uniquely expressed on parvalbumin (PV) interneurons in the basolateral amygdala (BLA) and mediate the effects of exogenous allopregnanolone on behavioral states (18). Further, we demonstrated that exogenous neurosteroid treatment ameliorates behavioral deficits following chronic stress (18). These data suggest that exogenous neurosteroid actions in the BLA can impact mood; however, the impact of endogenous neurosteroid signaling in the BLA on mood remains unexplored. This study

attempts to fill this gap in knowledge by investigating whether perturbations in endogenous neurosteroid signaling in the BLA contribute to chronic stress induced behavioral changes.

Here, we directly examine the contribution of endogenous neurosteroid signaling in the BLA in mediating changes in behavioral states associated with chronic stress. These studies build upon well-established evidence that chronic stress is a risk factor for psychiatric disorders (10,11) and can be utilized in rodents to alter behavioral outcomes (19,20). We demonstrate that chronic unpredictable stress (CUS) induces changes in the capacity for endogenous neurosteroid signaling in the BLA, evident from a decrease in allopregnanolone levels measured in the BLA but not circulating plasma, decreased expression of the neurosteroidogenic enzymes, 5 $\alpha$ -reductase 1 and 2 (5 $\alpha$ 1/2), and their main site of action,  $\delta$ -GABA<sub>A</sub>Rs, in the BLA. CRISPR-mediated knockdown of genes encoding for 5 $\alpha$ 1/2, in the BLA was sufficient to mimic the impact of CUS on behavioral states. Similarly, knocking down the predominant site of action of endogenous 5 $\alpha$ -reduced neurosteroids,  $\delta$ -GABA<sub>A</sub>Rs, in the BLA also mimicked behavioral deficits associated with CUS. Importantly, increasing the capacity for endogenous neurosteroidogenesis by overexpressing 5 $\alpha$ 1/2 in the BLA was sufficient to improve behavioral states following CUS. These findings implicate endogenous neurosteroid signaling in the BLA in the pathophysiology of mood disorders and provides a potential mechanistic underpinning for the antidepressant effects of allopregnanolone.

## Methods and Materials

Detailed methods are described in the Supplement. Briefly, CUS mice underwent a three-week protocol consisting of alternating overnight stressors (Figure S1 A). Following CUS, liquid chromatography with tandem mass spectrometry (LC-MS/MS) was conducted on plasma, whole brain, and BLA samples to detect levels of allopregnanolone in vehicle-treated mice or mice treated with SGE-516[tool compound], a synthetic analog of allopregnanolone developed by SAGE Therapeutics, and qRT-PCR was performed to measure 5 $\alpha$ 1/2 expression. Cre-dependent adeno-associated virus viral vectors were constructed to facilitate expression of guideRNAs targeting genes for  $\delta$ -GABA<sub>A</sub>Rs and 5 $\alpha$ 1/2 (sgGabrd and sg5 $\alpha$ 1/2 respectively). sgGabrd was stereotaxically administered bilaterally to the BLA of PV-Cas9 mice to specifically ablate  $\delta$ -GABA<sub>A</sub>Rs from parvalbumin interneurons in the region, given the unique expression of these receptors in PV interneurons in the BLA (18). The same stereotaxic procedures were performed in constitutive Cas9 mice to ablate 5 $\alpha$ 1/2 from all cell types in the BLA. To overexpress 5 $\alpha$ 1/2 in the BLA, 5 $\alpha$ 1/2 lentiviral constructs were stereotaxically injected bilaterally into the BLA of C57BL/6J mice. All mice were given a one-week surgical recovery period prior to any behavioral testing to assess for stress-induced helplessness and avoidance behaviors.

## Results

### Behavioral Aberrations Following Chronic Unpredictable Stress

Given that chronic stress is a well-established risk factor for the development of psychiatric disorders, we utilized a chronic unpredictable stress paradigm to examine behavioral sequelae of chronic stress. Mice subjected to CUS displayed increased avoidance behaviors, evident from a significant decrease in total time spent in the center of the open field (control:

57.8 ± 6.2 s; CUS: 39.7 ± 2.6 s)(Figure 1 A-B; control n=14, CUS n=15, p=0.0107 unpaired t-test) without a change in locomotor behavior (control: 2252 ± 135.4 beam breaks; CUS: 2193 ± 75.6 beam breaks)(Figure 1 A-B; p=0.7009 unpaired t-test). Mice subjected to CUS also exhibited an increase in stress-induced helplessness demonstrated by a shorter latency to immobility (control: 73.5 ± 7.4 s; CUS: 35.9 ± 5.9 s)(Figure 1 C; control n=10, CUS n=11, p=0.0008 unpaired t-test) and an increased total time spent immobile (control: 180 ± 13.8 s; CUS: 233.2 ± 7.7 s) in the tail suspension test (Figure 1 C; p=0.0027 unpaired t-test). Similarly, CUS mice exhibited a decreased latency to immobility (control: 64.6 ± 12.22 s; CUS: 6.2 ± 0.6 s)(Figure 1 D; control n=10, CUS n=15, p=0.0010 unpaired t-test) and an increased total time immobile in the forced swim test (control: 149.6 ± 17.9 s; CUS: 219.8 ± 5.9 s)(Figure 1 D; p=0.0033 unpaired t-test).

CUS did not alter avoidance behaviors in the elevated plus maze as demonstrated by similar outcomes in open arm time (control: 94.9 ± 15.8 s; CUS 97.3 ± 16.6 s)(Figure S1 B; control n=9, CUS n=10, p=0.9166 unpaired t-test), open arm entries (control: 37.3 ± 6.5 entries; CUS 38.5 ± 5.3 entries)(Figure S1 ; p=0.8914 unpaired t-test), open arm distance (control: 301 ± 82.8 cm; CUS: 274.1 ± 65.1 cm)(Figure S1 B; p=0.8020 unpaired t-test), and locomotor behavior (control: 1459 ± 464.8 beam breaks; 1232 ± 414.5 beam breaks)(Figure S1 B, p=0.7203 unpaired t-test). CUS also did not alter behavioral outcomes in the light/dark box test as demonstrated by similar time spent in the light zone (control: 169.8 ± 15.2 s; CUS: 178.0 ± 7.3 s)(Figure S1 C; control n=14, CUS n=15, p=0.6313 unpaired t-test) and locomotor behavior (control: 1491 ± 104.7 beam breaks; CUS: 1417 ± 74.7 beam breaks) (Figure S1 C, p=0.5744 unpaired t-test). To validate our battery of behavior outcomes for chronic stress, we performed a principal component analysis of all behavioral metrics from automated behavior scoring (Figure 1 E; Figure S2 A). A Bayesian information criteria test indicated a 3-cluster gaussian mixed model to best fit the first four principal components explaining over 60% of variance (Figure S2 B-C). Two clusters were predominantly control-male or control-female mice, 80% and 73% respectively (Figure 1 E). The third cluster was composed entirely of CUS males and females, which appeared as a distinct cluster in PCA space (Figure 1 E). Thus, CUS induced robust changes in behavior which distinguished between experimental groups, making this model and these outcome measures useful for investigating mechanisms whereby stress alters behavioral outcomes. The summary of behavioral changes following CUS are shown in Figure 1 F.

### ***Chronic Unpredictable Stress Decreases $\delta$ -GABA<sub>A</sub>R Expression in the BLA***

To examine brain regions impacted by CUS, we conducted brain-wide cFos immunofluorescence from control and CUS mice. The average number of cFos-positive cells within the BLA were increased in mice subjected to CUS (control: 13.1 ± 1.4 cells; CUS: 31.5 ± 4.6 cells)(Figure 2 A-B; control n=10, CUS n=6, p=0.0091 unpaired t-test). Thus, this manuscript focused on potential pathophysiological changes in the BLA contributing to behavioral deficits following CUS.

Behavioral states, such as fear, avoidance behaviors, and stress-induced helplessness, have been shown to be governed by parvalbumin-positive (PV) interneurons in the BLA (18,21,22,23,24). Given that PV interneurons in the BLA uniquely express  $\delta$ -GABA<sub>A</sub>Rs

which influence behavioral states (18), we hypothesized that behavioral abnormalities following chronic stress may involve changes in  $\delta$ -GABA<sub>A</sub>R expression on PV interneurons in the BLA. Following CUS, there was a significant reduction in cells expressing  $\delta$ -GABA<sub>A</sub>Rs in the BLA (control:  $85.6 \pm 6.3$  cells per section; CUS:  $46.3 \pm 2.8$  cells per section)(Figure 2 C-D; control n=7, CUS n=5,  $p=0.0004$  unpaired t-test). These findings were further validated using western blot analysis, demonstrating a reduction in  $\delta$ -GABA<sub>A</sub>R expression in the BLA in mice subjected to CUS (control:  $66.3 \pm 4.0$  O.D. units/25 $\mu$ g total protein; CUS:  $37.0 \pm 4.4$  O.D. units/25 $\mu$ g total protein)(Figure 2 E; control n=5, CUS n=5,  $p=0.0012$ ) with no change in  $\beta$ -tubulin expression (control:  $81.4 \pm 8.1$  O.D. units/25 $\mu$ g total protein; CUS:  $105.5 \pm 20.01$  O.D. units/25 $\mu$ g total protein)(Figure 2 E;  $p=0.3124$  unpaired t-test). Collectively, these data demonstrate that the predominant site of action for neurosteroid signaling is decreased within the BLA following CUS, which may contribute to the associated behavioral deficits.

We next examined whether this decrease in  $\delta$ -containing GABA<sub>A</sub>Rs may contribute to behavioral deficits that are observed following CUS. A reduction in  $\delta$ -GABA<sub>A</sub>Rs in the BLA was achieved by injecting sg*Gabrd* (guideRNA encoding for the gene *Gabrd* specific to the  $\delta$ -subunit of GABA<sub>A</sub>Rs) into the BLA of PV-Cas9 mice to knockdown  $\delta$ -GABA<sub>A</sub>Rs on PV interneurons, in the BLA (Figure 3 A). This approach was sufficient to decrease the number of  $\delta$ -GABA<sub>A</sub>R-positive cells in the BLA (control:  $39.3 \pm 3.1$  cells per section; sg*Gabrd*  $7.0 \pm 1.0$  cells per section)(Figure 3 B;  $p<0.0001$  unpaired t-test) and induced an increase in stress-induced helplessness and avoidance behaviors. In the elevated plus maze, mice with reduced  $\delta$ -GABA<sub>A</sub>Rs in the BLA exhibited a decrease in open arm entries (control:  $27.4 \pm 5.0$  entries; sg*Gabrd*:  $15.5 \pm 1.6$  entries)(Figure 3 C;  $p=0.0079$  unpaired t-test) and a decrease in total distance traveled in the open arm (control:  $138.8 \pm 38.8$  cm; sg*Gabrd*:  $75.54 \pm 7.9$  cm)(Figure 3 C; control n=5, sg*Gabrd* n=13,  $p=0.0285$  unpaired t-test), an indication of increased avoidance behaviors. There was no significant difference in total time in the open arm for sg*Gabrd* mice (control:  $122.3 \pm 22.1$  s; sg*Gabrd*:  $132.9 \pm 15.4$  s)(Figure 3 C;  $p=0.7129$  unpaired t-test). However, there was also a significant decrease in basic movements in the elevated plus maze for sg*Gabrd* mice that these mice did not display in other behavioral tests (control:  $519.8 \pm 51.1$  movements; sg*Gabrd*:  $258.5 \pm 15.4$  movements)(Figure 3 C;  $p<0.0001$  unpaired t-test). Mice with reduced  $\delta$ -GABA<sub>A</sub>Rs in the BLA also displayed an increase in stress-induced helplessness demonstrated by an increase in total time immobile in the forced swim test (control:  $161.0 \pm 13.0$  s; sg*Gabrd*:  $222.5 \pm 10.5$  s)(Figure 3 D; control n=5, sg*Gabrd* n=13,  $p=0.0046$  unpaired t-test), although there was no significant difference in latency to immobility in this test (control:  $94.6 \pm 19.3$  s; sg*Gabrd*:  $62.8 \pm 5.6$  s)(Figure 3 D;  $p=0.1780$  unpaired t-test). Collectively, these findings indicate that reduced  $\delta$ -GABA<sub>A</sub>R expression on PV interneurons in the BLA is sufficient to induce behavioral deficits, like those observed following CUS (Figure 1). These data further suggest that the decrease in  $\delta$ -GABA<sub>A</sub>R expression observed following CUS may contribute to the behavioral deficits associated with CUS. However, these animals did not differ in the total amount of time spent in the center of the open field (control:  $94.7 \pm 6.9$  s; sg*Gabrd*:  $74.65 \pm 8.2$  s)(Figure S3 A; control n=5, sg*Gabrd* n=13,  $p=0.0811$  unpaired t-test), nor did they differ in locomotor behavior (control:  $2489 \pm 158.6$  beam breaks; sg*Gabrd*:  $2141 \pm 84.4$  beam breaks)(Figure S3 A;  $p=0.0976$  unpaired t-test). Similarly, sg*Gabrd* mice did not

display any behavioral changes in the light/dark box test as measured by the total time spent in the light zone (control:  $184.4 \pm 22.7$  s; sgGabrd:  $189.7 \pm 24.2$  s) (Figure S3 B; control n=5, sgGabrd n=13, p=0.8762 unpaired t-test) and locomotor behavior (control:  $1115 \pm 26.2$  beam breaks; sgGabrd:  $1140 \pm 43.9$  beam breaks)(Figure S3 B; p=0.6295 unpaired t-test). The summary of behavioral changes in mice with a reduction in  $\delta$ -GABA<sub>A</sub>Rs on PV interneurons in the BLA are shown in Figure 3 E.

### Impaired Neurosteroidogenesis in the BLA Following CUS

To further explore whether there are deficits in endogenous neurosteroid signaling following CUS, we measured allopregnanolone levels in plasma, whole brain, and BLA samples from control and CUS mice using LC-MS/MS. Plasma levels of allopregnanolone were not significantly different between control and CUS mice (control:  $1.6 \pm 0.8$  ng/ml; CUS:  $0.6 \pm 0.1$  ng/ml)(Figure 4 A; control n=11, CUS n=13, p=0.087747 unpaired t-test), although there was a trend towards a decrease in the plasma of CUS mice. Similarly, there was no significant difference in whole brain levels of allopregnanolone (control:  $3.2 \pm 1.1$  ng/g; CUS:  $1.9 \pm 0.8$  ng/g)(Figure 4 B; control n=6, CUS n=4, p= 0.873461 unpaired t-test). We did, however, observe a significant decrease in allopregnanolone levels in the BLA following CUS (control:  $3.8 \pm 1.1$  ng/g; CUS:  $1.8 \pm 0.3$  ng/g)(Figure 4 C; control n=4, CUS n=5, p= 0.041426 unpaired t-test). Interestingly, treatment with a synthetic allopregnanolone analog, SGE-516, prevented the CUS-induced reduction in allopregnanolone levels in the BLA (CUS+SGE-516 plasma:  $1.0 \pm 0.2$ ; whole brain;  $4.4 \pm 1.3$ ; BLA:  $4.2 \pm 0.4$ )(Figure 4A; CUS+SGE-516: plasma n=14, Figure 4B; whole brain n=8, Figure 4C; BLA n=8; plasma p=0.1964; whole brain p=0.3296; BLA p=0.0025). These data demonstrate a reduction in endogenous neurosteroid levels in the BLA following CUS which are likely brain region specific.

Given our observation that endogenous allopregnanolone levels are reduced in the BLA, we examined the expression of  $5\alpha$ -reductase 1 and 2 ( $5\alpha 1/2$ ), the rate-limiting enzymes for allopregnanolone synthesis, in the BLA following CUS. qRT-PCR revealed a decrease in transcript levels of *Srd5a1* and *Srd5a2*, which encode for  $5\alpha 1/2$ , respectively, in the BLA following CUS (*Srd5a1*:  $0.3 \pm 0.1$  fold change; *Srd5a2*:  $0.6 \pm 0.2$  fold change) (Figure 4 D; control n=16, CUS n=20 pooled BLA samples from 4 mice per experiment, *Srd5a1* p=0.0031, *Srd5a2* p=0.0831 t-test). Exogenous SGE-516 treatment prevented the reduction in *Srd5a1* and *Srd5a2* expression in the BLA following CUS (SGE-516 *Srd5a1*:  $2.0 \pm 0.7$  fold change; SGE-516 *Srd5a2*:  $1.2 \pm 0.4$  fold change) (Figure 4 D; n=16 pooled BLA samples from 4 mice per experiment). These data suggest that endogenous neurosteroidogenesis is impaired in the BLA following CUS, which we proposed may contribute to behavioral deficits.

To probe whether SGE-516 may influence  $5\alpha 1/2$  activity, we utilized HEK cells which overexpressed either *Srd5a1* or *Srd5a2* and examined the impact of either progesterone or SGE-516 treatment on the activity of these enzymes by measuring NADP<sup>+</sup> production as a proxy. Both progesterone and SGE-516 treatment increased NADP<sup>+</sup> synthesis in HEK cells expressing *Srd5a1* (control:  $2642.4 \pm 506.1$  RLU; progesterone:  $4928.3 \pm 1820.1$  RLU; SGE-516:  $4277.1 \pm 2656.8$  RLU) (Figure 4 F; control n=8–12 replicates per experimental

group; progesterone  $p=0.0003$ , SGE-516  $p=0.05$  unpaired t-test) and *Srd5a2* (control:  $2839.3 \pm 953.7$  RLU; progesterone:  $4484.3 \pm 2332.0$  RLU; SGE-516:  $4591.2 \pm 1342.7$  RLU) (Figure 4 G; control  $n=8$  replicates per experimental group; progesterone  $p=0.04$ , SGE-516  $p=0.005$  unpaired t-test).

### Impaired Neurosteroidogenesis in the BLA is Sufficient to Induce Behavioral Deficits

Given that CUS induced brain region-specific deficits in endogenous neurosteroidogenesis, we next sought to directly investigate whether behavioral consequences of CUS involve impaired neurosteroidogenesis in the BLA. Utilizing constitutive Cas9 expressing mice we knocked down  $5\alpha/2$  in the BLA using sgRNAs targeting genes encoding these enzymes, *Srd5a1* and *Srd5a2* (Figure 5 A). This approach reduced transcript levels of  $5\alpha/2$  (*Srd5a1*:  $0.5 \pm 0.1$  fold change; *Srd5a2*:  $0.7 \pm 0.1$  fold change) in the BLA (Figure 5 B; control  $n=15$ , sg $5\alpha/2$   $n=8$ , *Srd5a1*  $p=0.0001$ ; *Srd5a2*  $p=0.0037$  t-test). sg $5\alpha/2$  mice exhibited increased avoidance behaviors, spending less time in the light compartment of the light/dark box (control:  $286.7 \pm 21.7$  s; sg $5\alpha/2$ :  $233 \pm 13.5$  s)(Figure 5 C; control  $n=15$ , sg $5\alpha/2$   $n=8$ ,  $p=0.0480$  unpaired t-test) with no change in locomotor behavior (control:  $2423 \pm 54.3$  beam breaks; sg $5\alpha/2$ :  $2305 \pm 62.3$  beam breaks)(Figure 5 C;  $p=0.1745$  unpaired t-test). sg $5\alpha/2$  mice also displayed increased stress-induced helplessness behaviors, evident from a shorter latency to immobility (control:  $76.07 \pm 5.4$ s; sg $5\alpha/2$ :  $31.7 \pm 5.6$ s) (Figure 5 D;  $p<0.0001$  unpaired t-test) and an increase in total time immobile (control:  $173.2 \pm 10.3$  s; sg $5\alpha/2$ :  $237.2 \pm 13.0$  s)(Figure 5 D; control  $n=14$ ; sg $5\alpha/2$   $n=9$ ,  $p=0.0013$  unpaired t-test) in the tail suspension test. However, sg $5\alpha/2$  mice did not exhibit any behavioral changes in the open field test, spending similar time in the center (control:  $76.5 \pm 8.8$  s; sg $5\alpha/2$ :  $81.9 \pm 12.0$  s)(Figure S4; control  $n=14$ , sg $5\alpha/2$   $n=9$ ,  $p=0.7216$  unpaired t-test) and exhibited similar locomotor behavior (control:  $1878 \pm 70.6$  beam breaks; sg $5\alpha/2$ :  $1718 \pm 124.2$  beam breaks)(Figure S4;  $p=0.2814$  unpaired t-test). Collectively, these results suggest that impaired neurosteroid signaling in the BLA is sufficient to induce behavioral deficits reminiscent of those observed following CUS. The summary of behavioral changes in mice with reduced  $5\alpha/2$  expression in the BLA are shown in Figure 5 E.

### Increasing the Capacity for Neurosteroidogenesis in the BLA is Sufficient to Rescue Behavioral Deficits Following CUS

Given that we observed a decrease in the expression of  $5\alpha/2$  in the BLA following CUS (Figure 4) which was sufficient to increase avoidance and stress-induced helplessness behaviors (Figure 5), we next sought to investigate whether increasing  $5\alpha/2$  in the BLA may prevent the behavioral consequences of CUS. Utilizing lentiviral constructs we overexpressed  $5\alpha/2$  in the BLA and subsequently subjected mice to CUS. Overexpression of  $5\alpha/2$  increased transcript levels in the BLA (*Srd5a1*:  $1.9 \pm 0.3$  fold change; *Srd5a2*:  $1.5 \pm 0.1$  fold change) (Figure 6 C; control  $n=7$ , LV  $5\alpha/2$   $n=4$ , *Srd5a1*  $p=0.0673$ ; *Srd5a2*  $p=0.0360$  t-test). Following CUS, mice with increased  $5\alpha/2$  expression in the BLA (CUS+LV  $5\alpha/2$  mice) did not exhibit signs of CUS-induced avoidance behaviors with no difference in the amount of time spent in the center of the open field (control:  $73.4 \pm 8.3$  s; CUS+LV  $5\alpha/2$ :  $101.5 \pm 11.2$  s)(Figure 6 D; control  $n=11$ , CUS+LV  $5\alpha/2$   $n=8$ ,  $p=0.0639$  unpaired t-test), with no change in locomotor behavior (control:  $2613 \pm 126.5$  beam breaks; CUS+LV  $5\alpha/2$ :  $2738 \pm 315.9$  beam breaks)(Figure 6 D;  $p=0.7236$  unpaired

t-test). CUS+LV 5 $\alpha$ 1/2 mice did not exhibit CUS-induced avoidance behaviors in the light/dark box test, exhibiting similar amounts of time spent in the light chamber (control: 193.7  $\pm$  17.5 s; CUS+LV 5 $\alpha$ 1/2: 215.9  $\pm$  26.5 s)(Figure 6 E; control n=11, CUS+LV 5 $\alpha$ 1/2 n=8, p=0.4966 unpaired t-test) with no difference in locomotor behavior (control: 2234  $\pm$  109.6 beam breaks; CUS+LV 5 $\alpha$ 1/2: 2242  $\pm$  200.3 beam breaks)(Figure 6 E; p=0.9729 unpaired t-test). These data suggest that overexpression of 5 $\alpha$ 1/2 is protective against the behavioral impacts of chronic stress. In fact, following CUS, CUS+LV 5 $\alpha$ 1/2 mice spent more time in the open arm of the elevated plus maze (control: 24.9  $\pm$  2.8 s; CUS+LV 5 $\alpha$ 1/2: 60.6  $\pm$  13.7 s)(Figure 6 F; control n=7, CUS+LV 5 $\alpha$ 1/2 n= 8, p=0.0356 unpaired t-test), displayed a trend towards traveling further in the open arm (control: 329.3  $\pm$  52 cm; CUS+LV 5 $\alpha$ 1/2: 749.4  $\pm$  195.9 cm)(Figure 6 F; p=0.0721 unpaired t-test), and a trend to perform more entries into the open arm (control: 31.6  $\pm$  5.6 entries; CUS+LV 5 $\alpha$ 1/2: 52.4  $\pm$  9.5 entries) (Figure 6 F; p=0.0840 unpaired t-test) with no change in locomotor behavior (control: 2632  $\pm$  153.0 beam breaks; CUS+LV 5 $\alpha$ 1/2: 2751  $\pm$  199.2 beam breaks )(Figure 6 F; p=0.6442 unpaired t-test). CUS+LV 5 $\alpha$ 1/2 mice did not exhibit increased stress-induced helplessness as a result of CUS, demonstrating a similar latency to immobility (control: 30.8  $\pm$  5.1 s; CUS+LV 5 $\alpha$ 1/2: 30.7  $\pm$  3.3 s)(Figure 6 G; control n=8, CUS+LV 5 $\alpha$ 1/2 n= 8, p=0.9875 unpaired t-test) and total time immobile in the tail suspension test (control: 185.9  $\pm$  8.5 s; CUS+LV 5 $\alpha$ 1/2: 181.1  $\pm$  9.1 s)(Figure 6 G; p=0.7052 unpaired t-test). These data demonstrate that enhancing the synthesis of 5 $\alpha$ -reduced neurosteroids in the BLA is sufficient to overcome the behavioral deficits induced by CUS. The summary of behavioral changes in CUS mice with an overexpression of 5 $\alpha$ 1/2 in the BLA are shown in Figure 6 H.

## Discussion

These findings implicate deficits in endogenous neurosteroid signaling in the BLA in the pathophysiological mechanisms contributing to behavioral deficits following chronic stress (Figure 7). Here, we demonstrate reduced allopregnanolone levels in the BLA following CUS, consistent with a reduction in expression of neurosteroidogenic enzymes, 5 $\alpha$ 1/2, in this region. Further, the site of action of 5 $\alpha$ -reduced neurosteroids,  $\delta$ -GABA<sub>A</sub>Rs, were also reduced in the BLA following CUS. Collectively, these findings suggest that chronic stress impairs endogenous neurosteroid signaling in the BLA contributing to behavioral deficits following CUS. In fact, knockdown of enzymes involved in endogenous 5 $\alpha$ -reduced neurosteroidogenesis or their predominant site of action, induced increased avoidance and stress-induced helplessness behaviors similar to those observed following chronic stress. These novel findings further our understanding of the mechanisms through which chronic stress alters mood, consistent with previous observations of reduced expression of 5 $\alpha$ -reductases (2,5) and allopregnanolone levels (2,3,4,9) in other chronic stress models (2,3,4,5). Finally, these data suggest that the antidepressant effects of exogenous 5 $\alpha$ -reduced neurosteroids (25,26,27) may be due to their ability to overcome pathological reductions in endogenous neurosteroid levels and endogenous neurosteroid signaling.

Prior work has established the antidepressant and anxiolytic effects of exogenous 5 $\alpha$ -reduced neuroactive steroids (7). Work from our laboratory suggest that the antidepressant mechanism of allopregnanolone and synthetic neuroactive steroid analogs may involve the ability of these compounds to modulate BLA network states, mediating both affective



state switching and prolonged antidepressant effects (18,28). We previously identified PV interneurons in the BLA as critical mediators of behavioral state changes and determined that they contain a high density of  $\delta$ -GABA<sub>A</sub>Rs (18). The ability of neurosteroids to mediate transitions between BLA network and behavioral states likely involves their actions on  $\delta$ -GABA<sub>A</sub>Rs expressed on PV interneurons in the BLA (18), and the ability for tonic inhibition from PV interneurons to synchronize network activity (29). We propose that CUS impairs neurosteroid-mediated enhancement of tonic GABAergic signaling on PV interneurons in the BLA resulting in desynchronization of network states and, consequently, behavioral states (Figure 7). Thus, endogenous neurosteroid actions in the BLA likely target  $\delta$ -GABA<sub>A</sub>Rs on PV interneurons to shift behavioral states. This represents a novel, endogenous mechanism mediating affective state switching and may represent a mechanism mediating the episodic nature of psychiatric illnesses.

Most studies investigating neurosteroid influence on mood have relied on exogenous neurosteroid administration. Currently, little is known about the function of endogenous neurosteroidogenesis. We have overcome this limitation by developing CRISPR tools enabling direct manipulation of endogenous neurosteroidogenesis to examine the impact on behavioral states. The current study extends our knowledge by providing insight into the role of endogenous neurosteroid signaling on behavioral states. These data suggest that a physiological function of endogenous  $5\alpha$ -reduced neurosteroid signaling in the BLA involves setting a baseline affective tone. Consistent with this hypothesis, our data demonstrates that knocking down  $5\alpha 1/2$  in the BLA induces behavioral deficits, suggesting impaired endogenous neurosteroidogenesis in the BLA disrupts affective tone (Figure 5). Conversely, overexpression of  $5\alpha 1/2$  reduces avoidance behaviors (Figure 6), demonstrating that enhanced neurosteroidogenesis can improve behavioral states. These findings suggest that a physiological function of endogenous  $5\alpha$ -reduced neurosteroids is to regulate affective tone.

Previously, our understanding of the physiological function of endogenous neurosteroidogenesis was limited by the inability to manipulate endogenous neurosteroidogenesis and relied on pharmacological tools, such as  $5\alpha$ -reductase inhibitor, finasteride. Clinically, treatment with finasteride has been shown to lead to mood disorders, including anxiety and depression, collectively referred to as post-finasteride syndrome (PFS), the pathophysiology of which is thought to involve deficits in endogenous neurosteroid signaling (13). In animal models, exogenous allopregnanolone exerts anxiolytic and antidepressant effects while treatment with finasteride blocks the anxiolytic and antidepressant effects of progesterone (14). Collectively, these data suggest that endogenous neurosteroids are capable of impacting mood. This study demonstrates that interfering with endogenous neurosteroid signaling is capable of inducing deficits in behavioral states, suggesting that endogenous neurosteroids have a tonic influence over affective states. Further, we demonstrate that enhancing endogenous neurosteroidogenesis can improve behavioral states, suggesting that targeting endogenous neurosteroidogenesis may represent a novel therapeutic approach for the treatment of mood disorders (13,14). Further studies are required to investigate the therapeutic potential of targeting endogenous neurosteroidogenesis for the treatment of mood disorders.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgements and Disclosures

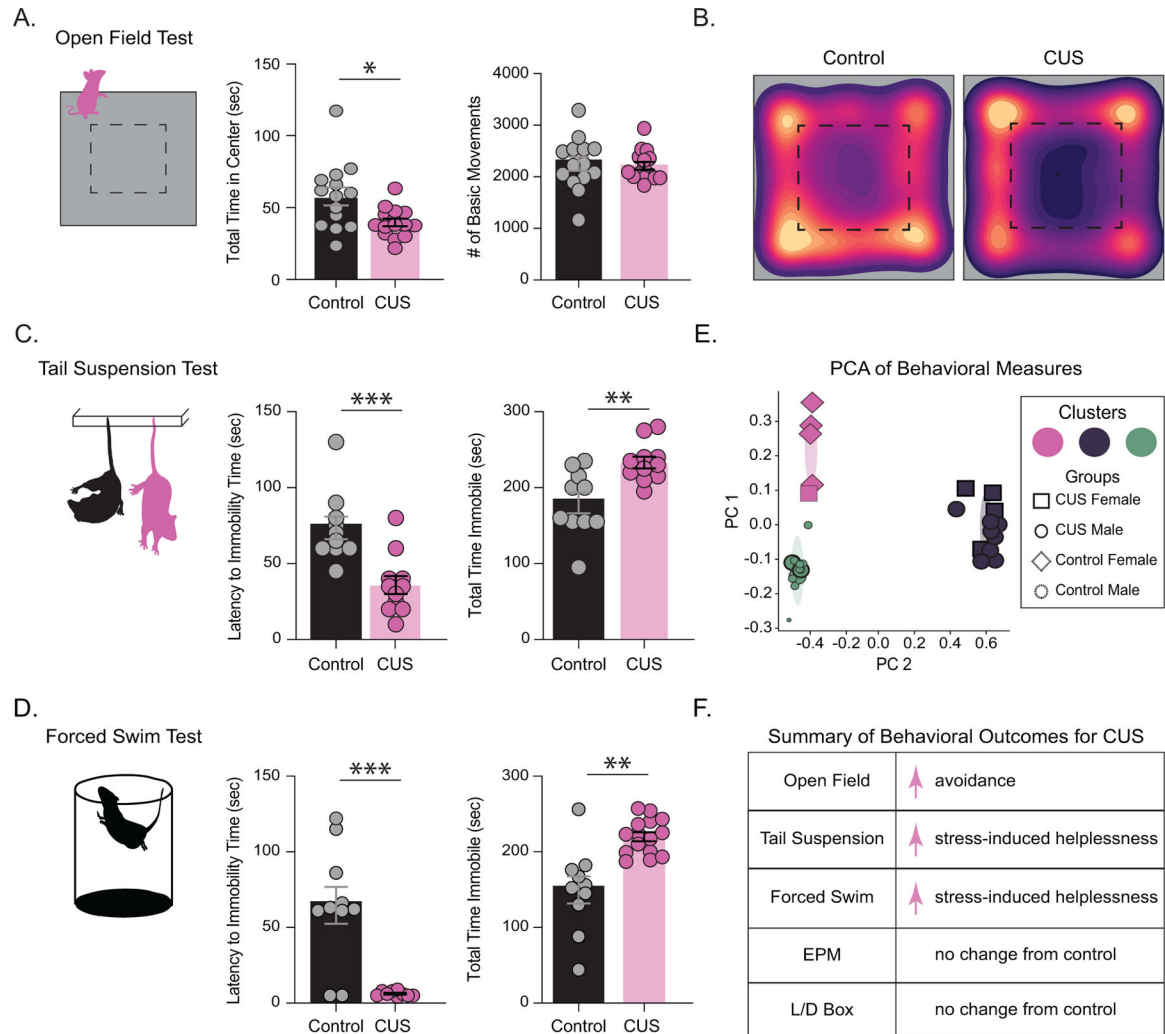
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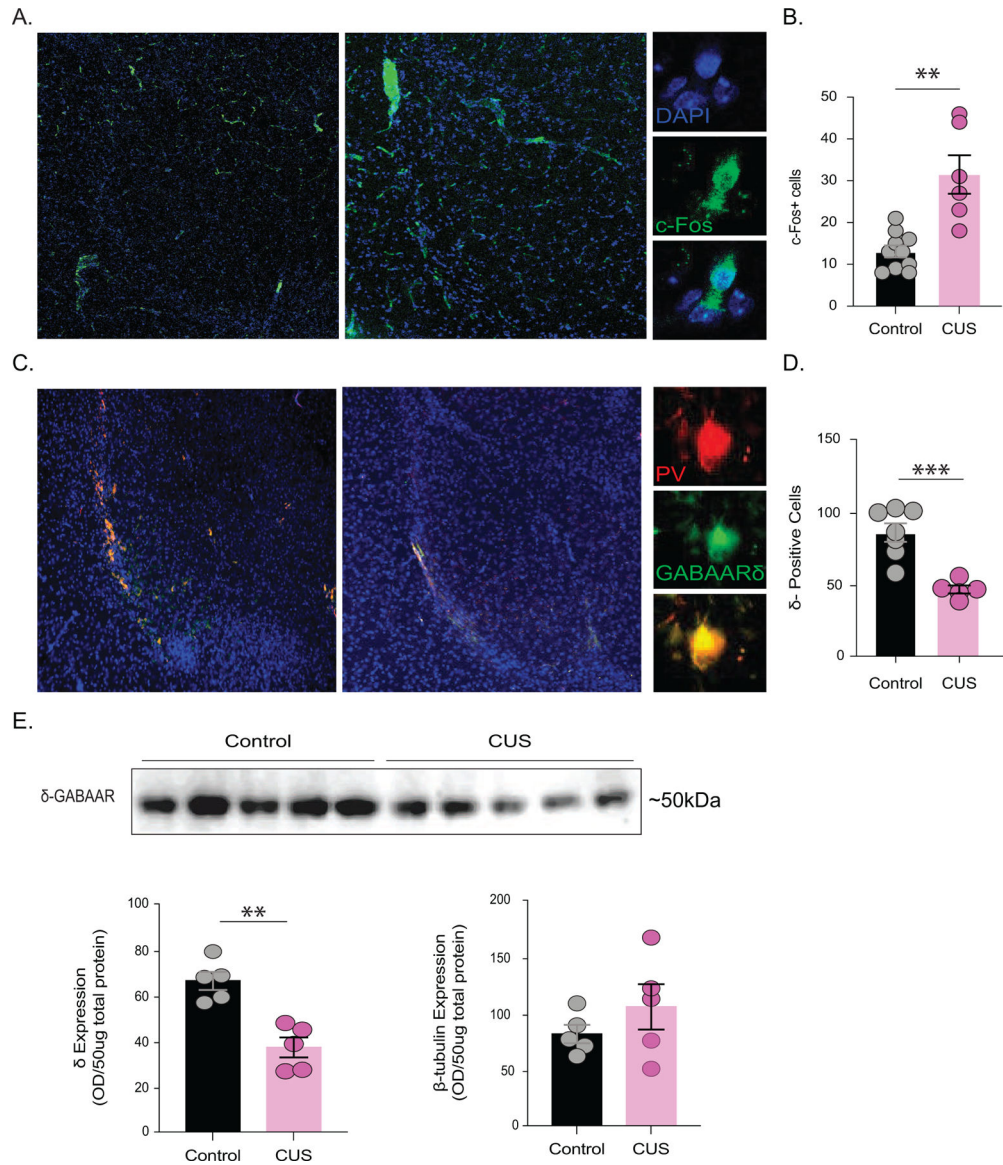
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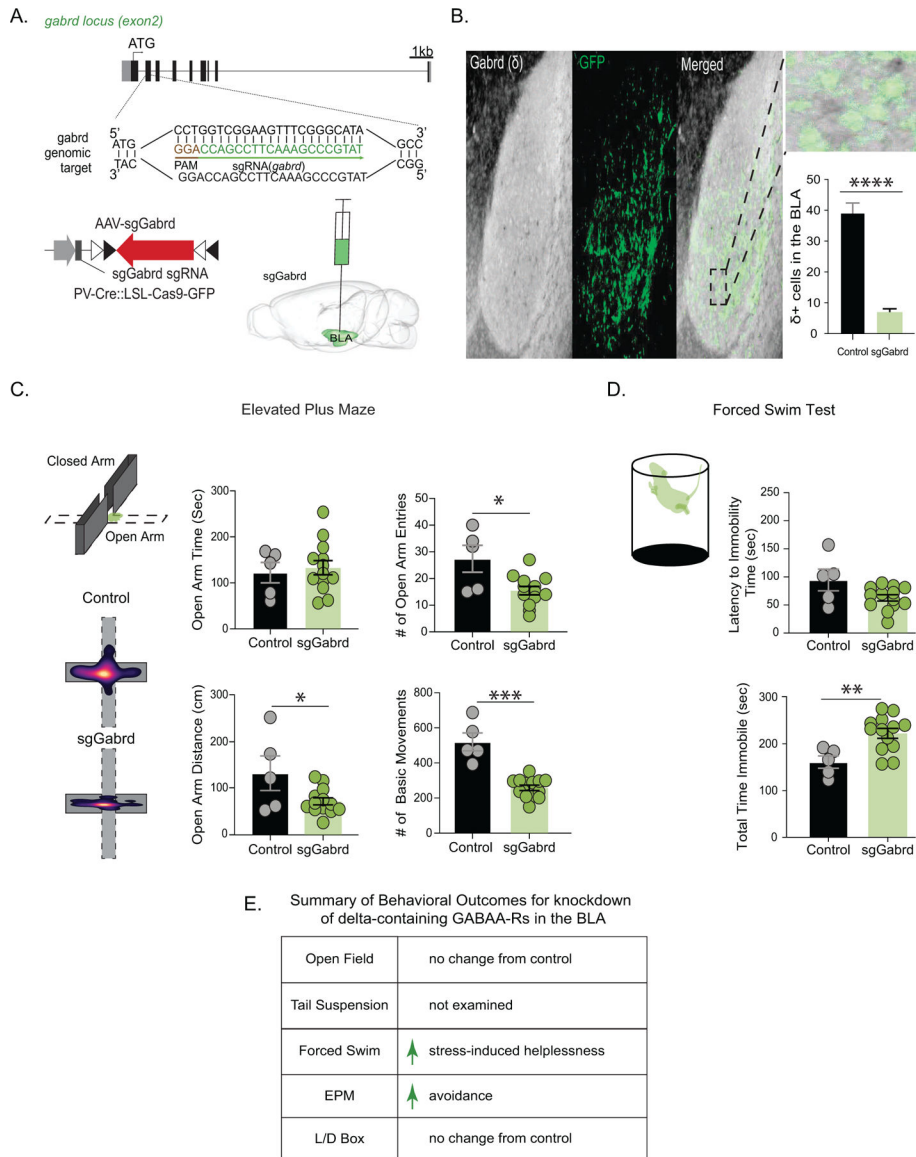
**Figure 1. Chronic unpredictable stress induced behavioral deficits.**

CUS increased avoidance behaviors, with decreased total time spent in the center of the open field without altered overall locomotor behavior (A) control n=14, CUS n=15. (B) representative heat maps of mobility during the open field test of control and CUS mice. CUS also increased stress-induced helplessness, with CUS mice exhibiting a decreased latency to immobility time and an increased total time spent immobile during the tail suspension test (C) control n=10, CUS n=11. CUS mice also displayed stress-induced helplessness in the forced swim test, exhibiting a decreased latency to immobility and increased total time immobile compared to controls (D) n=10, CUS n=15. (E) PCA performed on all behavioral outcomes demonstrated different clusters for mice subjected to CUS compared to controls. (F) summary of behavioral outcomes for mice that underwent CUS for transparency across experiments. \*denotes  $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$  using an unpaired t-test.



**Figure 2.  $\delta$ -GABA<sub>A</sub>Rs are downregulated in the BLA following CUS.**

(A) Representative images of cFos immunofluorescence in the BLA of control and CUS mice. The average number of cFos positive cells in the BLA is reduced in mice subjected to CUS compared to controls (B) control n=10 mice, CUS n=6 mice, average 5 sections per mouse. (C) Representative images of immunofluorescence co-labelling of parvalbumin (PV) interneurons and  $\delta$ -GABA<sub>A</sub>Rs in the BLA. The average number of  $\delta$ -positive cells in the BLA from control and CUS mice (D) control n=7 mice, CUS n=5 mice, average 5 sections per mouse. (E) (above) Representative western blot for  $\delta$ -GABA<sub>A</sub>R expression in total protein isolated from the BLA from control and CUS mice. (below) The average optical density expression of  $\delta$ -GABA<sub>A</sub>Rs and  $\beta$ -tubulin per 25ug of total protein. control n=5 mice, CUS n=5 mice. \*\*denotes p<0.01, \*\*\*p<0.001 using an unpaired t-test.



**Figure 3. CRISPR knockdown of  $\delta$ -GABA<sub>A</sub>Rs in the BLA induced behavioral deficits.** (A) A schematic of the sgRNA construct for knockdown of *Gabrd* and overview of viral targeting strategy in the BLA of PV/Cas9 mice. (B) Representative co-labeling for  $\delta$ -GABA<sub>A</sub>Rs (DAB) and sgRNA expression (GFP) confirmed a loss of  $\delta$ -GABA<sub>A</sub>Rs in GFP-positive cells. The average number of cells expressing  $\delta$ -GABA<sub>A</sub>Rs in the BLA was reduced in mice injected with sgGabrd compared to controls (*inset*). sgGabrd mice exhibited a reduction in the total distance traveled, number of entries, and a reduction in basic movements with no change in the amount of time spent in the open arm of the elevated plus maze compared to controls (C) control n=5, sgGabrd n=13. sgGabrd mice exhibited an increase in the total time spent immobile in the forced swim test compared to controls without any change in the latency to immobility (D) control n=5, sgGabrd n=13. (E) A summary of behavioral outcomes for knockdown of  $\delta$ -GABA<sub>A</sub>Rs from PV interneurons in

the BLA for transparency across figures and experiments. \*denotes  $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ , \*\*\*\* $p < 0.0001$  using an unpaired t-test.

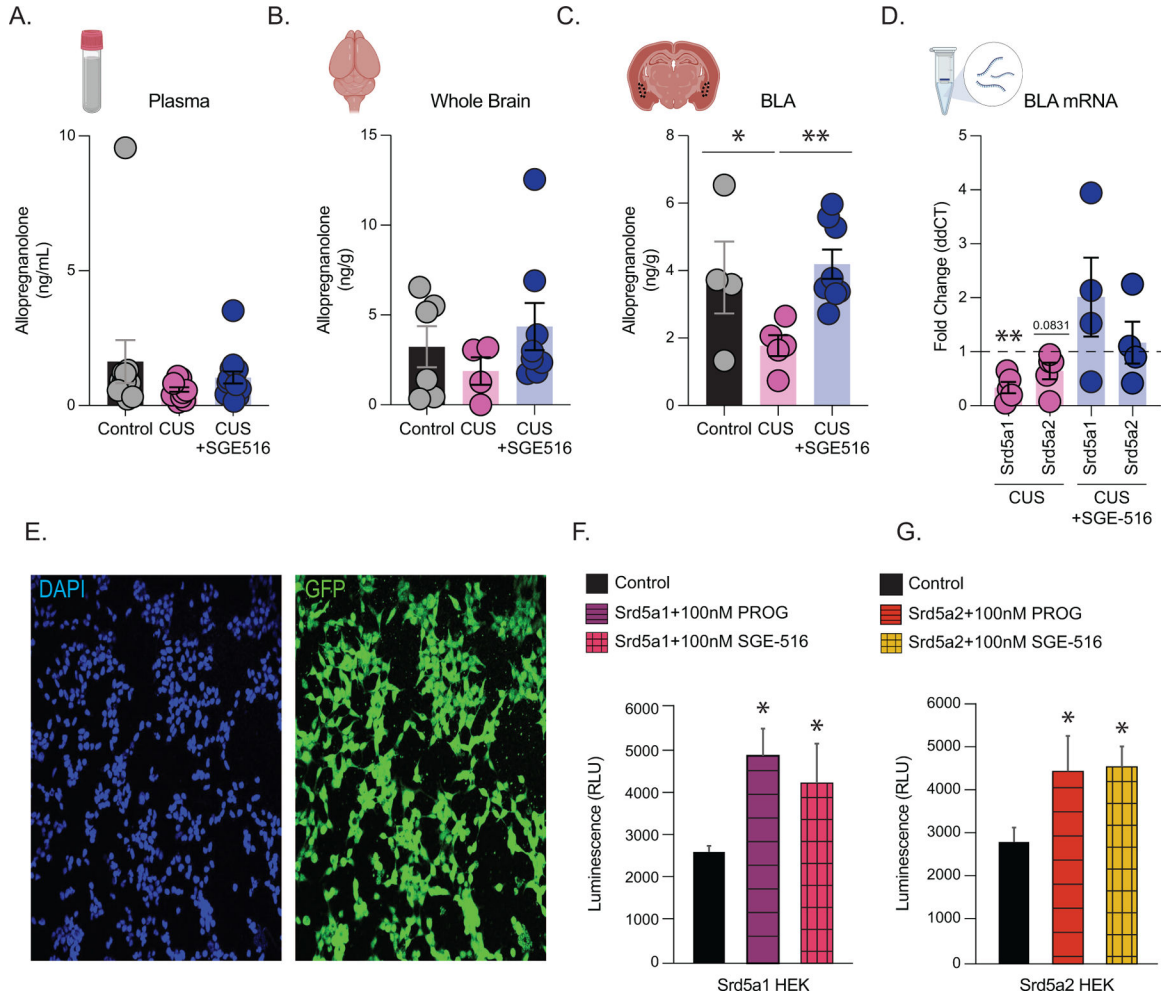
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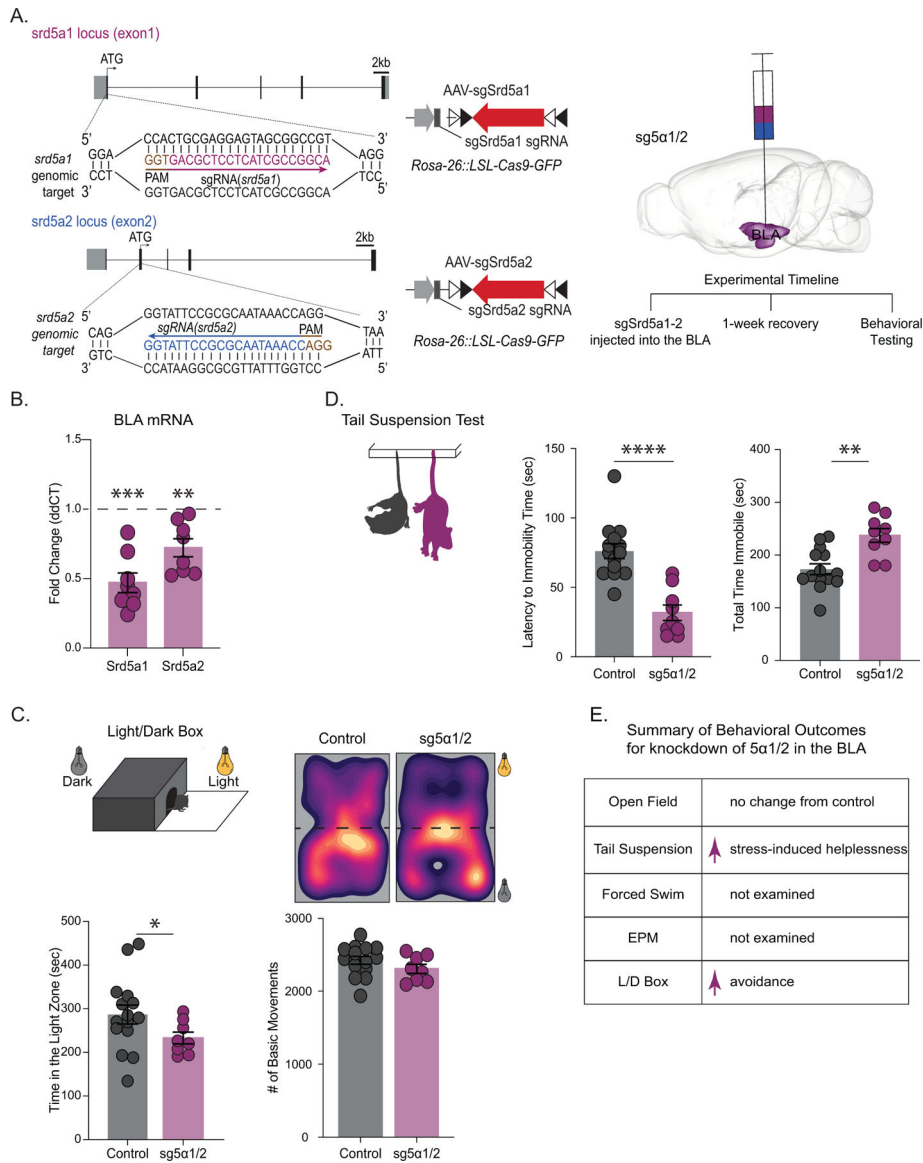
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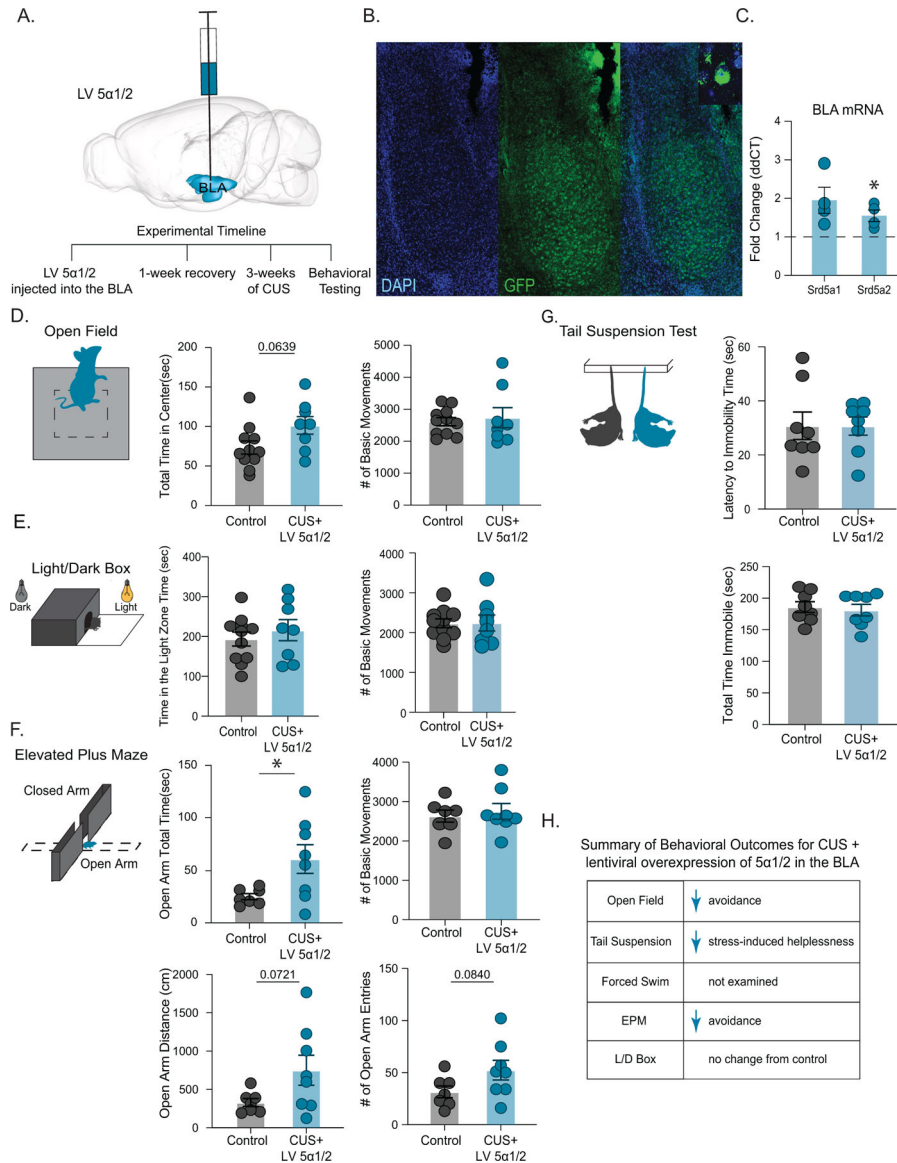


**Figure 4. Exogenous neurosteroid-analog SGE-516 promoted restoration of endogenous allopregnanolone in vivo and in vitro through 5 $\alpha$ -reductases type 1 and 2.** LC-MS/MS measurement of allopregnanolone levels from control, CUS, and CUS+SGE-516 mice. (A) plasma, control n=10, CUS n=13, CUS+SGE-516 n=14 (B) whole brain, control n=6, CUS n=4, CUS+SGE-516 n=8 and (C) BLA samples, control n=4, CUS n=5, CUS+SGE-516 n=8. (D) qRT-PCR measurement of BLA mRNA levels of Srd5a1 and Srd5a2 normalized to  $\beta$ -actin and control levels, control n=16; CUS n=20; CUS+SGE-516 n=16 pooled BLA samples from 4 mice per experiment. (E) Representative images of Srd5a1 and Srd5a2 expressing cell lines showing cell confluency by DAPI staining *left*, and Srd5a1 and Srd5a2 GFP tag *right*. Quantification of NADP assay readout for luminescence from (F) Srd5a1 and (G) Srd5a2 expressing cell lines following application of control, progesterone, and SGE-516 treatments.



**Figure 5. Knockdown of *Srd5a1* and *Srd5a2* in the BLA induced behavioral deficits.**

(A) (*left*) A schematic of the sgRNA construct for the knockdown of *Srd5a1* and *Srd5a2* in the BLA of constitutive Cas9 mice and the experimental timeline (*right*). The average expression of *Srd5a1* and *Srd5a2* mRNA in the BLA of sg5α1/2 mice decreased compared to controls measured using qRT-PCR normalized to β-actin levels (B) control n=15, sg5α1/2 n=8. (*above*) Representative heat maps of movement of control and sgSrd1/2 mice in the light/dark box test. (*below*) Knockdown of 5α1/2 increased avoidance behaviors, evident from a decrease in the amount of time spent in the light chamber of the light/dark box with no change in basic movements (C) control n=15, sg5α1/2 n=8. Knockdown of 5α1/2 in the BLA increased stress-induced helplessness, evident by a decreased latency to immobility and an increased total time spent immobile during the tail suspension test (D) control n=14, 5α1/2 n=9. (E) Summary of behavioral outcomes for transparency across behavioral tests. \* denotes  $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ , \*\*\*\* $p < 0.0001$  using an unpaired t-test.



**Figure 6. Overexpression of Srd5a1 and Srd5a2 improved behavioral outcomes following CUS.** (A) (*above*) Schematic of lentiviral construct and targeting for overexpression of Srd5a1 and Srd5a2 in the BLA and the experimental timeline (*below*). (B) Representative immunofluorescence of GFP-tagged lentiviral targeting in the BLA of LV 5α1/2 mice. (C) The average mRNA expression of Srd5a1 and Srd5a2 increased in LV 5α1/2 mice compared to controls measured using qRT-PCR in the BLA of LV 5α1/2 mice, which were normalized to β-actin levels, control n=7, LV 5α1/2 n=4. (D) Overexpression of 5α1/2 decreased avoidance behaviors in CUS mice, exhibited as no change in the time spent in the center of the open field test compared to controls with no change in the total number of basic movements. control n=11, CUS+LV 5α1/2 n=8. These mice did not differ from controls in the time spent in the light zone or the number of basic movements performed in the light/dark box test control (E) n=11, CUS+LV 5α1/2 n=8. (F) CUS mice with overexpression of 5α1/2 in the BLA also demonstrated a decrease in stress-induced anxiety, indicated by an

increase in the total time spent in the open arm of the elevated plus maze with no change in basic movements compared to controls. LV Srd5a1/2 mice subjected to CUS also exhibited a trend towards traveling further and performing more entries into the open arm of the elevated plus maze. control n=7, CUS+LV 5 $\alpha$ .1/2 n=8. (G) CUS mice with overexpression of 5 $\alpha$ .1/2 in the BLA exhibited a decrease in stress-induced helplessness as demonstrated by similar latency to immobility and overall time immobile in the tail suspension test. control n=8, CUS+LV 5 $\alpha$ .1/2 n=8. (H) summary of behavioral outcomes for CUS mice with lentiviral overexpression of 5 $\alpha$ .1/2 in the BLA. p > 0.05 is not significant, \* Denotes p < 0.05, \*\*p<0.01, \*\*\*p<0.001 using an unpaired t-test.

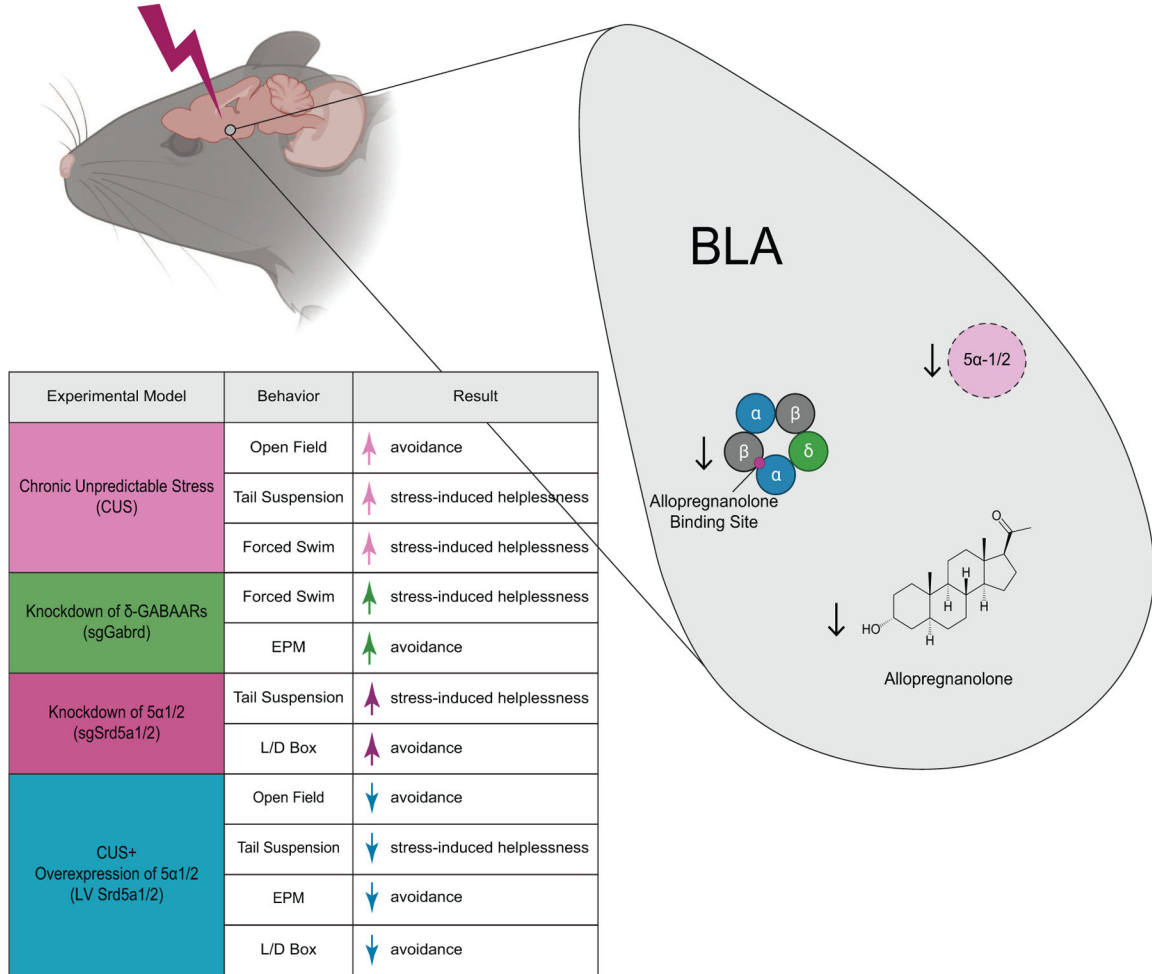
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## Chronic Unpredictable Stress

**Figure 7. Summary of major findings.**

Chronic unpredictable stress increases avoidance behaviors and stress-induced helplessness. Knocking down key enzymes involved in endogenous neurosteroid synthesis, 5 $\alpha$ -reductase 1 and 2, in the BLA was sufficient to increase avoidance behaviors and stress-induced helplessness. Similarly, knocking down the primary site of action for neurosteroid signaling,  $\delta$ -GABA<sub>A</sub>Rs, also increased avoidance behaviors and stress-induced helplessness. Conversely, overexpression of 5 $\alpha$ -reductase 1 and 2 prevented the behavioral deficits following CUS, resulting in no change or even a reduction in avoidance behaviors or stress-induced helplessness compared to controls.

## KEY RESOURCES TABLE

REAGENT or RESOURCE	SOURCE	IDENTIFIER
<b>Antibodies</b>		
Rabbit anti-cFos	Sigma	Lot No. 1117M837V
Rabbit anti- $\delta$ -GABAAR	PhosphoSolutions	Cat No. 868A-GDN
Mouse anti-PV	Sigma	Cat No. P 3088
rabbit anti-GFP	Cell Signaling	Lot No. 2956
Monoclonal $\beta$ -tubulin	Sigma	Cat No. T8328
Goat anti-Rabbit Alexa Fluor 488	ThermoFisher Scientific	Cat No. A-11008
Biotinylated goat anti-mouse Alexa 647	ThermoFisher Scientific	Cat No. A28181
Donkey anti-rabbit IgG HRP conjugated	Fisher Scientific	Cat No. 45-000-683
Sheep anti-mouse IgG HRP conjugated	Fisher Scientific	Cat No. 45-000-692
Vectashield hardset antifade mounting medium with DAPI	Vector Labs	Cat No. H-1500-10
Amersham ECL anti-mouse IgG, peroxidase-linked whole antibody	GE Healthcare	Cat No. NA931
<b>Cell Line</b>		
Srd5a1 construct	Origene	Cat No. MR203282
Srd5a1 construct	Origene	Cat No. MR223814
<b>Chemicals, Peptides, and Recombinant Proteins</b>		
DAB based HRP substrate	Vector Laboratories	SK-4100
PhosSTOP phosphatase inhibitor tablets	Roche	SK-4906837001
cOmplete™, Mini, EDTA-free Protease Inhibitor Cocktail	Roche	SK-11836170001
Pierce™ ECL Western Blotting Substrate	ThermoFisher Scientific	Cat No. P132106
SGE-516 Rodent Chow	Teklad	Lot No. 2108231 li
Progesterone		
SGE-516		
Allopregnanolone internal standard	Tocris	Cat No. 5532
Allopregnanolone (mass spec reference material)	Tocris	Cat No. 3653
Formic Acid	Millipore-Sigma	Cat No. A695076
Acetonitrile	Millipore-Sigma	Cat No. 39998
Methanol	Thermo-Fisher Scientific	Cat No. A456
Chlorobutane	Merck KGaA	Cat No. 8.01640.1000
<b>Critical Commercial Assays</b>		
VECTASTAIN® Elite ABC-HRP Kit, Peroxidase (Rabbit IgG)	Vector Laboratories	PK-6101
SuperScript III Platinum Sybr Green (one step qRT PCR kit)	Invitrogen	Lot No. 2028735
NADP/NADPH-Glo™ Assays	Promega	Cat No. G9081
<b>Experimental Models: Organisms/Strains</b>		

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Mouse: C57Bl/6J	The Jackson Laboratory (JAX)	Stock: #000664
Mouse: Rosa26-LSL-Cas9	The Jackson Laboratory (JAX)	Stock # 024857
Mouse: constitutively expressing Cas9	The Jackson Laboratory (JAX)	Stock # 024858
Mouse: PV-Cre	The Jackson Laboratory (JAX)	Stock: # 012358
<b>Recombinant DNA</b>		
Single guide RNA for Gabrd	Kong Lab-Boston Children's Hospital	
Single guide RNA for Srd5a1	Kong Lab-Boston Children's Hospital	
Single guide RNA for Srd5a2	Kong Lab-Boston Children's Hospital	
Srd5a1 Lentivirus	Origene	Cat No. MR203282
Srd5a2 Lentivirus	Origene	Cat No. MR223814
<b>Sequence-Based Reagent</b>		
Primers for qRT-PCR	See Table 1	
<b>Software</b>		
ILLUSTRATOR 2021	Adobe	N/A
PRISM 7	GraphPad Software	N/A
Motor Monitor software	Hamilton-Kinder	N/A
Python	Python Software Foundation	N/A
Mobility App	Maguire Laboratory	( <a href="https://github.com/researchgrant/mobility-mapper.git">https://github.com/researchgrant/mobility-mapper.git</a> )
Zhang Lab Guide Design Resource	Zhang Lab MIT	<a href="http://crispr.mit.edu/">http://crispr.mit.edu/</a>
CHOPCHOP	<a href="http://chopcho.cbu.uib.no/">http://chopcho.cbu.uib.no/</a>	<u>Labun, K., Montague, T. G., Krause, M., Torres Cleuren, Y. N., Tjeldnes, H., &amp; Valen, E. CHOPCHOP v3: expanding the CRISPR web toolbox beyond genome editing. Nucleic Acids Research (2019).</u>
NIS-Elements	Nikon Instruments	RRID:SCR_014329
LAS X Life Science Microscope Software	Leica Microsystems	
Image J	NIH	Rasband, W.S., ImageJ, U. S. National Institutes of Health, Bethesda, Maryland, USA, <a href="https://imagej.nih.gov/ij/">https://imagej.nih.gov/ij/</a> , 1997–2018.