















Increasing frequency of gene copy number aberrations is associated with immunosuppression and predicts poor prognosis in gastric adenocarcinoma

Arnaldo N. S. Silva ^{1,2,3}, Yuichi Saito ^{4,5}, Takaki Yoshikawa ⁶, Takashi Oshima ⁷, Jeremy D. Hayden ⁸, Jan Oosting ⁹, Sophie Earle¹, Lindsay C. Hewitt ^{1,5}, Hayley L. Slaney¹, Alex Wright ¹, Imran Inam¹, Ruth E. Langley ¹⁰, William Allum ¹¹, Matthew G. Nankivell ¹⁰, Gordon Hutchins ¹, David Cunningham ¹² and Heike I. Grabsch ^{1,5,*}

¹Division of Pathology and Data Analytics, Leeds Institute of Medical Research at St James's, University of Leeds, Leeds, UK

²Department of Surgery, University of Cambridge, Cambridge University Hospitals, Addenbrookes, Cambridge, UK

³Cancer Research UK, Cambridge Institute, Cambridge, UK

⁴Department of Surgery, Teikyo University School of Medicine, Tokyo, Japan

⁵Department of Pathology, GROW School for Oncology and Reproduction, Maastricht University Medical Center+, Maastricht, the Netherlands

⁶Department of Gastric Surgery, National Cancer Center Hospital, Tokyo, Japan

⁷Department of Gastrointestinal Surgery, Kanagawa Cancer Center Hospital, Yokohama, Japan

⁸Department of Upper Gastrointestinal Surgery, Institute of Oncology, Leeds Teaching Hospitals NHS Trust, Leeds, UK

⁹Department of Pathology, Leiden University Medical Center, Leiden, the Netherlands

¹⁰MRC Clinical Trials Unit, University College London, London, UK

¹¹Department of Surgery, Royal Marsden Hospital, London, UK

¹²Department of Medicine, Royal Marsden NHS Trust, London and Sutton, UK

*Correspondence to: Department of Pathology, Maastricht University Medical Center+, P. Debyelaan 25, 6229 HX Maastricht, the Netherlands (e-mail: h.grabsch@maastrichtuniversity.nl)

Abstract

Background: Patients with Epstein–Barr virus-positive gastric cancers or those with microsatellite instability appear to have a favourable prognosis. However, the prognostic value of the chromosomal status (chromosome-stable (CS) versus chromosomal instable (CIN)) remains unclear in gastric cancer.

Methods: Gene copy number aberrations (CNAs) were determined in 16 CIN-associated genes in a retrospective study including test and validation cohorts of patients with gastric cancer. Patients were stratified into CS (no CNA), CIN_{low} (1–2 CNAs) or CIN_{high} (3 or more CNAs). The relationship between chromosomal status, clinicopathological variables, and overall survival (OS) was analysed. The relationship between chromosomal status, p53 expression, and tumour infiltrating immune cells was also assessed and validated externally.

Results: The test and validation cohorts included 206 and 748 patients, respectively. CIN_{low} and CIN_{high} were seen in 35.0 and 15.0 per cent of patients, respectively, in the test cohort, and 48.5 and 20.7 per cent in the validation cohort. Patients with CIN_{high} gastric cancer had the poorest OS in the test and validation cohorts. In multivariable analysis, CIN_{low}, CIN_{high} and pTNM stage III–IV ($P < 0.001$) were independently associated with poor OS. CIN was associated with high p53 expression and low immune cell infiltration.

Conclusion: CIN may be a potential new prognostic biomarker independent of pTNM stage in gastric cancer. Patients with gastric cancer demonstrating CIN appear to be immunosuppressed, which might represent one of the underlying mechanisms explaining the poor survival and may help guide future therapeutic decisions.

Introduction

The Cancer Genome Atlas (TCGA) identified four molecular gastric cancer subtypes: Epstein–Barr virus (EBV)-positive, microsatellite instable (MSI), genomically stable (GS), and chromosomal instable (CIN)¹. Several studies^{2–7} have tried to establish the potential clinical value of the TCGA molecular classification in patients with gastric cancer. Patients with EBV-positive or MSI gastric cancer appear to have a favourable prognosis^{3,6–8}. However, the relationship between CIN or GS subtype and patient prognosis is less clear. Some studies^{4,6,9} have suggested that patients with CIN gastric cancer have the poorest prognosis. Others have concluded that the GS subtype is related to poor

prognosis^{3,7}, or that there is no relationship between survival and GS or CIN subtype^{2,10,11}. The CIN subtype is the most frequent molecular gastric cancer subtype¹ and has been associated with intestinal-type histology, p53 mutation, and amplification of receptor tyrosine kinase (RTK) genes EGFR, ERBB2, FGFR1, FGFR2, cMET, and KRAS^{1,12}. Furthermore, a link between the presence of CIN and tumour immune response has been suggested^{13–15}. Given that RTK amplification^{16,17} and p53 mutation^{18,19} have been associated with poor prognosis in gastric cancer, the authors hypothesized that an increasing frequency of copy number aberrations (CNAs) of genes previously linked to the presence of CIN is related to poor prognosis in gastric cancer, and that the poor prognosis in

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patients with CIN gastric cancers is related to low levels of tumour-infiltrating immune cells as well as presence of high p53 expression. The aim of the present study was to investigate CNA frequency using multiplex ligation probe-dependent amplification (MLPA), and p53 expression and presence of tumour infiltrating immune cells by immunohistochemistry, initially in patients with resectable gastric cancer, and to validate the findings in an independent gastric cancer cohort with early and late stage disease as well as in data from TCGA—stomach adenocarcinoma (STAD).

Methods

Patients

This retrospective study comprised patients with gastric cancer from a test cohort (Kanagawa Cancer Center Hospital, Yokohama, Japan) and a validation cohort (Leeds Teaching Hospital NHS Trust, Leeds, UK). The study had ethical approval from the Leeds Research Ethics committee (CA01/122) and the Local Kanagawa Cancer Center Hospital Ethics Committee. Data from TCGA-STAD patients were extracted from public databases (p53 mutation status, gene copy number from <https://www.cbioportal.org/> (440 samples), and CIBERSORT data from Thorsson *et al.*²⁰) and used to externally validate findings of the present study.

Samples and data collection

Formalin-fixed paraffin-embedded tissue samples were retrieved from resected specimens or endoscopic biopsies from the histopathology archives. Clinicopathological data were extracted from histopathology reports or patients' electronic records.

DNA extraction

All haematoxylin and eosin-stained slides from all specimens were reviewed. A primary tumour slide with the highest density of tumour cells per area was selected and outlined for microdissection as appropriate. DNA from resection specimens and endoscopic biopsies was extracted using a Qiagen genomic DNA extraction kit (Qiagen, Hilden, Germany)^{17,21} and TruXTRAC® (Covaris, Massachusetts, USA), respectively. DNA from 12 normal formalin-fixed paraffin embedded tonsils was extracted using the same method, pooled, and used as reference DNA.

Multiplex ligation-dependent probe amplification assay

Full experimental details, including sensitivity compared with single-nucleotide polymorphism arrays and fluorescence *in situ* hybridization using gastric cancer cell lines and formalin-fixed paraffin-embedded gastric cancer tissue, have been described previously¹⁷.

For analysis of the test cohort samples, MLPA probemix P458-A1 (MRC-Holland, Amsterdam, the Netherlands) including probes for EGFR (chromosomal locus 7p11.2), ERBB2 (17q12), FGFR2 (10q26.13), MET (7q31.2), TOP2A (17q21.2), KRAS (12p12.1), MYC (8q24.21), CSDM1 (8p23.2), PIK3CA (3q26.32), KLF5 (13q22.1), CCNE1 (19q12), and GATA6 (18q11.2) was used. Samples from the validation cohort were analysed with MLPA probemix P458-B1 (MRC-Holland, Amsterdam, the Netherlands), which also included probes for FGFR1 (8p12), GATA4 (8p23.1), CDK6 (7q21.2), and CCDN1 (11q13.2).

The MLPA data analysis used to determine chromosomal status has been described in detail previously^{22,23} (see also [Supplementary material](#)). Gene copy number thresholds were set according to published literature^{17,24}: a gene copy number

ratio of less than 0.80 was categorized as 'deletion', between 0.80 and 1.30 as 'normal', and above 1.30 as 'amplification'. A gene classified as deleted or amplified was given a CNA score of 1, whereas a gene classified as normal was assigned a score of 0. The mean CNA score for each patient was calculated by adding the scores of all genes for that patient and dividing the result by the total number of genes investigated for that patient. Based on the mean (standard deviation) of the frequency of CNA scores for each cohort (1.0 (1.3) test cohort, 1.4 (1.4) validation cohort, 1.7 (1.7) for TCGA-STAD data set) ([Fig. S1](#)), cancers were classified as chromosome-stable (CS; CNA score 0), low CIN (CIN_{low}; CNA score 1 or 2) or high CIN (CIN_{high}; CNA score 3 or higher).

Immunohistochemistry

Assessment of p53 expression and tumour immune cell infiltration by immunohistochemistry is described in the [Supplementary material](#). Cancers were classified as immune cell high or low, based on median dichotomization.

Microsatellite instability and Epstein-Barr virus status

The MSI and EBV status of the test and validation cohorts were established previously²⁵. MSI and EBV status were not determined in patients from the validation cohort with metastatic disease because of limited availability of material.

The Cancer Genome Atlas—stomach adenocarcinoma data extraction

The somatic copy number of the genes investigated by MLPA in this study, p53 mutation status, and the original TCGA gastric cancer classifier were extracted from the <https://www.cbioportal.org/> website. The tumour-infiltrating lymphocyte score has been estimated computationally from RNA sequencing data using CIBERSORT²⁶ by Thorsson and colleagues²⁰, who made the raw data publicly available. TCGA-STAD data were used to validate the frequency of gene CNA and the relationship between chromosomal status, p53 mutation status, and tumour immune cell infiltration.

Statistical analysis

Statistical analyses were performed using PASW® Statistics version 26 (IBM, Armonk, New York, USA). Continuous variables are reported as median (range) and categorical variables as numbers with percentages. Univariable survival analyses for continuous variables were performed using Cox regression, and those for categorical variables using the Kaplan–Meier method and log rank test. Five-year overall survival (OS) was calculated from the date of surgery, or date of diagnosis for patients with non-resectable disease. The relationship between CNA score and OS was initially investigated in the test cohort and subsequently in the validation cohort. Univariable treatment interaction analysis was performed for CNA score and OS. As there was no significant treatment interaction ($P > 0.050$), treatment was not included in the multivariable analysis. The multivariable Cox regression survival analysis included age, sex, pTNM stage (7th edition), p53 expression, and chromosomal status in the model to identify independent prognostic factors for OS. Furthermore, among patients with gastric cancer and data on CNA score, EBV and MSI status, the relationship between OS and a TCGA-like classification was explored in an analysis comparing five groups of patients: EBV (all EBV-positive patients), MSI (EBV-negative, MSI), CS (EBV-negative, microsatellite-stable (MSS), CNA score 0), CIN_{low} (EBV-negative, MSS, CNA score 1 or 2), and CIN_{high} (EBV-negative, MSS, CNA score 3 or more). The association between chromosomal

status and clinicopathological data, p53 expression, and tumour immune cell infiltration status was analysed in all patients jointly using Pearson's χ^2 or Fisher's exact tests, as appropriate. For more than two independent categorical variables, the Kruskal–Wallis test was used. For all analyses, $P < 0.050$ was considered significant. REMARK guidelines²⁷ were followed.

Results

Tissue samples from 954 patients with gastric cancer were available for MLPA-based gene copy number analysis. There were 206 patients in the test cohort and 748 in the validation cohort (Fig. 1a). Clinical, demographic, and pathological data of the cohorts are shown in Table 1. Overall, the frequency of CS (no CNA), CIN_{low} (1 or 2 genes with CNAs), and CIN_{high} (3 or more genes with CNAs) was 333 (34.9 per cent), 435 (45.5 per cent), and 186 (19.5 per cent), respectively (Fig. 1b). The frequency of CS, CIN_{low}, and CIN_{high} was 103 (50.0 per cent), 72 (35.0 per cent), and 31 (15.0 per cent), respectively, in the test cohort, and 230 (30.7 per cent), 363 (48.5 per cent), and 155 (20.7 per cent), respectively, in the validation cohort.

The frequency of gene CNA across all study cohorts was similar to those in the TCGA-STAD database (Table S1). Tumours in 130 (88.4 per cent) of 147 TCGA-STAD patients originally classified as CIN by TCGA criteria were also classified as CIN using the authors' 16 gene-based CNA thresholds. Tumours in 40 (70.1 per cent) of 57 TCGA-STAD patients originally classified as GS were classified as CS using the current study thresholds (Table S2).

Prognostic value of chromosomal status in test and validation cohorts

OS analysis was undertaken for 206 patients with stage II–IV gastric cancers in the test cohort. Stratification of patients by chromosomal status (CS versus CIN_{low} versus CIN_{high}) demonstrated the poorest OS for patients with CIN_{high} gastric cancers ($P = 0.033$) (Fig. 1c). In the validation cohort of 718 patients (30 patients in this cohort were lost to follow-up), the HR for chromosomal status was 1.16 (1.04 to 1.30; $P = 0.008$). Kaplan–Meier analysis confirmed that patients with CIN_{high} gastric cancers had the poorest OS ($P = 0.020$) (Fig. 1d).

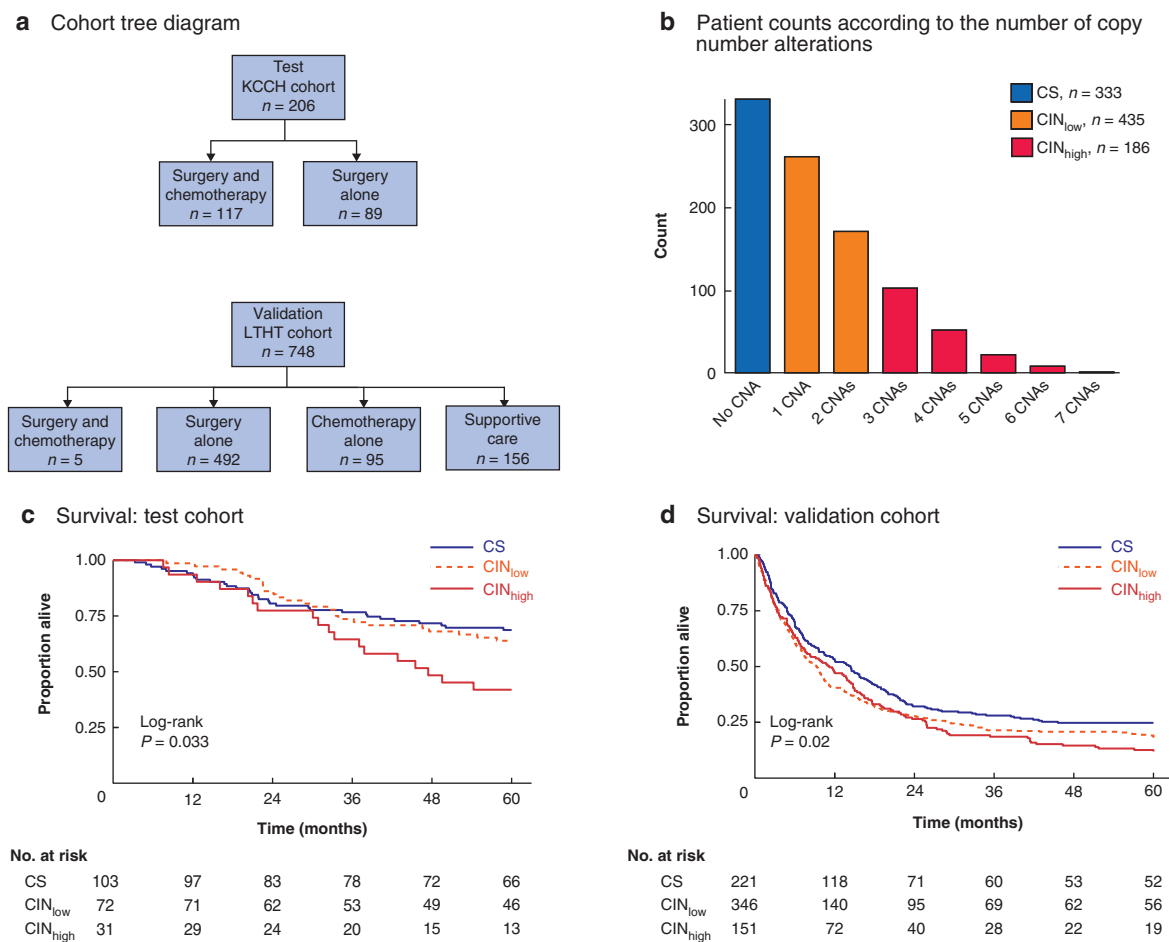


Fig. 1 Cohort distribution, chromosomal status classification, and its relationship to survival

a Cohort tree diagram. Two cohorts were formed for survival analyses: a test cohort comprising 206 patients with stage II–IV gastric cancer from Kanagawa Cancer Center Hospital, Yokohama, Japan, and a validation cohort comprising 748 patients with stage I–IV gastric cancer from Leeds Teaching Hospitals NHS Trust, Leeds, UK. Patients in the test cohort had locally advanced resectable gastric cancer treated by surgery alone (89) or surgery followed by adjuvant chemotherapy (117). In the validation cohort, patients with locally advanced gastric cancer received surgery and adjuvant chemotherapy (5) or surgery alone (492), and those with metastatic disease had chemotherapy alone (95) or best supportive care (156). **b** Bar chart showing frequency of patients with gastric cancer according to number of copy number aberrations (CNAs) determined in a preselected set of 16 genes. Chromosomal status was classified as chromosome-stable (CS; CNA score 0), chromosomal instability low (CIN_{low}; CNA score 1 or 2) or chromosomal instability high (CIN_{high}). Kaplan–Meier analysis of overall survival in **c** test cohort and **d** validation cohort stratified by chromosomal status; 30 patients in the validation cohort were lost to follow-up. Survival was measured from the time of surgery, or time after diagnosis in patients who did not have surgery. **c** $P = 0.033$, **d** $P = 0.020$ (log rank test).

Table 1 Demographics of test and validation gastric cancer cohorts

	Test cohort (n = 206)				Validation cohort (n = 748)			
	CS	CIN _{low}	CIN _{high}	P*	CS	CIN _{low}	CIN _{high}	P*
Age (years)								
< 65	58 (28)	34 (17)	9 (4)	0.027	66 (9)	74 (10)	41 (6)	0.056
≥ 65	45 (22)	38 (18)	22 (11)		163 (22)	287 (38)	114 (15)	
Sex								
Males	66 (32)	46 (22)	16 (8)	0.424	105 (21)	161 (32)	59 (12)	0.260
Females	37 (18)	26 (13)	15 (7)		63 (13)	72 (15)	37 (7)	
Treatment								
Surgery only	37 (18)	37 (18)	15 (7)	0.104	167 (22)	231 (31)	94 (13)	0.758
Surgery and chemotherapy	66 (32)	35 (17)	16 (8)		1 (0)	2 (0)	2 (0)	
Chemotherapy only	–	–	–		24 (3)	45 (6)	26 (4)	
Supportive care only	–	–	–		38 (5)	85 (11)	33 (4)	
TNM stage								
I	–	–	–	0.585	14 (2)	28 (4)	9 (1)	0.144
II	37 (18)	33 (16)	12 (6)		45 (6)	56 (8)	30 (4)	
III	63 (31)	38 (18)	19 (9)		82 (11)	106 (14)	39 (5)	
IV	3 (2)	1 (1)	0 (0)		89 (12)	173 (23)	77 (10)	
Histological phenotype								
Intestinal	29 (14)	22 (11)	16 (8)	0.185	88 (18)	142 (29)	72 (15)	0.006
Diffuse	68 (33)	46 (22)	14 (7)		55 (11)	58 (12)	13 (2)	
Mucinous/mixed	6 (3)	4 (2)	1 (0)		24 (5)	31 (6)	11 (2)	
p53 immunohistochemistry								
High expression	68 (33)	51 (25)	12 (6)	0.005	104 (22)	108 (23)	37 (8)	<0.001
Low expression	35 (17)	20 (10)	19 (9)		55 (12)	111 (23)	56 (12)	

Values in parentheses are percentages. *Fisher's Exact test.

Prognostic value of Cancer Genome Atlas-like classification

In addition to chromosomal status, a TCGA-like classifier was created for all 924 patients with data on chromosomal status, EBV status, MSI status, and survival. Five groups of patients were created in a stepwise approach: EBV (18, 1.9 per cent), MSI (61, 6.6 per cent), CS (283, 30.6 per cent), CIN_{low} (387, 41.9 per cent), and CIN_{high} (175, 18.9 per cent) (Fig. 2a).

Kaplan–Meier analysis demonstrated the best OS for patients with EBV-positive gastric cancers (mean OS 43.1 months), followed by MSI (32.3 months), CS (30.4 months), CIN_{low} (24.1 months), and CIN_{high} (22.6 months) gastric cancers ($P < 0.001$) (Fig. 2a).

In univariable analysis with CS as reference, the HR was 0.53 (95 per cent c.i. 0.26 to 1.08; $P = 0.079$) for patients with EBV-positive gastric cancers, 0.94 (0.66 to 1.35; $P = 0.751$) for patients with MSI gastric cancers, 1.41 (1.17 to 1.70; $P < 0.001$) for patients with CIN_{low} gastric cancers, and 1.58 (1.27 to 1.96; $P < 0.001$) for patients with CIN_{high} gastric cancers. In multivariable analysis, age over 65 years, TNM stage III–IV, CIN_{low} and CIN_{high} were independent prognostic factors for poor OS (Fig. 2b).

Relationship between chromosomal status and clinicopathological variables

Intestinal-type gastric cancers more frequently showed CIN than diffuse-type lesions: 252 (68.3 per cent) versus 131 (51.6 per cent) ($P < 0.001$). Stage IV gastric cancers more frequently demonstrated CIN than stage I–III gastric cancers: 250 (73.7 per cent) versus 371 (60.3 per cent) ($P < 0.001$).

Relationship between chromosomal status and tumour infiltrating immune cells and p53 expression

Information on p53 expression was available for 676 patients. Some 296 gastric cancers (43.8 per cent) were classified as having high p53 expression based on p53 positivity in at least 50 per cent

of tumour cells. Gastric cancers with high p53 expression were more frequently CIN_{high} ($P < 0.001$) (Fig. 2c). A similar relationship was seen using the MLPA–CNA-defined chromosomal status criteria (CS versus CIN_{low} versus CIN_{high}) and p53 mutation status in TCGA-STAD patients ($P < 0.001$) (Fig. 2d).

Data on expression of immune cell markers CD45, CD3, CD8, CD68, and FOXP3 were available for 395 patients. Low levels of CD45-positive immune cells were seen in 45 diffuse-type gastric cancers with CIN (61.6 per cent) ($P = 0.022$). Among intestinal-type gastric cancers, CD3-positive lymphocyte levels were decreased in 60 gastric cancers (72.2 per cent) with CIN ($P = 0.038$). There was no relationship between chromosomal status and levels of CD8-, CD68- or FOXP3-positive immune cells (Table S3).

To further explore the relationship between chromosomal status and tumour immune cell infiltration, RNA sequencing data from TCGA-STAD previously analysed by CIBERSORT were used²⁶. Using the 16-gene CNA-based chromosomal status classification, the CIBERSORT lymphocyte infiltration signature score decreased with increasing level of CIN ($P < 0.001$) (Fig. 2e).

Discussion

TCGA established a molecular classification of gastric cancers integrating results from multiple platforms^{1,28} and identified CIN as the commonest molecular gastric cancer subtype. Here, MLPA was used to investigate the gene copy number variation of 16 CIN-related genes and determine the chromosomal status in two independent gastric cancer cohorts, with a test and validation set approach. This study is the first to identify and subsequently validate an increasing frequency of CNAs in genes previously linked to the presence of CIN as an independent poor prognostic marker in patients with gastric cancer, irrespective of disease stage. It is also the first to associate the level of CIN with the anti-tumour immune response in gastric cancer. These results are potentially clinically relevant given that CIN is a

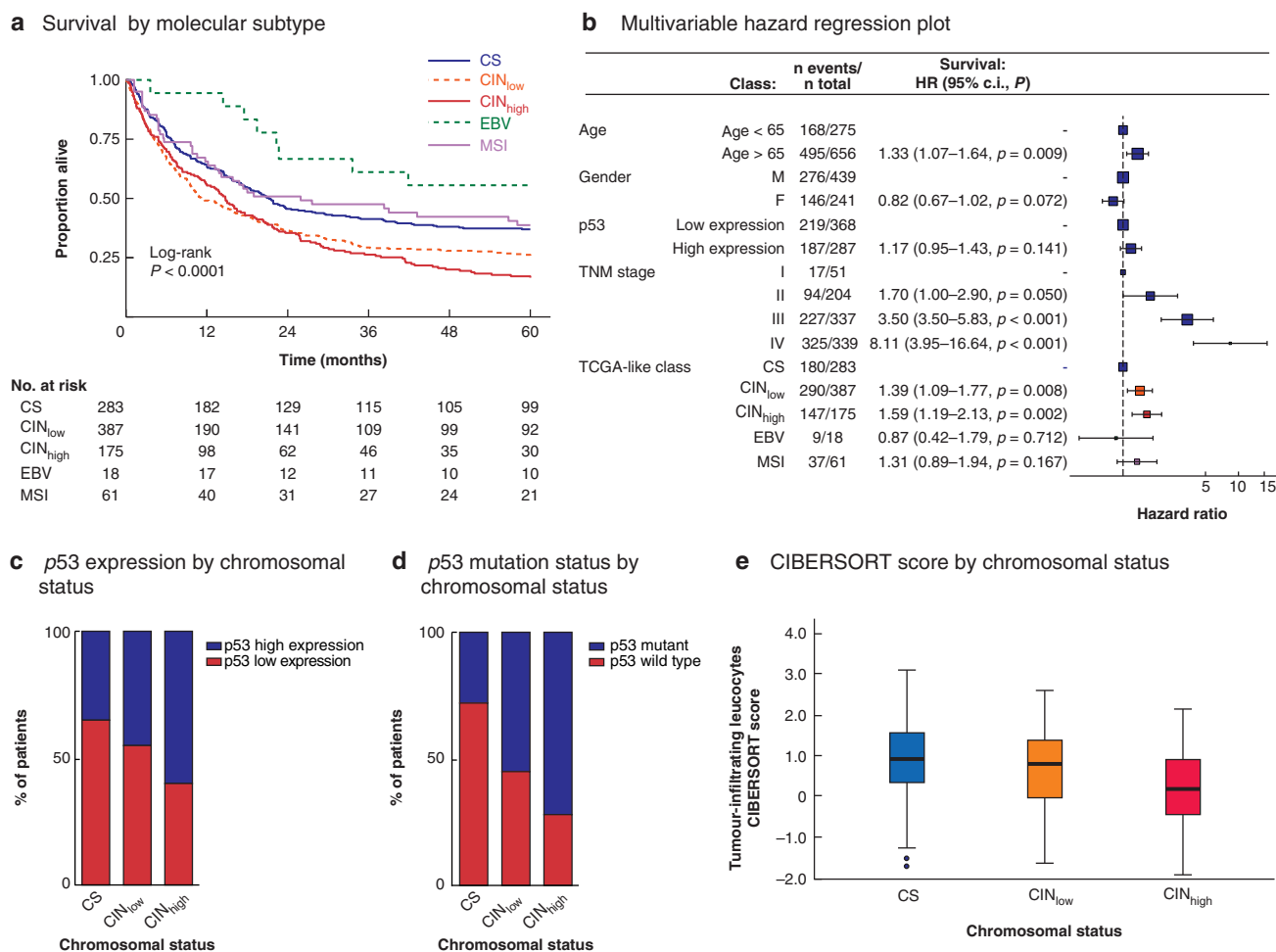


Fig. 2 Survival by Cancer Genome Atlas-like classification in univariable and multivariable analyses, and association of chromosomal status with p53 expression, p53 mutation, and tumour immune cell infiltration

a Kaplan–Meier analysis of 5-year overall survival of patients with resectable gastric cancer by molecular subtype. CS, chromosome-stable; CIN_{low}, chromosomal instability low; CIN_{high}, chromosomal instability high; EBV, Epstein–Bar virus; MSI, microsatellite instability. **b** Multivariable hazard regression plot; hazard ratios are shown with 95 per cent confidence intervals. **c** Stacked bar chart showing p53 expression level (high versus low) in relation to chromosomal status in test and validation cohorts combined ($P < 0.001$, Pearson's Chi Squared test). **d** Stacked bar chart showing p53 mutation status in relation to chromosomal status in TCGA-STAD patients ($P < 0.001$, Pearson's Chi Squared test). **e** Box plot showing CIBERSORT-derived lymphocyte infiltration signature score in TCGA-STAD patients according to chromosomal status. Median values (bold line), interquartile range (box), and range (error bars) excluding outliers (symbols) are shown ($P < 0.001$, Kruskal–Wallis test).

common molecular subtype in patients with stage IV gastric cancer; the observed reduction in immune cell infiltration in CIN cancers might explain why immune checkpoint targeting therapy seems to be successful in only a subset of patients²⁹.

The CIN frequency was 65.0 per cent in this study and 49.8 per cent in the TCGA-STAD data set¹. The higher CIN frequency in this study may be due to differences in sample size, disease stage (more stage IV gastric cancers in the present study), and use of different methodology to identify chromosomal status. Despite this difference, the key findings were reproduced in the TCGA-STAD data set using the present CIN classification. This supports the value of assaying a preselected limited number of genes for CNA to determine chromosomal status. Furthermore, the present study showed an association between CIN and high p53 expression, which can be considered similar to the association between CIN and p53 mutation reported in TCGA-STAD^{1,28}.

CIN frequency was higher in patients with stage IV gastric cancer, which is similar to findings in metastatic breast and head/neck cancers³⁰. Similar to previous reports in gastric cancer^{1,28}, the CIN frequency in the present study was higher in intestinal-type compared with diffuse-type gastric cancers.

Davoli and colleagues¹⁵ undertook a pan-cancer analysis of TCGA data, including the gastric cancer data set, and demonstrated that a large number of somatic CNAs were associated with lower expression of markers for cytotoxic immune cell infiltration. Furthermore, Kumagai *et al.*¹⁴ suggested that anti-tumour immune responses might be related to epidermal growth factor receptor signalling, and that aberrant RTK signalling often seems to be associated with CIN^{1,28}. However, the present study is the first to suggest that even low frequency of CNA is associated with decreasing levels of infiltrating intratumour immune cells, which might be one of the underlying biological mechanisms contributing to the poor prognosis of patients with CIN gastric cancer.

Several studies^{2–7} have investigated the prognostic role of the proposed TCGA gastric cancer subtypes, with contradictory results. Here, minimal to no overlap was observed when gastric cancers were classified into EBV-positive, MSI, CIN, and CS subtypes, and survival by gastric cancer subtype showed that OS was best for patients with EBV-positive disease and poorest for those with CIN gastric cancer. These results are consistent with the literature suggesting a more favourable prognosis for EBV-positive or MSI gastric cancer^{3,6–8}. In agreement with the

present data, some previous studies^{4,6,9} suggested that patients with CIN cancer have the poorest prognosis. However, there are also studies suggesting that CS cancers have the poorest prognosis^{3,7} and others^{2,10} showing no difference in survival between TCGA subtypes. These differences could be related to differences in case mix and methodology used to identify patients with CIN or CS cancers. The strength of the present study results lies in the fact that similar findings were demonstrated in two independent cohorts, which also suggests that the association between chromosomal status and survival is similar in patients with gastric cancer from the East (Japan) and West (UK).

A recent study⁹ identified a subset of patients with non-CIN_{high} gastric cancer who seemed to benefit from neoadjuvant chemotherapy using different methodology to identify CIN status, but similarly concluded that CIN classification identified gastric cancer with different characteristics, with potential clinical implications. In summary, the present results suggest that CIN subtypes of gastric cancer are associated with a poorer prognosis and low tumour immune cell infiltration, and that this subset of patients may benefit from therapeutic agents that recruit and activate immune cells into the tumour microenvironment.

The present study has some limitations. It was a retrospective analysis that used material from patients with gastric cancer from two centres, which may have introduced bias. Although it was possible to compare frequency, and prognostic value between Asian and Caucasian patients with stage I–III gastric cancer, there was no access to Asian patients with stage IV gastric cancer. Furthermore, owing to an insufficient amount of material, it was not possible to investigate immune cell infiltration, p53 status, MSI, and EBV status in patients with stage IV disease.

Future work is needed to identify the underlying mechanisms by which CNAs of CIN-related genes cause immunosuppression in patients with gastric cancer. Chromosomal status measured by MLPA with a bespoke set of CIN-related genes may aid in personalization of treatment decisions, improving outcomes for patients with gastric cancer in the near future.

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Supplementary material

Supplementary material is available at BJS online.

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