1 Activation of ATF3 via the Integrated Stress Response Pathway Regulates Innate Immune 2 and Autophagy Processes to Restrict Zika Virus. 3 4 Pheonah Badu^{1,2} and Cara T. Pager^{1,2,3} 5 6 ¹Department of Biological Sciences, College of Arts and Sciences, University at Albany-SUNY, 7 Albany, NY 12222 8 ²The RNA Institute, College of Arts and Sciences, University at Albany-SUNY, Albany, NY 9 12222 10 Running Head: ATF3 modulates Zika virus infection. 11 12 13 ³Address correspondence to Cara T. Pager, ctpager@albany.edu 14 15 Key words 16 Zika virus 17 Flavivirus 18 **Transcription Factor** 19 **Integrated Stress Response** 20 Autophagy 21 Innate immune response

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1 Abbreviations

- 2 ATF3 Activating transcription factor 3
- 3 ATF4 Activating transcription factor 4
- 4 BMDMs Bone marrow-derived macrophages
- 5 CHOP C/EBP homologous protein
- 6 DENV- Dengue virus
- 7 DMSO Dimethyl sulfoxide
- 8 eIF2α Eukaryotic initiation factor 2-alpha
- 9 GCN2 General control non-derepressible-2
- 10 HRI Heme-regulated eIF2α kinase
- 11 IFN Interferon
- 12 ISG Interferon stimulated genes
- 13 ISR Integrated stress response
- 14 ISRIB Integrated stress response inhibitor
- 15 JEV Japanese encephalitis virus
- 16 MCMV murine cytomegalovirus
- 17 NS Nonstructural
- 18 PKR Protein kinase R; double-stranded RNA-dependent protein kinase
- 19 PERK Protein kinase R-like ER kinase
- 20 UPR Unfolded protein response
- 21 ZIKV Zika virus
- 22 ZIKV PRVABC59 Zika virus Puerto Rico isolate
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1 Abstract

2 Zika virus (ZIKV) is a re-emerging mosquito-borne flavivirus that can have devastating health 3 consequences. The developmental and neurological effects from a ZIKV infection arise in part 4 from the virus triggering cellular stress pathways and perturbing transcriptional programs. To date, 5 the underlying mechanisms of transcriptional control directing viral restriction and virus-host 6 interaction are understudied. Activating Transcription Factor 3 (ATF3) is a stress-induced 7 transcriptional effector that modulates the expression of genes involved in a myriad of cellular 8 processes, including inflammation and antiviral responses, to restore cellular homeostasis. While 9 ATF3 is known to be upregulated during ZIKV infection, the mode by which ATF3 is activated and 10 the specific role of ATF3 during ZIKV infection is unknown. In this study, we show via inhibitor 11 and RNA interference approaches that ZIKV infection initiates the integrated stress response pathway to activate ATF4 which in turn induces ATF3 expression. Additionally, by using a 12 13 CRISPR-Cas9 system to deplete ATF3, we found that ATF3 acts to limit ZIKV gene expression 14 in A549 cells. In particular, the ATF3-dependent anti-ZIKV response occurred through regulation 15 of innate immunity and autophagy pathways. We show that ATF3 differentially regulates the 16 expression of innate immune response genes and suppresses the transcription of autophagy 17 related genes to influence autophagic flux. Our study therefore highlights an important role for the 18 integrated stress response pathway and ATF3 in establishing an antiviral effect during ZIKV 19 infection.

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21 Importance

22 ZIKV is a re-emerging mosquito-borne flavivirus associated with congenital Zika syndrome in 23 infants and Guillain Barré syndrome in adults. As a cytoplasmic virus, ZIKV co-opts host cellular 24 mechanisms to support viral processes and consequently, reprograms the host transcriptional 25 profile. Such viral-directed transcriptional changes and their pro- or anti-viral significance remain 26 understudied. We previously showed that ATF3, a stress-induced transcription factor, is 27 significantly upregulated in ZIKV infected mammalian cells, along with other cellular and immune 28 response genes. Here, we specifically define the intracellular pathway responsible for ATF3 29 activation and elucidate the impact of ATF3 expression on ZIKV infection. Our data provides novel 30 insights into the role of the integrated stress response pathway in stimulating ATF3 which 31 differentially regulates the innate immune response and autophagy at the transcript level to 32 antagonize ZIKV gene expression. This study establishes a framework that links viral-induced 33 stress response to transcriptional regulation of host defense pathways and thus expands the

- 1 depth of knowledge on virus-mediated transcriptional mechanisms during ZIKV infection which in
- 2 turn will inform future therapeutic strategies.

1 Introduction

2 Zika virus (ZIKV) is a flavivirus that is spread mainly by Aedes mosquitoes (1) and causes self-3 limiting infections characterized by mild symptoms such as fever, headache, and joint pain (2). 4 The re-emergence of ZIKV from 2007 to 2016 produced large outbreaks in Yap Island, French 5 Polynesia, and the American region (2-4). These outbreaks implicated the virus in intrauterine-6 linked complications termed congenital Zika syndrome which includes microcephaly, congenital 7 malformations, and fetal demise (5, 6). Additionally, the recent surges in infection also revealed 8 an association with Guillain-Barré syndrome, a neurological disease which results in paralysis 9 and affects adults (7). Combined these damaging effects make re-emerging ZIKV a significant 10 public health challenge (8), which is worsened in part due to the different transmission routes and 11 the absence of antiviral drugs and vaccines. Improving our understanding of the core mechanisms 12 of viral processes, virus-host interactions, and viral restriction may provide valuable clues to help 13 offset this re-emerging public health challenge.

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15 ZIKV has a single-stranded positive-sense RNA genome, approximately 11,000 nucleotides in 16 length, that is translated into a single polyprotein upon viral entry into a host cell. Viral translation 17 occurs on the endoplasmic reticulum (ER) membrane and is followed by proteolytic cleavage of 18 the polyprotein. This process produces structural proteins (capsid [C], precursor membrane [prM], 19 envelope [E]) involved in virus formation and non-structural proteins required for protein 20 processing (NS2B and NS3), viral replication (NS1, NS2A, NS3. NS4A, NS4B, NS5, RNA dependent RNA polymerase [RdRp]), and immune evasion (NS1, NS5) (9, 10). After these viral 21 22 proteins are made, the viral genome is replicated on the ER membrane. This process triggers 23 extensive remodeling of the membrane as host proteins together with viral nonstructural (NS) 24 proteins assemble to form the replication complex (11-13). The replicated genome subsequently 25 associates with structural proteins to form the nascent virion on the ER membrane at sites 26 juxtaposed to the replication complex (9). As a result of the immense structural changes induced, 27 and the accumulation of misfolded proteins in the ER, cellular homeostasis is disrupted. In 28 response, the cell activates two distinct but overlapping signaling networks namely the unfolded 29 protein response (UPR) and the Integrated Stress Response (ISR) (14).

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The ISR is a large network of signaling pathways in eukaryotic cells that is stimulated by external and internal stressors including viral infection, nutrient deprivation, and ER stress. These stressors activate a four-member family of $eIF2\alpha$ kinases, PERK (Protein Kinase R-like ER kinase), PKR (Protein Kinase R; a double-stranded RNA-dependent protein kinase), GCN2

1 (general control non-derepressible-2) and HRI (heme-regulated eIF2 α kinase) (14). All four 2 kinases share sequence similarity in their catalytic domains but have different regulatory domains 3 (15). Therefore, each kinase responds to a distinct stress, but all target the translation initiation 4 factor eIF2 and phosphorylate the serine 51 residue of the alpha subunit (15). This 5 phosphorylation event inhibits the quanine nucleotide exchange factor for the eIF2 complex. 6 eIF2B and prevents the assembly of translation pre-initiation complexes (16). Ultimately, $eIF2\alpha$ 7 phosphorylation represses global cap-dependent translation but promotes the preferential 8 translation of select mRNAs that play key roles in resolving the stress (17).

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10 Activating transcription factor 4 (ATF4) is one of the best studied ISR-specific effector proteins 11 that acts as a master regulator of stress and is selectively translated through a mechanism 12 involving the activation of upstream open reading frames upon eIF2 α phosphorylation (18). When 13 induced, ATF4 controls the transcriptional programs of a cohort of genes involved in cell survival 14 or cell death. The overall outcome of ATF4 expression is context specific and is influenced by the 15 cell type, type of stressor and the duration of stress (19, 20). One target of ATF4 is Activating 16 Transcription Factor 3 (ATF3), another ISR gene activated in response to stress. Depending on 17 the cellular environment or nature of the stress, ATF3 can be activated by other effectors beside 18 ATF4 (21). Like ATF4, ATF3 belongs to the ATF/CREB family of transcription factors and can 19 function as either a transcriptional activator or repressor (22). It has a DNA binding domain as 20 well as a basic leucine zipper (bZip) region that is important for dimer formation (23). When 21 promoting transcription of target genes, ATF3 heterodimerizes with other bZip proteins like c-22 JUN, while in a repressive role, ATF3 forms homodimers or stabilizes inhibitory co-factors at 23 promoter sites (23, 24). Generally, ATF3 modulates various cellular processes like autophagy, 24 innate immune and inflammatory responses, DNA damage response, and cell cycle progression 25 (21). During viral infection, activation of ATF3 produces paradoxical outcomes (25–28). Notably during Japanese encephalitis virus (JEV) infections, ATF3 putatively repressed the expression of 26 27 select interferon stimulated and autophagy genes to enhanced viral protein and RNA levels (26). 28 Like ZIKV, JEV is a neurotropic mosquito-borne flavivirus. In contrast however, JEV is 29 phylogenetically grouped into a different clade within the flavivirus genus. Given that ATF3 has 30 both pro- and viral functions (25-28), we wondered if ATF3 might exhibit similar or different 31 activities during ZIKV infection.

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Our recent global transcriptome analysis of human neuronal cells infected with ZIKV and Dengue
 virus (DENV) also revealed a connection with ATF3 (29). Specifically, RNA-seq and gene

1 ontology analyses of human SH-SY5Y neuronal cells infected with two strains of ZIKV, Uganda 2 (MR799) and Puerto Rico (PRVABC59), and DENV serotype 2 revealed an upregulation of 3 immune response genes in both ZIKV strains but not in DENV. Additionally, genes involved in 4 cellular responses were significantly upregulated particularly in PRVABC59 infected cells, 5 including genes associated with both ER stress and the UPR pathway (ATF4, ATF3 and 6 CHOP/DDIT3) (29). Elevated ATF4 expression suggested that the ISR pathway was putatively 7 activated during ZIKV PRVABC59 infection, which in turn would stimulate ATF3 expression and 8 downstream targets like CHOP for stress management. However, the functional significance of 9 ATF3 in ZIKV infection and if it has pro- or anti-viral functions, had not been determined.

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11 In this study, we used ISR-specific inhibitors and ATF4 gene silencing approaches to show that depletion of ATF4 decreased ZIKV gene expression and the ISR pathway stimulated ATF4 12 13 expression which directly activated ATF3 during ZIKV infection. We further demonstrated that in 14 the absence of ATF3, ZIKV protein and RNA levels increased indicating that ATF3 functioned to 15 restrict viral infection. Finally, we determined that knockout of ATF3 enhanced the expression of 16 autophagy genes and differentially affected the expression of anti-viral innate immune genes 17 during ZIKV infection. Our data reveal the overlapping effects of ATF3 regulation within the cell 18 and highlight that ATF3-driven cross regulation of innate immunity and autophagy pathways 19 collectively impedes ZIKV infection.

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21 Results

22 ZIKV promotes strong ATF3 expression 24-hours post infection.

23 In a previous gene expression study, we observed that ZIKV PRVABC59 infection in a neuronal 24 cell line (SH-SY5Y) stimulated immune and stress response genes such as ATF3 and CHOP 25 (29). ZIKV is known to rearrange ER membranes and activate the UPR (11). To investigate ATF3 26 expression in uninfected A549 lung adenocarcinoma cells in response to ER stress, we first 27 treated A549 cells with tunicamycin, and then examined protein expression at 0.5-, 2-, 4- and 6-28 hours post-treatment. Tunicamycin inhibits the first step of protein glycosylation to affect the 29 folding of glycosylated proteins in the ER (30). The accumulation of these misfolded proteins in 30 the ER lumen induces ER stress, activation of PERK, a UPR sensor, which phosphorylates eIF2a 31 and enhances translation of ATF4 to induce ATF3 expression (31). By immunoblot analysis we 32 found that ATF4 protein levels increased from 2-hours after tunicamycin treatment with ATF3 33 protein strongly expressed at 4- and 6-hours after treatment (Figure 1A). Additionally, at 4- and 34 6-hours post-treatment, RT-qPCR analysis revealed an increase in mRNA expression of ATF3,

1 *ATF4* and *CHOP* (Figure 1B-1D). The coincident upregulation of *ATF4* and *CHOP* mRNA with 2 ATF3 expression is consistent with the target and effector functions of ATF3 (21). Thus, in A549 3 cells, tunicamycin and the induction of ER stress activates ATF3 expression at 4 and 6 hours.

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5 To determine when ATF3 was stimulated during ZIKV infection, we infected A549 cells with ZIKV 6 PRVABC59 (moi=10 PFU/cell) and examined viral and cellular proteins and RNA levels at 7 different timepoints following infection. The highest level of the ZIKV nonstructural protein NS1 8 was observed at 24 hours post-infection and correlated with peak ATF3 protein expression (Figure 1E). ATF4 expression increased from 12- to 24-hours following infection and remained 9 steady until 48 hours. Consistent with this trend, viral, ATF4, ATF3 and CHOP mRNA significantly 10 11 increased at 24 hours post-infection (Figure 1F-I). Since high viral protein and RNA production 12 occurred at 24 hours post-infection, we reasoned that translation and replication peaked 24 hours 13 after ZIKV infection and declined by 48 hours as virion packaging occurred. As predicted, a high 14 titer of virions was released 48 hours after infection (Figure 1J). We similarly examined ATF3 15 expression following infection with MR766, the original ZIKV strain isolated in Uganda in 1947 16 (32, 33). MR766 also induced ATF3 mRNA and protein expression, albeit at 48 hours post-17 infection compared to 24 hours for PRVABC59 (data not shown). Together, these data indicated 18 that peak viral protein and RNA expression strongly coincided with ATF3 RNA and protein 19 expression.

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21 ATF3 restricts ZIKV gene expression.

22 To determine the functional importance of ATF3 during ZIKV infection, we generated an ATF3 23 knock-out (KO) A549 cell line using CRISPR-Cas9 gene editing and a guide RNA targeting exon 24 2 (Figure S1A). We validated ATF3 KO by sequence analysis (data not shown) and by comparing 25 ATF3 expression in WT and KO cell lines treated with DMSO or tunicamycin (Figure 1B). Indeed, 26 in WT A549 cells ATF3 expression was induced by tunicamycin treatment, but ATF3 protein was 27 absent in the KO cells (Figure S1B). Notably, RT-qPCR analysis showed that ATF3 mRNA was 28 upregulated in the KO cells (Figure S1C). Because the gRNA used to generate the KO cells 29 targets a region within exon 2 which contains the start codon, transcription of ATF3 was not 30 ablated by INDELS introduced during editing but did affect translation of the ATF3 protein (Figure 31 S1A-C). Hence, when the upstream effector of ATF3, which was unaffected in KO cells, was 32 induced upon stress, the effector activated the transcription of ATF3, but downstream translation 33 was impeded.

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1 Next, WT and ATF3 KO cells were mock-infected or infected with ZIKV PRVABC59 at two 2 different moi (1 and 10 PFU/cell). Cells were harvested at 24 hours post-infection, and virus and 3 ATF3 expression examined by western blotting and RT-gPCR. Our data showed that ZIKV 4 infection induced ATF3 protein expression in WT cells but not in ATF3 KO cells (Figure 2A). 5 Interestingly, we found that in ATF3 deficient cells the levels of the ZIKV NS1 protein were notably 6 increased compared to those in WT cells (Figure 2A). Consistent with the increase in ZIKV protein, 7 viral RNA was significantly upregulated in ATF3 deficient cells compared to WT cells (Figure 2B). 8 We additionally performed plaque assays to quantify virion titer produced in WT and ATF3 KO cells and determined that a greater number of infectious particles were produced in the absence 9 10 of ATF3 (Figure 2D). To validate these data, we also examined ZIKV gene expression in WT and 11 ATF3 KO HCT-116 colorectal cells (34), and observed a similar increase in ZIKV protein and RNA 12 levels (Figure S1D-E). Taken together, these results indicate that ATF3 expression suppressed 13 ZIKV gene expression, and this effect was not cell type specific.

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15 ATF3 is activated through the ISR pathway during ZIKV infection.

16 A number of effector proteins (e.g., ATF4, p53, NF-kB and JNK) associated with different signaling 17 pathways are known to induce ATF3 expression (21). Given that ZIKV induces changes in ER 18 membrane morphology, activates ER stress sensors (IRE-1, ATF6 and PERK) and the presence 19 of double-stranded viral RNA intermediates activate PKR, we reasoned that increased ATF3 20 expression was initiated through the ISR pathway. Specifically, activation of the ISR kinases 21 during ZIKV infection would lead to a shutdown of cap-dependent translation, increase translation 22 of ATF4, and subsequent activation of ATF3 (Figure 3A). To investigate if the ISR pathway was 23 responsible for ATF3 activation during ZIKV infection, we inhibited the ISR pathway in mock- and 24 ZIKV-infected cells using a general ISR inhibitor (ISRIB). ISRIB acts on eIF2B, a guanine 25 nucleotide exchange factor involved in translation and renders the cells resistant to the effects of 26 eIF2 α phosphorylation (35, 36). ISRIB or DMSO (vehicle control) were added to cells 1-hour after 27 the initial virus infection and maintained in the media until cells were harvested at 24 hours post-28 infection. ZIKV infection in DMSO treated cells elicited strong viral protein and RNA expression, 29 high viral titers, and increased ATF4 levels - all consistent with ZIKV inducing the ISR pathway. 30 However, in the presence of ISRIB, virus protein and RNA expression and virion production 31 decreased (Figure 3B, 3F & 3G). The effects of ISRIB on ZIKV infection were not the result of 32 inhibitor toxicity as a cell viability assay showed that treatment with 500 nM of ISRIB for 24 hours 33 did not affect A549 cell growth (Figure S2A). Thus, the ISR pathway is an important modulator of 34 ZIKV gene expression.

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2 We next examined the consequence of ISRIB on ATF4, the central integrator of the ISR pathway. 3 In mock-infected cells treated without or with ISRIB, ATF4 protein and RNA levels remained 4 unchanged (Figure 3B & 3C). However, in ZIKV-infected ISRIB-treated cells ATF4 protein levels 5 decreased and mirrored the levels in mock-infected cells in the absence or presence of ISRIB. 6 These data support the function of ISRIB as a pharmacological inhibitor of the ISR pathway 7 (Figure 3B & 3C). We also verified the inhibitor activity by measuring the mRNA levels of 8 asparagine synthetase (ASNS), a well characterized downstream target of ATF4 (37, 38). 9 Specifically in the presence of ISRIB, cellular translation would progress and ATF4 protein 10 expression, and that of the downstream targets such as ASNS, would be suppressed. Indeed, 11 ASNS mRNA levels were reduced in both mock- and ZIKV-infected cells treated with ISRIB 12 (Figure 3D). In contrast, ZIKV-infected cells treated with DMSO showed increased ATF4 protein 13 and increased ASNS mRNA abundance (Figure 3C & 3D).

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15 Last, we examined ATF3 protein and mRNA expression (Figure 3B & 3E). ATF3 expression was 16 not activated in mock-infected cells treated with DMSO or ISRIB. As expected, during ZIKV 17 infection ATF3 mRNA and protein were expressed, while in the presence of ISRIB the levels of 18 ATF3 mRNA decreased (Figure 3E). Unexpectedly however, ATF3 protein levels notably 19 increased with ISRIB treatment (Figure 3B). We speculated that during ZIKV infection and 20 inhibitor treatment, the increased ATF3 protein levels might be a result of ATF3 remaining in the 21 cytoplasm and thus being more soluble following cell lysis. To determine if the increase in ATF3 22 protein levels was the result of a redistribution of this transcription factor between the nucleus and 23 cytoplasm, we performed subcellular fraction on mock- and ZIKV-infected cells treated with 24 DMSO or ISRIB. We examined the nuclear and cytoplasmic fractions by western blot using 25 fibrillarin and β -tubulin as cellular markers for the respective fractions. Subcellular fractionation 26 showed that the increased levels of ATF3 protein in ZIKV-infected cells treated with ISRIB were 27 present in the nuclear fraction (Figure S2B). These results show that following ZIKV infection and 28 inhibition of the ISR pathway, consistent with the transcriptional function ATF3 predominantly 29 localized to the nucleus.

30

Because *ATF3* mRNA levels decreased in ZIKV-infected cells treated with ISRIB but the protein significantly increased (Figure 3E & 3A), we examined whether this response was specific to the broad ISR inhibitor or if an ISR kinase-specific inhibitor would have the same response. We therefore treated mock- and ZIKV-infected cells without or with GSK2606414, an inhibitor that

1 blocks autophosphorylation of PERK (39) and downstream activation of the ISR pathway induced 2 by ER stress (Figure 3A). Similar to the effect of ISRIB, viral protein and RNA were expressed 3 with ZIKV-infection and were decreased with PERK inhibition (Figure S3A & S3B). ATF4 protein 4 and mRNA levels on the other hand increased in ZIKV-infected cells treated with the PERK 5 inhibitor (Figure S3A & S3D), which was likely the result of activation of the other ISR kinases 6 (Figure 3A), such as PKR in response to ZIKV-infection (40, 41). Similar to ZIKV-infected cells in 7 the presence of ISRIB, inhibition of PERK decreased ATF3 mRNA levels and notably increased 8 ATF3 protein levels (Figure S3E & S3A). Overall, these results show that during ZIKV infection, 9 ATF3 is activated through the ISR pathway, and is expected to modulate cellular stress by 10 regulating transcription of specific genes. However, when the ISR pathway is inhibited, ATF3 11 protein expression may be upregulated, through either translation or inhibition of protein turnover, 12 to control the cellular stress induced during viral infection.

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14 ATF4 is the key activator of ATF3 during ZIKV infection.

15 Our data show that the ISR pathway is an important regulator of ZIKV gene expression and 16 contributor to ATF3 activation. Thus, we next investigated if the master regulator of the ISR 17 pathway i.e., ATF4 was the upstream activator of ATF3 during ZIKV infection. To this end, we 18 depleted ATF4 with shRNAs stably transduced in A549 cells, and then either mock or ZIKV 19 PRVABC59 infected A549 cells. As a control, we used A549 cells stably expressing a scramble 20 non-targeting shRNA. Viral and cellular protein and RNA were analyzed 24 hours post-infection. 21 To determine if depletion of ATF4 would affect ATF3 expression, we first treated cells with 22 tunicamycin or DMSO (vehicle control) to induce ATF3 expression. In control non-targeting 23 shRNA transduced cells treated with tunicamycin we observed an increase in ATF4 and ATF3 24 expression (Figure 4A). ZIKV infection upregulated ATF4 and ATF3 protein and RNA abundance 25 (Figure 4A, 4B & Figure S4A-C). Conversely, knock-down of ATF4 significantly reduced ATF3 26 levels in tunicamycin-treated and ZIKV-infected cells (Figure 4A & 4B, and Figure S4A & S4C). 27 Interestingly, and in contrast to the deletion of ATF3 in A549 cells (Figure 2), we found that 28 depletion of ATF4 decreased ZIKV protein and RNA levels (Figure 4A & 4C). These data suggest 29 that in ZIKV-infected cells, ATF4 is the key activator of ATF3, and ATF4 expression acts to 30 promote ZIKV gene expression.

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32 ATF3 and ATF4 have opposing effects during ZIKV infection.

33 Our data show that ATF3 expression has an antiviral role by reducing ZIKV gene expression,

34 while the upstream effector protein ATF4 has a proviral role (Figure 2A, 2B, 4A & 4C). With these

1 opposing functions, we hypothesized that if both ATF3 and ATF4 were depleted, viral expression 2 would be restored to levels comparable with WT infected cells. To test this hypothesis, we 3 transfected WT and ATF3 KO cell lines with either a control siRNA or siRNA targeting ATF4. 4 These cells were then mock-infected or infected with ZIKV (moi=10 PFU/cell). By western blot 5 and RT-qPCR we determined that ATF4 was successfully depleted in both WT and ATF3 KO 6 cells (Figure 5A & 5C). Consistent with the data in Figure 4, depletion of ATF4 in WT cells decreased the abundance of ZIKV protein and RNA, and the activation of ATF3. In line with our 7 8 prediction, we observed that ZIKV protein and RNA levels were rescued, albeit incomplete, in 9 cells lacking ATF3 and depleted of ATF4 (Figure 5A & 5B). Therefore, ATF3 and ATF4 expression 10 have opposing roles that together modulate the cellular response to ZIKV infection.

11

12 ATF3 regulates the antiviral immune response.

13 In the absence of ATF3, ZIKV protein, RNA and titers increase (Figure 2). One mode by which 14 ATF3 might restrict ZIKV gene expression is by regulating the transcription of distinct genes that 15 antagonize ZIKV. Indeed, ATF3 has been shown to both stimulate and dampen the immune 16 response (21). In response to viral infection, the innate immune pathway is activated to restrict 17 virus infection (42). In particular, the primary response is initiated by pattern recognition receptors 18 which recognize different viral components and leads to expression of type 1 interferon (IFN β , 19 Figure 6A). The release of interferon initiates the secondary innate immune response and 20 expression of interferon stimulated genes (ISGs) that block different stages of infection (Figure 21 6A) (43). To determine if ATF3 promotes the expression of antiviral genes, we examined the 22 abundance of select mRNA transcripts involved in either the primary or secondary phases (Figure 23 6A) of the innate immune response. Many of these genes (RIG-I, STAT1, STAT2, IRF9, ISG15) 24 and *IFIT2*) were previously reported in murine cells to have predicted ATF3 binding sites in the 25 promoter regions (26). We analyzed expression in WT and ATF3 KO cells that were mock- or 26 ZIKV PRVABC59 (moi of 1 and 10 PFU/cell). The levels of IFN- β mRNA increased in response 27 to ZIKV regardless of the presence or deletion of ATF3 in the A549 cells, with a more robust 28 response in ATF3 KO cells (Figure 6C). Consistent with another report (26), the levels of IFN- α 29 did not change (data not shown). The abundance of RIG-I, STAT1, IRF9 and ISG15 mRNAs 30 decreased in ZIKV-infected ATF3 KO cells compared to WT cells (Figure 6B, 6D, 6E & 6F), 31 suggesting that ATF3 affects the expression of these innate immune response genes. In contrast, 32 the abundance of STAT2 mRNA did not change (data not shown), and the levels of IFIT2 33 increased in ATF3 KO ZIKV-infected cells (Figure 6G). ATF3 has previously been shown to 34 function as an activator and repressor of transcription (21). Thus, the differential expression of

the select mRNA transcripts associated with the innate immune response pathway are likely the consequence of this differential transcriptional regulation of the ATF3-directed host-response to ZIKV infection. Together, these data suggest that in the absence of ATF3, a dampened transcriptional response of select innate immune genes in part facilitates the increase in the abundance of ZIKV protein, RNA, and viral titers.

6

7 ATF3 limits ZIKV infection by suppressing autophagy.

8 In addition to modulating ER stress and the innate immune response, ZIKV has also been 9 reported to subvert the autophagy pathway early during infection to promote viral replication (44, 10 45). Interestingly, in response to stress ATF3 has been shown to bind with the promoter 11 sequences of two autophagy related genes namely Beclin-1 and ATG5 (26, 46). Given this interaction, we first investigated the effect of ATF3 on the expression of autophagy genes during 12 13 ZIKV infection. These genes are associated with distinct steps in the autophagy pathway and 14 were previously found to be upregulated in JEV-infected neuronal cells depleted of ATF3 (26). 15 A549 WT and ATF3 KO cells were mock-infected or infected with ZIKV at moi of 1 and 10 16 PFU/cell. At 24-hours post-infection we examined by RT-gPCR the abundance of ATG3, ATG4, 17 ATG5, ATG12, ATG13, ATG15, ATG101, ULK1 and ULK2 genes. In WT cells infected with ZIKV 18 we observed a modest, albeit not significant, increase in ATG5, ATG12, ATG101 and ULK2 19 mRNAs (Figure 7A-D). In contrast however, in the ZIKV-infected ATF3 KO cells, the levels of 20 these same transcripts were significantly increased (Figure 8A-D). Taken together, these data 21 suggest that activation of ATF3 downregulates the expression of select autophagy genes.

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23 To investigate if upregulation of select autophagy genes in ATF3 KO cells might influence 24 autophagic flux during ZIKV infection we next examined the abundance of two autophagy markers 25 LC3B, which is cleaved from LC3B-I to LC3B-II as autophagy proceeds (47, 48) and 26 p62/SQSTM1, a cargo adapter that is degraded during autophagy (Figure 7) (47, 49, 50). We first 27 examined the consequence on LC3B-II and p62/SQSTM1 under starvation conditions by growing 28 A549 WT and ATF3 KO cells in starvation media for 1, 2 and 4 hours. In WT cells we observed 29 that the levels of LC3B-II and p62/SQSTM1 levels declined with time compared to cells 30 maintained in normal media (Figure 7E). In contrast, in the starved ATF3 KO cells the overall 31 levels of LC3B-II and p62/SQSTM1 proteins appeared elevated compared to WT cells and the abundance of LC3B-II and p62/SQSTM1 modestly decreased after 4 hours of starvation 32 33 conditions (Figure 7F). These data suggest that in the absence of ATF3, autophagic flux in 34 response to starvation conditions is delayed. Next, we investigated if ATF3 also affected

autophagy during ZIV infection. In WT cells, LC3B-II and p62/SQSTM1 protein levels increased
during ZIKV infection compared to mock infection (Figure 7G & 7H). Meanwhile in both mock and
ZIKV infected ATF3 KO cells, LC3B-II and p62/SQSTM1 protein levels were upregulated (Figure
7G & 7H). Thus, the possible delay of autophagic flux in the absence of ATF3 present in control
cells, may be further impaired in virus infected cells. These data suggest that ATF3 may in part
restrict ZIKV infection by regulating autophagy and thus also ZIKV replication (45, 46).

7

8 Discussion

9 ATF3 mediates adaptive responses via the positive or negative modulation of cellular processes 10 including immune response, autophagy, and apoptosis (21, 22). For virus infections, ATF3 11 expression can produce anti-viral outcomes by regulating the transcription of host antiviral genes 12 or benefit the virus by dampening the expression of genes necessary for virus restriction and/or 13 resolution of virus-induced stress (25-28). We previously showed that ATF3 was upregulated 14 during ZIKV infection of SH-SY5Y cells (51), however the upstream effector proteins inducing 15 ATF3 expression and the consequence of ATF3 activation on ZIKV gene expression was 16 unknown.

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18 In this study we determined that peak ATF3 expression coincides with robust ZIKV protein and 19 RNA expression at 24 hours after infection in A549 cells (Figure 1). We identified the ISR pathway 20 as the upstream signaling cascade of ATF3 activation during ZIKV infection (Figure 3 & Figure 21 S3) with ATF4 as the direct effector of ATF3 in this pathway (Figure 4). This observation is 22 consistent with ZIKV activating the ISR through the ER sensor PERK and PKR. Upon stress 23 induction, these kinases phosphorylate elF2 α which attenuate global protein synthesis and trigger 24 ATF4 translation leading to ATF3 induction (52, 53). Finally, we show that ATF3 directs 25 expression of innate immune response and autophagy-related genes to restrict ZIKV gene 26 expression. Taken together these data highlights an important role for the integrated stress 27 response pathway and ATF3 in establishing an antiviral effect during ZIKV infection.

28

Following activation during infection, the ISR either protects against viral infections or is subverted or blocked to promote viral replication. Evidence of these roles have been demonstrated in several studies involving viruses within the *Flaviviridae* family (54–59). For example, in hepatitis C virus (HCV) infection studies, PKR as well as PERK and ATF6 were co-opted to support viral replication through inhibiting the IFN pathway and inducing autophagy respectively (55, 56). Similarly, in a JEV infection model, the virus counteracted the antiviral effects of the ISR by specifically blocking

1 PKR activation and eIF2 α phosphorylation using viral protein NS2A thereby ensuring effective 2 viral replication (57). In parallel, during DENV infections in Huh7 and A549, stimulation of PERK 3 and IRE-1 α signaling led to increased viral replication (58). However, in the case of West Nile 4 virus (WNV), previous reports indicated that infection induced PERK and PKR kinases leading to 5 apoptosis and repressed viral replication (54, 59). Like other flaviviruses, ZIKV infection activated 6 the PERK arm of the ISR pathway in human neural stem cells, and in embryonic mouse cortices 7 after intra-cerebroventricular injection with the virus (60). The resulting increase in ATF4, ATF3 8 and CHOP mRNA levels caused a neurogenic imbalance which notably however, co-treatment 9 with the PERK inhibitor GSK2656157 attenuated (60). Consistent with these data, in A549 ZIKV-10 infected cells, we observed that GSK2656157 inhibited PERK activation, restricted translation of 11 ATF4, reduced ATF3 and PERK mRNA accumulation and decreased ZIKV protein and RNA 12 levels (Figure S3). Thus, activation and regulation of the ISR likely has a more significant role in 13 viral infection than previously appreciated.

14

15 After ISR activation, $elF2\alpha$ is phosphorylated leading to a global reduction in cellular protein 16 synthesis. However, noncanonical mechanisms, such as the presence of an internal ribosomal entry sites or upstream open reading frame (uORF), allows for the translation of some cellular 17 18 mRNAs such as ATF4 during this global reduction (61). In our study, ATF4 RNA and protein levels 19 were upregulated by ZIKV infection accordingly. We determined that shRNA-depletion of ATF4 20 during tunicamycin- or ZIKV-induced ER-stress, downregulated ATF3 expression indicating that 21 ATF4 activated ATF3. In depleting ATF4, ZIKV protein and RNA expression was also blunted 22 suggesting that expression of ATF4 supports ZIKV infection (Figure 4). Consistent with our 23 findings, other studies demonstrated that ATF4 drives proviral outcomes. Notably, ATF4 was 24 described to promote human immunodeficiency virus 1 (HIV-1), human herpes virus 8 (HHV-8), 25 and murine cytomegalovirus (MCMV) infections by directly controlling transcription (62–66). ATF4 26 was also found to positively affect porcine reproductive and respiratory syndrome virus (PRRSV). 27 a single-stranded positive-sense RNA virus that replicates in cytoplasm (66). Our finding that 28 ATF4 has proviral functions during ZIKV infection (Figure 4) could therefore be due to activation 29 of ATF4-dependent genes like GADD34 (growth arrest and DNA damage-inducible protein 34) 30 which downregulates the ISR in a negative feedback loop through the recruitment of protein 31 phosphatase 1 (PP1) to dephosphorylate eIF2 α (67, 68). In contrast to our results, DENV-2 32 infection enhanced ATF4 nuclear accumulation to confer an antiviral state (69). Therefore, 33 depending on the virus, ATF4 may positively or negatively regulate viral fate through downstream

events. Future studies will explore the mode by which ATF4 positively regulates ZIKV, either
 transcriptionally or by the protein affecting specific steps in the ZIKV infectious cycle.

3

4 When we inhibited the ISR pathway during ZIKV infection using ISRIB, a broad ISR inhibitor 5 (Figure 3) or GSK2606414, a PERK inhibitor (Figure S3), ATF4 protein expression was reduced 6 and ATF3 mRNA levels were negligible. These results align with ATF4 being the upstream 7 effector protein of ATF3 in the ISR pathway. Unexpectedly however, ATF3 protein, but not RNA, 8 levels dramatically increased (Figure 3 and Figure S3) following inhibition of the ISR and ZIKV 9 infection, but not after tunicamycin treatment and inhibition of the PERK pathway (Figure S3 and 10 data not shown). We postulated that this accumulation in ATF3 protein might be a result of this 11 transcription factor not being imported into the nucleus, or being relocalized from the nucleus into 12 the cytoplasm, where the cytosolic form was more soluble, and hence more abundant, than the 13 nuclear form. However, consistent with the transcriptional role of ATF3, we observed that the 14 protein is predominantly in the nucleus (Figure S2). We also considered that, like ATF4, ATF3 15 might be translationally regulated via an upstream open reading frame (70). Inspection of the 5' 16 UTR revealed a short UTR length and the absence of an upstream (or downstream) AUG codon 17 that could direct this stress-induced translational control mechanism. We therefore speculate that 18 under the appropriate stress conditions, ATF3 protein levels are regulated by either an alternate 19 translational control mechanism such as via an internal ribosomal entry site and/or protein 20 stability/turnover pathways (71, 72). Indeed, ATF3 protein stability has been shown to be 21 regulated by UBR1/UBR2 and MDM2 ubiguitinases and the ubiguitin-specific peptidase 33 22 (USP33) protein (73, 74). It is therefore possible that differential expression of ubiquitinases 23 and/or deubiquitinases following inhibition of the ISR pathway during ZIKV infection changes 24 ATF3 protein levels. Additional experiments would need to be undertaken to investigate such 25 regulation. It also remains to be determined if the accumulated ATF3 protein is transcriptionally 26 functional either as an activator or repressor (22).

27

As a stress response factor, ATF3 is upregulated in response to different viral infections producing
positive or negative effects depending on the virus (25–28). During HSV infection, neuronal stress
induces ATF3 which binds the promoter region of the HSV LAT RNA and facilitates HSV latency
(25). For RNA viruses, ATF3 indirectly affects viral gene expression by transcriptionally controlling
the expression of cellular RNAs to promote LMCV, VSV*∆G(Luc) replicon, MCMV and JEV
infections (26, 27, 64, 75). In contrast we find that in ATF3 KO cells, the abundance of ZIKV
protein, RNA and titers increase indicating that rather than a proviral role, ATF3 functions to

restrict ZIKV infection. Notably, this function was not specific to cell type nor ZIKV isolate (Figure
2 and Figure S1). Despite viral studies indicating a pro- or antiviral function for ATF3, the
mechanism by which ATF3 acts to affect the different viruses is poorly described.

4

5 ATF3 affects a host of systems, including cell cycle (76), apoptosis (77) neuron regeneration (78, 79), serine and nucleotide biosynthesis (80, 81) and the immune response (21). For the latter. 6 7 ATF3 functions has been described as a rheostat that regulates the immune response (21). For 8 instance, in ATF3-deficient bone marrow-derived macrophages (BMDM), the expression of IFN-9 β and other downstream components were upregulated compared to WT cells, and this attenuated LMCV and VSV*AG(Luc) replicon infections (28). Likewise in NK cells, ATF3 10 11 negatively regulated IFN-y expression however, the reverse was observed in MCMV infected 12 ATF3 knockout mice compared to WT mice (26). Similarly, interferon stimulated genes (ISGs) 13 were upregulated in JEV infected Neuro2A and MEF cells depleted of ATF3, and chromatin 14 immunoprecipitation studies showed that ATF3 bound to select promoter regions in STAT1, IRF9 15 and ISG15 (26). Given these prior studies showing ATF3 regulating the immune response, we 16 hypothesized that by transcriptionally controlling genes involved in the innate immune response, 17 ATF3 promotes ISG expression during ZIKV infection. From our data, the absence of ATF3 18 specifically led to a decrease in the transcription of immune response genes, IRF9, ISG15, RIG-I 19 and STAT1 (Figure 6) which supports the role of ATF3 as a transcriptional activator of these 20 genes during ZIKV infection. It is worth noting that, depletion of ATF3 did not suppress all innate 21 immune effectors as *IFIT2* and *IFN-\beta (IFNB1*) were upregulated in both WT and ATF3 KO cells, 22 albeit the mRNA levels were further increased in the ATF3 KO cells (Figure 5). In BMDM, two 23 ATF3 binding sites were identified in the promoter and upstream region of IFNB1, where the 24 second binding site functioned to negatively regulate IFNB1 levels (28). It is possible that in our 25 A549 KO system this second binding site is nonfunctional and thus IFNB1 expression is not 26 subjected to feedback regulation. Alternatively, other studies predict that ATF3 potentially 27 suppresses interferon expression by remodeling the nucleosome, keeping the chromosome in a 28 transcriptionally inactive state through interacting with histone deacetylase 1 (28, 82). Future 29 transcriptomic studies defining ATF3 promoter occupancy during ZIKV infection will elucidate how 30 this stress induced transcription factor differentially directs the expression of IFNB1 and other 31 ISGs.

32

In addition to modulating the immune response, ATF3 also affects autophagy (26, 46), a cellular
 pathway that is induced and usurped by flaviviruses (83). During JEV infection of cells depleted

1 of ATF3, the levels of select autophagy genes, LC3-II (a marker of autophagy) and ATG5 proteins, 2 were increased (26). Given that ATF3 was shown by others to negatively regulate autophagy and 3 innate immune response for JEV infection (26), we also sought to elucidate the impact of ATF3 4 on autophagy during ZIKV infection. We determined that during ZIKV infection, ATF3 negatively 5 regulates autophagy as transcript levels of selected autophagy genes, ATG5, ATG12, ATG101 6 and ULK2 were higher in ZIKV-infected ATF3 knockout cells compared to WT cells (Figure 7A-7 7D). Since ZIKV gene expression was increased in ATF3 KO cells, we reasoned that the increase 8 in autophagy gene levels and putative autophagy membranes would support increased ZIKV 9 replication compartments. However, for this process to be feasible, ZIKV and/or ATF3 activation 10 would under wildtype conditions be expected to restrict autophagic flux. Indeed, ZIKV has been 11 shown to antagonize selective autophagy which has been shown to have antiviral functions (41, 12 84), For example, DENV and ZIKV viral NS3 proteases cleaved FAM134B, an ER-localized 13 receptor autophagy machinery component, to prevent ER turnover and increase flavivirus 14 replication (85). Additionally, ZIKV NS5 protein interaction with Adjuba, an initiator of multiprotein 15 complexes and mitotic kinase activator (84, 86, 87), prevented downstream signaling and the 16 selective turnover of mitochondria (41). In WT A549 cells incubated with starvation media, we 17 observed an initial increase in LC3-II and p62/SQSTM1 levels which decreased over time showing 18 the autophagic flux in response to starvation (Figure 7D). In comparison, in A549 ATF3 KO cells 19 the autophagic response was slower (Figure 7E). Notably, the levels of LC3-II were higher in both 20 mock- and ZIKV-infected ATF3 KO cells compared to WT (Figure 7G). While the LC3-II levels in 21 ZIKV-infected ATF3 KO cells raise the possibility that autophagy was induced and stalled, when 22 we examined the levels of p62/SQSTM1 (Figure 7H), we observed a modest decrease in protein 23 levels consistent with the delayed autophagic response to starvation (Figure 7E). Notably, 24 p62/SQSTM1 mRNA transcript levels were increased in ZIKV-infected ATF3 KO cells (Figure 7I). 25 which might compensate for protein turnover (Figure 7H). Thus, the delayed autophagic flux in 26 ATF3 KO cells, might support the increased formation of replication sites on these membranes 27 (88). It is also possible that the ATF3-directed regulation of autophagy might function to interface 28 with the innate immune response to maintain cell homeostasis. Additional experiments are 29 needed to elucidate whether ATF3 regulation of autophagy functions to modulate immune 30 signaling pathways.

31

32 Sood and colleagues first showed that ATF3 was upregulated during JEV infection and that RNAi

33 depletion of ATF3 decreased JEV protein and RNA abundances and viral titers (26). Moreover,

34 during JEV infection, ATF3 was reported to negatively regulate antiviral response and autophagy

1 genes, likely by controlling transcription (26). Our data showing a positive effect on immune 2 response gene expression (Figure 6) and a negative effect on autophagy (Figure 7) in ATF3 KO 3 cells contrast data from previous JEV experiments. These differences might be explained by 4 differences in the cell types used in these experiments and effects of dimerization on ATF3 5 function. ATF3 can have both promoter and repressor functions (89) depending on whether this 6 stress inducible transcription factor homodimerizes or forms a heterodimer with other transcription 7 factors. The JEV studies were undertaken in mouse Neuro2A and mouse embryonic fibroblast 8 cells (26), while we used human A549 lung adenocarcinoma and HCT-116 colorectal carcinoma 9 cells. Differences in the abundance of interacting partners between mouse and human cell lines 10 may influence ATF3 dimerization which in turn may influence the transcriptional responses. 11 Alternatively, as JEV and ZIKV are part of different flavivirus clades the difference in ATF3 function 12 may be related to a virus specific response. Future studies are needed to elucidate the virus 13 genetic determinants that modulate ATF3 function.

14

In summary, here we show that during ZIKV infection the stress-induced transcription factor ATF3, which is activated through the ISR pathway and ATF4, differentially controls the transcription of select innate immune response and autophagy genes. Our work highlights that transcriptional control of cellular factors such as activating specific transcription factors can be pivotal in cellular response to virus infection.

20

21 Materials and Methods

22 Cell Lines and ZIKV

23 A549 (Human lung epithelial adenocarcinoma, ATCC CCL185) wild type (WT) and ATF3 knock-24 out (KO) cell lines were maintained in Dulbecco's minimal essential medium (DMEM; Life 25 Technologies) supplemented with 10% fetal bovine serum (FBS; Seradigm), 10 mM nonessential 26 amino acids (NEAA; Life Technologies), 5 mM L-glutamine (Life Technologies) and 1% sodium 27 pyruvate (0.055 g/liter; Life Technologies). HCT-116 WT and ATF3 KO cells were grown in 28 McCoy's 5A media (Corning, #10-050-CV) supplemented with 10% fetal bovine serum (FBS; 29 Seradigm). The HCT-116 wild-type and ATF3 knockout cell lines were generously provided by 30 Dr. Morgan Sammons, University at Albany-SUNY. These cells were maintained in McCoy's 5A 31 media (Corning) that was supplemented with 10% FBS and 1% penicillin and streptomycin 32 (50,000 units/L penicillin, 0.05 g/L streptomycin; Life Technologies). Vero cells (ATCC CRL-81) 33 were cultured in DMEM supplemented with 10% FBS, 1% penicillin and streptomycin and 10 mM 34 HEPES (Life Technologies). HEK293FT cells (Life Technologies) were grown in DMEM with 10%

FBS, 10 mM NEAA and 5 mM L-glutamine. All cell lines were cultured at 37 °C with 5% CO₂ in a water-jacketed incubator. ZIKV^{PR} (Puerto Rico PRVABC59) strain was a gift from Dr. Laura Kramer (Wadsworth Center NYDOH) with permission from the CDC. Viral stocks were prepared in C6/36 cells by infecting near confluent cells at an moi of 0.1 and incubating at 27°C. At 7 days post infection, media from infected cells were collected and aliquots were stored at -80°C. To validate infection, RNA was extracted and examine by RT-qPCR and viral titers were measured by plaque assay.

8

9 Creating the ATF3 Knock-out (KO) A549 Cell Line

10 We generated A549 ATF3 KO cells in our laboratory using the CRISPR/Cas9 system. The 11 following gRNA sequence targeting ATF3 was cloned into plentiCRISPRv2 plasmid: 5'-CCACCGGATGTCCTCTGCGC-3' (Genscript). HEK293FT cells were co-transfected with 12 13 pLentiCRISPRv2-ATF3 CRISPR gRNA, and pMD2.G (Addgene) and psPAX2 (Addgene) 14 packaging plasmids using JetOptimus DNA transfection reagent (Polyplus) according to the 15 manufacturer's protocol. Media containing lentivirus was collected 24- and 48-hours post 16 transfection and pooled together. The pooled lentivirus media was filtered with a 0.45 mm pore 17 filter and used to transduce A549 cells in the presence of 6 mg/ml polybrene. Twenty-four hours 18 later, the lentivirus-containing media was removed, replaced with fresh media and cells were 19 incubated at 37°C. After 24 hours of incubation, the transduced cells were transferred into new 20 tissue culture dishes and puromycin (1 mg/ml) selection was carried out for 4 days by which time 21 all A549 WT control cells were killed by the antibiotic. Individual clones were isolated by diluting, 22 seeding in a 96-well plate, and incubating at 37°C. Following expansion, clones were screened 23 by western blotting and RT-gPCR. DNA was then isolated from successful KO clones using 24 DNAzol extraction. PCR was subsequently carried out with forward and reverse primers (5'-25 CTGCCTCGGAAGTGAGTGCT-3' and 5'- AACAGCCCCCTGCCTAGAAC-3') designed to exon 26 2. The PCR products were cloned into pCR2.1 Topo vector and sequence analyzed by Sanger 27 sequencing to verify the KO.

28

29 ZIKV Infection

Cells were previously seeded to be near 80% confluency on day of infection. Control cells were trypsinized and counted to determine the multiplicity of infection (moi). Cells were infected at an moi of 10. An appropriate aliquot of viral stock was thawed at RT, diluted in PBS to a final volume of 1 ml and added to cells. For mock-infected plates, 1 ml of PBS was added. Cells were incubated

at 37°C for 1 hour, rocking every 15 minutes. An hour later, 9 ml of media was added per plate
and returned to the incubator for 24 hours.

3

4 siRNA and shRNA Transfections

5 Single stranded oligos synthesized by Integrated DNA Technologies (IDT) were used for transient 6 transfections. (5'-CGUACGCGGAAUACUUCGAUU-3') and (5'-Sense anti-sense 7 UCGAAGUAUUCCGCGUACGUU-3') oligos targeting the control gene GL2 (90), were prepared 8 by incubating in annealing buffer (150mM Hepes [pH 7.4], 500 mM potassium acetate, and 10 mM magnesium acetate) for 1 minute at 90°C followed by a 1-hour incubation at 37°C. The duplex 9 had a final concentration of 20 μ M. Prior to transfection, A549 cells were seeded at 4x10⁵ in 6-10 11 well plates for 24 hours. The cells were then transfected with 50 nM control and ATF4 12 SilencerSelect siRNA (ThermoFisher Scientific, Catalog no. s1702) using Lipofectamine RNAi 13 Max transfection reagent (Invitrogen) based on the manufacturer's protocol.

14

15 Stable transfections were performed following the lentivirus approach. HEK293FT cells were 16 transfected with 1µg of TRC-pLKO.1-Puro plasmid containing either non-targeting shRNA 17 (CAACAAGATGAAGAGCACCAA) or ATF4-targeted shRNA (GCCTAGGTCTCTTAGATGATT) 18 (Sigma-Aldrich), together with 1 µg mixture of packaging plasmids (pMD2.G and psPAX2) 19 prepared in JetPRIME reagent and buffer (Polyplus) as per manufacturer's instructions. After 24 20 and 48 hours of transfection, media containing lentivirus was harvested, pooled together, and 21 filtered through a 0.45 µm filter. Pre-seeded A549 cells were subsequently transduced with the 22 lentivirus in the presence of 6ug/ml of polybrene. After 24 hours, the lentivirus-containing media 23 was removed, replaced with fresh media and cells were incubated at 37°C for 24 hours. Following 24 incubation, the transduced cells were transferred into new tissue culture dishes and puromycin (1 25 mg/ml) selection was carried out for 4 days. Finally, we screened the transfected cells by western 26 blot and RT-qPCR to assess the efficiency of knockdown.

27

28 Chemical Treatments

Tunicamycin (Sigma) was dissolved in DMSO at a stock concentration of 2 mM. ER stress was induced by treating cells with 2 mM tunicamycin for 6 hours at 37°C. GSK2606414 (PERK inhibitor; Sigma) was dissolved in DMSO to achieve a 30 mM stock concentration. Cells that were mock and ZIKV infected were co-treated with PERK inhibitor for 24 hours at 37°C., was reconstituted at 5 mM stock concentration in DMSO and used at 500 nM on cells for 24 hours at 37°C.

1

2 Harvest of Chemically Treated and ZIKV-infected Cells

Virus infected and chemically treated cells were harvested as follows; first media was aspirated from the cell culture dishes. Cells were gently washed twice with 4 ml cold PBS and aspirated. A volume of 1 ml cold PBS was then added to plates, cells were scraped off the plate using a cell lifter and the cell suspension was thoroughly mixed. Equal volumes of 500 μ l were aliquoted into two separate tubes. Cell suspensions were centrifuged at 14,000 rpm for 30 seconds to pellet the cells. The supernatant was aspirated off and cells in one tube were prepared for protein analysis while the other tube was prepared for RNA analysis.

10

11 Cell Viability Assay

12 A549 cells in a 96-well plate were seeded at $4x10^3$ cells/well in 100 μ l media and incubated at

13 37°C 2 days prior to cell viability measurements. The next day, cells were treated with the

pharmacological inhibitor in 100 μ l of media and incubated at 37°C. After 24 hours, 100 μ l of

15 CellTiter-Glo 2.0 reagent (Promega) was added to each well and allowed to equilibrate to room

temperature for 30 minutes. The mixture was rocked on an orbital shaker for 2 minutes and

17 incubated in the dark for 10 minutes. The luminescence was read using a Promega GloMax 96

18 Microplate Luminometer.

19

20 Amino Acid Starvation

A549 WT and ATF3 KO cells were washed once with pre-warmed PBS. The cells were then
washed twice with pre-warmed starvation medium (140 mM NaCl, 1 mM CaCl₂, 1 mM MgCl₂, 5
mM glucose, and 20 mM Hepes, pH 7.4) and incubated with starvation medium supplemented
with 1% BSA (91).

25

26 Western Blot Analysis

27 Cells were lysed with RIPA buffer (100 mM Tris-HCl pH 7.4, 0.1% sodium dodecyl sulphate (SDS), 28 1% Triton X-100, 1% deoxycholic acid, 150 mM NaCl) containing protease inhibitors (EDTA-free; 29 ThermoScientific) and incubated on ice for 20 minutes. The lysates were centrifuged at 14,000 30 rpm for 20 minutes at 4°C and the clarified supernatant collected. Protein concentrations were 31 guantified using the DC protein assay kit (Bio-Rad). Twenty-five micrograms (25 µg) of proteins 32 were separated on 8%, 10% or 12% SDS-polyacrylamide (PAGE) gel at 100 V for 2 hours. 33 Proteins from gels were transferred on to polyvinylidene difluoride membrane (Millipore) at 30V 34 overnight, 100V for 1 hour or 70V for 45 minutes at 4°C, respectively. The blots were activated in

1 absolute methanol and stained with PonceauS (Sigma) to determine transfer efficiency. 2 Subsequently, blots were washed in PBS buffer with 0.1% Tween (PBS-T) and blocked in 5% 3 milk or 5% BSA in PBS-T for 1 hour at room temperature. The blots were incubated with primary 4 antibodies diluted in blocking buffer for 2 hours at room temperature or overnight at 4°C. This was 5 followed with three 10-minute PBS-T washes after which the blots were incubated in secondary 6 antibodies diluted with blocking buffer for 1 hour at room temperature. The blots were washed 3 7 times in PBS-T and the proteins were visualized using Clarity Western ECL blotting substrate 8 (Bio-Rad) or SuperSignal West Femto (ThermoScientific). The following primary antibodies were used: rabbit anti-ZIKV NS1 (GeneTex; 1:10,000), mouse anti-GAPDH (ProteinTech; 1:10,000), 9 10 rabbit anti-ATF3 (Abcam; 1:1,000), rabbit anti-ATF4 (D4B8) (Cell Signaling; 1:1,000), rabbit anti-11 PERK (D11A8) (Cell Signaling; 1:1,000), rabbit anti-elF2 α (Cell Signaling; 1:1,000), rabbit anti-p-12 eIF2 α (D9G8) (Cell Signaling; 1:1,000), rabbit anti-LC3B (D11) (Cell Signaling; 1:1,000) and 13 mouse anti-p62/SQSTM1 (Abnova; 1:4,000). Donkey anti-rabbit-IgG-HRP (Invitrogen), donkey 14 anti-mouse-IgG-HRP (Santa Cruz Biotech) were used as secondary antibodies at a 1:10.000 15 dilution.

16

17 Plaque Assays

18 Vero cells were seeded in 6-well plates at a density of 7x10⁵/well and incubated at 37°C with 5% CO₂ overnight. The following day, ten-fold serial dilutions from 10⁻¹ to 10⁻⁶ of media from infections 19 20 were prepared in 1xPBS. The media on Vero cells seeded the previous day was aspirated, 150 21 ul of 1xPBS was added to mock well and 150 ul of each virus dilution was added to the remaining 22 wells. The cells were incubated at 37°C with 5% CO₂ for 1 hour, with gentle rocking every 15 23 minutes. After incubation, the PBS or virus dilution in PBS was aspirated and 3 ml of overlay 24 consisting of 1:1 2xDMEM (500 mL of RNase-free water, 84 mM of sodium bicarbonate, 5% FBS 25 and 1% penicillin and streptomycin, at pH 7.4) and 1.2% avicel was added to each well and the 26 plates were incubated at 37°C with 5% CO₂. At day 5 post-infection, overlay was aspirated, cells 27 were fixed with 1 ml of 7.4% formaldehyde for 10 minutes at room temperature, rinsed with water 28 and plaques developed using 1% crystal violet (Sigma) in 20% methanol.

29

30 RT-qPCR Analysis

Total RNA was isolated from cells using TRIzol reagent (Ambion by Life Technologies) and the RNA Clean and Concentrator kit (Zymo Research). The RNA was DNase-treated using the TURBO DNA-free[™] kit (Invitrogen) and reverse transcribed using the High-Capacity cDNA Reverse Transcription reagents (Applied Biosystems). The resulting cDNA was used for qPCR

- 1 analysis with iTaq Universal SYBR Green Supermix reagents (Biorad) and CFX384 Touch Real-
- 2 Time PCR system (Biorad). The RT-qPCR primer sequences are shown in Table 1.
- 3

4 Statistical Analysis

5 The data shown is from at least 3 independent experiments. Data was analyzed using Prism 9.4.1 6 software (GraphPad, La Jolla, CA, USA) to establish statistical significance. We performed two-7 tailed student t-test for two groups and three-way ANOVA for multiple group comparisons. A P-8 value of <0.001, <0.01 or <0.05 was considered significant.

9

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- 19

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1 Table 1: Primers used for RT-qPCR

Gene name	Forward (5'-to-3')	Reverse (5'-to-3')
ZIKV	CCTTGGATTCTTGAACGAGGA	AGAGCTTCATTCTCCAGATCAA
Beta-actin	GTCACCGGAGTCCATCACG	GACCCAGATCATGTTTGAGACC
(ACTB)		
ATF3	TGTCAAGGAAGAGCTGAGGTTTG	GATTCCAGCGCAGAGGACAT
ATF4	CAGACGGTGAACCCAATTGG	CAACCTGGTCGGGTTTTGTT
ASNS	GGTACATCCCGACAGTGATGATATT	CCTGGACACTATGAAGTTTTGGATT
СНОР	CCTGGTTCTCCCTTGGTCTTC	AGCCCTCACTCTCCAGATTCC
RIG-I	AGAGCACTTGTGGACGCTTT	ATACACTTCTGTGCCGGGAGG
IFN-β	GGCGTCCTCCTTCTGGAACT	GCCTCAAGGACAGGATGAACTT
STAT1	TTCACCCTTCTAGACTTCAGACC	GGAACAGAGTAGCAGGAGGG
STAT2	CGGGACATTCAGCCCTTTTC	TGGCTCTCCACAGGTGTTTC
IRF9	AGCTCTCCTCCAGCCAAGACA	CCAGCAAGTATCGGGCAAAGG
IFIT2	AAGCACCTCAAAGGGCAAAAC	TCGGCCCATGTGATAGTAGAC
ISG15	GTACAGGAGCTTGTGCCGT	GCCTTCAGCTCTGACACCGA
ATG3	GGCAATGGGCTACAGGGGAA	ACCGCTTATAGCACGGCACA
ATG5	AGACCTTCTGCACTGTCCATCT	TGCAATCCCATCCAGAGTTGC
ATG12	AAGTGGGCAGTAGAGCGAACA	TGGTCTGGGGAAGGAGCAAAG
ATG13	CAGGTCCCGGCCTCCGTAAT	TTGTCCAGGTCCTTTCTGTCCT
ATG14	GACCTGGTGGACTCCGTGGAC	GTCGATAAACCTCTCCCGGTCG
ATG101	CGCTCCTCCAGCTTCCGAGT	AAGCTCGGCTCATGCCCTTC
ULK2	CGCCAGAAAACTGATTGGGAGG	TCTGCGAGGTCTCCACCATT

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1 Figure legends

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3 Figure 1. ATF3 expression is induced by chemical and viral induction of ER stress. (A-D) 4 A549 cells were treated with 2 nM tunicamycin (TU) and harvested at 0-, 0.5-, 2-, 4- and 6-hours 5 after treatment. (A) Cellular proteins ATF3 and ATF4 were analyzed by western blot. GAPDH was 6 used as the loading control. The western blot shown is representative of at least 3 independent 7 experiments. (**B-D**) The fold change of ATF4, ATF3 and CHOP mRNA levels relative to β -actin 8 mRNA were also determined by RT-qPCR. (E-J) A549 WT cells were infected with ZIKV PRVABC 9 (moi of 10 PFU/cell) for 0-, 12-, 24- or 48-hours. (E) Cellular and viral proteins were assayed by 10 western blot with GAPDH as the loading control. Protein levels are representative of at least 3 independent experiments. (F-I) RT-qPCR analyses were used to determine the fold change in 11 12 expression of ATF4, ZIKV, ATF3 and CHOP mRNAs, where the specific mRNA was normalized 13 to β -actin mRNA. (J) Viral titers from virions released into the media at each time point were 14 determined by plaque assay. N=3, and error bars show ± SD. Statistical significance was 15 determined by Student T-test. *p<0.01, **p<0.001, ***p<0.0005, ****p<0.0001, ns-not significant. 16

Figure 2. ATF3 expression restricts ZIKV gene expression. (A) A representative western blot showing ZIKV NS1 and ATF3 expression in both WT and ATF3 KO A549 cell lines. GAPDH was the loading control. (B-C) RT-qPCR analyses of *ZIKV* and *ATF3* RNA levels normalized to β -actin mRNA in KO cells compared to WT cells. (D) Virions released during infection in WT and KO cells was quantified as the average viral titer (PFU/mI) using the plaque assay method. N=3, Error bars show ± SD. Statistical significance was determined by Student T-test. ****p<0.0001, ns-not significant.

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25 Figure 3. ZIKV activates ATF3 through the Integrated Stress Response (ISR) pathway. (A) 26 Schematic of the ISR pathway. Stress conditions like virus infections, ER stress, amino acid 27 deprivation and oxidative stress induce stalling of most cap-dependent translation by the 28 phosphorylation of eIF2 α and induces the translation of ATF4. ATF4 in turn activates ATF3 to 29 restore cellular homeostasis (11-14). A549 cells were mock-infected or infected with the ZIKV 30 (PRVABC59, moi=10 PFU/cell) in the presence or absence of ISRIB, an ISR inhibitor. Cells were 31 harvested 24-hours post-infection, and (B) cellular and viral proteins analyzed by western blot. 32 The fold change in (C) ZIKV, (D) ATF4, (E) ATF3 and (F) ASNS mRNA levels relative to β -actin 33 mRNA were determined by RT-qPCR. (G) Viral titers were measured by plaque assay. N=3 Error

bars show ± SD. Statistical significance was determined by Student T-test. **p<0.001,
 p<0.0005, *p<0.0001, ns-not significant.

3

4 **Figure 4. ATF4 induces ATF3 expression and promotes ZIKV protein and RNA expression.** 5 A549 cells expressing either control or ATF4 targeting shRNA were treated with tunicamycin (TU) 6 or infected with ZIKV (moi=10 PFU/cell). (**A**) ATF4, ATF3 and ZIKV NS1 proteins were assayed 7 via western blot. (**B-C**) Fold change in *ZIKV* and *ATF3* RNA levels relative to *β*-actin mRNA were 8 determined by RT-qPCR. N=3 Error bars show ± SD. Statistical significance was determined by 9 Student T-test. **p<0.005, * non-specific band.

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Figure 5. ATF3 restricts while ATF4 promotes ZIKV infection. A549 WT and ATF3 KO cells expressing either control or ATF4 targeting siRNA were infected without or with ZIKV (moi=10; -/+Z). (A) ZIKV NS1, ATF4 and ATF3 proteins were analyzed by western blot with GAPDH as the loading control. (B-C) Fold change of *ZIKV, ATF4*, and *ATF3* RNA levels relative to β -actin mRNA were assayed by RT-qPCR. N=3 Error bars show ± SD. Statistical significance was determined by Student t-test. *p < 0.05; **p < 0.01; ***p < 0.001, ns-not significant.

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18 Figure 6. ATF3 negatively or positively regulates specific antiviral genes during ZIKV 19 infection. A549 cells WT and ATF3 KO cells were mock-infected or infected with ZIKV PRVABC 20 (moi=1 and 10 PFU/cell) and antiviral gene expression examined 24-hours post infection. (A) 21 Schematic of the antiviral innate immune response pathway. Key antiviral genes assayed at 22 various steps of the pathway are highlighted in blue. (B-G) RT-qPCR analyses of immune 23 response genes RIG-I, IFN-B, STAT1, IRF9, ISG15 and IFIT2. Target RNAs were normalized to 24 β -actin mRNA and fold change determined. N=3, Error bars show ± SD. Statistical significance 25 was determined by Student T-test. *p<0.01, **p<0.001, ***p<0.0005, ****p<0.0001, ns-not 26 significant.

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Figure 7. ATF3 negatively regulates select autophagy genes to influence autophagic flux.

A549 cells WT and ATF3 KO cells were mock-infected or infected with ZIKV PRVABC (moi=1 and 10 PFU/cell) and the expression of select autophagy genes examined 24-hours post infection. (**A-D**) RT-qPCR analyses of autophagy related genes *ATG5, ATG12, ATG101* and *ULK2* normalized to β -actin mRNA. N=3, Error bars show ± SD. Statistical significance was determined by Student T-test. *p<0.01, **p<0.001, ***p<0.0005. (**E-F**) A549 WT and ATF3 deficient cells were exposed to starvation media for 1-, 2- and 4 hours. Autophagy markers LC3B and p62/SQSTM1

1 were examined by western blotting with GAPDH as the loading control. (G-H) A549 WT and ATF3 2 KO cells were mock-infected or infected with ZIKV PRVABC (moi=1 and 10 PFU/cell) and 3 autophagy-associated proteins LC3B-I, LC3B-II and p62/ SQSTM1 were analyzed by western 4 blot at 24-hours post infection. Immunoblots shown are representatives from 3 independent 5 experiments. (I) p62/SQSTM1 gene expression relative to β -actin was examined by RT-gPCR. 6 In (G) cells were infected with ZIKV at moi=1 and 10 PFU/cell, while in (H-I) cells were infected 7 with ZIKV at moi=10 PFU/cell. N=3, Error bars show ± SD. Statistical significance was determined 8 by Student T-test. *p<0.01, **p<0.001.

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10 Supplemental Figures

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25 Figure S2. ISR inhibition does not affect cell viability or localization of ATF3 during ZIKV 26 infection. (A) A549 WT cells were treated with DMSO or ISRIB or had no treatment, and viable 27 cells were measured as luciferase unit using the cell viability assay. (B) A549 cells were either 28 mock-infected or infected with ZIKV (PRVABC59, moi=10 PFU/cell) in the presence or absence 29 of ISRIB. Cellular and nuclear fractions were prepared from cells harvested 24-hours post-30 infection. The resultant subcellular fractions were analyzed by immunoblotting and probed with 31 NS1, ATF4, ATF3, fibrillarin and β -tubulin antibodies. Fibrillarin and β -tubulin were used as 32 nuclear and cytoplasmic markers respectively. Results shown are from 3 independent 33 experiments.

34

1 Figure S3. PERK inhibition enhances ATF3 protein levels but reduces ATF3 mRNA levels

during ZIKV infection. PERK, one of four kinases central to the ISR pathway was targeted by treating A549 mock- or ZIKV-infected (PRVABC59, moi=10 PFU/cell) with or without an inhibitor (GSK2606414). (A) Cellular and viral proteins were analyzed by western blot. The fold change in (B) *ZIKV*, (C) PERK (D) *ATF4* and (E) *ATF3* levels relative to β -actin mRNA were determined by RT-qPCR. (F) Cell viability of non-treated cells and cells treated with DMSO, or PERK inhibitor were determined. N=3 Error bars show ± SD. Statistical significance was determined by Student T-test. **p<0.001, ****p<0.0001, ns-not significant.

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10 Figure S4. ATF4 triggers ATF3 expression and positively regulates ZIKV replication and

translation. A549 cells expressing either control or ATF4-specific shRNA were treated with tunicamycin (TU) or infected with ZIKV (moi=10 PFU/cell). (**A**) A representative western blot probed with ATF4, ATF3 and ZIKV NS1 antibodies. (**B-C**) Relative mRNA expression of *ATF4* and *ATF3* genes measured as fold change were determined by RT-qPCR. All experiments were done in triplicates. Statistical significance was determined by Student T-test. ***p<0.005, ns-not significant.

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Figures

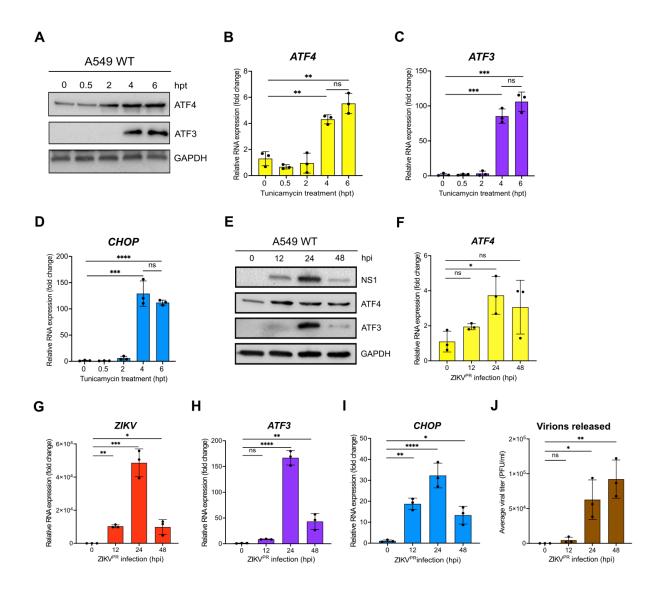


Figure 1. ATF3 expression is induced by chemical and viral induction of ER stress. (A-D) A549 cells were treated with 2 nM tunicamycin (TU) and harvested at 0-, 0.5-, 2-, 4- and 6-hours after treatment. (A) Cellular proteins ATF3 and ATF4 were analyzed by western blot. GAPDH was used as the loading control. The western blot shown is representative of at least 3 independent experiments. (B-D) The fold change of *ATF4*, *ATF3* and *CHOP* mRNA levels relative to β -actin mRNA were also determined by RT-qPCR. (E-J) A549 WT cells were infected with ZIKV PRVABC (moi of 10 PFU/cell) for 0-, 12-, 24- or 48-hours. (E) Cellular and viral proteins were assayed by western blot with GAPDH as the loading control. Protein levels are representative of at least 3 independent experiments. (F-I) RT-qPCR analyses was used to determine the fold change in expression of *ATF4*, *ZIKV*, *ATF3* and *CHOP* mRNAs, where the specific mRNA were normalized to β -actin mRNA. (J) Viral titers from virions released into the media at each time point were determined by plaque assay. N=3, and error bars show ± SD. Statistical significance was determined by Student T-test. *p<0.001, **p<0.0005, ****p<0.0001, ns-not significant.

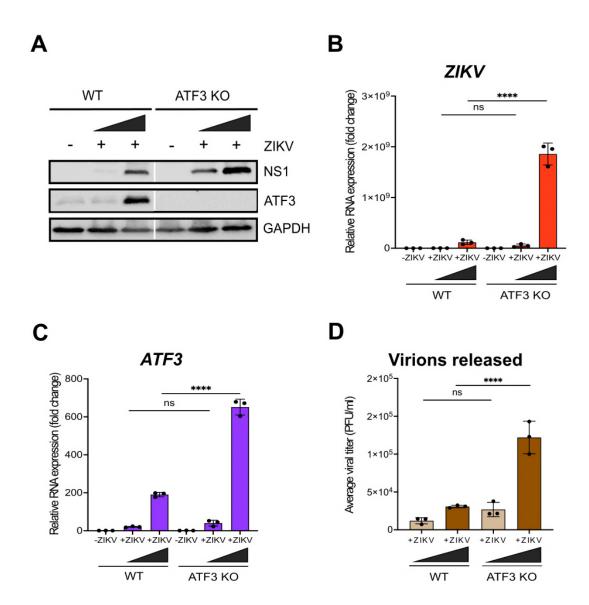


Figure 2. ATF3 expression restricts ZIKV gene expression. (**A**) A representative western blot showing ZIKV NS1 and ATF3 expression in both WT and ATF3 KO cell lines. GAPDH was the loading control. (**B**-**C**) RT-qPCR analyses of *ZIKV* and *ATF3* RNA levels normalized to β -actin mRNA in KO cells compared to WT cells. (**D**) Virions released during infection in WT and KO cells was quantified as the average viral titer (PFU/mI) using the plaque assay method. N=3, Error bars show ± SD. Statistical significance was determined by Student T-test. ****p<0.0001, ns-not significant.

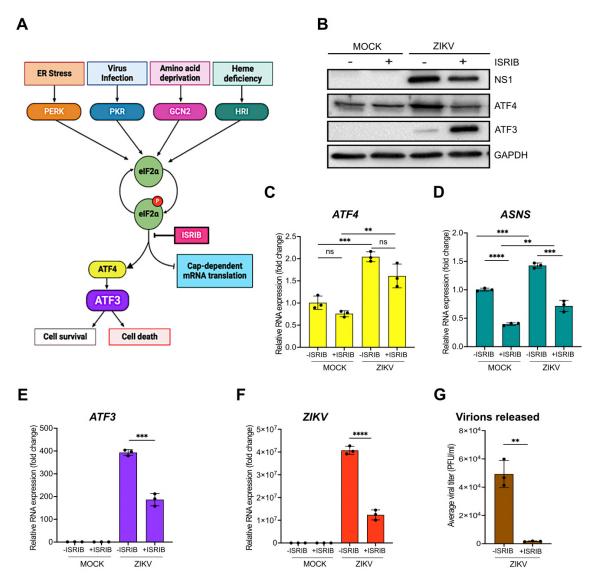


Figure 3. ZIKV activates ATF3 through the Integrated Stress Response (ISR) pathway. (**A**) Schematic of the ISR pathway. Stress conditions like virus infections, ER stress, amino acid deprivation and oxidative stress induce stalling of most cap-dependent translation by the phosphorylation of eIF2 α and induces the translation of ATF4. ATF4 in turn activates ATF3 to restore cellular homeostasis (11-14). A549 cells were mock-infected or infected with the ZIKV (PRVABC59, moi=10 PFU/cell) in the presence or absence of ISRIB, an ISR inhibitor. Cells were harvested 24-hours post-infection, and (**B**) cellular and viral proteins analyzed by western blot. The fold change in (**C**) *ZIKV*, (**D**) *ATF4*, (**E**) *ATF3* and (**F**) *ASNS* mRNA levels relative to β -actin mRNA were determined by RT-qPCR. (**G**) Viral titers were measured by plaque assay. N=3 Error bars show ± SD. Statistical significance was determined by Student T-test. **p<0.001, ***p<0.0005, ****p<0.0001, ns-not significant.

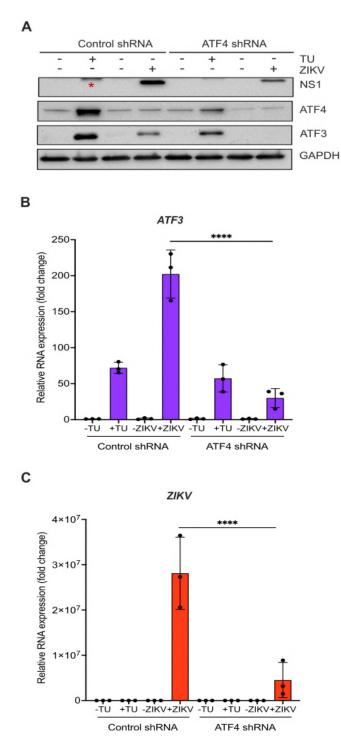


Figure 4. ATF4 induces ATF3 expression and promotes ZIKV protein and RNA expression. A549 cells expressing either control or ATF4 targeting shRNA were treated with tunicamycin (TU) or infected with ZIKV (moi=10 PFU/cell). (A) ATF4, ATF3 and ZIKV NS1 proteins were assayed via western blot. (B-C) Fold change in *ZIKV* and *ATF3* RNA levels relative to β -actin mRNA were determined by RT-qPCR. N=3 Error bars show ± SD. Statistical significance was determined by Student T-test. **p<0.005, * non-specific band.

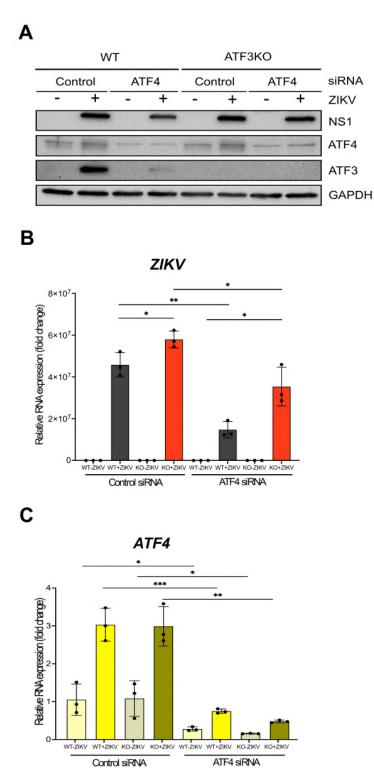


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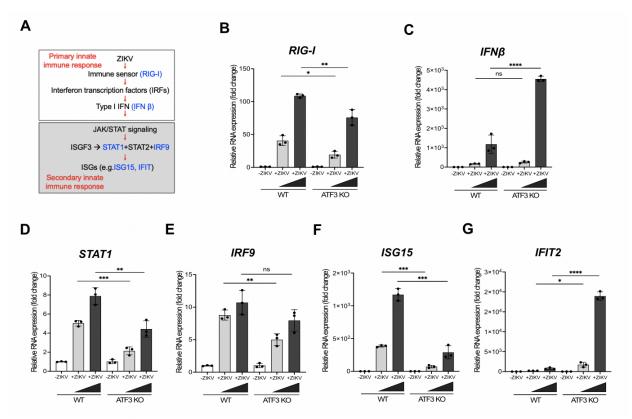


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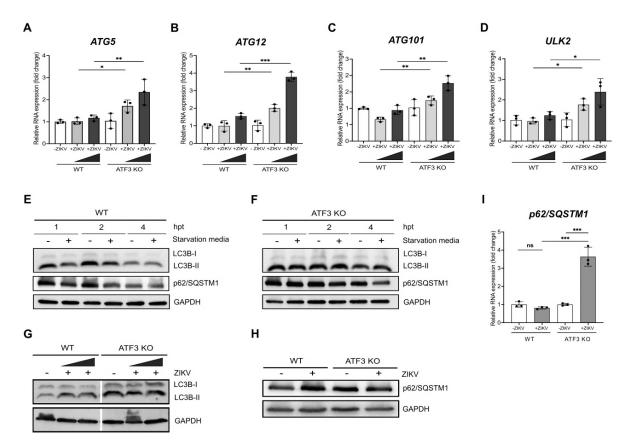


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Supplemental Figures

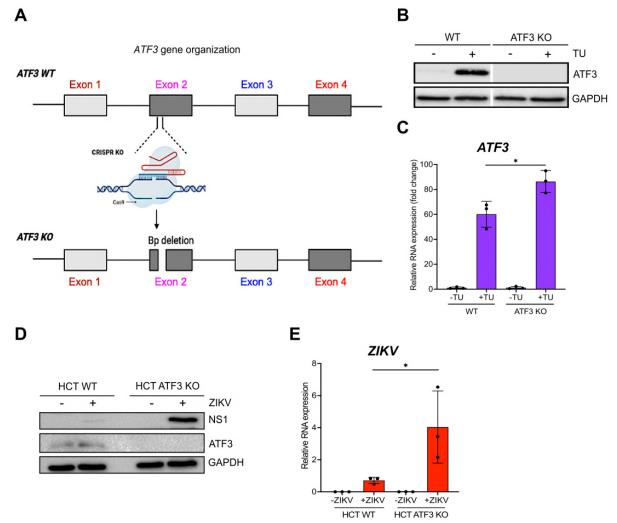


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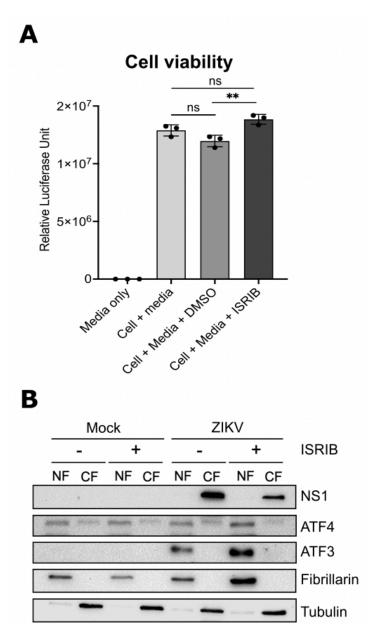


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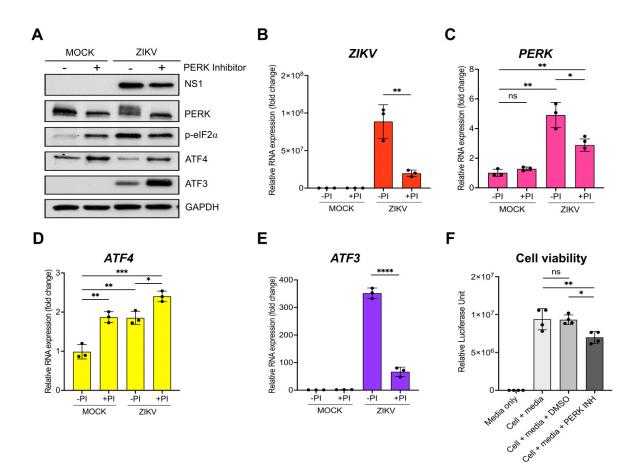


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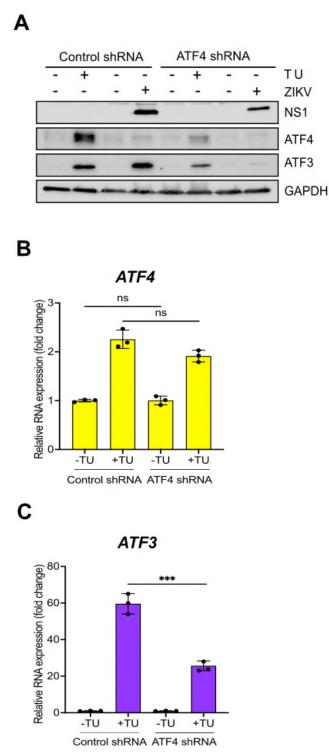


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