

ORIGINAL RESEARCH

Midterm Outcomes in Patients With Aortic Stenosis Treated With Contemporary Balloon-Expandable and Self-Expanding Valves: Does Valve Size Have an Impact on Outcome?

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BACKGROUND: No data currently exist comparing the contemporary iterations of balloon-expandable (BE) Edwards SAPIEN 3/ Ultra and the self-expanding (SE) Medtronic Evolut PRO/R34 valves. The aim of the study was the comparison of these transcatheter heart valves with emphasis on patients with small aortic annulus.

METHODS AND RESULTS: In this retrospective registry, periprocedural outcomes and midterm all-cause mortality were analyzed. A total of 1673 patients (917 SE versus 756 BE) were followed up for a median of 15 months. A total of 194 patients died (11.6%) during follow-up. SE and BE groups showed similar survival at 1 (92.6% versus 90.6%) and 3 (80.3% versus 85.2%) years ($P_{\log\text{-rank}}=0.136$).

Compared with the BE group, patients treated with the SE device had lower peak (16.3±8mmHg SE versus 21.9±8mmHg BE) and mean (8.8±5mmHg SE versus 11.5±5mmHg BE) gradients at discharge. Conversely, the BE group demonstrated lower rates of at least moderate paravalvular regurgitation postoperatively (5.6% versus 0.7% for SE and BE valves, respectively; $P<0.001$). In patients treated with small transcatheter heart valves (≤ 26 mm for SE and ≤ 23 mm for BE; $N=284$ for SE and $N=260$ for BE), survival was higher among patients treated with SE valves at both 1 (96.7% SE versus 92.1% BE) and 3 (91.8% SE versus 82.2% BE) years ($P_{\log\text{-rank}}=0.042$). In propensity-matched patients treated with small transcatheter heart valve, there remained a trend for higher survival among the SE group at both 1 (97% SE versus 92.3% BE) and 3 years (91.8% SE versus 78.7% BE), $P_{\log\text{-rank}}=0.096$.

CONCLUSIONS: Real-world comparison of the latest-generation SE and BE devices demonstrated similar survival up to 3 years' follow-up. In patients with small transcatheter heart valves, there may be a trend for improved survival among those treated with SE valves.

Key Words: balloon expandable ■ paravalvular regurgitation ■ self-expanding ■ small transcatheter heart valve ■ transcatheter aortic valve implantation

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CLINICAL PERSPECTIVE

What Is New?

- Contemporary generation balloon-expandable and self-expanding transcatheter aortic valves appear to have similar survival up to 3 years.
- Balloon-expandable valves have less paravalvular leak, and self-expanding valves have lower transvalvular gradients, while pacemaker rates are similar.

What Are the Clinical Implications?

- In the propensity-matched cohort, there was a trend for reduced survival, albeit not statistically significant, among patients treated with small transcatheter valves in the balloon-expandable group.

Nonstandard Abbreviations and Acronyms

BE	balloon expandable
PPM	permanent pacemaker
PVR	paravalvular regurgitation
SE	self-expanding
TAVI	transcatheter aortic valve implantation
THV	transcatheter heart valve

Transcatheter aortic valve implantation (TAVI) is an established treatment modality with similar efficacy to surgical treatment for patients with severe, symptomatic aortic stenosis.^{1,2} Encouraging data from several randomized trials have further expanded the TAVI indication to intermediate and even low-risk patients with aortic stenosis.³⁻⁵

Since the introduction of TAVI, despite the variety of available technologies, 2 main platform types are used worldwide: the balloon-expandable (BE) Edwards SAPIEN family of valves (Edwards Lifesciences Inc, Irvine, CA) and the self-expanding (SE) Medtronic family of valves (Medtronic Inc, Minneapolis, MN). Since the first-generation iterations of each platform (Edwards SAPIEN and Medtronic CoreValve, respectively), both platforms have evolved dramatically, resulting in improved clinical outcomes. The Edwards Sapien 3/ Ultra⁶ and the Evolut Pro/Pro+ are the latest iterations of each platform design available currently in Europe.⁷ Both feature an external tissue skirt to minimize post-procedural paravalvular regurgitation (PVR), whereas in terms of vascular access, smaller sheath sizes (internal diameters; 14F for Evolut PRO+, 16F for Evolut PRO, 14F for 23- and 26-mm Edwards S3/ Ultra, and 16F for 29-mm Edwards S3) have allowed for reduction in complications rates.

Despite being the most commonly used worldwide, head-to-head randomized or even confounder-adjusted registry data comparing periprocedural and midterm outcomes in patients treated with these 2 devices are distinctly lacking. The only randomized evidence to date includes a head-to-head comparison of the previous generation Evolut-R and the SAPIEN 3 device, which suggested equivalence with regard to all-cause mortality, PVR, need for permanent pacemaker (PPM), and stroke.⁸

There has been accumulating evidence that prosthesis-patient mismatch after TAVI leads to increased hospitalization and mortality when severe.⁹ This appears to hold true especially for patients with small annuli.¹⁰ SE valves with supra-annular design appear to have an advantage¹¹ in reducing transvalvular gradients in such patients. Yet, to date, no direct head-to-head comparisons of the new-generation SE and BE devices have been performed in patients with small annuli, with the exception of a small registry demonstrating significantly higher gradients in BE compared with SE in day 1 and 30 after TAVI.¹²

In the absence of adequately powered large randomized controlled trials, the aim of this collaborative retrospective analysis was to perform a real-world comparison of the periprocedural and midterm outcomes between the 2 latest-generation devices of SE valves (Evolut-PRO/PRO+ and Evolut R 34 mm) versus the BE SAPIEN 3 and Ultra valves in all-comers with prespecified analysis in patients treated with small transcatheter heart valves (THVs).

METHODS

Patient Characteristics: Inclusion/ Exclusion Criteria: Procedure

Consecutive patients who underwent TAVI with the latest SE (Medtronic Evolut PRO, PRO+, and Evolut R 34mm) and BE (Edwards SAPIEN 3 and Ultra) valves in 2 high-volume centers with extensive TAVI experience (programs dating back to 2007): Athens, Greece: 3rd Department of Cardiology, University of Athens; and London, UK: Royal Brompton and Harefield Hospitals, Guy's and St Thomas' NHS Foundation Trust, from August 2017 to February 2021, were included in the ATLAS (Athens-London-Aortic-Stenosis) registry and were retrospectively studied. All data required were collected by local investigators in each center, anonymized and entered into a dedicated combined TAVI database. The data that support the findings of this study are available from the corresponding author upon reasonable request. Variables collected included baseline clinical, imaging (echocardiographic, multislice computed tomography, and angiographic), and procedural characteristics, as well in-hospital outcomes and midterm survival.

Patients treated with either the BE Edwards SAPIEN 3/Ultra valve or the SE Evolut PRO/PRO+ (23, 26, and 29 mm) and Evolut R 34 mm were included in the analysis. The SE valve patients with large anatomies (annulus perimeter >81.7 mm) were treated with the larger 34-mm device, which was during the study period only available in the Evolut R platform (Evolut R 34 mm).

As per standard protocol, all patients with severe (valve area, <1 cm²; or aortic valve area index, <0.6 cm²/m²) symptomatic aortic valve stenosis underwent preprocedurally routine screening investigations, including transthoracic echocardiography, lung function tests, coronary angiography, and multislice computed tomography angiography. The final decision with regard to the appropriateness for TAVI, device selection, and access route was determined by the “Heart Team” (comprising cardiologists, cardiac surgeons, and anesthesiologists). Patients treated with an Edwards SAPIEN 3 or Ultra valve ≤23 mm or an Evolut PRO/PRO+ ≤26 mm were included in the “small THV” cohort.¹²

Clinical End Points

The primary end point was 1-year all-cause mortality. Kaplan-Meier curves were used to estimate midterm all-cause mortality in the entire cohort and in the subpopulation of patients with small THVs. Secondary study end points were defined as per Valve Academic Research Consortium-2 criteria, including postprocedural PVR, need for new PPM implantation, cerebrovascular accidents, and periprocedural complications, such as need for bail out valve-in-valve implantation, balloon postdilatation (after balloon aortic valvuloplasty), valve malpositioning (migration or embolization), and emergency conversion to full sternotomy¹³ (in hospital). PVR was evaluated using discharge echocardiography and classified accordingly as none, mild, moderate, and severe. In-hospital bleeding complications were defined using the Bleeding Academic Research Consortium classification.¹⁴

Following consultation with our local research ethics committee, no informed consent was required as the study was part of an ongoing audit, and all data were collected retrospectively and were pseudo-anonymized. Vital status was ascertained using the national Patient Demographic Service, which incorporates national death registry information as well as local notifications.

Statistical Analysis

All continuous variables were tested for normality using the Kolmogorov-Smirnov test. Data are presented as percentages, mean±SD, or median (interquartile range). Differences in proportions were tested with the χ^2 test and Fisher exact test, and differences in continuous variables were tested with a Student *t* test or

Wilcoxon rank-sum test for parametric and nonparametric variables, respectively. Survival was assessed using Kaplan-Meier curves with their respective 95% CIs. Cox regression analyses were performed to adjust for confounding factors between the 2 groups. Confounding factors included in the model were those identified in univariate analysis to be significant. *P*<0.05 was considered statistically significant.

Propensity Matching Cohorts

The propensity scores were estimated using a nonparsimonious multivariable logistic regression model with small THV type (SE versus BE) as the dependent variable and the following variables as covariates (significantly different at baseline univariate analysis with *P*<0.05): age, mitral regurgitation (MR), extensive calcification of the aorta, previous balloon aortic valvuloplasty, and access site for THV delivery. Matching was performed with the use of a 1:1 matching protocol without replacement (nearest neighbor-matching algorithm), with a caliper width equal to 0.1 of the SD of the logit of the propensity score. Standardized differences were estimated for all the baseline covariates before and after matching to assess prematch imbalance and postmatch balance and were graphically presented (histogram with overlaid kernel density estimates of standardized differences; [Figures S1 and S2](#)). Furthermore, an overall imbalance χ^2 test⁹ and multivariate overall imbalance measure *L*¹⁰ were performed. In the propensity-matched cohort, survival was assessed with the use of the Kaplan-Meier method and compared with the use of the log-rank test.

Statistical analysis was performed using SPSS 27 for Windows (IBM Corp, Armonk, NY).

RESULTS

Baseline Characteristics

In total, 1673 eligible patients were analyzed. Of those patients, 917 were treated with SE devices, and 756 were treated with BE devices. Baseline clinical and demographic characteristics of the 2 populations are presented in [Table 1](#).

In most variables, baseline demographics were similar between the 2 groups. Patients treated with an SE valve had higher rates of extensive aortic calcification, moderate left ventricular (LV) systolic dysfunction, and moderate MR at baseline. On the other hand, patients treated with a BE valve had more prevalent coronary artery disease ([Table 1](#)).

Procedural Characteristics

Most cases were performed via the transfemoral route (97% SE versus 95.2% BE), whereas transaxillary/

Table 1. Baseline Demographic and Clinical Characteristics in the Total Cohort

Variable	Total population (N=1673)	Evolut-Pro/Evolut R 34 mm (N=917)	S3-Ultra (N=756)	P value
General demographics				
Female sex, n (%)	706 (42.2)	385 (42)	321 (42.5)	0.827
Age, y	81.2±7.3	81.5±7.1 [914]	80.9±7.5 [752]	0.152
BMI, kg/m ²	26.8 (24–30.5)	26.8 (24–30.5) [857]	26.8 (24–30.5) [701]	0.889
Cardiovascular risk factors and medical history				
Diabetes, n (%)	428 (26.4)	228 (25.6)	200 (27.4)	0.382
Smoking, n (%)				
Ex smoker	727 (46.1)	404 (46.2)	323 (46)	0.446
Current smoker	55 (3.5)	35 (4)	20 (2.8)	
On dialysis, n (%)	26 (1.6)	11 (1.3)	15 (2)	0.224
Creatinine, mmol/L	88 (71–112)	87 (71–112) [857]	88 (71–112) [714]	0.654
Previous cardiac surgery, n (%)				
Isolated CABG	203 (12.6)	114 (12.9)	89 (12.2)	0.871
Valvular surgery	64 (4)	35 (4)	29 (4)	
CABG and valve	5 (0.3)	2 (0.2)	3 (0.4)	
Previous BAV, n (%)	128 (7.9)	76 (8.6)	52 (7.1)	0.268
Previous PCI, n (%)	422 (26.1)	236 (26.6)	186 (25.5)	0.598
Previous MI, n (%)	194 (12.0)	96 (10.8)	98 (13.4)	0.111
PAD, n (%)	168 (10.3)	99 (11)	69 (9.5)	0.316
COPD or asthma, n (%)	333 (20.5)	179 (20.1)	154 (21.2)	0.441
Previous CVA, n (%)	95 (5.8)	48 (5.5)	47 (6.5)	0.135
Preexisting PPM, n (%)	114 (7.6)	87 (9.9)	27 (4.3)	<0.001
Echocardiographic data				
LV function, n (%)				
Good (EF>50%)	1203 (75.1)	638 (73.5)	565 (77.1)	
Moderate (EF=30%–49%)	266 (16.6)	168 (19.4)	98 (13.4)	0.002
Poor (EF<30%)	132 (8.2)	62 (7.1)	70 (9.5)	
Mean AV gradient, mmHg	44.1±17.8	44.5±18.5 [818]	43.5±16.8 [622]	0.113
Peak AV gradient, mmHg	71.6±31.7	72.2±33.5 [822]	70.8±29.2 [652]	0.955
Mitral regurgitation, n (%)				
None	327 (22.7)	160 (18.7)	167 (28.5)	<0.001
Mild	823 (57.2)	506 (59.3)	317 (54.2)	
Moderate	254 (17.7)	168 (19.7)	86 (14.7)	
Severe	35 (2.4)	20 (2.3)	15 (2.6)	
Pulmonary artery pressure, mmHg	35 (27–45)	34 (24–45) [571]	37 (30–45) [269]	<0.001
Bicuspid aortic valve, n (%)	41 (2.4)	29 (2.6)	12 (1.6)	0.534
Coronary angiography				
Extent of epicardial CAD, n (%)				
Single vessel	227 (14.2)	109 (12.4)	118 (16.5)	
Two vessel	118 (7.4)	43 (4.9)	75 (10.5)	<0.001
Three vessel	58 (3.6)	22 (2.5)	36 (5)	
Significant LMS disease, n (%)	21 (1.3)	7 (0.8)	14 (1.9)	0.048
Computed tomography data				
Annulus perimeter, mm	78 (73–84)	78.0 (73–84) [775]	78.6 (73–84) [370]	0.387
Extensive calcification of the aorta, n (%)*	33 (2.4)	24 (3.3)	9 (1.4)	0.018

(Continued)

Table 1. Continued

Variable	Total population (N=1673)	Evolut-Pro/Evolut R 34 mm (N=917)	S3-Ultra (N=756)	P value
ECG				
Bundle-branch block, %				
None	85.7	85.6	85.7	0.385
LBBB	5.2	4.6	5.2	
RBBB	3.6	3.7	3.6	
Preoperative heart rhythm, n (%)				
Sinus rhythm	1072 (65.7)	587 (65.6)	485 (65.8)	
AF/flutter	470 (28.8)	249 (27.8)	221 (30)	0.256
Paced	86 (5.3)	56 (6.3)	30 (4.1)	

Data are given as mean±SD or median (interquartile range) unless otherwise indicated. Data in brackets are number of patients with available data. AF indicates atrial fibrillation; AV, aortic valve; BAV, balloon aortic valvuloplasty; BMI, body mass index; CABG, coronary artery bypass grafting; CAD, coronary artery disease; COPD, chronic obstructive pulmonary disease; CVA, cerebrovascular accident; EF, ejection fraction; LBBB, left bundle-branch block; LMS, left main stem; LV, left ventricular; MI, myocardial infarction; PAD, peripheral artery disease; PCI, percutaneous coronary intervention; PPM, permanent pacemaker; and RBBB, right bundle-branch block.

*Includes calcifications of LV outflow tract and aortic annulus.

subclavian (1.7% SE versus 0.7% BE) and transcarotid access (0.7% SE versus 0% BE) were used predominantly in the SE arm, and transapical (0% SE versus 3.7%BE) in the BE arm ($P<0.001$). With regard to valve size used, in the SE group, 5% were 23-mm valves, 26.5% were 26-mm valves, 41.3% were 29-mm valves, and 27.2% were 34-mm valves. In the BE group, 1.6% were 20-mm valves, 33% were 23-mm valves, 40.6% were 26-mm valves, and 24.7% were 29-mm valves. Aortic valve balloon predilatation was performed in 13.9% of SE cases and 13.2% of BE cases ($P=0.675$). Balloon postdilatation was performed more frequently in patients with SE versus BE valves (22.5% versus 7%; $P<0.001$).

When comparing patients with small THVs, there were 284 treated with an SE valve and 260 treated with a BE valve. In the SE group, 45 (15.8%) were treated with a 23-mm valve, whereas the majority, 239 (84.2%), were treated with a 26-mm valve. In the BE group, 248 (95.4%) were treated with a 23-mm valve, whereas 12 (4.6%) were treated with a 20-mm valve. Most of the cases, in both groups, were performed via the transfemoral route (97.8% SE versus 94.6% BE; $P=0.045$). Baseline demographics comparing SE and BE in this subgroup are shown in Table 2. Previous balloon aortic valvuloplasty was performed more often among BE patients. SE patients had higher rates of preprocedural moderate MR, higher calcium score, and higher rates of aortic calcification (Table 2). Aortic valve balloon predilatation was performed in 9.4% of SE cases and 10.4% of BE cases ($P=0.676$). Balloon postdilatation was performed more frequently in patients with SE valves (23.5% SE versus 7.3% BE; $P<0.001$).

After propensity matching for the aforementioned variables, a total of 139 patients with SE small THVs were matched against 139 patients who had been

treated with BE small THVs. The overall balance test was not statistically significant ($\chi^2=1.759$; $P=0.624$). The relative multivariate imbalance (L1) was reduced after matching from 0.354 to 0.216. Standardized differences of <10% for any given covariate indicated a relatively small imbalance in the matched cohort (Figures S1 and S2). Baseline characteristics are shown in Table 3.

Outcomes/Midterm Survival

Total Cohort

During the median follow-up of 15 months (interquartile range, 7.3–29.9 months), 194 patients died (11.6%). The 1- and 3-year Kaplan-Meier estimated survival was similar between the 2 groups (92.6% versus 90.6% for SE and BE valves, respectively, for 1-year survival; and 85.2% versus 80.3% for SE and BE valves, respectively, for 3-year survival; $P_{\log\text{-rank}}=0.136$; Figure 1). The crude all-cause mortality hazard ratio (HR)_(BE versus SE) was 1.25 (95% CI, 0.93–1.68) ($P=0.137$).

When adjusting for age, sex, baseline LV function, baseline degree of MR, epicardial coronary artery disease, and extensive calcification of the aorta, the HR remained similar in patients treated with BE versus SE valves (HR, 1.23 [95% CI, 0.8–1.9]; $P=0.349$).

Small THV Cohort

In patients treated with small THVs, survival was higher among the SE group at both 1 (96.7% SE versus 92.1% BE) and 3 (91.8% SE versus 82.2% BE) years ($P_{\log\text{-rank}}=0.042$) (Figure 2A).

Crude HR_(BE versus SE) for all cause mortality was 1.88 (95% CI, 1.02–3.48; $P=0.045$; Table S1). When adjusting for baseline confounders (age, sex, presence of

Table 2. Baseline Demographic and Clinical Characteristics in the Small Valve Cohort

Variable	Total population (N=544)	Evolut-Pro/Evolut R 34 mm (N=284)	S3-Ultra (N=260)	P value
General demographics				
Female sex, n (%)	440 (80.9)	235 (82.7)	205 (78.8)	0.248
Age, y	81.8±7.3	82.4±6.4 [283]	81±8.1 [258]	0.029
BMI, kg/m ²	26.5 (23.4–30.2)	26.3 (23–30.1) [268]	26.6 (24.1– 30.7) [241]	0.242
Cardiovascular risk factors and medical history				
Diabetes, n (%)	139 (26.2)	75 (26.7)	64 (25.6)	0.529
Smoking, n (%)				
Ex smoker	186 (35.8)	103 (37.1)	83 (34.3)	0.425
Current smoker	15 (2.9)	10 (3.6)	5 (2.1)	
On dialysis, n (%)	8 (1.5)	2 (0.7)	6 (2.4)	0.124
Creatinine, mmol/L	80 (65–103)	81 (65–104) [268]	79.5 (64.3–100) [244]	0.735
Previous cardiac surgery, n (%)				
Isolated CABG	37 (7)	17 (6.2)	20 (8)	0.882
Valvular surgery	27 (5.1)	14 (5.1)	13 (5.2)	
CABG and valve	4 (0.8)	2 (0.7)	2 (0.8)	
Previous BAV, n (%)	26 (4.9)	8 (2.9)	18 (7.2)	0.024
Previous PCI, n (%)	121 (23.1)	65 (23.6)	56 (22.6)	0.775
Previous MI, n (%)	53 (10)	27 (9.7)	26 (10.3)	0.817
PAD, n (%)	39 (7.3)	22 (7.8)	17 (6.8)	0.658
COPD or asthma, n (%)	111 (21)	60 (21.5)	51 (20.4)	0.580
Previous CVA, n (%)	22 (4.1)	9 (3.2)	11 (5.2)	0.464
Echocardiographic data				
LV function, n (%)				
Good (EF>50%)	444 (85.2)	222 (81.9)	222 (88.8)	0.070
Moderate (EF=30%–49%)	61 (11.7)	40 (14.8)	21 (8.4)	
Poor (EF<30%)	16 (3.1)	9 (3.3)	7 (2.8)	
Mean AV gradient, mmHg	46.9±19.3	46.9±19.5 [254]	47±19.1 [218]	0.929
Peak AV gradient, mmHg	74.6±29.2	73.8±25.7 [253]	75.5±32.6 [251]	0.526
Mitral regurgitation, n (%)				
None	105 (21.8)	44 (16.1)	61 (29.3)	0.002
Mild	282 (58.6)	171 (62.6)	111 (53.4)	
Moderate	85 (17.7)	55 (20.1)	30 (14.4)	
Severe	9 (1.9)	3 (1.1)	6 (2.9)	
Pulmonary artery pressure, mmHg	36 (29–45.8)	36 (28–45)	37 (30–47.5)	0.129
Bicuspid aortic valve, n (%)	9 (1.7)	5 (1.8)	4 (1.7)	0.805
Coronary angiography				
Extent of epicardial CAD, n (%)				
Single vessel	64 (12.5)	31 (11.4)	33 (13.7)	0.097
Two vessel	27 (5.3)	9 (3.3)	18 (7.5)	
Three vessel	14 (2.7)	6 (2.2)	8 (3.3)	
Significant LMS disease, n (%)	4 (0.8)	3 (1.1)	1 (0.4)	0.359
Computed tomography data				
Annulus perimeter, mm	72 (68.7–75)	72 (68.9–75) [148]	71 (68–75) [50]	0.427
Extensive calcification of the aorta, n (%)*	6 (1.3)	6 (2.4)	0	0.031
ECG				
Bundle-branch block, n (%)				
None	480 (90.6)	244 (87.8)	236 (93.7)	0.112

(Continued)

Table 2. Continued

Variable	Total population (N=544)	Evolut-Pro/Evolut R 34 mm (N=284)	S3-Ultra (N=260)	P value
LBBB	21 (4)	13 (4.7)	8 (3.2)	
RBBB	13 (2.5)	9 (3.2)	4 (1.6)	
Preoperative heart rhythm, n (%)				0.231
Sinus rhythm	401 (75.4)	207 (74.2)	194 (76.7)	
AF/flutter	114 (21.4)	59 (21.1)	55 (21.7)	
Paced	16 (3)	12 (4.3)	4 (1.6)	

Data are given as mean±SD or median (interquartile range) unless otherwise indicated. Data in brackets are number of patients with available data. AF indicates atrial fibrillation; AV, aortic valve; BAV, balloon aortic valvuloplasty; BMI, body mass index; CABG, coronary artery bypass grafting; CAD, coronary artery disease; COPD, chronic obstructive pulmonary disease; CVA, cerebrovascular accident; EF, ejection fraction; LBBB, left bundle-branch block; LMS, left main stem; LVEF, left ventricular; MI, myocardial infarction; PAD, peripheral artery disease; PCI, percutaneous coronary intervention; and RBBB, right bundle-branch block.

* Includes calcifications of LV outflow tract and aortic annulus.

MR, calcification of aorta, and previous balloon valvuloplasty), the HR_(BE versus SE) remained significant at 2.27 (95% CI, 1.1–4.68; $P=0.027$). When further adjusting for access route, HR_(BE versus SE) was 2.2 (95% CI, 1.06–4.58; $P=0.034$).

When selecting transfemoral cases only in the small valve cohort, there were 273 patients treated with SE valves and 244 patients treated with BE valves. At 1 year, SE survival was 96.5%, and at 3 years, it was 91.7%, compared with 92% and 87.4%, respectively, for BE valves ($P_{\log\text{-rank}}=0.165$). Although statistical significance was lost, the trend for reduced mortality remains as shown in Figure S3, despite the significantly older age of patients treated with SE valves (82.3±6.3 versus 81±8.2 years; $P=0.041$).

In propensity-matched patients treated with small THVs, there remained a trend for higher survival among the SE group at both 1 (97% SE versus 92.3% BE) and 3 (91.8% SE versus 78.7% BE) years ($P_{\log\text{-rank}}=0.096$) (Figure 2B).

Hemodynamic Performance

Total Cohort

With regard to hemodynamic device performance at discharge, those treated with an SE device demonstrated significantly lower peak (16.3±8.0 mmHg for SE valves versus 21.9±8.0 mmHg for the BE valves; $P<0.001$) and mean (8.8±5.0 mmHg for SE versus 11.5±5.0 mmHg for BE; $P<0.001$) gradients at discharge (Table 4).

The BE group demonstrated significantly lower rates of at least moderate residual aortic regurgitation (moderate or severe PVR) postoperatively (5.6% versus 0.7% for SE and BE valves, respectively; $P<0.001$; Table 4). Specifically, moderate or severe PVR was seen in 4.9% of cases treated with Evolut PRO/PRO+ valves versus 7.4% for those cases treated with Evolut R 34 mm versus 0.7% in cases treated with Edwards SAPIEN 3/Ultra valves ($P<0.001$; Figure 3A).

Small THV Cohort

On echocardiography at discharge, in patients with small THVs, the SE group had significantly lower aortic valve peak (18±10 mmHg for SE versus 26±10.4 mmHg for BE; $P<0.001$) and mean gradients (9.7±5.5 for SE versus 14±5.9 mmHg for BE; $P<0.001$; Table 4; Figure 3B).

The BE group demonstrated significantly lower rates of at least moderate residual aortic regurgitation (moderate or severe PVR) postoperatively (4% versus 1.2% for SE and BE valves, respectively; $P<0.001$; Table 4). Similar findings were found in the propensity-matched small THV cohort (Table 4).

Periprocedural Clinical End Points

The rate of new PPM required after the device implantation in initially pacemaker-free patients was similar for the S3/Ultra cohort compared with the SE valve group (14.4% for SE versus 13.4% for BE platform; $P=0.580$; Table 4). In patients with small THVs, these rates were lower (11.3% SE versus 9.4% BE; $P=0.503$) but still with no significant difference between the 2 groups. In the propensity-matched small THV group, the rates remained similar (Table 4).

In the total cohort, no statistical difference was recorded between valve groups for cerebrovascular accidents (3.2% versus 2.5% for SE and BE, respectively; $P=0.405$) and in-hospital mortality (1.7% versus 2.1% for SE and BE, respectively; $P=0.553$). No significant differences in other periprocedural complications were seen between the 2 groups in the total or the small THV cohorts (Table 4).

DISCUSSION

The current study is one of the first studies comparing, in a head-to-head manner, the latest generation devices of the 2 mainly used BE and SE platforms (Sapien3/Ultra and Evolut PRO/PRO+, respectively). In

Table 3. Baseline Demographic and Clinical Characteristics in the Propensity-Matched Small THV Cohort

Variable	Total population (N=278)	Evolut-Pro/Evolut R 34 mm (N=139)	S3-Ultra (N=139)	P value
General demographics				
Female sex, n (%)	224 (80.6)	114 (82)	110 (79.1)	0.650
Age, y	83 (78–87)	83 (78–88)	83 (77–87)	0.423
BMI, kg/m ²	26.7 (23.8–30.9)	26.6 (23–30.2)	26.8 (24.4–32)	0.114
Cardiovascular risk factors and medical history				
Diabetes, n (%)	78 (28.2)	42 (30.4)	36 (25.9)	0.4
Smoking, n (%)				
Ex smoker	101 (37.3)	57 (41.6)	44 (32.8)	0.209
Current smoker	4 (1.5)	3 (2.2)	1 (0.7)	
On dialysis, n (%)	6 (2.2)	1 (0.7)	5 (3.6)	0.214
Creatinine, mmol/L	79 (65–103)	78 (65–103)	80 (66–105)	0.498
Previous cardiac surgery, n (%)				
Isolated CABG	20 (7.2)	10 (7.2)	10 (7.2)	0.935
Valvular surgery	10 (3.6)	6 (4.3)	4 (2.9)	
CABG and valve	2 (0.7)	1 (0.7)	1 (0.7)	
Previous BAV, n (%)	0	0	0	
Previous PCI, n (%)	66 (23.7)	31 (22.3)	35 (25.2)	0.573
Previous MI, n (%)	29 (10.5)	16 (11.6)	13 (9.4)	0.542
PAD, n (%)	19 (6.8)	12 (8.6)	7 (5)	0.235
COPD or asthma, n (%)	60 (21.7)	31 (22.3)	29 (21)	0.098
Previous CVA, n (%)	12 (4.3)	4 (2.8)	8 (5.7)	0.627
Echocardiographic data				
LV function, n (%)				
Good (EF>50%)	250 (89.9)	122 (87.8)	128 (92.1)	0.430
Moderate (EF=30%–49%)	24 (8.6)	15 (10.8)	9 (6.5)	
Poor (EF<30%)	4 (1.4)	2 (1.4)	2 (1.4)	
Mean AV gradient, mmHg	47.8±18.4	48.6±19.3	46.9±17.5	0.460
Peak AV gradient, mmHg	76.4±28.4	75.8±30	76.9±26.9	0.770
Mitral regurgitation, n (%)				
None	61 (21.9)	29 (20.9)	32 (23)	
Mild	184 (66.2)	94 (67.6)	90 (64.7)	
Moderate	33 (11.9)	16 (11.5)	17 (12.2)	
Severe	0	0	0	
Bicuspid aortic valve, n (%)	1 (1.3)	0	1 (2.3)	1.0
Coronary angiography				
Extent of epicardial CAD, n (%)				
Single vessel	38 (14.2)	17 (12.4)	21 (16)	0.688
Two vessel	9 (3.4)	5 (3.6)	4 (3.1)	
Three vessel	8 (3.0)	3 (2.2)	5 (3.8)	
Significant LMS disease, n (%)	2 (1.5)	2 (1.5)	0	0.498
Computed tomography data				
Annulus perimeter, mm	71 (68.2–74.9)	71.1 (67.5–74.5)	71 (67.3–74.8)	0.769
Extensive calcification of the aorta, %*	0	0	0	N/A
ECG				
Bundle-branch block, n (%)				
None	216 (93.1)	114 (90.5)	102 (96.2)	0.190

(Continued)

Table 3. Continued

Variable	Total population (N=278)	Evolut-Pro/Evolut R 34 mm (N=139)	S3-Ultra (N=139)	P value
LBBB	8 (3.4)	6 (4.8)	2 (1.9)	
RBBB	4 (1.7)	2 (1.6)	2 (1.9)	
Preoperative heart rhythm, n (%)				
Sinus rhythm	206 (74.1)	105 (75.5)	101 (72.7)	0.034
AF/flutter	62 (22.3)	25 (18)	37 (26.6)	
Paced	10 (3.6)	9 (6.5)	1 (0.7)	

Data are given as median (interquartile range) unless otherwise indicated. AF indicates atrial fibrillation; AV, aortic valve; BAV, balloon aortic valvuloplasty; BMI, body mass Index; CABG, coronary artery bypass grafting; CAD, coronary artery disease; COPD, chronic obstructive pulmonary disease; CVA, cerebrovascular accident; EF, ejection fraction; LBBB, left bundle-branch block; LMS, left main stem; LVEF, left ventricular; MI, myocardial infarction; PAD, peripheral artery disease; PCI, percutaneous coronary intervention; RBBB, right bundle-branch block; and THV, transcatheter heart valve.

*Includes calcifications of LV outflow tract and aortic annulus.

this multicenter study, we demonstrated that although in all-comers midterm survival was similar between the 2 devices, in patients with smaller annuli there may be a survival advantage in those treated with SE valves. Furthermore, neither in the total nor in the small THV cohorts were there significant differences in new pacemaker, stroke, or periprocedural complications. The last generation BE platform was superior with regard

to residual PVR, whereas the SE representative demonstrated lower mean and peak gradients.

Both devices represent advanced evolutions of each platform following years of research and development, incorporated in materials and design. Advanced sealing at the lower segment of both devices (alongside elongation of the sealing skirt for the Ultra device) aimed to reduce PVR rates, whereas the last

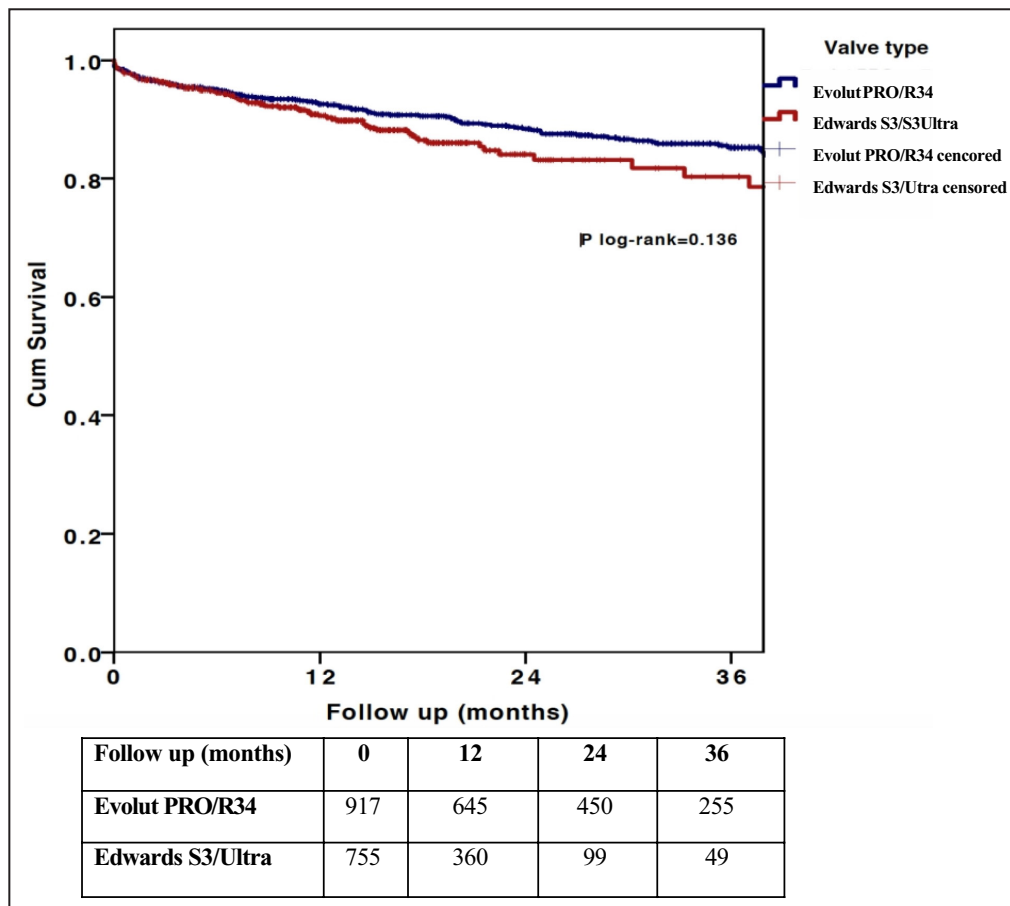


Figure 1. Midterm survival in all-comer patients with aortic stenosis treated with contemporary self-expanding or balloon-expandable valves. Cum indicates cumulative.

generation smaller profile delivery systems ensure deliverability via the transfemoral route in the majority of the cases. In addition to technological advancements, the cumulative operators' experience and newer implantation techniques (such as cusp overlap for SE valves) have led to a reduction of major complications and new PPM implantation rates.¹⁵

The CHOICE (Comparison of Transcatheter Heart Valves in High Risk Patients with Severe Aortic Stenosis) trial was the first trial to randomize high-risk patients with aortic stenosis undergoing transfemoral TAVI to a BE versus an SE valve. However, the valves included were early iterations (Corevalve versus SapienXT).¹⁶ At 5 years' follow-up, there was no difference in the cumulative incidence of death, cardiovascular death, stroke, and hospitalization, whereas there was a trend for higher PVR in patients treated with SE valves. However, the SE valves exhibited lower Doppler gradients across the SE valves,¹⁷ the significance of which remains to be determined in future randomized trials. The SOLVE-TAVI (Comparison of Second-Generation Self-Expandable vs. Balloon-Expandable Valves and General vs. Local Anaesthesia in Transcatheter Aortic Valve Implantation) was the second randomized trial, including 447 patients, comparing the SAPIEN 3 and Evolut-R platforms. At 30 days' follow-up, Evolut-R met the criteria for equivalence for the primary efficacy composite end point of all-cause mortality, stroke, moderate/severe PVR, and permanent pacemaker implantation.⁸

In the CENTER Collaboration study, 12381 patients from 10 registries or trials comparing BE versus SE TAVI valves were pooled and analyzed using propensity

matching.¹⁸ Mortality at 30 days was not statistically different, whereas subanalysis of the study including the Evolut-R device for the SE group showed comparable mortality but lower rates of strokes and new PPM implantation for the BE representative.¹⁸ The PORTICO-IDE (Portico Re-Sheathable Transcatheter Aortic Valve System US Investigational Device Exemption) trial¹⁹ was a head-to-head comparison of the first-generation Portico intra-annular SE valve versus supra-annular SE Medtronic and BE Edwards SAPIEN valves (all iterations). This failed to demonstrate noninferiority of the Portico system compared with the other 2 valves for their primary safety end point at 30 days.

The results from the overall cohort in the current study are in line with all previously reported randomized data with regard to midterm survival (ie, no significant difference). However, we have shown that in patients with smaller anatomies, there may be a signal for survival benefit in patients treated with SE valves. This could partially be a result of increased structural valve degeneration attributable to the higher gradients observed in the BE group,¹² or attributable to the higher rates of severe prosthesis-patient mismatch.^{9,20} The importance of the hemodynamic differences between SE and BE prostheses on clinical outcomes and valve durability in patients with small aortic valve annuli will be assessed in great detail in the ongoing randomized SMART (Small Annuli Randomized to Evolut or SAPIEN Trial; NCT04722250).²¹

Residual PVR has been shown to have a negative impact on outcomes when being more than moderate, and the BE platform was found to demonstrate lower rates of at least moderate PVR at discharge in

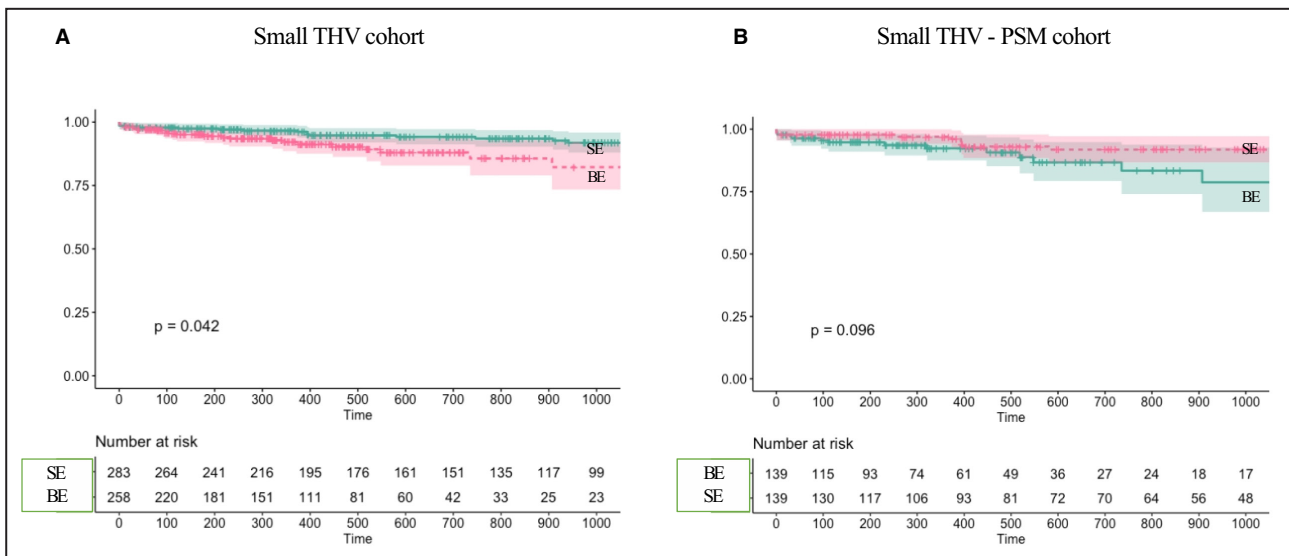


Figure 2. Midterm survival in patients with small THVs.

A, Increased midterm survival in the SE valve group among patients with aortic stenosis treated with small THVs. **B**, Midterm survival in PSM patients treated with small THVs. BE indicates balloon expandable; PSM, propensity score–matched; SE, self-expanding; and THV, transcatheter heart valve.

Table 4. Short-Term Clinical Outcomes as Defined by Valve Academic Research Consortium-2 Criteria and PredischARGE Echocardiographic Parameters

Variable	Total cohort			Small THV cohort			PSM small THV cohort		
	Evolut PRO/PRO+/Evolut R 34 mm (N=917)	S3/Ultra (N=756)	P value	Evolut PRO/PRO (N=284)	S3/Ultra (N=260)	P value	Evolut PRO/PRO+ (N=139)	S3/Ultra (N=139)	P value
PredischARGE echocardiographic parameters*									
Peak AV gradient, mm Hg	16.3±8.0 [772]	21.9±8.0 [581]	<0.001	18.0±10.0 [240]	26.0±10.4 [203]	<0.001	18±8.3	25.2±8.8	<0.001
Peak AV gradient ≥20 mm Hg	207 (26.8)	343 (59)	<0.001	82 (34.2)	151 (74.4)	<0.001	42 (36.8)	77 (73.3)	<0.001
Mean AV gradient, mm Hg	8.8±5.0 [756]	11.5±5 [513]	<0.001	9.7±5.5 [236]	14.0±5.9 [181]	<0.001	9.7±4.6	13.5±5.3	<0.001
Residual PVR (moderate/severe), n (%)	49 (5.6)	5 (0.7)	<0.001	11 (4)	3 (1.2)	<0.001	6 (4.4)	3 (2.2)	<0.001
Procedural complications, n (%)									
Valve malposition									
Valve migration	10 (1.2)	2 (0.3)	0.381	2 (0.7)	0	0.4	0	0	0.429
Valve embolization	1 (0.1)	1 (0.2)		1 (0.4)	0		0	0	
Ectopic valve deployment	3 (0.4)	2 (0.3)		0	1 (0.5)		0	1 (1)	
Bail out valve in valve	9 (1)	5 (0.7)	0.482	2 (0.7)	3 (1.2)	0.673	0	2 (1.5)	0.242
Tamponade	13 (1.5)	13 (1.8)	0.520	8 (2.9)	3 (1.2)	0.166	4 (2.9)	2 (1.5)	0.684
Conversion to full sternotomy	6 (0.7)	3 (0.5)	0.619	4 (1.5)	2 (1.0)	0.710	2 (2)	2 (1.5)	1.000
Periprocedural complications (during in-hospital stay)									
New PPM implantation†	114 (14.4)	81 (13.4)	0.58	29 (11.3)	19 (9.4)	0.503	10 (7.9)	7 (6.6)	0.698
Periprocedural MI	4 (0.4)	4 (0.5)	0.784	2 (0.7)	1 (0.4)	1.000	2 (1.4)	1 (0.7)	1.0
Bailout PCI	6 (0.7)	8 (1.1)	0.367	2 (0.7)	2 (0.8)	1.000	2 (1.5)	2 (2)	0.771
CVA	28 (3.2)	18 (2.5)	0.405	15 (5.6)	6 (2.4)	0.068	8 (6.1)	4 (3.0)	0.255
Postprocedural renal replacement	12 (1.4)	6 (1.0)	0.528	4 (1.5)	2 (1.1)	1.000	1 (0.7)	2 (2.1)	0.574
Acute kidney injury (stage 3)	27 (3.3)	8 (2)	0.422	10 (3.8)	3 (2.3)	0.438	3 (2.2)	2 (2.4)	0.683
Periprocedural bleeding complications (life threatening+major)	26 (3)	9 (1.8)	0.065	12 (4.4)	3 (1.7)	0.344	5 (3.7)	3 (2.7)	0.775
Vascular major complications	34 (3.9)	18 (2.5)	0.012	17 (6.2)	8 (3.2)	0.26	5 (3.6)	3 (2.2)	0.871
Death at discharge	15 (1.7)	15 (2.1)	0.553	4 (1.5)	4 (1.6)	1.000	2 (1.5)	2 (1.5)	1.000

Data are given as mean±SD unless otherwise indicated. Data in brackets are number of patients with available data. AV indicates aortic valve; CVA, cerebrovascular accident; MI, myocardial infarction; PCI, percutaneous coronary intervention; PPM, permanent pacemaker; PSM, propensity score matched; PVR, paravalvular regurgitation; and THV, transcatheter heart valve.

* N=878 Evolut group and N=726 Edwards SAPIEN group in all patients, and N=234 Evolut PRO and N=190 Edwards SAPIEN group in patients with small THVs.

† Excluding patients with previous PPM.

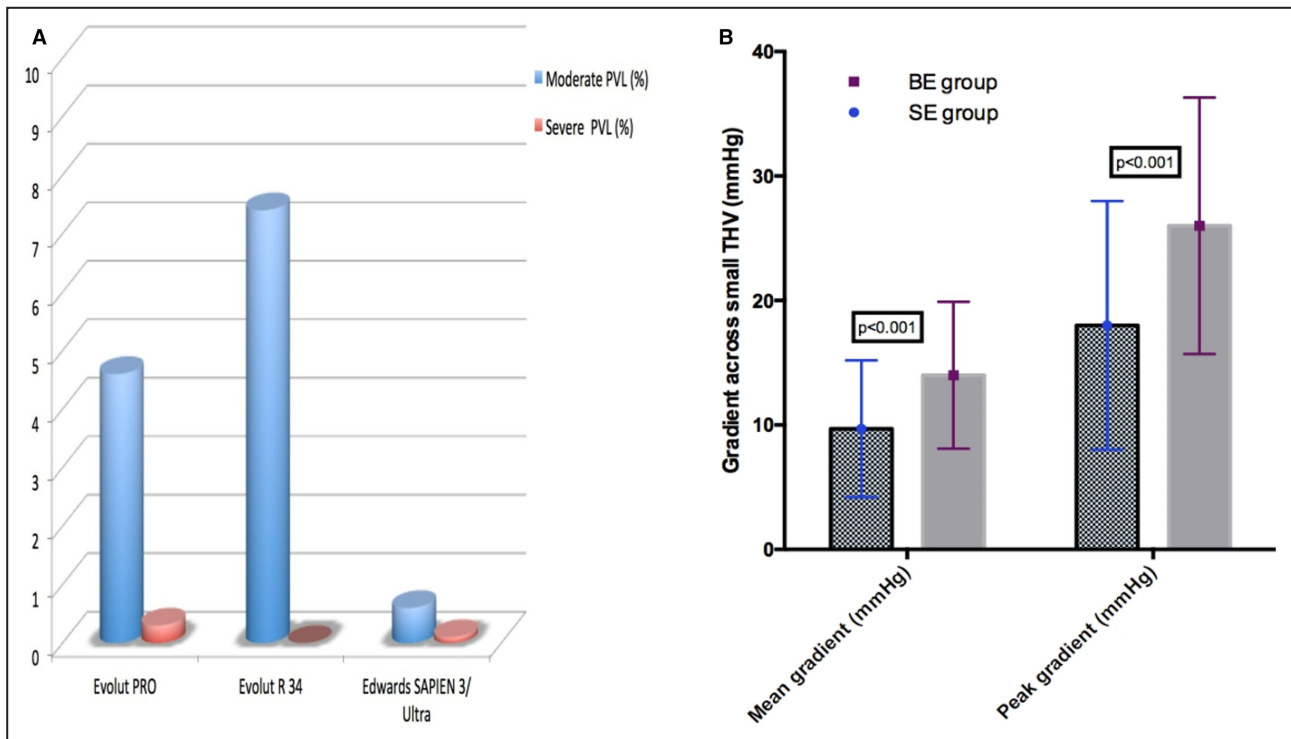


Figure 3. Hemodynamic performance of SE vs BE valves.

A, Higher rates of at least moderate PVL in patients with aortic stenosis treated with SE Evolut PRO or Evolut R 34-mm valves compared with the BE Edwards SAPIEN 3 or Ultra. **B**, Significantly lower transcatheter gradients at discharge echocardiography among patients with aortic stenosis with small transcatheter heart valves treated with contemporary SE valves. BE indicates balloon expandable; PVL, paravalvular leak; and SE, self-expanding.

registries,^{22,23} but not in randomized studies.⁸ One needs to take into account the presence of selection bias, given that for patients with extensive LV outflow tract calcification, extreme calcium scores, or smaller iliofemoral access, a preference is given to SE platforms with lower delivery profiles. As expected, patients with bulky leaflet and LV outflow tract calcium are also the ones more prone to PVR.²⁴ On the other hand, in line with previous reports, the present study confirmed a better hemodynamic performance for the latest SE valves, which is mainly attributed to its supra-annular design.^{17,25} Residual PVR after TAVI has emerged as an outcome of increasing importance given its link with future mortality, thus making all manufacturers aiming for low PVR rates. Contrary to 5 years' follow-up of CHOICE trial and SOLVE-TAVI, which showed no difference for at least moderate PVR, the FRANCE-TAVI nationwide registry demonstrated higher rates for previous generation SE valve device (15.5% versus 8.3% for SE and BE, respectively).²⁶ Our registry's results concur with the French data, likely reflecting the aforementioned selection bias. PVR rates of at least moderate regurgitation are significantly lower for the last-generation SE devices compared with previous designs (5.2% versus 15.5% for our study and FRANCE-TAVI, respectively).²⁵ This is largely attributable to the increased radial force and the

addition of a pericardial tissue skirt at the lower part of the Evolut PRO platform, aiming for enhanced sealing. In our data, there were significantly more vascular complications and a trend toward more bleeding with the SE valve. However, this should be taken into the context of selection bias, as for patients with smaller, heavily calcified iliofemoral accesses, a preference toward SE would have been exhibited by the operators.

Interestingly, the need for new PPM implantation after TAVI in preoperatively pacemaker-free patients was found to be similar between groups (14.4% versus 13.4% for SE and BE, respectively). Of interest, PPM rates are significantly lower for both devices compared with previous studies (23% versus 19.2% for SE and BE devices, respectively, in the SOLVE-TAVI trial) using older valve iterations. In a recent meta-analysis, Van Rosendael et al²⁷ reported rates of new PPM required after TAVI in a range of 14.7% to 26.7% for SE Medtronic Evolut-R and 4% to 24% for BE Edwards SAPIEN 3,²⁷ illustrating the variability of PPM rates in different registries reflecting patient confounding factors and potentially differential procedural practices and PPM implantation thresholds. The Evolut-PRO platform has led to reduction in new PPM rates compared with its "R" predecessor, leading to statistically similar rates with the BE platform.²⁵ This could be attributed to the

accumulating operator experience (implanting valves at optimal depth and use of the novel cusp overlap technique¹⁵), the refining of pacing indications after TAVI, and possibly the reduced pressure per mm² of tissue applied by the porcine pericardial wrap compared with the bare metallic frame of the R device.²⁵

With regard to cerebrovascular accidents after TAVI, the randomized SOLVE-TAVI trial reported higher rates for the BE platform during short-term follow-up (4.7% versus 0.5% for BE and SE, respectively).⁸ Contrary to that, 5 years' follow-up of the CHOICE trial showed similar stroke rates, which is in line with our short-term results (3.4% versus 2.7% for SE and BE, respectively).¹⁷

Study Limitations

This is a nonrandomized, retrospective study, which as such renders itself subject to selection, confounding, and time bias. Cox-regression analysis and propensity-matching methods aim to reduce the bias between the groups; however, unadjusted confounders cannot be tackled even with such methods. Furthermore, in our center, the BE technology was introduced at a later time, leading to shorter follow-up times for BE valve treated patients. However, to date, it is one of the first real-world, multicenter studies comparing outcomes between the latest-generation devices of the 2 main representatives of SE and BE platforms. It is not always easy to randomize all-comer patients for TAVI into different type of devices because of individual factors where a specific valve type might be favored (eg, LV outflow tract calcification).

The absence of a core laboratory may render our results susceptible to bias; however, all patients included in the analysis were scanned at the same echocardiographic department in each center, and parameters were reported in a standardized manner. Large anatomies in the SE group have been treated with the previous generation Evolut-R 34-mm valve, as the PRO 34mm was not available for this group of patients until recently.²⁸ Such generational changes should always be accounted for when applying results of trials to current status of devices. The absence of detailed data on prosthesis-patient mismatch and structural valve degeneration in the current cohort is another limitation that should be taken into account. However, surrogate markers, such as mean and peak gradient, indicate higher velocities across BE valves, which could potentially render themselves more prone to structural valve degeneration. Last but not least, the lack of readmission outcome data (particularly for heart failure admissions) should be acknowledged.

CONCLUSIONS

Real-world comparison of the last-generation BE and SE devices demonstrates similar midterm survival and

major periprocedural complications rates. The BE devices exhibited lower rates of residual at least moderate PVR, at the expense, however, of significantly higher transvalvular gradients. Furthermore, in patients treated with small THVs, SE devices appear to demonstrate a trend for improved survival compared with their BE counterparts. Given, however, the retrospective nature of these findings, they should be interpreted with caution until randomized evidence comes to light.

ARTICLE INFORMATION

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Supplemental Material

Table S1
Figure S1–S3

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SUPPLEMENTAL MATERIAL

Table S1. Univariable and multivariable predictors of mid-term mortality

	Univariable				Multivariable (N=336)			
	HR	95%CI		P value	HR	95%CI		P value
Age	1.18	0.63	2.19	0.609	1.05	0.992	1.12	0.091
Female sex	1.33	0.6	3.0	0.485	1.05	0.38	2.92	0.928
Haemodialysis	3.28	0.97	11.07	0.056	0.382	0.009	15.703	0.612
baseline Creatinine	1.004	1.001	1.008	0.016	1.01	0.997	1.017	0.168
baseline Severe PVR	7.5	1.003	56.75	0.050	10.8	0.599	194.8	0.107
post TAVI CVA post	3.52	1.38	8.95	0.008	6.01	2.16	16.77	0.001
TAVI	1.48	0.97	2.24	0.069	1	0.46	2.17	0.996
AKI post TAVI	6.15	1.46	25.86	0.013	2.6	0.161	42	0.5
New renal replacement therapy	1.88	1.02	3.48	0.045	1.86	0.78	4.47	0.163

BE vs. SE

CI: confidence interval, PVR: paravalvular regurgitation, CVA: cerebrovascular accident, TAVI: transcatheter aortic valve implantation, AKI: acute kidney injury, BE: balloon expandable, SE: self-expanding

Figure S1. Relative multivariate imbalance

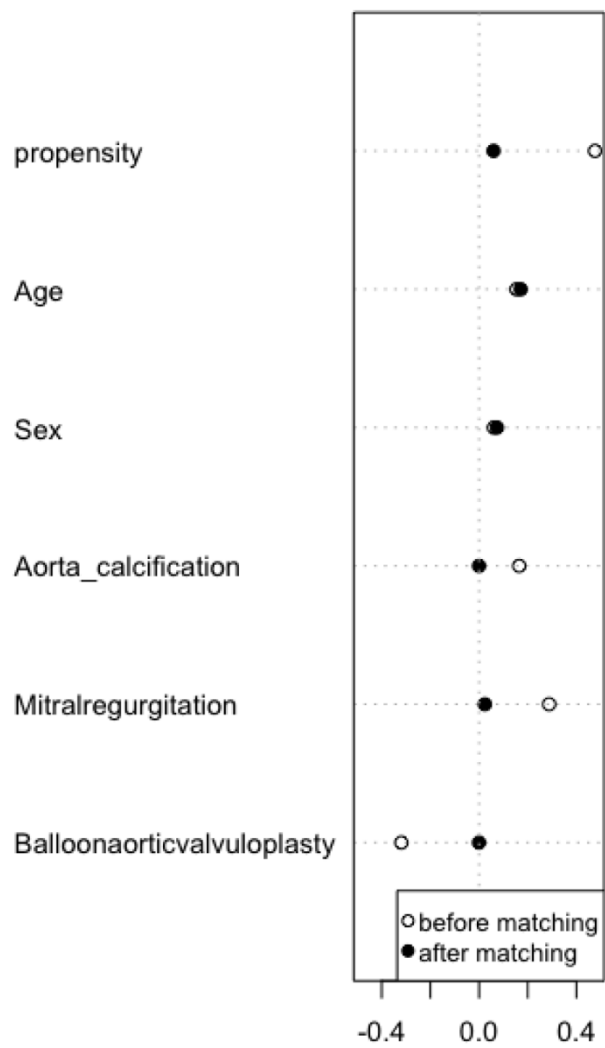
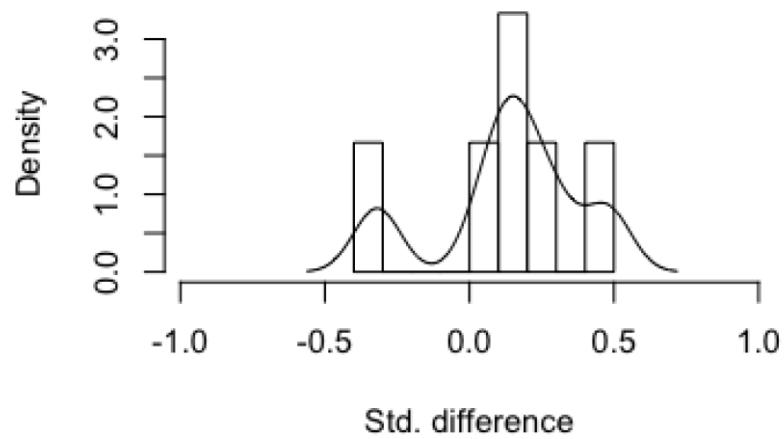


Figure S2. Standardized differences before and after matching

Standardized differences before matching



Standardized differences after matching

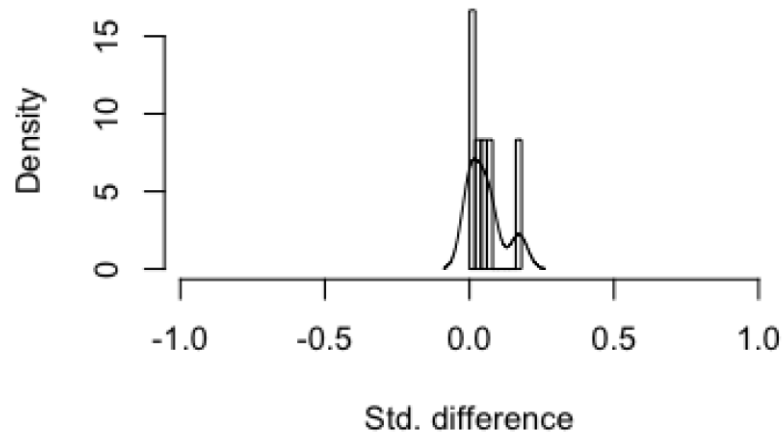
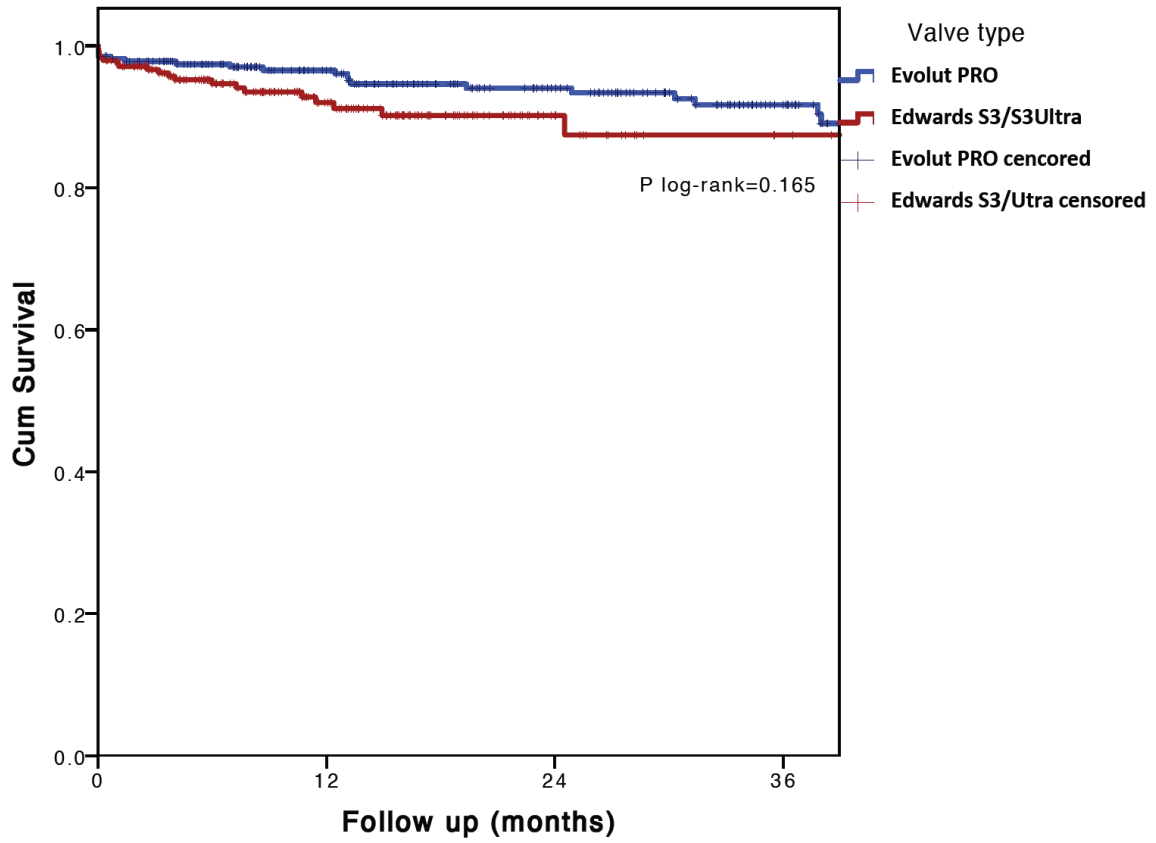


Figure S3. Mid-term survival in small transcatheter heart valve (THV) patients with transfemoral access.



Follow up (months)	0	12	24	36
Evolut PRO	273	203	146	80
Edwards S3/Ultra	244	114	35	16