# The epidemiology and microbiological characteristics of infections caused by Gram-negative bacteria in Qatar: national surveillance from the Study for Monitoring of Antimicrobial Resistance Trends (SMART): 2017 to 2019

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**Background:** The global Study of Monitoring Antimicrobial Resistance Trends (SMART) is a surveillance program for evaluation of antimicrobial resistance (AMR) in Gram-negative bacteria (GNB) from different regions including Gulf countries.

**Objectives:** To evaluate AMR in GNB from various clinical specimens including microbiological and genetic characteristics for existing and novel antimicrobials.

**Methods:** A prospective study was conducted on clinical specimens from Hamad Medical Corporation, Qatar, between 2017 and 2019 according to the SMART protocol. Consecutive GNB from different sites were evaluated including lower respiratory, urinary tract, intrabdominal and bloodstream infections.

**Results:** Over the 3 years study period, 748 isolates were evaluated from the specified sites comprising 37 different GNB outlining four key pathogens: *Escherichia coli, Klebsiella pneumoniae, Pseudomonas aeruginosa* and *Stenotrophomonas maltophilia*.

For the two major pathogens *E. coli* and *K. pneumoniae*, phenotypic ESBL was identified in 55.77% (116/208) compared to 39% (73/187), while meropenem resistance was 3.8% compared to 12.8% and imipenem/relebactam resistance was 2.97% compared to 11.76%, respectively. The overall ceftolozane/tazobactam resistance for *E. coli* was 9.6% (20/208) compared to 14.97% (28/187) for *K. pneumoniae* while resistance for ceftazidime/avibactam was 3.65% (5/137) and 5.98% (10/117), respectively. Genomic characteristics of 70 Enterobacterales including 48 carbapenem-resistant, revealed prevalence of β-lactamases from all classes, predominated by  $bla_{CXM-15}$  while carbapenem resistance revealed paucity of  $bla_{KPC}$  and dominance of  $bla_{OXA-48}$  and  $bla_{NDM}$  resistance genes.

**Conclusions:** Surveillance of GNB from Qatar showed prevalence of key pathogens similar to other regions but demonstrated significant resistance patterns to existing and novel antimicrobials with different underlying resistance mechanisms.

#### Background

In modern healthcare, challenges of antimicrobial resistance (AMR) have a major impact on public health, with significant morbidity and mortality as well as escalating costs of management.<sup>1</sup> The

consequences of AMR are particularly witnessed in Gram-negative bacteria (GNB) where the pathogens are responsible for a wide spectrum of community and healthcare-associated infections (HAIs), ranging from mild to severe that require critical care and frequently fatal outcomes. Over the last decades, the accumulation of

© The Author(s) 2023. Published by Oxford University Press on behalf of British Society for Antimicrobial Chemotherapy. This is an Open Access article distributed under the terms of the Creative Commons Attribution License (https://creativecommons.org/licenses/ by/4.0/), which permits unrestricted reuse, distribution, and reproduction in any medium, provided the original work is properly cited. diverse resistance mechanisms in GNB, led to the development of the notorious multidrug resistant organisms (MDROs) with critical consequences.<sup>1,2</sup> The emergence of MDROs with limited therapeutic alternatives, has been associated with detrimental patient outcomes leading to prolonged length of hospital stay that necessitates urgent prevention and control strategies that include the development of novel antimicrobials options.<sup>3</sup> Globally GNB encompassing MDROs, are the leading cause of human infections for all age groups, as they are the principal cause of urinary tract infections (UTIs) as well as hospital-acquired respiratory tract infections (RTIs).<sup>4,5</sup> Similarly, they are amongst the leading causes of nosocomial bacteraemia as well as complicated or uncomplicated intra-abdominal infections (IAIs).<sup>6-9</sup>

When examining the global problem of AMR, it is clear it has regional variations attributed to pathogens and host factors as well as variance in local settings including antimicrobial prescribing choices and consumption, dominance of highly resistant clones as well as variable adherence to infection control and prevention measures.<sup>10</sup> Furthermore, regional epidemiology does not only differ in prevalence, but also in microbiological characteristics and underlying resistance mechanisms. While extended-spectrum β-lactamases (ESBLs) are the most observed alobal resistance mechanism in GNB including Enterobacterales, other advanced mechanisms such as those observed in carbapenem-resistant Enterobacterales (CREs) have a different disease spectrum and are predicted to pose significant future challenges.<sup>1</sup> In CREs, the underlying mechanisms of AMR are diverse, for example, while class A  $bla_{KPC}$  is the dominant mechanism in North America and Europe, class B such as *bla*<sub>NDM</sub>, *bla*<sub>VIM</sub> as well as class D bla<sub>OXA</sub> type CREs are dominant in the Middle East and Gulf countries.<sup>11</sup> Similarly, for Pseudomonas aeruginosa and Acinetobacter baumannii, resistance mechanisms are dominated by ESBLs and class C cephalosporinases in addition to other antimicrobial permeability resistance mechanisms such as efflux pumps and porins mutations that are unique in the study of the evolution resistance in GNB.<sup>12,13</sup> Furthermore, antimicrobial characteristics for existing and novel antimicrobials therapy demonstrate regional variations that merit further evaluation to enhance clinical experience as well as aid research and development of future antimicrobial therapy.<sup>14</sup>

The high rate of AMR calls for accurate regional and global surveillance to assess pathogens epidemiology, microbiological and genomic characteristics that remains of paramount importance at all levels particularly for guidance of appropriate antimicrobial therapy. Consequently, in 2015, the World Health Organization developed a global AMR action plan that advocates regional and national monitoring strategies including implementing viable surveillance concepts.<sup>15</sup>

This study is part of the global surveillance of AMR in collaboration with the International Health Management Associates, Inc (IHMA), examining microbiological and genomic characteristics of selected GNB between 2017 and 2019 from Qatar. It includes the evaluation of *in vitro* susceptibility of GNB to the novel antimicrobial agents: imipenem/relebactam, ceftolozane/tazobactam, ceftazidime-avibactam and meropenem-vaborbactam compared to existing comparators from clinical practice, as well as molecular characterization of ESBLs, carbapenemases, plasmid and chromosomally encoded AmpC  $\beta$ -lactamases from specific aerobic Gram-negative species.

# Materials and methods

#### **Bacterial strains**

All isolates were collected from specimens received at the central microbiology laboratory in Qatar according to the criteria in the SMART protocol as outlined. The laboratory receives specimens from 10 general and specialized facilities within Hamad Medical Corporation, which is the main provider of hospital services within the State of Qatar.

Specimens were collected from hospitalized patients from designated facilities. For each year of the study, consecutive clinically relevant isolates of aerobic GNB were collected from patients with lower RTIs (100 isolates), UTIs, (50 isolates) and IAIs (50 isolates) as well as from bloodstream infections (BSIs) (50 isolates). Only one isolate per patient per species was allowed. Isolate demographic information was documented on provided worksheets as per the study protocol. Following local identification using automated BD Phoenix™ Microbiology System (BD Diagnostics, Durham, NC, USA), isolates were transferred to IHMA for further analysis. Identification of all isolates received at each testing facility were confirmed using MALDI-TOF spectrometry (Bruker Daltonics, Billerica, MA, USA). Organism collection, transport, identification and confirmation, quality assurance and centralized database development and management were coordinated by IHMA (Schaumburg, IL, USA). Results were extracted and analysed from the central database by the study reporting group: https://globalsmartsite.com

#### Antimicrobials susceptibility testing (ASTs)

Minimum inhibitory concentrations (MICs) were determined at IHMA's US and European laboratories using frozen in-house custom or commercially available broth microdilution panels. Separate custom panel configurations were made for isolates of Enterobacterales and Gram-negative nonfermenter species (*Acinetobacter* species, *Pseudomonas* species and *Burkholderia* species). All broth microdilution tests were set up according to the Clinical and Laboratory Standards Institute (CLSI) guidelines and MIC interpretive criteria used were those published in 2020 M100 guidelines by CLSI.<sup>16</sup> Because not all GNB are tested against standard antimicrobial panels as well as some protocol changes during collection period that allowed incremental introduction of novel antimicrobials, which explains the non-congruent figures. Additionally, interpretive criteria for imipenem/relebactam were those assigned by CLSI, EUCAST and the United States Food and Drug Administration (US FDA).

Using CLSI guidelines, *Escherichia coli, Klebsiella pneumoniae, K. oxytoca* and *Proteus mirabilis* were classified as ESBL producers if there was at least an 8-fold reduction (i.e. three doubling dilutions) of the MIC for ceftazidime or cefotaxime tested in combination with clavulanic acid versus their MIC values when tested alone.<sup>16</sup> Quality control (QC) of broth microdilution panels followed the manufacturer's instructions and CLSI guidelines, using the following ATCC strains: *E. coli* ATCC 25922, *P. aeruginosa* ATCC 27853, *K. pneumoniae* ATCC 700603 and *K. pneumoniae* BAA-2814. Results were included in the analysis only when corresponding QC values tested were within the acceptable ranges as specified by CLSI.

#### Molecular characterization of $\beta$ -lactamase genes

Enterobacterales isolates that met one or more of the following criteria (based on CLSI breakpoints) were screened for the presence of  $\beta$ -lactamase genes: Enterobacterales non-susceptible to ceftolozane/ tazobactam (MICs  $\geq$ 4 mg/L) and non-*Morganellaceae* Enterobacterales, excluding *Serratia* species, non-susceptible to imipenem (MICs  $\geq$ 2 mg/L) and/or imipenem/relebactam (MICs  $\geq$ 2 mg/L). *P. aeruginosa* isolates that met one or more of the following criteria were screened for the presence of  $\beta$ -lactamase genes: isolates non-susceptible to ceftolozane/tazobactam (MICs  $\geq$ 8 mg/L) and isolates non-susceptible to imipenem (MICs  $\geq$ 4 mg/L). The proportion

of isolates that met the testing criteria that were characterized was determined based on budgetary constraints which included 70 MDR-GNB and 48 CREs. Qualifying Enterobacterales isolates were screened for the presence of  $\beta$ -lactamase genes (*bla*) encoding class A ESBLs *bla*<sub>TEM</sub>, *bla*<sub>SHV</sub>, *bla*<sub>CTX-M</sub>, *bla*<sub>VEB</sub>, *bla*<sub>PER</sub>, and *bla*<sub>GES</sub>; *bla*<sub>AmpC</sub>, class B metallo- $\beta$ -lactamase (MBL) genes *bla*<sub>NDM</sub>, *bla*<sub>IMP</sub>, *bla*<sub>VIM</sub>, *bla*<sub>GIM</sub> and *bla*<sub>SPM</sub>, class C  $\beta$ -lactamase genes *bla*<sub>ACC</sub>, *bla*<sub>ACT</sub>, *bla*<sub>CMY</sub>, *bla*<sub>DHA</sub>, *bla*<sub>FOX</sub>, *bla*<sub>MIR</sub> and *bla*<sub>MOX</sub>; class A carbapenemases *bla*<sub>KPC</sub> and *bla*<sub>GES</sub> and class D *bla*<sub>OXA-48-like</sub>, by multiplex PCR as described previously.<sup>17</sup>

#### Data handling and statistical analysis

Summary of statistics were calculated using R software v.4.1.3. The total number of isolates (*n*), MIC50 (mg/L), MIC90 (mg/L) and MIC range (mg/L) were determined for all antimicrobial agents tested. The percentage of susceptibility (%) was calculated according to both CLSI and EUCAST where available. Direct comparisons were made between different interpretive criteria using published breakpoints for each drug.

#### Ethical considerations and data management

The study and collaboration were approved by the Medical Research Centre of Hamad Medical Corporation (HMC), which abides by local and international research standards (ref. 17248/17). The study also received approval from the Ethical Committee and Institution Review Board of HMC after demonstrating utmost commitment towards observing outlined standards for data management and sharing including limited access to nominated primary investigators, data anonymity and governance. All shared data with collaborators had no traced patients' identification.

## Results

#### Prevalence and distribution of aerobic GNB isolates

The frequency and distribution of all isolated GNB is shown in Table 1. Thirty-seven different GNB species were isolated, with predominance of four key species accounting for about 80% of infections: *E. coli* (27.9%), *K. pneumoniae* (25%), *P. aeruginosa* (21.9%) and *Stenotrophomonas maltophilia* (6%) while organisms from the order Enterobacterales constituted 67.25% (503/748) of infections. Identified pathogens were isolated from RTIs 39.84% (298/748), IAIs 23.80% (178/748), UTIs at 23.53% (176/748) and BSIs at 12.83% (96/748). *P. aeruginosa* was the most common cause of RTIs and *E. coli* was the most common cause of infections from the three other outlined sites.

#### Demographic profile of study population

The 748 specimens were collected from all age groups (0–99 years), with male preponderance (64.17%). Specimens were from medical, surgical and paediatrics departments while 39.1% of samples were from intensive and critical care units (Supplementary Table S1, available as Supplementary data at JAC-AMR Online).

#### Antimicrobial susceptibility patterns of GNB isolates

Antimicrobial susceptibility test results for the top 10 speciescomprising 699 isolates (93.4%) of the study organisms, are summarized in Table 2.

Assessment of the AST of the 503 clinical isolates of Enterobacterales demonstrated that the aminoglycoside

amikacin was the most potent, ranging between 95.72% and 100%. *E. coli* demonstrated the highest phenotypic detection of extended-spectrum  $\beta$ -lactamases (ESBLs) at 55.77% (116/208) compared to 39.04% (73/187) for *K. pneumoniae*. Conversely, carbapenem susceptibility patterns of Enterobacterales isolates (*E. coli, K. pneumoniae, E. cloacae, S. marcescens, P. mirabilis, K. varicola* and *K. aerogenes*) were highly retained being lowest for *K. pneumoniae* (96.17%, 87.17%, 92.31%, 95%, and 100%, respectively). Additionally, further exploration for the new  $\beta$ -lactams/ $\beta$ -lactamase inhibitors (BLBLIs) combinations revealed highest activity for ceftazidime/avibactam with low level resistance (*E. coli,* 3.65%, *K. pneumoniae* 3.98% while for *E. cloacae, S. marcescens* and *K. aerogenes* at 0%), respectively.

For the broad BLBLIs, imipenem/relebactam resistance rates were 2.97% for *E. coli* (5/208) compared to 11.76% (22/187) for *K. pneumoniae*. Similarly, for *E. coli*, the overall ceftolozane/tazo-bactam resistance was 9.62% (20/208) compared to 14.97% (28/187) for *K. pneumoniae* while resistance for ceftazidime/avibactam was 3.65% (5/137) and 5.98% (10/117), respectively (Table 2).

Furthermore, assessment of antibacterial activity of 164 *P. aeruginosa* isolates and 19 *A. baumannii* isolates are shown in Table 2. All *P. aeruginosa* isolates showed 100% susceptibility to colistin, with slightly reduced susceptibility to amikacin (97.56%) and tobramycin (97.30%). Unlike other BLBLIs combinations highest susceptibility was observed for ceftolozane/tazobactam (95.12%). All isolates of *A. baumannii* were 100% susceptible to colistin while susceptibility to tobramycin was (72.73%) and (63.16%) for both imipenem and ceftazidime.

# The frequency and distribution of $\beta$ -lactamase genes among Enterobacterales and P. aeruginosa

Genomic studies of 70 Enterobacterales (32 K. peumoniae, 22 E. coli, seven E. cloacae and nine others), revealed the presence of 157 different β-lactamases resistant genes representing all major classes; class A 71.42% (50/70), class B 24.29% (17/70), class C 25.71% (18/70) and class D 27.14% (19/70) with the overall dominance of the ESBLs *bla*<sub>TEM-OSBL</sub>. Combining microbiological and genetic characteristics, K. pneumoniae was more resistant with underlying class B MBLs bla<sub>NDM</sub> and class D OXA-48-like  $\beta$ -lactamases (specifically  $bla_{OXA-181}$  and  $bla_{OXA-232}$ ) when compared to E. coli that exhibit mainly class A B-lactamases (Table 3). Additionally, genomic studies of 49 carbapenemresistant, P. aeruginosa isolates, Pseudomonas-derived cephalosporinases (PDCs) were the most prevalent resistance genes with predominance of bla<sub>PDC-3</sub> (32.65%, 16/49), bla<sub>PDC-19A</sub> (10.2%, 5/ 49) and *bla*<sub>PDC-5</sub> (8.16%, 4/49), while the MBL carbapenemase  $bla_{VIM-2}$  was detected in only four isolates (8.16%, 4/49), as shown in Table 4.

# Microbiological and genetic characteristics of carbapenem-resistant Enterobacterales and carbapenem-resistant *P. aeruginosa*

Microbiological and genetic characteristics of 48 studied CREs (27 *K. pneumonias*, 11 *E. coli*, four *Enterobacter cloacae* and six others) revealed prevalence of ertapenem resistance in 89.58% (43/48), imipenem at 87.5% (42/48) and meropenem at

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Providencia stuarti 0 0 1 0	Proteus penneri	0	0	1	0	0	1	0	0	1	0.13%
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Pseudomonas otitidis 0	Pseudomonas non-speciated	1	0	0	1	0	0	0	0	1	0.13%
Ralstonia non-speciated 0 1 0	Pseudomonas otitidis	0	0	1	1	0	0	0	0	1	0.13%
Raoultella ornithinolytica 0 1 0 </td <td>Ralstonia non-speciated</td> <td>0</td> <td>-1</td> <td>0</td> <td>-1</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>1</td> <td>0.13%</td>	Ralstonia non-speciated	0	-1	0	-1	0	0	0	0	1	0.13%
	Raoultella ornithinolytica	0	0	1	0	0	1	0	0	Ч	0.13%
<b>Total (%)</b> 250 (33.42) 250 (33.42) 248 <sup>o</sup> (33.16) 298 (39.84) 178 (23.80) 176 (23.53) 96 (12.83) 1 (0.13) 748	Total (%)	250 (33.42)	250 (33.42)	248 <sup>b</sup> (33.16)	298 (39.84)	178 (23.80)	176 (23.53)	96 (12.83)	1 (0.13)	748 (100)	100%

BS, blood stream; IA, intra-abdominal; RT, respiratory tract; UT, urinary tract.

<b>Table 2.</b> Total numi and UTIs in the SM <sup><i>t</i></sup>	ber and ART Stuc	percentages ( dy (2017–2019	of in vitre 9)	o antimi	crobial s	suscepti	bility te	st for co	mmon	selecte	d Gram	-negati	ve orga	nisms c	ollected	from bl	.ood, int	ira-abd	ominal,	respirat	ory
Species	No. of isolates	AST	Amikacin	Mztreonam	əmiqəfəD	emixatofeO	nitixofeO	əmibizoffəJ	Cettaziaime-	tazobactam Céftolozane-	Seftriaxone	Ciprofloxacin	niteiloD	Ertapenem	mənəqimI -mənəqimI	kejepactaw		мегорепет-	Piperacillin-	tazobactam	ιοριαμλειμ
Escherichia coli	209	No. of tested	209	209	209	72	209	209	137	209	209	145	AN	209	209 2	09 2	09 2(	60	54 2	60	٩A
		isolates																			
		Susceptible (%)	205 (98.09%)	104 (49.76%) (	107 51.20%) (	28 38.89%) ((	143 58.42%) ('	107 51.20%) (9	132 6.35%) (8	188 9.95%) (4	92 4.02%) (3	52 5.86%)	6)	198 • 74%) (96	201 2	03 13%) (26.	55 2( 32%) (96.2	01 17%) (96	52 1 88%) (85	79 65%)	
Klebsiella pneumoniae	187	No. of tested	187	187	187	70	187	187	117	187	187	129	NA N	187	1 187	87 1	87 18	87	58 1	87 1	٩N
-		isolates																			
		Susceptible	179	124	122	45	144	120	110	159	114	77	,	160	161 1	65	77 10	63 12011 (201	50 1	40	
Pseudomonas aeruainosa	164	(%) No. of tested	(95.72%) 164	(66.31%) ( 164	.65.24%) ( 164	64.29%) (. NA	)) (%L0.77	34.17%) (9 164	4.02%) (8 111	5.03%) (6 164	0.96%) (5 NA	9.69%) 99	164 (8: 164	o.56%) (86 NA	.10%) (88. 164 1	24%) (41. 64 1	18%) (87 64 1(	1 /%) (86. 64	21%) (/4 JA 1	87%) 64 1	11
	-	isolates	-	-	-		-	-	•	-		2	-		-	-	-	-	,	-	-
		Susceptible	160	105	126			120	104	156		51	0		115 1	50 1	25 1:	20	1	12 1	08
		(%)	(97.56%)	(64.02%) (	76.83%)		0	73.17%) (5	3.69%) (9	15.12%)	(5	(1.52%) (C	(%00)	(70	.12%) (91.	46%) (76.	22%) (73.3	17%)	(68	29%) (97.	30%)
Stenotrophomonas	45	No. of tested	NA	NA	NA	NA	NA	45	NA	AA	NA	NA	NA	NA	NA	AN	45 P	AA I	AA	NA I	٩A
maltophilia		isolates						L													
		Susceptible /%)						15 1333061								175	34 56061				
	5	(0/)	5	5	2	ç	, , ,	10% 6 6. 6 6	, ,	5		ç		5		ر (/ J.	. 10/.00	2	r		4
Enteropacter cioacae	07	isolates isolates	07	07	07	13	07	07	0 T	07	07	77	AN	07	07	07	07	07	~	07	4 A
		Susceptible	25	20	23	00	1	20	16	20	19	16		23	23	24	16	24	7	20	
		(%)	(96.15%)	(76.92%) (	88.46%)	(80%)	4.35%) (	6.92%) (	100%) (7	(92%) (2	7.08%) (8	(4.21%)	(8	3.46%) (88	.46%) (92.	31%) (61.	54%) (92.3	31%) (1(	0%) (76	92%)	
Serratia marcescens	20	No. of tested	20	20	20	7	20	20	13	20	20	10		20	20	20	20	20	10	20	٩N
		isolates																			
		Susceptible	20	20	19	7	1	20	13	19	17	6	NA	19	14	17	11	19	6	18	
		(%)	(100%)	(100%)	(%56)	(100%)	(2%)	100%) (	100%)	(95%) (	85%) (	(%06)	0	95%) (7	.0%) (8)	5%) (5	5%) (95	6) (%)	6) (%0	(%C	
Acinetobacter baumannii	19	No. of tested	19	NA	19	6	NA	19	NA	NA	6	19	19	NA	19	AN	19	19 1	٩A	19	11
		isolates	:		:			:							:		:	:		:	
		Susceptible	12			7		12			0	00	0		12		11	12		[]	20
		(%)	(63.16%)	0	57.89%) (	25.00%)	2	53.16%)			9)	1.54%)		(63	.16%)	(57.	89%) (63.3	16%)	(57	89%) (72.	73%)
Klebsiella aerogenes	11	No. of tested	11	11	11	ъ	11	11	9	11	11	6	11	11	11	11	11	11	2	11	٩A
		isolates																			
		Susceptible	11	6	11	m	0	ø	9	10	00	9	11	11	10	11	ц.	11	2	6	
		(%)	(100%)	(81.82%)	(100%) (	60.00%)	0	72.73%) (	100%) (5	0.91%) (7	2.73%) (6	6.67%) (1	(%00)	06) (%00	.91%) (10	0%) (45.	45%) (10	0%) (1(	0%) (81	82%)	
Proteus mirabilis	10	No. of tested	10	10	10	4	10	10	9	10	10	7	NA	10	10	10	10	10	m	10 1	Ā
		isolates							,			ı					ı				
		Susceptible	10	9.55	ا	7	ь ;	م	٥	ь ;	20	۰ ۱	:	10 1	×	ь.	۰ ۱	10		10	
		(%)	(100%)	(%06)	) (%06)	50.00%)	(%06)	) (%06)	100%)	(%06)	80%) (7	1.43%)	0	3) (%00)	(%0)	0%) (5	0%) (10	0%) (1(	0%) (10	(%)	
Klebsiella variicola	00	No. of tested	∞	00	00		∞	~	2	∞	00	4	ΝA	00	00	00	∞	~	4	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	٩
		solates 5	0	o	0	Ţ	o	0	٢	o	0	4		ų	y	U	٢	٢	,	U	
		20275701016 (%)	(100%)	(100%)	(100%)	(100%)	,100%)	100%) (	, 100%) (	100%) (	.) (%001	100%)	)	75%) (7	L) (%) (1)	0 (87 5%) (87	, 5%) (87,	7 5%) (1(	4 (7)	0 5%)	
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The epidemiology and microbiological characteristics of infections caused by Gram-negative bacteria in Qatar

Susceptibility tests were reported according to breakpoints of the CLSI 2020 Edition.

	Clo	ss A β-lactar	nase		Cle	ass B	β-la	ctar	nası	n.									-	Clas	ss C	β-lc	icta.	ma:	se										ß	-lac	ass tan	D Jase	n.
Jrganism name	CTX-M-1 TYPE CTX-M-14 CTX-M-12240G CTX-M-1-240G CTX-M-12 CTX-M-16	2HA-3J 2HA-O2BF CLX-W-6-5¢0D	LEW-OZBF 2HA-EZBF 2HA-13	AEB-9 KbC-3	I-MON	NDW-2 MDW-16	Z-WON	NDM-TYPE	Z-MIV	τ-WIΛ	PDC 300	Aet-Juh פר_סחק			71-JUd	PDC-37	PDC-35	PDC-39	PDC-TYPF	PDC-11	PDC-117	PDC-15	PDC-16	PDC-59	PDC-60	CWX-2-TYPE	СМУ-ТҮРЕ	СМҮ-42	CMY-59	ſ-AHO	ΟΗΑ-ΤΡΟΝΟ	DHA-TYPE	ACT-TYPE	MIR-TYPE	181-AXO	CEC-DXO	87-7XU	OXA-TYPE	
seudomonas	49								4		16	ہ ص	(1) (+	ŝ		۰۰ 	5	5	, 2	1	-	-	-	1	1														
aeruginosa (lebsiella	32 16 4 1 1	1 26 3	2 21	1	ъ	1	Ś																							-		Ļ			2		~ ~	~ .	-
pneumoniae Techarichia coli	, ( E L ((	_	10		~	- -		<u>ر</u>																		4	'n	~	~										
Enterobacter cloacae		-	-		- <del>-</del>	-		1		Ļ																F	ſ	-	-		Ļ		m						
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(lebsiella variicola	2																																				. 7	<u> </u>	
(lebsiella aerogenes	2																													-									
Citrobacter freundii	1																										-												
Proteus mirabilis	1				-																																		
Total	119 23 7 3 1	1 1 26 3	1 2 31	1 1	∞	1 3	m	2	4	, . , .	16	ہ 2	 .+	ω ω	с С	m	2	2	2	-	-	-	1	1	1	4	4	-	-	2	1	1	m 	-	2	.1	3 2	+,	1 2
Subtotals	101				22					2	57																								20	~			2

72.91% (35/48) of clinical isolates with 70.83% (34/48) concordant resistance to all three agents (Table 5). Furthermore, genetic studies revealed extreme rarity of other types of carbapenem-resistance genes with predominance of class D ( $bla_{OXA-48}$ -like type, specifically: 12  $bla_{OXA-232}$ , 4  $bla_{OXA-48}$ , 2  $bla_{OXA-181}$ , and 1  $bla_{OXA-type}$ ) and class B MBL (7  $bla_{NDM-1}$ , 3  $bla_{NDM-5}$ , 3  $bla_{NDM-7}$ , 2  $bla_{NDM-19}$  and 1  $bla_{VIM-4}$ ) (Table 4).

Microbiological evaluation of the 49 carbapenems-resistant P. aeruginosa isolates against imipenem and imipenem/relebactam, revealed that 14 isolates were resistant to both (concordant resistance), while 35 P. aeruginosa isolates were resistant to imipenem but susceptible to imipenem/relebactam (discordant resistant group) indicating relebactam restored 71.4% (35/49) of imipenem activity. Four of the concordant resistant isolates harboured class B MBL  $bla_{VIM2}$  while the rest were AmpC-type  $\beta$ -lactamases in the form of PDCs speared by  $bla_{PDC-3}$ . Conversely, the discordant group although showed embedded PDCs including  $bla_{PDC-3}$  (eight isolates), were of diverse types including bla<sub>PDC-19A</sub>, bla<sub>PDC-1</sub>, bla<sub>PDC-5</sub>, bla<sub>PDC-14</sub> and bla<sub>PDC-37</sub> (five then three isolates each respectively). Furthermore, the eight P. aeruginosa isolates that were resistant to ceftolozane/tazobactam harboured the following  $\beta$ -lactamase genes: four *bla*<sub>VIM-2</sub>, four  $bla_{PDC-3}$ , two  $bla_{PDC-5}$  and two  $bla_{PDC-35}$  Supplementary Table S2).

#### Discussion

The impact of AMR is a major global threat to humanity because of direct clinical as well as indirect economic and social consequences.<sup>12</sup> To overcome AMR challenges, the widely adopted recommendation is to implement cornerstone concepts of basic and advanced surveillance studies to assess pathogens evolving microbiological characteristics as well as examine dynamic resistance mechanisms.<sup>18</sup> Furthermore, following the implementation of conventional practices in modern healthcare such as regular bacterial phenotypic analysis, genotypic and molecular epidemiology has been advocated as crucial advanced concept to face unexpected challenges particularly at different regional healthcare settings.<sup>19</sup>

The SMART is an international research collaboration for the study of AMR in GNB focusing on four key infections: RTIs and UTIs together with IAIs and BSIs. The study started about two decades ago on a small scale then expanded as an ongoing global surveillance study.<sup>17</sup> In the Middle East and Africa regions, 24 medical centres participated in the study detailed as follows (arranged alphabetically): Israel, Jordan, Kenya, Kuwait, Lebanon, Morocco, Qatar, Saudi Arabia, South Africa, Tunisia and the United Arab Emirates.

The results of surveillance of GNB from secondary and tertiary healthcare from Qatar with emphasis on the four specified sites of infections demonstrated dominance of four key pathogens namely, *E coli, K. pneumoniae, P. aeruginosa* and *S. maltophila,* which are in line with published regional surveillance studies.<sup>6,20–23</sup> Of note, *E. coli* remains the main pathogen for UTIs in contrast to *K. pneuminae* that were isolated mainly from RTIs followed by UTIs and IAIs but with established higher AMR (Table 2). This reflects that *K. pneumoniae* isolates from HAIs are mainly secondary to hospital or ventilation-associated pneumonia, which probably explains the high observed resistance rates.

Table 3. The frequency of different β-lactamase genes amongst 70 Enterobacterales isolates collected between 2017 and 2019, which was resistance to different β-lactam antibiotic classes

sə	Total no. of gen	49	88	17	4	-	2	1	161	161
Se	ОХА-ТҮРЕ					-			-	
s D ma	84-AXO		7				7		4	
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ase	PDC-59									
Ш	91-200	-							-	
acto	PDC-117	Η							Η	
β-lc	PDC-11	-							1	
s C	PDC-TYPE	2							2	
clas	PDC-39	2							2	
U	PDC-35	2							2	
	PDC-37	ć							$\sim$	
	PDC-14	$\sim$							$\sim$	
	PDC-12	2							2	
	PDC-1	2							2	
	PDC-5	4							4	
	PDC-19A	4							4	50
	PDC-3	16							16	
ase	4-ΜΙΛ				-				-	
amo	Z-MIV	4							4	
acti	NDM-TYPE			7					2	
β-l	Z-MQN		Μ						$\sim$	
ss B	S-MON		1	2					$\sim$	
Clas	6I-MON			Ч					Ч	
_	I-MON		ഹ	-	-				$\sim$	
	KPC-2		-						-	
	IEM-OSBL		17	Μ					20	21
	2HA-F2RC		2						2	
ase	16337415 16-045		2						2	
an			Ę						-	
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ļ	21-M-XTO	•	7	_	. +	~	~	_	- -	$\sim$
	No. of isolates	4	2	-	7	(•)			6	
	ies	domonas aeruginosc	siella pneumoniae	erichia coli	robacter cloacae	itia marcescens	siella variicola	siella aerogenes		otals
	Speci	Pseur	Klebs	Esche	Enter	Serra	Klebs	Klebs	Total	Subt

Table 4. The frequency and distribution of different β-lactamase genes among 49 carbapenem-resistant Pseudomonas aeruginosa and 48 CREs isolates collect between 2017 and 2019

Similar epidemiological studies highlighted escalating MDR-GNB at critical care settings particularly rising trends of extremelydrug resistant *K. pneumoniae*.<sup>21,24,25</sup>

The presented results are the first comprehensive surveillance study in the country, and it reflects an alarmingly high-level AMR profile since for *E. coli*, the prevalence of phenotypic resistance pattern for ESBLs was higher than half of isolates (55.7%) while for *K. pneumoniae* was 39%. Almost a decade earlier, limited studies from Qatar at the existed but smaller healthcare settings focusing on 452 episodes of BSIs, established almost half ESBL prevalence (27.8% for *E. coli* and 17.9% for *K. pneumoniae* respectively) while a surveillance study of 629 consecutive Enterobacterales from critical care settings between 2012 and 2013 revealed the overall prevalence of 17.3%.<sup>26,27</sup>

In the Middle East region, focusing on GNB, the escalating problem of AMR is predominated by ESBL production with multifactorial explanations mainly from existing diverse population, frequent influx of seasonal international travellers together with the widely practised inappropriate and high antimicrobial consumption.<sup>28-30</sup>

Although E. coli exhibited higher ESBLs phenotypic resistance patterns compared to K. pneumoniae, detailed microbiological and genetic characteristics points towards the opposite where E. coli demonstrated lower-level resistance to carbapenems when compared to K. pneumoniae (meropenem resistance of 3.83% and 12.83%, respectively), which has not changed even for novel agents not currently available at the hospital formulary such as imipenem/relebactam (2.83% and 11.76%, respectively). Similarly, regarding newer agents of BLBLIs such as ceftazidime/ avibactam and ceftolozane/tazobactam, in E. coli the overall resistance rates for the two agents were 3.65% and 10.05%, while for K. pneumoniae these were 5.98% and 14.97%, respectively. Again, this is showing rising trends for the two agents since between 2012 and 2013, when 109 ESBL producing Enterobacterales isolated from critical care were tested against ceftazidime/avibactam and ceftolozane/tazobactam. it demonstrated AMR rates of 0.9%.<sup>31</sup>

Distinctively when 49 carbapenem-resistant P. aeruginosa were tested against imipenem and imipenem/relebactam, all isolates were resistant to imipenem. Whereas relebactam restored in vitro imipemen activity in 71.4% (35/49) of isolates. Four of these isolates resistant to imipenem/relebactam harboured the MBL  $bla_{VIM-2}$  while the rest harboured different class C AmpC-type β-lactamases in the form of PDCs. Intriguingly, none of the resistant isolates harboured bla<sub>IMP</sub> as observed elsewhere.<sup>32</sup> Comparatively, avibactam, which is a potent BLBLI capable of inhibiting class A, C and D B-lactamases but is overwhelmed by class B MBL such as *bla<sub>NDM</sub>* and *bla<sub>VIM</sub>* whereas the closely related relebactam has similar inhibition spectrum albeit with absent activity against class D OXA-type carbapenemases, demonstrated supplementary antimicrobial potency.<sup>33</sup> Of note, for P. aeruginosa the classic pearl of wisdom that genotypic resistance patterns does not always equate phenotypic ones because there are other complex resistance mechanisms involving diverse membrane pathways such as the loss of porin channels and overproduction efflux pumps.<sup>34</sup> While imipenem is more resistant to GNB ejecting efflux pumps when compared to meropenem, it remains susceptible to porin channel mutations that hinder its inward penetration conferring phenotypic

Species	Pseudomonas aeruginosa	Klebsiella pneumoniae	Escherichia coli	Enterobacter cloacae	Serratia marcescens	Klebsiella variicola	Klebsiella aerogenes	Total (%)
Gender				Number (%	)			
Male	37 (75.51)	20 (74.07)	5 (44.45)	1 (25)	3 (100)	2 (100)	1 (100)	69 (71.13)
Female	12 (24.49)	7 (25.93)	6 (54.55)	3 (75)	0	0	0	28 (28.87)
Location								
In-patient	27 (55.1)	21 (77.78)	8 (72.73)	3 (75)	0	0	0	59 (60.82)
Intensive care unit	22 (44.9)	6 (22.22)	3 (27.27)	1 (25)	3 (100)	2 (100)	1 (100)	38 (39.18)
Age								
Paediatric<14 years	2 (4.08)	1 (3.7)	0	0	0	0	0	3 (3.09)
Adult 14-65 years	31 (63.27)	15 (55.56)	8 (72.73)	3 (75)	3 (100)	2 (100)	1 (100)	63 (64.95)
Geriatric > 65	16 (32.65)	8 (29.63)	3 (27.27)	1 (25)	0	0	0	28 (28.87)
Site of isolation								
RT	36 (73.47)	11 (40.74)	0	1 (25)	3 (100)	1 (50)	1 (100)	53 (54.64)
IA	5 (10.2)	5 (18.52)	6 (54.55)	1 (25)	0	1 (50)	0	18 (18.56)
UT	2 (4.08)	7 (25.93)	5 (45.45)	2 (50)	0	0	0	16 (16.49)
BS	6 (12.24)	4 (14.81)	0	0	0	0	0	10 (10.31)
No. of isolates susceptible								
to								
Aztreonam	14 (28.57)	9 (33.33)	0	1 (25)	3 (100)	2 (100)	1 (100)	
Cefepime	28 (57.14)	5 (18.52)	0	2 (50)	2 (66.67)	2 (100)	1 (100)	
Cefotaxime	NA	10 (37.04)	0	2 (50)	3 (100)	2 (100)	1 (100)	
Ceftazidime	24 (48.98)	6 (22.22)	0	1 (25)	3 (100)	2 (100)	0	
Ceftriaxone	NA	5 (18.52)	0	1 (25)	2 (66.67)	2 (100)	0	
Colistin	49 (100)	NA	NA	NA	NA	NA	NA	
Meropenem	8 (16.33)	4 (14.81)	3 (27.27)	2 (50)	2 (66.67)	1 (50)	1 (100)	
Ertapenem	NA	1 (3.7)	0	1 (25)	2 (66.67)	0	1 (100)	
Imipenem	0	2 (7.41)	3 (27.27)	1 (25)	0	0	0	
Ceftolozane/ tazobactam	41 (83.67)	5 (18.52)	1 (9.09)	1 (25)	2 (66.67)	2 (100)	1 (100)	
Imipenem/relebactam	35 (71.43)	6 (22.22)	5 (45.45)	2 (50)	0	0	1 (100)	
Pipracillin/tazobactam	19 (38.78)	2 (7.41)	0	1 (25)	2 (66.67)	0	1 (100)	
Total (%)	49 (100)	27 (100)	11 (100)	4 (100)	3 (100)	2 (100)	1 (100)	97 (100)

**Table 5.** Demographic profile of patients and phenotypic antimicrobial susceptibility among 49 carbapenem-resistant *P. aeruginosa* and 48 CREs isolates collected between 2017 and 2019. Sites of isolation: respiratory tract (RT), intrabdominal (IA), urinary tract UT, blood stream (BS)

resistance.  $^{33,35}$  In *P. aeruginosa*, the loss of OprD porin channels together with class C ESBLs and AmpC such as PDC is the hallmark of imipenem resistance.  $^{36}$ 

Among carbapenem-resistant *P. aeruginosa*, eight isolates that were resistant to ceftolozane/tazobactam harboured different  $\beta$ -lactamase genes: class B  $bla_{VIM-2}$ ; class C  $bla_{PDC-3}$ ,  $bla_{PDC-5}$ and  $bla_{PDC-35}$  and class B  $bla_{VIM-2}$  and  $bla_{PDC-35}$ , which have been associated with high-level resistance to ceftolozane/tazobactam.<sup>37,38</sup> When comparing discordant results of the *in vitro* activity for the novel BLBLIs for most Enterobacterales, it favours ceftazidime/avibactam over ceftolozane/tazobactam but not for *P. aeruginosa* where the latter demonstrated superior activity. Nevertheless, antimicrobials activity cannot be reliably extrapolated to clinical practice since evaluation for the two agents, demonstrated similar efficacy with no noticeable significant clinical differences.<sup>31,39-41</sup>

Genetic characterization of 70 Enterobacterales including 48 isolates that were CREs revealed the presence of all major  $\beta$ -lactamase classes with a predominance of  $bla_{CXM-15}$  ESBLs in

conjunction with other historic resistant genes such as blatem and bla<sub>SHV</sub> distributed in K. pneumoniae and E. coli when compared to other GNB (Tables 3 and 4). The plasmid-mediated ESBL gene,  $bla_{\text{CXM-15}}$  has a global distribution with a direct link to advanced cephalosporins resistance being the most widely reported resistant gene from all global regions including the Middle East and Gulf countries.<sup>27,28,42,43</sup> Noticeably, E. coli resistant genes were mainly class A ESBLs while K. pneumonia demonstrated more divergent pattern with the presence of a multitude of class D OXA-type ESBLs as well as carbapenemases such as bla<sub>NMD</sub> and bla<sub>OXA-48</sub> and its closely related bla<sub>OXA-181</sub> and  $bla_{OXA-232}$ . These mutated resistant genes are derivatives from their parent carbapenemase bla<sub>OXA-48</sub> with few point mutations.<sup>44</sup> Locally, our PCR-based molecular techniques will report these different resistant genes grouped as bla<sub>OXA-48</sub>. Distinctively, among the 70 Enterobacterales and 48 CREs only a single K. pneumoniae isolate harboured bla<sub>KPC-2</sub>, which was probably imported as shown in similar local CREs studies.<sup>45</sup> The plasmid-mediated KPCs serine carbapenemases are historically linked to the West, particularly North America and Southern Europe, although they have been reported in some other distant countries such as Israel and China but this has been extremely rare in our region.<sup>42,45,46</sup> Furthermore, current molecular epidemiology, affirms reported and observed dominance of the carbapenemase  $bla_{OXA}$  types and  $bla_{NDM}$  in the region.<sup>28,45,47</sup>

Despite the diverse microbiological and genomic outcomes of the study, there are some noticeable limitations. The prospective study collected representative pathogens from specific infection sites that have changed over the study period, which might lessen the overall epidemiological accuracy. Furthermore, for microbiological and genetic testing, although the defined protocol was followed, it did change over time. For example, as novel antibiotics were introduced into clinical practice, they were evaluated but against fewer isolates. Therefore, a true comparison cannot be accurately reported. Last, the methods for genetic and molecular characterization of resistance followed the central study protocol, which is more detailed when compared to local practice. That might generate more elaborative results that are difficult to benchmark at local levels.

In conclusion, the SMART surveillance study from Qatar between 2017 and 2019 encompassed a sizeable collection of 748 isolates comprising 37 different GNB dominated by *E. coli*, *K. pneumoniae*, *P. aeruginosa* and *S. maltophilia* showing significant microbiological and genetic characteristics with a prevalence of divergent types of ARGs particularly  $bla_{CXM-15}$  whereas *K. pneumonia* isolates collected mainly from respiratory specimens were more resistant to existing as well as novel antimicrobials with a distinct overall dominance of  $bla_{OXA}$  type and  $bla_{NDM}$ carbapenemases.

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# **Transparency declarations**

H.A.H. and E.B.I. disclose honorarium for collaboration in educational meetings sponsored by MSD. The rest of the authors have no conflicts of interest related to this academic publication.

# Supplementary data

Tables S1 and S2 are available as Supplementary data at JAC-AMR Online.

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