# Autophagy Plays a Crucial Role in Ameloblast Differentiation

C. Iwaya<sup>1,2</sup>, A. Suzuki<sup>1,2</sup>, J. Shim<sup>1,2</sup>, C. G. Ambrose<sup>3</sup>, and J. Iwata<sup>1,2,4,5</sup>

Journal of Dental Research 2023, Vol. 102(9) 1047–1057 © International Association for Dental, Oral, and Craniofacial Research and American Association for Dental, Oral, and Craniofacial Research 2023

Article reuse guidelines: sagepub.com/journals-permissions DOI: 10.1177/00220345231169220 journals.sagepub.com/home/jdr

### Abstract

Tooth enamel is generated by ameloblasts. Any failure in amelogenesis results in defects in the enamel, a condition known as amelogenesis imperfecta. Here, we report that mice with deficient autophagy in epithelial-derived tissues (K14- $Cre;Atg7^{F/F}$  and K14- $Cre;Atg3^{F/F}$  conditional knockout mice) exhibit amelogenesis imperfecta. Micro-computed tomography imaging confirmed that enamel density and thickness were significantly reduced in the teeth of these mice. At the molecular level, ameloblast differentiation was compromised through ectopic accumulation and activation of NRF2, a specific substrate of autophagy. Through bioinformatic analyses, we identified BcIIIb, DIx3, KIk4, Ltbp3, NectinI, and Pax9 as candidate genes related to amelogenesis imperfect and the NRF2-mediated pathway. To investigate the effects of the ectopic NRF2 pathway activation caused by the autophagy deficiency, we analyzed target gene expression and NRF2 binding to the promoter region of candidate target genes and found suppressed gene expression of BcIIIb, DIx3, KIk4, and NectinI but not of Ltbp3 and Pax9. Taken together, our findings indicate that autophagy plays a crucial role in ameloblast differentiation and that its failure results in amelogenesis imperfect a through ectopic NRF2 activation.

Keywords: Atg7, Atg3, tooth development, ameloblasts, enamel formation, amelogenesis imperfecta

## Introduction

The epithelial compartment of the developing tooth is called the enamel organ and comprises specific cell types, including inner and outer enamel epithelial cells (Lacruz et al. 2017). The inner enamel epithelial cells differentiate into ameloblasts, which go through presecretory, secretory, transition, and maturation stages. At the presecretory stage, enamel formation begins with an organic protein-enriched matrix deposited on dentin by secretory ameloblasts. During the secretory stage, polarized tall columnar-shaped ameloblasts secrete enamel proteins such as amelogenin, ameloblastin, and enamelin (Bartlett 2013). Once the primary enamel is fully thickened, the secretory ameloblasts enter the transition stage, followed by the maturation stage, in which ameloblasts remove protein and deposit minerals in the enamel matrix (Bartlett 2013). Any failure or abnormality in these steps of amelogenesis results in developmental enamel defects, which recapitulate those seen in amelogenesis imperfecta.

Amelogenesis imperfecta (OMIM #104510) can have autosomal dominant, autosomal recessive, or X-linked inheritance. Based on the distinct phenotype and mode of inheritance, amelogenesis imperfecta can be grouped into 4 major categories: hypoplastic (type I), hypomaturation (type II), hypomineralized/hypocalcified (type III), and hypomature-hypoplastic enamel with taurodontism (type IV) (Aldred et al. 2003). The most common type is hypoplastic, which accounts for 60% to 73% of cases; hypomaturation represents approximately 20% to 40%, and the hypomineralized type accounts for approximately 7% (Chaudhary et al. 2009). All cases typically display discoloration and excessive attrition in addition to their respective characteristics. Healthy rodents have upper incisors coated with brown-color enamel on the labial side and lower incisors with a translucent appearance (Mitsiadis and Luder 2011). In mice, incisors with enamel defects usually have a chalky-white

A supplemental appendix to this article is available online.

#### **Corresponding Author:**

<sup>&</sup>lt;sup>1</sup>Department of Diagnostic & Biomedical Sciences, The University of Texas Health Science Center at Houston, School of Dentistry, Houston, TX, USA

<sup>&</sup>lt;sup>2</sup>Center for Craniofacial Research, The University of Texas Health Science Center at Houston, School of Dentistry, Houston, TX, USA <sup>3</sup>Department of Orthopedic Surgery at McGovern Medical School, The University of Texas Health Science Center at Houston, Houston, TX, USA

 <sup>&</sup>lt;sup>4</sup>Pediatric Research Center, The University of Texas Health Science Center at Houston, School of Medicine, Houston, TX, USA
<sup>5</sup>MD Anderson Cancer Center UTHealth Graduate School of Biomedical Sciences, Houston, TX, USA

J. Iwata, Department of Diagnostic and Biomedical Sciences, School of Dentistry, The University of Texas Health Science Center at Houston, 1941 East Road, BBS 4208, Houston, TX 77054, USA. Email: Junichi.Iwata@uth.tmc.edu

or opaque appearance due to loss of iron ion deposition on the surface of mature enamel, irregular enamel crystals, a missing enamel layer, or a rough enamel surface. The affected molars show flatted cusps or crowns due to the attrition caused by occlusion.

Autophagy is the basic physiological process responsible not only for clearing damaged or unnecessary proteins and organelles from the cells (Dikic and Elazar 2018) but also for supplying amino acids and other nutrients under starvation and stress conditions (Russell et al. 2014). ATG7 (an E1-like enzyme) and ATG3 (an E2-like enzyme) are crucial for the activation of the autophagy pathway (Mizushima and Komatsu 2011). Accumulating evidence indicates that molecules related to autophagy play roles in various nonautophagy cellular functions, including cell differentiation and transcriptional regulation. However, the autophagy-specific molecular mechanisms involved in cell differentiation remain unclear.

Nuclear factor NRF2 (aka NFE2L2), a basic region-leucine zipper-type transcription factor, localizes in the cytoplasm and forms a complex with KEAP1 under normal conditions (Bryan et al. 2013). In the presence of cellular stresses, NRF2 breaks off the binding with KEAP1, which inhibits ubiquitination and degradation of NRF2, accumulates in the cytosol, and then translocates into the nucleus (Bryan et al. 2013), where it binds to the antioxidant response element (ARE; aka NRF2-binding motif) to regulate gene expression (Malhotra et al. 2010). Recent studies show that autophagy regulates NRF2-ARE signaling through p62/SQSTM1, an autophagy adaptor protein. P62/SQSTM1 competes with NRF2 for the interaction with KEAP1 at the NRF2-binding motif (Komatsu et al. 2010; Taguchi et al. 2012). In this study, we investigated the role of autophagy in tooth development.

# **Materials and Methods**

#### Animals

K14-Cre (Dassule et al. 2000) mice were obtained from The Jackson Laboratory (018964) and used for the generation of epithelial-specific conditional knockout (cKO) mice. Atg7<sup>F/F</sup> (Komatsu et al. 2005) and Atg3<sup>F/F</sup> (Jia and He 2011) mice were crossed with K14-Cre mice to generate the K14-Cre; Atg7<sup>F/F</sup> cKO (Atg7 cKO) and K14-Cre; Atg3<sup>F/F</sup> cKO (Atg3 cKO) mutant mice.  $Atg7^{F/F}$  and  $Atg3^{F/F}$  littermate mice were used as wild-type controls. Genotyping was performed by polymerase chain reaction (PCR), as previously described (Suzuki et al. 2019). All mice were bred under pathogen-free conditions with free access to water and food in rooms with a 12-h light/dark cycle. Carbon dioxide inhalation was used for euthanasia. All animal experiments were reviewed and approved by the Animal Welfare Committee and the Institutional Animal Care and Use Committee of UTHealth (AWC-22-0087). Both male and female mice were analyzed at age 8 or 26 wk, with n=6 per group (from different litters) in each experiment. This study complied with the ARRIVE 2.0 (Animal Research: Reporting of In Vivo Experiments) guidelines for preclinical animal studies.

# Cell Culture

The mHAT9d mouse dental epithelial cell line that originated from the apical bud in the incisors, a gift from Dr. Hidemitsu Harada (Iwate Medical University, Iwate, Japan), was cultured as previously described (Otsu et al. 2016). Atg3 knockout (KO) and Atg7 KO mHAT9d cells were generated by the CRISPR/ Cas9 method using mouse Atg3 KO and HDR plasmids (sc-426768; Santa Cruz Biotechnology) and mouse Atg7 KO and HDR plasmids (sc-428805; Santa Cruz Biotechnology), according to the manufacturer's protocol. Mouse Nrf2/Nfe2l2 siRNA (NM-010902; mapping to 2C3, Sc-37049; Santa Cruz Biotechnology) or the negative control siRNA (sc-37007; Santa Cruz Biotechnology) were transfected with Lipofectamine RNAiMAX transfection reagent (Thermo Fisher Scientific) into Atg3 and Atg7 KO cells, according to the manufacturer's protocol. Either the mouse full-length Nrf2/Nfe2l2 cDNA vector (MG56971-U; Sino Biological, Inc.) or the pUC19 backbone vector (50005; addgene) was transfected with Lipofectamine 3000 (Thermo Fisher Scientific) into WT mHAT9d cells, according to the manufacturer's protocol; 24h after transfection, the cells were cultured with ameloblast differentiation medium (including 15 µg/mL retinoic acid [R2625, Sigma Aldrich] and 0.1 µM dexamethasone [D4902, Sigma Aldrich]) for 48 h in order to induce ameloblast differentiation.

# Micro-Computed Tomography Scanning and 3-Dimensional Reconstruction

The maxillae and mandibles (n=6 per group) of 8- and 26-wkold mice were resected and fixed, as previously described (Shim et al. 2022). The micro–computed tomography (micro-CT) scans were performed at a 15-µm resolution with a SCANCO micro-CT-40 system (SCANCO Medical USA, Inc.; 550 peak kilovoltage and 145-µA x-ray source). Threedimensional (3D) reconstruction and analysis of the micro-CT images from DICOM files were attained with the Dragonfly software (Version 3.6 for Windows; Object Research Systems [ORS], Inc.).

# Imaging Analysis for Scanned Incisors and Molars

The region containing enamel in the lower incisor was divided into eight 1-mm-long cross sections (ES1–ES8), starting at 75% of the distance between the opening of the apical foramen and the distal boundary of the last lower molar at the level of the cement–enamel junction. At each segment (ES), a volume of interest (VOI) was created by manually outlining the enamel boundary and excluding nonenamel objects. Each VOI was then used to calculate the average density in Hounsfield units (HUs) and area of enamel ( $\mu$ m<sup>2</sup>).

## Transmission Electron Microscopy

Samples were examined under a JEM1010 transmission electron microscope (JEOL, USA, Inc.), as previously described (Suzuki, Iwaya, et al. 2022). Digital images were obtained with the AMT Imaging System (Advanced Microscopy Techniques Corp.).

## Immunoblotting

Ameloblasts were isolated from the upper incisors of Atg7 cKO, Atg3 cKO, and control mice (n=6 per group) at 8 wk of age or from mHAT9d cells. Immunoblotting was performed as described previously (Suzuki et al. 2020); information on the antibodies used is included in Appendix Table 1.

### Histology

Hematoxylin and eosin staining, Berlin blue staining, and immunohistochemistry were performed as previously described (Suzuki et al. 2019); information on the antibodies used is included in Appendix Table 1. Color images were obtained with a light microscope (BX43; Olympus) (n=6 per group).

## Quantitative Reverse Transcription PCR

Total RNAs isolated from the upper and lower incisors of our genetically engineered mouse models or from mHAT9d cells (n=6 per group) were collected with the QIAshredder and RNeasy mini extraction kit (QIAGEN). *Gapdh* was used as an internal housekeeping control; the  $\Delta\Delta$ -CT method was applied for the analyses. The PCR primers used in this study are shown in Appendix Table 2.

# Comparative Analysis of Transcription Factor Binding Sites

The UCSC genome browser (https://genome.ucsc.edu/) was used to obtain the genomic sequences of the murine genes (Build 38) and 7 additional mammalian genomes, including the 10-kb sequence upstream of the respective transcription start site, as previously described (Suzuki et al. 2019). The multiple alignments were obtained using the Clustal Omega tool with default parameters and settings (Sievers et al. 2011). The ARE, which resembles the NRF2-binding motif (5'-TGAG/CNNNGC-3') (Suzuki et al. 2019), was searched on the sequence.

### Chromatin Immunoprecipitation Assay

Tissue extracts from the maxilla and mandible were incubated with either rabbit polyclonal NRF2 antibody (Invitrogen, PA5-27882) or the standard mouse IgG (Santa Cruz Biotechnology, sc-2025, 1:100). Chromatin immunoprecipitation (ChIP) assays were performed as previously described (Suzuki et al. 2020). There were several binding sites (information about the primers used is provided in Appendix Table 2); the positions of the PCR fragments correspond to NCBI mouse genome Build

## In Situ Hybridization

In situ hybridization for *Bcl11b* (ACD, 413271), *Dlx3* (ACD, 425191), *Klk4* (ACD, 483451), and *Nectin1* (ACD, 322360) was performed using the RNAscope 2.5 Assay platform (ACD). The color images were obtained with a light microscope (BX43, Olympus), and n=6 per group were analyzed in each experiment.

#### Statistical Analysis

All results were obtained from at least 3 independent experiments (n=6 per group in each experiment). Two-tailed nonparametric Student's *t* tests were applied to all experimental analyses. Data were analyzed using the Prism software (GraphPad Software). A *P* value <0.05 was considered statistically significant. For all graphs, the data are presented as mean±standard deviation (SD).

## Results

# Autophagy Deficiency Causes Amelogenesis Imperfecta

To investigate the role of autophagy in tooth development, we analyzed mice with an epithelial tissue-specific deletion of either Atg7 or Atg3 (K14-Cre;Atg7<sup>F/F</sup> and K14-Cre;Atg3<sup>F/F</sup> mice; hereafter Atg7 cKO and Atg3 cKO mice). Although the upper incisors in wild-type ( $Atg7^{F/F}$  and  $Atg3^{F/F}$ ) and heterozygous (K14-Cre;Atg7<sup>F/+</sup> and K14-Cre;Atg3<sup>F/+</sup>) mice exhibited yellowish pigmentation on the enamel surface, both Atg7 and Atg3 cKO mice exhibited chalky-white upper incisors (Fig. 1A; Appendix Figs. 1A and 2), suggesting that there is a defect in enamel formation in the mutant mice. The molar crown is known to be grinded in amelogenesis imperfecta (Crawford et al. 2007). To confirm the presence of enamel defects in the molars of Atg7 and Atg3 cKO mice, we assessed their shape and surface and found that molar crowns were cracked and flattened (Fig. 1B; Appendix Fig. 1B and 2). Next, to evaluate the mineral density and thickness of tooth enamel, we conducted micro-CT imaging analyses and observed that these parameters were significantly decreased in the lower incisors of Atg7 and Atg3 cKO mice compared to wild-type controls (Fig. 1C, D; Appendix Fig. 2C, D). Similarly, the mineral density and enamel thickness of the molars were decreased in Atg7 and Atg3 cKO mice compared to control mice (Fig. 1E, F; Appendix Fig. 2E, F). Taken together, our results indicate that Atg7 and Atg3 cKO mice exhibit a combination of amelogenesis imperfecta type I (hypoplastic type) and type III (hypomineralized type). Since we detected no difference in phenotypes between Atg7 and Atg3 cKO mice, we concluded that ATG7/ATG3-mediated autophagy plays a crucial role in ameloblast differentiation.



**Figure 1.** Gross appearance of the teeth of wild-type and Atg7 mutant mice. (**A**) Incisors of wild-type (WT) and Atg7 conditional knockout (cKO) mice at 6 mo (6 M) of age. (**B**) Molars of WT and Atg7 cKO mice at 6 mo of age. (**C**) The region containing enamel in the lower incisor was divided into eight 1-mm-long cross sections (ES1–ES8) from near the apical loop to the gingival margin. Upper panel: a representation of a model WT tooth with sagittal micro-computed tomography (CT) sections (ES4–ES8) of the lower incisor at 6 mo of age. Lower panels: transverse micro-CT sections, taken at the indicated time points, of lower incisors from WT and Atg7 cKO mice. Scale bar: 1 mm. Color bar, density in Hounsfield units, range 516 to 3,100. (**D**) Quantification of mineral density and area of the enamel in incisors from WT and Atg7 cKO mice at 6 mo of age. \*\*P<0.01. \*\*\*P<0.001. n=6 per group. (**E**) Micro-CT images of molars from WT and Atg7 cKO mice at 6 mo of age. Color bar, density in Hounsfield units, range 60 to 350. (**F**) Quantification of enamel thickness in molars from WT and Atg7 cKO mice at 6 mo of age. \*\*\*P<0.001. n=6 per group.

# Autophagy Deficiency Results in Failure of Ameloblast Differentiation

To investigate which differentiation stage was affected by loss of autophagy, we conducted histological analyses of the upper and lower incisors of Atg7 cKO, Atg3 cKO, and wild-type control mice at 8 wk of age. The secretory stage of ameloblasts in wild-type mice showed a tall columnar morphology surmounting a thick, well-organized eosinophic enamel extracellular matrix that was indistinguishable in Atg7 and Atg3 cKO mice (Fig. 2A; Appendix Figs. 3 and 4A, B). The morphology of the cells dramatically changes at the transition stage through digestion of superfluous organelles and reorganization of the cytoplasm, suggesting that autophagy may be involved in this reconstruction of cellular structures. As expected, we identified drastic changes in ameloblast morphology at the transition stage (Fig. 2A; Appendix Fig. 4A, B). In addition, we found that ruffle-ended and smooth-ended ameloblasts in Atg7 and Atg3 cKO mice were morphologically similar to the pigment ameloblasts seen in wild-type mice (Fig. 2A; Appendix Fig. 4B). While the cells in wild-type mice at the pigmentation stage (the final stage of ameloblast differentiation) were aligned in a single layer, the cells in Atg7 and Atg3 cKO mice were piled up in multiple layers and presented a round shape (Fig. 2A; Appendix Fig. 4B). The defects in autophagy were confirmed with immunoblotting (Fig. 2B; Appendix Fig. 4C), immunohistochemistry (Fig. 2C; Appendix Fig. 4D), and transmission electron microscopy (Fig. 2D; Appendix Fig. 4E) in incisors of Atg7 and Atg3 cKO mice.

In rodents, iron is deposited onto the mature enamel surface of the incisors, particularly the upper incisors, through the ameloblast maturation to pigmentation stages (Lacruz et al. 2017). We found that ferritin (FTH), a universal cytosolic protein crucial for iron storage and release known to be regulated by NRF2, was abnormally accumulated in ameloblasts in the incisors of Atg7 and Atg3 cKO mice compared to wild-type mice (Fig. 2E; Appendix Fig. 4F). As a result, the iron detected by berlin blue staining was accumulated in ameloblasts after the transition stage in Atg7 and Atg3 cKO mice (Fig. 2F; Appendix Fig. 4G). Taken together, these data show that autophagy is crucial for the transformation of ameloblasts during the secretion-to-maturation stage.

Next, to investigate the molecular mechanism(s) regulating ameloblast differentiation through autophagy, we analyzed the cellular level of autophagy's substrates (ubiquitinated protein [UB] and p62/SQSTM1) and autophagy-associated transcription factor NRF2. We found that these molecules accumulated in the ameloblasts of Atg7 and Atg3 cKO mice, suggesting that a compromised autophagy process suppresses NRF2 degradation in ameloblasts (Fig. 2E; Appendix Fig. 4F).

Through searches of the Mouse Genome Informatics (MGI) database and the literature, we found 70 genes associated with amelogenesis imperfecta in genetically engineered mice (Suzuki, Yoshioka, et al. 2022). To determine which of these genes were regulated through the autophagic machinery, we analyzed the promoter regions of the candidate genes associated with amelogenesis imperfecta in mice. Among 70 genes, 6 genes (*Bcl11b*, *Dlx3*, *Klk4*, *Ltbp3*, *Nectin1*, and *Pax9*)

contained NRF2-binding sites (aka ARE) (Malhotra et al. 2010) in their promotor regions (Fig. 3A; Appendix Fig. 5), and their expression was significantly downregulated in the upper incisors of Atg7 and Atg3 cKO mice compared to wildtype control mice (Fig. 3B; Appendix Fig. 6A). This suggests that these potential amelogenesis imperfecta-associated genes are regulated by the NFR2-ARE pathway through autophagic activity. Next, to test whether these NRF2-binding sites are functionally relevant in the regulation of gene expression, we conducted ChIP assays and found that expression of Bcl11b, Dlx3, Klk4, and Nectin1, but not of Ltbp3 and Pax9, was directly regulated by NRF2 (Fig. 3C; Appendix Fig. 6B). Furthermore, we confirmed that gene and protein expression of Bcl11b, Dlx3, Klk4, and Nectin1 was significantly decreased in ruffle-ended ameloblasts in Atg3 and Atg7 cKO mice compared to wild-type mice (Fig. 4). Importantly, expression of Bcl11b, Dlx3, Klk4, and Nectin1, but not of Ltbp3 and Pax9, was significantly downregulated by Nrf2 overexpression in mouse ameloblast cell line mHAT9d (Fig. 5A). Furthermore, we generated both Atg7 KO and Atg3 KO mHAT9d cells (Fig. 5B. C). As expected, expression of Bcl11b, Dlx3, Klk4, and Nectin1, but not of Ltbp3 and Pax9, was restored by knocking down Nrf2 in Atg7 KO and Atg3 KO mHAT9d cells (Fig. 5D). Taken together, our results indicate that autophagy deficiency results in defects in ameloblast differentiation through NRF2, leading to amelogenesis imperfecta in mice.

# Discussion

An increasing number of studies show that autophagy is crucial for protein/organelle degradation as well as various cellular functions, including differentiation and homeostasis (Perrotta et al. 2020). Rodent tooth incisors continuously grow throughout the animal's lifetime, with ameloblasts lined up in a single line; therefore, they constitute a unique model to investigate the process of ameloblast differentiation in vivo. To evaluate the contribution of autophagy to ameloblast differentiation, we examined the mouse incisors of *Atg7* cKO, *Atg3* cKO, and control mice and found that expression of *Bcl11b*, *Dlx3*, *Klk4*, and *Nectin1* is regulated through NRF2.

BCL11B, a transcriptional repressor, plays critical roles in the development of various tissues and regulates the expression of fibroblast growth factors in the lower incisors (Katsuragi et al. 2013). Mice with a loss of Bcl11b (Bcl11b<sup>-/-</sup> mice) die at birth and exhibit tooth defects accompanied by failure of ameloblast differentiation (Katsuragi et al. 2013). Moreover, mice with a point mutation (S to G) at position 826 in a Bcl11b heterozygous background (Bcl11b<sup>S826G/-</sup> mice) display hypoplasia of the upper incisors, but not the lower incisors, due to defects in the production of ameloblast progenitors (Katsuragi et al. 2013). DLX3, a homeodomain-containing transcription factor, is expressed in ameloblasts during the secretory and maturation stages (Duverger et al. 2017). Mice with a deficiency for Dlx3 in enamel organs (K14-Cre; Dlx3<sup>F/F</sup>) display chalky-white incisors with enamel defects (Bartlett and Simmer 2014), and mutations in DLX3 result in tricho-dento-osseous syndrome (TDO, OMIM#190320), a dominantly inherited syndrome characterized by kinky/curly hair at birth, highly mineralized



**Figure 2.** Histological appearance of the incisors of wild-type and Atg7 mutant mice. (**A**) Hematoxylin and eosin staining of sagittal sections of upper incisors from wild-type (WT) and Atg7 cKO mice. Scale bar:  $10 \,\mu$ m. (**B**) Immunoblotting for the indicated molecules. (**C**) Immunohistochemical staining for the indicated molecules of upper incisors from WT and Atg7 cKO mice. Scale bars:  $100 \,\mu$ m (left panels) and  $10 \,\mu$ m (right panels). (**D**) Transmission electron microscopy in ameloblasts in incisors from WT and Atg7 cKO mice. Yellow arrow indicates the autophagosome. Red arrow indicates vesicle-like abnormal structures. (**E**) Immunohistochemical staining for the indicated molecules of upper incisors from WT and Atg7 cKO mice. Yellow arrow indicates the autophagosome. Red arrow indicates vesicle-like abnormal structures. (**E**) Immunohistochemical staining for the indicated molecules of upper incisors from WT and Atg7 cKO mice. Scale bar:  $25 \,\mu$ m. (**F**) Berlin blue staining of sagittal sections of upper incisors from WT and Atg7 cKO mice. Scale bar:  $10 \,\mu$ m.



**Figure 3.** Identification of genes with compromised expression in the teeth of autophagy-deficient mice. (**A**) Schematic diagram of binding sites (BSs) for NRF2 in the promoter region (10kb upstream from transcription start site) of each gene related to amelogenesis imperfecta. The conserved NRF2 BSs among 8 species were selected for experimental validation. (**B**) Quantitative reverse transcription polymerase chain reaction analyses for the indicated genes of upper incisors from wild-type (blue bars) and Atg7 cKO (green bars) mice. \*\*\*P<0.001. n=6 per group. (**C**) Chromatin immunoprecipitation analyses for each BS in *Dlx3*, *Klk4*, *Nectin I*, *Bcl1 Ic*, *Pax9*, and *Ltbp3*. \*\*\*P<0.001; ns, not significant. n=6 per group.



**Figure 4.** Expression of molecules related to amelogenesis in the upper incisors of autophagy-deficient mice. (**A**) In situ hybridization for the indicated genes in sagittal sections of upper incisors from wild-type (WT) and Atg7 conditional knockout (cKO) mice. Scale bar:  $100 \,\mu$ m. (**B**) Immunohistochemical staining for the indicated molecules of upper incisors from WT and Atg7 cKO mice at 6 mo of age. Scale bar:  $25 \,\mu$ m. (**C**) In situ hybridization for the indicated genes in sagittal sections of upper incisors from WT and Atg3 cKO mice. Scale bar:  $100 \,\mu$ m. (**D**) Immunohistochemical staining for the indicated molecules of upper incisors from WT and Atg3 cKO mice. Scale bar:  $25 \,\mu$ m.

thickened calvaria, and hypoplastic amelogenesis imperfecta with taurodontism (Dong et al. 2005) and nonsyndromic amelogenesis imperfecta of hypoplastic and hypomature types (Bonnet et al. 2020). KLK4 is expressed in ameloblasts during the transition and maturation stages and removes partially hydrolyzed matrix proteins from the enamel layer; mutations in *KLK4* result in autosomal recessive, nonsyndromic amelogenesis imperfecta, hypomaturation type, in humans and mice (Hart et al. 2004; Smith et al. 2017). NECTIN (aka PVRL), an immunoglobulin-like cell adhesion molecule, plays a crucial role in cell–cell tight junction formation. Mutations in human *NECTIN1* cause ectodermal dysplasia syndromes (Brancati



**Figure 5.** NRF2-dependent regulation of genes in autophagy-deficient ameloblasts. (**A**) Quantitative reverse transcription polymerase chain reaction (RT-PCR) analyses for the indicated genes in mHat9d cells treated with a control (blue bars) and *Nrf*2 overexpression (red bars) vector. \*\*\*P<0.001. n=6 per group. (**B**) Immunoblotting for the indicated molecules in wild-type (WT), Atg7 knockout (KO), and Atg3 KO mHAT9d cells. (**C**) Transmission electron microscopy in WT, Atg7 KO, and Atg3 KO mHAT9d cells. Yellow arrows indicate the autophagosomes. Red arrow indicates vesicle-like abnormal structures. Scale bars:  $2 \mu m$ . (**D**) Quantitative RT-PCR analyses for the indicated genes in WT and Atg7 KO mHAT9d cells (upper panel) and WT and Atg3 KO mHAT9d cells (lower panel) with and without *Nrf*2 knockdown (KD). \*\*P<0.005. \*\*\*P<0.001. n=6 per group.

et al. 2010). Mice deficient for *Nectin1* (*Nectin1*<sup>-/-</sup> mice) exhibit defective enamel formation in their incisors, which are hypomineralized and lack normal iron pigmentation, while the expression pattern of enamel matrix proteins and the protein composition of the enamel matrix are unaffected (Barron et al. 2008).</sup>

Although amelogenesis imperfecta may be caused by a combination of multiple factors in humans, this study has a limitation in the analysis of these multifactorial interactions. In addition, although human genetic mutations occur in all cell lineages, in this study, only an ameloblast lineage was analyzed. Future studies may determine the role of cell–cell interactions between the dental epithelium and mesenchyme in amelogenesis imperfecta using various animal models.

In conclusion, this study shows that autophagy plays a crucial role in tooth enamel development, with loss of autophagy leading to amelogenesis imperfect a through ectopic activation of the NRF2 pathway. Our results will help identify novel targets for therapeutics as well as new diagnosis tools for amelogenesis imperfect and related risk factors.

#### **Author Contributions**

C. Iwaya, contributed to design, data acquisition, analysis, and interpretation, drafted and critically revised the manuscript; A. Suzuki, J. Iwata, contributed to conception and design, data acquisition, analysis, and interpretation, drafted and critically revised the manuscript; J. Shim, contributed to data acquisition, analysis, and interpretation, critically revised the manuscript; C.G. Ambrose, contributed to data acquisition, ally revised the manuscript. All authors provided final approval of the manuscript and agreed to be accountable for all aspects of the work.

#### Acknowledgments

We thank Dr. Masaaki Komatsu (Juntendo University, Tokyo, Japan) and Dr. You-Wen He (Duke University, North Carolina, USA) for providing the  $Atg7^{F/F}$  and  $Atg3^{F/F}$  mice. We also thank Dr. Hidemitsu Harada (Iwate Medical University, Iwate, Japan) for the mHAT9d cells and the High Resolution Electron Microscopy Facility of the MD Anderson Cancer Center.

#### **Declaration of Conflicting Interests**

The authors declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

## Funding

The authors disclosed receipt of the following financial support for the research, authorship, and/or publication of this article: This study was supported by grants from the National Institute of Dental and Craniofacial Research, the National Institutes of Health (R01DE026767 and R01DE029818 to JI), and UTHealth School of Dentistry faculty funding to J. Iwata.

# **ORCID** iDs

- A. Suzuki D https://orcid.org/0000-0003-3163-8093
- J. Iwata (D) https://orcid.org/0000-0003-3975-6836

#### Data and Materials Availability

All data needed to support the conclusions in this article are included in the main text and/or the supplemental materials. Additional data related to this study may be requested from the corresponding author.

## References

- Aldred MJ, Savarirayan R, Crawford PJ. 2003. Amelogenesis imperfecta: a classification and catalogue for the 21st century. Oral Dis. 9(1):19–23.
- Barron MJ, Brookes SJ, Draper CE, Garrod D, Kirkham J, Shore RC, Dixon MJ. 2008. The cell adhesion molecule nectin-1 is critical for normal enamel formation in mice. Hum Mol Genet. 17(22):3509–3520.
- Bartlett JD. 2013. Dental enamel development: proteinases and their enamel matrix substrates. ISRN Dent. 2013:684607.
- Bartlett JD, Simmer JP. 2014. Kallikrein-related peptidase-4 (KLK4): role in enamel formation and revelations from ablated mice. Front Physiol. 5:240.
- Bonnet AL, Sceosole K, Vanderzwalm A, Silve C, Collignon AM, Gaucher C. 2020. "Isolated" amelogenesis imperfect associated with DLX3 mutation: a clinical case. Case Rep Genet. 2020;8217919.
- Brancati F, Fortugno P, Bottillo I, Lopez M, Josselin E, Boudghene-Stambouli O, Agolini E, Bernardini L, Bellacchio E, Iannicelli M, et al. 2010. Mutations in PVRL4, encoding cell adhesion molecule nectin-4, cause ectodermal dysplasia-syndactyly syndrome. Am J Hum Genet. 87(2):265–273.
- Bryan HK, Olayanju A, Goldring CE, Park BK. 2013. The Nrf2 cell defence pathway: keap1-dependent and -independent mechanisms of regulation. Biochem Pharmacol. 85(6):705–717.
- Chaudhary M, Dixit S, Singh A, Kunte S. 2009. Amelogenesis imperfecta: report of a case and review of literature. J Oral Maxillofac Pathol. 13(2):70– 77.
- Crawford PJ, Aldred M, Bloch-Zupan A. 2007. Amelogenesis imperfecta. Orphanet J Rare Dis. 2:17.
- Dassule HR, Lewis P, Bei M, Maas R, McMahon AP. 2000. Sonic hedgehog regulates growth and morphogenesis of the tooth. Development. 127(22):4775–4785.
- Dikic I, Elazar Z. 2018. Mechanism and medical implications of mammalian autophagy. Nat Rev Mol Cell Biol. 19(6):349–364.
- Dong J, Amor D, Aldred MJ, Gu T, Escamilla M, MacDougall M. 2005. DLX3 mutation associated with autosomal dominant amelogenesis imperfecta with taurodontism. Am J Med Genet A. 133A(2):138–141.
- Duverger O, Ohara T, Bible PW, Zah A, Morasso MI. 2017. DLX3-dependent regulation of ion transporters and carbonic anhydrases is crucial for enamel mineralization. J Bone Miner Res. 32(3):641–653.
- Hart PS, Hart TC, Michalec MD, Ryu OH, Simmons D, Hong S, Wright JT. 2004. Mutation in kallikrein 4 causes autosomal recessive hypomaturation amelogenesis imperfecta. J Med Genet. 41(7):545–549.
- Jia W, He YW. 2011. Temporal regulation of intracellular organelle homeostasis in T lymphocytes by autophagy. J Immunol. 186(9):5313–5322.
- Katsuragi Y, Anraku J, Nakatomi M, Ida-Yonemochi H, Obata M, Mishima Y, Sakuraba Y, Gondo Y, Kodama Y, Nishikawa A, et al. 2013. Bcl11b transcription factor plays a role in the maintenance of the ameloblast-progenitors in mouse adult maxillary incisors. Mech Dev. 130(9–10):482–492.
- Komatsu M, Kurokawa H, Waguri S, Taguchi K, Kobayashi A, Ichimura Y, Sou YS, Ueno I, Sakamoto A, Tong KI, et al. 2010. The selective autophagy substrate p62 activates the stress responsive transcription factor Nrf2 through inactivation of keap1. Nat Cell Biol. 12(3):213–223.
- Komatsu M, Waguri S, Ueno T, Iwata J, Murata S, Tanida I, Ezaki J, Mizushima N, Ohsumi Y, Uchiyama Y, et al. 2005. Impairment of starvation-induced and constitutive autophagy in Atg7-deficient mice. J Cell Biol. 169(3):425–434.
- Lacruz RS, Habelitz S, Wright JT, Paine ML. 2017. Dental enamel formation and implications for oral health and disease. Physiol Rev. 97(3):939–993.
- Malhotra D, Portales-Casamar E, Singh A, Srivastava S, Arenillas D, Happel C, Shyr C, Wakabayashi N, Kensler TW, Wasserman WW, et al. 2010. Global mapping of binding sites for Nrf2 identifies novel targets in cell survival response through ChIP-Seq profiling and network analysis. Nucleic Acids Res. 38(17):5718–5734.
- Mitsiadis TA, Luder HU. 2011. Genetic basis for tooth malformations: from mice to men and back again. Clin Genet. 80(4):319–329.
- Mizushima N, Komatsu M. 2011. Autophagy: renovation of cells and tissues. Cell. 147(4):728–741.
- Otsu K, Ida-Yonemochi H, Fujiwara N, Harada H. 2016. The semaphorin 4D-RhoA-Akt signal cascade regulates enamel matrix secretion in coordination with cell polarization during ameloblast differentiation. J Bone Miner Res. 31(11):1943–1954.

- Perrotta C, Cattaneo MG, Molteni R, De Palma C. 2020. Autophagy in the regulation of tissue differentiation and homeostasis. Front Cell Dev Biol. 8:602901.
- Russell RC, Yuan HX, Guan KL. 2014. Autophagy regulation by nutrient signaling. Cell Res. 24(1):42–57.
- Shim J, Iwaya C, Ambrose CG, Suzuki A, Iwata J. 2022. Micro-computed tomography assessment of bone structure in aging mice. Sci Rep. 12(1):8117.
- Sievers F, Wilm A, Dineen D, Gibson TJ, Karplus K, Li W, Lopez R, McWilliam H, Remmert M, Soding J, et al. 2011. Fast, scalable generation of high-quality protein multiple sequence alignments using clustal omega. Mol Syst Biol. 7:539.
- Smith CEL, Kirkham J, Day PF, Soldani F, McDerra EJ, Poulter JA, Inglehearn CF, Mighell AJ, Brookes SJ. 2017. A fourth KLK4 mutation is associated with enamel hypomineralisation and structural abnormalities. Front Physiol. 8:333.
- Suzuki A, Iwaya C, Ogata K, Yoshioka H, Shim J, Tanida I, Komatsu M, Tada N, Iwata J. 2022. Impaired GATE16-mediated exocytosis in exocrine

tissues causes Sjögren's syndrome-like exocrinopathy. Cell Mol Life Sci. 79(6):307.

- Suzuki A, Ogata K, Yoshioka H, Shim J, Wassif CA, Porter FD, Iwata J. 2020. Disruption of Dhcr7 and Insig1/2 in cholesterol metabolism causes defects in bone formation and homeostasis through primary cilium formation. Bone Res. 8:1.
- Suzuki A, Shim J, Ogata K, Yoshioka H, Iwata J. 2019. Cholesterol metabolism plays a crucial role in the regulation of autophagy for cell differentiation of granular convoluted tubules in male mouse submandibular glands. Development. 146(20):dev178335.
- Suzuki A, Yoshioka H, Liu T, Gull A, Singh N, Le T, Zhao Z, Iwata J. 2022. Crucial roles of microRNA-16-5p and microRNA-27b-3p in ameloblast differentiation through regulation of genes associated with amelogenesis imperfecta. Front Genet. 13:788259.
- Taguchi K, Fujikawa N, Komatsu M, Ishii T, Unno M, Akaike T, Motohashi H, Yamamoto M. 2012. Keap1 degradation by autophagy for the maintenance of redox homeostasis. Proc Natl Acad Sci U S A. 109(34):13561– 13566.