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## Nonpungent N-AVAM Capsaicin Analogues and Cancer Therapy

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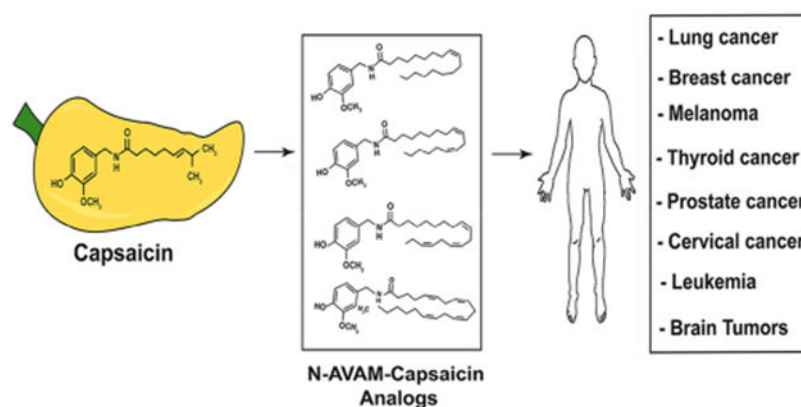
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## Abstract

Capsaicin displays robust growth-inhibitory activity in multiple human cancers. However, the feasibility of capsaicin as a clinically relevant anticancer drug is hampered by its adverse side effects. This concern has led to extensive research focused on the isolation and synthesis of second-generation nonpungent capsaicin analogues with potent antineoplastic activity. A major class of nonpungent capsaicin-like compounds belongs to the *N*-acyl-vanillylamide (N-AVAM) derivatives of capsaicin (hereafter referred as N-AVAM capsaicin analogues). This perspective discusses the isolation of N-AVAM capsaicin analogues from natural sources as well as their synthesis by chemical and enzymatic methods. The perspective describes the pharmacokinetic properties and anticancer activity of N-AVAM capsaicin analogues. The signaling pathways underlying the growth-inhibitory effects of N-AVAM capsaicin analogues have also been highlighted. It is hoped that the insights obtained in this perspective will facilitate the synthesis of a second generation of N-AVAM capsaicin analogues with improved stability and growth-suppressive activity in human cancer.

## Graphical Abstract



## 1. INTRODUCTION

The nutritional compound capsaicin (*trans*-8-methyl-*N*-vanillyl-6-noneamide, Figure 1) is a strong pain-relieving agent, used in many over-the-counter creams (and lotions) to treat pain and inflammation associated with a variety of diseases.<sup>1,2</sup> The pain-relieving activity of capsaicin is mediated by the transient receptor potential vanilloid (TRPV) receptor superfamily of ion-channel receptors on target cells. The TRPV family of receptors is comprised of six receptor subtypes (TRPV1–6).<sup>1</sup> Capsaicin is a potent agonist of the TRPV1 receptor.<sup>3</sup> The binding of capsaicin to TRPV1 results in a cascade of cellular signaling

events which leads to eventual downregulation of Substance P, a neuropeptide involved in the nociceptive signals from nerve endings to the brain and the release of inflammatory cytokines.<sup>4,5</sup> These molecular events lead to “defunctionalization” of nociceptor fibers and ablation of pain-sensation.<sup>6</sup> The cloning and molecular characterization of TRPV1 has clarified our concept of the interactions between capsaicin and TRPV1. It has also spurred structure activity-relationship studies (SAR) and the discovery of capsaicin-like compounds possessing greater analgesic activity than capsaicin.

Several lines of evidence show that capsaicin displays strong antineoplastic activity in several human cancers, both *in vitro* and *in vivo*.<sup>7-10</sup> A surprising finding has been the fact that the anticancer activity of capsaicin (in the majority of human cancers) does not involve the TRPV1 receptor.<sup>11</sup> The growth-suppressive properties of capsaicin and its related compounds are mediated by its ability to block cytoplasmic, mitochondrial, and metabolic survival pathways.<sup>7-10</sup> Capsaicin recruits multiple growth-inhibitory signaling pathways including regulation of intracellular calcium, activation of the calpain family of apoptotic proteases, generation of reactive oxygen species (ROS), suppression of coenzyme Q (antioxidant and redox component in the respiratory chain), induction of apoptosis and autophagy, disruption of mitochondrial respiration, and inhibition of transcription factors like p53, STAT3, and NF- $\kappa$ B.<sup>7,8</sup> An important antitumor mechanism of capsaicin is its ability to downregulate tumor angiogenesis.<sup>12,13</sup> Capsaicin inhibits vital cancer-progression pathways like epithelial-mesenchymal transition (EMT), invasion, and metastasis.<sup>14</sup> Finally, published data reveal that capsaicin sensitizes human cancer cells to the growth-suppressive effects of established cancer chemotherapy drugs and radiotherapy.<sup>15-18</sup>

The clinical applications of capsaicin as a viable anticancer drug are hampered by low aqueous solubility, poor bioavailability, and its unfavorable side effect profile. The administration of capsaicin causes skin redness, hyperalgesia, nausea, intense tearing in the eyes, conjunctivitis, blepharospasm (sustained, forced, involuntary closing of the eyelids), vomiting, abdominal pain, stomach cramps, bronchospasm, and burning diarrhea.<sup>6,19,20</sup> Clinical trials investigating the analgesic activity of capsaicin have shown that such disagreeable side effects have led to patients discontinuing use of capsaicin.<sup>6,19,20</sup> Another caveat of capsaicin (as an anticancer drug) is that it has been found to promote the growth of certain cancers like skin cancer, stomach cancer, colon cancer, and gastric cancers.<sup>21-23</sup> Such findings have led to intense research focused on the identification of nonpungent capsaicin-analogues which possess improved growth-suppressive activity. Studies from several research laboratories have described a plethora of natural and synthetic capsaicin-mimetics which display enhanced pharmacological activity, improved selectivity, and a longer biological half-life than capsaicin.<sup>15,24,25</sup> Out of all the nonpungent capsaicin-analogues, compounds belonging to the *N*-acyl vanillylamide (N-AVAM) family of capsaicin mimetics are some of one of the most extensively studied in terms of their functional activity, specificity (and selectivity), pharmacokinetics, and bioavailability.<sup>15</sup> Published data show that N-AVAM capsaicin analogues display greater pain-relieving activity than capsaicin.<sup>24,26,27</sup> However, only a handful of studies have reported the antineoplastic activity of this class of compounds. Most importantly, N-AVAM capsaicin analogues do not promote the growth of the cancer cell *in vitro* and in athymic mouse models. The objective of the present perspective is to describe the synthesis strategies, anticancer activity,

pharmacokinetics, and biological half-life of N-AVAM capsaicin analogues. In addition, we will also discuss the signaling mechanisms underlying the anticancer activity of these compounds. Molecular modeling studies and high-throughput virtual screening experiments will pave the way to a second generation of N-AVAM capsaicin analogues with better bioactivity, stability, and therapeutic index.

## 2. PHARMACOPHORE OF CAPSAICIN

Structure activity relationship (SAR) studies have shown that the chemical structure of capsaicin is comprised of three distinct motifs (Figure 1). Region A encompasses the aromatic moiety, region B includes the amide group, and region C consists of the alkyl hydrophobic side chain.<sup>8,9,24</sup>

The N-AVAM capsaicin analogues contain long alkyl side chains in region C of capsaicin. Initial studies showed that side chains containing short acyl groups, short acyl groups with polar structures, and short branched acyl groups were inactive or showed weak pain-relieving activity.<sup>15,23</sup> The presence of a saturated long chain alkyl group in region C gave rise to compounds with moderate analgesic activity. However, the incorporation of unsaturated long chain fatty acyl side groups yielded nonpungent, nontoxic, orally active capsaicin analogues with extremely high biological activity.<sup>15,24</sup> The pharmacological activity of these capsaicin-analogues was measured by the uptake of radiolabeled calcium (<sup>45</sup>Ca) into dorsal root ganglia neurons in culture. The antinociceptive and antiinflammatory activity of these compounds was measured using mouse hot tail flick and croton-oil inflamed mouse ear models.<sup>15,24</sup>

Recent studies have examined the anticancer activity of N-AVAM capsaicin analogues. A majority of these publications have investigated the growth-suppressive activity of a specific N-AVAM capsaicin analogue such as **5**, **8**, or **10**. There is currently a lack of high-throughput screening studies to test the growth-inhibitory activity of large numbers of N-AVAM capsaicin analogues. The anticancer activity of capsaicin is correlated to its ability to activate the functional activity of pro-apoptotic calpain proteases in human breast epithelial cells and small cell lung cancer (SCLC) cells. Data from our laboratory reveal that the growth-suppressive activity of N-AVAM capsaicin analogues in human SCLCs correlate with activation of calpain1 and calpain2.<sup>28</sup> Based on these findings and observations (described later), it is tempting to speculate that N-AVAM capsaicin analogue-induced activation of calpain proteases may be a useful indicator of their growth-inhibitory activity.<sup>28</sup> Thus, measuring the functional activity of calpain-1 and calpain-2 activity by N-AVAM capsaicin analogues may form the basis of a novel high-throughput drug screening strategy to discover improved N-AVAM capsaicin analogues with robust growth-suppressive activity in human cancers.

## 3. PREPARATION OF N-AVAM CAPSAICIN ANALOGUES

N-AVAM capsaicin analogues have been obtained from both natural sources as well as synthetic routes. Figure 2 shows the structure of N-AVAM capsaicin analogues whose anticancer activity has been investigated in cell culture and mouse models. The present

section describes the techniques used for isolation of N-AVAM capsaicin analogues from natural sources and the synthetic routes by which they have been generated.

### 3.1. Isolation of N-AVAM Capsaicin Analogues from Natural Sources.

The N-AVAM capsaicin analogues **1–6** have been isolated from chili peppers (habanero and Takansosome peppers) as well as from *Capsicum oleoresin*.<sup>29</sup> The term *Capsicum oleoresin* refers to an oily organic resin derived from the plants belonging to the *Capsicum* genus, namely, as chili peppers. The oleoresin is generated by ethanolic extraction of finely ground chili peppers. After extraction, the oleoresin is dried. This oleoresin is commonly used as a culinary seasoning agent in food. Kobata et al. isolated a panel of N-AVAM capsaicin analogues from *Capsicum oleoresin* (**1–6**, Scheme 1) and habanero and takansosome peppers (**2–6**, Scheme 2).<sup>29</sup> The authors used three kinds of *Capsicum oleoresin* obtained from a Chinese market. The resins were extracted with methanol and were fractionated by silica gel column chromatography. The elution of the methanolic extracts by with stepwise elution of a mixture of 50% *n*-hexane and 50% ethyl acetate yielded 15 distinct fractions. The N-AVAM capsaicin analogues were present in fractions 12 and 13. Fraction 12 was purified by medium pressure liquid chromatography (MPLC) using a reversed phase silica gel column. Elution with 80–90% methanol yielded N-AVAM capsaicin compounds **1–6** represented in Scheme 1. The N-AVAM capsaicin analogues **1–6** were characterized by GC-MS analysis, APCI-MS, and NMR techniques.<sup>29</sup> The compounds **3–6** were present in robust amounts in *Capsicum oleoresin*, whereas only minute amounts of compounds **1**, **2**, and **7** were detected in the methanolic oleoresin extract.

The isolation of N-AVAM capsaicin analogues **2–6** from habanero and takanosume peppers was accomplished by a slightly different method (Scheme 2). The peppers were freeze-dried, ground, and soaked with ethyl acetate (for 1 month) to obtain the fraction containing N-AVAM capsaicin analogues.<sup>29</sup> The ethyl acetate fraction was purified by reverse-phase HPLC to obtain the purified N-AVAM capsaicin analogues. The compounds were characterized by APCI-mass spectrometry (Scheme 2). The compounds **1** and **7** were not detected in the habanero or takanosume peppers. **2** was present in miniscule amounts only in the habanero peppers.<sup>29</sup> The peppers contained abundant amounts of **3**, **5**, and **6**. A low concentration of **4** was found in the pepper fruits.

### 3.2. Generation of N-AVAM Capsaicin Analogues from Plant Oils.

N-AVAM capsaicin analogues have been generated by the nucleophilic amidation reaction using vanillylamine (**12**) and plant oils.<sup>30</sup> The yield of the N-AVAM capsaicin analogues depended upon the fatty acid composition of the plant oil used in the reaction. The compound **12** was mixed with olive oil and the resultant mixture was heated to 180 °C for 1 h to generate a mixture of N-AVAM capsaicin analogues (**3–7**, Scheme 3).<sup>30</sup> This reaction mixture was purified on a reverse phase silica gel column. The reaction product contained approximately 10% **3**, 5% **4**, 70% **5**, 10% **6**, and less than 1% **7**. When vanillylamine was mixed with soybean oil, reaction products were comprised of 2% **2**, 10% **3**, 5% **4**, 30% **5**, 48% **6**, and 5% **7** (Scheme 4). It is probable that natural N-AVAM capsaicin analogues in *Capsicum oleoresin* were evolutionarily generated from the reaction of **12** and the oils found in the oleoresin.<sup>29,30</sup>

### 3.3. Chemical Synthesis of N-AVAM Capsaicin Analogues.

Januszcz et al. (1993) described six possible synthesis strategies to obtain N-AVAM capsaicin analogues (Figure 3).<sup>31</sup> The key reactant chemical in Schemes 4–6 was **13** (3-methoxy 4-alkoxy vanillylamine derivatives, Figure 3). Scheme 4 involved the reaction of **13** (in dimethylformamide) with the corresponding fatty acyl chlorides (solubilized in ether), as represented by Figure 3. Scheme 5 was identical to Scheme 4 except that the reaction was performed using a two-phase system of water and ether (Figure 3). Scheme 6 involved the reaction of **13** with the relevant fatty acid using *N,N*-dicyclohexylcarbodiimide (DCC) as the coupling agent and 4-(dimethylamino)pyridine (DMAP) as the catalyst (Figure 3).

The products obtained in Schemes 4–6 (Figure 3) were hydrolyzed to yield the desired N-AVAM capsaicin analogues (**14**).<sup>31</sup> Schemes 7 and 8 involved the reaction of **15** (4-acetylhomovanillic acid chloride) with the desired amine to yield N-AVAM capsaicin analogues (**16**, Figure 4). In Scheme 8, 1 equiv of **15** was treated with 2 equiv of the appropriate amine in the presence of 1 equiv of trimethylamine (Figure 4). Scheme 8 involved the reaction of 1 equiv of **15** with 1 equiv of the desired amine and 1 equiv of trimethylamine (Figure 4, middle reaction).<sup>31</sup> Takao et al. (2015) made a subtle variation in Scheme 7 that was used to synthesize **5** (Figure 4).<sup>32</sup> The authors reacted **15** (oleoyl acid chloride) with vanillylamine hydrochloride in the presence of ethanolamine and dichloromethane to obtain **5**.<sup>32</sup>

Scheme 9 used ethyl homovanillate (**17**) as the starting material for the reaction (Figure 4). **17** was reacted with the desired amine at an elevated temperature (170 °C). Method F did not require any tertiary amines or carbodiimides to catalyze the reaction. The N-AVAM capsaicin compounds were purified by RP-HPLC and characterized by gas chromatography, mass spectrometry, and NMR techniques.<sup>31</sup> Most interestingly, the reaction products obtained from Schemes 7–9 are reverse amides of the reaction products from Schemes 4–6.

The synthesis strategy outlined in Schemes 4–6 suffered from a few drawbacks. Schemes 4–6 use fatty acid acyl chlorides, which may emulsify, especially with long chain fatty acids. The formation of fatty acid acyl chlorides from simple unfunctionalized acids is a standard procedure; however, carefully controlled conditions are required to obtain acyl chlorides from polyunsaturated acids. The use of carbodiimide condensing agents (DCC) required protection of the phenolic hydroxyl group of vanillylamine of **13**.<sup>31</sup> All these considerations underscored the need for a single step synthesis procedure coupled with an easy isolation protocol to obtain N-AVAM capsaicin analogues.

Appendino et al. (2006) designed a single step synthetic route to generate N-AVAM capsaicin analogues using vanillylamine hydrochloride as the starting material (Scheme 10).<sup>33</sup> The starting compound for the synthesis of **10** was arachidonic acid (**18**).<sup>33</sup> **18** was reacted with the hydrochloride salt of **12** (in the presence of DEPC and trimethylamine) under an inert nitrogen environment for 90 min.<sup>33</sup> Volatile reaction products were removed under reduced pressure, and the nonvolatile residues were purified by silica gel open chromatography to obtain 95% pure arvanil (**10**, Scheme 10). The synthesis of **8**, **9**, and



**11** was accomplished using similar conditions with ricinoleic acid, phenylacetylricinoleic acid, and 4,7,10,13,16,19-docosahexanoic acid being used as the starting materials (Table 1).

Dasse et al. (2000) used an innovative synthesis strategy to generate N-AVAM derivatives of **10** (Scheme 11).<sup>31</sup> They synthesized the intermediate compound methyl-14-hydroxy-(all-*cis*)-5,8,11-tetradecatrienoate (**19**) from the commercially available hex-5-ynoic acid.<sup>34</sup> **19** was converted into the corresponding phosphonium iodide, **20**. Subsequently, **20** was reacted with the desired aldehyde in a Wittig reaction to generate the ester (**22**, Scheme 11). Hydrolysis of the ester followed by treatment with oxalyl chloride and vanillylamine generated **10** and its related N-AVAM analogues.<sup>34</sup>

Carpino (1993) described using HATU (hexafluorophosphate azabenzotriazole tetramethyl uronium) as a coupling agent which facilitated the rapid, high-yield acyl amidation reaction of carboxylic acids with nucleophilic amines (Scheme 12).<sup>35</sup> Moriello et al. (2018) used the HATU reagent to obtain N-AVAM capsaicin analogues.<sup>36</sup> The starting material for the synthesis was the relevant fatty acyl methyl esters. The fatty acyl methyl esters were hydrolyzed to yield the corresponding fatty acid, which was then reacted with **12** (in the presence of DIPEA in anhydrous DMF) using the azabenzotriazole based coupling agent HATU to obtain robust yields of N-AVAM capsaicin analogues.<sup>36</sup> Scheme 12 shows the schema of this coupling reaction using oleic acid (**23**) as an example reactant for the reaction. The reaction of **23** with 4-hydroxy-3-vanillylamine (in the presence of HATU) yields **5**.

#### 3.4. Enzyme-Based Synthesis of N-AVAM Capsaicin Analogues.

Kobata et al. (2010) were the first to use biocatalysts from acetone powder of a liver extract to obtain capsaicin analogues.<sup>29</sup> Subsequently, they extended these studies to synthesize N-AVAM capsaicin analogues from vanillylamine hydrochloride (**24**) and fatty acid esters in a two-phase system using the enzyme lipase AK or lipase PS (dissolved in organic solvents). The addition of *N,N*-diisopropylethylamine (DIPEA) was to release **12** from its hydrochloride salt. Such lipase catalyzed amidation was used to obtain moderate yields (40–59%) of **1**, **5**, and **7** (Scheme 13). In a later published report, Kobata et al. (2010) reacted **12** with natural oils, namely, safflower oil, perilla oil, and olive oil (using lipase enzymes as catalysts), to obtain a mixture of N-AVAM capsaicin analogues (Scheme 13).<sup>29</sup> The reaction of **12** with olive oil (catalyzed by lipase B, lipase D, lipase R, or Novozym435) yielded **5**.<sup>30</sup> When safflower oil was used in the above reaction, the product obtained was a mixture of **5** and **6**. Similarly, lipase-catalyzed reaction of **12** with perilla oil generated **7**.<sup>30</sup> The rate limiting step of this lipase-catalyzed synthesis of **5** was the release of **12** from its hydrochloride salt (Scheme 13). Reyes-Duarte et al. increased the efficacy of the lipase-catalyzed reaction by adding DIPEA along with vanillylamine hydrochloride salt (**24**).<sup>37</sup> The addition of DIPEA enabled efficient release of the vanillylamine (from its hydrochloride salt) which was treated with oleic acid (**23**) in the presence of lipase B to yield **5**. Furthermore, they preincubated the **24** with a 12-fold molar excess of DIPEA (for 30 min) to achieve an almost complete conversion of **24** to **12**.<sup>37</sup> The **12** (generated *in situ*) was reacted with **23** (in the presence of lipase B) to obtain **5** (Scheme 13).

The use of enzymes (in their free form) for industrial scale synthesis is often hindered by low product yields, low stability of the enzyme, and poor recovery of the enzyme after one round of synthesis. Such drawbacks may be circumvented by the use of immobilized enzymes for synthesis reactions.<sup>38,39</sup> The immobilized enzyme is more stable than the free enzyme due to an increase in protein rigidity, which prevents conformational changes that can potentially lead to inactivation. The immobilized enzymes can be easily recovered after the reaction, which yields a purer product.<sup>38,39</sup> The reuse of immobilized enzymes also decreases the economic cost of the synthesis process. Reyes-Duarte et al. immobilized recombinant *Candida antarctica* lipase B (CALB) on acrylic resin (called Novozym435) to obtain extremely high yields of **5** (~80%).<sup>37</sup> More recently, Diaz-Vidal et al. immobilized recombinant *Candida antarctica* lipase B (CALB) by cross-linked enzyme aggregate (CLEA) techniques to obtain enzyme aggregates.<sup>40</sup> These CALB-CLEA enzyme aggregates were used to synthesize **5**. The authors preincubated **24** with DIPEA to obtain the free base. The compound **12** so obtained was mixed with **23** and CALB-CLEA in anhydrous 2-methyl butanol for 72 h to obtain **5** (Scheme 14). **5** was purified by high-pressure thin layer chromatography (HPTLC) and characterized by electron spray ionization (ESI) mass spectrometry.<sup>40</sup> Other N-AVAM capsaicin analogues obtained using immobilized lipase B (Novozym435) include **2**, **6**, and **11**.<sup>41</sup>

The selectivity of lipases for substrates can be improved by an innovative technique called “Bioimprinting”.<sup>42,43</sup> This method involves generating the transition state intermediate of the bound enzyme and the substrate in organic solvents. This technique can be used for substrate analogues, additives, and inhibitors, which are referred to as the “bioimprint molecule”. The transition-state intermediate is cross-linked, precipitated, and lyophilized to lock the active conformation of the enzyme with the bioimprint molecule. Such bioimprinting has been shown to improve enzyme specificity, selectivity, catalytic activity, and the yields of the product (Figure 5).<sup>42,43</sup> For example, the yield of **5** obtained from CALB-CLEA is about 16% over 72 h.

When CALB was bioimprinted with **5**, the reaction yield was increased by 1.3-fold leading to a 25% yield of **5** over 72 h.<sup>40</sup> An interesting observation was that CALB-CLEA bioimprinted with **12** or **23** gave poor yields of **5**. When the enzyme was bioimprinted with **5**, higher yields of the reaction product were obtained. These observations may be explained by the fact that the binding of **12** with lipase B induces a conformational change in the active site of the enzyme, which hinders the accessibility of the oleic acid to the active site of lipase B. Bioimprinting is a cutting edge technology which can increase the catalytic activity of lipases up to 18-fold.<sup>40</sup> Therefore, it may be envisaged that bioimprinted lipases will pave the way to single-step synthesis of large quantities of highly pure N-AVAM capsaicin analogues.

#### 4. STABILITY AND METABOLISM OF N-AVAM CAPSAICIN ANALOGUES

The nutritional compound capsaicin is an agonist of the TRPV1 receptor.<sup>3</sup> The addition of an unsaturated long chain alkyl group to region C of capsaicin endows the derivative with low to moderate affinity for endocannabinoid receptors.<sup>27</sup> Traditionally, the endocannabinoid system is comprised of two “classical” endocannabinoids, namely,



*N*-arachidonylethanol-amine (anandamide, AEA) and 2-arachidonoylglycerol (2-AG), and the endocannabinoid receptors CB1 and CB2. All N-AVAM capsaicin analogues are agonists of CB1 and CB2 endocannabinoid receptors. The N-AVAM capsaicin analogues display several characteristics of endocannabinoids including binding to endocannabinoid receptors, regulating the activity of the putative anandamide transporter (AMT), and inhibiting the uptake of anandamide (AEA) through lipophilic cell membranes.<sup>44,45</sup> The degradation of endocannabinoids is mediated by the enzymes fatty acid amide hydroxylase (FAAH) and monoacylglycerol lipase (MAGL).<sup>46,47</sup> The N-AVAM capsaicin analogue **10** is resistant to FAAH-mediated hydrolysis, which increases its biological half-life in the cellular microenvironment relative to other N-AVAM capsaicin analogues.

Studies by Janusz et al. (1993) show that the lipophilicity of N-AVAM capsaicin analogues was directly correlated with their biological activity.<sup>28</sup> The lipophilicity of these N-AVAM capsaicin analogues was determined by the octanol number (log 1 – octanol/water partition index).<sup>48,49</sup> They observed that the pain-relieving activity of these N-AVAM capsaicin analogues correlated directly to the lipophilicity of the compound.<sup>31</sup> The authors concluded that short-chain N-AVAM capsaicin analogues would be rapidly metabolized by the liver leading to lower bioavailability of the active drug moiety.

The majority of pharmacokinetic studies with the N-AVAM capsaicin analogues have been performed with olvanil (**5**). Early studies from Sietsema et al. revealed that **5** displayed pain-relieving activity only with subcutaneous injection and not via oral administration in a mouse model using the hot plate antinociception assay.<sup>50</sup> The authors performed a pharmacokinetic experiment with radiolabeled **5** in male CF-1 mice to test if the difference of bioactivity was related to variations in the plasma concentration of **5** following the two routes of administration. The plasma area under the curve (AUC) for all radioactive compounds was not different between subcutaneous and oral dosing, suggesting good oral absorption. Further evaluation specific for the levels of **5** (in the plasma) as a function of time indicated that the subcutaneous administration of **5** led to a rapid elevation of its concentration in the plasma within 4 h of dosing followed by a slow decline over 24 h. In contrast, when radioactive **5** was orally administered to the mice, there was a negligible amount of **5** detected in the blood within the first 2 h, which completely disappeared by 4 h.<sup>50</sup> The AUC for **5** in mouse plasma was much higher following subcutaneous administration ( $8 \pm 2.2 \mu\text{g}\cdot\text{h/g}$ ) compared to oral administration ( $0.1 \pm 0.01 \mu\text{g}\cdot\text{h/g}$ ). These findings indicate that the lack of **5**'s ability to produce analgesic effects after oral dosing is not due to lack of absorption of the drug but because of its rapid first pass metabolism, relative to subcutaneous **5**.<sup>50</sup> Such a first pass metabolism may initially occur in the gastrointestinal (GI) tract during the absorption process. After reaching the hepatic portal vein, the drug may be further metabolized in multiple tissues including the liver, lungs, and heart. No studies have identified the exact site of **5**'s first pass metabolism in mice.

Several published reports have characterized the compounds generated from the first pass metabolism of capsaicin. Based on these findings Wehmeyer (1990) et al. hypothesized that the potential routes of metabolism of **5** are the hydrolysis of the amide bond and ( $\omega$ - $\beta$ )-oxidation of the side chain and conjugation of the phenolic group (Figure 6).<sup>47,51</sup> The authors tested their hypothesis by labeling **5** with <sup>14</sup>C at the benzylic carbon atom

(represented by the black circle in Figure 6) or with  $^3\text{H}$  on the oleoyl side chain (represented by the black square in Figure 6).<sup>51</sup> The metabolism of  $^{14}\text{C}$ -olvanil and  $^3\text{H}$ -olvanil was studied *in vitro* using isolated proteolytic enzymes, cell free intestinal and liver supernatants, isolated hepatocytes, enterocytes, and isolated intestinal perfusion systems (isolated from Sprague–Dawley rats). The treatment of  $^{14}\text{C}$ -olvanil with type VIII porcine liver protease and porcine intestinal protease yielded a metabolite which coeluted with **12**.<sup>51</sup> Similarly, the incubation of  $^{14}\text{C}$ -olvanil with cell-free liver supernatant resulted in the generation of **12**. An interesting observation was that **5** was not metabolized by several common proteolytic enzymes like chymotrypsin, elastase, papain, cathepsin C, pepsin, leucine aminopeptidase, and porcine liver esterase.<sup>51</sup>

Isolated enterocytes metabolized  $^{14}\text{C}$ -olvanil rapidly to generate **5** and vanillin.  $^{14}\text{C}$ -olvanil was primarily metabolized by enterocytes between 30 min to an hour incubation of the reactant. The amount of intact  $^{14}\text{C}$ -olvanil left after 1 h incubation with enterocytes was approximately 1%.<sup>51</sup> It is unclear whether the enterocytes directly hydrolyzed **5** to **12** or whether the **12** was generated indirectly from metabolic reactions like beta-oxidation of **5**. The incubation of  $^3\text{H}$ -olvanil with isolated enterocytes predominantly yielded oleic acid (74% of total metabolites generated).

The metabolism of **5** in isolated hepatocytes (isolated from male Sprague–Dawley rats) occurred much more rapidly relative to enterocytes. Within 30 min, the amount of intact  $^{14}\text{C}$ -olvanil remaining in isolated hepatocytes was only 4%. **12** was not detected after the metabolism of  $^{14}\text{C}$ -olvanil with rat hepatocytes. The metabolic products of  $^{14}\text{C}$ -olvanil in hepatocytes included two polar compounds which were not identified.<sup>51</sup> Hepatocytes metabolized  $^3\text{H}$ -olvanil to yield oleic acid (24% of total metabolites generated). Such results demonstrate that hydrolysis is the dominant route of the metabolism of **5** in enterocytes and hepatocytes.

The above data suggest that the liver and intestine are the primary sites of metabolism of **5**. This hypothesis was tested by incubating radiolabeled **5** with isolated perfused intestine. The radiolabeled **5** ( $^{14}\text{C}$ -olvanil) was injected via the intraduodenal route, and the perfusate was collected using a portal cannula after 1 h.  $^{14}\text{C}$ -olvanil was almost completely metabolized (90% metabolized) by intestinal tissue to yield **12** and an unknown polar compound.<sup>51</sup>

The metabolism of **5** *in vivo* was explored in adult male Sprague–Dawley rats. The rats were administered  $^{14}\text{C}$ -olvanil (200 mg/kg) by oral gavage. After 3 h, the plasma was tested for metabolites of **5** using reversed-phase HPLC with sequential UV and online radiochemical detection (LC-RAD). Olvanil-*O*-glucuronide and an unknown polar compound were major metabolites detected in the plasma. The metabolism experiments in rat models show that glucuronidation of the phenolic group (to yield olvanil-*O*-glucuronide) may be a key route of the metabolism of **5** *in vivo*. The treatment of the plasma with  $\beta$ -D-glucuronidase resulted in the appearance of **12**. Intact **5** was also detected in the  $\beta$ -D-glucuronidase-treated plasma.<sup>51</sup> The incubation of **5** in whole isolated blood (from Sprague–Dawley rats) does not induce first pass metabolism of **5**. No published reports have investigated the metabolism of **5** in the lung. Taken together, the *in vitro* and *in vivo* metabolism experiments showed that the

major route of metabolism of **5** is hydrolysis of the amide bond to yield **12**, vanillin, and olvanil-*O*-glucuronide (Figure 6).

Capsaicin has been extensively used as a pain-relieving agent in topical formulations like creams and lotions. Kasting et al. (1997) compared the skin penetration ability of **5** in rat and human skin sections mounted on Franz diffusion cells.<sup>52</sup> The steady state flux rates of **5** was measured between 7 and 48 h postincubation with radiolabeled **5**. The permeability rate of **5** across rat skin (from SkH:Fz rat and CD:VAF rat) was higher than human skin. These observations were confirmed in dermal absorption studies performed in CD:VAF rats. The steady state flux of **5** across CD:VAF rat skin increased over time from 24- to 72 h post-treatment.<sup>52</sup> The dermal metabolism of **5** was studied in SkH:Fz perfused rat skin 72 h after topical application. **5** is a highly lipophilic compound, so it was predicted to be efficiently absorbed into the skin. Surprisingly, only 3.6% of **5** was absorbed across the skin after 72 h, and a majority of **5** was excreted via the urine after dermal absorption.<sup>52</sup> The major pathway for dermal metabolism of **5** was via hydrolysis of the amide moiety to yield **12** (Figure 6).

Although, all the pharmacokinetic studies of N-AVAM capsaicin analogues were performed using **5**, a few important patterns were observed.<sup>50,52</sup> The primary sites of N-AVAM capsaicin analogue metabolism were the liver, intestine, and the skin. **5** was primarily metabolized by direct/indirect hydrolysis to yield **12** and vanillin. The metabolite olvanil-*O*-glucuronide was detected in rat plasma after oral administration of **5** (Figure 7). Several unknown polar and nonpolar metabolites were detected by HPLC techniques.<sup>50,52</sup> The identification and characterization of these compounds will pave the way to precise metabolic profiling of N-AVAM capsaicin analogues *in vivo*. The elucidation of the “N-AVAM capsaicin analogue-metabolome” will facilitate the rational design of N-AVAM capsaicin analogues with improved pharmacokinetic properties and therapeutic indices.

## 5. ANTI-NEOPLASTIC ACTIVITY OF N-AVAM CAPSAICIN ANALOGUES

A large number of published reports have investigated the pain-relieving activity of N-AVAM capsaicin analogues.<sup>24,27,30</sup> Structure activity-relationship studies showed that the introduction of long chain unsaturated fatty acids in region C generated nonpungent capsaicin analogues with extremely high pain-relieving activity. A similar trend has been observed in the growth-suppressive activity of N-AVAM capsaicin analogues (Table 2). The N-AVAM capsaicin analogues which contain a long chain unsaturated fatty acyl group (in region C) displayed greater growth-inhibitory activity than N-AVAM capsaicin analogues containing saturated fatty acyl side chains. The extent of unsaturation of the fatty acyl side chain correlated to the growth-suppressive activity of these compounds. The N-AVAM capsaicin analogues with few double bonds (in the carbon chain backbone in Region C) displayed lower growth-suppressive activity than the compounds with large number of double bonds in their fatty acyl side chain. Studies in our laboratory were the first to conduct systematic SAR experiments to delineate the contributions of “length of the fatty acyl side chain” and “number of double bonds in fatty acyl side chain” toward the growth-inhibitory activity of N-AVAM-capsaicin analogues (Figure 8) in human small cell lung cancer (SCLC).<sup>28</sup> The impetus for these experiments were derived from published reports

comparing the growth-suppressive activity of **3**, **5**, and **10**. **3** is an N-AVAM capsaicin analogue which contains a 16-carbon atom acyl side chain in region C of capsaicin. **3** has no double bonds (C16:0). **5** has an acyl side chain of 18 carbon atoms and one double bond (C18:1). **10** has a side chain of 20 carbon atoms with four double bonds (C18:4). The growth-suppressive activity of **5** and **10** were tested in MCF-7, EFM-19, and T47D breast cancer cells.<sup>27</sup> A survey of the IC<sub>50</sub> values showed that the growth suppressive activity was **3** [IC<sub>50</sub> (MCF-7) = 2.2 μM; IC<sub>50</sub> (T47D) = 1.6 μM; IC<sub>50</sub> (EFM-19) = 1 μM] was lower than **5** [IC<sub>50</sub> (MCF-7) = 1.6 μM; IC<sub>50</sub> (T47D) = 0.75 μM; IC<sub>50</sub> (EFM-19) = 0.7 μM] which was lower than **10** [IC<sub>50</sub> (MCF-7) = 0.4 μM; IC<sub>50</sub> (T47D) = 0.35 μM; IC<sub>50</sub> (EFM-19) = 0.55 μM] in the three breast cancer cell lines. Similarly, **10** induced about a 3-fold higher magnitude of apoptosis (60% apoptotic cells) in human peripheral blood mononuclear cells (PBMCs) than **5** (20.5% apoptotic cells).<sup>53</sup> Although, these trends were observational, they motivated us to investigate whether increasing the acyl side chain or the number of double bonds could enhance the growth-inhibitory activity of N-AVAM capsaicin analogues. We examined the effect of a panel of N-AVAM capsaicin analogues (Figure 8) on the viability of DMS114 human SCLC after 24 h.<sup>28</sup> MTT [[3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide] assays showed that **3** and **4** had no growth inhibitory activity in DMS114 cells (Figure 8A). The growth-suppressive effects of the compounds were in the order, **5** (C18:1, IC<sub>50</sub> > 50 μM) < **6** (C18:2, IC<sub>50</sub> = 43 μM) < **7** (C18:3, IC<sub>50</sub> = 15 μM) < **10** (C20:4, IC<sub>50</sub> = 15 μM) (Figure 8A). Taken together this suggests that the growth-inhibition of these N-AVAM capsaicin analogues are directly proportional to the chain length of the fatty acyl group (in region C) and the number of double bonds present within this fatty acyl side chain.

The N-AVAM capsaicin compounds did not affect the viability of normal pulmonary alveolar epithelial cells (HPAEPiCs; Figure 8B).<sup>28</sup> Therefore, our data suggested that N-AVAM capsaicin analogues selectively suppressed the growth of lung cancer cells and spared normal cells. The growth-suppressive activity of these N-AVAM capsaicin compounds correlated with their ability to increase the activity of the pro-apoptotic enzymes calpains 1 and 2 (Figure 8C).<sup>28</sup> It may be possible that the basal calpain enzyme activity in normal human lung epithelial cells is lower than human SCLC cells. This may at least, in part, explain the observation that these N-AVAM capsaicin analogues only kill human SCLC cells and not normal lung epithelial cells. The N-AVAM capsaicin analogues with longer fatty acyl side chains and a larger number of double bonds (within the fatty acyl side chain) showed higher calpain activity than the N-AVAM capsaicin analogues with short acyl chains or a saturated long acyl chain. Such findings may form the basis of a new method to screen the growth suppressive activity of N-AVAM capsaicin analogues based on their ability to induce calpain activity.<sup>28</sup>

Jacobsson et al. (2001) compared the antiproliferative activity of **5** and capsaicin in C6 rat glioma cells.<sup>54</sup> They incubated C6 glioma cells with varying concentrations of **5** and capsaicin for 4 days. They observed that 10 μM of capsaicin decreased the proliferation of C6 glioma cells by ~20%, whereas 10 μM of **5** suppressed the proliferation of C6 glioma cells by 95%. Similarly, Marzo et al. showed that **5** displayed greater antiproliferative activity in human breast cancer cell lines MCF-7 and T47D relative to capsaicin.<sup>55</sup> The

IC<sub>50</sub> for capsaicin in MCF-7 and T47D cells (IC<sub>50</sub> ~ 100 μM) were approximately 100-fold higher than **5** (IC<sub>50</sub> ~ 1 μM). These observations show that the growth-suppressive activity of N-AVAM capsaicin analogues is higher than capsaicin in breast cancer and glioma cells.

An innovative study by Marquez et al. (2006) compared the effects of olvanil (**5**), iodo-olvanil (**25**), arvanil (**10**), and iodoarvanil (**26**) on the proliferation of human PBMCs.<sup>53</sup> Tritiated thymidine assays revealed that **10** potently suppressed staphylococcal enterotoxin (SEB) induced proliferation of human PBMCs. **5** had a modest cytostatic effect on SEB-induced proliferation of human PBMCs.<sup>53</sup> The iodination of **5** caused 3-fold higher apoptosis in SEB-treated human PBMCs compared to the parent compound (Figure 9). In contrast, **26** induced modestly improved pro-apoptotic activity (~1.3-fold) relative to **10** in human PBMCs. This data aligns well with the findings of Malfitano et al. (2006) who observed that **10** robustly inhibited the proliferation of activated human PBMCs.<sup>56</sup>

Several convergent studies show that N-AVAM capsaicin analogues suppressed the growth of C6 mouse glioma cells, Jurkat human T-cell leukemia cells, rat thyroid carcinoma (KiMol cells), human breast cancer cells (MCF-7, T47D and EFM-19 cell lines), prostate cancer cells (PPC-1, and TSU cell lines), and epidermoid carcinoma cells (JWF2, A431 cell lines).<sup>27,44,53,54,57–60</sup> The growth inhibitory activity of N-AVAM capsaicin analogues has been examined in several human cancer cell lines. Stock et al. (2012) explored the growth-inhibitory activity of **10** using an *ex vivo* organotypic culture model.<sup>61</sup> They observed that **10** suppressed the growth of HG-astrocytoma cells organotypically grown in mouse brain slices. **10** suppressed the growth of HG-astrocytoma at a relatively low concentration of 50 nM. Stock et al. (2012) also examined the effect of murine astrocytoma tumors implanted orthotopically in mouse models.<sup>61</sup> They found that **10** at a dose of 1 mg/kg body weight strongly decreased the growth rate of astrocytoma tumors in mice models.<sup>61</sup> Another study which investigated the anticancer activity of **10** *in vivo* was by Bifulco et al. (2002), who observed that the administration of **10** at a dose of 1 mg/kg body weight potently suppressed the growth of thyroid carcinoma tumors xenotransplanted in immunodeficient mice.<sup>44</sup>

SCLC is a neuroendocrine tumor characterized by rapid doubling time, an aggressive clinical course, and a dismal 5-year survival rate.<sup>62,63</sup> The invasion of neoplastic cells into the adjacent blood/lymphatic vessels is a vital step for their metastasis to distant organs.<sup>64,65</sup> **5** and **10** suppressed the invasion of human small cell lung cancer cells at 20-fold lower concentrations relative to capsaicin.<sup>66</sup> Such findings suggest that N-AVAM capsaicin analogues may display antimetastatic activity in human lung cancers.

Luviano et al. (2014) studied the growth-inhibitory activity of rinvanil (**8**) and phenylacetylirinvanil (PhAR, **9**, Figure 2) in J774, P388, and WEHI-3 mouse leukemic cell lines.<sup>67</sup> The compound **8** showed improved growth inhibitory activity ([IC<sub>50</sub>(P388) = 9 μg/mL; IC<sub>50</sub>(J774) = 8 μg/mL; IC<sub>50</sub>(WEHI) = 3 μg/mL] relative to **9** ([IC<sub>50</sub>(P388) = 49 μg/mL; IC<sub>50</sub>(J774) = 10 μg/mL; IC<sub>50</sub>(WEHI) = 31 μg/mL] in all the cell lines studied (Table 2). **9** displayed some selectivity for leukemic cell lines relative to normal mouse bone marrow cells.<sup>67</sup> The antiproliferative and pro-apoptotic activity of **8** and **9** were also explored in a panel of human cervical cancer cell lines (HeLa, CaSki, and ViBo).<sup>68</sup> **9** was more potent in suppressing the proliferation of ViBo human cervical

carcinoma cells [ $IC_{50}$  (ViBo) = 74  $\mu\text{g}/\text{mL}$ ] than rinvanil [ $IC_{50}$  (ViBo) = 149  $\mu\text{g}/\text{mL}$ ]. The researchers observed that **8** showed selective growth-inhibitory effects on the cervical cancer cells relative to normal lymphocytes, whereas **9** showed no selectivity between normal and tumor cells.<sup>68</sup> Such variance in results may be attributed to the nature of the cancer, species specific differences (human cell lines versus mouse cell lines), and the disparity in the methodology used in the two studies. Whereas the studies performed by Luviano et al. (2014) studied the growth-inhibitory effects of PhAR and rinvanil by the Sulforhodamine B assay,<sup>67</sup> Sanchez-Sanchez et al. (2015) used the lactate dehydrogenase assay to evaluate the effect of **8** and **9** on normal lymphocytes.<sup>67,68</sup>

The N-AVAM capsaicin analogue **11** (Figure 2) induced a greater magnitude of apoptosis in MCF-7 human breast cancer cells than capsaicin *in vitro*.<sup>69</sup> **11** also showed increased growth-suppressive activity in melanoma, leukemia, and human cervical carcinoma cells and Taxol-resistant human cervical carcinoma cells compared to capsaicin.<sup>41,70</sup> **11** displayed substantial selectivity for human cancer cells versus normal cells. The growth-inhibitory activity of **11** in normal human fibroblast cells was observed at 3-fold higher concentrations ( $\sim 100 \mu\text{M}$ ) than in melanoma, leukemia, and human cervical carcinoma cells ( $\sim 30 \mu\text{M}$ ).<sup>41</sup>

An exciting development in the field of N-AVAM capsaicin analogues is that they have been found to sensitize human cancer cells to the growth-suppressive activity of chemotherapeutic drugs. Stock et al. observed that the combination of **10** and temozolomide showed an increase in survival times in mice bearing orthotopic astrocytoma tumors when compared to either agent administered alone or a mice administered vehicle only.<sup>61</sup> Similarly, the combination of **11** and Taxol displayed higher growth-inhibitory activity in Taxol-resistant HeLa human carcinoma cells relative to either drug alone.<sup>70</sup> These results demonstrate that N-AVAM capsaicin analogues may be useful for the treatment of both classical cancers and drug-resistant cancers.

## 6. SIGNALING PATHWAYS UNDERLYING THE GROWTH SUPPRESSIVE EFFECTS OF N-AVAM CAPSAICIN ANALOGUES

There are only a few studies which have investigated the signaling pathways underlying the anticancer activity of N-AVAM capsaicin analogues. Capsaicin functions as a strong agonist of the TRPV1 receptor.<sup>3</sup> In contrast, N-AVAM-capsaicin analogues are agonists at both the TRPV1 and the endocannabinoid receptors CB1 and CB2.<sup>33,71,72</sup> Studies show that the role of TRPV receptors or CB1/CB2 receptors in mediating the growth-suppressive activity of N-AVAM-capsaicin analogues may depend on the nature of the cancer and the structural features of the N-AVAM capsaicin analogues. Stock et al. showed that **10** triggered robust apoptosis in high-grade astrocytoma cells via the TRPV1 receptor.<sup>58</sup> The knockdown of TRPV1 in astrocytoma cells ameliorated the pro-apoptotic activity of **10** in both cell culture and mouse models. On the other hand, the cytostatic activity of **5** and **10** in human breast cancer cells was jointly mediated by both the TRPV receptors and the CB1 receptors.<sup>27</sup> The antiproliferative activity of **10** in prostate cancer cells and thyroid carcinoma cells required only the function of CB1 receptors,<sup>44</sup> not vanilloid receptors,



whereas the pro-apoptotic effects of **10** in human T-cell leukemia cells was independent of both TRPV and endocannabinoid receptors.<sup>57</sup>

The growth-suppressive effects of N-AVAM capsaicin analogues are mediated via divergent mechanisms in normal and cancer cells (Table 2). N-AVAM capsaicin compounds like **5**, **8**, **9**, and **11** induced apoptosis in breast cancer, cervical carcinoma, glioma, and leukemia cells via the caspase family of proteases.<sup>54,67-69</sup> **10** triggered a 4–5-fold increase in apoptosis in Jurkat cells (human T-cell leukemia) in a cell cycle independent manner by the inhibition of protein kinase C, which was promoted by the recruitment of the Fas-associated death domain (FADD) death signaling complex followed by activation of caspase-8.<sup>57</sup> **10** was also found to induce reactive oxygen species (ROS) in human leukemic cells, but the ROS pathway plays a peripheral role in **10** induced apoptosis of Jurkat cells.<sup>57</sup>

The ability of **10** to block the growth and activation of normal peripheral blood mononuclear cells PBMCs and T-cells plays a vital role in its ability to inhibit inflammation.<sup>53</sup> **10** does not inhibit the proliferation of CD4+ T cells.<sup>56</sup> Its growth-suppressive effects on human PBMCs and normal T-cells is mediated by the combination of cell cycle arrest (at the G1/S phase) and apoptosis. The cytostatic effects of **10** required the activation of the p21/Waf-1/Cip-1 and inhibition of the Akt pathway.<sup>56</sup> The pro-apoptotic activity of **10** in human PBMCs occurs via inhibition of the NF-kappa-B signaling pathway.<sup>53</sup>

Data from our laboratory show that **5** and **10** inhibit the invasion of human SCLC cells.<sup>67</sup> The anti-invasive activity of **5** and **10** was independent of both TRPV and cannabinoid receptor pathways. **5** and **10** activated the 5' AMP-activated protein kinase (AMPK) pathway to inhibit the invasion of human SCLC.<sup>67</sup>

All the signal transduction studies involving N-AVAM capsaicin analogues have been performed in cell culture models. These observations underscore the importance of confirming the data from cell culture systems in animal models. Several studies show that some of the N-AVAM capsaicin analogues are selective for cancer cells and do not kill normal cells. The basis of such selectivity of N-AVAM capsaicin analogues is yet to be elucidated. Most of the published reports have focused on the downstream mechanism of N-AVAM capsaicin analogue-induced apoptosis in cells. There are very few studies which have explored the mechanisms by which these compounds communicate to the cell cycle machinery or apoptotic signaling networks inside the nucleus/mitochondria of the cells. Recent studies have shown that N-AVAM capsaicin analogues function as chemosensitizers and improve the pro-apoptotic activity of conventional chemotherapeutic drugs like temozolomide and Taxol.<sup>61,70</sup> The mechanism of such chemosensitization activity of N-AVAM capsaicin analogues is not known. All these observations define the arena where in-depth studies are urgently required to clarify the molecular mechanisms underlying the growth-suppressive activity of N-AVAM capsaicin analogues in normal and neoplastic cells.

## 7. CONCLUSIONS AND FUTURE DIRECTIONS

Capsaicin displays robust antineoplastic effects in multiple human cancers. However, the application of capsaicin as a clinically useful anticancer drug has been limited by its unpleasant side effects. A method to circumvent this drawback is to identify nonpungent capsaicin-mimetics with potent anticancer activity. A promising class of nonpungent capsaicin-mimetics are long-chain unsaturated N-AVAM capsaicin analogues. Several lines of evidence show that N-AVAM capsaicin analogues display improved growth-suppressive activity in human cancers, relative to capsaicin. An advantage of N-AVAM capsaicin analogues is that they suppress the growth of human cancer cells and do not harm normal cells. The growth-inhibitory activity of some N-AVAM capsaicin analogues has been predominantly demonstrated in cell culture systems and not in animal models. Such data underline the importance of examining the antineoplastic effects of different types of N-AVAM capsaicin analogues in athymic mouse and patient-derived xenograft (PDX) models. It must be remembered that the efficacy of an anticancer drug is dependent on its concentration at the target tissues. There is a paucity of studies exploring the pharmacokinetics of N-AVAM-capsaicin analogues in animal models. The elucidation of pathways governing the metabolism of N-AVAM capsaicin analogues will pave the way to designing of novel N-AVAM capsaicin analogues with greater stability and bioavailability *in vivo*. A promising strategy to improve the bioavailability of capsaicin has been to design sustained release formulations of capsaicin. Capsaicin nanoparticles display greater anticancer activity and stability than the parent compound.<sup>73–75</sup> The development of N-AVAM capsaicin analogue-nanoparticle formulations may revolutionize their applications as an analgesic and as an anticancer drug in patients.

An exciting finding is that the N-AVAM capsaicin analogues enhance the growth-suppressive activity of conventional chemotherapy in both classical and drug resistant cancers. Certain cancers like small cell lung cancer (SCLC) are known to relapse within a few months, and these relapsed tumors are usually resistant to chemotherapy and radiation.<sup>76,77</sup> The combination of N-AVAM capsaicin analogues with chemotherapeutic drugs may provide new strategies to combat relapse and drug-resistance of cancers.

The antiangiogenic and antimetastatic activity of capsaicin have been observed in several cancers.<sup>14</sup> However, no studies have examined the effect of N-AVAM capsaicin analogues on tumor angiogenesis and metastasis. The anticancer activity of N-AVAM capsaicin analogues are mediated via multiple signaling networks. The majority of studies have analyzed downstream effectors which play a vital role in the apoptotic activity of the N-AVAM capsaicin analogues. An important question in the field of N-AVAM capsaicin analogue biology is whether the growth-suppressive activity of these compounds requires the TRPV receptors or the cannabinoid receptors or both of these receptors or none of these receptors to exert their anticancer activity. It is hoped that future studies will shed light on the mechanisms by which these drugs link to the intracellular apoptosis or cell-cycle arrest pathways inside cells. The development of second generation nonpungent N-AVAM capsaicin analogues with improved pharmacokinetic properties and anticancer activity will foster the hopes of novel N-AVAM capsaicin analogue-based combination therapies for multiple human cancers.

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## ABBREVIATIONS USED

<b>TRPV</b>	transient receptor potential vanilloid
<b>SAR</b>	structure activity relationship studies
<b>N-AVAM</b>	<i>N</i> -acyl vanillyl acylamide
<b>ROS</b>	reactive oxygen species
<b>EMT</b>	epithelial-mesenchymal transition
<b>MPLC</b>	medium pressure liquid chromatography
<b>HPLC</b>	high-pressure liquid chromatography
<b>RP-HPLC</b>	reverse phase-high-pressure liquid chromatography
<b>APCI-MS</b>	atmospheric-pressure chemical ionization mass spectrometry
<b>NMR</b>	nuclear magnetic resonance
<b>DCC</b>	<i>N,N</i> -dicyclohexylcarbodiimide
<b>DMAP</b>	4-(dimethylamino)-pyridine
<b>DEPC</b>	diethyl pyrocarbonate
<b>NaHMDS</b>	sodium bis(trimethylsilyl)amide

<b>PhAR</b>	phenylacetylirivanil
<b>DMF</b>	dimethylformamide
<b>DIPEA</b>	<i>N,N</i> -diisopropylethylamine
<b>HATU</b>	hexafluorophosphate azabenzotriazole tetramethyl uranium
<b>CALB</b>	<i>Candida antarctica</i> lipase b
<b>CLEA</b>	cross-linked enzyme aggregate
<b>FAAH</b>	fatty acid amide hydroxylase
<b>MAGL</b>	monoacylglycerol lipase
<b>AUC</b>	area under the curve
<b>MTT</b>	[[3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide]
<b>HPAepiCs</b>	human pulmonary alveolar epithelial cells
<b>PBMC</b>	peripheral blood mononuclear cells
<b>SEB</b>	Staphylococcal enterotoxin
<b>FADD</b>	Fas-associated death domain
<b>SCLC</b>	small cell lung cancer
<b>AMPK</b>	5' AMP-activated protein kinase

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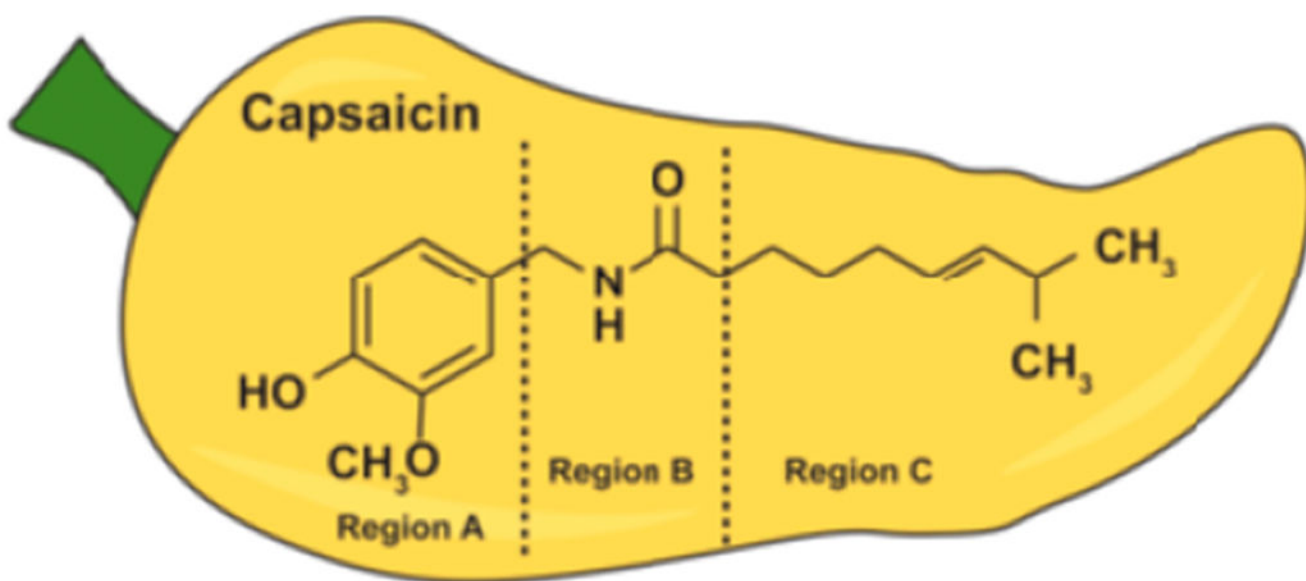


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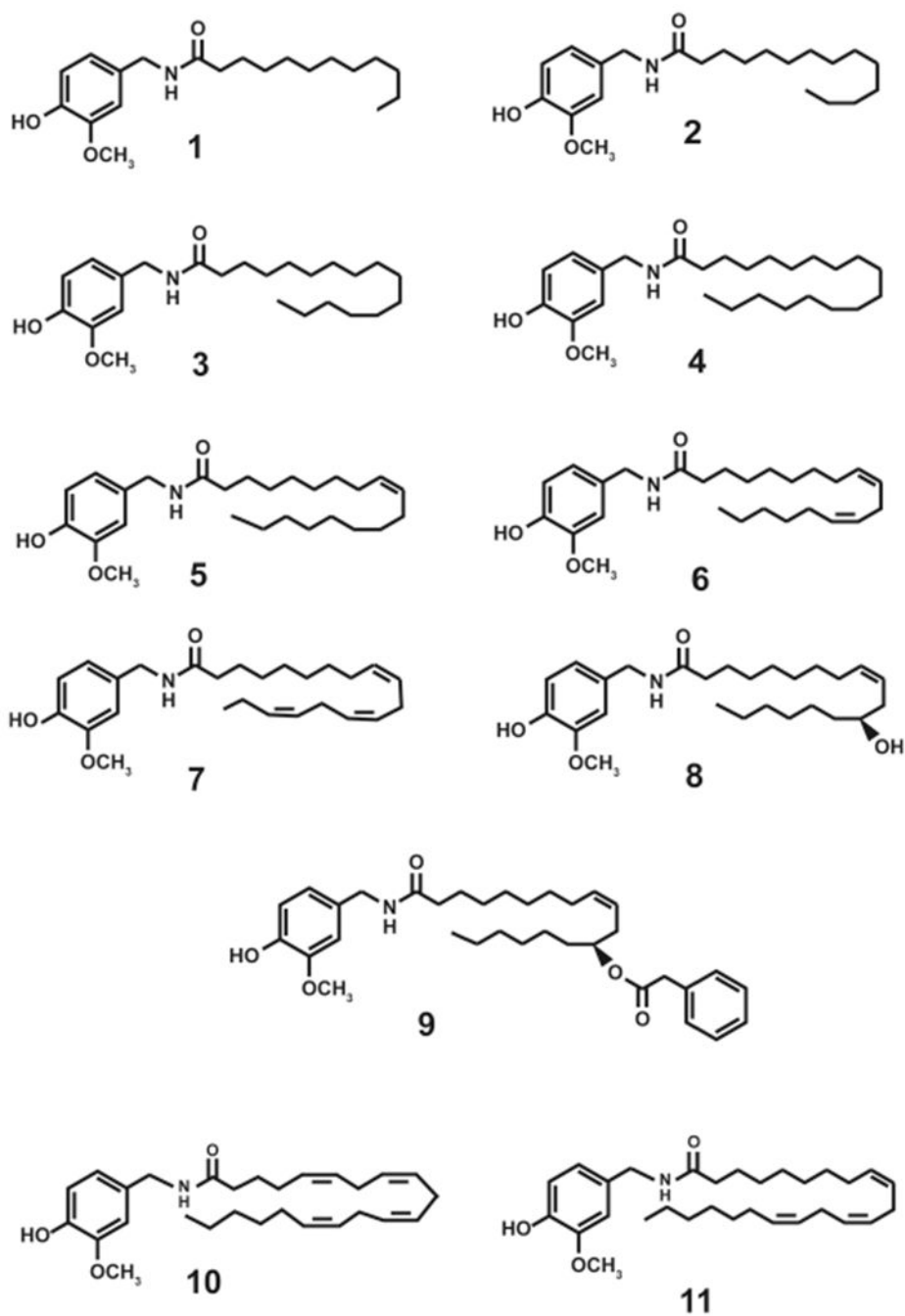
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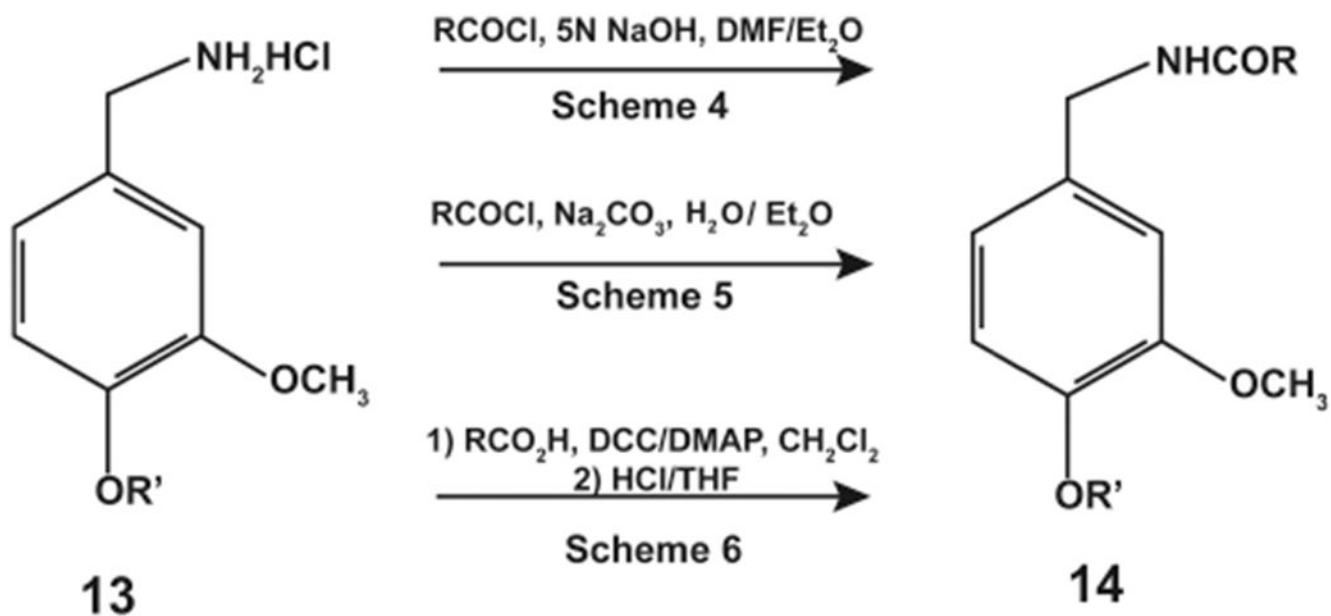


**Figure 1.**  
Structure and pharmacophore of capsaicin.

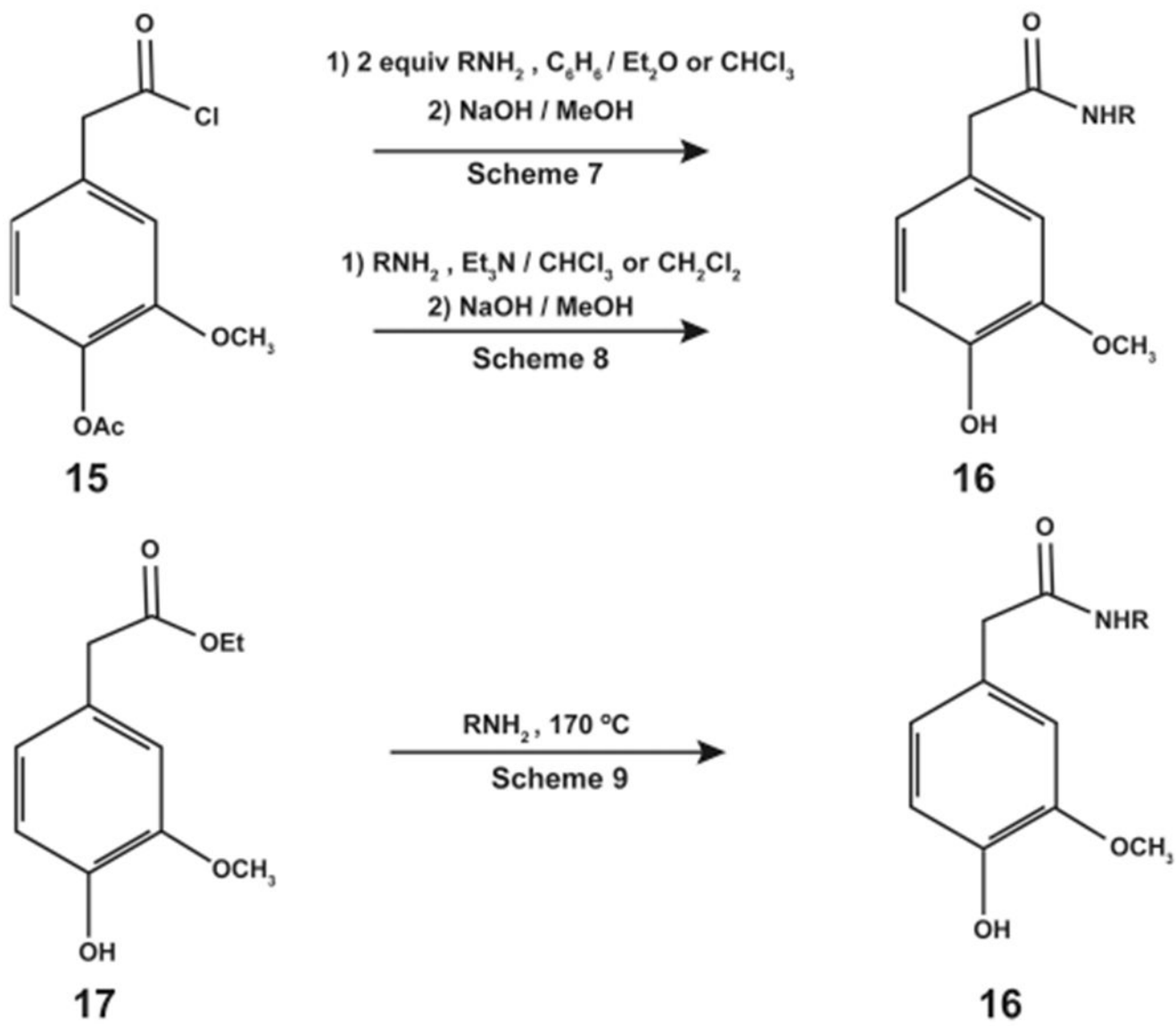


**Figure 2.** N-AVAM capsaicin analogues which have been investigated for their growth-suppressive activity in cell culture or mice models.

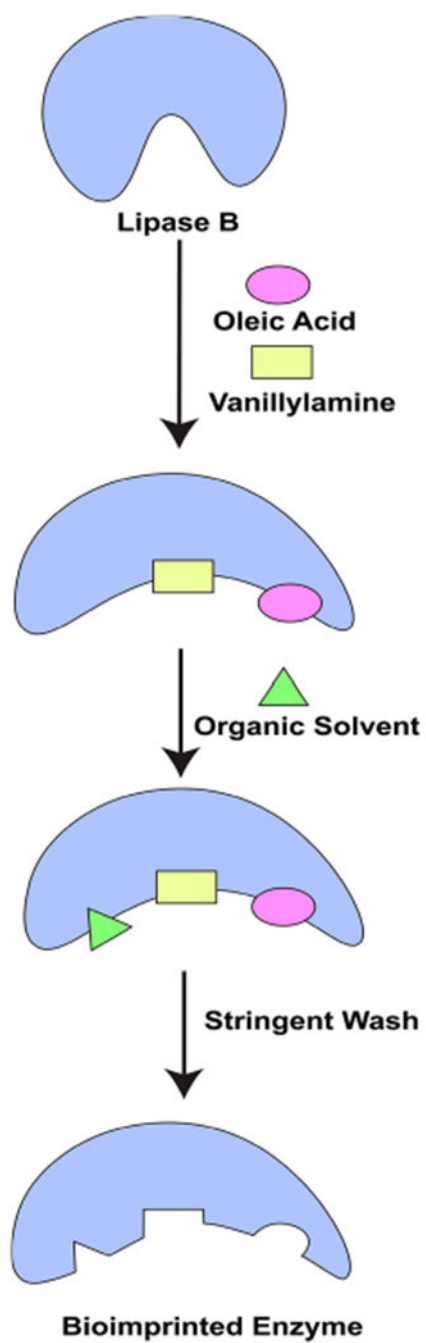




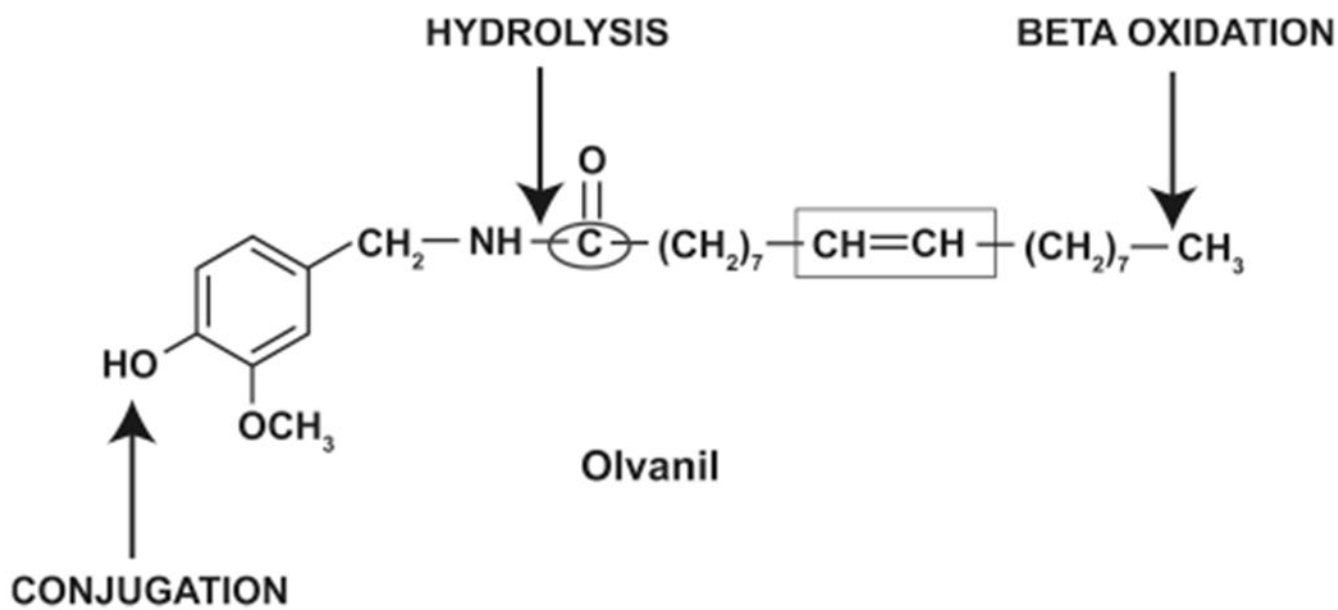
**Figure 3.**  
Synthesis of N-AVAM capsaicin analogues by Schemes 4–6.



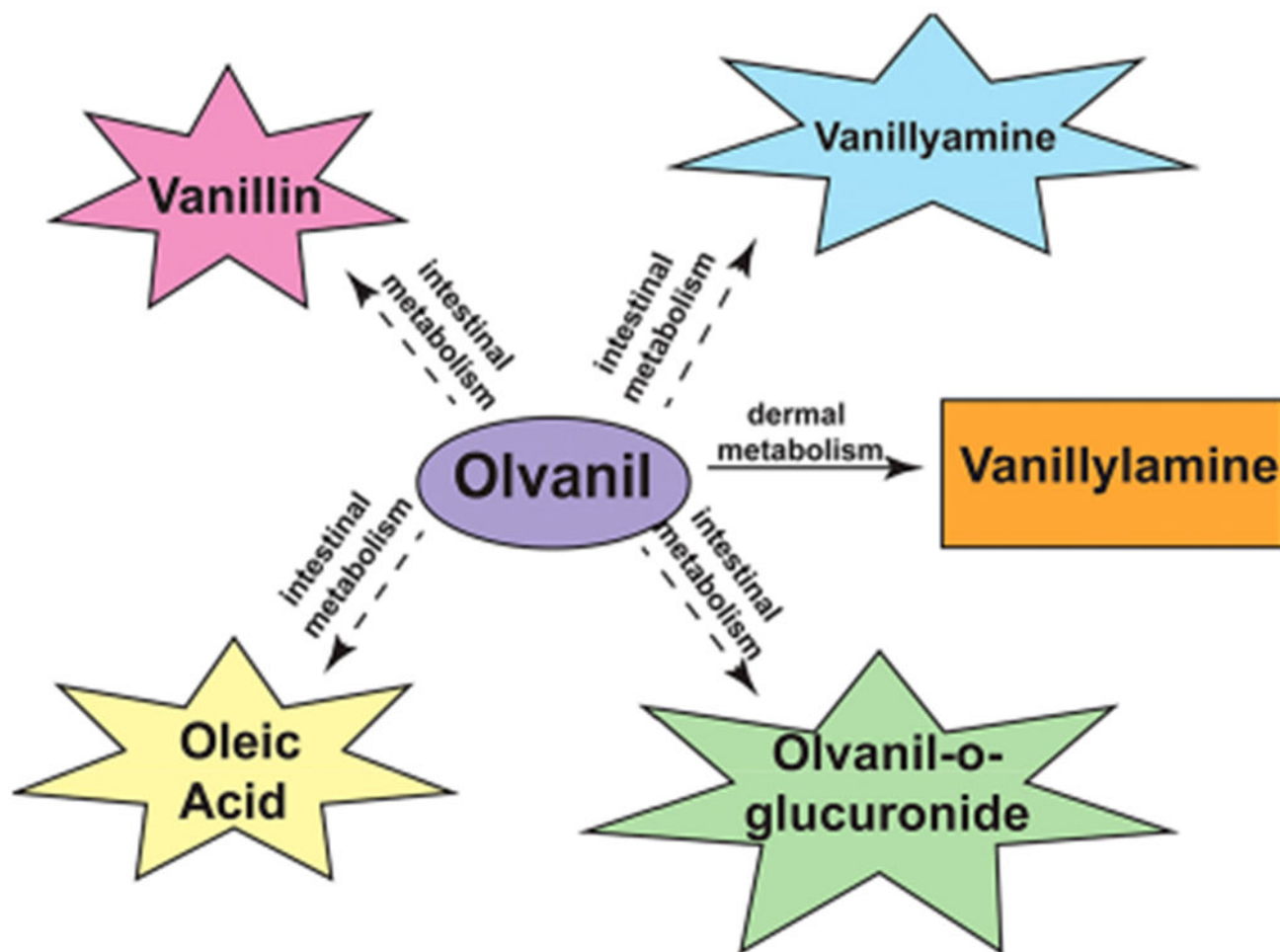
**Figure 4.** Synthesis of N-AVAM capsaicin analogues by Schemes 7–9.



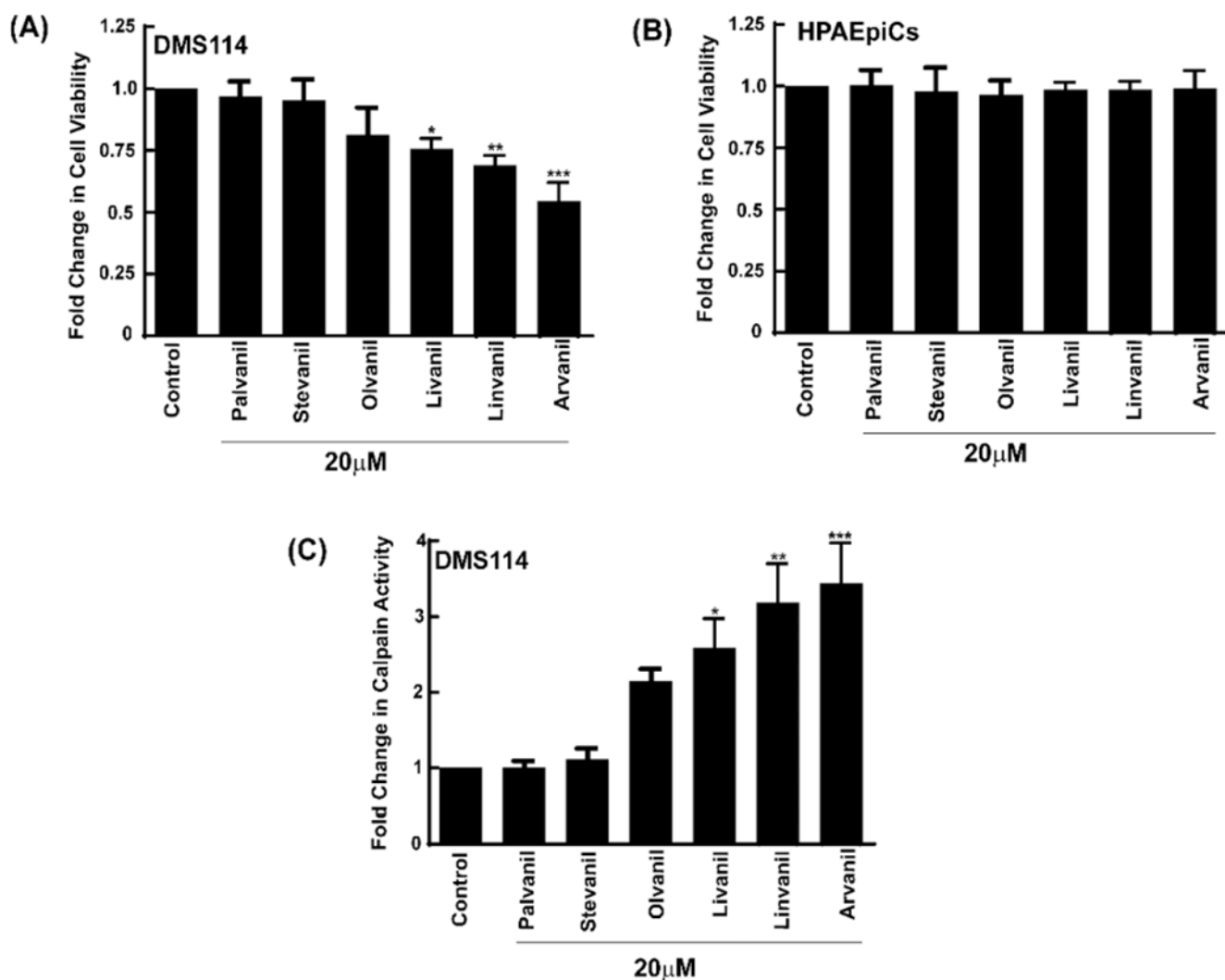
**Figure 5.** Schematic diagram showing the synthesis of olvanil by bioimprinting technology.



**Figure 6.**  
Putative sites of metabolism of olvanil.

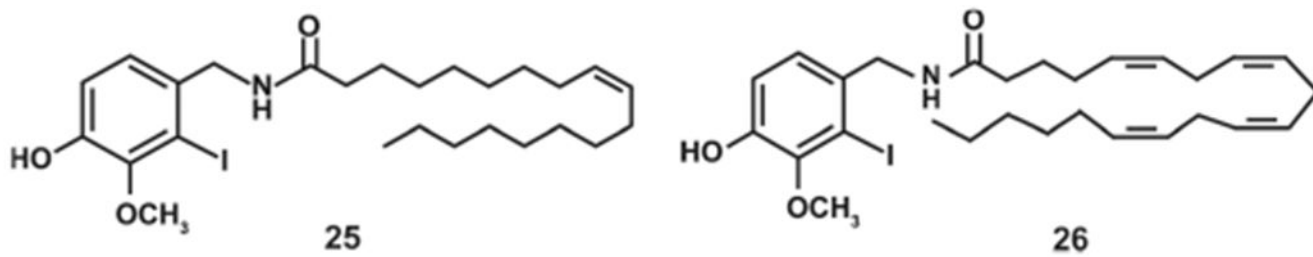


**Figure 7.** Schematic diagram showing the compounds generated by the intestinal metabolism and dermal metabolism of olvanil.

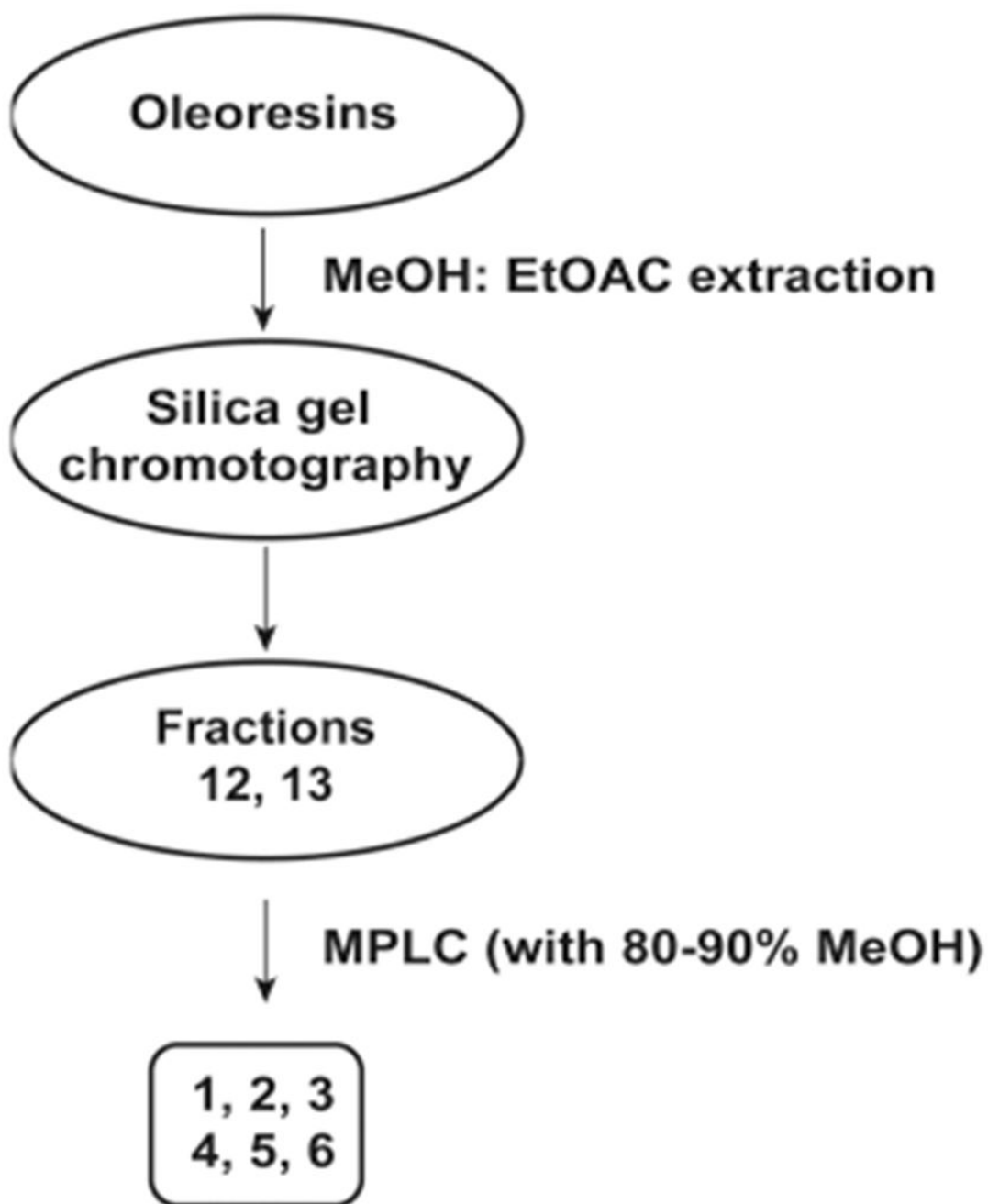


**Figure 8.** (A) MTT assays show that the growth-suppressive activity of N-AVAM capsaicin analogues increases with increased unsaturation in the compounds. (B) N-AVAM capsaicin analogues do not impact the viability of HPAEpiCs. (C) N-AVAM capsaicin analogues stimulated the activity of the calpain-1, calpain-2 class of apoptotic proteolytic enzymes. Values represented by the symbol \* are statistically significant relative to the control ( $P < 0.05$ ).

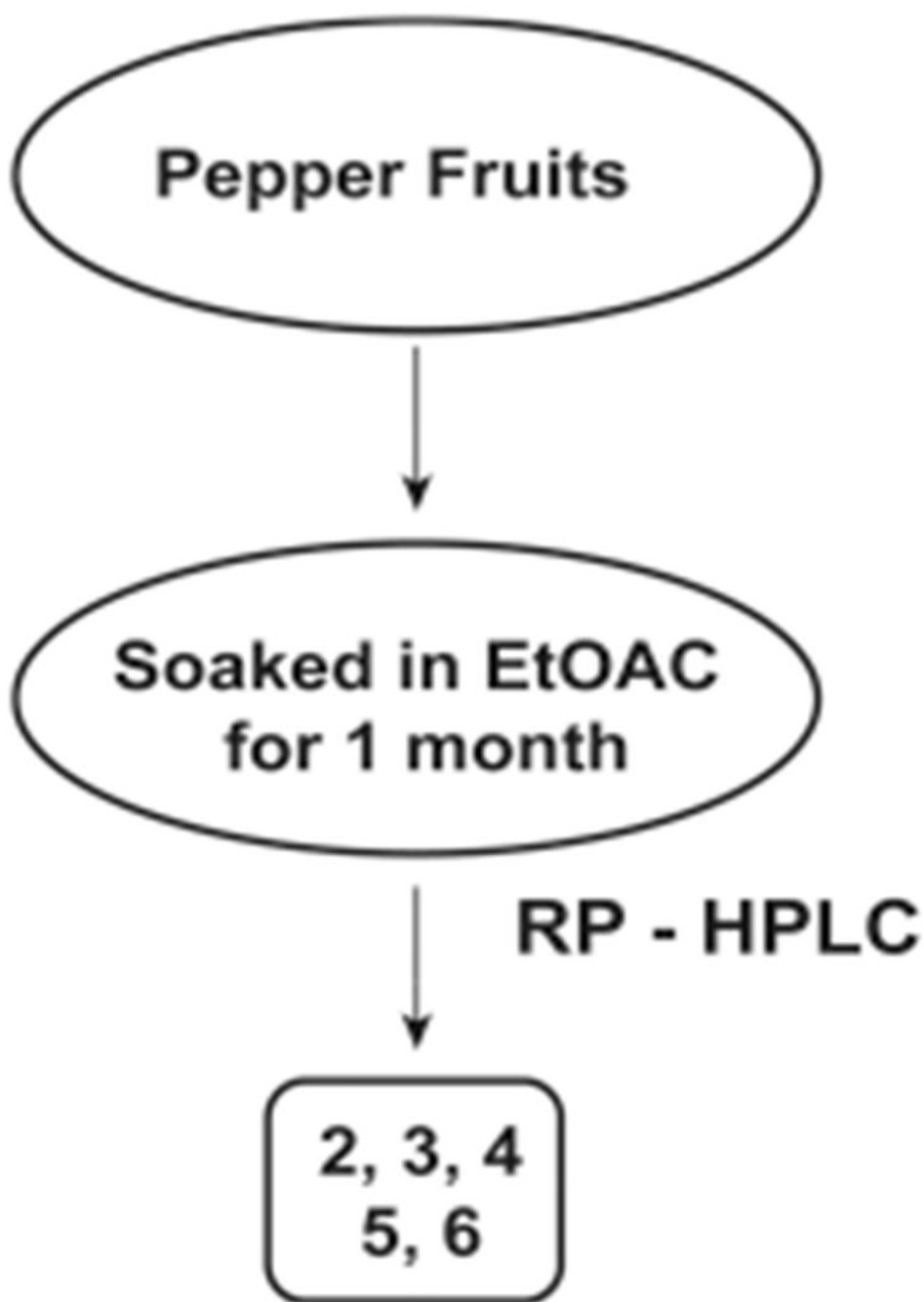




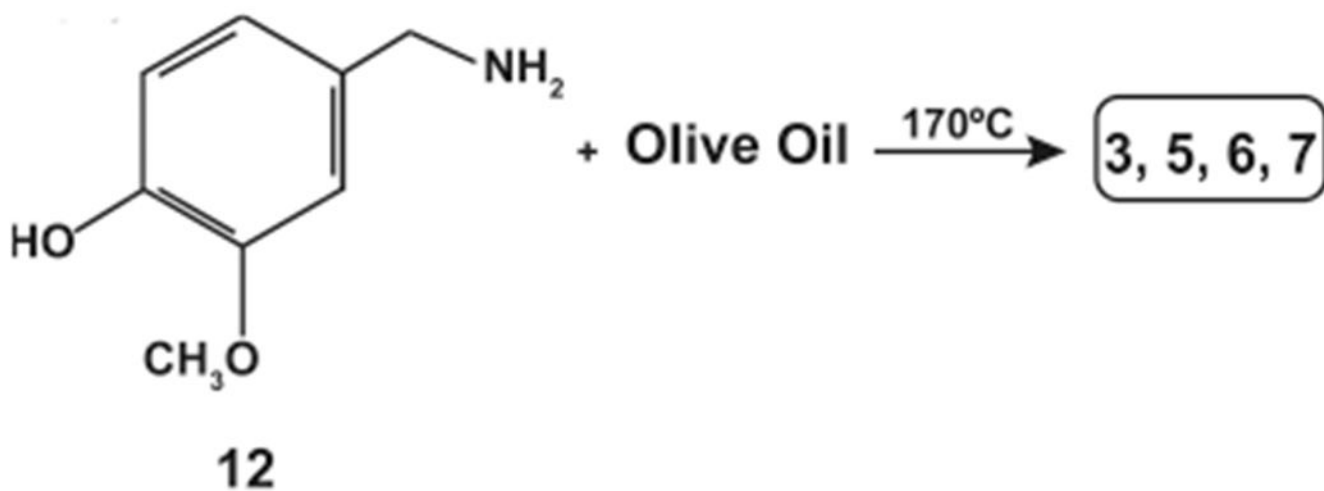
**Figure 9.**  
Structures of iodoolvanil and iodoarvanil.

**Scheme 1.**

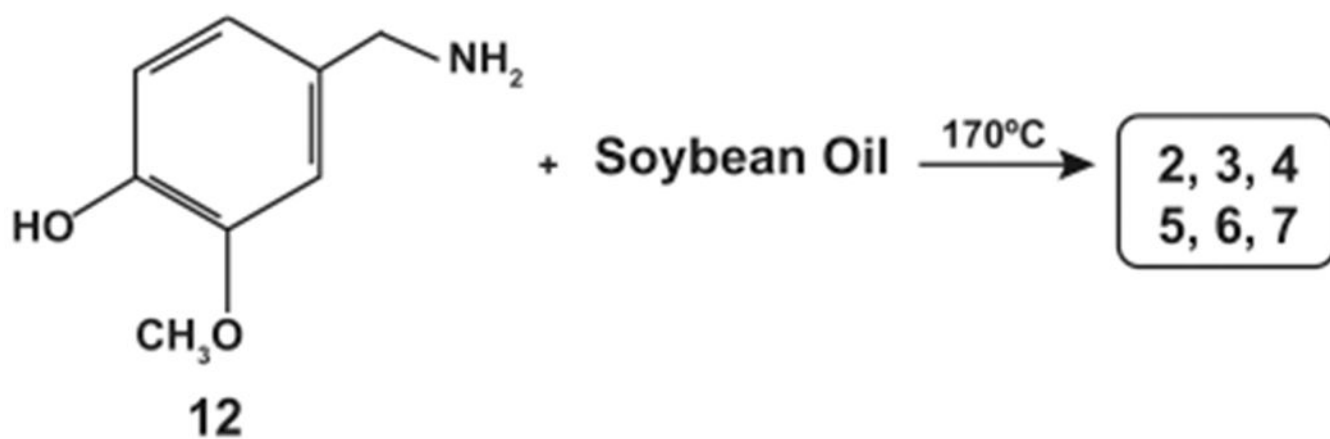
Extraction of N-AVAM Capsaicin Analogues from *Capsicum oleoresin*

**Scheme 2.**

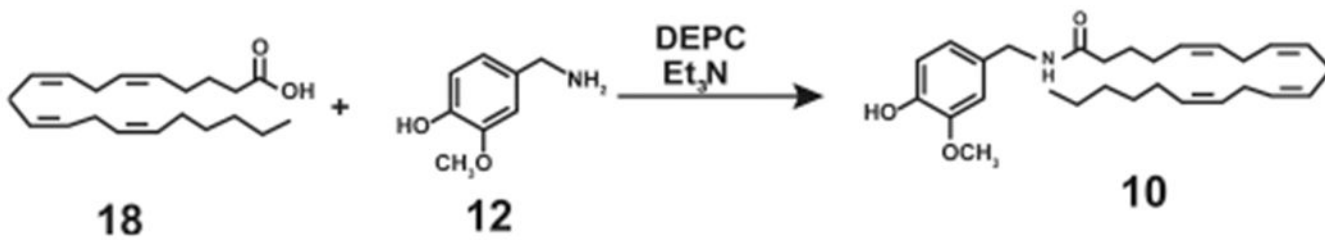
Extraction of Capsaicin from Habenero and Takanosume Chili Peppers



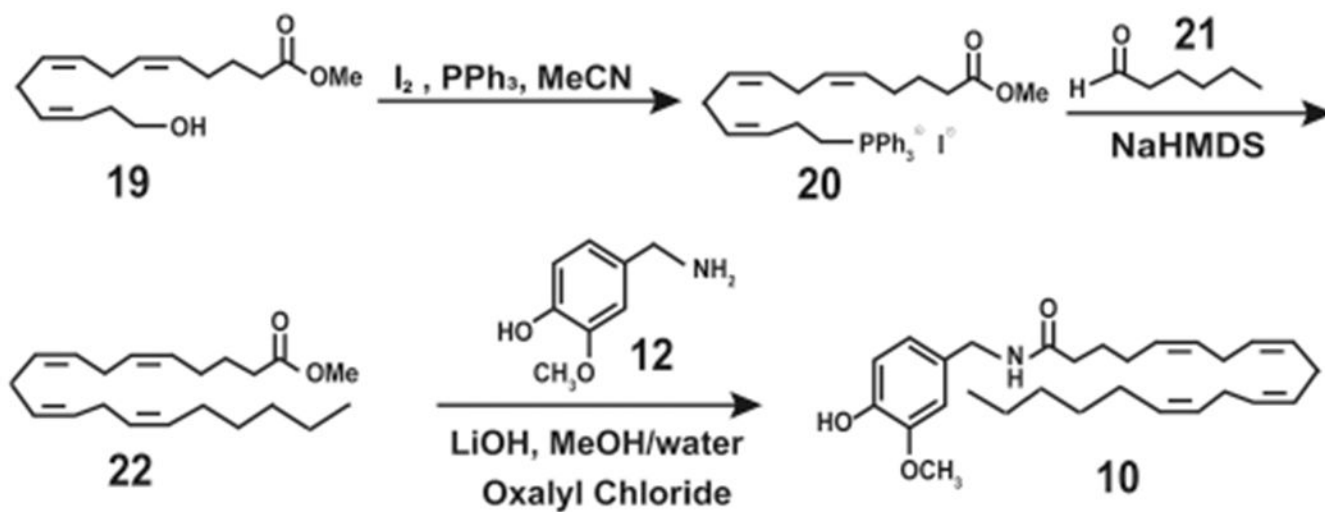
**Scheme 3.**  
Synthesis of N-AVAM Capsaicin Analogues from Olive Oil



**Scheme 4.**  
Synthesis of N-AVAM Capsaicin Analogues from Soybean Oil

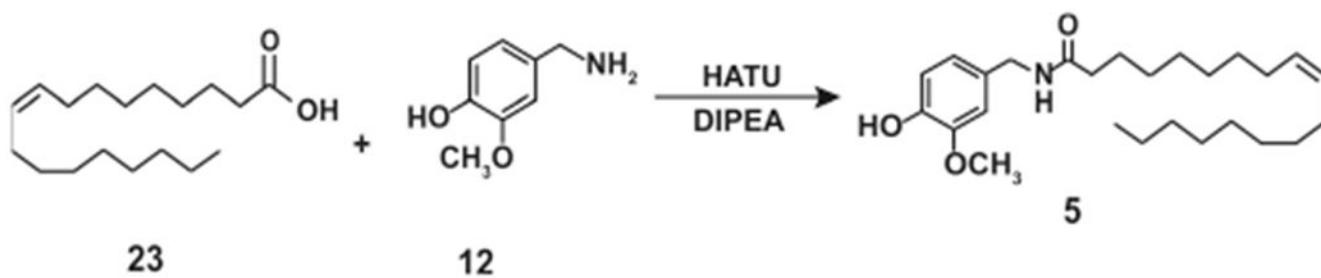


**Scheme 10.**  
Single Step High-Yielding Synthesis of Arvanil (10)

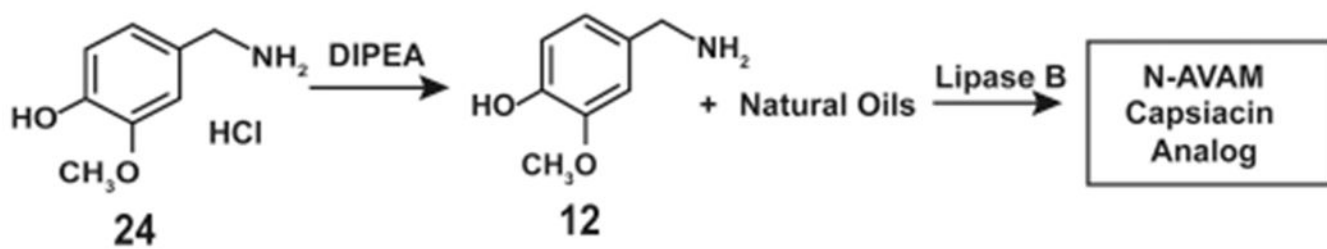
**Scheme 11.**

High Yield Synthesis of Arvanil (10) from Methyl-14-hydroxy-(all-*cis*)-5,8,11-tetradecatrienoate

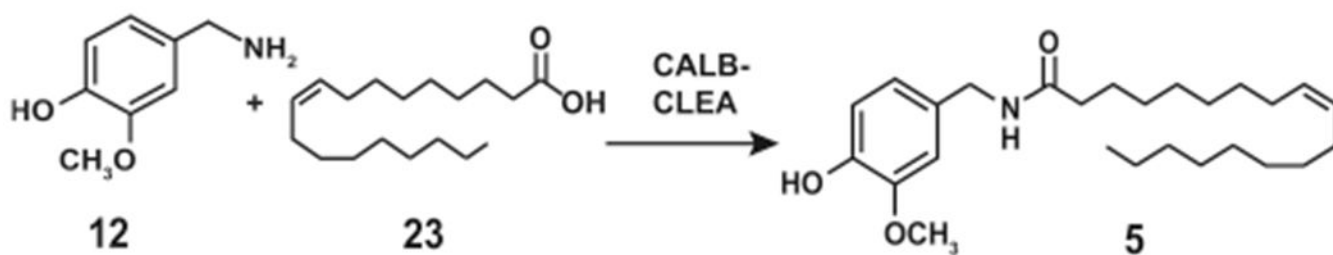


**Scheme 12.**

Synthesis of Olvanil (5) Using HATU Coupling Agent Methodology



**Scheme 13.**  
Enzymatic Synthesis of Olvanil Using Lipase B

**Scheme 14.**

Enzymatic Synthesis of Olvanil Using Recombinant *Candida antarctica* Lipase B (CALB) Immobilized by Cross-Linked Enzyme Aggregate (CLEA) Techniques

**Table 1.**

## Fatty Acids Used to Synthesize N-AVAM Capsaicin Analogues

<b>fatty acid</b>	<b>N-AVAM capsaicin analogue</b>
ricinoleic acid	<b>8</b>
phenylacetylricinoleic acid	<b>9</b>
4,7,10,13,16,19 docohexanoic acid	<b>11</b>

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Table 2.

## Overview of the Anticancer Activity of N-AVAM Capsaicin Analogues

compound	type of cancer	IC <sub>50</sub> (in cell culture)	effect on normal cells	experimental models used	phenotypic effects	mechanism of action	ref
5	small cell lung cancer	ND	ND	cell culture	inhibition of cell invasion	inhibition of AMPK pathway	73
5	breast cancer	MCF-7: 1.6 $\mu$ M T47D: 0.75 $\mu$ M	ND	cell culture	inhibition of cell proliferation	activation of TRPV1 and CB1 receptors	25, 26, 55
10	breast cancer	MCF-7: 0.4 $\mu$ M EFM-19: 0.55 $\mu$ M T47D: 0.35 $\mu$ M	ND	cell culture	inhibition of cell viability	activation of TRPV1 and CB1 receptors	25, 26, 55
10	small cell lung cancer	DMS114: 15 $\mu$ M	no effect on the viability of normal lung epithelial cells	cell culture	inhibition of cell viability	activation of calpain pathway	53
10	rat thyroid carcinoma	ND	ND	athymic mouse model	inhibition of tumor growth in athymic mice	activation of CB1 receptor	43
10	high grade astrocytoma	ND	ND	orthotopic acid mouse model	inhibition of tumor growth in acid mice	activation of TRPV1 receptor	61
10	melanoma	ND	ND	cell culture (melanoma cell line derived from a patient)	decrease in cell viability	ND	61
10	T-cell leukemia	ND	no apoptosis of normal T-lymphocytes	cell culture	induction of apoptosis	activation of FADD/Caspase-8 pathway	57
10	prostate cancer	TSU: ~100 nM PPC-1: ~1 $\mu$ M	ND	cell culture	inhibition of cell viability	activation of CB1 receptors	57
10	epidermoid carcinoma	ND	ND	cell culture,	decrease in cell viability	ND	61
10	small cell lung cancer	ND	ND	cell culture	inhibition of cell invasion	inhibition of AMPK pathway	66
6	small cell lung cancer	DMS114: 43 $\mu$ M	no effect on the viability of normal lung epithelial cells	cell culture	inhibition of cell viability	activation of calpain pathway	53
7	small cell lung cancer	DMS114: 15 $\mu$ M	no effect on the viability of normal lung epithelial cells	cell culture	inhibition of cell viability	activation of calpain pathway	53
8	breast cancer	P388: 9 $\mu$ g/mL J774: 8 $\mu$ g/mL WEHI: 3 $\mu$ g/mL	normal bone marrow, IC <sub>50</sub> = 40 $\mu$ g/mL	cell culture	inhibition of cell proliferation	apoptosis via the caspase pathway	67
8	cervical cancer	HeLa: 62 $\mu$ g/mL CaSki: 91 $\mu$ g/mL ViBo: 149 $\mu$ g/mL	no effect on the viability of normal human lymphocytes	cell culture	inhibition of cell proliferation	apoptosis via the caspase pathway	68
9	leukemia	P388: 49 $\mu$ g/mL J774: 10 $\mu$ g/mL WEHI: 31 $\mu$ g/mL	normal bone marrow, IC <sub>50</sub> = 73 $\mu$ g/mL	cell culture	inhibition of cell proliferation	apoptosis via the caspase pathway	67

compound	type of cancer	IC <sub>50</sub> (in cell culture)	effect on normal cells	experimental models used	phenotypic effects	mechanism of action	ref
9	cervical cancer	HeLa: 57 µg/mL CaSki: 122 µg/mL ViBo: 74 µg/mL	PhAR decreased the viability of normal human lymphocytes. No selectivity for tumor cells was observed.	cell culture	inhibition of cell proliferation	apoptosis via the caspase pathway	68
11	cervical cancer	HeLa: ~50 µM Taxol-resistant HeLa: ~40 µM	ND	cell culture	inhibition of cell viability	ND	40, 70
11	histiocytic leukemia	U937: ~25 µM	ND	cell culture	inhibition of cell viability	ND	40
11	melanoma	B16F10: ~10 µM	normal mouse fibroblast IC <sub>50</sub> = 65 µM	cell culture	inhibition of cell viability	ND	40
11	breast cancer	MCF-7: ~25 µM	ND	cell culture	inhibition of cell viability	apoptosis via caspase pathway	69