

Exploration of novel clusters and prognostic value of immune-related signatures and identify HAMP as hub gene in colorectal cancer

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Abstract. Immune checkpoint inhibitors currently serve an important role in prolonging patients' overall survival. However, the prognostic signatures of immune checkpoint inhibitors in colorectal cancer (CRC) remain uncertain and more knowledge on the genetic characteristics of colorectal cancer is needed. Patients with CRC from The Cancer Genome Atlas were classified into high-immunity group and low-immunity group based on median scores from single-sample gene set enrichment analysis using the GSEA package. We explored immune status by immune scores, stromal scores and tumor purity scores in ESTIMATE package and surveyed the difference of immune cells distribution with

CIBERSORT package. Eighteen genes were selected using the LASSO Cox regression method and a prognostic risk model was constructed. Compared with patients in the low-risk group, those in the high-risk group had a significantly shorter survival time. For assessment of the prognostic validity of the risk model, receiver operating characteristic curves with areas under the curve of 0.769, 0.774 and 0.771 for 1, 3 and 5 years respectively. Differences in molecular mechanisms between high- and low-risk groups were analyzed using the clusterProfiler package. Tumor Immune Dysfunction and Exclusion data were downloaded and analyzed. The top 5 enriched pathways in the high-risk group involved 'calcium signaling', 'dilated cardiomyopathy', 'extracellular matrix receptor interaction', 'hypertrophic cardiomyopathy' and 'neuroactive ligand receptor interaction'. *HAMP* was identified as a hub gene, which was highly expressed in tumor samples. The results of the present study indicate that the prognostic model based on both immune-related genes and *HAMP* has the potential to support personalized treatment.

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Introduction

In 2020, 1.9 million new cases and 935,000 deaths from colorectal cancer (CRC) were reported in the United States, and CRC had the third highest incidence and the second highest mortality rate among all cancers in the country (1). Furthermore, the incidence of colorectal cancer before the age of 50 has increased by 1-4% per year in numerous countries (2). CRC patients without metastasis have a good 5-year overall survival (OS) rate at >84.0% (3). For CRC patients with metastasis, the 5-year OS is <15% (4,5). The properties of the tumor microenvironment are strongly linked to the occurrence,

development, metastasis, recurrence and treatment resistance of CRC (6).

Currently, the main treatments for CRC include surgery, targeted therapy, radiotherapy, chemotherapy and immunotherapy (7,8). Surgery, chemotherapy and radiotherapy are the preferred treatments because immunotherapy is generally less effective (9,10). However, among CRC patients receiving immunotherapy, patients with microsatellite instability tumors were reported to have shown greater therapeutic effects than patients with microsatellite stable tumors (11,12). The Tumor-Node-Metastasis (TNM) staging system is widely used to evaluate the prognosis of CRC patients (13). However, this system is insufficient for evaluating the effect of treatment in patients receiving immunotherapy and for making treatment decisions. The development of next-generation sequencing will help to elucidate the biological molecular mechanisms underlying colorectal cancer, and further contribute to the development of personalized treatment (14,15). Therefore, new biomarkers for predicting the prognosis of CRC patients need to be identified.

Recent studies have reported biomarkers that can guide systemic therapy in colorectal cancer. For example, insensitivity to cetuximab or panitumumab is associated with mutations of the KRAS and NRAS gene exons 2, 3 and 4, and the presence of these mutations precludes the use of these drugs (16-20). Furthermore, patients with CRC and the BRAF V600E mutation have a worse prognosis (21). The addition of cetuximab to first-line treatment also showed no better OS benefit when compared with treatment without cetuximab, and is additionally associated with increased toxicity of the treatment (22). Immune checkpoint inhibitors have attracted great attention owing to their unique clinical therapeutic effects. According to a phase II clinical trial, the immune-related objective response rate (ORR) was 40% in the DNA mismatch repair (dMMR) colorectal cancer group and 0% in the microsatellite instability-high/mismatch repair-deficient (MSI-H/dMMR) group, respectively (12). An open-label study (KEYNOTE-164) reported that the ORR was 33% in dMMR/MSI-H patients receiving pembrolizumab therapy regardless of whether they received first-line or second-line therapy (23).

Immune-related genes and immune-infiltrating cells undoubtedly serve an indispensable role in the tumor micro-environment and their roles in CRC are worth exploring. A comprehensive analysis of immune cells and immune-related genes is needed to further elucidate the underlying mechanisms of immune resistance and immune response in the context of CRC (24,25).

Materials and methods

Data download and processing. Level 3 RNA sequencing data (such as TPM or FPKM data), high throughput sequencing-counts transcriptome data and clinical information for colon adenocarcinoma (COAD) and rectum adenocarcinoma (READ) were downloaded from TCGA database (<https://portal.gdc.cancer.gov/>). After processing, data on 659 COADREAD patients (51 normal patients and 608 patients with colorectal cancer) with both gene expression profile and clinical information were included for subsequent analysis. The gene expression profiles were normalized using

the DESeq2 package (Bioconductor version 3.14) (26). The clinical features of the patients were presented in Table I. Gene expression data and clinical data were downloaded from the National Center for Biotechnology Information Gene Expression Omnibus (GEO) under the accession number GSE87211.

ssGSEA and cluster analysis. A total of 29 immune gene sets were collected from the literature (27). Three R packages (GSVA, GSEABase and limma; all Bioconductor version 3.14) were used to obtain the ssGSEA algorithm results and estimate the scores of immunological cells infiltrating in all TCGA samples (28). All COADREAD patients were divided into a high immune cluster (Immunity_H) or a low immune cluster (Immunity_L) based on the median ssGSEA score (29,30). Rtsne [version 0.16, CRAN-Package Rtsne (r-project.org)] package was used to generate the t-SNE result and evaluated the distribution between the two groups (31).

Immunity and immune cell type distribution analyses. ESTIMATE analysis, performed using the ESTIMATE package (version 1.0.13, ESTIMATE: R Package; mdanderson.org), was used to calculate the tumor purity, stromal score and immune score of each COADREAD patient (32). CIBERSORT (R version 1.03; <https://cibersort.stanford.edu>) was used to calculate the distributions of 22 types of immune cells (33). Wilcoxon's rank-sum test was used to compare the scores between the high- and low-immunity groups.

Construction of the immune-related gene prognostic risk signature. Immune-related genes associated with OS of COADREAD patients were screened using univariate Cox regression analysis using the survival (version 3.2-13; https://cran.r-project.org/src/contrib/Archive/survival/survival_3.2-13.tar.gz) package in R. Then, a LASSO regression model was constructed using the glmnet (version 4.1-4; https://cran.r-project.org/src/contrib/Archive/glmnet/glmnet_4.1-4.tar.gz) package based on the results of univariate Cox regression, and the COADREAD patients were divided into high-risk group and low-risk groups according to the median risk score. The risk signature in the GSE87211 cohort was then validated, and survival and survminer (version 0.4.9; <https://cran.r-project.org/web/packages/survminer/index.html>) packages were used to construct a Kaplan-Meier curve between the high- and low-risk groups. The sensitivity and specificity of the prognostic signature were evaluated using a receiver-operating characteristic (ROC) curve.

GSEA analysis. The signaling pathway differences were analyzed using functional enrichment analysis by the clusterProfiler package (version 4.4.4; Bioconductor-clusterProfiler) (34,35). The dataset named c2.cp.kegg.v7.5.1.symbols.gmt was downloaded from the GSEA website (<https://www.gsea-msigdb.org/gsea/msigdb/collections.jsp#C2>) (36).

Immune checkpoint inhibitors response prediction. The COADREAD gene expression data were uploaded to the TIDE website (<http://tide.dfci.harvard.edu/>) and then the prediction response results were visualized using a violin plot. Wilcoxon

Table I. Patient clinical information and features (n=608).

Group	n	Proportion (%)
Age		
<60	177	29.1
≥60	431	70.9
Sex		
Female	280	46.1
Male	328	53.9
M stage		
M0	450	74.0
M1	85	14.0
MX	63	10.4
NA	10	1.6
N stage		
N0	345	56.7
N1	147	24.2
N2	112	18.4
NA	2	0.3
NX	2	0.3
T stage		
T1	20	3.3
T2	106	17.4
T3	414	68.1
T4	65	10.7
Missing	3	0.5
Pathologic stage		
I	106	17.4
II	220	36.2
III	175	28.8
IV	86	14.1
NA	21	3.5

T, tumor; N, node; M, metastasis; NA, not available.

rank-sum test was used to compare the scores between the high and low-immunity groups.

Exploration of immune-related hub genes. The STRING online tool (https://string-db.org/cgi/input?sessionId=bojBmgAExQGs&input_page_show_search=on) was used to explore protein-protein interaction pairs with a combined score of >0.15 and the cytoHubba (version 0.1) app in Cytoscape (version 3.8.0) was used to calculate the node scores (37). The expression of the hub genes and the relationship between the hub genes and OS were analyzed in TCGA cohort. In the present study, hub genes were considered to be those with a high Clustering Coefficient, with a threshold value of 1, as presented in Table II.

Hub gene validation using reverse transcription-quantitative PCR (RT-qPCR). To further validate the expression of hub genes in the present study, tissue was collected from 3 CRC patients who had not undergone any treatment. The

Table II. Hub genes with high Clustering Coefficient.

Node name	Clustering Coefficient
NUMBL	0.00
PMCH	0.00
MC1R	0.00
VAV2	1.00
HAMP	1.00
IL20RB	0.00
SEMA5B	1.00
CX3CL1	0.67
CD1B	0.00
CD1A	0.00
NRG1	0.40
PPARGC1A	0.47
EPO	0.33
ANGPTL4	1.00

transcription level of the hub gene in the colorectal cancer tissue and adjacent tissues was assessed. Total RNA was extracted from tissues using TRNzol (cat. no. DP424; Tiangen Biotech Co., Ltd.), and cDNA was synthesized using the Evo M-MLV RT premix kit (cat. no. AG11601; Accurate Biotechnology; Hunan Aikerui Biological Engineering Co., Ltd.) according to the manufacturer's instructions. RT-qPCR was performed using the SYBR Green Real-time PCR Master Mix kit (cat. no. 11201ES08; Shanghai Yeasen Biotechnology Co., Ltd.). The following conditions apply to the reaction: An initial 5 min at 95°C and denaturation at 95°C for 15 sec, followed by 40 cycles of annealing at 60°C for 30 sec and extension at 72°C for 30 sec. Relative mRNA expression levels were calculated using the $2^{-\Delta\Delta C_q}$ method (38) and assessed statistically using Student's t-test. The primer sequences used were as follows: HAMP forward (F), 5'-CTCCTTCGCCTC TGGAACAT-3' and reverse (R), 5'-AGTGGCTCTGTTTC CCACA-3'; and GAPDH F, 5'-GAAGATGGTGATGGGATT TC-3' and R, 5'-GAAGGTGAAGGTCGG-3'.

Statistical Analyses. All statistical analysis was performed using R 4.1.0 (<https://mirrors.tuna.tsinghua.edu.cn/CRAN/src/base/R-4/R-4.1.0.tar.gz>). The relationship between high- and low-risk scores and OS were evaluated using univariate and multivariate Cox analyses. The sensitivity and specificity of high- and low-risk groups and OS were examined by ROC analysis. $P < 0.05$ was considered to indicate a statistically significant difference.

Results

Construction of colorectal cancer groups based on ssGSEA. Data for patients with CRC were obtained from TCGA database, and the distribution of 22 types of immune cells in these patients was analyzed using the ssGSEA algorithm. CRC patients were divided into high-immunity and low-immunity groups according to consensus cluster analysis based on the median ssGSEA scores. The t-SNE method preliminarily

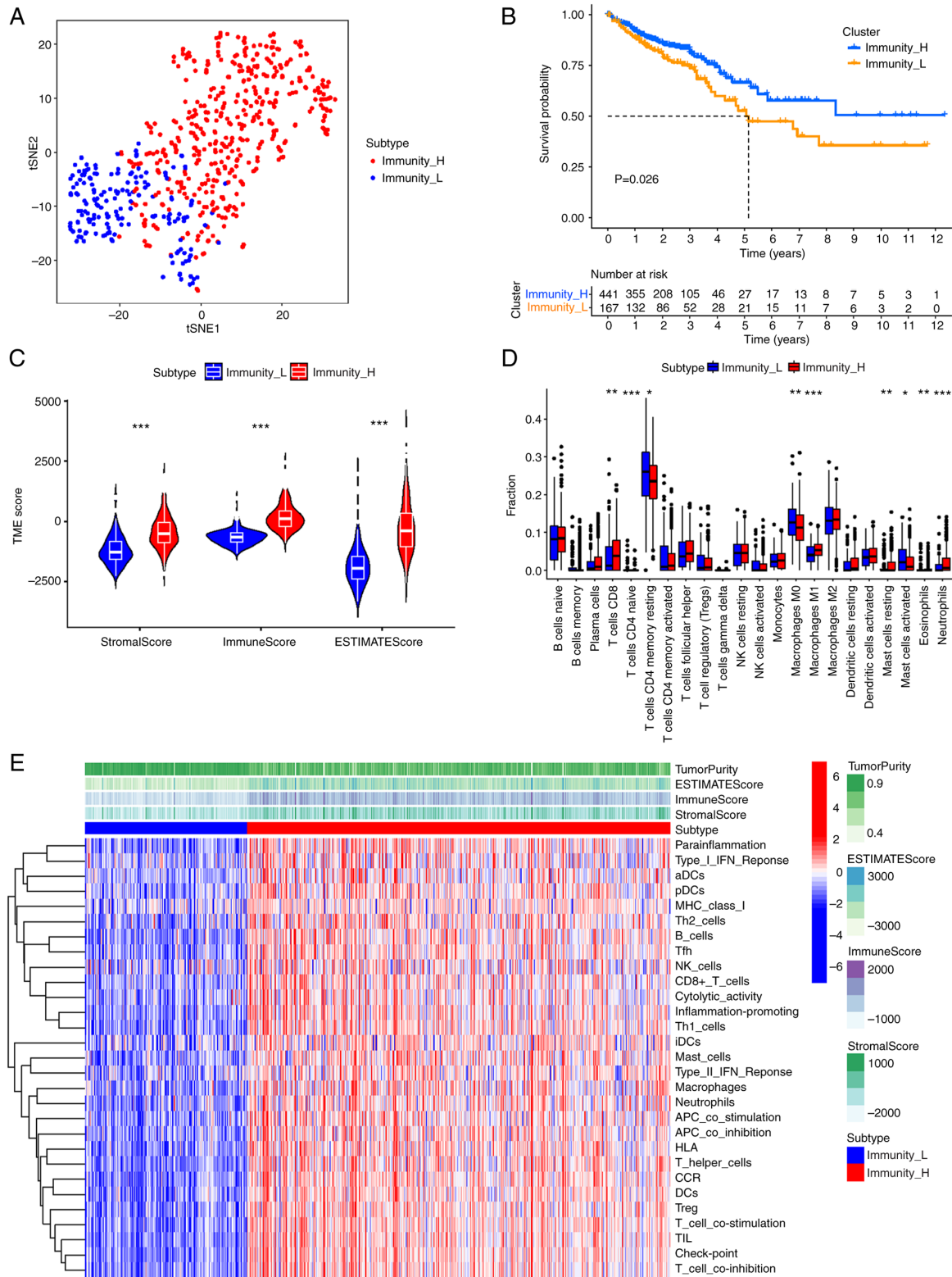


Figure 1. Classification immune landscape of high- and low-immunity groups in colorectal cancer. (A) The distribution of colorectal patients in high- and low-immunity groups. (B) The Kaplan-Meier curves predict the overall survival in the high- and low-immunity groups. (C) The immune scores, stromal scores and ESTIMATE scores for the high- and low-immunity groups. (D) Distribution of 22 types of immune cells in the high- and low-immunity groups. (E) Heatmap of ESTIMATE scores and immune gene sets for high- and low-immunity groups. * $P < 0.05$, ** $P < 0.01$ and *** $P < 0.001$. tSNE, T-distributed stochastic neighbor embedding; TME, tumor microenvironment; H, high; L, low; CD, cluster of differentiation; NK, natural killer; aDCs, activated dendritic cell; pDCs, plasmacytoid dendritic cell; Th, helper T cells; Tfh, follicular helper T cells; iDCs, immature dendritic cells; APC, antigen-presenting cell; HLA, human leukocyte antigen; CCR, chemokine receptor; DCs, dendritic cell; TIL, tumor infiltrating lymphocyte.

evaluated the distribution between the two groups, and the high- and low-immunity groups were well differentiated in

colorectal cancer samples (Fig. 1A). A significant difference in OS was observed between the high-immunity group and

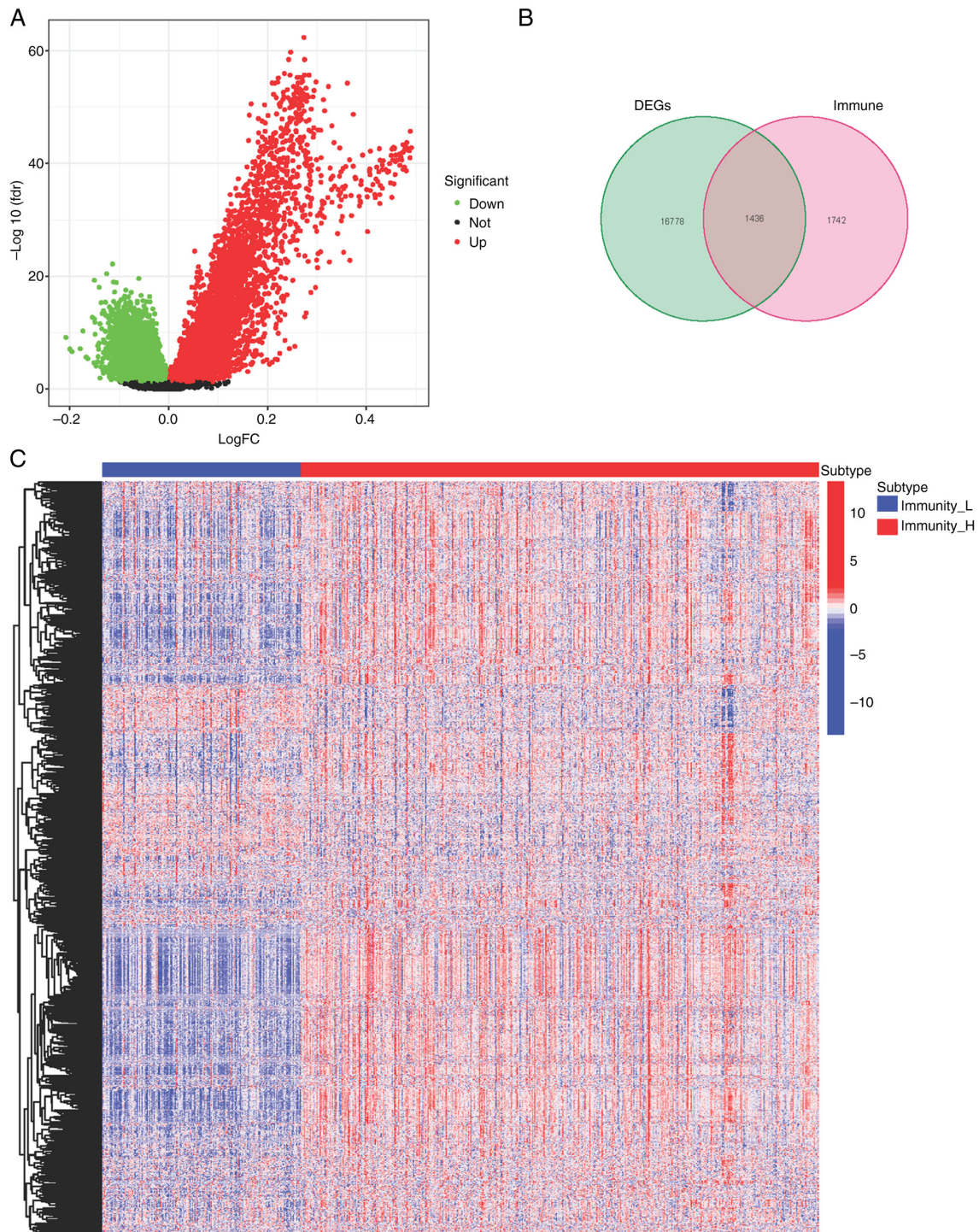


Figure 2. Evaluation of DEGs in the high- and low-immunity groups. (A) Volcano plot and (B) Venn of the DEGs in the high- and low-immune groups and immune-related genes from ImmPort and innateDB databases. (C) Heatmap of the 1195 upregulated genes and 241 downregulated genes. DEGs, differentially expressed genes; H, high; L, low.

low-immunity group using the KM curve (Fig. 1B; $P=0.026$). Stromal, immune and ESTIMATE scores were calculated using ESTIMATE analysis. The Wilcoxon rank-sum test method was used to assess the statistical significance and the violin plot demonstrated significantly higher scores in the high-immunity group compared with the low-immunity group (Fig. 1C; $P<2\times 10^{-16}$). In particular, CIBERSORT analysis demonstrated significantly higher CD8⁺ T cell levels in the high-immunity group compared with the low-immunity group

(Fig. 1D; $P=0.0019$). The heatmap demonstrated that in the high-immune group, the stromal, immune and ESTIMATE scores had a similar trend to immune cell expression (Fig. 1E).

Exploration of differentially expressed genes between high- and low-immunity groups. Differentially expressed genes (DEGs) between the high- and low-immunity groups were assessed (Fig. 2A). Immune-related genes were downloaded from two websites, ImmPort (<https://www.immport.org/home>)

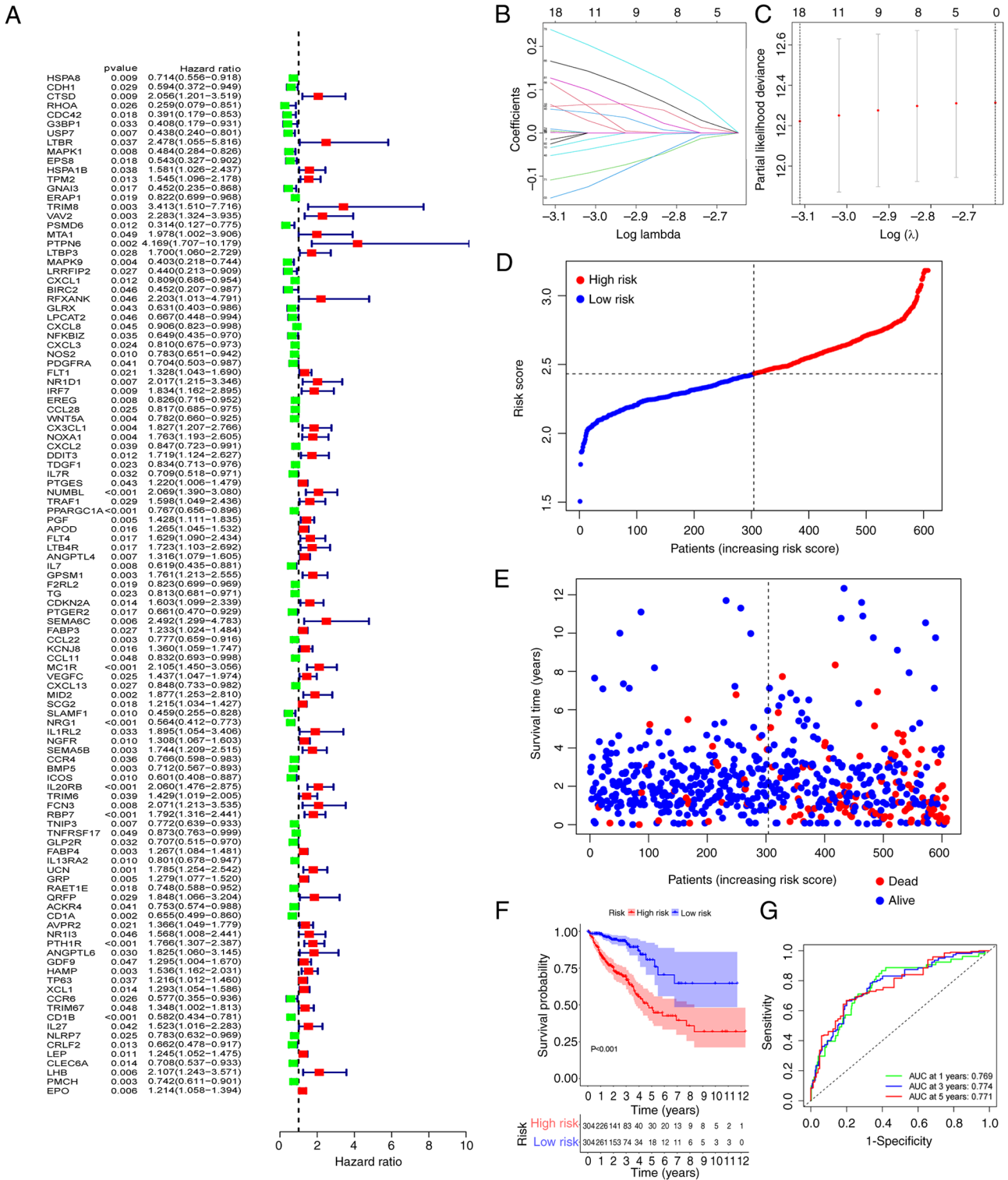


Figure 3. Construction of the risk signature. (A) Univariate analysis identified 111 genes related to overall survival. (B and C) The minimum criteria and coefficients were calculated by LASSO regression analysis. (D and E) Distributions of the risk score and mortality status. (F) Clinical outcome of patients with colorectal cancer in the high-risk and low-risk groups. (G) Receiver operating characteristic curve of the predictive efficiency for 1, 3 and 5 year survival. AUC, area under the curve.

and innateDB (<https://www.innatedb.com/>). Among the DEGs, 1,436 genes were immune system related (Fig. 2B), with 1,195 being upregulated and 241 being downregulated (Fig. 2C).

Construction of immunity-related prognostic signature. To construct a risk model, 111 mRNAs related to OS were

identified using the univariate analysis (Fig. 3A). Among the identified mRNAs, 18 genes were used to build a risk model by LASSO Cox regression analysis. The risk score was calculated using the coefficients of the 18 genes (Fig. 3B and C) as follows: risk score=USP7 x (-0.0153034090477698) + VAV2 x 0.0655011160044214 + CX3CL1 x

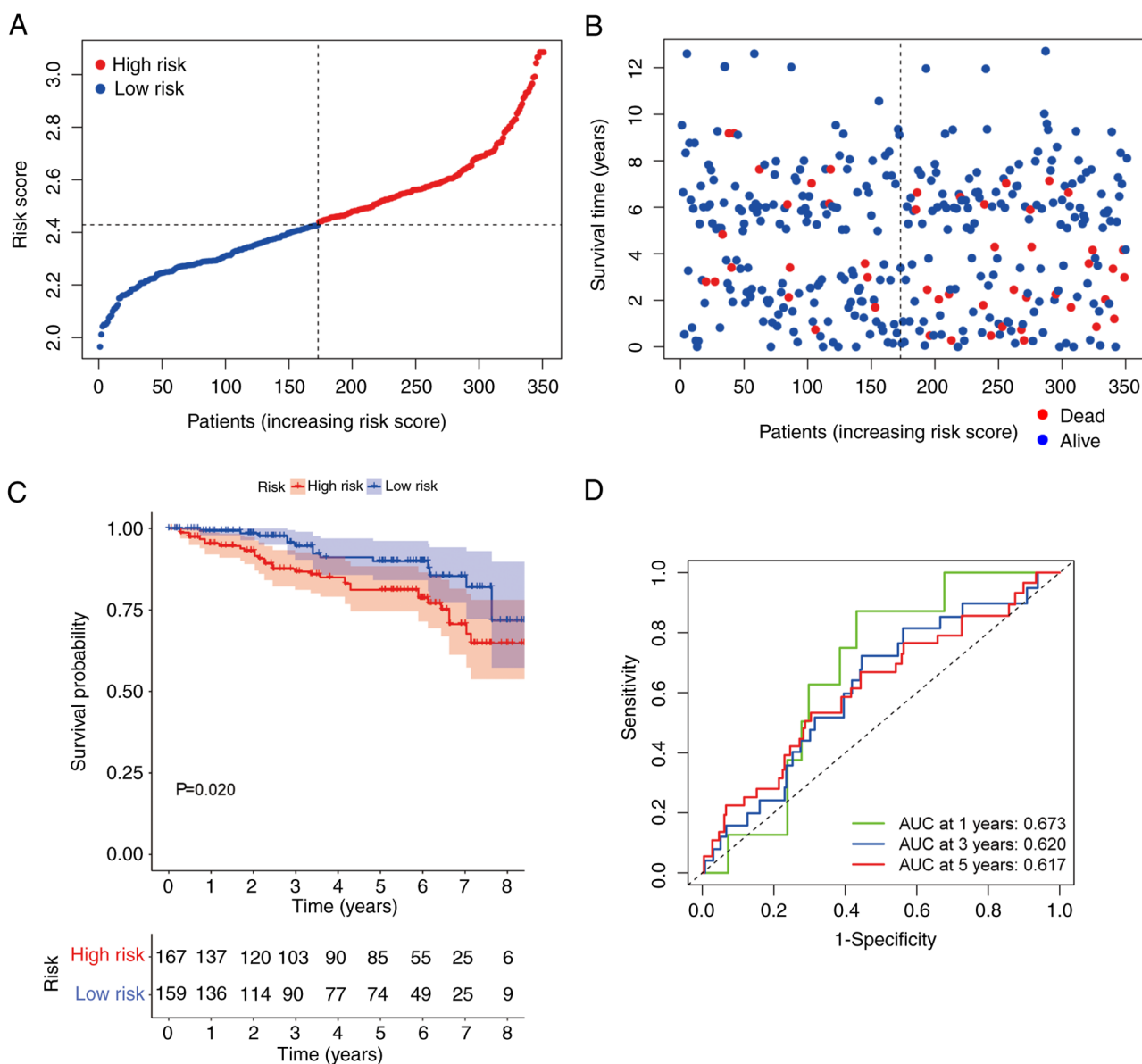


Figure 4. Validation of the risk signature. (A and B) Mortality rate was associated with high risk scores. (C) The validation cohort demonstrated distinctly different survival curves between the high- and low-risk groups. (D) Receiver operating characteristic curves predicted the risk model efficiency in the validation cohort. AUC, area under the curve.

0.00124855618096817 + NUMBL x 0.0548798283592552 + PPARGC1A x (-0.052320825824987) + ANGPTL4 x 0.00461031918855942 + MC1R x 0.165908532485693 + MID2 x 0.115738969177335 + NRG1 x (-0.108632261933379) + SEMA5B x 0.00929691428939524 + IL20RB x 0.238904701764134 + RBP7 x 0.127007108169451 + CD1A x (-0.0254834618450881) + PTH1R x 0.0610074708594601 + HAMP x 0.00478998991273158 + CD1B x (-0.150298945645048) + PMCH x (-0.0339401840839164) + EPO x 0.00100587552113195. The coefficient values of the 18 genes was calculated by glmnet package using the coef function. Using the median risk scores, the CRC patients were divided into high-risk and low-risk groups. Patients' mortality and risk score distributions were plotted (Fig. 3D and E) and a higher death rate was demonstrated in patients in the high-risk group. Compared with patients in the low-risk group, those in the high-risk group had a significantly shorter overall survival

time ($P < 0.001$; Fig. 3F). For assessment of the prognostic validity of the risk score, ROC curves were generated, the area under the curve (AUC) values of 0.769, 0.774 and 0.771 for 1, 3, and 5 year survival rates respectively, indicated that the risk model was valid (Fig. 3G).

Validation of the immunity-related risk signature. To better understand the value of the immunity-related risk signature, a GEO cohort (GSE87211) with a survival time < 9 years was used to construct ROC curves and for KM analysis. Consistent with the trend demonstrated in the TCGA cohort, the OS in the high-risk group was shorter and the risk scores were higher compared with the low-risk group (Fig. 4A and B). The patients in the high-risk group in the GSE87211 dataset demonstrated significantly shorter OS compared with those in the low-risk group ($P = 0.034$; Fig. 4C). ROC analysis was performed to test the stability and robustness of the immunity-related risk

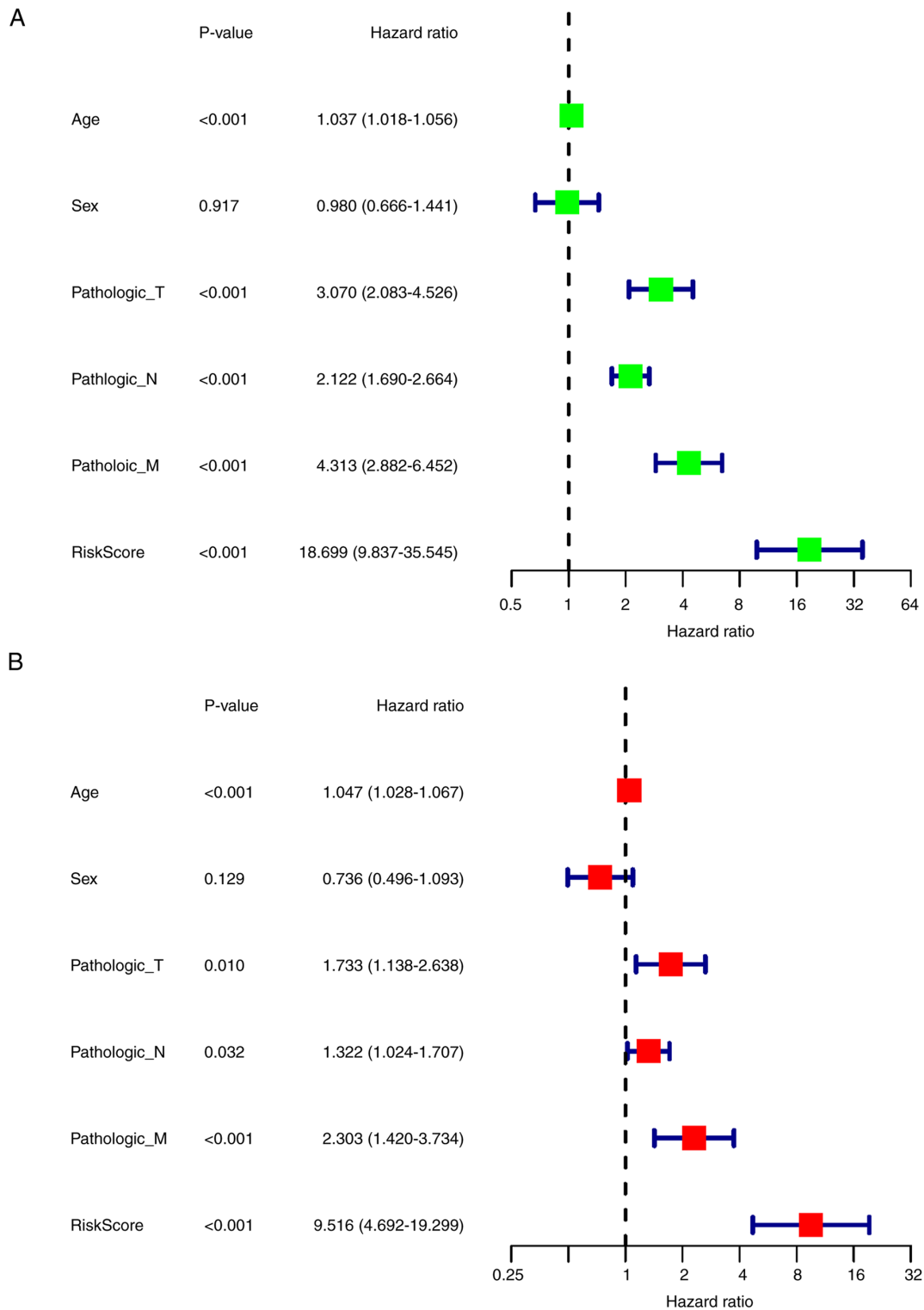


Figure 5. Univariate and multivariate Cox analyses for clinical features and risk model. (A) Univariate analysis results. (B) Multivariate analysis results. T, tumor; N, node; M, metastasis.

model. The AUC values were 0.673, 0.620, and 0.617 for 1, 3, and 5 year OS, respectively (Fig. 4D).

Relationship between clinical features and prognosis of CRC.

To evaluate the correlation between the clinical features and the prognosis of CRC, univariate Cox regression analysis was performed, which demonstrated that age, T stage, N stage, M stage and risk score were significantly associated with

OS ($P < 0.001$; Fig. 5A). More importantly, multivariate Cox analysis demonstrated that risk score could be an independent prognostic risk factor ($P < 0.001$; Fig. 5B).

Functional enrichment analysis by GSEA and clinical characteristics between high- and low-risk groups. GSEA analysis indicated that the extracellular matrix (ECM) receptor interaction was enriched in the high-risk group (Fig. 6A),

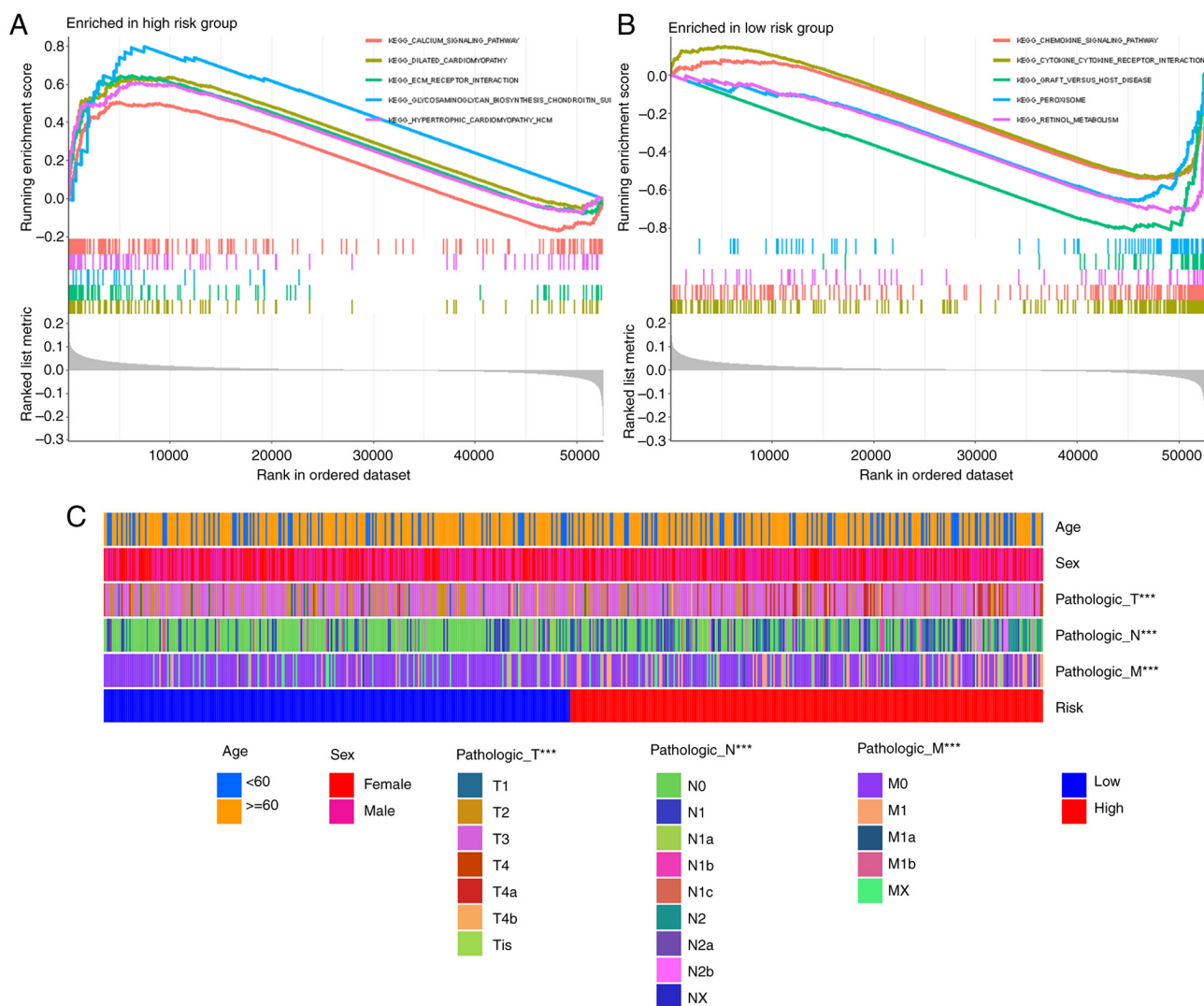


Figure 6. Functional and clinical characteristics between high- and low-risk groups. Top 5 enrichment pathways in the (A) high-risk group and the (B) low-risk group. (C) Heatmap for risk group and clinical characteristics. *** $P < 0.001$. T, tumor; N, node; M, metastasis.

which directly or indirectly controls cellular activities. Other enriched pathways in the high-risk group involved calcium signaling, dilated cardiomyopathy, hypertrophic cardiomyopathy and neuroactive ligand receptor interaction (Fig. 6A). The low-risk group demonstrated enrichment of pathways such as chemokine signaling pathway or cytokine-cytokine receptor interaction (Fig. 6B). The correlation between risk score and clinical characteristics was analyzed and there were significant differences in T, N and M stages between the high- and low-risk groups, but there were no differences in age and sex (Fig. 6C).

Potential role of risk signature in predicting immune checkpoint blockade responses. To evaluate the clinical function of the risk model, the TIDE method was used to compare the differences in TIDE, dysfunction and exclusion scores between high- and low-risk groups. Patients in the high-risk group had higher scores for all measures ($P = 8.3 \times 10^{-5}$, $P = 9.5 \times 10^{-5}$ and $P = 1.1 \times 10^{-8}$, respectively; Fig. 7). These findings suggested that the poor immune response of patients in the high-risk group was due to immune dysfunction and immune rejection.

Evaluation of the hub gene. An analysis of the 18 genes was performed using the STRING website (<https://string-db.org/>) to evaluate the hub gene among the risk signatures. The minimum required interaction score was set at 0.15, which resulted in a file containing interactions among all degrees of nodes for 14 genes. cytoHubba was used to further assess hub objects among the complex interactions. The genes were sorted according to clustering coefficient, and *VAV2*, *HAMP*, *SEMA5B* and *ANGPTL4* were indicated as the hub genes (Table II). The expression differences of these four genes and survival were further analyzed and no significant differences in the expression of *ANGPTL4* between the tumor group and the normal group were demonstrated (data not shown). Furthermore, no significant difference in survival was demonstrated between the high and low expression groups with regard to *SEMA5B* and *VAV2* expression (data not shown). Therefore, *HAMP* demonstrated significant differences and was selected for subsequent analysis. In both the unpaired and the paired groups, significant differences were demonstrated in the mRNA expression levels of *HAMP* ($P < 0.05$; Fig. 8A and B). In the tumor group, the expression of *HAMP* was significantly

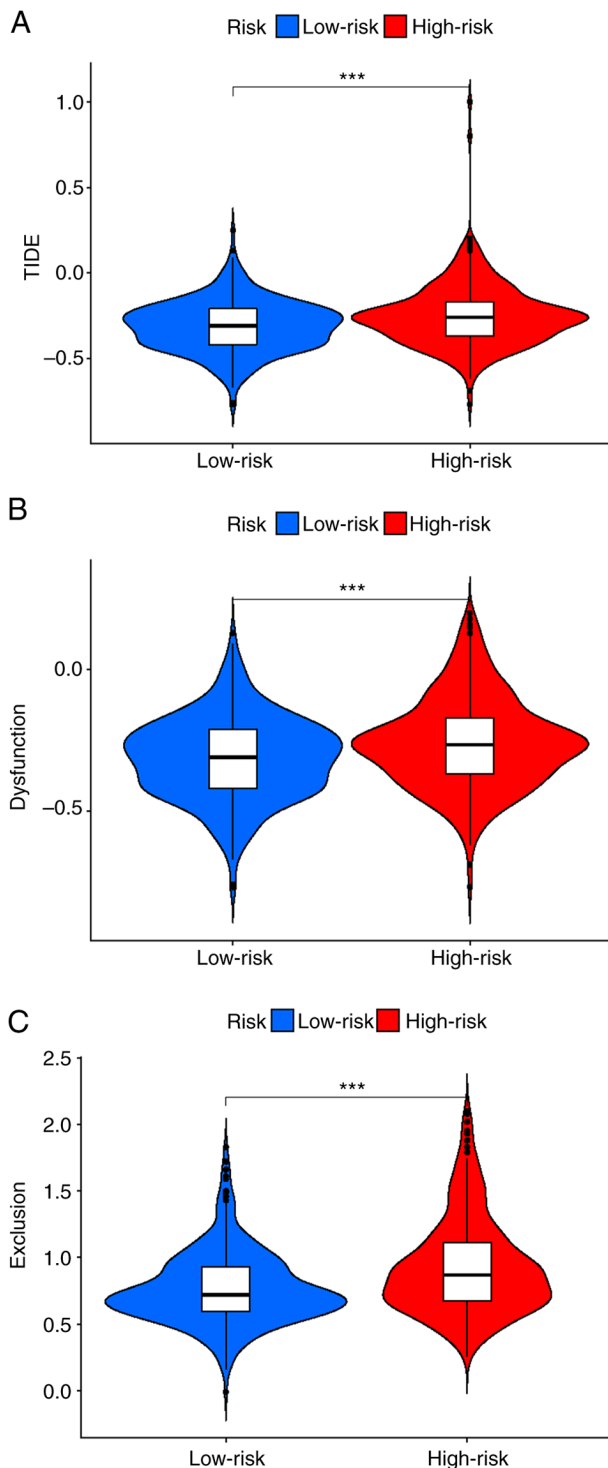


Figure 7. Prediction of immune checkpoint blockade responses in the high- and low-risk groups. (A) TIDE score, (B) dysfunction score and (C) exclusion score in the high- and low-risk groups. *** $P < 0.001$.

correlated with tumor pathological stage, T stage and N stage; however, no significant difference was demonstrated for M stage (Fig. 8C-F). The mRNA expression of *HAMP* was also positively correlated with *PDCD1* (PD1) and *CD274* (PD-L1) (Fig. 8G-H). The relationship between *HAMP* expression and 22 types of immune cells was assessed, which demonstrated that *HAMP* was mainly expressed in $CD8^+$ T cells, NK cells, monocytes and macrophages (cells with importance in immune

therapy) (Fig. 8I). After removing duplicated data and data missing clinical information, it was demonstrated that high expression of *HAMP* was significantly associated with shorter OS ($P=0.004$), shorter progression free interval ($P=0.015$) and shorter disease specific survival ($P<0.001$) (Fig. 8J-M) (39). The ROC curve was used to evaluate the predictive performance of *HAMP*, the AUC was 0.743, which indicated good predictive performance (Fig. 8N). To evaluate the role of *HAMP* in tumor immunity, the correlations between *HAMP* expression and stromal score, immune score and ESTIMATE score were analyzed. The results demonstrated that *HAMP* expression was significantly positively correlated with all three scores (Fig. 8O-Q). To validate the expression of *HAMP*, qPCR was performed in colorectal cancer and adjacent tissues, which demonstrated a similar pattern of expression ($P=0.0031$; Fig. 8R).

Discussion

CRC is the third most common type of malignant tumor and the second most common cause of tumor-related death in the United States (1). Targeted therapy for CRC is still ineffective for patients with advanced tumors (40). PD1 and PD-L1 are the main indicators used to guide the use of immune checkpoint inhibitors (41). A small number of patients with MSI-H/dMMR have a durable response to immune checkpoint inhibitor therapy, which can effectively prolong the OS of patients with advanced CRC (42,43). However, the results in patients with MSI-H/dMMR cannot be generalized to the entire patient population receiving immune checkpoint inhibitor therapy. As the importance of the immune microenvironment in tumor progression is increasingly recognized, there is a critical need to elucidate the molecular pathogenesis of colorectal cancer and to identify reliable prognostic biomarkers based on the immune landscape (44).

In the present study, transcriptomic and clinical information were downloaded from TCGA database and patients with CRC were divided into high- and low-immunity groups based on ssGSEA scores. Previous research reported a pan-cancer tumor inflammation signature and divided patients into high- and low-immune groups, and that patients with renal clear cell carcinoma (45), melanoma (46), lung tumors (47) and head and neck tumors (48) in the high-immune group were more likely to be sensitive to immune checkpoint inhibitors. However, this model has been reported to have limited predictive ability for colorectal cancer (49), because tumor cells could rapidly proliferate by transitioning from an immune homeostasis state to an immune escape state. Therefore, patients in the immune elimination and immune editing phases have higher immunity and better prognosis (50). The antitumor role of the immune system can be summarized as preventing pro-inflammatory effects, protecting the host from viral infection and killing tumor cells (51).

The present study demonstrated that the stromal score, immune score and ESTIMATE score were higher in the high-immune group. By performing CIBERSORT analyses, it was demonstrated that the levels of $CD8^+$ T cells and macrophage M1 cells in the high-immune group were significantly increased compared with the low-immune group. As key components of the adaptive immune system, $CD8^+$ T cells

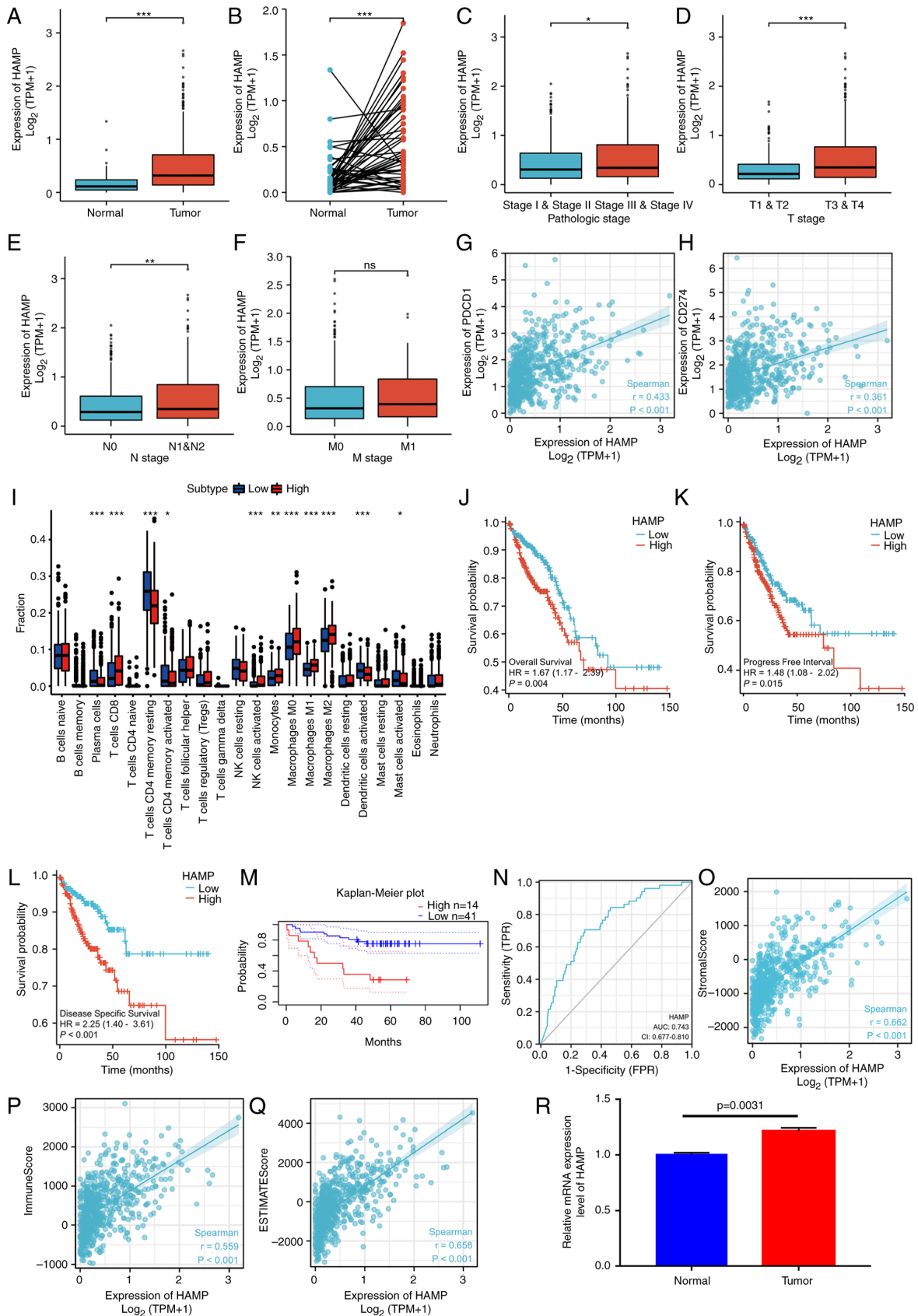


Figure 8. Evaluation of the hub gene and validation with reverse transcription-quantitative PCR. (A and B) The expression of *HAMP* in unpaired and paired groups. (C-F) The relationship between *HAMP* expression and clinical features. (G and H) The relationship between *HAMP* expression and PDCD1/CD274. (I) Different distributions of tumor-infiltrating cells in high/low *HAMP* expression groups. The Kaplan-Meier curves for (J) overall survival, (K) progression free interval and (L) disease specific survival in The Cancer Genome Atlas datasets and (M) overall survival in GSE17537 for patients between groups with high and low *HAMP* expression. (N) The receiver operating characteristic curve analysis of *HAMP* expression. (O-Q) The relationship between *HAMP* expression and stromal scores, immune scores, and ESTIMATE scores. (R) Validation of *HAMP* expression in three colorectal cancer specimens and three matched normal adjacent tissues (n=3). * $P < 0.05$, ** $P < 0.01$ and *** $P < 0.001$. HAMP, hepcidin antimicrobial peptide; TPM, transcripts per million; CD, cluster of differentiation; NK, natural killer; AUC, area under the curve; CI, confidence interval; T, tumor; N, node; M, metastasis.

serve important roles in immune defense against intracellular pathogens such as viruses and bacteria, and tumors (52,53). In general, cytotoxic T cells serve an important antitumor role through interferon- γ , tumor necrosis factor- α , interleukin-2 and interleukin-17 (54,55). An increase in T-cell infiltration is more likely with high-immune status (56). Similarly, an increase in CD8⁺ T cells was demonstrated in the high-immune group in the present study. Tumors contain a large amount of macrophages, and M1 macrophages have anti-tumor and pro-inflammatory effects (57,58). Elevated CD8⁺ T cell infiltration has been reported to be associated with better prognosis in colorectal cancer (59), improved OS in oral squamous cell carcinoma (59) and disease free survival in laryngeal carcinoma (60-62). High macrophage infiltration is also associated with improved prognosis in colorectal cancer (63). These reports together with the results of the present study explain why colorectal cancer patients in the high-immune group have a better prognosis. By analyzing the DEGs of the high- and low-immune groups, and the intersecting immune-related genes from the ImmPort and innateDB immune databases, a risk model was constructed for the identified intersecting genes. Risk scores and clinical characteristics were combined to evaluate the model's effectiveness, and the ROC curve demonstrated the effectiveness of the model. Univariate and multivariate analyses demonstrated that the model had a better prognostic result than the TNM classification system. The risk model was also validated using external GEO data (GSE87211). Functional enrichment analysis of the high- and low-risk groups was performed and the results demonstrated that the genes in the high-risk group were mainly enriched in the ECM receptor signaling pathway. To evaluate the predictive effect of the model for immune checkpoint inhibitors, the TIDE algorithm was used, which demonstrated that the high-risk group had a high score of immune dysfunction and immune rejection, which may indicate a poor response to immunotherapy (24,25).

Finally, a hub gene, *HAMP*, was identified using a protein-protein interaction network. *HAMP* is involved in iron homeostasis and ferroptosis. Ferroptosis, an intracellular iron-dependent form of cell death, serves a key role in tumor suppression and is clearly associated with resistance to cancer therapy (64-66). Ferroptosis is associated with T-cell immunity and cancer immunotherapy, and inhibition of ferroptosis may lead to resistance to immune checkpoint inhibitor therapy (67). Immune checkpoint inhibitor therapy has clear clinical benefits in inducing long lasting responses, but drug resistance remains a formidable challenge (68).

HAMP encodes hepcidin antimicrobial peptide (HAMP), a pro-peptide of 84 amino acids. *HAMP* is cleaved into mature peptides of 20, 22 and 25 amino acids, undergoing enzymatic digestion (69). Its product, hepcidin, serves an important role in regulating macrophage iron storage and intestinal iron absorption (70).

Hepcidin, as an acute-phase protein, participates in innate immune reactions in an interleukin-6 dependent manner (71). Hepcidin in conventional dendritic cells can promote mucosal repair in a nutritional immunity manner (72). Macrophages serve an important role in regulating iron levels with hepcidin, which in turn influences inflammation, infection and possibly

cancer, and overexpression of hepcidin is linked with cancer development and prognosis (73).

HAMP affects iron homeostasis, inflammation and oxidative regulation through the mTOR, JAK/STAT and BMP/SMAD signaling pathways (74-76). Hepcidin serves an important role in the occurrence, development and metastasis of liver cancer. Iron sensing is dysregulated in patients with liver cancer, which in turn leads to the dysregulation of hepcidin. As such, hepcidin may serve as a drug therapy target in liver cancer (77-79). Hepcidin is also involved in breast cancers, promoting proliferation, invasion and metastasis (80). In prostate cancer, hepcidin dysregulation contributes to the development and progression of the cancer (81,82). Serum hepcidin levels are significantly correlated with lymph node metastasis status and T stage in non-small cell lung cancer (83). In colorectal cancer, patients with adequate iron have superior outcomes and increased response to therapy (84). If hepcidin is deficient, tumor number, burden and size are diminished (85-87). A previous *in vitro* study reported that hepcidin promotes growth in the colorectal cancer cell line HT-29 cell; however, similar results were not reported in other colorectal cell types (87). A previous immunohistochemistry study reported that the positive rate of hepcidin in CRC tissues was significantly higher than that in adjacent tissues (88). In the present study, differences in *HAMP* expression between colorectal cancer and normal tissues were demonstrated. Furthermore, in terms of clinical features, *HAMP* expression was higher in patients with advanced clinical features (such as T1 and T2 vs. T3 and T4, and N0 vs. N1 and N2). Colorectal cancer patients were divided into groups based on high and expression of *HAMP*, using the median value of *HAMP* expression. Patients with high *HAMP* expression had a worse prognosis. Furthermore, in the high *HAMP* expression group, CD8⁺ T cell and macrophage M1 cell levels were significantly increased compared with the low *HAMP* expression group. Finally, qPCR was performed using tissue samples from colorectal cancer patients to verify the differences in expression levels. Based on the results of the present study, *HAMP* could be further used to identify target molecules for subsequent studies and as possible treatment candidates.

The present study demonstrated for the first time, to the best of our knowledge, the role of *HAMP* in the immune microenvironment of colorectal cancer, combining the current immune microenvironment with the ferroptosis hotspot. The present study has certain limitations. These research findings are preliminary and there are still mechanisms needing further elaboration. Firstly, only the GEO database was used to verify the model, and prospective clinical trial results are needed in the future. Secondly, more clinical samples and *in vivo* and *in vitro* experiments are needed to verify the role of *HAMP* in colorectal cancer. Thirdly, the present study only analyzed the risk model and the correlation between *HAMP* and immune cells and immunotherapy. More clinical samples are needed to evaluate the roles in the model and *HAMP* in immunotherapy in the future. The mechanism of *HAMP* in the progression of colorectal cancer still needs to be further elucidated, and clinical data and molecular experiments are needed to verify these results.

The present study constructed an immune-related prognostic model, and then identified the key gene *HAMP*, which

linked the immune microenvironment with ferroptosis. Risk models and *HAMP* may provide evidence for colorectal cancer prognosis and drug selection. The finding of *HAMP* gene as a hub gene is significant and it is important to perform further experiments to elucidate how this gene is related to colorectal cancer. Furthermore, whether modified *HAMP* could change tumor environment and allow more people to benefit from immunotherapy or reverse immunotherapy resistance should be studied further in future.

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Availability of data and materials

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

Authors' contributions

HW, YW and XZ designed the study. HD collected the data from databases and performed analyses. HW and HD organized and arranged all the figures. SR and JC performed the experiments and the formal analysis. YZ and MD collected tissue samples. HW and XZ prepared and wrote the original draft of the manuscript. HW, HD, YW and XZ reviewed and edited the manuscript. All authors read and approved the final version of the manuscript. HW and HD confirm the authenticity of all the raw data.

Ethics approval and consent to participate

This study was approved by the Ethics Committee of The Affiliated Dongguan People's Hospital of Southern Medical University (approval no. KYKT2021-018) and informed consents was provided by the patients. The study was conducted in accordance with the Declaration of Helsinki.

Patient consent for publication

Not applicable.

Competing interests

The authors declare that they have no competing interests.

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