

Real-World Injection Frequency and Cost of Ranibizumab and Aflibercept for the Treatment of Neovascular Age-Related Macular Degeneration and Diabetic Macular Edema

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ABSTRACT

BACKGROUND: Ranibizumab and aflibercept are FDA-approved treatments for patients with neovascular age-related macular degeneration (nAMD) and diabetic macular edema (DME). Although these agents differ in cost and labeled dosing, it is unclear whether these differences are reflected in clinical practice.

OBJECTIVE: To compare the real-world frequency and cost of ranibizumab and aflibercept injections among treatment-naive and previously treated patients with nAMD and DME.

METHODS: Claims data from MarketScan Research Databases were retrospectively reviewed to identify treatment-naive patients with nAMD who initiated intravitreal ranibizumab or aflibercept between January 1, 2014, and January 1, 2016, and treatment-naive patients with DME who initiated intravitreal ranibizumab or aflibercept between July 29, 2014, and July 1, 2016. Patients who switched to subsequent-line aflibercept or ranibizumab during the study period were eligible to enter previously treated subgroups. Multivariable regression models were derived to compare the per-patient frequency and cost of injections between ranibizumab- and aflibercept-treated patients with nAMD over 12 months (treatment-naive: n=1,087 and n=1,578; previously treated: n=221 and n=751) and 24 months (treatment-naive: n=454 and n=568; previously treated: n=93 and n=284) and in patients with DME over 6 months (treatment-naive: n=507 and n=681; previously treated: n=53 and n=223) and 12 months (treatment-naive: n=326 and n=382; previously treated: n=24 and n=122).

RESULTS: After adjusting for patient demographics and clinical characteristics, per-patient injection frequency and cost were not significantly different between treatment-naive patients with nAMD who received ranibizumab versus aflibercept over 12 months (5.62 vs. 5.54; $P=0.52$, and \$11,351 vs. \$10,702; $P=0.06$, respectively) and 24 months (7.86 vs. 8.37; $P=0.16$, and \$16,286 vs. \$16,666; $P=0.69$, respectively). In previously treated patients with nAMD, injection frequency was significantly lower among ranibizumab- versus aflibercept-treated patients over 24 months (7.98 vs. 9.63; $P=0.03$), whereas treatment costs were comparable over 12 months (\$11,512 vs. \$12,050; $P=0.44$) and 24 months (\$16,303 vs. \$19,361; $P=0.13$). In treatment-naive patients with DME, ranibizumab was associated with significantly fewer injections and lower costs than aflibercept over 6 months (2.60 vs. 2.92 and \$3,379 vs. \$5,925, respectively; both $P<0.001$) and 12 months (3.33 vs. 3.87 and \$4,136 vs. \$7,656, respectively; both $P<0.001$). Similar cost savings were observed among previously treated patients with DME who received ranibizumab over 6 months (\$3,834 vs. \$6,775 for aflibercept; $P=0.0001$) and 12 months (\$4,606 vs. \$9,190; $P=0.02$), despite nonsignificant differences in injection frequency during follow-up.

CONCLUSIONS: Although the frequency and cost of ranibizumab and aflibercept injections were generally comparable among patients treated

for nAMD, ranibizumab was associated with estimated per-patient-per-year cost savings of \$3,500-\$4,500 in those treated for DME. Most patients received fewer injections than any FDA-indicated dosing schedule, suggesting potential undertreatment that may result in suboptimal vision outcomes.

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What is already known about this subject

- Aflibercept 2.0 mg (wholesale acquisition cost [WAC] \$1,850) is approved for the treatment of neovascular age-related macular degeneration (nAMD) and diabetic macular edema (DME), whereas ranibizumab 0.5 mg (WAC \$1,950) and 0.3 mg (WAC \$1,170) are indicated for nAMD and DME, respectively.
- Prescribing information for ranibizumab and aflibercept in nAMD and DME suggest that, over time, comparatively fewer aflibercept injections are required to achieve optimal patient outcomes.
- Evidence suggests that retina specialists are more likely to administer treatment according to “treat and observe” or “treat and extend” protocols; as such, real-world injection frequencies are similar between ranibizumab and aflibercept and lower than labeled dosing schedules.

What this study adds

- This study evaluates real-world patterns of ranibizumab and aflibercept therapy in treatment-naive and previously treated patients with nAMD and DME.
- Compared with aflibercept, ranibizumab was estimated to be cost saving in treatment-naive and previously treated patients with DME over 6 and 12 months; savings were driven by the significantly lower cost of ranibizumab 0.3 mg versus aflibercept 2.0 mg.
- Data suggest that a significant proportion of patients in clinical practice receive fewer than the recommended number of ranibizumab and aflibercept injections for nAMD and DME, highlighting potential undertreatment that may lead to suboptimal vision outcomes.

Age-related macular degeneration and diabetic retinopathy are leading causes of blindness in the United States.¹⁻³ The burden associated with these conditions is largely attributed to progressive disease and development of neovascular age-related macular degeneration (nAMD) and diabetic macular edema (DME), respectively. In nAMD, exudative choroidal neovascularization leads to irreversible central vision loss,⁴ whereas DME is characterized by swelling or thickening of the macula and subsequent central vision impairment.⁵ Vascular endothelial growth factor (VEGF) is implicated in nAMD and DME pathogenesis due to its key role in regulating angiogenesis and vascular permeability.^{4,5} Increased VEGF expression mediates choroidal neovascularization characteristic of nAMD,^{4,6-8} leading to exudate leakage, hemorrhage, and subretinal scarring. In DME, VEGF overexpression promotes increased retinal vascular permeability,^{5,9,10} resulting in fluid and protein accumulation in the macula. Intravitreal anti-VEGF therapy has been shown to improve visual and anatomic outcomes in patients with nAMD and DME and has subsequently become the standard of care for these conditions.^{11,12}

At present, 2 anti-VEGF agents are approved by the U.S. Food and Drug Administration (FDA) for the treatment of nAMD and DME. Ranibizumab was approved for nAMD in 2006 and DME in 2012,¹³ whereas aflibercept was approved for nAMD in 2011 and DME in 2014.¹⁴ Other anti-VEGF therapies include pegaptanib, approved for nAMD in 2004 and used off-label for DME,¹⁵ and bevacizumab, used off-label for both nAMD and DME.¹⁶ Intravitreal injections of ranibizumab and aflibercept provide similar therapeutic benefits in patients with nAMD^{17,18} and DME^{19,20}; however, they differ in wholesale acquisition cost (WAC) and FDA-approved dosage and administration.

Approved labeling for ranibizumab and aflibercept each describe 3 injection schedules for nAMD. In this indication, ranibizumab 0.5 mg (WAC \$1,950) is recommended monthly (q4w; ≈12 injections over 1 year). Alternatively, patients may receive ranibizumab 0.5 mg as needed (pro re nata [PRN]) after 3 monthly loading doses or 1 dose every 3 months (q12w) after 4 monthly loading doses; however, these regimens may be less effective.¹³ In comparison, aflibercept 2.0 mg (WAC \$1,850) is recommended once every 2 months (q8w) after 3 monthly loading doses (≈8 injections in the first year). More frequent dosing of aflibercept 2.0 mg (q4w) is approved but provides no additional efficacy, whereas less frequent dosing (q12w after 12 months of effective therapy) is approved but may be less effective.¹⁴

In DME, ranibizumab 0.3 mg (WAC \$1,170) is recommended q4w (≈12 injections over 1 year),¹³ whereas aflibercept 2.0 mg is recommended q8w after 5 monthly loading doses (≈9 injections in the first year). Although aflibercept 2.0 mg may be administered more frequently (q4w), no additional efficacy has been demonstrated with this regimen.¹⁴

Differences in cost and injection frequency between ranibizumab and aflibercept likely account for differences in health care resource utilization and expenditure. However, it is unclear whether treatment patterns in routine clinical practice reflect FDA-approved labeling. Preferences and Trends Surveys conducted by the American Society of Retina Specialists indicate that physicians are more likely to treat nAMD based on optical coherence tomography measures of disease activity rather than vision loss or labeled treatment schedules.^{21,22} For example, 2 common treatment protocols for nAMD include “treat and observe,” where injections are administered PRN upon signs of recurrent exudation, and “treat and extend,” where injection intervals are extended while the macula remains dry.^{22,23} Although PRN treatment of nAMD is approved for ranibizumab, treat and extend regimens are not recommended for either ranibizumab or aflibercept.^{13,14}

Recent studies of treatment-naïve patients with nAMD found that the frequency and cost of aflibercept and ranibizumab injections were comparable in the first year of treatment^{24,25}; however, there is a paucity of data over longer time periods, in previously treated patients, and in the DME population. This retrospective analysis of U.S. claims data compared the real-world frequency and cost of ranibizumab and aflibercept injections among treatment-naïve and previously treated patients with nAMD and DME.

Methods

Data Source

Health insurance claims data from January 1, 2013, to December 31, 2016 (study period), were extracted from the IBM MarketScan Commercial Claims and Encounters (Commercial), Medicare Supplemental and Coordination of Benefits (Medicare Supplemental), and Early View databases (IBM Watson Health, Cambridge, MA). These databases contain information relating to adjudicated inpatient, outpatient, and drug prescription claims for the U.S. working population, their dependents, and retirees with employer-sponsored primary or Medicare supplemental insurance. Data stored within MarketScan Research Databases are statistically deidentified and Health Insurance Portability and Accountability Act compliant.²⁶

Study Population

Patients in the nAMD cohort initiated intravitreal ranibizumab or aflibercept between January 1, 2014, and January 1, 2016 (allowing ≥12 months of follow-up). This period was intended to capture recent treatment patterns in an adequate number of patients with ≥24 months of follow-up. Patients in the DME cohort initiated intravitreal ranibizumab or aflibercept between July 29, 2014 (FDA approval date for aflibercept in DME), and July 1, 2016 (allowing ≥6 months of follow-up). Index dates for each patient were defined as the date of first

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exposure to ranibizumab or aflibercept, and the 12 months preceding each index date was designated the baseline period.

Included patients were aged ≥ 18 years at the index date and had continuous medical and prescription insurance throughout the baseline period. Patients with no evidence of bevacizumab, ranibizumab, aflibercept, or pegaptanib treatment between January 1, 2005, and the index date were initially included in treatment-naive subgroups and were subsequently eligible for inclusion in previously treated subgroups after switching anti-VEGF therapy during the study period. Patients with nAMD or DME were identified by *International Classification of Diseases, Ninth/Tenth Revision, Clinical Modification* (ICD-9/10-CM) diagnosis codes recorded at the index date or during the baseline period; evidence of intravitreal anti-VEGF treatment was based on Healthcare Common Procedure Coding System (HCPCS) and Current Procedural Terminology codes (available from the authors upon request).^{27,28}

Patients with outpatient prescription claims for ranibizumab, bevacizumab, aflibercept, or pegaptanib treatment at any point in their administrative claims history and/or evidence of bilateral intravitreal anti-VEGF treatment during the anti-VEGF exposure period were excluded. Patients with ICD-9/10-CM codes for DME or retinal vein occlusion during baseline or follow-up periods were excluded from the nAMD cohort; those with diagnosis codes for nAMD or retinal vein occlusion were excluded from the DME cohort.

Follow-Up and Outcome Measures

Follow-up was defined as the time from the index date until treatment was switched to a different anti-VEGF agent, disenrollment from health insurance, inpatient death, or study end, whichever occurred first. A study end date of December 31, 2016, ensured that $\geq 95\%$ of claims during follow-up were adjudicated at the time of data extraction. Treatment-naive patients who switched to subsequent-line aflibercept or ranibizumab during the study period were eligible to enter previously treated subgroups (with associated index date and baseline periods), provided their follow-up period was of sufficient duration.

Analyses compared ranibizumab and aflibercept therapy across 8 different subgroups: treatment-naive and previously treated nAMD over 12 and 24 months and treatment-naive and previously treated DME over 6 and 12 months. Primary outcomes were per-patient frequency and cost of ranibizumab and aflibercept injections in each subgroup. Treatment costs were measured using the payment fields on extracted claims and included gross covered payments for the anti-VEGF agent alone (i.e., amount eligible for payment after applying pricing guidelines such as fee schedules and discounts). Costs associated with intravitreal administration were not considered because they were assumed to be identical for ranibizumab and aflibercept.

In secondary analyses, the number of ranibizumab and aflibercept injections received by treatment-naive patients were grouped according to FDA-indicated injection schedules. For treatment-naive patients with nAMD, the number of injections received over 12 months was categorized as follows: (a) ≥ 11 injections, to reflect ranibizumab and aflibercept q4w regimens (≈ 12 injections)^{13,14}; (b) ≥ 7 injections, reflecting PRN ranibizumab in HARBOR (mean 7.7 injections),²⁹ ranibizumab q12w after 4 monthly loading doses (≈ 7 injections),¹³ and aflibercept q8w after 3 monthly loading doses (≈ 8 injections)¹⁴; (c) ≥ 3 injections, to reflect loading doses in ranibizumab q12w and aflibercept q8w regimens; or (d) 1-2 injections, to capture other dosing scenarios. For treatment-naive patients with nAMD and 24 months of follow-up, injection frequency was grouped as follows: (a) ≥ 23 injections, to reflect ranibizumab and aflibercept q4w regimens (≈ 24 injections)^{13,14}; (b) ≥ 13 injections, reflecting PRN ranibizumab in HARBOR (mean 13.3 injections)³⁰ and aflibercept q8w after 3 monthly loading doses (≈ 14 injections)¹⁴; (c) ≥ 3 injections, to reflect loading doses in PRN ranibizumab and aflibercept q8w regimens; or (d) 1-2 injections, to capture other dosing scenarios.

For treatment-naive patients with DME, the number of ranibizumab and aflibercept injections received over 12 months was categorized as follows: (a) ≥ 11 injections, to reflect ranibizumab and aflibercept q4w regimens (≈ 12 injections)^{13,14}; (b) ≥ 8 injections, reflecting aflibercept q8w after 5 monthly loading doses (≈ 9 injections)¹⁴; (c) ≥ 5 injections, reflecting aflibercept q8w loading doses; or (d) 1-4 injections, to capture other dosing scenarios. Injection thresholds for each dosing category included an allowable margin of 1 injection to account for strict cutoffs to follow-up in this study (e.g., q4w dosing over 12 months was defined as ≥ 11 injections, rather than ≥ 12 injections).

Covariates

Patient demographics measured at the index date included age, sex, U.S. Census Bureau geographic region, urban or rural residence, insurance plan type, primary payer, and median household income. Clinical characteristics measured throughout the baseline period included nonophthalmic comorbidities and medications (nonmelanoma cancer, hypertension, diabetes, dyslipidemia, nonsteroidal anti-inflammatory drugs, and glucocorticoids); ophthalmic comorbidities and treatments (cataract, glaucoma, cataract surgery, and intravitreal steroid injections); and general health status indices (Deyo Charlson Comorbidity Index,³¹ unique ICD-9/10-CM diagnosis codes, unique National Drug Code numbers,³² and total health care expenditure).

Statistical Analyses

For each patient subgroup, data were reported using bivariate descriptive summary statistics, stratified by treatment

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TABLE 1 Baseline Demographics and Clinical Measurements of RBZ- and AFL-Treated Patients with nAMD

Characteristic	Treatment-Naive nAMD				Previously Treated nAMD			
	≥ 12 Months of Follow-up		≥ 24 Months of Follow-up		≥ 12 Months of Follow-up		≥ 24 Months of Follow-up	
	RBZ (n = 1,087)	AFL (n = 1,578)	RBZ (n = 454)	AFL (n = 568)	RBZ (n = 221)	AFL (n = 751)	RBZ (n = 93)	AFL (n = 284)
Patient demographics^a								
Age, years, mean (SD)	79.8 (10.4)	79.2 (10.1)	79.8 (9.8)	79.5 (10.0)	78.1 (10.6)	78.0 (10.4)	76.5 (12.0)	77.5 (10.7)
Female, n (%)	685 (63.0)	985 (62.4)	296 (65.2)	364 (64.1)	135 (61.1)	425 (56.6)	56 (60.2)	152 (53.5)
Index year, n (%)								
2014	608 (55.9)	677 (42.9)	454 (100)	564 (99.3)	129 (58.4)	365 (48.6)	93 (100)	283 (99.6)
2015	479 (44.1)	901 (57.1)	0 (0)	4 (0.7)	92 (41.6)	386 (51.4)	0 (0)	1 (0.4)
Geographic region, n (%)								
Northeast	280 (25.8)	470 (29.8)	124 (27.3)	151 (26.6)	64 (29.0)	235 (31.3)	25 (26.9)	97 (34.2)
Midwest	377 (34.7)	588 (37.3)	167 (36.8)	232 (40.8)	52 (23.5)	216 (28.8)	23 (24.7)	85 (29.9)
South	329 (30.3)	432 (27.4)	130 (28.6)	155 (27.3)	74 (33.5)	222 (29.6)	31 (33.3)	74 (26.1)
West	97 (8.9)	87 (5.5)	31 (6.8)	29 (5.1)	31 (14.0)	78 (10.4)	14 (15.1)	28 (9.9)
Unknown	4 (0.4)	1 (0.1)	2 (0.4)	1 (0.2)	0 (0)	0 (0)	0 (0)	0 (0)
Population density, n (%)								
Urban	940 (86.5)	1,346 (85.3)	396 (87.2)	476 (83.8)	193 (87.3)	633 (84.3)	78 (83.9)	237 (83.5)
Rural	143 (13.2)	232 (14.7)	56 (12.3)	92 (16.2)	28 (12.7)	118 (15.7)	15 (16.1)	47 (16.5)
Unknown	4 (0.4)	0 (0)	2 (0.4)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)
Health plan type, n (%)								
FFS	424 (39.0)	625 (39.6)	179 (39.4)	232 (40.8)	76 (34.4)	255 (34.0)	28 (30.1)	100 (35.2)
HMO	43 (4.0)	47 (3.0)	20 (4.4)	17 (3.0)	21 (9.5)	61 (8.1)	10 (10.8)	18 (6.3)
POS	54 (5.0)	67 (4.2)	28 (6.2)	19 (3.3)	9 (4.1)	37 (4.9)	2 (2.2)	9 (3.2)
PPO	532 (48.9)	798 (50.6)	215 (47.4)	294 (51.8)	107 (48.4)	381 (50.7)	48 (51.6)	151 (53.2)
Other	34 (3.1)	41 (2.6)	12 (2.6)	6 (1.1)	8 (3.6)	17 (2.3)	5 (5.4)	6 (2.1)
Primary payer type, n (%)								
Commercial	112 (10.3)	154 (9.8)	41 (9.0)	47 (8.3)	30 (13.6)	98 (13.0)	17 (18.3)	40 (14.1)
Medicare	975 (89.7)	1,424 (90.2)	413 (91.0)	521 (91.7)	191 (86.4)	653 (87.0)	76 (81.7)	244 (85.9)
Median household income, USD, mean (SD)	51,939 (17,911)	49,131 (17,114)	52,227 (18,599)	48,655 (16,649)	50,659 (18,566)	49,754 (17,442)	49,724 (18,393)	49,216 (17,209)
Clinical measurements^b								
Nonophthalmic comorbidities and medications, n (%)								
Nonmelanoma cancer	124 (11.4)	174 (11.0)	55 (12.1)	61 (10.7)	27 (12.2)	87 (11.6)	8 (8.6)	26 (9.2)
Dyslipidemia	736 (67.7)	1,074 (68.1)	311 (68.5)	372 (65.5)	147 (66.5)	506 (67.4)	62 (66.7)	179 (63.0)
NSAIDs	134 (12.3)	211 (13.4)	46 (10.1)	75 (13.2)	23 (10.4)	87 (11.6)	14 (15.1)	24 (8.5)
Glucocorticoids	129 (11.9)	212 (13.4)	51 (11.2)	74 (13.0)	34 (15.4)	101 (13.4)	15 (16.1)	32 (11.3)
Diabetes (type 1 or 2)	202 (18.6)	324 (20.5)	92 (20.3)	112 (19.7)	34 (15.4)	161 (21.4)	16 (17.2)	62 (21.8)
Ophthalmic comorbidities and treatments, n (%)								
Cataracts	403 (37.1)	626 (39.7)	168 (37.0)	201 (35.4)	79 (35.7)	269 (35.8)	36 (38.7)	104 (36.6)
Glaucoma	249 (22.9)	340 (21.5)	111 (24.4)	123 (21.7)	47 (21.3)	156 (20.8)	19 (20.4)	55 (19.4)
Cataract surgery	107 (9.8)	157 (9.9)	46 (10.1)	56 (9.9)	19 (8.6)	69 (9.2)	9 (9.7)	24 (8.5)
Intravitreal steroid injection	7 (0.6)	9 (0.6)	4 (0.9)	4 (0.7)	5 (2.3)	9 (1.2)	2 (2.2)	3 (1.1)

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(aflibercept or ranibizumab). Poisson quasi-likelihood multivariable regression was used to model the frequency of ranibizumab and aflibercept injections during follow-up, and incidence rate ratios were estimated using ranibizumab as the reference category. To handle overdispersion in the outcome distribution, a scale parameter, estimated by the square root of deviance divided by degrees of freedom, was used to adjust

the regression. Similarly, multivariable generalized linear models with a log link and gamma error distribution were used to model the cost of ranibizumab and aflibercept injections during follow-up, and cost ratios were estimated using ranibizumab as the reference category. The recycled prediction method was used to generate predicted mean cost differences between ranibizumab- and aflibercept-treated patients. Models

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TABLE 1 Baseline Demographics and Clinical Measurements of RBZ- and AFL-Treated Patients with nAMD (continued)

Characteristic	Treatment-Naive nAMD				Previously Treated nAMD			
	≥ 12 Months of Follow-up		≥ 24 Months of Follow-up		≥ 12 Months of Follow-up		≥ 24 Months of Follow-up	
	RBZ (n = 1,087)	AFL (n = 1,578)	RBZ (n = 454)	AFL (n = 568)	RBZ (n = 221)	AFL (n = 751)	RBZ (n = 93)	AFL (n = 284)
Health status indices, mean (SD)								
Deyo Charlson Comorbidity Index	1.5 (1.9)	1.4 (1.7)	1.5 (1.9)	1.3 (1.7)	1.4 (1.9)	1.4 (1.6)	1.1 (1.5)	1.4 (1.6)
Unique ICD-9/10-CM diagnoses	18.2 (11.0)	17.8 (10.3)	16.9 (10.3)	16.1 (9.0)	17.1 (10.1)	17.3 (9.9)	15.7 (10.8)	16.2 (9.5)
Unique National Drug Code numbers	12.3 (8.6)	11.9 (8.1)	12.4 (8.9)	11.7 (7.7)	11.4 (7.9)	11.2 (7.7)	11.8 (8.8)	10.6 (7.3)
Total health care expenditure, USD	21,125 (47,866)	18,304 (34,715)	19,143 (37,813)	18,693 (43,423)	23,096 (41,554)	21,292 (28,252)	21,225 (33,782)	21,031 (31,439)
Median total health care expenditure, USD	8,791	8,469	8,203	7,977	11,857	14,765	10,715	14,001
Unadjusted frequency and cost of anti-VEGF injections^c								
Injection frequency, mean (SD)	5.60 (3.11)	5.56 (2.95)	7.88 (5.69)	8.35 (5.53)	5.95 (3.24)	6.09 (3.06)	8.04 (6.27)	9.60 (5.98)
P value	0.70		0.18		0.53		0.03	
Injection cost, USD, mean (SD)	11,383 (7,805)	10,681 (8,178)	16,515 (14,833)	16,510 (14,995)	11,617 (7,975)	12,007 (7,904)	16,544 (16,193)	19,120 (13,465)
P value	0.03		1.00		0.52		0.13	

^aPatient demographics were measured at the index date for each anti-VEGF treatment exposure.

^bClinical measurements were measured during the 12-month baseline period for each anti-VEGF treatment exposure.

^cUnadjusted injection frequencies and costs were measured over the specified length of follow-up (12 and 24 months). In patients with > 12 and > 24 months of follow-up, data were censored after the first 12 and 24 months, respectively.

AFL = aflibercept; FFS = fee-for-service; HMO = health maintenance organization; ICD-9/10-CM = International Classification of Diseases, Ninth/Tenth Revision, Clinical Modification; nAMD = neovascular age-related macular degeneration; NSAID = nonsteroidal anti-inflammatory drug; POS = point-of-service; PPO = preferred provider organization; RBZ = ranibizumab; SD = standard deviation; USD = U.S. dollars; VEGF = vascular endothelial growth factor.

were fitted separately for treatment-naive and previously treated subgroups. To avoid treatment bias and account for possible differences between patients likely to receive ranibizumab versus aflibercept, analyses were adjusted for patient demographics, baseline clinical characteristics, indices of general health status, baseline treatments, and other covariates. Statistical significance was defined as $P \leq 0.05$.

Results

Study Population

A total of 173,434 patients were eligible for inclusion in the nAMD cohort (Appendix A, available in online article). After exclusions, 24,200 patients who initiated anti-VEGF therapy were identified, of whom 6,262 (25.9%) were treatment-naive and first received ranibizumab or aflibercept therapy on or after January 1, 2014. Excluding those with insufficient follow-up of < 12 months, 1,087 ranibizumab-treated and 1,578 aflibercept-treated patients had ≥ 12 months of follow-up; 454 ranibizumab-treated and 568 aflibercept-treated patients had ≥ 24 months of follow-up.

Among the 24,200 patients with nAMD identified, 2,609 (10.8%) switched to subsequent-line aflibercept or ranibizumab during the study period and were eligible to enter previously

treated subgroups. Of these, 221 ranibizumab-treated and 751 aflibercept-treated patients were included in 12-month analyses, whereas 93 ranibizumab-treated and 284 aflibercept-treated patients were included in 24-month analyses. Across all nAMD subgroups, minor differences were observed for geographic region and index year among patients with ≥ 12 months of follow-up; otherwise, baseline demographics and clinical measurements were well balanced between treatments (Table 1).

In total, 96,620 patients were eligible for inclusion in the DME cohort (Appendix B, available in online article). After exclusions, 6,156 patients who initiated anti-VEGF therapy were identified, of whom 2,100 (34.1%) were treatment-naive and first received ranibizumab or aflibercept therapy on or after July 29, 2014. Excluding those with insufficient follow-up of < 6 months, 507 ranibizumab-treated and 681 aflibercept-treated patients had ≥ 6 months of follow-up; 326 ranibizumab-treated and 382 aflibercept-treated patients had ≥ 12 months of follow-up.

Among the 6,156 patients with DME identified, 531 (8.6%) switched to subsequent-line ranibizumab or aflibercept therapy during the study period and were eligible to enter previously treated subgroups. Of these, 53 ranibizumab-treated and 223 aflibercept-treated patients were included in 6-month analyses,

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TABLE 2 Baseline Demographics and Clinical Measurements of RBZ- and AFL-Treated Patients with DME

Characteristic	Treatment-Naive DME				Previously Treated DME			
	≥ 6 Months of Follow-up		≥ 12 Months of Follow-up		≥ 6 Months of Follow-up		≥ 12 Months of Follow-up	
	RBZ (n = 507)	AFL (n = 681)	RBZ (n = 326)	AFL (n = 382)	RBZ (n = 53)	AFL (n = 223)	RBZ (n = 24)	AFL (n = 122)
Patient demographics^a								
Age, years, mean (SD)	62.1 (11.2)	63.4 (10.8)	62.3 (11.5)	64.1 (11.2)	60.5 (9.1)	61.4 (10.4)	61.2 (9.7)	62.0 (10.8)
Female, n (%)	242 (47.7)	280 (41.1)	156 (47.9)	159 (41.6)	21 (39.6)	105 (47.1)	10 (41.7)	53 (43.4)
Index year, n (%)								
2014	177 (34.9)	53 (7.8)	145 (44.5)	48 (12.6)	2 (3.8)	9 (4.0)	1 (4.2)	8 (6.6)
2015	215 (42.4)	381 (55.9)	181 (55.5)	334 (87.4)	33 (62.3)	130 (58.3)	23 (95.8)	114 (93.4)
2016	115 (22.7)	247 (36.3)	0 (0)	0 (0)	18 (34.0)	84 (37.7)	0 (0)	0 (0)
Geographic region, n (%)								
Northeast	131 (25.8)	224 (32.9)	88 (27.0)	136 (35.6)	16 (30.2)	62 (27.8)	9 (37.5)	29 (23.8)
Midwest	132 (26.0)	204 (30.0)	83 (25.5)	119 (31.2)	8 (15.1)	48 (21.5)	1 (4.2)	31 (25.4)
South	205 (40.4)	211 (31.0)	128 (39.3)	102 (26.7)	22 (41.5)	87 (39.0)	10 (41.7)	49 (40.2)
West	39 (7.7)	41 (6.0)	27 (8.3)	24 (6.3)	6 (11.3)	26 (11.7)	4 (16.7)	13 (10.7)
Unknown	0 (0)	1 (0.1)	0 (0)	1 (0.3)	1 (1.9)	0 (0)	0 (0)	0 (0)
Population density, n (%)								
Urban	441 (87.0)	584 (85.8)	284 (87.1)	332 (86.9)	48 (90.6)	197 (88.3)	22 (91.7)	112 (91.8)
Rural	66 (13.0)	96 (14.1)	42 (12.9)	49 (12.8)	4 (7.5)	26 (11.7)	2 (8.3)	10 (8.2)
Unknown	0 (0)	1 (0.1)	0 (0)	1 (0.3)	1 (1.9)	0 (0)	0 (0)	0 (0)
Health plan type, n (%)								
FFS	85 (16.8)	135 (19.8)	59 (18.1)	80 (20.9)	3 (5.7)	35 (15.7)	0 (0)	26 (21.3)
HMO	33 (6.5)	52 (7.6)	19 (5.8)	30 (7.9)	5 (9.4)	19 (8.5)	0 (0)	8 (6.6)
POS	39 (7.7)	37 (5.4)	25 (7.7)	18 (4.7)	2 (3.8)	17 (7.6)	1 (4.2)	6 (4.9)
PPO	288 (56.8)	388 (57.0)	180 (55.2)	217 (56.8)	38 (71.7)	127 (57.0)	19 (79.2)	68 (55.7)
Other	62 (12.2)	69 (10.1)	43 (13.2)	37 (9.7)	5 (9.4)	25 (11.2)	4 (16.7)	14 (11.5)
Primary payer type, n (%)								
Commercial	303 (59.8)	379 (55.7)	190 (58.3)	199 (52.1)	40 (75.5)	156 (70.0)	17 (70.8)	80 (65.6)
Medicare	204 (40.2)	302 (44.3)	136 (41.7)	183 (47.9)	13 (24.5)	67 (30.0)	7 (29.2)	42 (34.4)
Median household income, USD, mean (SD)	48,273 (17,691)	47,763 (15,088)	48,621 (17,688)	47,887 (14,973)	46,382 (13,827)	47,652 (17,013)	47,382 (14,664)	48,242 (16,592)
Clinical measurements^b								
Nonophthalmic comorbidities and medications, n (%)								
Nonmelanoma cancer	32 (6.3)	36 (5.3)	19 (5.8)	21 (5.5)	3 (5.7)	17 (7.6)	1 (4.2)	13 (10.7)
Dyslipidemia	419 (82.6)	551 (80.9)	264 (81.0)	300 (78.5)	46 (86.8)	178 (79.8)	20 (83.3)	99 (81.1)
NSAIDs	81 (16.0)	86 (12.6)	53 (16.3)	48 (12.6)	8 (15.1)	24 (10.8)	5 (20.8)	12 (9.8)
Glucocorticoids	74 (14.6)	100 (14.7)	49 (15.0)	66 (17.3)	6 (11.3)	26 (11.7)	2 (8.3)	17 (13.9)
Diabetes (type 1 or 2)	500 (98.6)	676 (99.3)	323 (99.1)	378 (99.0)	52 (98.1)	223 (100)	23 (95.8)	122 (100)
Ophthalmic comorbidities and treatments, n (%)								
Cataracts	202 (39.8)	291 (42.7)	134 (41.1)	156 (40.8)	25 (47.2)	97 (43.5)	13 (54.2)	47 (38.5)
Glaucoma	90 (17.8)	161 (23.6)	70 (21.5)	91 (23.8)	10 (18.9)	40 (17.9)	3 (12.5)	21 (17.2)
Cataract surgery	61 (12.0)	85 (12.5)	43 (13.2)	53 (13.9)	8 (15.1)	24 (10.8)	5 (20.8)	13 (10.7)
Intravitreal steroid injection	10 (2.0)	20 (2.9)	8 (2.5)	13 (3.4)	3 (5.7)	12 (5.4)	1 (4.2)	7 (5.7)

continued on next page

whereas 24 ranibizumab-treated and 122 aflibercept-treated patients were included in 12-month analyses. Across all DME subgroups, baseline demographics and clinical measurements were similar between aflibercept- and ranibizumab-treated patients; however, due to differences in FDA approval dates for aflibercept and ranibizumab in DME, imbalances in index year among treatment-naive patients were observed (Table 2).

Frequency and Cost of Anti-VEGF Injections for nAMD

Adjusted mean injection frequencies and costs are presented in Figure 1. Among treatment-naive patients with nAMD, there was no significant difference in mean injection frequency between ranibizumab- and aflibercept-treated patients over 12 months (5.62 vs. 5.54; $P=0.52$) or 24 months (7.86 vs. 8.37; $P=0.16$). Similarly, mean costs of ranibizumab and aflibercept

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TABLE 2 Baseline Demographics and Clinical Measurements of RBZ- and AFL-Treated Patients with DME (continued)

Characteristic	Treatment-Naive DME				Previously Treated DME			
	≥ 6 Months of Follow-up		≥ 12 Months of Follow-up		≥ 6 Months of Follow-up		≥ 12 Months of Follow-up	
	RBZ (n = 507)	AFL (n = 681)	RBZ (n = 326)	AFL (n = 382)	RBZ (n = 53)	AFL (n = 223)	RBZ (n = 24)	AFL (n = 122)
Health status indices, mean (SD)								
Deyo Charlson Comorbidity Index	4.0 (2.0)	4.0 (1.8)	4.1 (2.0)	4.1 (1.8)	4.0 (1.8)	3.9 (1.7)	3.3 (1.6)	4.1 (2.0)
Unique ICD-9/10-CM diagnoses	20.7 (13.5)	20.9 (14.0)	19.6 (12.4)	18.8 (11.9)	23.6 (16.0)	18.9 (11.1)	20.3 (13.1)	18.7 (11.1)
Unique National Drug Code numbers	17.4 (9.5)	16.0 (9.6)	17.4 (9.4)	16.0 (9.3)	19.7 (9.4)	15.4 (8.8)	19.3 (9.7)	16.1 (9.8)
Total health care expenditure, USD	34,596 (69,816)	37,110 (76,856)	35,226 (76,991)	38,724 (75,452)	49,671 (83,217)	41,184 (95,272)	34,169 (31,497)	37,680 (61,027)
Median total health care expenditure, USD	16,155	16,696	15,726	16,539	23,331	18,363	24,837	18,619
Unadjusted frequency and cost of anti-VEGF injections^c								
Injection frequency, mean (SD)	2.60 (1.62)	2.92 (1.61)	3.15 (2.55)	3.80 (2.58)	2.94 (1.74)	3.09 (1.65)	3.38 (2.55)	4.29 (2.89)
P value	<0.001		<0.001		0.56		0.15	
Injection cost, USD, mean (SD)	3,376 (2,526)	5,929 (3,966)	4,145 (3,830)	7,627 (5,496)	3,711 (2,282)	6,815 (5,001)	4,011 (3,138)	9,424 (8,259)
P value	<0.001		<0.001		<0.001		0.002	

^aPatient demographics were measured at the index date for each anti-VEGF treatment exposure.

^bClinical measurements were measured during the 12-month baseline period for each anti-VEGF treatment exposure.

^cUnadjusted injection frequencies and costs were measured over the specified length of follow-up (6 and 12 months). In patients with >6 and >12 months of follow-up, data were censored after the first 6 and 12 months, respectively.

AFL = aflibercept; DME = diabetic macular edema; FFS = fee-for-service; HMO = health maintenance organization; ICD-9/10-CM = International Classification of Diseases, Ninth/Tenth Revision, Clinical Modification; NSAID = nonsteroidal anti-inflammatory drug; POS = point-of-service; PPO = preferred provider organization; RBZ = ranibizumab; SD = standard deviation; USD = U.S. dollars; VEGF = vascular endothelial growth factor.

did not differ significantly at 12 months (\$11,351 vs. \$10,702; $P=0.06$) or 24 months (\$16,286 vs. \$16,666; $P=0.69$). In previously treated patients with nAMD, there was no significant difference between ranibizumab and aflibercept for mean number of injections (5.95 vs. 6.09; $P=0.56$) or treatment costs (\$11,512 vs. \$12,050; $P=0.44$) at 12 months. In 24-month analyses, mean injection frequency was significantly lower for ranibizumab (7.98 vs. 9.63; $P=0.03$); however, differences in ranibizumab and aflibercept treatment costs were not statistically significant (\$16,303 vs. \$19,361; $P=0.13$).

Frequency and Cost of Anti-VEGF Injections for DME

As shown in Figure 2, adjusted mean injection frequency among treatment-naive patients with DME was significantly lower with ranibizumab versus aflibercept over 6 months (2.60 vs. 2.92; $P<0.001$) and 12 months (3.33 vs. 3.87; $P<0.001$). Similarly, adjusted mean injection costs were significantly lower in ranibizumab-treated patients at 6 months (\$3,379 vs. \$5,925; $P<0.0001$) and 12 months (\$4,136 vs. \$7,656; $P<0.0001$). In previously treated patients with DME, there was no significant difference between the mean number of ranibizumab and aflibercept injections received over 6 months

(3.01 vs. 3.08; $P=0.80$) or 12 months (3.81 vs. 4.19; $P=0.59$). Despite this, mean injection costs were significantly lower for ranibizumab versus aflibercept over 6 months (\$3,834 vs. \$6,775; $P=0.0001$) and 12 months (\$4,606 vs. \$9,190; $P=0.02$).

Distribution of Anti-VEGF Injections for nAMD and DME

When the number of anti-VEGF injections received by treatment-naive patients with nAMD and DME were grouped according to FDA-indicated injection schedules, treatment patterns were similar between those who received ranibizumab versus aflibercept (Figure 3). The majority of ranibizumab- and aflibercept-treated patients with nAMD received ≥ 3 injections over 12 months of follow-up (79.0% vs. 81.6%, respectively), whereas 41.4% versus 41.0% received ≥ 7 injections, 21.0% versus 18.4% received 1-2 injections, and only 6.6% versus 4.6% received ≥ 11 (i.e., q4w) injections. In ranibizumab- and aflibercept-treated patients with nAMD and 24 months of follow-up, 78.9% versus 84.3% received ≥ 3 injections, 23.1% versus 25.5% received ≥ 13 injections, 21.2% versus 15.7% received 1-2 injections, and 0.9% in each group received ≥ 23 (i.e., q4w) injections (data not shown).

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FIGURE 1 Adjusted Mean Cost and Frequency of Anti-VEGF Injections in Treatment-Naive and Previously Treated Patients with nAMD

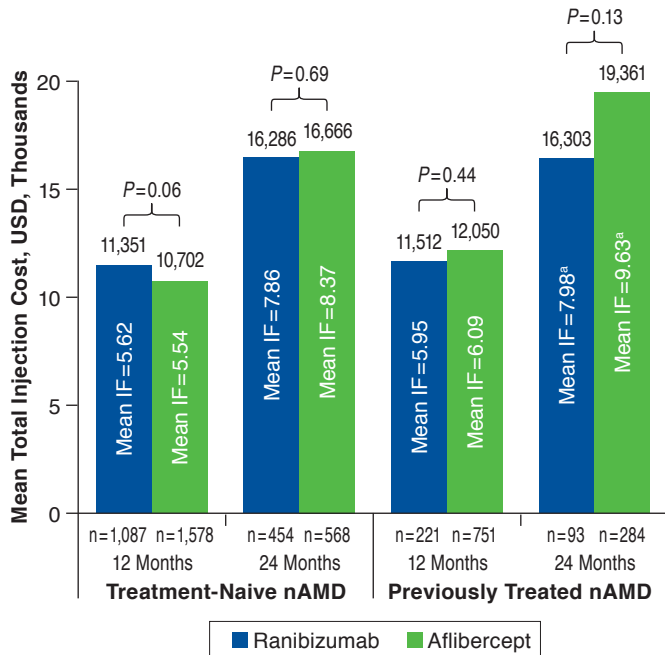
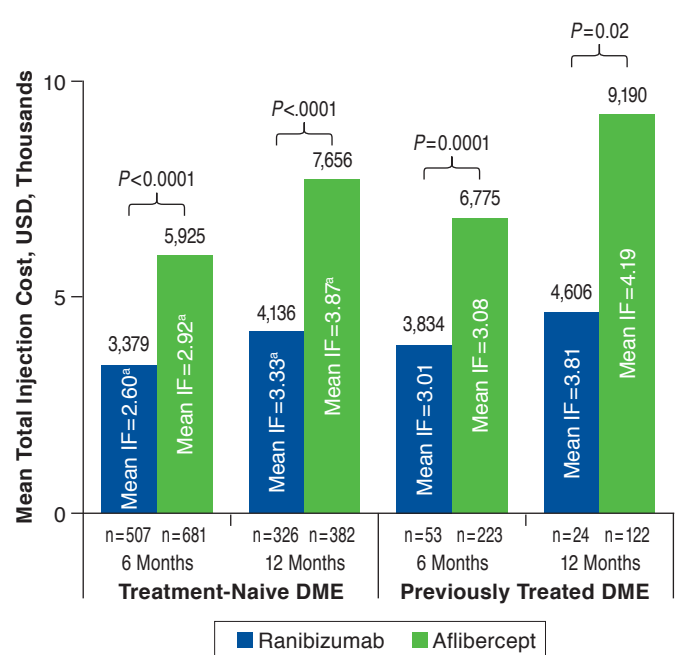


FIGURE 2 Adjusted Mean Cost and Frequency of Anti-VEGF Injections in Treatment-Naive and Previously Treated Patients with DME



Note: Poisson quasi-likelihood multivariable regression was used to model injection frequencies; multivariable generalized linear models with a log link and gamma error distribution were used to model total injection costs over the specified length of follow-up. Analyses were adjusted for patient demographics, baseline clinical characteristics, indices of general health status, baseline treatments, and other covariates.

^aSignificant difference in mean IF between ranibizumab- and aflibercept-treated patients at 24 months ($P=0.03$).

IF=injection frequency; nAMD=neovascular age-related macular degeneration; USD=U.S. dollars; VEGF=vascular endothelial growth factor.

Note: Poisson quasi-likelihood multivariable regression was used to model injection frequencies; multivariable generalized linear models with a log link and gamma error distribution were used to model total injection costs over the specified length of follow-up. Analyses were adjusted for patient demographics, baseline clinical characteristics, indices of general health status, baseline treatments, and other covariates.

^aSignificant difference in mean IF between ranibizumab- and aflibercept-treated patients at 6 and 12 months (both $P<0.001$).

DME=diabetic macular edema; IF=injection frequency; USD=U.S. dollars; VEGF=vascular endothelial growth factor.

More than 90% of treatment-naive patients with DME received <8 ranibizumab or aflibercept injections in the year following treatment initiation (Figure 3). More specifically, the majority of ranibizumab- and aflibercept-treated patients with DME received 1-4 injections over 12 months of follow-up (77.0% vs. 66.5%, respectively), whereas 23.0% versus 33.5% received ≥ 5 injections, 8.9% versus 9.4% received ≥ 8 injections, and only 2.1% in each group received ≥ 11 (i.e., q4w) injections.

Discussion

This real-world retrospective analysis provided valuable insight regarding treatment frequency and health care resource utilization associated with ranibizumab and aflibercept therapy among patients with nAMD and DME. Our findings suggest that ranibizumab treatment for DME may be cost saving compared with aflibercept and that anti-VEGF treatment

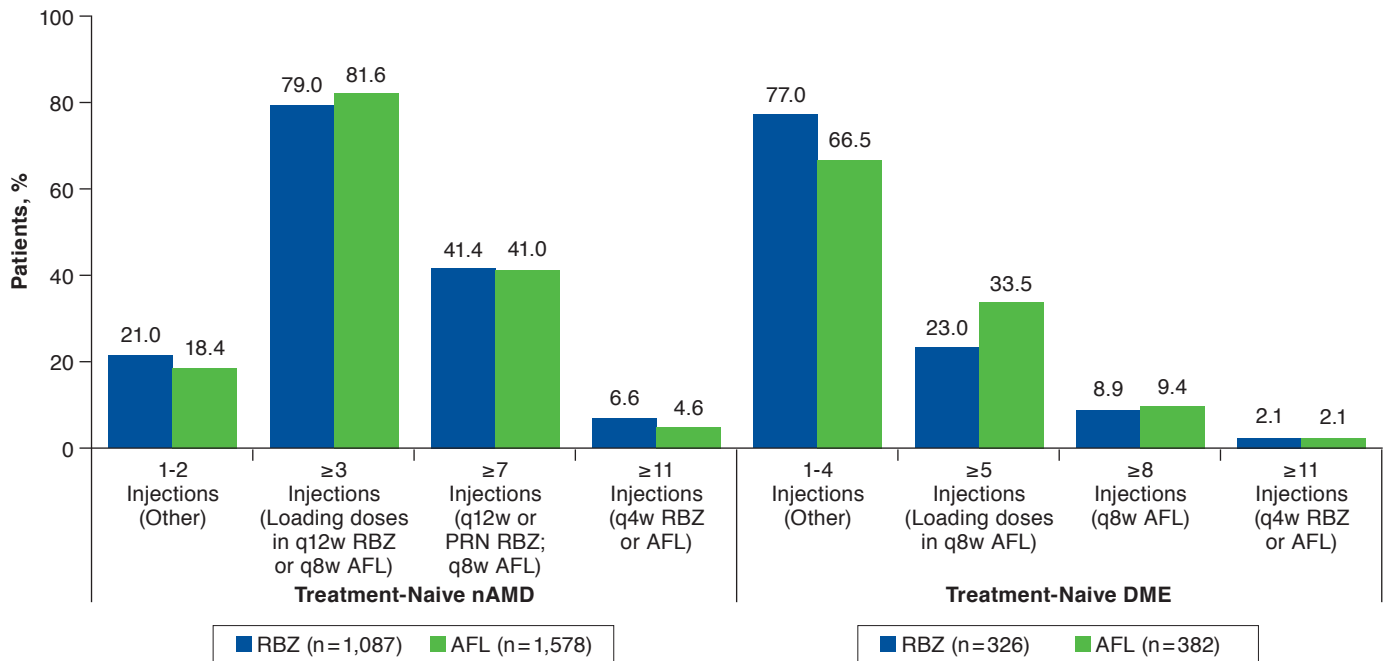
patterns in clinical practice are not reflective of FDA-indicated dosing schedules.

Interpretation of Results

Of the nAMD cohorts evaluated, estimated costs and injection frequencies were comparable between ranibizumab and aflibercept in treatment-naive patients over 12 and 24 months, consistent with previous findings.^{24,25} Comparable results were also observed in previously treated nAMD cohorts over 12 and 24 months, with the exception that injection frequency at 24 months was lower for patients receiving ranibizumab versus aflibercept. Relative to other nAMD subgroups, 24-month analyses of the previously treated cohort were based on a small number of patients (particularly those receiving ranibizumab; $n=93$) and should therefore be interpreted with caution.

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FIGURE 3 Number of Anti-VEGF Injections Received by Treatment-Naive Patients with nAMD and DME over 12 Months, Grouped by FDA-Indicated Injection Schedule



Note: Injection thresholds used to define dosing categories included an allowable margin of 1 injection, to account for strict cutoffs to follow-up in this study (e.g., q4w dosing over 12 months was defined as ≥ 11 injections, rather than ≥ 12 injections).

AFL = aflibercept; DME = diabetic macular edema; FDA = U.S. Food and Drug Administration; nAMD = neovascular age-related macular degeneration; PRN = pro re nata; q4w = monthly; q8w = every 2 months; q12w = every 3 months; RBZ = ranibizumab; VEGF = vascular endothelial growth factor.

Of the DME cohorts evaluated, initiation of ranibizumab in treatment-naive and previously treated patients was associated with lower treatment costs than aflibercept over 6 and 12 months. Cost savings associated with ranibizumab for DME were likely driven by the lower cost of ranibizumab 0.3 mg (WAC \$1,170) compared with aflibercept 2.0 mg (WAC \$1,850). Significant differences in mean injection frequency may account for additional cost savings among treatment-naive patients with DME; however, mean differences were < 1 injection between those receiving ranibizumab versus aflibercept. Our 12-month analyses found that the mean cost of ranibizumab therapy was 46%-50% lower than aflibercept in treatment-naive and previously treated patients with DME, resulting in estimated per-patient cost savings of \$3,500-\$4,500 over 1 year of treatment.

Although we found statistically significant differences in mean injection frequency between ranibizumab- and aflibercept-treated patients in the treatment-naive DME cohort, it is unclear whether such differences (< 1 injection over 12 months) may be considered meaningful in clinical practice. For example, observed differences in injection frequency may translate to differences in real-world vision outcomes achieved

by ranibizumab- and aflibercept-treated patients; however, we were unable to explore this relationship due to a paucity of visual acuity data within insurance claims databases.

Real-World Dosing Versus Labeled Injection Schedules

Relative to ranibizumab, current drug labels suggest that fewer aflibercept injections may be required for the ongoing treatment of nAMD and DME.^{13,14} However, our analyses support the notion that real-world anti-VEGF treatment patterns, on average, do not reflect FDA-approved dosing schedules. These findings are consistent with previous analyses of real-world anti-VEGF treatment patterns, which have similarly reported average 12-month injection frequencies of 4.3-5.8 and 2.2-4.4 among patients with nAMD and DME, respectively.^{24,25,33-38} Our results also agree with American Society of Retina Specialists Preferences and Trends Surveys, which suggest that most retina specialists prefer to administer ongoing treatment according to anatomic findings observed on follow-up optical coherence tomography (e.g., subfoveal retinal thickness and central subfield thickness), rather than FDA labeling.^{21,22} However, these findings are not intended to suggest that real-world injection frequencies reflect patient need or response to therapy.

Rather, the number of injections administered in clinical practice likely depends on multiple factors, including but not limited to patient adherence, treatment response, and patient/clinician preference. In any case, our observation that a significant proportion of treatment-naive patients with nAMD and DME received fewer ranibizumab and aflibercept injections than any FDA-indicated schedule is a potential cause for concern and may result in suboptimal vision outcomes in clinical practice.

Research in nAMD suggests that although acceptable vision gains are achievable with less frequent anti-VEGF treatment, many patients require more frequent injections to achieve optimal outcomes. The PIER trial reported rapid vision improvements in patients who received 3 monthly loading doses of ranibizumab; however, this benefit was lost with q12w dosing between months 3 and 12.³⁹ One-year vision outcomes with q12w ranibizumab were more favorable in EXCITE but remained inferior to q4w dosing.⁴⁰ In the Comparison of Age-related Macular Degeneration Treatments Trials and HARBOR, mean vision gains among ranibizumab- and bevacizumab-treated patients were greater in those who received q4w versus PRN treatment,^{29,41} whereas in VIEW 1 and VIEW 2, small reductions in visual improvement were observed in patients who switched from q4w or q8w aflibercept during year 1, to at least q12w dosing during year 2.¹⁸

Limitations

Insurance claims data provide valuable insight into real-world treatment patterns, health care resource utilization, and patient outcomes; however, there are several limitations associated with their use in health economic research. Our analyses may be subject to errors within the MarketScan Research Databases, particularly with respect to treatment codes and costs.

Aflibercept entered the market before receiving product-specific HCPCS codes; therefore, we identified probable aflibercept therapy during this period using nonspecific HCPCS codes and concurrent Current Procedural Terminology codes indicating intravitreal injection. More generally, we excluded patients with evidence of bilateral anti-VEGF treatment because the inconsistent use of modifiers within claims data do not allow for identification of the treated eye.

With regard to costs, our sample reflects only paid claims and excluded situations in which anti-VEGF therapy was administered outside an insurance plan. Although cost analyses did not consider treatment-related adverse events, previous trials in nAMD and DME have shown that the incidence of ocular and systemic adverse events are overall low and similar between ranibizumab- and aflibercept-treated patients.¹⁷⁻¹⁹ Similarly, given that ranibizumab and aflibercept injection frequencies were generally comparable across patient subgroups in this study, we believe that the exclusion of

administration costs from our analyses has not significantly impacted our results and overall conclusions.

Caution is required when extrapolating our results to describe anti-VEGF treatment patterns across the wider U.S. population. MarketScan Research Databases do not include all payers, uninsured patients, or those insured via Medicaid or Medicare fee-for-service, and its patient sample is not proportionally weighted by U.S. region. We did not examine treatment patterns and costs associated with off-label bevacizumab therapy, and we were unable to account for potential changes to nAMD and DME management after December 31, 2016.

Moreover, the FDA approval date for aflibercept in DME (July 29, 2014) precluded a 24-month analysis in this population due to an insufficient number of patients with adequate follow-up. Observed differences in index date between treatment-naive DME cohorts reflected differences in FDA approval dates between aflibercept and ranibizumab (August 10, 2012); thus, our 2014 sample was weighted toward ranibizumab treatment, whereas our 2015-2016 sample was weighted toward aflibercept treatment. Future studies with extended follow-up periods will further improve comparisons among patients with DME and will allow characterization of longer-term anti-VEGF treatment patterns.

Strengths

Despite its limitations, the MarketScan Research Databases collectively represent one of the longest-running and largest repositories of high-quality health care data in the United States.²⁶ To capture the most recent anti-VEGF treatment patterns and avoid potential biases, our nAMD cohort excluded patients who initiated therapy before January 1, 2014, and our DME cohort excluded patients treated with ranibizumab before FDA approval of aflibercept in this indication.

A study end date of December 31, 2016, ensured that $\geq 95\%$ of claims during follow-up were adjudicated, whereas the inclusion of treatment-naive and previously treated subgroups provided the opportunity to compare anti-VEGF treatment patterns between these patient populations.

Our analyses were adjusted to account for confounding patient demographics, baseline clinical characteristics, and other covariates; however, we were unable to control for potential confounders not captured within insurance claims databases (e.g., anatomic and visual acuity data).

Finally, costs extracted from claims data are based on reimbursement expenses, which are more reflective of actual costs than list or other drug price estimates. To ensure objectivity, no paid claims were removed from our analyses (including claims ranging from \$0 to >\$30,000).

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Conclusions

Although the real-world frequency of ranibizumab and aflibercept injections were generally comparable among patients with nAMD and DME in this study, ranibizumab therapy was associated with estimated per-patient cost savings of \$3,500-\$4,500 over 1 year of treatment in patients with DME. However, a significant proportion of patients received fewer than the recommended number of ranibizumab or aflibercept injections for nAMD and DME, suggesting potential undertreatment that may lead to suboptimal vision outcomes. Given that labeled dosing schedules are informed by landmark clinical trials, our data highlight a need to identify barriers to optimal treatment in routine clinical practice, and to develop new strategies that improve anti-VEGF utilization and associated patient outcomes.

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DISCLOSURES

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Kiss has been a consultant for and received honoraria from Alcon, Alimera, Allergan, BioMarin, Novartis, and Spark; has been on the advisory board for, a consultant for, received honoraria from, and held stock options in Adverum and Regenxbio; has been a consultant for, received honoraria from, and held stock/stock options in Fortress; has been on the advisory board for, a consultant and investigator for, and received grants and honoraria from Genentech and Regeneron; and has been on the advisory board for, a consultant for, and received grants and honoraria from Optos. Malangone-Monaco, Wilson, Varker, Stetsovsky, and Smith are employees of IBM Watson Health, which received funding from Genentech to undertake this study. Garmo is an employee of Genentech.

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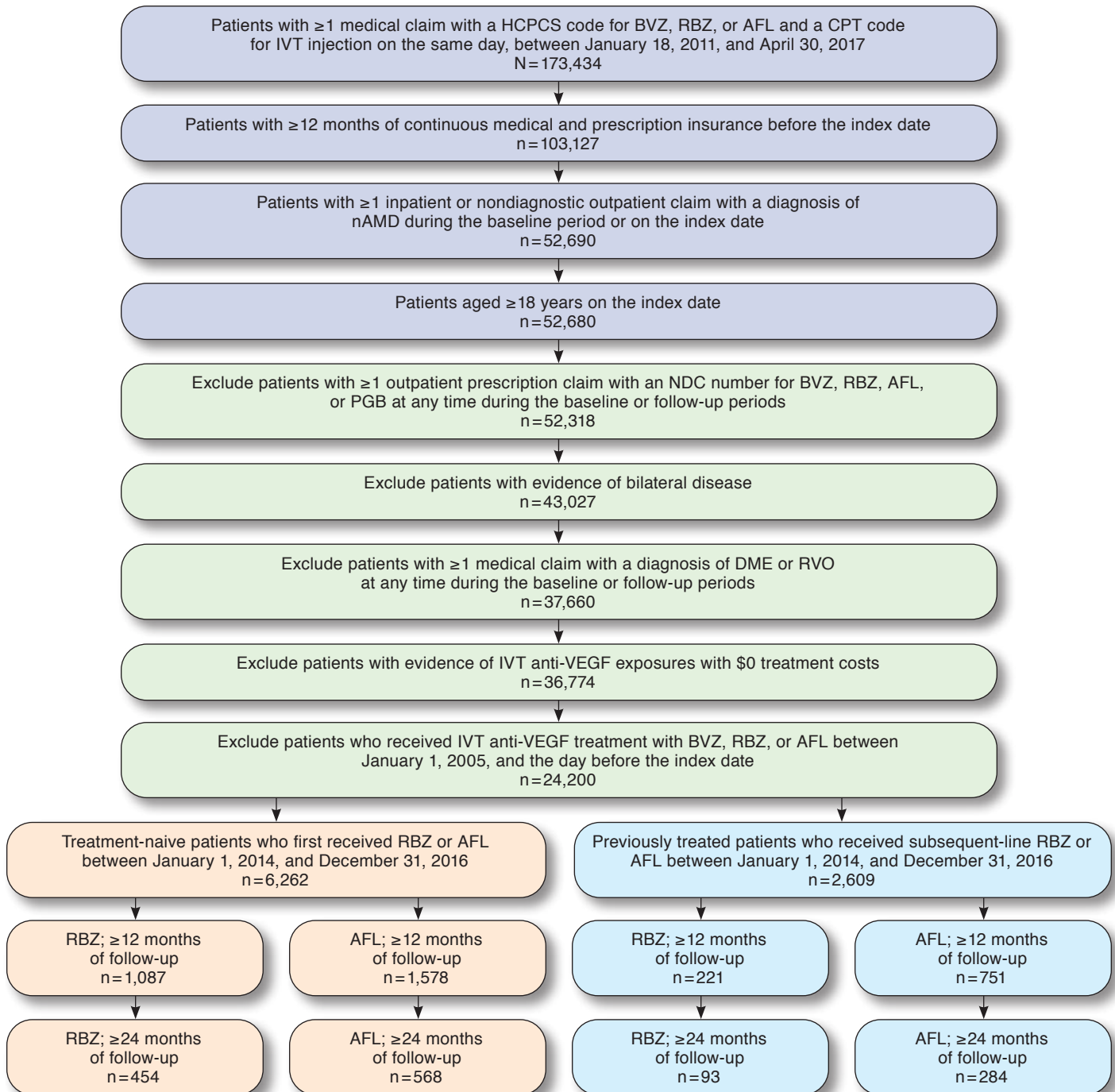
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APPENDIX A Patient Selection for nAMD Subgroups

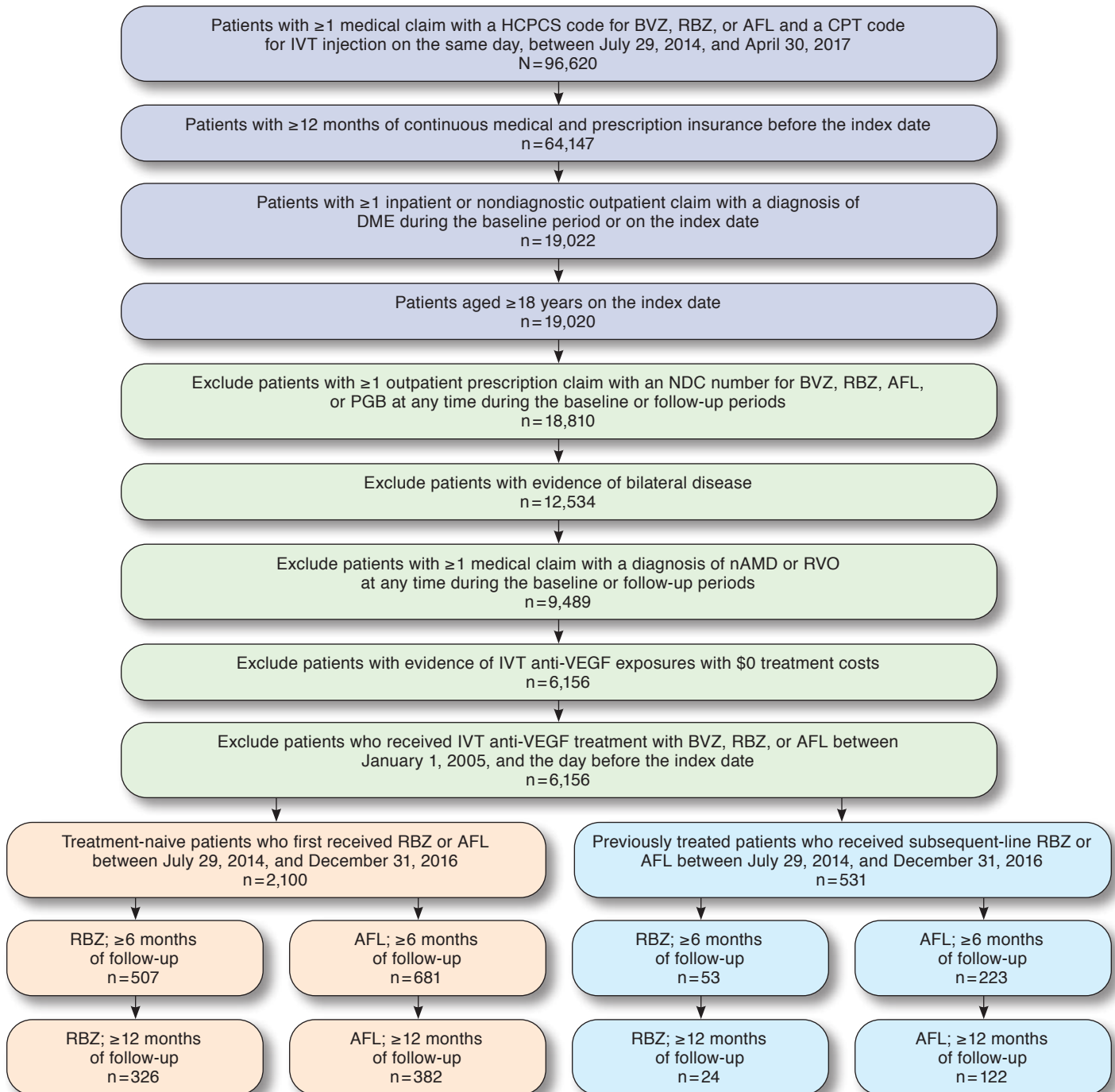


Note: The index date for each patient was defined as the date of first anti-VEGF exposure; the 12 months preceding each index date were designated the baseline period. Follow-up was defined as the time from the index date until treatment was switched to a different anti-VEGF agent, disenrollment from health insurance, inpatient death, or December 31, 2016, whichever occurred first.

AFL = aflibercept; BVZ = bevacizumab; CPT = Current Procedural Terminology; DME = diabetic macular edema; HCPCS = Healthcare Common Procedure Coding System; IVT = intravitreal; nAMD = neovascular age-related macular degeneration; NDC = National Drug Code; PGB = pegaptanib; RBZ = ranibizumab; RVO = retinal vein occlusion; VEGF = vascular endothelial growth factor.

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APPENDIX B Patient Selection for DME Subgroups



Note: The index date for each patient was defined as the date of first anti-VEGF exposure; the 12 months preceding each index date were designated the baseline period. Follow-up was defined as the time from the index date until treatment was switched to a different anti-VEGF agent, disenrollment from health insurance, inpatient death, or December 31, 2016, whichever occurred first.

AFL = aflibercept; BVZ = bevacizumab; CPT = Current Procedural Terminology; DME = diabetic macular edema; HCPCS = Healthcare Common Procedure Coding System; IVT = intravitreal; nAMD = neovascular age-related macular degeneration; NDC = National Drug Code; PGB = pegaptanib; RBZ = ranibizumab; RVO = retinal vein occlusion; VEGF = vascular endothelial growth factor.



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