## 1 EpiGePT: a Pretrained Transformer model for epigenomics

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## 13 Abstract

14 The inherent similarities between natural language and biological sequences have given rise to 15 great interest in adapting the transformer-based large language models (LLMs) underlying recent breakthroughs in natural language processing (references), for applications in genomics. 16 However, current LLMs for genomics suffer from several limitations such as the inability to 17 include chromatin interactions in the training data, and the inability to make prediction in new 18 19 cellular contexts not represented in the training data. To mitigate these problems, we propose EpiGePT, a transformer-based pretrained language model for predicting context-specific 20 21 epigenomic signals and chromatin contacts. By taking the context-specific activities of 22 transcription factors (TFs) and 3D genome interactions into consideration, EpiGePT offers wider applicability and deeper biological insights than models trained on DNA sequence only. 23 24 In a series of experiments, EpiGePT demonstrates superior performance in a diverse set of 25 epigenomic signals prediction tasks when compared to existing methods. In particular, our 26 model enables cross-cell-type prediction of long-range interactions and offers insight on the 27 functional impact of genetic variants under different cellular contexts. These new capabilities 28 will enhance the usefulness of LLM in the study of gene regulatory mechanisms. We provide 29 free online prediction service of EpiGePT through http://health.tsinghua.edu.cn/epigept/.

## 30 Introduction

31 A fundamental but largely unresolved problem in genomics is to decode the information 32 residing in the non-coding part of the human genome<sup>1</sup>. It remains incompletely understood how regulatory elements govern gene expression in different contexts<sup>1</sup>, and how noncoding 33 variants may disrupt the underlying regulatory syntax of DNA<sup>2</sup>. Fortunately, recent advances 34 in epigenome sequencing<sup>3, 4</sup> have resulted in the accumulation of data useful for the study of 35 36 these questions, including chromatin accessibility, DNA methylation, histone modifications, and 3D chromatin interaction. Thus, there is great interest in performing systematic analysis of 37 these data to enhance our ability to interpret the non-coding part of the genome<sup>5-11</sup>. 38 The inherent similarities between natural language and biological sequences has also stimulated 39 40 interest in developing large language models (LLM) for the interpretation of genome sequences<sup>12</sup>. As is well known, the development of large language model (LLM) has been the 41 42 main driving force behind many recent breakthroughs in artificial intelligence such as ChatGPT. 43 The architecture of the LLM is typically a multilayer transformer network, and the model is trained on a very large corpus of natural language data. Such pre-trained models can be readily 44 45 tailored or adapted to various downstream tasks. Considering DNA sequences as the texts in 46 the genomic language, similar transformer-based approaches have been used to model DNA sequences<sup>13, 14</sup>. For example, the Enformer model<sup>15</sup> takes the DNA sequence of a large genomic 47 region as input and predict thousands of epigenomic features across cellular contexts covered 48 by the training data. Although already useful in many applications, such models relying on only 49 50 DNA sequences as input are not capable of predicting the function of sequences in new cellular 51 contexts. Furthermore, despite the importance of 3D chromatin contacts in gene regulation, 3D

interaction data have not been included in the training of current genomic LLMs. Therefore,
there is an urgent need to further develop the core technologies of genomic LLMs to overcome
these limitations.

55 In this paper, we present EpiGePT, a transformer-based model for epigenomics prediction with 56 the following new capabilities. First, the inability to make predictions in novel contexts has 57 greatly limited the applicability of current methods, EpiGePT removes this limitation by 58 making both the input and output context-dependent, where the context is represented by a TFprofile vector specifying the expression of key TFs in that context. This choice is motivated by 59 60 the fact that reference gene expression data are available for many cellular contexts that are important in development and diseases, but for which few epigenomic features have been 61 measured. We note that the reference TF expression profile has been used to represent cellular 62 context in earlier works on accessibility prediction<sup>6, 16</sup>, but this idea has not been explored for 63 the development of genomic LLMs. Second, a new learning algorithm is developed to enable 64 65 the inclusion of 3D chromatin contact data in the training data. In this way, EpiGePT can 66 predict 3D genome features such as enhancer-promoter interactions that are known to be important for gene regulation but are not modeled in current genomic LLMs. By using a masked 67 68 training strategy, EpiGePT can be trained on a diverse set of contexts even if different sets of 69 epigenomics signals are available in different contexts. There is a profound difference in 70 training strategy between EpiGePT and current genomic LLMs. Each input genomic region 71 provides an example for training in current LLMs such as the Enformer. In contrast, each 72 combination of input region and cellular context provides an example for training in EpiGePT, 73 thus providing a much larger number of examples available for model training. As for training

- 74 data sets, since most cellular contexts that have epigenomic data will also have expression data,
- 75 we can use most available epigenomic data, such as those used by the Enformer, to train our

76 model.

- 77 In a series of experiments, we illustrate that our model is superior to existing methods in
- 78 epigenomic signals prediction, long-range chromatin interaction prediction, as well as the
- 79 variant effect prediction.
- 80

## 81 **Results**

## 82 **Overview of EpiGePT**

83	EpiGePT is a genomic language model for cross-cell-type prediction of chromatin states by
84	multi-task learning based on genome-wide pre-training on epigenomic data (Fig. 1 and Fig. S2).
85	The model is composed of four modules, including a sequence module, a TF module, a
86	transformer module, and a prediction module. The sequence module is responsible for
87	processing the long DNA sequence of interest (e.g., 128 kb) by employing a series of
88	convolutional and pooling blocks (e.g., 5) to extract a comprehensive set of sequence features.
89	The TF module is specifically designed to represent a cellular context by a TF-profile vector,
90	which specifies the state of a few hundred TFs in that context. The features computed by the
91	sequence and TF modules are then fed as input tokens to the transformer module, where each
92	token corresponds to a genomic bin (e.g., a 128 bp window) in the original DNA sequence. The
93	transformer module leverages self-attention mechanisms to learn the relationships among the
94	input bins, enabling the model to make predictions of multiple chromatin states given the
95	context information from the TF module. Importantly, by including a novel loss term that
96	involves the self-attention weights, EpiGePT is capable of learning from data on context-
97	specific chromatin interactions. Since 3D interaction is known to be a key mechanism in gene
98	regulation, the ability to learn from interaction data is an attractive feature of our approach.
99	Finally, the fourth module in EpiGePT is a predictive module which predicts epigenomic
100	signals and chromatin interactions based on the output of the transformer module.

101 Genome-wide prediction of epigenomic signals

To assess the performance on predicting epigenomic signals, we first compared EpiGePT to 102 task-specific models that are specifically designed for predicting a single epigenomic signal. 103 104 Taking the chromatin accessibility for instance, the performance of EpiGePT was compared against existing task-specific models such as BIRD<sup>17</sup>, ChromDragoNN<sup>6</sup>, and DeepCAGE<sup>16</sup>. 105 The widely available public DNase-seq<sup>18</sup> data across 129 cellular contexts on 1,175,374 106 genomic regions were collected and preprocessed from ENCODE database<sup>19</sup> (see Methods). 107 Performance is evaluated in three prediction settings: i) "cross-region" setting where the 108 predictive model is tested on new genomic regions not seen in training, ii) "cross-cell type" 109 110 setting where the model is tested on new cell types, and iii) "cross-both" setting where testing is done on new regions in new cell types (Fig. S1, Supplementary Text S1). In each setting, we 111 112 employed three evaluation metrics, namely Pearson correlation coefficient (PCC), Spearman 113 correlation coefficient (SCC) and prediction square error (PSE), to assess the similarity between the predicted and true values of the DNase signals (See Methods). The results, presented in Fig. 114 2a and Fig. S3, showed that EpiGePT consistently outperformed baseline methods including 115 BIRD<sup>17</sup>, and ChromDragoNN<sup>6</sup> by a relatively large margin under the above settings. For 116 example, EpiGePT achieved a cross-cell type prediction PCC of 0.787, demonstrated a 6.9% 117 118 higher performance than the best baseline method, ChromDragoNN. In addition, we also evaluated the prediction of binary chromatin accessibility status i.e. predicting whether a peak 119 120 exists within the corresponding genomic bin (>50% overlap). For binary prediction, EpiGePT again achieved a superior performance with an average auPRC (area under the precision-recall 121 curve) of 0.767 compared to 0.623 of DeepCAGE<sup>16</sup> and 0.476 of ChromDragoNN<sup>6</sup> (Fig. 2c). 122 Finally, we compared EpiGePT and ChromDragoNN<sup>6</sup> in the binary classification of functional 123

124	regions versus nonfunctional regions, using the functional chromatin status derived from
125	ChromHMM <sup>20</sup> annotations as ground truth (Supplementary Text S6). EpiGePT achieved an
126	average 8.1% higher auROC (area under the receiver operating characteristic curve) than
127	ChromDragoNN <sup>6</sup> , and an average 2.3% higher macro-auROC than ChromDragoNN <sup>6</sup> ( $p$ -value
128	< 0.001 under one-sided Wilcoxon signed rank test) in a finer-grained classification for different
129	types of regulatory elements (Fig. S4). These results demonstrate that EpiGePT provides better

130 predictions than task-specific models.

Next, we compared EpiGePT with a state-of-the-art genomic LLM, Enformer<sup>15</sup>, in two different 131 132 ways. First, we trained an Enformer model from scratch with only the aforementioned DNaseseq data (Supplementary Text S5). EpiGePT demonstrates a 3.3% to 5.2% higher performance 133 than Enformer in terms of the median Pearson correlation coefficient under the three prediction 134 settings (Fig. 2b). Second, we compared EpiGePT directly to the pretrained Enformer model 135 provided by the original paper. To do this, we collected eight different epigenomic signals from 136 104 different cellular contexts (Supplementary Table S4, S6 and S9). We first left out 13 of 137 138 these contexts where HiChIP data are also available for downstream chromatin interactions validation. Then, EpiGePT model was trained across 72 training cellular contexts (without 139 140 using HiChIP-based chromatin contacts data in the training) and subsequently compared 141 against pre-trained Enformer on the remaining 19 test cellular contexts, on 15,870 training genomic regions with 128kbp length. Since most of the cellular contexts have missing 142 epigenomic signals, we designed a masked training strategy to handle this issue (See Methods). 143 144 Under the test cellular contexts, EpiGePT exhibited superior performance with higher PCC than Enformer in 60 out of 78 matched epigenomic signals across 19 test cellular contexts by 145

146	achieving an average PCC of 0.510, compared to 0.440 of Enformer (Fig. 2d and Fig. S6b). For
147	DNase-seq specifically, the average PCC of EpiGePT reached 0.710 and the average SCC
148	reached 0.664 across 7 cell types, compared to the average PCC of 0.455 and the average SCC
149	of 0.488 of Enformer. In the above comparison, we are in fact comparing out-sample prediction
150	by EpiGePT to in-sample prediction by Enformer. The favorable results achieved by EpiGePT
151	in this experimental setting suggests that our model enables prediction in novel contexts without
152	sacrificing performance. To illustrate the prediction performance further, several tracks of
153	predicted chromatin states and the corresponding ground truth chromatin states were displayed
154	in Fig.2e.

## 155 EpiGePT enables the prediction of chromatin interactions

156 We examined the capacity of EpiGePT for predicting long-range chromatin interactions, which is important for understanding chromatin architecture and relations between regulatory 157 elements and target genes. We employed several experimental settings to examine the ability 158 of EpiGePT in capturing long-range chromatin interactions. In setting (A), we directly utilized 159 the self-attention weights extracted from the pretrained EpiGePT model (without including 160 HiChIP data in the training) to predict enhancer-promoter (E-P) interactions and silencer-161 162 promoter (S-P) interactions. In setting (B), we integrated HiChIP-derived 3D chromatin contacts into the training of the model and then use the model to predict E-P interactions in 163 novel contexts not seen in the training. In setting (C), we designed a pretrain-finetune strategy 164 for EpiGePT model to predict E-P interactions. The results under each setting are discussed 165 below. 166

Setting (A): prediction by EpiGePT not trained with 3D contact data. In this setting, we use the 167 cell-type specific self-attention scores to predict chromatin interactions, including E-P and S-P 168 169 interactions (see Methods). Two sets of interactions containing 664 and 5,091 candidate element-gene interactions, obtained by CRISPRi<sup>21</sup> experiments on K562 cell line, were 170 171 collected and further filtered and divided into positive and negative samples, for use as ground truths to evaluate E-P prediction performance. In the Gasperini et al<sup>22</sup>. dataset, EpiGePT 172 consistently outperformed Enformer by achieving the highest auPRC in most cases (Fig. 3a). 173 For instance, EpiGePT achieved auPRC of 0.647 to 0.887 for identifying enhancer-gene 174 175 transcription start site (TSS) pairs in different distance groups (Fig. 3a and Fig. S7). In the Fulco et al.<sup>23</sup> dataset, EpiGePT also outperformed other competing methods. For example, EpiGePT 176 177 achieves an auPRC of 0.504, compared to 0.307 of Enformer in the 30-45kbp group (Fig. 3a). 178 Next, to assess performance on S-P interactions., we downloaded putative silencers from the SilencerDB<sup>24</sup> and used the TSS of annotated nearest gene as the potential target. We selected 179 the same number of negative pairs randomly while conserving the distance distribution. The 180 181 results show that EpiGePT achieved a better performance in distinguishing positive S-P pairs from negative pairs than Enformer. For instance, EpiGePT achieves an auROC of 0.575 in long-182 183 range S-P interactions (32-64kbp) compared to 0.483 of Enformer (Fig. 3b). Finally, to assess performance in predicting chromatin interactions, we collected HiChIP<sup>25</sup> loops on K562 and 184 GM12878 cell lines from the HiChIPdb<sup>26</sup>. EpiGePT achieves a superior performance by 185 discerning HiChIP loops from randomly selected loops with the same distance distribution. For 186 instance, EpiGePT achieves an auPRC of 0.520 for long range loops (40-64kbp) prediction in 187 GM12878 cell line, surpassing that of Enformer (0.484) by a large margin (Fig. 3g). These 188

results clearly demonstrated the utility of EpiGePT attention scores in capturing functionalchromatin interactions.

To better understand the self-attention mechanism of EpiGePT, we showed the attention weights (averaged across heads) for the bin containing the TSS of the gene *CHD4*. The attention weights were computed based on the pretrained EpiGePT model with K562 cell line as the context of interest. We also display chromatin interactions detected under K562 as well as regulatory elements annotations from the GeneHancer<sup>27</sup>, It is seen that both the interaction data and the regulatory element annotations are consistent with the attention weights learned by EpiGePT (Fig. 3c and Fig. 3f).

198 Setting (B): Prediction by EpiGePT-3D, which include Hi-C data in its training. The above 199 results suggest that in a good transformer-based genomic language model, the attention weight given by one bin to another bin (within the input region) should be consistent with the strength 200 201 of 3D interaction between them. Thus, when experimental data on 3D interaction are available, we can leverage this data to improve the learning of the parameters of our genomic language 202 model, by penalizing parameter values that resulted in poor correlation between the attention 203 weights and the interaction data (see Methods). To obtain such training data, we collected 204 4,107,687 H3K27ac-based HiChIP loops across 13 cell lines or tissues from HiChIPdb<sup>26</sup>, which 205 206 denote potential E-P interactions. Setting aside loops from K562 cell line as test data, other 207 HiChIP loops are incorporated into the training. The resulting model is denoted as EpiGePT-3D. We found that adding 3D interaction data in the training can lead to a noticeable 208 209 improvement for cross-cell-type prediction (3.3% higher in PCC) (Fig. 3e). Moreover, 210 EpiGePT-3D demonstrated improved predictive performance on E-P interactions identified by

HiChIP loops in new cellular contexts. For instance, the auPRC increased from 0.652 to 0.695
for Gasperini et al.'s dataset, which is on a context not covered by the Hi-C data in the training,
in 24-40kbp group when incorporating 3D genome data.

214 Setting (C): Prediction by fine-tuning pretrained EpiGePT. Fine-tuning is an strategy that transfers the knowledge of a pretrained model to new tasks, which is particularly prevalent in 215 language models such as GPT<sup>28</sup> and BERT<sup>29</sup>. Here, we explore the performance of fine-tuning 216 given a pretrained EpiGePT model on downstream tasks, such as predicting 3D genome 217 interaction. Specifically, we fixed the weights of a pretrained EpiGePT model and added an 218 219 additional finetune network for predicting E-P interactions. We compared EpiGePT with finetuning strategy (EpiGePT-finetune) to two baselines, DeepTACT<sup>30</sup> and a k-mer frequency 220 based method<sup>29</sup> with HiChIP H3K27ac loops from K562 and GM12878 cell lines (see Methods). 221 222 The results illustrate that EpiGePT-finetune exhibited a superior classification performance 223 across diverse distance ranges compared to baselines. For example, EpiGePT-finetune achieved an auROC of 0.949, surpassing 0.866 of DeepTACT <sup>30</sup> and 0.771 of Kmer by a large margin in 224 225 the GM12878 cell line within the 20-40kbp distance range (Fig. 3h, Fig. S9 and Fig. S10). This 226 significant improvement demonstrates the power of fine-tuning a base pretrained genomic 227 language model on a downstream task with limited data.

### 228

## EpiGePT unveils the regulatory relationships between TFs and target genes

In this section, we further explored the TF module to see whether EpiGePT is able to learn the regulatory relationships between TFs and target genes (TGs). We defined gradient importance scores (GIS) based on the absolute gradient values of predicted epigenomic signals with respect

232	to the expression of a TF in the input TF profile, to rank the TFs for their potential to regulate
233	a given TG (see Methods). Particularly, we use the TF profile of embryonic stem cell (ESC) to
234	specify the context in the EpiGePT model. We selected the important ESC regulator POU5F1
235	as the target gene and calculated the GIS for identifying TF-TF interactions (see Methods).
236	Multiple potential regulators for POU5F1 identified by EpiGePT in ESC context are consistent
237	with literatures, such as <i>ESRRB-POU5F1</i> <sup>31</sup> (rank 2 <sup>nd</sup> ), and <i>ETV5-POU5F1</i> <sup>32</sup> (rank 5 <sup>th</sup> ). Next,
238	we focus on <i>ESRRB</i> which plays essential role for balancing pluripotency of ESCs <sup>33</sup> . Treating
239	ESRRB as the target gene, our GIS-based ranking identified several key TFs, such as POU5F1
240	and REST, that have significantly higher ranks than other TFs (Fig. 4a). By using ChIP-seq data
241	of POU5F1 for validation, we observed significantly higher GIS in bins overlapping with the
242	ChIP-seq data (Fig. S11, <i>p</i> -value < 0.00018 under one-sided Mann-Whitney U test). Next, we
243	visualized the TF ranks obtained from eight epigenomic profiles across 1000 bins surrounding
244	the TSS of ESRRB. By averaging ranks across these signals and bins among all the 711 TFs,
245	the important ESC regulator POU5F1 ranks 3 out of 711 (Fig. 4b). We further collected the top
246	5% of TFs for each bin and conducted gene ontology (GO) enrichment analysis based on these
247	TF coding genes. Interestingly, the GO terms enriched also included biological processes of
248	embryonic cell differentiation and development. However, using the top 5% of TFs with high
249	expression in ESCs resulted in lower significance for biological processes associated with
250	embryonic cell development (Fig. 4c and Fig. S12), which again demonstrates the effectiveness
251	of the GIS-based ranking. Furthermore, we use TF-TG relationships from either ChIP-seq data
252	or external databases as ground truth to validate the TF-TG relationships inferred by EpiGePT.
253	We defined potential TF-target gene pairs based on TF ChIP-seq data specific to certain cell

types among all human genes (see Methods). The results demonstrated a significant higher rank 254 255 of TF-target gene pairs, compared to TF-non-target gene pairs based on the integrated GIS-256 based ranking (Fig. 4d, p-value < 0.001 under one-sided Mann-Whitney U test). Second, we 257 collected TF-TG regulatory network data from two publicly available databases. We obtained a total of 1,066 TF-TG pairs from the GRNdb<sup>34</sup> database based on liver-specific GTEx data, 258 and 2,705 TF-TG pairs from the TRRUST<sup>35</sup> database after filtering. Then we calculated the 259 rank of each TF based on either integrated GIS or the TF expression value by using the liver 260 expression as the TF reference profile. Interestingly, we found that the median ranking 261 262 percentile of TFs from TRRUST was 3.1%, significantly higher than the percentile of 20.4% based on expression values (Fig. 4e, *p*-value < 1e-5 under one-sided Wilcoxon signed rank test). 263 with a similar result was obtained using another database GRNdb, where EpiGePT is seen to 264 265 achieve a median ranking percentile of 6.3%, compared to 36.0% by gene expression value. For instance, *TMEM55B*, which plays a significant role in lysosome movement, and is regulated 266 by sterol response element binding factor 2 (SREBF2)<sup>36</sup>. Consistently, GIS ranking identified 267 268 SREBF2 as the top-ranked TF associated with TMEM55B. Overall, the validation results from both ChIP-seq datasets and external databases support the effectiveness of GIS in identifying 269 270 context-specific TF-TG relationships.

### 271 EpiGePT improves variant effect prediction

272 Context-specific prediction of the functional impact of genetic variants is important for genetic 273 studies. To test the utility of EpiGePT in this task, we first collected an eQTLs dataset<sup>37</sup> that 274 contains 20,913 causal and non-causal variant-gene pairs across 49 different tissues from the 275 supplementary data of Wang et al<sup>37</sup>. EpiGePT, EpiGePT-seq (i.e. EpiGePT without the TF

module) and Enformer were then applied to estimate the context-specific log-ratio scores (LOS) 276 277 between the alternative DNA sequence and the reference DNA sequence, (see Methods, Fig. 278 5a). Finally, a random forest classifier is trained based on these LOS's to distinguish causal 279 variant-gene pairs from non-causal pairs. The experimental results show that better prediction 280 performance can be achieved when the LOS is based on EpiGePT than when the LOS is based on Enformer. For example, in the lung tissue, EpiGePT achieved an auPRC of 0.922, compared 281 to 0.873 of Enformer, for the classification of casual SNPs vs non-causal SNPs. To verify the 282 283 effectiveness of TF module, we replace the TF reference profile of lung with a less relevant cell 284 type, stomach, and the auPRC decreases from 0.922 to 0.892 (Fig. 5b). Similar results were seen for other tissue contexts-across 48 tissues, EpiGePT-seq achieved an average auPRC of 285 0.910, compared to 0.898 of Enformer (Fig. S4d). The above experiments demonstrated the 286 287 usefulness of EpiGePT in assessing variant effects.

288 To further evaluate the performance of EpiGePT in predicting disease-associated variants, we extracted 52, 876 pathogenic SNPs from the ClinVar<sup>38</sup> database and 418, 863 benign SNPs from 289 the ClinVar database, also with 84, 095 benign SNPs from the ExAC database<sup>39</sup> as positive and 290 291 negative sets, respectively. We defined a 128kbp region surrounding each pathogenic SNP as 292 the risk region. We extracted all benign or likely benign SNPs that fall within the risk region as 293 the positive samples. As the relevant tissue or cell type information is not available, we concatenated the LOS of the eight epigenomic signals and also the self-attention scores, across 294 295 multiple cellular contexts, and then evaluated whether the constructed features are beneficial in 296 distinguishing pathogenic SNPs from benign ones in a classification analysis. To achieve this, we augmented the popular CADD-derived features (CADD<sup>40</sup> scores) by concatenating them 297

298 to the EpiGePT-derived features discussed in the above, to obtain a comprehensive feature 299 vector (see Methods). Subsequently, we compared the performance of the multi-layer 300 perceptron (MLP) classifier based on the comprehensive feature vector to that based on CADDderived features alone. The results demonstrated that incorporating EpiGePT-derived features 301 significantly enhance the performance in predicting pathogenic SNPs. Specifically, when the 302 positive-to-negative sample ratio was set to be 1:1, the average auROC increased from 0.772 303 to 0.806, and the average accuracy increased from 0.690 to 0.723 (Fig. 5c). This observation 304 305 indicates that features extracted by EpiGePT provide a valuable complement to CADD scores, 306 enabling a more comprehensive interpretation of disease-associated variants.

### 307 EpiGePT prioritizes potential SNPs associated with comorbidities of COVID-19

308 We investigated whether using EpiGePT to predict variant effects could help in the discovery of key SNPs related to COVID-19. COVID-19 is an infectious disease caused by the SARS-309 310 CoV-2 virus, which emerged in late 2019 and quickly spread around the world, causing a global pandemic<sup>41</sup>. In order to validate the ability of EpiGePT in identifying key SNPs, we collected 311 GWAS data from a COVID-19 genetic study<sup>42</sup>, including 9,484 variants derived from 4,933 312 313 patients with confirmed severe respiratory symptoms and 1,398,672 control individuals without 314 COVID-19 symptoms. To validate the ability of the model to identify COVID-19-associated SNPs, we firstly defined a risk region around the selected COVID-19-associated SNPs and 315 316 computed the rank of the variant score of pathogenic SNPs within the surrounding benign SNPs from the ClinVar database. Note that the expected percentile rank for random guessing (uniform 317 distribution) is 0.5 (see Methods). Previous studies<sup>43, 44</sup> suggested that COVID-19 infection 318 319 could potentially impair the function of the heart or the lungs, leading to congestive heart failure

320	or decreased lung function. Interestingly, we found that the average rank of COVID-19-
321	associated SNPs was 0.250 when lung expression data was employed for the TF reference
322	profile and a 6-kbp risk region was examined (Fig. 5d, $p$ -value < 0.05 under one-sided Binomial
323	exact test). However, when we employed the expression data from less relevant contexts, such
324	as K562 cells or Testis cells, the median rank is close to random guessing (i.e. 0.5), indicating
325	its ineffectiveness in discerning SNPs pertinent to COVID-19. These results suggest that
326	EpiGePT model is able to prioritize the COVID-19-associated SNPs thus shedding lights on
327	finding the potential disease-associated variants and the relevant tissue contexts with our
328	pretrained large language model.
329	Next, we examine whether the genes close to max-LOS SNPs are likely be associated with
330	biological processes and functions relevant to COVID-19, when compared with genes close to
331	low scores SNPs or not closed to associated SNPs. Since the genetic pathology of COVID-19
332	is not yet clear and the earliest lesion is in the lungs, we ranked all 9,484 possible SNPs using
333	lung expression data as the TF reference profile. We then identified the SNPs with the highest
334	ranks and performed GO enrichment analysis on nearest genes of the top-30 scored SNPs (Fig.
335	5e). The enrichment results revealed potential biological processes that are relevant to COVID-
336	19, such as the regulation of glucokinase activity which is associated with the homeostasis of
337	human blood glucose45. Notably, diabetes mellitus, a condition closely associated with
338	hyperglycemia, is a typical comorbidity of COVID-19 <sup>46</sup> . However, GO enrichment analysis
339	based on the nearest genes of the lowest-scored 30 SNPs resulted in enrichment outcomes that
340	were less relevant to COVID-19 or its complications (Supplementary Fig. S14). Among the
341	potential genes around the top-10 scored SNPs, we identified that the TBC1D4 gene, which

regulates glucose homeostasis, is potentially associated with COVID-19 comorbidities. Our findings are consistent with previous research by Pellegrina et al.<sup>47</sup> and highlights the potential of our EpiGePT approach in discovering new genetic markers that may be implicated in the pathogenesis of COVID-19. Overall, our EpiGePT model provides new perspectives for understanding how the genetic variants could contribute to the COVID-19 susceptibility and severity.

### 348 Model ablation analysis

349 To verify the roles of the main modules in the model, we conducted ablation experiments on the model architecture (Fig. S5). For TF module ablation, the results compared to EpiGePT 350 351 without TF module (EpiGePT-seq) and the inclusion of the TF module led to improvement in 352 cross-cell-type prediction of DNase signals, with a median PCC of 0.787 of EpiGePT, compared to 0.74 for EpiGePT-seq. We additionally examined the impact of the TF module by 353 354 employing three methods, namely replacing TF scores with zero, replacing TF scores with random noise, and removing motif binding scores. The results again confirmed the positive 355 impact of the TF module (Fig. S5a). For sequence module ablation, we trained a TF-only model 356 357 without the sequence module. The results indicated that removing the sequence module resulted 358 in an average decrease of 0.084 in the PCCs of the epigenomic signals on a cell-type wise basis 359 (Fig. S5a). For multi-task module ablation, we trained eight separate predictive models for each of the eight epigenomic signals. In the case of the H3K4me1 signal prediction, the performance 360 of the single-task prediction model exhibited an average PCC decrease from 0.408 to 0.329 361 362 compared to the multi-task prediction model. Similarly, the overall prediction performance for 363 the eight signals declined by 0.074 (Fig. S5b). This decrease may be attributed to the intricate

nature of gene regulation that multiple epigenomic signals can synergize with each other,
allowing their joint modeling to gain deeper biological insights.

366 **Online prediction tool for EpiGePT** 

367 In order to facilitate the utilization of EpiGePT for the prediction of multiple chromatin states 368 of any cellular context and genomic regions, we have developed a user-friendly web server, named EpiGePT-online (http://health.tsinghua.edu.cn/epigept/) (Supplementary Text S2). The 369 370 web server was developed using PHP, JavaScript and HTML, which provides an interactive 371 web interface for efficiently online prediction of epigenomic profiles (Fig. 6). The web server includes a built-in kernel that encompasses the framework for data preprocessing, TF motif 372 373 binding scores calculating, and prediction of epigenomic signals for both hg19 and hg38 human 374 reference genome. Users can obtain the predicted signals for multiple genomic regions by 375 submitting a region file and a TF expression file in Numpy or CSV formats (Supplementary 376 Table S5), or predicted signals for a specific region by submitting a TF expression file (Fig. 377 S13). We provided TF expression profile across more than 100 cellular contexts from ENCODE on the download page. Users can download the results in csv format for further applications 378 such as genetics analysis. Furthermore, we provide a case application of the EpiGePT-online to 379 380 enable users to quickly learn how to use our website (Supplementary Text S3). We anticipate that this web server will assist researchers in deepening their understanding of gene regulatory 381 382 mechanisms.

## 383 **Discussion**

384 In this paper, we introduced a pretrained transformer-based language model for epigenomics.

19

Compared with the existing task-specific models and sequence-based language model, 385 EpiGePT has the added capability to make predictions on novel contexts. Furthermore, 386 387 EpiGePT is able to incorporate a new type of data (3D genome interaction data) during model training, which enables the identifying functional regulatory interactions such as enhancer-388 389 promoter interactions. EpiGePT demonstrates state-of-art performance in diverse experimental settings compared to existing methods. Based on the predicted epigenomic features and 3D 390 interactions from EpiGePT, we performed two investigations on how information is encoded 391 392 in the human genome sequence: First we identify the interactions of cis-regulatory elements 393 and their target genes with the help of self-attention mechanism in EpiGePT. Through direct utilization of self-attention scores, model fine-tuning, and leveraging 3D genome interactions, 394 we validated the capacity of EpiGePT to capture regulatory interactions. Second, to assist the 395 396 identification and interpretation of human disease-associated SNPs, we estimate the effect of a variant on the epigenomic features around the variant, based on the LOS computed by the 397 outputs of EpiGePT. Such variant effect prediction by EpiGePT establishes a foundation for 398 399 understanding the underlying relationship between genetic variations and disease mechanisms. 400 There exist several extensions and refinements that can be applied to further improve the 401 EpiGePT model. Firstly, the incorporation of chromatin regulators (CRs) as trans-acting factors

402 into the TF module could enhance the modeling of regulated transcription processes, thereby 403 increasing the accuracy of the predictions. Second, the integration of DNA methylation 404 information<sup>48</sup> while modeling DNA sequences allows for a more comprehensive and accurate 405 decoding of the epigenomic language, providing a more comprehensive model of gene 406 regulation states compared to the analysis solely based on DNA sequences. Third, the rapid

407	advancements in sequencing technologies have enabled the accumulation of vast amounts of
408	multi-omics data, encompassing different scales from biomolecules to single cells, tissues, and
409	organs <sup>49</sup> . The integration of multiscale and multi-omics information is a trend and a major
410	challenge in deciphering gene regulatory landscapes. Integrating single-cell level data into
411	EpiGePT is an important direction for future improvement. For example, utilizing clustered
412	single-cell multi-omics data as pseudo-bulk data can further expand the training context of
413	EpiGePT. The application of EpiGePT to single-cell epigenomics could enable the profiling of
414	chromatin signals at single-cell resolution, facilitating a holistic understanding of regulatory
415	heterogeneity in different cell subpopulations.
416	Based on EpiGePT, users are able to predict multiple chromatin profiles in different cell lines
417	or tissues, which could provide a foundation for biological discovery, decoding transcriptional
418	regulation mechanisms, and investigating disease mechanisms. We anticipate EpiGePT will
419	furnish researchers with valuable insights into understanding regulatory mechanisms.

## 420 Methods

## 421 Data processing

422	Chromatin accessibility data and Expression data We used three different datasets in the
423	experiments. For chromatin accessible data, we downloaded DNase bam files and narrow peaks
424	across 129 human biosamples from ENCODE <sup>19</sup> project (Supplementary table S1 and S2). We
425	divided the human hg19 genome into 200bp non-overlapping bins, and we assigned the label
426	for each bin in each cell type. For the regression design, we pooled the bam files of multiple
427	replicates for a cell type (Supplementary table S1 and S2), and obtain the raw read count $n_{lk}$
428	for bin $l$ in cell type $k$ . We normalized the raw read count in order to eliminate the effect of
429	sequencing depths, in the form of $\tilde{n}_{lk} = Nn_{lk}/N_k$ , where $N_k$ denotes the total number of
430	pooled reads for cell type k and $N = \min_{k} N_k$ denotes the minimal number of pooled reads
431	across all cell types. The normalized read counts are further log transformed with pseudo count
432	1, which represent the continuous level of chromatin accessibility. For binary classification
433	design, we assigned a binary label $y_{lk}$ to 1 if the number of raw read counts of the bin $l$ in the
434	cell type $k$ greater than 30, which represent the bin is an accessible region in this cell type,
435	resulting in the identification of regions as accessible in 13% on average and 8% at median in
436	the screened genomic regions across 129 cell types. The proportion of open regions varies
437	among different cell types, and the average openness level mentioned above is generally
438	consistent with that maintained in ChromDragoNN <sup>6</sup> .

RNA-seq data of the 711 human transcription factors were downloaded and extracted from the
ENCODE project (Supplementary table S5 and S6). We perform log transformation with

22

pseudo count 1 and quantile normalization based on TPM values. The normalized TPM values
were averaged across replicates and mean expression profile after normalization of each cell
type was finally used to calculated of the transcription feature.

- 444 Multiple chromatin signals data For the human reference genome hg19 (GRCh37), DNase-
- 445 seq, RNA-seq and ChIP-seq data were also downloaded from ENCODE project
- 446 (Supplementary table S3, S4 and S6). We applied the same process to these data as above, and
- finally we obtained the 8 epigenomic signals of 13,300,000 bins of 128bp in 28 cell types. The
- 448 continuous level of chromatin signals we extracted were 'DNase', 'CTCF', 'H3K27ac',
- 449 'H3K4me3', 'H3K36me3', 'H3K27me3', 'H3K9me3' and 'H3K4me1', which includes crucial
- 450 epigenetic modifications and markers for gene regulation and transcription.
- 451 For the collected the data of human reference genome hg38 (GRCh38), we adopted a data
- 452 collection strategy that includes missing data. Specifically, within a particular tissue or cell type,
- 453 we ensured the presence of at least one ChIP-seq signal. Then, epigenomic profiles of 8 signals
- 454 for 15,870,000 bins of 128bp across 104 cell types were obtained.

## 455 Model architecture

456 **Sequence module** As shown in Fig. 1 and Fig. S2a, the sequence module receives a one-hot 457 matrix (A = [0,0,0,1], C = [0,1,0,0], G = [0,0,1,0], T = [0,0,0,1]) of size (128000,4) as input, 458 representing a sequence of 128 kilobase pairs (kbps) and contains five 1-dimentional 459 convolutional blocks to extract DNA sequence features. Each block includes a convolutional 460 layer and a maxpooling layer (Fig. S2b). The first convolutional layer considers the input 461 channels as 4 and performs convolution along the sequence direction. The input sequence

features are one-hot embeddings of size  $L \times 4$ , where L denotes the length of the input long 462 range DNA sequence. After 5 maxpooling layers, the output size of sequence feature is 463 464  $L/N \times C$ , where C denotes the hyper-parameter for sequence embedding and N denotes the length of locus to predict. We set C to 256 in the pre-training stage of chromatin accessibility 465 466 prediction experiments. Rectified linear units (ReLU) are used after each convolution operation for keeping positive activations and setting negative activation values to zeros. By reducing the 467 input length by 128 times through pooling operations, this module effectively compresses the 468 469 input information while retaining essential features. Sequence features were then concatenated 470 with TF expression features, and we finally obtained a vector of size  $L/N \times (C + n_{TF})$ , where  $n_{TF}$  denotes the dimension of the transcription factors features after padding. In our model, 471 472 after adding padding to the 711 TFs, the  $n_{TF}$  is set to 712. Therefore, the input token number 473 for the transformer module is 1000, and each token embedding has a dimensionality of 968.

Transformer module We utilize the transformer module to integrate information from both 474 the sequence and transcription factors (TFs), enabling the capturing of long-range interactions 475 476 between genomic bins. We applied  $N_t$  layers of Transformer encoder with  $n_h$  different attention heads to the token embedding sequence. The input word embedding (X) of the 477 478 transformer encoder is genomic bin sequence with dimensions а (Sequence length, embedding dim). Specifically, this dimension is (1000, 968) in EpiGePT, 479 indicating that input genomic bin sequence has a length of 1000, and each genomic bin has an 480 embedded representation that combines the sequence information with cell-type-specific 481 482 features with dimension of 968. For position embedding, we employed absolute position embedding to represent the positional information of the 1000 genomic bins in the input 128kbp 483

484 DNA sequence, with dimensions of (1000, 968). Each Transformer encoder includes a multi-

485 head self-attention mechanism and a feed-forward neural network. For self-attention in each

486 head, the calculation is based on the matrix operation.

487 
$$Attention(Q, K, V) = softmax(\frac{QK^{T}}{\sqrt{d_{k}}})V$$

For multi-head attention, Transformer encoder learns parameter matrices  $W_i^Q \in \mathbb{R}^{d_{model} \times d_K}, W_i^K \in \mathbb{R}^{d_{model} \times d_K}$  and  $W_i^V \in \mathbb{R}^{d_{model} \times d_V}$  for the  $i_{th}$  head and concatenate the multiple heads to do the projection, then learns parameter matrices  $W^O \in \mathbb{R}^{n_h d_v \times d_{model}}$  to obtain the output of multi-head attention layer.

492 
$$Q_i = XW_i^Q, K_i = XW_i^K, V_i = XW_i^V$$

493 
$$A_i = softmax(\frac{Q_i K_i^T}{\sqrt{d_k}})$$

494 
$$head_i = Attention(Q_i, K_i, V_i) = A_i V_i$$

495 
$$MultiHead(Q, K, V) = Concat(head_1, \dots, head_{n_h})W^0$$

where  $d_{model}$  denotes the dimension of token embedding X, which is 968 in EpiGePT X 496 denotes the embeddings from the sequence module for the first attention layer or the output of 497 previous attention layer.  $n_h$  denotes the number of head in Transformer encoder, which is 8 in 498 EpiGePT, and  $d_K = d_V = d_{model}/n_h = 121$ . The matrix  $A_i$  is called the self-attention matrix 499 for head *i*. The outputs of  $n_h$  heads are then concatenated, and a mapping function represented 500 by  $W^0$  is applied to obtain the output of the multi-head attention. After passing through an add 501 & norm layer, the multi-head attention output is used as input to the feed-forward layer, where 502 more comprehensive features of the input sequence are extracted. The above describes the 503

504	computational workflow of a single Transformer encoder layer. We set $N_t$ to 16 for the
505	chromatin accessible prediction experiments, $N_t$ to 12 for the chromatin state classification and
506	multiple chromatin signals prediction experiments.
507	Prediction Module For regression model, the output layer uses a linear transformation and
508	use mean square error (MSE) as the loss function. For classification model, the output layer
509	uses a linear transformation combined with a sigmoid function, and use the cross-entropy loss
510	for classification experiments.
511	<b>TF module</b> For binding status, we scanned the input bins for potential binding sites for a set
512	of 711 human transcription factors from HOCOMOCO database <sup>50</sup> with the tool Homer <sup>51</sup> (Table
513	S5). We then selected the maximum score of reported binding status for each transcription
514	factor to obtain a vector of 711 dimensions as the motif feature for each DNA bin. For gene
515	expression, we focused on log-transformed TPM values of the 711 transcription factors and
516	obtained a vector of 711 dimensions after quantile normalization as the expression feature. With

these data, we combined the two vectors of motif and expression features by taking the element-517

wise product, and we concatenated the result to the output of sequence module. 518

#### **Model evaluation** 519

- 520 To evaluate our model, we applied five-fold cross-validation in the different experiments on
- 521 cell-type level. For chromatin accessible experiments, the 129 cell lines are partitioned into a training set and a testing set randomly. 522
- Cell-type-wise metrics are defined to evaluate our method in different experiments, which were 523 524 calculate with the data within a test cell type across all genomic locus. For binary classification

design, we used cell-type-wise auPRC and auROC to evaluate our EpiGePT. Let  $Y_{L\times K}$  and  $\hat{Y}_{L\times K}$ 525 be the true and predicted matrix, where L denotes the number of locus and K denotes the number 526 of test cell types. We calculated the auPRC and auROC for each  $(y_{1i}, y_{2i}, \dots, y_{Li})$  and 527  $(\hat{y}_{1i}, \hat{y}_{2i}, \dots, \hat{y}_{Li})$ . For multiple classification, we use macro average of the auPRC and auROC 528 529 to evaluate the classification performance, which compute the metric independently for each class and then take the average hence treating all classes equally. For regression design, we 530 used two metrics for model evaluation, which are cell-type-wise Pearson correlation coefficient 531 532 and prediction squared error. Prediction square error (PSR) is calculated as PSR = 1 - 1 $\sum_k \sum_l (y_{lk} - \hat{y}_{lk})^2 / (y_{lk} - \bar{y}_{*k})^2$ , where  $\bar{y}_{*k} = \sum_l y_{lk} / L$  denotes the mean of the true level of 533 534 the response in the cell type k.

To compare the performance of our method with other baseline methods, we conducted hypothesis testing on the metrics based on cell types. Since the metrics on a given cell type across different methods are paired data and the statistical distribution is unknown, we employed both Binomial and Wilcoxon tests, with the alternative hypothesis being that EpiGePT outperforms the other methods. If we reject the null hypothesis, it provides compelling evidence to support the claim that EpiGePT performs better than the other methods.

To evaluate the computational efficiency, we recorded the running time of a single epoch of EpiGePT and baseline methods (Supplementary Text S4). Compared to traditional CNN models such as DeepCAGE<sup>16</sup> and ChromDragoNN<sup>6</sup>, as well as larger sequence models like Enformer, EpiGePT demonstrates a balance between high computational efficiency and performance.

545 Model training strategy

27

As our proposed model is designed for cross-cell-type prediction of epigenomic signals by 546 547 multi-task learning. Some of the target epigenomic signals are missing in the existing ENCODE 548 database. For instance, there are 104 cellular contexts with both gene expression and at least one of the epigenomic data. However, this number will decrease from 104 to 28 if we consider 549 550 eight epigenomic signals simultaneously. The proposed model takes each cellular context and 551 genomic region pair as a training instance, which ensures the availability of a very large number of training instances. To utilize the data from the cellular contexts where some signals are not 552 available (missing data), we will use a new training strategy to handle the missing data where 553 554 the loss function is designed as

555 
$$L = \frac{1}{J} \sum_{j=1}^{J} \frac{1}{|B_i|} \sum_{k=1}^{K} ||y_{i,j,k} - \hat{y}_{i,j,k}||_2^2 \cdot I(k \in B_i)$$

where  $y_{i,j,k}$  and  $\hat{y}_{i,j,k}$  denote the  $k^{th}$  true and predicted signal from the  $j^{th}$  genomic bin in the *i*<sup>th</sup> context, and  $I(\cdot)$  is an indicator function and  $B_i$  denotes the index set that contains all available signals in the *i*<sup>th</sup> context. We update the parameters in the model through stochastic gradient descent based on minibatches. We utilized the Adam optimizer with a batch size of 10 and a learning rate set to  $5 \times 10^{-5}$ . This training strategy provide us with a significantly larger training sample size and allows us to utilize much more available data from the public databases, and we enable EpiGePT to learn broader patterns of epigenetic states across diverse cell types.

### 563 Incorporation of 3D chromatin interaction data

With the emergence of methodologies like Hi-C and HiChIP for genome-wide chromatin interaction measurement, a substantial volume of 3D chromatin interaction data has been produced across various cellular contexts. Clearly, this data can provide highly valuable

information for identifying functional elements in the genome and for understanding gene
regulation, but this information has not been captured by current genomic LLMs such as the
Enformer<sup>15</sup> or earlier CNN-based genomic models<sup>6, 7, 16, 52</sup>.

We propose here to exploit the self-attention weights of the transformer model to design a 570 learning strategy that would allow EpiGePT to capture interaction information from Hi-C or 571 572 HiChIP data. Specifically, we propose to use the ground truth 3D genome interaction to guide the self-attention matrices in the transformer module during the training process. First, we 573 obtained loop information at 5k resolution from the HiChIPdb database<sup>26</sup>. Given potential noise 574 575 within HiChip data, we selectively filtered potential H3K27ac-based HiChip loops using a 576 stringent q-value threshold of 0.001. This curation aimed to utilization of highly confident loops, safeguarding the model's ability to capture regulatory information without interference from 577 noise. In this way, we acquired corresponding HiChip loop data for 13 out of 104 cell types. 578 579 Next, we mapped these loops onto the genomic bins used for pre-training. Specifically, we 580 employed the normalized count as a metric to gauge the likelihood score for each loop. During 581 the mapping process, we aggregated all loops based on this score to each specific genomic bin, and then we obtained the HiChIP interaction matrix  $H_i$ . Based on the self-attention matrix 582  $A_{p,q}^i \in R^{J \times J}$  and the HiChIP interaction matrix  $H_i$  from the  $i^{th}$  cell type/tissue where p, q are 583 indexes for transformer layer and multi-heads, we apply a row-wise normalization to  $H_i$  (row 584 sum to 1) to obtain  $\tilde{H}_i$  and average the self-attention matrices across the heads in the last 585 transformer layers to obtain  $\tilde{A}^i$ .. Since elevated attention weights are expected between regions 586 587 that interacts in 3D, we will compute a new loss term CSL, which is defined as cosine similarity loss between the rows of  $\tilde{H}_i$  and  $\tilde{A}^i$ . Through the guidance of 3D genome interaction data, our 588

589	approach can learn a more comprehensive model for gene regulation. For example, it will
590	enable prediction of cell-type specific enhancer-promoter interaction, which is a task beyond
591	current models such as the Enformer. Note that the CSL term does not alter the architecture of
592	the model. It simply put some soft constraints on the attention weights according to the
593	experimental data on chromatin interactions, so that the optimized model will give predictions
594	that are more consistent with the context-specific interaction data. During training, the weight
595	$\alpha$ for 3d genome loss was chosen as 2.

### 596 Fine-tunning for predicting E-P interaction

For the fine-tuning process, we kept the parameters of the pre-trained model fixed without 597 598 making any updates. For the specific fine-tuning task of chromatin interaction prediction based 599 on HiChIP data, the multi-task prediction module was replaced with a two-layer MLP network, containing 256 hidden nodes for each layer. During the training process, only the weights in the 600 601 MLP network in the prediction module were updated. Notably, when utilizing HiChIP data at a resolution of 5k, both the enhancer and promoter anchors spanned 5kbp. Then we use a region 602 extending 128kbp from the center of the anchor of the neighboring gene, as input region for 603 EpiGePT. Consequently, a 968-dimensional feature vector for each genomic bin was derived 604 605 from the output of the last transformer encoder layer. These feature vectors from all bins within 606 the two anchors were concatenated, resulting in a high-dimensional vector of size 76,472. To ensure the fairness of validating EpiGePT-finetune in capturing E-P interaction relationships, 607 we fine-tuned the model separately on the HiChIP data of each cell line during the fine-tuning 608 609 process. The test cell lines K562 and GM12878were excluded from the pretrained EpiGePT 610 training cell types.

## 611 Baseline methods

612	Four baselines were introduced for epigenetic signals prediction. BIRD <sup>17</sup> is a multiple linear
613	regression model that only takes gene expression data as input and makes predictions on a fixed
614	locus. ChromDragoNN <sup><math>6</math></sup> is a deep neural network that takes gene expression of 1630 TFs and
615	DNA sequence as input. Specifically, ChromDragoNN <sup>6</sup> uses a ResNet <sup>53</sup> to extract sequence
616	features and use linear transformation to combine the TF gene expression feature and sequence
617	feature to make the final prediction. DeepCAGE <sup>16</sup> is a deep densely connected convolutional
618	network for predicting chromatin accessibility. Enformer <sup>15</sup> is a deep neural network that
619	integrates convolutional neural network and transformer, and only takes DNA sequence as input.
620	Enformer takes DNA sequence of length 196kbp as input to predict 5,313 genomic tracks of
621	human and 1,643 tracks of mouse genome simultaneously. Enformer can only model and
622	predict cell types in the training data and cannot be applied to new cell types. In order to ensure
623	fairness in some of the benchmark experiment, we retrained the Enformer model with the same
624	input and output data as EpiGePT with Pytorch-lightning and made modifications on the
625	number of encoder layers when reproduce the Enformer model (Supplementary Text S5).
626	Besides, comparison with the pretrained Enformer model was also provided in Fig.2d where
627	we strictly used the ENCODE experiment ID to obtain the matched experiments for comparison.
628	Two baseline methods were introduced for predicting HiChIP interaction. DeepTACT <sup>30</sup> is a
629	deep learning method for predicting 3D chromatin contacts using both DNA sequence and
630	chromatin accessibility. We adopted the structure of DeepTACT <sup>30</sup> and kept the anchor length at
631	5k. The input to the model consists of two anchor sequences represented as one-hot matrices
632	and the two openness scores of the two anchors on the corresponding cell type extracted from

633 OpenAnnotate<sup>54</sup>. Regarding the Kmer features<sup>55</sup>, K is chosen as 5 to extract sequence features.

For each anchor, a vector of dimension  $4^5 = 1024$  was obtained. Further training was performed using an MLP with a hidden layer dimension of 256.

636

## Prediction of 3D genome interaction

637 We collected cis-regulatory elements-gene pairs in K562 cells from other studies and public database to demonstrate the interpretability of self-attention mechanisms in the EpiGePT. 638 Enhancers and silencers are typical *cis*-regulatory elements known play important roles in 639 640 transcriptional control during normal development and disease. For enhancers, we downloaded enhancer-gene pairs from two studies: Gasperini et al.<sup>22</sup> and Fulco et al.<sup>23</sup>, both of which were 641 tested using a CRISPRi<sup>21</sup> assay perturbation. Two datasets contain 664 and 5,091 element-gene 642 643 interactions. For silencers, we obtained and random sampled 831 validated silencers-gene pairs with distance within 64kbp in K562 cells curated from high-throughput experiments from 644 SilencerDB<sup>24</sup>. As there are no experimentally validated interaction relationships between these 645 silencers and genes, we generated silencer-gene pairs by associating the nearest neighbor genes 646 for classification purposes. Similarly, negative samples were generated by constructing DNase-647 seq, ATAC-seq and nearest genes using the same approach. Ultimately, we obtained a dataset 648 649 comprising 1,662 silencer-gene pairs, encompassing both positive and negative instances. 650 To obtain scores for regulatory element-gene pairs, we first used the region extending 128kbp from the center of the enhancer as input and extracted the token where the interacting genes 651

- reside, so that we could filter out regulatory element-gene pairs that were located further than
- 653 64kbp apart. Subsequently, we stratified the remaining pairs based on their distance. Since the

654	positive and negative sample ratios varied across datasets, we adopted different stratification
655	strategies for different distance ranges (Fig. 3). Next, we averaged the attention matrices of the
656	Transformer encoder across all layers and heads. The summed attention scores from other
657	tokens to the key token containing the gene TSS were used as the attention score of this element-
658	gene pair. This score represents the attention value that the enhancer-centered region receives
659	for the TSS of the gene. We also calculated the attention score from the bin containing the center
660	of the regulatory element to the bin containing the TSS, which only slightly affects the
661	experimental results of regulatory element prioritization.
662	We collected 5k resolution data from the HiChIPdb (http://health.tsinghua.edu.cn/hichipdb/)
663	database, specifically from K562 and GM12878 cell lines. We filtered the data to include only
664	loops where at least one anchor falls within a gene region. We stratified the loops based on

665 distance into three categories: 0-20kbp, 20-40kbp, and 40-64kbp. For each distance category, 666 we selected 2000 positive pairs with most significant q-value. To ensure consistency in the distance distribution, we selected negative pairs by fixing a gene and choosing anchors at 667 668 equidistant locations in the opposite direction. These are then used to as test data to evaluate the prediction methods. 669

670

**Gradient importance scores** 

671 EpiGePT possesses the capability to assign priority rankings to transcription factors by utilizing 672 gradient importance scores (GIS), taking into account specific cell types and chromatin regions. 673 The GIS were employed to identify potential functional relationships between specific TFs and target genes. Specifically, for a given TF-target gene pair, the TSS of genes were used as central 674

loci, and the regions spanning 128 kbp upstream and downstream of the TSS were selected as
input. Next, we selected bins with motif binding scores indicating potential binding for the
given TF. For these selected bins, we calculated the GIS for the predictions of eight epigenomic
signals, for each of 711 core TFs.

679 
$$GIS_{ijk} = \frac{1}{|\zeta|} \sum_{l \in \zeta} \left| \frac{\partial \hat{y}_{ljk}}{\partial t f_{ij}} \right|$$

680 Where, *i* denotes the *i*th TF in the set of core TFs, *j* denotes the *j*th cell type, *k* denotes the *k*th 681 predicted epigenomic signal, and  $\zeta$  denotes the set of genomic bins that have binding for the 682 given TF. In the calculation of the gradient,  $\hat{y}_{ljk}$  denotes the predicted value of the *k*th 683 epigenomic signal by the model using the expression in the *j*th cell type at the *l*th bin. On the 684 other hand,  $tf_{ij}$  denotes the product of the expression of *i*th TF in the *j*th cell type and the 685 corresponding TF binding score.

If we consider the GIS for the prediction of all 8 epigenomic signals simultaneously, we can prioritize the TFs by calculating their ranks based on each signal separately. Then, we can calculate an integrated gradient importance score (IGIS) for each TF by averaging the ranks from all 8 signals.

$$IGIS_{ij} = \frac{1}{8} \sum_{k} rank(GIS_{ijk})$$

Both the GIS and the IGIS are capable of capturing the significance of a transcription factor (TF) in regulating a specific gene within the context of a specific cell type. Consequently, these scores hold potential value in the discovery of TFs that play crucial roles in the regulation of specific genes, thereby contributing to our understanding of essential regulatory mechanisms. In the context of validating TF-TG pairs in the GRNdb and TRRUST databases, we opted to utilize liver expression data as a representative example due to the unavailability of cell type information for TRRUST. Furthermore, in this experimental setup, the  $tf_{ij}$  denotes the expression of *i*th TF in the *j*th cell type and  $\zeta$  denotes the set of genomic bins that have binding for the TF of the given TF-target gene pair.

### 700 Potential TF-target gene pairs from ChIP-seq data

In this study, we utilized three distinct cell types to conduct a comprehensive screening of TF-701 702 target gene pairs and non-target gene pairs across the human genome. Initially, we obtained the 703 narrow peak files (ENCFF388AJH, ENCFF717IXP, and ENCFF885KLR) from ChIP-seq 704 experiments across three cell types from the ENCODE project. Subsequently, we examined the 705 number of peaks within a 128kbp region both upstream and downstream of the TSS for each gene. Different thresholds were applied to the ChIP-seq data of various TFs. Genes lacking any 706 707 peaks within the defined region were classified as non-target genes, while genes surpassing the 708 threshold in terms of peak counts were designated as target genes. Specifically, for the aforementioned three cell types, threshold values of 10, 15, and 6 were respectively employed. 709 710 Finally, the IGIS approach was employed to determine the corresponding ranks of TFs in the 711 TF-target gene pairs.

712 **Pathogenic SNPs prioritization** 

We collected single nucleotide polymorphisms (SNPs) data from the ClinVar and ExAC databases, which include both potentially pathogenic and benign SNPs. To evaluate the ability of EpiGePT to predict variant effects, we computed the log-ratio scores (LOS) for multiple

chromatin signals using EpiGePT on these SNPs. Subsequently, we utilized these scores to distinguish between pathogenic and benign SNPs. The LOS for each chromatin signal was defined by computing a forward pass through the model using the reference and alternative alleles.

720 
$$\Delta O_{signal} = \log\left(\frac{output(I_{alt})}{output(I_{ref})}\right)$$

Where  $I_{ref}$  denotes the input DNA sequence based on the reference genome, and  $I_{alt}$  denotes 721 722 the input DNA sequence containing variants. Each chromatin epigenomic profile in each cell 723 line or tissue predicted by EpiGePT can be used to compute a specific variant score. We did not take the absolute value in this calculation, so the resulting LOS indicates the direction of change 724 725 in the model output after the appearance of the variant. In addition to the predicted chromatin 726 signals output by the eight models, attention score changes based on self-attention are also 727 noteworthy. We computed the log-ratio scores for attention by summing the attention scores of 728 the 10 bins upstream and downstream of the locus of the SNP, to evaluate the effect of the 729 variant.

730 
$$\Delta O_{attention} = \sum_{i=-5}^{5} |log \left(\frac{attn(bin_i)_{I(alt)}}{attn(bin_i)_{I(ref)}}\right)|$$

Where *i* represents the index of the neighboring bins relative to the locus of the SNP. To avoid the variant effects of different bins from cancelling each other out during the summation process, we computed the absolute value of the change in attention scores for each bin and then summed the scores of the 10 adjacent bins centered at the SNP position. For the classification of pathogenic SNPs, we calculated these nine LOS for attention separately for each of the 28 tissues or cell lines in training data. As a result, we obtained a feature vector of 252 dimensions

for each SNP. Then a classifier with 252 features computed by EpiGePT and 52 annotations 737 from CADD score as inputs are used to predict pathogenic SNPs against benign or likely benign 738 739 SNPs. Here, we employed MLP as classifier to validate the effectiveness of the features we obtained. A five-fold cross-validation experiment is employed for validation, and we utilize two 740 741 different positive-to-negative sample ratios, namely 1:1 and 1:2. For each sample ratio, we randomly sample 32,000 positive samples. The effectiveness of the variant score in identifying 742 pathogenic SNPs is evaluated using the area under the auROC and the auPRC. Additionally, 743 744 we also utilized the logistic regression (LR) as the classifier, consistent with the LR classifier 745 used in CADD, and found a similar improvement when predicting pathogenic SNPs.

746 COVID-19-associated SNPS prioritization. We applied the same method to calculate the LOS of the 8 epigenomic signals for the COVID-19 GWAS data. The absolute values of the 747 748 scores were summed as the overall score for each SNP. Then, we use the absolute sum as the effect score of the SNP and prioritize the COVID-19-associated SNPs based on this score. For 749 750 each significant SNP associated with COVID-19 severity obtained from the GWAS data, we 751 selected normal SNPs within a 128kb region around the SNP as background to calculate the 752 rank of the LOS for the COVID-19 associated SNP in this region. Furthermore, we calculated 753 the LOS for all 9,484 COVID-19 associated SNPs and ranked them accordingly. The top 10 SNPs with the highest LOS were selected, which are considered to have potential genetic 754 associations with COVID-19 severity and complications. 755

## 756 GTEx classification

757 We collected eQTL data from the supplementary materials of Wang et  $al^{37}$ . In their study, the

758	authors identified causal eQTLs through statistical fine-mapping, using a posterior inclusion
759	probability (PIP) threshold of >0.9 for putative causal variants based on expression modifier
760	score (EMS), and a PIP threshold of $< 0.9$ for putative non-causal variants. To validate the ability
761	of EpiGePT to distinguish potential causal variants, we perform a classification task on these
762	variants. For each variation, 128kbp sequence regions near it were selected as the input of the
763	model, and a score of variation was given by EpiGePT model. For each variant under each
764	tissue, we can obtain an 8-dimensional vector of genomic features including DNase, CTCF and
765	other ChIP-seq signals. Based on the LOS, separate random forest classifiers consisting of 10
766	decision trees are trained for each tissue in order to distinguish between causal and non-causal
767	variants. The models are evaluated using 5-fold validation on each tissue, with area under the
768	auPRC and auROC as metrics for assessing their ability to distinguish between causal and non-
769	causal variants.

### 770 Code availability

All components of EpiGePT are freely available at https://github.com/ZjGaothu/EpiGePT.
Here, users can access the code for reproducing EpiGePT, as well as the data collection and
preprocessing pipelines used for model training in benchmark experiments.

## 774 Data availability

Information and processed data of multiple chromatin signals of whole genome, motif binding status and expression data of TFs in the corresponding cell lines/tissues, which are used in EpiGePT are available at Supplementary Tables. The information about the cell lines/tissues used and the 711 filtered transcription factors is available in the supplementary table. The High

throughput validated silencers of K562 cell line are download from SilencerDB 779 (http://health.tsinghua.edu.cn/silencerdb) database. The HiChIP data of K562 cell line and 780 781 GM12878 cell line are downloaded from HiChIPdb (http://health.tsinghua.edu.cn/hichipdb/) database. The DNase-seq peak and ATAC-seq peak data are obtained from the ENCODE 782 project. Enhancer-gene pairs of CRISPRi<sup>23</sup> experiments are obtained from the supplementary 783 information of Gasperini et al. and Fulco et al. The regulatory network data for transcription 784 TRRUST<sup>35</sup> factors 785 and target genes obtained from the database were (https://www.grnpedia.org/trrust/) and the GRNdb<sup>34</sup> database (http://www.grndb.com). The 786 787 annotated chromatin states for whole genome are downloaded from the ROADMAP epigenomics project (https://egg2.wustl.edu/roadmap/web portal/chr state learning.html). 788 The RNA-seq read counts matrix for protein coding genes used for the prediction of the 789 790 chromatin 15-states annotated by ChromHMM are downloaded from the ROADMAP project (https://egg2.wustl.edu/roadmap/data/byDataType/rna/expression/57epigenomes.N.pc.gz). 791 792 The GWAS data of COVID-19 are download from the COVID-19 Host Genetics Initiative 793 (https://www.covid19hg.org/).

- 794 Ethics declarations
- 795 **Competing interests**
- The authors have declared no competing interests.
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802

## 803 Figures

## 804 Figure 1



Fig. 1 Overview of the EpiGePT model for multiple epigenomic signals prediction. The 805 EpiGePT model consists of four modules, namely the Sequence module, the TF module, the 806 807 Transformer module, and the Multi-task prediction module. The sequence module comprises multiple layers of convolution applied to the one-hot encoded DNA sequence input. The input 808 sequence length consists of 1000 genomic bins of 128bp for the prediction of multiple signals 809 810 and 50 bins of 200bp for the prediction of DNase signal alone. The TF module encompasses the binding status and expression of 711 transcription factors. The Transformer module consists 811 812 of a series of consecutive transformer encoders, while the multi-task module is composed of a fully connected layer. Additionally, the EpiGePT framework integrates an optional knowledge 813 guidance module that enhances the interpretability of the model by incorporating three-814 815 dimensional chromatin interaction data into the attention layer, thus improving its 816 understanding of regulatory mechanisms.



Figure 2

817 Fig. 2 Performance of EpiGePT and baseline methods on the benchmark experiment. a, EpiGePT and baseline methods were compared in terms of their regression performance for 818 819 DNase signal regression across cell types, genomic regions, and combined cell type and genomic regions. b, Comparison of EpiGePT and Enformer performance. Each point in the 820 scatter plot represents the performance of Enformer on the data of a specific cell type (x-axis) 821 compared to the performance of EpiGePT (y-axis). The top three graphs represent the 822 prediction of continuous DNase signals (pearson correlation coefficient), while the bottom three 823 graphs represent the binary classification of chromatin accessibility regions. c, EpiGePT and 824

825	baseline methods' performance on binary prediction of DNase-seq signals. d, EpiGePT
826	demonstrates more excellent performance in predicting diverse epigenetic signals across
827	various cell types, compared with the pre-trained Enformer on 78 genomic tracks across 19
828	unseen cell types. The orange points represent Spearman correlation coefficient, and the blue
829	points represent pearson correlation coefficient. e, EpiGePT cross-cell-type predictions
830	compared to experimental signals visualized for a representative example. The predictions
831	specific to DNase are based on the hg19 reference genome, while predictions for multiple
832	epigenomic profiles are conducted using the hg38 reference genome.

## Figure 3



**Fig. 3** Application of self-attention mechanism in EpiGePT for long-range chromatin interaction identification. **a**, The performance (auPRC) of attention score of EpiGePT in distinguishing enhancer-gene pairs at different distance ranges on two different datasets. **b**, The performance (auPRC) of attention score of EpiGePT in distinguishing silencer-gene pairs at different distance ranges based on the data from SilencerDB<sup>24</sup>. **c**, Heatmap of the self-attention matrix of each attention head centered at the TSS of the *CHD4* gene, the (*i*, *j*) element in the

839	matrix denotes the average attention score between the <i>i</i> th genomic bin and the <i>j</i> th genomic bin
840	across all layers. d, The performance (auPR) of self-attention scores of EpiGePT and EpiGePT-
841	3D in identifying enhancer-promoter interactions across different distance ranges on the K562
842	cell type. e, The predictive performance (blue points denote pearson correlation coefficients
843	and orange points denote spearman correlation coefficients) of EpiGePT with knowledge
844	guidance across 19 cell types and 15,870 long sequences (128kbp). f, Attention scores centered
845	at the TSS of the CHD4 gene, and putative enhancer regions in its vicinity. g, The performance
846	(auROC and auPR) of attention score of EpiGePT in distinguishing HiChIP loops of H3K27ac
847	at different distance ranges on GM12878 cell line. h, The performance (auROC and auPRC) of
848	the fine-tuned EpiGePT model and baseline methods (DeepTACT and Kmer) in distinguishing
849	enhancer-gene pairs at various distance ranges (0-20 kbp, 20-40 kbp and 40-64 kbp) on K562
850	cell line under a 5-fold cross validation setting. The size of the bubbles in the plot represents
851	the magnitude of the metric values, while the width of the gray rectangles along the x-axis
852	signifies the overall average values of the three metrics.

### 853 Figure 4



Fig. 4 Gradient importance scores (GIS) uncover regulatory transcription factors. a, Genomic regions around TSS of the *ESRRB* gene and TF expression data on ESC were used in EpiGePT. The scatter plot represents the GIS scores of 711 TFs on each genomic bin. Each dot represents the GIS score of a core TF on a specific genomic bin. Two important ESC regulators *REST* and *POU1F5* are highlighted. **b**, Bar plot of the top 5% ranked TFs, based on the average ranks from the GIS of eight epigenomic signals across bins (below). **c**, Based on the top 5% ranked TFs in 128kbp region centered at TSS of the *ESRRB* gene, gene ontology enrichment

861	analysis revealed significant enrichment in biological processes related to embryonic
862	development and cellular differentiation. d, Based on TF ChIP-seq data, all 23,635 human
863	genes were classified into target genes and non-target genes. The results revealed that TFs
864	exhibited significantly higher ranks on potential target genes compared to non-target genes. e,
865	The distribution of the rank of TFs in the GIS and expression value among the 2,705 TF-gene
866	pairs from the TRRUST database and 1,066 TF-gene pairs derived from genotype-tissue
867	expression (GTEx) data of the liver sourced from the GRNdb database.
868	

## 869 Figure 5



870 Fig. 5 Variant effect prediction of EpiGePT. a, The LOS for each epigenomic signal is 871 calculated by the log change fold of the predicted epigenomic signal for reference genome and 872 WGS genome. b, The performance of EpiGePT and Enformer in discriminating causal SNPs on the Lung tissue. c, The three subplots from left to right respectively depict the classification 873 874 results for disease-related SNPs and benign SNPs down-sampled sourced from the ClinVar database, with balanced positive and negative samples (1:1 and 1:2 ratio), as well as normal 875 876 SNPs sourced from the ExAC database with a MLP classifier. d, The ranked position of COVID-19 related GWAS data among surrounding benign SNPs based on their LOS, as 877

- 878 determined using different tissue or cell-type expression data. The results were stratified based
- 879 on the distance range of the risk region. The resulting mean and median ranks were both below
- 880 0.5. e, Enrichment result (Biological process, Cellular component and Molecular function) of
- the nearest genes of the COVID-19 associated SNPs with the max LOS.

## 882 Figure 6



**Fig. 6 Overview of the online prediction web server of EpiGePT.** We collected eight types of epigenetic genome modification signals and corresponding expression data of transcription factors in different cell types or tissues from the ENCODE project. Based on these data, we trained the EpiGePT model and deployed it as a built-in kernel on an Apache server. Users without much coding experience can also access the web server in two ways to obtain the eight

- types of epigenetic genome modification signals for specified cell types and genomic regions
- 889 without programming or installation.

890

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## 1015 Supplementary Materials

- 1016 Text S1. Data splitting strategy for model training.
- 1017 Text S2. System design and implementation of the web server.
- 1018 Text S3. Case application of the EpiGePT-online.
- 1019 Text S4. Running time of the EpiGePT and baseline methods.
- 1020 Text S5. Implementation of Enformer model and Enformer+.
- 1021 Text S6. Data processing for ChromHMM annotation data.
- 1022 Fig. S1. Three data partitioning strategies for model training and testing.
- 1023 Fig. S2. Model architecture of EpiGePT for multiple epigenomic signals prediction.
- 1024 Fig. S3. EpiGePT's performance in predicting DNase-seq and other epigenetic signals.
- 1025 Fig. S4. Performance of EpiGePT and baseline methods on chromatin states classification,
- 1026 multiple epigenomic profiles prediction and causal variants classification.
- 1027 Fig. S5. Ablation analysis of the EpiGePT model.
- 1028 Fig. S6. Performance of EpiGePT in cross-cell-type prediction.
- 1029 Fig. S7. The performance (auROC) of attention score of EpiGePT in distinguishing regulatory
- 1030 element-gene pairs at different distance ranges.
- 1031 Fig. S8. Incorporating 3D genomic information from HiChip data enhances the predictive
- 1032 performance of EpiGePT on E-P regulatory interaction on K562 cell line.
- 1033 Fig. S9. The fine-tuning performance of the EpiGePT model on predicting potential enhancer-
- 1034 promoter regulatory networks.
- 1035 Fig. S10. The ROC and PR curves of the EpiGePT model on predicting potential enhancer-
- 1036 promoter regulatory networks.
- Fig. S11. The GIS of ChIP-seq overlapped bins versus non-overlapped bins of POU5F1centered at the TSS of ESRRB.
- Fig. S12. Gene ontology enrichment analysis based on the top 5% TFs with high expression inESCs.
- 1041 Fig. S13. Case application of the EpiGePT-online.
- 1042 Fig. S14. Enrichment result (Cellular component and Molecular function) of the nearest genes
- 1043 of the COVID-19 associated SNPs with the low LOS.

- 1044 Table S1. The information of DNase-seq bam file across 129 biosamples from the ENCODE7
- 1045 project.
- 1046 Table S2. The information of RNA-seq tab-separated values (tsv) file across 129 biosamples
- 1047 from the ENCODE project.
- 1048 Table S3. The information of DNase-seq, CTCF and other six Histone markers bam file across
- 1049 28 cell lines or tissues from the ENCODE project (hg19).
- 1050 Table S4. The information of DNase-seq, CTCF and other six Histone markers bam file across
- 1051 104 cell lines or tissues from the ENCODE project (hg38).
- 1052 Table S5. The information of RNA-seq tab-separated values (tsv) file across 28 cell lines or
- 1053 tissues from the ENCODE project (hg19).
- 1054 Table S6. The information of RNA-seq tab-separated values (tsv) file across 104 cell lines or
- 1055 tissues from the ENCODE project (hg38).
- 1056 Table S7. The preprocessed expression data of 711 human transcription factors from the
- 1057 ENCODE project across 129 biosamples.
- 1058 Table S8. The preprocessed expression data of 711 human transcription factors from the
- 1059 ENCODE project across 28 cell lines or tissues (hg19).
- 1060 Table S9. The preprocessed expression data of 711 human transcription factors from the
- 1061 ENCODE project across 104 cell lines or tissues (hg38).
- 1062 Table S10. The order and names of epigenomes of the expression matrices across 56
- 1063 epigenomes from the ROADMAP project.
- 1064 Table S11. The preprocessed expression data of 642 human transcription factors across 56
- 1065 epigenomes from the ROADMAP project.