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Concurrent immunotherapy and re-irradiation utilizing stereotactic body radiotherapy for recurrent high-grade gliomas

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Abstract

Background: Clinical trials evaluating immune checkpoint inhibition (ICI) in recurrent high-grade gliomas (rHGG) report 7%–20% 6-month progression-free survival (PFS), while re-irradiation demonstrates 28%–39% 6-month PFS.

Aims: We evaluate outcomes of patients treated with ICI and concurrent reirradiation utilizing stereotactic body radiotherapy/fractionated stereotactic radiosurgery (SBRT) compared to ICI monotherapy.

Methods and Results: Patients ≥18-years-old with rHGG (WHO grade III and IV) receiving $|CI + SBRT$ or $|CI$ monotherapy between January 1, 2016 and January 1, 2019 were included. Adverse events, 6-month PFS and overall survival (OS) were assessed. Log-rank tests were used to evaluate PFS and OS. Histogram analyses of apparent diffusion coefficient maps and dynamic contrast-enhanced magnetic resonance perfusion metrics were performed. Twenty-one patients with rHGG (ICI $+$ SBRT: 16; ICI: 5) were included. The ICI $+$ SBRT and ICI groups received a mean 7.25 and 6.2 ICI cycles, respectively. There were five grade 1, one grade 2 and no grade $3-5$ AEs in the ICI + SBRT group, and four grade 1 and no grade $2-5$ AEs in the ICI group. Median PFS was 2.85 and 1 month for the ICI $+$ SBRT and ICI groups; median OS was 7 and 6 months among $|CI + SBRT$ and $|CI$ groups, respectively. There were significant differences in pre and posttreatment tumor volume in the cohort (12.35 vs. 20.51; $p = .03$), but not between treatment groups.

Conclusions: In this heavily pretreated cohort, ICI with re-irradiation utilizing SBRT was well tolerated. Prospective studies are warranted to evaluate potential therapeutic benefits to re-irradiation with $|CI + SBRT$ in rHGG.

Gloria Chia-Yi Chiang and Jonathan PS Knisely contributed equally.

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KEYWORDS

immune checkpoint inhibitor, nivolumab, pembrolizumab, recurrent high-grade glioma, stereotactic body radiotherapy

1 | INTRODUCTION

High-grade gliomas (HGG), including glioblastoma (GBM) and anaplastic astrocytomas, are associated with a poor prognosis and quality of life. $1-5$ The majority of HGGs recur, at which point treatment options include re-resection, re-irradiation, bevacizumab, 'off-label' chemotherapy, tumor-treating fields, or clinical trial enrollment.⁶⁻⁸ Unfortunately, recurrent high-grade glioma (rHGG) trials historically produce high failure rates, $9-11$ $9-11$ necessitating novel therapeutic approaches.

Immune checkpoint inhibitors (ICIs) provided impressive results in melanoma, nonsmall cell lung cancer, 12 and in untreated brain metastases secondary to these malignancies. 13 While several clinical trials evaluating ICI in HGGs are ongoing, results are disappointing to date. Prior studies evaluating salvage re-irradiation report 28% to 39% 6-month progression-free survival (PFS) rates, with a 26% median 1-year overall survival (OS) rate. $11,14-18$ $11,14-18$ Radiotherapy (RT) may improve ICI efficacy through several mechanisms, including altering tumor cell surface proteins, and enhancing the availability and assortment of intracellular peptide pools. These effects, in conjunction with inducing MHC class I expression, provides a larger repertoire of antigenic targets to elicit an immune response. RT induces major histocompatibility complex (MHC) class I expression through upregulating interferon-γ, promoting T cell recruitment, 19 conferring increased survival compared with either modality alone in mouse models. 20 20 20 Additionally, stereotactic body radiotherapy / fractionated stereotactic radiosurgery (SBRT), entailing conformally delivering higher RT doses in fewer treatments, may be preferable over conventional RT delivered over several weeks with regard to augmenting immune responses, 21 21 21 while minimizing the impact on circulating lymphocytes.

Combining ICI with concurrent RT may increase the therapeutic ratio in rHGG, however, there are no prospective studies evaluating toxicities and outcomes of concurrent re-irradiation with $|CI + SBRT$. We report treatment-related adverse events (AE) in patients with $rHGG$ treated with concurrent $|CI + SBRT$. PFS, OS and changes in tumor volume and perfusion characteristics after treatment were also evaluated.

2 | METHODS

2.1 | Patient selection

This study was an institutional review board approved retrospective review (19–07020426) of patients age > 18 at the time of rHGG diagnosis (WHO grade IV GBM or WHO grade III anaplastic astrocytoma) treated with concurrent $|CI + SBRT$ or $|CI$ monotherapy between January 1, 2016 and January 1, 2019. All studies involving human

participants were in accordance with the ethical standards of the institutional research committee and with the 1964 Helsinki Declaration and its later amendments or comparable ethical standards. No identifiable personal health information is reported in this analysis. Demographic data, tumor pathological characteristics and profiling from available Foundation studies, radiology variables (tumor size, perfusion/diffusion metrics, and RT necrosis), prior treatments, AE's attributable to treatment, PFS and OS following concurrent $ICI + SBRT$ were collected. Survival data was obtained from available medical records. In total, 356 patients with rHGG were evaluated from January 2016 to January 2019 (Supplementary Figure 1). Patients were excluded if they did not receive ICI monotherapy, ICI concurrently with SBRT ($n = 333$), or if they had outside imaging during treatment that was not available for analysis ($n = 2$), leaving 21 patients for analysis.

2.2 | Treatment

Patients treated with nivolumab received intravenous infusions of 3 mg/kg, administered on days 1 and 14 of a 28-day cycle. Patients treated with pembrolizumab received intravenous infusions of 2 mg/kg, administered on days 1 and 21 of a 21-day cycle. A subsequent ICI cycle was delayed until recovery of grade 3 or higher hematologic or grade 2 or higher nonhematologic toxicities.

RT planning volumes were created by a radiation oncologist and neurosurgeon. Dose and fractionation were determined on the basis of lesion size, prior radiotherapy, and meeting dose constraints for adjacent organs at risk. For treatment planning, high-resolution 1 mm magnetic resonance T1 sequences with contrast were rigidly fused to CT simulation scans. Treatment was delivered using volumetric modulated arc therapy (VMAT) of 3-4 noncoplanar arcs and either $6 \times$ or $10\times$ flattening filter-free beams ensuring 95% of the planning target volume received the prescribed dose. RT plans were generated using Eclipse v15.6 (Varian Medical Systems, Palo Alto, CA) with AAA or AcurosXB planning algorithms. Organ at risk constraints for SBRT plans adhered to TG101 guidelines. RT was delivered on a Novalis (BrainLab, Munich, Germany) Truebeam STX linac (Varian Medical Systems, Palo Alto, CA), with multileaf collimator leaf width of 2.5 mm.

2.3 | Imaging

All patients underwent brain MRI on 1.5 or 3 Tesla systems (Skyra, Aera, Biograph mMR, Siemens Healthcare; Discovery 750 w, Signa HDxt, GE Healthcare, Milwaukee, WI), pre and posttreatment per institutional standards as previously described. 22 22 22

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Abbreviations: IDH, isocitrate dehydrogenase; KPS, Karnofsky Performance Status; MGMT, O6-Methylguanine-DNA Methyltransferase; TERT, telomerase reverse transcriptase gene promoter; TMZ, temozolomide; WHO, World Health Organization.

Olea Medical 3.0 software (La Ciotat, France) was used for DCE perfusion MRI processing and histogram analysis. The volumes-ofinterest encompassing all voxels with enhancing tumor, pre and posttreatment were including in histogram analysis to produce blood– brain barrier permeability metrics, including median, mean, and 90% of the plasma volume (Vp) and volume transfer constant (Ktrans). Diffusion metrics, including median, mean, and 10% of the ADC were also evaluated. All values were normalized utilizing the contralateral normal white matter.

2.4 | Evaluation

Routine testing included weekly laboratory tests including complete blood counts and basic metabolic panel, examinations at every clinical visit, and contrast-enhanced brain MRI every 4 weeks.

Neuroradiologic response following treatment was determined by response assessment in neuro-oncology (RANO) criteria.²³ Complete response (CR) entailed disappearance of all contrast- and noncontrast enhancing tumor on consecutive MRIs with minimal 1-month interval, and in the absence of corticosteroids. Partial response (PR) was defined by >50% reduction in tumor size derived by the sum of crosssectional radii on consecutive MRI scans of minimal 1-month interval, and in the presence of stable or decreased corticosteroid dose.

Progressive disease (PD) was defined as greater than 25% increase in tumor size or interval development of new lesions. Stable disease (SD) entailed all other scenarios and required confirmation MRI following best reported response. 23 23 23 Patients continued ICI until they progressed or developed unacceptable AE, at which time patients either had bevacizumab added to their regimen or discontinued ICI.

AE were retrospectively determined for all patients and tabulated using Common Terminology Criteria for Adverse Events version 5.0. PFS and OS were defined as time from the day one of ICI until disease progression and death, respectively.

2.5 | Statistical analysis

Descriptive statistics (including mean, standard deviation, median, interquartile range, frequency, and percent) were used to characterize the study sample (i.e., demographics, tumor profiling, clinical outcomes, adverse effects, and radiographic factors). Kaplan–Meier survival analysis descriptively assessed PFS and OS. With a sample size of 21 patients, two-sided 95% confidence intervals for PFS/OS at defined time points of interest (i.e., six-months, etc.) were constructed to be within ±22.8% of the observed survival proportion estimates. This calculation assumes PFS/OS proportion estimates of 50% to conservatively maximize the width of the obtained confidence intervals.

Abbreviations: CR, complete response; CTCAE, common terminology criteria for adverse events; Gy, gray; ICI, immune checkpoint inhibition; KPS, Karnofsky performance status; NA, not applicable; OS, overall survival, RANO, response assessment in neuro-oncology; PD, progressive disease; PFS, progression-free survival; PR, partial response; SBRT, stereotactic body radiation therapy; SD, stable disease.

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Bevacizumab (n) Yes 6 1

Due to cohort size limitations multivariable modeling was not performed. All p-values were two-sided with statistical significance evaluated at the .05 alpha level. Ninety-five percent confidence intervals for median PFS/OS survival time and six-month PFS were calculated to assess the precision of the obtained estimates. Mann–Whitney U tests were used to identify significant differences between diffusion and permeability histogram values. All analyses were performed in R Version 3.6.0 (R Foundation for Statistical Computing, Vienna, Austria).

3 | RESULTS

3.1 | Study population

Patient demographics are displayed in Table [1](#page-2-0). Sixteen patients with rHGG were treated with ICI+ SBRT, of which 10 were WHO grade IV and 6 were WHO grade III. MGMT methylation, IDH1 and TERT mutations were present in 4 (25%), 3 (19%) and 10 (63%) patients, respectively. Twelve patients received concurrent chemoradiation following their initial resection, and underwent an average of 4.5 lines of therapy. Five patients received ICI monotherapy, of which two were WHO grade IV and three were WHO grade III. MGMT methylation and TERT mutations were present in one and two patients, respectively, with no patients in this cohort possessing IDH mutations. Four patients underwent concurrent chemoradiation following their initial resection and received an average of 4.5 lines of therapy.

No $\overline{4}$ 2

No 10 4

On average, patients received three lines of therapy before they were offered ICI $+$ SBRT (Table 2). SBRT doses ranged from 18 Gy in 1–3 fractions to 35 Gy in five fractions. A mean of 7.25 ICI cycles were given. Twelve patients received dexamethasone during their treatment, and six received bevacizumab during their treatment. Patients in the ICI monotherapy received an average of three prior lines of treatment. A mean of 6.2 ICI cycles were given. Three patients MAHASE ET AL. **Sof 9**

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TABLE 3 ICI monotherapy and $|CI + SBRT$ toxicity in recurrent high-grade gliomas

Abbreviations: ICI, immune checkpoint inhibition; SBRT, stereotactic body radiotherapy.

received dexamethasone and one was treated with bevacizumab. The average KPS at time of treatment initiation was 82 ± 10.8 and 72 \pm 14 in the ICI + SBRT and ICI cohorts, respectively.

3.2 | Adverse events

Among the $|CI + SBRT$ cohort, there were four instances of grade 1 fatigue and one instance of grade 1 thrombocytopenia (Table 3). There was one instance of grade 2 fatigue, two instances each of grade 1 fatigue and grade 1 constipation among the ICI monotherapy cohort. There were no grade 3–5 AE, radiographic findings consistent with radiation necrosis on follow-up imaging, or treatment-related deaths in either cohort. No patients discontinued ICI due to toxicity.

3.3 | Response

Among the $ICI + SBRT$ cohort, there were no CR, 2 PR, 8 SD and 6 PD at one month (Figure [1](#page-5-0)). Thirteen patients progressed at 6 months. The ICI monotherapy cohort had no CR or PR, 2 SD and 3 PD at one month, with 4 patients progressing by 6 months. The average KPS at time of progression was 69 ± 18 and 64 ± 13 in the ICI + SBRT and ICI cohorts, respectively. Patients were followed until date of death, with an average survival of 13.8 ± 15 months and 7.75 \pm 2.9 months among the ICI and ICI + SBRT cohorts, respectively There were no significant differences in PFS ($p = .4$), OS ($p = .3$) or in median PFS time for patients receiving $|CI + SBRT$ (2.85 months; 95% CI: 1.7, 7.5) or ICI (1 month; 95% CI: 1–unknown). Estimated

six-month PFS probability was 0.19 (95% CI: 0.07 to 0.52) for patients receiving ICI+ SBRT and 0.2 (95% CI: 0.035–1) for patients receiving ICI. The median OS was 7 months (95% CI: 6–10) for patients receiving $|CI + SBRT$ and 6 months (95% CI: 4-unknown) for patients receiving ICI. Estimated six-month OS probability was 0.625 (95% CI: 0.43–0.91) for patients receiving $|CI + SBRT$ and 0.4 (95% CI: 0.14–1) for patients receiving ICI. Kaplan–Meier plots for PFS and OS are shown in Figure [2.](#page-6-0)

3.4 | Radiologic analyses

DCE perfusion data was available in 15 of 16 ICI $+$ SBRT patients pretreatment, 16 of 16 ICI+ SBRT patients posttreatment, and 5 of 5 ICI monotherapy patients pre and posttreatment. ADC maps were available in all 21 patients pre and posttreatment. There was a significant difference in tumor volumes pre and posttreatment (12.35 vs. 20.51; $p = .03$). However, no statistically significant difference was found in the other imaging metrics (reported pre vs. posttreatment): mean ADC (1.73 vs. 1.69; $p = .58$), mean Vp (6.63 vs. 5.78; $p = .43$); mean Ktrans (19.19 vs. 23.25; $p = .63$). A post hoc analysis comparing perfusion and diffusion imaging metrics between the $ICI + SBRT$ and ICI monotherapy groups pre and posttreatment demonstrated no statistically significant difference in imaging metrics after drug initiation (reported pretreatment $ICI + SBRT$ to posttreatment $ICI + SBRT$ versus pretreatment ICI to posttreatment ICI): mean ADC (1.73–1.74 vs. 1.73-1.51; $p = .18$), mean Vp (7.39-6.04 vs. 4.35-4.98; $p = .80$), mean Ktrans (20.64-25.85 vs. 14.85-14.93; $p = .46$), tumor volume $(13.25 \text{ vs. } 22.33 \text{ vs. } 8.74-13.20; p=.61).$

FIGURE 1 Partial Response in Patient Receiving ICI + SBRT. 83-year-old man with right temporal WHO grade IV glioblastoma status-post resection and adjuvant concurrent radiotherapy and temozolomide who received 30 Gy in five fractions concurrently with 15 cycles of ICI as his third line treatment. Radiotherapy isodose line key shown in (A). Representative (B) axial (C) sagittal and (D) coronal images of his RT plan. Pretreatment MRI (E) axial T1 and (F) axial T2 FLAIR showing 2×2.1 cm nodular enhancing mass along anterior/medial margin of the resection cavity. MRI 4 months post-SBRT (G) axial T1 and (H) axial T2 FLAIR showing overall decrease in size and nodular enhancing component of the lesion.

4 | DISCUSSION

There is a paucity of therapeutic options and no validated standard of care for rHGG. While awaiting results of ongoing prospective trials (Table [4](#page-6-0)), this retrospective series demonstrated ICI with concurrent re-irradiation using SBRT can be safely delivered in rHGG. Preclinical data provides a rationale for evaluating ICI in this clinical setting. $20,24$ The statistically significant increase in posttreatment tumor volumes identified within our cohort may in part have reflected a component of pseudoprogression related to ICI. Prior studies associate ICI treatment response with a preceding increase in tumor volume related to intratumoral immune cell infiltration, resulting in a transient inflammatory reaction.²⁵

Understanding ICI response requires further elucidation of the intratumoral milieu and systemic immune response.²⁶ Several studies support preoperative ICI enhance expression of chemokine transcripts including interferon-γ, increase immune cell infiltration, and augment T cell receptor clonal variety, but with conflicting clinical results. $27,28$ One putative explanation for suboptimal immune response is the high rate of lymphopenia observed in HGG patients, with one group

reporting that T cells are available in this population but are sequestered in the bone marrow.²⁹

The aforementioned studies provide a rationale for optimizing immunotherapeutic efficacy through its implementation in an immunologically favorable setting, such as priming the immune system to tumor-specific antigens. RT may improve ICI effects by increasing the availability and diversity of intracellular peptides, increasing MHC class I expression, and promoting T cell recruitment and infiltration.^{20,21,30,31} Technological advancements in the delivery of SBRT allow for highly conformal treatments that substantially reduce AE associated with re-irradiation in other disease sites.^{[16](#page-7-0)} Several studies show function status improvements and decreased reliance on corticosteroid following SBRT monotherapy with a low risk of late central nervous system toxicity.^{14,15,17,18,32} Additionally, SBRT dosefractionation schemes may be more effective than conventionally fractionated RT with regard to augmenting immune responses. $21,33$ This option also allows RT completion within one to five treatments, which is convenient for patients.

A closer look at this cohort notes several limitations that could be considered in future studies geared towards optimizing a response.

FIGURE 2 Kaplan–Meier curves for (A) progression free survival and (B) overall survival time. Dashed lines are 95% confidence intervals. Patients were followed until date of death (number at risk: 0).

TABLE 4 Ongoing clinical trials for $|CI + SBRT$ for recurrent gliomas

Agent	N	Experimental design	Reference
Nivolumab	17	$SBRT + nivolumab + valproate$	NCT02648633
Nivolumab and Ipilimumab	33	$SBRT + nivolumab$, ipilimumab and bevacizumab	NCT02829931
Pembrolizumab	32	$SBRT + Pembrolizumab$ and Bevacizumab	NCT02313272
Durvalumab	62	Durvalumab $+$ SBRT versus SBRT	NCT02866747

Abbreviations: ICI, immune checkpoint inhibition; SBRT, stereotactic body radiotherapy.

Most patients had several recurrences and subsequently received multiple systemic therapies either on or off clinical trials. There was a mean of 4.5 lines of treatment administered with $|CI + SBRT$ therapy given as the last line in 8 of these patients. There is a possibility that these prior treatments negatively impacted the ability to stimulate immune responses, and more robust responses may be seen if treated with $ICI + SBRT$ at first recurrence. Patients were treated without knowing PD-L1 expression status. A few reports show higher response rates with increased expression in other malignancies, 34 however the prognostic value of PD-L1 for HGG is still under

investigation. While foundational analyses were available, advanced correlation studies were limited by the cohort size. Two-thirds of the patients in this cohort were on dexamethasone while receiving ICI which may interfere with the ICI efficacy.

The optimal treatment approach for patients with rHGG continues to be an area of ongoing investigation. This small retrospective study suggests ICI can be safely given concurrently with re-irradiation using SBRT for patients with rHGG. These initial findings support evaluating whether optimizing conditions for combinatory $ICI + SBRT$ approaches may lead to favorable clinical responses, or whether 8 of 9 WILEY Cancer Reports and MAHASE ET AL.

attention should be turned to other therapeutic avenues to address this unmet need in neuro-oncology.

AUTHOR CONTRIBUTIONS

Sean S. Mahase: Conceptualization (lead); data curation (lead); investigation (lead); project administration (lead); writing – original draft (lead); writing – review and editing (lead). Michelle Roytman: Data curation (equal); investigation (equal); writing – original draft (equal). Diana Roth O'Brien: Data curation (equal); investigation (equal); writing – original draft (equal). Jana Ivanidze: Formal analysis (equal); investigation (equal); supervision (equal); writing – review and editing (equal). Theodore H. Schwartz: Writing – review and editing (equal). Susan C. Pannullo: Writing – review and editing (equal). Rohan Ramakrishna: Writing – review and editing (equal). Rajiv S. Magge: Writing – review and editing (equal). Nicholas Williams: Formal analysis (equal); methodology (equal); software (equal); validation (equal). Howard A. Fine: Writing – review and editing (equal). Gloria Chia-Yi Chiang: Conceptualization (lead); investigation (equal); methodology (lead); project administration (equal); supervision (lead); writing – original draft (equal); writing – review and editing (lead). Jonathan PS Knisely: Conceptualization (lead); investigation (lead); methodology (lead); project administration (lead); software (equal); supervision (lead); writing – original draft (lead); writing – review and editing (lead).

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CONFLICTS OF INTEREST STATEMENT

The authors have no relevant financial or nonfinancial interests to disclose.

DATA AVAILABILITY STATEMENT

The datasets generated during and/or analyzed during the current study are available from the corresponding author on reasonable request.

ETHICS STATEMENT

This retrospective chart review study involving human participants was in accordance with the ethical standards of the institutional and national research committee and with the 1964 Helsinki Declaration and its later amendments or comparable ethical standards. The Human Investigation Committee (IRB) of Weill Cornell Medicine approved this study (19–07020426) .

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SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.

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