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# Severity of oEsophageal Anastomotic Leak in patients after oesophagectomy: the SEAL score

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#### Abstract

**Background:** Anastomotic leak (AL) is a common but severe complication after oesophagectomy. It is unknown how to determine the severity of AL objectively at diagnosis. Determining leak severity may guide treatment decisions and improve future research. This study aimed to identify leak-related prognostic factors for mortality, and to develop a Severity of oEsophageal Anastomotic Leak (SEAL) score.

**Methods:** This international, retrospective cohort study in 71 centres worldwide included patients with AL after oesophagectomy between 2011 and 2019. The primary endpoint was 90-day mortality. Leak-related prognostic factors were identified after adjusting for confounders and were included in multivariable logistic regression to develop the SEAL score. Four classes of leak severity (mild, moderate, severe, and critical) were defined based on the risk of 90-day mortality, and the score was validated internally.

**Results:** Some 1509 patients with AL were included and the 90-day mortality rate was 11.7 per cent. Twelve leak-related prognostic factors were included in the SEAL score. The score showed good calibration and discrimination (c-index 0.77, 95 per cent c.i. 0.73 to 0.81). Higher classes of leak severity graded by the SEAL score were associated with a significant increase in duration of ICU stay, healing time, Comprehensive Complication Index score, and Esophagectomy Complications Consensus Group classification.

**Conclusion:** The SEAL score grades leak severity into four classes by combining 12 leak-related predictors and can be used to the assess severity of AL after oesophagectomy.

# Introduction

Anastomotic leak (AL) is a major complication after oesophagectomy, and leak rates of between 10 and 20 per cent have been reported<sup>1-3</sup>. Annually, an estimated 20 000 patients worldwide may develop AL after oesophagectomy<sup>1,4-6</sup>. AL is associated with increased postoperative morbidity, high postoperative mortality rates, prolonged hospital admission, and increased hospital costs<sup>1,7–10</sup>. In addition, it has been shown to decrease long-term quality of life and oncological survival<sup>11–13</sup>.

The clinical presentation of patients with AL is diverse and its impact ranges widely. Patients may or may not present with signs of systemic infection, contaminated fluid collections,

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and/or conduit necrosis. Currently, it is not known how to determine the severity of AL at diagnosis, but a better understanding of its severity may guide treatment strategies. Furthermore, a tool to assess leak severity could improve the comparability of future research and may be used to correct for leak severity. Therefore, a tool to assess the severity of AL at diagnosis is needed.

AL is currently classified according to the definition of the Esophagectomy Complications Consensus Group (ECCG)<sup>14</sup>. Aimed at improving benchmarking and consistent reporting of research outcomes, this classification is based on the invasiveness of the leak treatment (conservative, radiological or endoscopic intervention, and surgical intervention). However, this system does not reflect the severity of AL in terms of outcome. In addition, as the score is determined in hindsight by the treatment performed, this classification cannot guide treatment decisions in clinical practice. The ultimate measure of leak severity is the risk of death. AL severity should be determined by leak-related parameters that are available at diagnosis to enable adequate decision-making based on AL severity. However, only small and heterogeneous cohort studies<sup>1,9,15-19</sup> have investigated which leak-related factors are associated with leak severity, and no comprehensive severity score has been developed.

The aims of this study were to identify leak-related prognostic factors for 90-day mortality in patients with AL after oesophagectomy with gastric tube reconstruction for cancer, and to develop a Severity of oEsophageal Anastomotic Leak (SEAL) score to classify the severity of AL at diagnosis in these patients.

# **Methods**

# Study design

TENTACLE—Esophagus (TreatmENT of AnastomotiC Leakage after Esophagectomy) is an international multicentre retrospective cohort study. Centres performing oesophageal cancer surgery were invited to participate and the study was performed in collaboration with the Dutch Upper Gastrointestinal Cancer Audit, Oesophago-Gastric Anastomosis Audit, and European Minimally Invasive Oesophagectomy Think Tank initiative. Participation was allowed irrespective of geography and patient volume. Seventy-one centres from 20 different countries participated in this study (Table S1).

The study protocol was approved by the institutional review board of Radboud University Medical Centre, and by local ethics committees of participating centres if additional approval was needed. The need for individual informed consent was waived owing to the retrospective study design and anonymous data collection. This study was performed according to TRIPOD guidelines<sup>20</sup>. The TENTACLE—Esophagus study is registered in the Clinical Trials registry (NCT03829098) and the full study protocol is accessible at https://www.tentaclestudy.com.

# Population

Adults who developed AL after oesophagectomy with gastric tube reconstruction for resectable cancer of the oesophagus or gastro-oesophageal junction (cT1-4a N0-3 M0) between January 2011 and June 2019 were included consecutively. AL was defined as a 'full-thickness gastrointestinal defect involving oesophagus, anastomosis, staple line or conduit, irrespective of presentation or method of identification'<sup>14</sup>. Patients who were diagnosed with AL after death or who underwent an emergency

oesophagectomy or oesophagectomy for benign disease were excluded.

# Data collection, verification, and quality validation

Data were collected by local investigators at each participating centre and recorded in an online database (www.castoredc. com). Data were pseudoanonymized and traceable patient data were stored locally.

To ensure robustness of data and to minimize the risk of incomplete patient inclusion, data verification and data quality validation were undertaken (*Appendix S1*). For data verification, an algorithm was developed to screen all data fields for inconsistent entries, typographical errors, and missing values. Data quality validation was performed by independent local validators to assess case ascertainment and data accuracy. Case ascertainment was assessed by comparing a centre's number of included patients with the expected number of leaks based on the centre's annual resection rate and expected minimum leak rate of 5 per cent. Data accuracy was assessed by retrieving 15 key parameters from medical records and comparing these with study data.

#### Outcome measures

The primary outcome was 90-day mortality, defined as death from any cause within 90 days after oesophagectomy. Mortality was used as a proxy for leak severity, and 90-day mortality was chosen because it reflects most complication-related deaths without including deaths unrelated to AL (such as early recurrence)<sup>21,22</sup>. Secondary outcomes were used to assess the clinical relevance of the SEAL score and included in-hospital, 30-day, and 180-day mortality, duration of ICU and hospital stay, time to leak healing (confirmed by imaging or clinically if patients were put on (at least) a non-clear liquid diet), Comprehensive Complication Index (CCI) score, and ECCG classification. The CCI represents the severity of all complications, ranging from 0 (no complications) to 100 (death)<sup>23</sup>. The ECCG classification consists of three leak types: type I, AL requiring medical, dietary or no therapy; type II, AL requiring reintervention but not surgical reintervention; and type III, requiring surgical therapy<sup>14</sup>.

# Prognostic factors and predictors

Potential leak-related prognostic factors for 90-day mortality and predictors for the SEAL score were selected based on the literature and expert opinion. Although preoperative parameters (such as age or co-morbidity) may affect outcomes, these factors do not reflect the severity of the leak itself, and so were not included as predictors in the SEAL score. Grading of AL severity may be considered analogously to grading of tumours; although parameters such as age or co-morbidity affect outcomes and are often taken into account when making treatment decisions, the tumour itself is graded by its size and extent of dissemination<sup>24</sup>. Similarly, in the SEAL score, the leak itself is graded by leak-related parameters at diagnosis and preoperative parameters were not included in this score (*Table* 1).

# Statistical analysis

Multiple imputation using chained equations was used to reduce bias in results owing to missing data. Additional information on (handling of) missing data is presented in *Appendix S2* and *Table S2*<sup>25</sup>.

# Table 1 Potential leak-related prognostic factors and SEAL score predictors

Leak-related parameters	
Nasogastric tube at diagnosis Anastomotic reinforcement C-reactive protein SEAL score predictors POD of diagnosis* Level of care at diagnosis* Diet at diagnosis* Leucocyte count*	
Resection type* qSOFA score* Haemodynamic failure* Respiratory failure* Renal failure* Intrathoracic fluid collections* Defect circumference* Overall condition conduit*	

\*Leak-related parameters identified as prognostic factors after adjusting for confounders were included as predictors of the Severity of oEsophageal Anastomotic leak (SEAL) score to determine leak severity. POD, postoperative day; qSOFA, quick Sequential Organ Failure Assessment.

Prognostic factors for 90-day mortality were identified by assessing the effect of individual parameters on 90-day mortality. Crude and adjusted ORs and 95 per cent confidence intervals were estimated using univariable and multivariable logistic regression analyses. Confounding variables used for adjustment of each potential prognostic factor were selected using a directed acyclic graph (DAG) (*Appendix S3* and Fig. S1)<sup>26</sup>.

The SEAL score was developed using multivariable logistic regression to determine the risk of 90-day mortality (Appendix S4). Leak-related parameters identified as prognostic factors after adjustment for confounders were included as predictors for the SEAL score. Predictors for the SEAL score were not selected using backwards selection as described in the online protocol, but were selected using the DAG approach as inclusion of causal predictors may benefit model performance<sup>27,28</sup>. The model was validated internally using bootstrapping techniques (500 replicates). Model coefficients were adjusted for the shrinkage factor found during bootstrapping. After internal validation, the model performance was re-evaluated. Model performance was assessed in terms of discrimination expressed as concordance index (c-index) and calibration using a calibration plot<sup>29</sup>. A sensitivity analysis was undertaken, assessing model performance in subgroups based on geographical location.

The SEAL score was divided into four classes of AL severity: mild, moderate, severe, and critical. Class cut-off values were predefined by the study group based on clinical judgement of AL severity in relation to the risk of 90-day mortality: mild, less than 5 per cent; moderate,  $\geq$ 5–<15 per cent; severe,  $\geq$ 15–<25 per cent; and critical, at least 25 per cent predicted risk of 90-day mortality. Secondary outcomes were compared between different classes of leak severity using the  $\chi^2$  test for ordinal outcomes and one-way ANOVA for continuous outcomes. P< 0.050 was considered statistically significant. All statistical analyses were performed in each imputed data set and pooled subsequently using R version 3.6.2 with packages rms and mice (R Foundation for Statistical Computing, Vienna, Austria)<sup>30</sup>.

# Results

#### **Preoperative characteristics**

A total of 1514 patients with AL were included in the database. Five patients were excluded owing to locally advanced disease

#### **Table 2 Preoperative patient characteristics**

	<b>Overall (n = 1509)</b>
Age (years), median (i.q.r.)	66 (59–71)
Sex	
F	287 (19.0)
M	1222 (81.0)
BMI (kg/m²), median (i.q.r.)	26 (23–29)
Missing	123 (8.2)
Comorbidity	
ASA 1	143 (9.5)
ASA 2	845 (56.0)
ASA 3	469 (31.1)
ASA 4	19 (1.3)
Missing	33 (2.2)
Performance status	
ECOG 0	666 (44.1)
ECOG 1	425 (28.2)
ECOG 2	88 (5.8)
ECOG 3	8 (0.5)
ECOG 4	3 (0.2)
Missing	319 (21.1)
Tumour type	
Adenocarcinoma	1088 (72.1)
SCC	377 (25.0)
Other	38 (2.5)
_ Missing	6 (0.4)
Tumour location	
Cervical	19 (1.3)
Upper thoracic	54 (3.6)
Mid thoracic	229 (15.2)
Lower thoracic	//9 (51.6)
GOJ	418 (27.7)
Missing	10 (0.7)
Clinical T-stage	
C I IS	17 (1.1)
CII	169 (11.2)
C12	298 (19.7)
CI3	926 (61.4)
C14a	48 (3.2)
Clinical N stage	51 (3.4)
childen N-Stage	EQE (20 4)
CNU	535 (39.4) 522 (24.6)
CNI	322 (34.0) 215 (14.2)
cN2	213 (14.2) E1 (2.4)
cN I	27 (5.4) 27 (5.2)
CN+	39 (3.6)
Neordiuwant treatment	59 (2.0)
None	301 (19 9)
Chemoradiotherany	352 (22 3)
Chemotherapy	842 (55 R)
Radiotherapy	6 (0 4)
Missing	8 (0.5)
	0 (0.0)

Values are n (%) unless otherwise indicated. BMI, body mass index; ASA, American Society of Anesthesiologists; ECOG, Eastern Cooperative Oncology Group; SCC, squamous cell carcinoma; GOJ, gastro-oesophageal junction.

(cT4b) (3 patients), oesophagojejunal reconstruction (1), and post-mortem diagnosis of AL (1). Preoperative characteristics of 1509 patients included in the analysis are shown in *Table 2*.

# Data quality validation

Of the 71 participating centres, 9 (13 per cent) included a smaller sample than expected. The patient screening procedures at these centres were reviewed and were found appropriate in 8 centres. One centre, which included 3 patients, rescreened their records and included 3 additional patients. Of 1514 records, 182 (12.0 per cent) were validated for data accuracy assessment and overall data accuracy was 96.5 per cent (Appendix S1).

#### Table 3 Leak-related parameters at diagnosis of anastomotic leak

Leak parameter Value Leak parameter		Leak parameter	Value	
POD of diagnosis, median (i.q.r.)	8 (5–11)	Leucocyte count (× 10 <sup>9</sup> /l), median (i.q.r.)	12.3 (9.4, 16.1)	
Missing	140 (9.3)	Missing	87 (5.8)	
Diagnostic modality	( )	CRP (mg/l), median (i.q.r.)	190 (114, 278)	
Endoscopy	182 (12.1)	Missing	175 (11.6)	
Oesophagography	205 (13.6)	Haemodynamic failure		
CT	743 (49.2)	No	1226 (81.2)	
Fluids from drain/wound	260 (17.2)	Yes	137 (9.1)	
Reoperation	23 (1.5)	Missing	146 (9.7)	
Other	54 (3.6)	Respiratory failure	( )	
None	14 (0.9)	No	1135 (75.2)	
Missing	28 (1.9)	Yes	255 (16.9)	
Resection type	( )	Missing	119 (7.9)	
TTO-CA	407 (27.0)	Renal failure		
TTO-IA	849 (56.3)	No	1329 (88.1)	
THO-CA	241 (16.0)	Yes	55 (3.6)	
Missing	12 (0.8)	Missing	125 (8.3)	
Resection approach	( )	qSOFA score	( )	
Open	528 (35.0)	0	711 (47.1)	
Hybrid, thoracoscopic	73 (4.8)	1	234 (15.5)	
Hybrid, laparoscopic	167 (11.1)	2	97 (6.4)	
TMIO	664 (44.0)	3	51 (3.4)	
RAMIO	69 (4.6)	Missing	416 (27.6)	
Missing	8 (0.5)	Intrathoracic fluid collections		
Omental wrap		None	519 (34.4)	
No	986 (65.3)	Drained	139 (9.2)	
Yes	380 (25.2)	Undrained	686 (45.5)	
Missing	143 (9.5)	Missing	165 (10.9)	
Level of care at diagnosis	()	Defect circumference (%)	()	
Surgical ward	862 (57.1)	<25	570 (37.8)	
ICU. MC. HC. PACU	543 (36.0)	>25	193 (12.8)	
ED, other	61 (4.0)	Not available	745 (49.4)	
Missing	43 (2.8)	Missing	1 (0.0)	
Diet at diagnosis	()	Overall conduit condition	- ()	
No restriction	168 (11,1)	Well perfused	1084 (71.8)	
Liquids	371 (24.6)	Ischaemic/necrotic	148 (9.8)	
Water	158 (10.5)	Not available	276 (18 4)	
Nil by mouth	612 (40.6)	Missing	1 (0 0)	
Missing	200 (13.3)	8	1 (0.0)	

Values are *n* (%) unless otherwise indicated. POD, postoperative day; CT, computed tomography; TTO, transthoracic oesophagectomy; CA, cervical anastomosis, IA, intrathoracic anastomosis; THO, transhiatal oesophagectomy; TMIO, total minimally invasive oesophagectomy; RAMIO, robot-assisted minimally invasive oesophagectomy; ICU, intensive care unit; MC, medium care; HC, high care; PACU, postanaesthesia care unit; ED, emergency department; CRP, C-reactive protein; qSOFA, quick Sequential Organ Failure Assessment.

# Leak-related parameters at diagnosis of anastomotic leak

The median postoperative day (POD) of diagnosis (defined as confirmation of AL by clinical, imaging or other assessment) was day 8 (i.q.r. 5-11) days after oesophagectomy. Most patients (56.3 per cent) had undergone transthoracic oesophagectomy with intrathoracic anastomosis; 27.0 per cent had undergone transthoracic oesophagectomy with cervical anastomosis, and 16.0 per cent transhiatal oesophagectomy. At diagnosis of AL, 57.1 per cent of patients were on the surgical ward and 36.0 per cent in a high-care department. AL was most often diagnosed by CT, drain fluids, oesophagography, and endoscopy (49.2, 17.2, 13.6, and 12.1 per cent respectively). Some 54.7 per cent of patients had intrathoracic fluid collections (i.e. drained or undrained, mediastinal or pleural), 16.3 per cent had cervical fluid collections, and 20.6 per cent had no fluid collections. A defect circumference of 25 per cent or more was observed in 12.8 per cent of patients. Overall conduit ischaemia/necrosis was found in 9.8 per cent (Table 3).

#### Outcomes

The 90-day mortality rate was 11.7 per cent (176 deaths), and 30-day, 180-day and in-hospital mortality rates were 5.2, 15.9, and 10.7 per cent respectively. The median duration of hospital

stay was 30 (i.q.r. 20–49) days and of ICU stay was 6 (2–15) days. The anastomotic defect healed in 1270 patients (84.2 per cent) and the median time to healing was 26 (i.q.r. 13–46) days. The median CCI score was 44 (i.q.r. 31–64). Of all patients, 27.3 per cent had an ECCG type I leak, 36.8 per cent a type II leak, and 36.0 per cent a type III leak.

# Prognostic factors for mortality

Crude and adjusted ORs for possible prognostic factors for 90-day mortality are presented in Table S3. The following leak-related parameters at diagnosis of AL were identified as prognostic factors: early or late diagnosis of AL (before POD 5 and after POD 11), organ failure (respiratory failure, haemodynamic failure, renal failure or raised quick Sequential Organ Failure Assessment (qSOFA) score), admission to a high-care unit, leucopenia and leucocytosis, presence of intrathoracic fluid collections (drained and/or undrained), defect circumference at least 25 per cent, and overall conduit ischaemia/necrosis. AL after transhiatal oesophagectomy and a diet consisting of water only (versus nil by mouth, liquid or unrestricted diet) at diagnosis were associated with reduced 90-day mortality. Anastomotic reinforcement (omental wrap/pleural flap), nasogastric tube at diagnosis, and C-reactive protein (CRP) levels at diagnosis were not identified as leak-related prognostic factors.

### SEAL score development and internal validation

The SEAL score was developed by including all 12 identified prognostic leak-related parameters in a multivariable logistic regression model (*Table 4*). After internal validation, the c-index for the SEAL score was 0.77 (95 per cent c.i. 0.73 to 0.81) and the SEAL score showed good calibration (*Fig. 1*).

Outcomes for patients in different AL severity classes according to the SEAL score are summarized in *Table 5*, including an example of a patient in each class. The majority of patients (51.2 per cent) were classified as having a moderate AL; 25.4 per cent had mild, 13.4 per cent severe, and 10.1 per cent had critical leak. There was good alignment between the observed and predicted mortality rate within each leak severity class. Patients with greater leak severity had a statistically significantly longer duration of hospital (and ICU) stay, longer time to leak healing, higher mortality rate (in-hospital, 30-day, 90-day, and 180-day mortality), and higher CCI score. Furthermore, the percentage of ECCG type I leaks declined, whereas the percentage of ECCG type III leaks increased. Model performance was good among 1401 patients treated in European centres, but could not be assessed accurately in 108 patients treated in non-European centres owing to the limited number of patients (Fig. S2).

The internally validated SEAL score was incorporated into an online application, which enables determination of leak severity and is available at https://www.tentaclestudy.com/seal-score.

# Discussion

The SEAL score classifies patients with AL into four classes of leak severity according to the predicted risk of 90-day mortality. The score combines 12 leak-related prognostic factors at diagnosis, and showed good performance after internal validation. A more

Table 4 The SEAI	. score after	internal	validation
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Predictor	Odds ratio
POD of diagnosis*	1.43 (0.89, 2.29)
Level of care at diagnosis	
Surgical ward	1.00 (reference)
ICU, MC, HC, PACU	1.83 (1.19, 2.82)
Other	1.31 (0.52, 3.29)
Diet at diagnosis	
Unrestricted	1.00 (reference)
Liquids	0.87 (0.46, 1.65)
Water	0.45 (0.17, 1.21)
Nil by mouth	1.02 (0.54, 1.90)
qSOFA score (per point)	1.21 (0.88, 1.66)
Respiratory failure	1.53 (0.90, 2.60)
Haemodynamic failure	1.14 (0.62, 2.13)
Renal failure	2.48 (1.30, 4.73)
Leucocyte count*	0.92 (0.70, 1.20)
Resection type	· · · · ·
TTO-CA	1.00 (reference)
TTO-IA	0.69 (0.46, 1.04)
THO-CA	0.68 (0.37, 1.26)
Defect circumference ≥25%	1.66 (1.08, 2.57)
Overall conduit ischaemia/necrosis	1.20 (0.73, 1.98)
Intrathoracic collections	· · · · ·
None	1.00 (reference)
Drained	1.48 (0.78, 2.82)
Undrained	1.52 (0.98, 2.37)

Values in parentheses are 95 per cent confidence intervals. \*Interquartile OR, i.q.r.: postoperative day (POD) of diagnosis 5–11 days, leucocyte count 9.3–16.1 × 10<sup>9</sup>/l. SEAL, Severity of oEsophageal Anastomotic Leak; ICU, intensive care unit; MC, medium care; HC, high care; PACU, postanaesthesia care unit; qSOFA, quick Sequential Organ Failure Assessment; TTO, transthoracic oesophagectomy; CA, cervical anastomosis; IA, intrathoracic anastomosis; THO, transhiatal oesophagectomy. severe leak according to the SEAL score was associated with a longer duration of hospital and ICU stay, longer healing time, higher CCI score, and higher ECCG grade, indicating that this score is relevant beyond 90-day mortality. This unique cohort enabled identification of prognostic factors, and development and internal validation of the SEAL score.

Some limitations should, however, be discussed. There is a risk of bias owing to patient selection, but the patients were consecutive and the data validated. Patients with subclinical AL may be under-represented as they may not have been identified during screening for eligible patients. Such patients may not require admission or treatment; this is not the targeted population for the SEAL score, and omission of these patients therefore has few consequences. A recent prospective international audit<sup>1</sup>, which included all patients undergoing oesophagectomy, found an AL rate of 14.2 per cent, with an AL mortality rate similar to that in the present study, indicating comparability of cohorts.

Appropriate measures were taken to ensure data quality; meticulous data verification was performed to ensure uniform data collection and data quality validation showed good data accuracy, similar to other surgical studies<sup>31–33</sup>. Multiple imputation was used to increase precision and avoid bias during the analysis<sup>25</sup>. Still, the high rate of unavailable data on defect circumference reflects current diagnostic strategies and limited reporting of endoscopic findings. The prognostic impact of defect circumference and conduit condition underscores the importance of endoscopy as a diagnostic assessment, and detailed reporting of future studies. Even though the SEAL score was developed in a large international cohort and was validated internally, it is unknown whether the findings can be



#### Fig. 1 Calibration plot of the SEAL score

The calibration slope was 1.12 (95 per cent c.i. 0.93 to 1.31) and resembles the strength of predictors. The calibration intercept was 0.00 (95 per cent c.i. 0.17 to 0.17), and resembles the 'calibration in the large' indicating whether the model systematically overpredicts or underpredicts. Discrimination: c-index 0.77 (95 per cent c.i. 0.73 to 0.81). The shaded area displays the 95 per cent confidence interval of the flexible calibration curve. The triangles plotted in the calibration curve represent observed proportion *versus* predicted probabilities for decile predictions, with error bars indicating 95 per cent confidence interval for a specific decile. The broom plot at the bottom shows the distribution of predicted probabilities for 90-day mortality in patients who did (1) or did not (0) die within 90 days. SEAL, Severity of oEsophageal Anastomotic Leak.

#### Table 5 Pooled outcomes according to the SEAL score

	SEAL score (predicted risk of 90-day mortality)			
	Mild (<5%)	Moderate (≥5-<15%)	Severe (≥15–<25%)	Critical (≥25%)
Example	Patient diagnosed on surgical ward, on a water diet and with a small defect and viable conduit. No organ failure or intrathoracic fluid collections	Patient presenting with intrathoracic collections or a large defect, with leucocytosis and tachypnoea or hypotension	Patient diagnosed on POD 3 in ICU with single organ failure, together with intrathoracic fluid collections or a large anastomotic defect	Complex presentation, patient with multiple organ failure and combination of intrathoracic fluid collections, large defect and/or conduit necrosis
% of patients, range*	25.4 (21–30)	51.2 (47–56)	13.4 (12–15)	10.1 (9–11)
Predicted mortality risk (%), range*†	3.6 (3–4)	8.8 (8–9)	19.2 (19–20)́	36.6 (36–38)
90-day mortality (%), range*+	3.0 (2-4)	8.0 (7–9)	23.5 (18–27)	36.6 (33-40)
30-day mortality (%), range*†	0.5 (0–1)	3.3 (3–4)	8.3 (6–11)	22.1 (20–24)
180-day mortality (%), range*+	5.8 (4–7)	12.3 (11–13)	28.5 (23–33)	43.6 (39–47)
In-hospital mortality (%), range*†	1.8 (1–3)	7.2 (6–8)	21.3 (16–25)	37.6 (35–41)
Duration of hospital stay (mean, days), range*†	27 (26–29)	39 (38–41)	52 (48–57)	50 (48–53)
Duration of ICU stay (mean, days), range*†	5 (4–5)	11 (10–12)	22 (19–24)	28 (27–30)
Leak healing time (mean, days), range*†	30 (28–32)	35 (33–36)	41 (36–48)	43 (39–51)
CCI score (mean), range*†	34 (33–35)	46 (45–47)	64 (62–66)	77 (75–79)
ECCG classification (%), range*†				
Туре I	42.8 (40–48)	28.1 (25–31)	12.5 (9–16)	3.5 (1–7)
Type II	36.8 (34–40)	38.7 (36–42)	33.9 (28–38)	30.4 (25–34)
Type III	20.5 (17–23)	33.2 (31–36)	53.6 (50–60)	66.1 (62–72)

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\*Range across imputations. SEAL, Severity of oEsophageal Anastomotic Leak; ICU, intensive care unit; POD, postoperative day; CCI, Comprehensive Complication index; ECCG, Esophagectomy Complications Consensus Group. †P < 0.010 (χ<sup>2</sup> test for ordinal outcomes, 1-way ANOVA for continuous outcomes).

generalized<sup>29</sup>. Independent external validation of the SEAL score should be undertaken as model performance could not be assessed accurately in centres outside Europe. However, external validation requires an additional large and detailed data set, which is not currently available.

The study has identified an array of leak-related prognostic factors in patients with AL, highlighting the complex and multifactorial character of AL severity. The present findings have confirmed previous suggestions that defect circumference, intrathoracic fluid collections, and conduit condition have a high prognostic impact<sup>1,15,17–19</sup>. Patients with a diet consisting of water only at diagnosis were found to have better outcomes. As drinking water may help limit contamination, these patients could be less prone to developing sepsis. Interestingly, although CRP may have an important role in diagnosing AL<sup>34–36</sup>, the present findings indicated that CRP levels do not reflect AL severity at diagnosis. Contrary to popular belief, anastomotic reinforcement (omental wrap) was not found to reduce the severity of AL<sup>37–40</sup>. Transhiatal oesophagectomy was identified as a favourable prognostic factor, and the risk of developing intrathoracic manifestations may indeed be lower as no transthoracic resection is performed<sup>18,41,42</sup>. However, after transthoracic oesophagectomy no difference was found regarding outcomes of cervical and intrathoracic AL, supporting the recent 'chute hypothesis', proposing that thoracic dissection may increase the risk of intrathoracic manifestations in cervical AL<sup>19,42,43</sup>.

The SEAL score adopts a novel approach to determining AL severity by combining leak-related prognostic factors at diagnosis of AL. A higher SEAL score was associated with higher ECCG leak type, and the SEAL score expresses explicitly at diagnosis what the ECCG classification indicates implicitly once treatment has been completed<sup>14</sup>. The applicability of the ECCG classification is limited in clinical practice, whereas the SEAL

score may be used to determine the severity of AL for individual patients. Moreover, use of the SEAL score may unify understanding of the impact of AL on patients, and surgeons, gastroenterologists and other physicians may monitor patients with severe or critical AL more closely. In the future, the SEAL score may guide treatment decisions, but its role is yet to be established. For example, patients with a higher SEAL score may benefit from more aggressive (surgical) treatment. For research purposes, the ECCG classification and the SEAL score may be complementary; the ECCG classification may be used to standardize reporting of AL treatments, and the SEAL score to report leak severity. Moreover, previous studies<sup>1,44</sup> were unable to assess the efficacy of AL treatments owing to confounding bias, whereas the SEAL score can be used to adjust for leak severity and may consequently reduce bias.

Development of the SEAL score is the first step towards finding evidence for the optimal treatment of AL. Future analyses of TENTACLE—Esophagus will investigate the efficacy of leak treatment taking into account AL severity; assess the association between practice variation and outcomes; and aim to develop a prediction model incorporating the SEAL score and preoperative factors to accurately predict mortality risk based on patient characteristics and leak severity.

In conclusion, the SEAL score combines leak-related parameters at diagnosis and grades leak severity into four classes based on individual predictions of 90-day mortality; the score reflects morbidity in terms of duration of hospital (and ICU) stay, healing time, CCI score, and ECCG leak type. In clinical practice, the score is instrumental in determining the severity of AL at diagnosis and may guide clinical decision-making in the future. In research, the SEAL score may be used to report and adjust for the severity of AL after oesophagectomy.

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# Supplementary material

Supplementary material is available at BJS online.

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