Contents lists available at [ScienceDirect](www.sciencedirect.com/science/journal/01476513)



# Ecotoxicology and Environmental Safety

journal homepage: [www.elsevier.com/locate/ecoenv](https://www.elsevier.com/locate/ecoenv) 



# Dynamic association of ambient air pollution with incidence and mortality of pulmonary hypertension: A multistate trajectory analysis



Hui Shi<sup>a</sup>, Lan Chen<sup>a</sup>, Shiyu Zhang<sup>a</sup>, Rui Li<sup>a</sup>, Yinglin Wu<sup>a</sup>, Hongtao Zou<sup>a</sup>, Chongjian Wang<sup>b</sup>, Miao Cai<sup>a</sup>, Hualiang Lin<sup>a,\*</sup>

<sup>a</sup> *Department of Epidemiology, School of Public Health, Sun Yat-sen University, Guangzhou 510080, China* 

<sup>b</sup> *Department of Epidemiology and Biostatistics, College of Public Health, Zhengzhou University, Zhengzhou 450001, China* 



# **1. Introduction**

Ambient air pollution is a major environmental threat to human health. It is linked to a wide range of cardiovascular and respiratory diseases, including hypertension, stroke, myocardial infarction (MI), and chronic obstructive pulmonary disease (COPD) ([Xie et al., 2021;](#page-7-0)  [Ross et al., 2023\)](#page-7-0). However, its effects on some conditions that affect both cardiovascular and pulmonary vascular systems, such as pulmonary hypertension (PH), remain largely unknown.

PH is a fatal, progressive condition of multiple aetiologies, which is defined as a mean pulmonary arterial pressure (mPAP) greater than 20 mmHg ([Simonneau et al., 2019](#page-7-0)). It was estimated that its prevalence was approximately 1% worldwide, making it a global health issue of increasing concern ([Hoeper et al., 2016\)](#page-6-0). According to the 6th World Symposium, PH is classified into five different clinical groups, and all these clinical groups may be related to ambient air pollution ([Goyanes](#page-6-0)  [and Tonelli, 2022\)](#page-6-0). Long-term exposure to particulate matter can cause changes in the pulmonary arteries ([Park et al., 2015\)](#page-7-0), and exposure to diesel exhaust can induce PH in mice ([Liu et al., 2018](#page-6-0)). Inhaled air pollutants can cause oxidative stress and systemic inflammation, producing endothelial dysfunction, autonomic nervous system dysregulation, and vasoconstriction ([Graber et al., 2019](#page-6-0)). This demonstrates a

*E-mail address:* [linhualiang@mail.sysu.edu.cn](mailto:linhualiang@mail.sysu.edu.cn) (H. Lin).

<https://doi.org/10.1016/j.ecoenv.2023.115126>

Received 20 February 2023; Received in revised form 6 June 2023; Accepted 8 June 2023

Available online 12 June 2023

0147-6513/©2023 The Authors. Published by Elsevier Inc. This is an open access article under the CC BY license (<http://creativecommons.org/licenses/by/4.0/>).

*Abbreviations:* BMI, body mass index; CI, confidence interval; COPD, chronic obstructive pulmonary disease; DAG, directed acyclic graph; DEFRA, Department for Environment, Food and Rural Affairs; HR, hazard ratio; IQR, interquartile range; ICD, International Classification of Diseases; PH, pulmonary hypertension; MI, myocardial infarction; PM<sub>2.5</sub>, particulate matter with aerodynamic diameter of less than 2.5 µm; PM<sub>10</sub>, particulate matter with aerodynamic diameter of less than 10  $\mu$ m; NO<sub>2</sub>, nitrogen dioxide; NO<sub>x</sub>, nitrogen oxides; SD, standard deviation.

<sup>\*</sup> Correspondence to: Department of Epidemiology, School of Public Health, Sun Yat-sen University, NO. 74, Zhongshan 2rd road, Yuexiu District, Guangzhou, Guangdong 510080, China.

biologically plausible relationship between ambient air pollution and PH.

However, large-scale population-based prospective studies exploring the association between ambient air pollution and PH are still lacking. Notably, independent of the underlying disease, the development of PH is associated with a substantially increased risk of death, highlighting the clinical importance of observing the progression of PH as well as clinical endpoints (e.g., death) [\(Hoeper et al., 2016; Lai et al., 2014](#page-6-0)). Nevertheless, the influence of air pollution on the dynamic trajectory of PH, especially on the occurrence of PH and deaths, has been less well described.

We therefore assessed the association between ambient air pollution and PH among 494,750 participants from UK Biobank. Importantly, we used a novel multistate model to examine the possibility of distinct impacts of air pollution on the dynamic transitions from baseline to PH and further to death.

# **2. Methods**

## *2.1. Study population*

The UK Biobank is a prospective cohort study among the general population in the United Kingdom (UK), and the protocol of the study has been previously described [\(Sudlow et al., 2015](#page-7-0)). Between 2006 and 2010, more than 0.5 million residents (range 40–69 years of age) were recruited from the UK. All individuals participated in the baseline assessment that included participants' lifestyle, physical measurements, biological samples, and health-related outcomes.

Among the 502,411 participants initially recruited for the cohort, those with PH at baseline ( $n = 282$ ) were excluded. We also excluded those with heart failure at baseline ( $n = 2652$ ). After excluding individuals without complete ambient air pollution exposure estimates (n  $= 3442$ ) and those lost to follow-up interviews (n  $= 1285$ ), the remaining 494,750 participants were finally included in the current analysis (Fig. 1).

The National Research Ethics Service of the National Health Service approved the UK Biobank study (REC reference: 15/NW/0274). The present analysis was carried out using the UK Biobank Resource under

Application Number of 69550.

#### *2.2. Follow-up for incidence and mortality of PH*

The primary outcome of this study was the initial incidence and subsequent mortality of PH after enrolment. Cases of incident PH were ascertained by a combination of hospital admissions and primary care records. Hospital admissions records from Health Episode Statistics in England, Scottish Morbidity Record, and Patient Episode Database for Wales. The data from primary care records provide a detailed record of activities related to symptoms, diagnosis, prescriptions, investigations, and referrals. The date and cause of death were identified from death certificates provided by the National Health Service Information Center and the NHS Central Register Scotland. The International Classification of Diseases (ICD) was used to assign codes to each event. PH was defined by ICD codes (ICD-9: 416.0; ICD-10: I27.0, I27.2) ([Clapham et al., 2021](#page-6-0)). In our study, all-cause mortality excluded accidental deaths (ICD-10: V01-Y89). To mitigate the potential confounding effects of the COVID-19 pandemic, follow-up assessments persisted until the initial PH diagnosis, death, loss to follow-up or the end of study (December 31, 2019), whichever occurred first.

#### *2.3. Ambient air pollution exposure estimates*

In this study, we assessed the exposure levels of particulate matter with an aerodynamic diameter of 2.5  $\mu$ m or less (PM<sub>2.5</sub>), particulate matter with an aerodynamic diameter of 10  $\mu$ m or less (PM<sub>10</sub>), nitrogen dioxide (NO<sub>2</sub>), and nitrogen oxides (NO<sub>x</sub>) by collecting mean annual concentration data for these air pollutants from the UK's Department for Environment, Food and Rural Affairs (DEFRA). DEFRA provides highresolution near-surface air pollution data for the UK from 2002 to 2020 [\(Air, 2022](#page-7-0)), which we utilized to generate annual concentration maps for various air pollutants on a  $1 \text{ km} \times 1 \text{ km}$  grid via an air dispersion model. This model incorporates data from multiple sources, including measurement data for secondary inorganic aerosols and models for sources such as dust resuspension, from the National Atmospheric Emissions Inventory ([Wu et al., 2022\)](#page-7-0). We also calibrated these concentrations with data from background sites in DEFRA's Automatic



**Fig. 1.** Flowchart for selecting the study population.

<span id="page-2-0"></span>Urban and Rural Network ([Air, 2022](#page-7-0)).

We utilized a map-based bilinear interpolation algorithm to estimate the air pollutant exposure, which involved calculating the weighted average of the nearest four grids, thereby enhancing the spatial resolution of the target location [\(Cai et al., 2022](#page-6-0)). By geocoding participants' residential addresses and corresponding grid cells, we were able to determine the corresponding annual average pollutant concentration ([Zou et al., 2023\)](#page-7-0). Furthermore, we collected the residential address histories of participants, including the duration of residence at each location. For those who relocated during the study, we computed the time-weighted average exposure to pollutants, with weights assigned based on the duration of stay at each residence [\(Huang et al., 2019; Wu](#page-6-0)  [et al., 2022](#page-6-0)). Further details are provided in the Supplementary Materials. Given that baseline year exposure may not provide an accurate representation of long-term exposure levels, we estimated average exposures of the ambient air pollutants from the three years preceding the enrolment to the endpoints of interest (PH or death) or the end follow-up for each participant in the present study.

## *2.4. Assessment of covariates*

We conducted a comprehensive assessment of potential covariates based on prior knowledge. A set of variables, including sociodemographic covariates, clinical variables, and noise exposure, were considered. Specifically, sociodemographic covariates included age, gender, ethnicity (white, nonwhite), body mass index (BMI), household income (*<*£18 000, £18 000–£30 999, £31 000–51 999, £52 000–£100 000, *>*£100 000), education (having a college or university-level degree or not) [\(Davies et al., 2016](#page-6-0)), and smoking status (never, previous, current). Clinical variables including associated conditions and medication use. Associated conditions include left-heart disease, obstructive pulmonary disease, restrictive lung disease, complex congenital heart disease and connective tissue disease [\(Burger et al., 2021](#page-6-0)). In addition, self-reported information on medication use, such as lipid- and blood pressure-lowering medications, as well as insulin, was collected. Finally, considering the potential confounding effects of noise exposure ([Franklin and Fruin, 2017\)](#page-6-0), we assessed average noise exposure using a model based on Europe's prevalent noise assessment techniques (CNOSSOS-EU) [\(Kephalopoulos et al., 2014](#page-6-0)). The World Health Organization suggested that noise pollution be expressed by the 24-hour (daily) sound pressure level (A-weighted sound level in decibels) averaged over a year, i.e., the annual mean 24-hour weighted road traffic noise (Lden) ([WHO, 2022\)](#page-7-0).

We constructed a directed acyclic graph (DAG) (www.dagitty.net) to determine which potential covariates should be included in the model (Fig. S1) [\(Textor et al., 2016\)](#page-7-0). A minimally sufficient adjustment set was selected in the multivariate models, including age, gender, ethnicity, BMI, household income, education, smoking status, associated conditions, and noise.

#### *2.5. Statistical analyses*

Continuous variables are summarized with descriptive statistics, including the mean (standard deviation [SD]), whereas categorical variables are summarized by the number (percentage). We computed the Spearman correlations between noise and air pollutants.

Multistate models provide a flexible framework for modelling the progression of a disease by defining various health states and characterizing the probabilities of transitioning between these states over time ([Cannon et al., 2017\)](#page-6-0). It can be employed to examine the progression from a non-disease state to a disease state and ultimately to a death state ([Yang et al., 2021\)](#page-7-0). In this study, we constructed a three-state "disease-to-death" Markov multistate model using a clock-forwards approach as the timescale. Specifically, as shown in Fig. 2**,** we divided individuals into three states: baseline (free of PH), PH and death. We considered the severity of the progression of PH and defined irreversible shifts between states ([Meyer et al., 2019\)](#page-6-0). The aim of this study was to assess the role of each ambient air pollutant in the temporal course of the disease from baseline (PH-free) to PH and mortality. For 64 participants who entered separate states on the same date, we calculated the supposedly preceding state's entry date as the later state's date minus half a day.

In the current analyses, ambient air pollutants were individually included in the models as continuous variables. Two models were developed. Model 1 adjusted for age, gender, and ethnicity, while Model 2 further adjusted for BMI, household income, education, smoking status, associated conditions, and noise. The latter was selected as the primary model for subsequent analyses. Multiple imputation was performed to impute missing covariate values. Analyses were estimated on each of the imputed data sets, and the results were then combined using the Rubin rule ([Zhang, 2016](#page-7-0)).

We further predicted the probabilities of becoming a person without PH, with PH, and dying from baseline to 1, 3, 5, 10 and 15 years of follow-up. We categorized the levels of five air pollutants into high and low groups based on their respective mean values and then obtained the probabilities of participants exposed to high and low levels of air



**Fig. 2.** Schematic of multistate model: numbers (percentages) of participants from baseline to pulmonary hypertension (Transition A), pulmonary hypertension subsequent to death (Transition B), and baseline to death (Transition C).

pollution separately. In the present analysis, all covariates were normalized to the mean level of the UK population.

We performed stratified analyses of potential air pollution effect modification according to smoking status and by introducing crossproduct terms. Smoking status was divided into two classes: previous/ current smokers and never smokers. There is evidence that tobacco smoke exposure may be a risk factor for PH [\(Schiess et al., 2010](#page-7-0)). Furthermore, we conducted stratified analyses to evaluate potential effect modification by age (cut-off 65 years, according to the current retirement age in the UK), gender, education, and income [low (less than £18 000), moderate (£18 000–£100 000), high (greater than £100 000)].

We performed several sensitivity analyses, including (1) analyses for individuals who joined multiple states within the same day that determined the entrance date of the preceding state using various time periods (1, 3, and 5 y); (2) analyses that excluded participants with associated conditions; (3) an analysis that excluded participants without complete data on covariates; (4) further adjustment for medication use at baseline; and (5) the development of 2-pollutant models for each of the 4 air pollutants by integrating 2 air pollutants within the same model. However,  $PM_{10}$  and  $PM_{2.5}$  showed a strong correlation, as did  $NO<sub>x</sub>$  and  $NO<sub>2</sub>$ , so they were not included in the same model. (6) To account for the possibility of spatial misclassification caused by the lack of disease severity information for PH, we added the longitude and latitude of participants as adjusted variables to the model.

Statistical outcomes were presented as hazard ratios (HRs) with 95% confidence intervals (CIs) per change in interquartile range (IQR) pollution exposure. All analyses were performed with R (Version 3.1.0, 2015), and the multistate model was performed using the "mstate" package. Two-sided significance tests were used for all analyses, and statistical significance was set at *P <* 0.05.

#### **3. Results**

#### *3.1. Descriptive results*

The final analysis included 494,750 participants, whose baseline characteristics are listed in Table 1. The participants' average age was  $56.50 \pm 8.09$  years, and 54.6% were female. Of all participants, 54.6% were never smokers. Participants with associated conditions accounted for 3.1%. Mean (SD) levels of  $PM_{2.5}$ ,  $PM_{10}$ ,  $NO<sub>2</sub>$ , and  $NO<sub>x</sub>$  (the average exposures from three years prior to enrolment until the end of follow-up) were measured as  $9.93 \pm 1.75$ ,  $14.90 \pm 2.43$ ,  $18.20 \pm 5.99$ , and 27.20  $\pm$  11.00 ug/m<sup>3</sup>, respectively. The mean L<sub>den</sub> exposure was 56.10  $\pm$  4.30 dB [A]. The Spearman correlation coefficients between air pollution and noise levels ranged from 0.08 to 0.15 (Table S1).

Participants were followed up for a mean of 11.75 years (interquartile range: 11.76–12.48 years). Among the participants, 2517 (0.51%) developed PH (Transition A) with a crude incidence rate of 4.31 per 10,000 PYs. A total of 696 (27.65%) incident PH patients died from any cause (Transition B). Additionally, 24,411 (4.93%) participants died without experiencing PH (Transition C) ([Fig. 2\)](#page-2-0).

## *3.2. Multistate regression results*

Multistate analyses distinguished the roles of four ambient air pollutants in the transitions related to PH ([Table 2\)](#page-4-0). After accounting for potential covariates (Model 2), all air pollutants were shown to have statistically significant effects on the transition from baseline to PH (Transition A), with adjusted HRs (95% CIs) per IQR increase of 1.73  $(1.65, 1.81)$  for PM<sub>2.5</sub>, 1.70 (1.63, 1.78) for PM<sub>10</sub>, 1.42 (1.37, 1.48) for  $NO<sub>2</sub>$  and 1.35 (1.31, 1.40) for  $NO<sub>x</sub>$ . The associations of PM (PM<sub>2.5</sub> and  $PM_{10}$ ) with PH incidence were stronger than those of NO<sub>2</sub> and NO<sub>x</sub>. For Transition B,  $PM_{2.5}$ ,  $PM_{10}$ ,  $NO<sub>2</sub>$  and  $NO<sub>X</sub>$  influenced the transition from PH to death, and the corresponding HRs (95% CIs) were 1.35 (1.25, 1.45), 1.31 (1.21, 1.41), 1.28 (1.20, 1.37) and 1.24 (1.17, 1.32), respectively. In addition, all air pollutants significantly influenced the

## **Table 1**

Baseline characteristics of the study participants.



Data are mean (SD), or N (%).

BMI, body mass index;  $PM<sub>2.5</sub>$ , particulate matter with aerodynamic diameter of less than 2.5  $\mu$ m; PM<sub>10</sub>, particulate matter with aerodynamic diameter of less than 10  $\mu$ m; NO<sub>2</sub>, nitrogen dioxide; NO<sub>x</sub>, nitrogen oxides.

## transition from baseline to death (Transition C).

Furthermore, the impacts of all air pollutants on Transition A were more substantial than those on Transitions B and C. In addition, the effect estimates of all air pollutants on Transition C were slightly stronger than the estimates for Transition B [\(Table 2\)](#page-4-0).

#### *3.3. Prediction based on the multistate model*

Based on the results of the multistate model, the estimated transition probabilities from baseline could be calculated for participants. We obtained transition probabilities from baseline to 1, 3, 5, 10 and 15 years of follow-up for participants exposed to high and low air pollutant levels for the three statuses. Table S2 shows the transition probability matrix across statuses, and Fig. S2 shows estimates of stacked transition probabilities.

## *3.4. Stratified analyses*

Associations between all air pollutants and the incidence of PH may be modified by smoking status. At the same time, smoking status was found to modify the association of particulate matter ( $PM_{2.5}$  and  $PM_{10}$ ) with mortality due to PH [\(Fig. 3](#page-5-0)). Analyses stratified by smoking status showed that the association between air pollutants and Transition A was stronger in previous or current smokers, and the corresponding HRs (95% CIs) were 1.75 (1.61,1.90) for  $PM_{2.5}$ , 1.72 (1.59,1.86) for  $PM_{10}$ , 1.46 (1.37,1.56) for NO2, and 1.39 (1.31,1.48) for NOx. The associations between particulate matter and PH mortality risk appeared to be

#### <span id="page-4-0"></span>**Table 2**

Hazard ratios (95% Confidence Intervals) of four air pollution for the incidence of pulmonary hypertension and mortality among participants.



Model 1: adjusted for age, gender, ethnicity.

Model 2: adjusted for age, gender, ethnicity, BMI, household income, education, smoking status, associated conditions, and noise.

PM<sub>2.5</sub>, particulate matter with aerodynamic diameter of less than 2.5  $\mu$ m; PM<sub>10</sub>, particulate matter with aerodynamic diameter of less than 10 µm; NO<sub>2</sub>, nitrogen dioxide; NOx, nitrogen oxides; PH, pulmonary hypertension.

stronger among previous or current smokers than never smoker, and the corresponding HRs (95% CIs) were 1.45 (1.30, 1.63) for  $PM_{2.5}$ , 1.41  $(1.26, 1.58)$  for PM<sub>10</sub>. In addition, the associations between air pollution exposure and Transition C were similar for previous or current smokers and those who have never smoked (Table S3).

We also assessed potential effect modification by gender and education on the incidence and mortality of PH (Tables S4-S5; Fig. S3). The findings indicated that the associations between  $NO<sub>2</sub>$  and PH risk were more pronounced among males. Furthermore, in the low-education group,  $PM_{2.5}$ ,  $PM_{10}$ ,  $NO_2$ , and  $NO_x$  were more strongly associated with PH risk. However, we did not find a significant modification effect of age and income on the incidence and mortality of PH.

# *3.5. Sensitivity analyses*

Several prespecified sensitivity analyses were performed. The sensitivity analyses demonstrated that after adjusting for additional time intervals, the association between air pollution and the risk of PH and subsequent mortality remained significant (Table S6). Further excluded persons reporting associated conditions had no appreciable effect on the findings (Table S7). The results remained robust after excluding participants with incomplete covariate data (Table S8). We found that the results remained consistent with the main analysis, even considering the medication use at baseline (Table S9). In the 2-pollutant model, the associations between  $PM_{10}$  and  $PM_{2.5}$  and various transitions of PH remained significant and stable. Similarly, the associations between NO2 and NO  $<sub>x</sub>$  and mortality of PH remained significant, except for those from</sub> baseline to PH (Table S10). The baseline addresses of participants in the model were further adjusted in longitude and latitude, resulting in negligible impact on the observed correlations (Table S11).

## **4. Discussion**

The results of the present study demonstrated that exposure to ambient air pollutants played a significant role in the progression of PH, with a greater impact on the incidence of PH than mortality.  $PM<sub>2.5</sub>$  and PM<sub>10</sub> were stronger associated with the incidence of PH and subsequent death compared to other pollutants. Additionally, our study revealed discrepancies in the relationship between air pollution and the incidence and mortality of PH based on subgroups such as gender, smoking, and education, and identified subgroups that require further attention.

Almost all the air pollutants involved in our study were associated with the incidence of PH. Animal models indicate that exposure to air contaminants such as particulate matter and diesel exhaust alters the pulmonary vasculature [\(Park et al., 2015; Seimetz et al., 2011\)](#page-7-0). The possibility that air pollution may cause PH is supported by the ability of pollution to activate inflammatory, oxidative stress, and coagulation responses either directly or through translocation into the circulation ([Ruckerl et al., 2011](#page-7-0)).

In the current study,  $PM_{2.5}$ ,  $PM_{10}$ ,  $NO_2$ , and  $NO_x$  were associated with the risk of death after PH. However, there is currently little information on the association between air pollution and PH mortality, and the results have been inconsistent. Recently, a cohort study including 301 patients with idiopathic or heritable pulmonary arterial hypertension (PAH) showed that a higher estimated dose of PM2.5 was related to a greater probability of mortality or lung transplant [\(Sofianopoulou et al.,](#page-7-0)  [2019\)](#page-7-0), which is partially consistent with our findings. In contrast to the findings reported above, Katleen Swinnen et al*.* studied 211 patients with PAH and demonstrated that exposure to  $PM_{2.5}$ ,  $PM_{10}$ , and  $NO<sub>2</sub>$  was not associated with PAH mortality or poor outcomes ([Swinnen et al.,](#page-7-0)  [2022\)](#page-7-0), potentially due to the small number of participants. However, they discovered a strong relationship between  $NO<sub>2</sub>$  and the European Society of Cardiology (ESC)/European Respiratory Society (ERS) risk score.

The relationship between air pollution and incidence and the mortality of PH may be related to elevations in the transpulmonary gradient or worsened right ventricular function ([Goyanes and Tonelli, 2022](#page-6-0)). Particulate matter (PM) is a complicated mixture of suspended solid particles and liquid droplets and contains a broad range of toxic sub-stances ([Zhang et al., 2021; Sanidas et al., 2017\)](#page-7-0). Among them, PM<sub>2.5</sub> particles are particularly able to completely penetrate the lungs and permeate into the alveolar epithelium due to their small size [\(Pope et al.,](#page-7-0)  [2002\)](#page-7-0). Pathophysiological exposure to PM can cause damage to airway epithelial cells and lung inflammation and produce oxidative stress, all of which increase endothelial dysfunction, an imbalance in the autonomic nervous system, and vasoconstriction ([Mazzoli-Rocha et al.,](#page-6-0)  [2010; Weichenthal et al., 2013; Liu et al., 2022](#page-6-0)). Indeed, inflammation and oxidative stress are crucial to the development of PH ([Reis et al.,](#page-7-0)  [2013\)](#page-7-0), and vasoconstriction is a critical step in the development of PH ([Mandegar et al., 2004](#page-6-0)). In addition,  $NO<sub>2</sub>$  and  $NO<sub>x</sub>$  have been linked to changes in lung function and heart structure and function in numerous studies ([Strak et al., 2012; Kwon et al., 2020\)](#page-7-0). According to the Multi-Ethnic Study of Atherosclerosis (MESA) investigation, exposure to increased levels of  $NO<sub>2</sub>$  was associated with increased right ventricular mass and end-diastolic volume [\(Leary et al., 2014](#page-6-0)). The current connection between  $NO_2$ ,  $NO_x$  and PH outcomes is therefore not unexpected, given that the prognosis of PH is predominantly determined by the impact of increasing afterload on right cardiac function.

Our stratified analysis suggests that all air pollution and individual smoking may synergistically impact the incidence of PH, while PM and smoking may synergistically affect the mortality of PH. Adult lung function is negatively correlated with smoking [\(Dockery et al., 1988](#page-6-0)). The results of our study can be attributed to the heightened susceptibility of individuals who previously or currently smoke to the impact of pollution, as both smoking and exposure to pollution elicit notable inflammatory reactions in the respiratory system, thereby increasing mortality in PH ([Guarnieri and Balmes, 2014](#page-6-0)).

<span id="page-5-0"></span>

**Fig. 3.** Associations of air pollutions (PM2.5, PM10, NO2, and NOx) and three transitions in stratified analyses by smoking statues. Associations are expressed per IQR increase. HR, hazard ratio, PM<sub>2.5</sub>, particulate matter with aerodynamic diameter of less than 2.5  $\mu$ m; PM<sub>10</sub>, particulate matter with aerodynamic diameter of less than 10 µm; NO2, nitrogen dioxide; NOx, nitrogen oxides. \* , the interaction term was significant (P *<* 0.05).

In stratified analyses, we also found that the associations between NO2 and PH risk appeared to be stronger among males. This is in accordance with the literature ([Hu et al., 2023\)](#page-6-0). The gender disparities in this study may be explained by differences in airway anatomy, hormones, organ size, social roles, and motivation to seek treatment between males and females ([Clougherty, 2010; Manisalidis et al., 2020\)](#page-6-0). In general, deaths from air pollution-related health effects are higher among low-educated groups. The current study demonstrated that in the low-education group,  $PM_{2.5}$ ,  $PM_{10}$ ,  $NO<sub>2</sub>$ , and  $NO<sub>x</sub>$  were more strongly associated with PH risk. Negative health consequences are not only from exposure to health risks but also from individual sensitivity to risks [\(Rao](#page-7-0)  [et al., 2021\)](#page-7-0). There are evidence that low-education individuals are more susceptible to disease due to poor nutrition, poor adaptive capacity, and other immunological weaknesses [\(Kan et al., 2008](#page-6-0)).

In our study, the concentrations of air pollutants were below the European Union air quality limit values for  $PM_{2.5}$  [25  $\mu$ g/m3],  $PM_{10}$ [40 μg/m3], NO<sub>2</sub> [40 μg/m3] and NO<sub>x</sub> [30 μg/m3] (Brunekreef et al., [2015\)](#page-6-0). However, our research suggests that exposure to air pollution, even at concentrations below the current air quality thresholds in the

European Union, was associated with the incidence and mortality of PH. This suggests that the development of more stringent environmental health policies aimed at mitigating air pollution could potentially result in a decrease in the incidence and mortality of PH.

This study's strengths include its longitudinal methodology, large sample size (*>*0.46 million individuals), high-resolution exposure estimates, and the definition of a causal framework for the association between covariates and exposures and primary outcomes. Furthermore, we considered the potential variation in ambient air pollution levels before and after enrolment as well as the likelihood of changes in exposure levels resulting from residential relocation. This approach enabled a more rational and precise assessment of long-term air pollution exposure. More importantly, this is the first study to our knowledge to investigate the dynamic relationship between exposure to air pollution and the incidence and mortality of PH, and the models were statistically robust.

This study also has several limitations. First, our study did not include all air pollutants, such as ultrafine particles, that have the potential to impact lung function [\(Leikauf et al., 2020\)](#page-6-0). Second, even if we

<span id="page-6-0"></span>account for significant confounding variables, residual confounding may still exist (e.g., accessibility of healthcare visits and treatment of PH). Third, PH is a progressive disease, and accurately assessing its severity at diagnosis may be challenging due to the limited data. This indeterminate spatial misclassification may potentially introduce bias into the relationship between air pollution and PH. Nonetheless, our sensitivity analysis demonstrated that the findings remained robust even after adjusting for the spatial coordinates of the participants (i.e., latitude and longitude). In addition, due to the built-in selection bias (healthy volunteer effect) in the UK Biobank, we may have underestimated the incidence of PH and may limit generalizability. Nevertheless, since the healthy volunteer effect does not affect the validity of exposure–outcome relationships (Batty et al., 2020), our findings are still reliable. Finally, our study is based on the Markovian assumption that the memoryless property of a stochastic process. However, other potential time-varying effects, such as age, can be worth investigating in future studies.

#### **5. Conclusion**

In conclusion, our results have revealed, for the first time, the differential impact of air pollution on the progression from baseline to PH and mortality. These findings significantly enhance our understanding of the potential effects of air pollution on the pulmonary vasculature, providing valuable new data for evaluating the long-term effect of air pollution exposure on PH. Furthermore, formulating policies that aim to improve air quality and reduce exposure to air pollution is crucial, especially for individuals who are highly susceptible.

# **Funding**

This work was supported by the National Natural Science Foundation of China (grant numbers 82041021, Hualiang Lin) and the Bill & Melinda Gates Foundation, Seattle, WA (grant number INV-016826).

## **CRediT authorship contribution statement**

**Hui Shi:** Conceptualization, Methodology, Formal analysis, Writing − original draft. **Lan Chen:** Validation, Writing − review & editing. **Shiyu Zhang:** Software, Data curation. **Rui Li:** Methodology. **Yinglin Wu:** Methodology**,** Software. **Hongtao Zou:** Methodology, Resources**. Chongjian Wang:** Writing − review & editing. **Miao Cai:** Software, Data curation, Validation**. Hualiang Lin:** Conceptualization, Writing − review & editing, Supervision, Project administration.

#### **Declaration of Competing Interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

## **Data availability**

The authors do not have permission to share data.

## **Acknowledgments**

We are grateful to the UK Biobank participants. This research has been conducted using the UK Biobank Resource under Application Number 69550.

# **Appendix A. Supporting information**

Supplementary data associated with this article can be found in the online version at [doi:10.1016/j.ecoenv.2023.115126](https://doi.org/10.1016/j.ecoenv.2023.115126).

### **References**

- Batty, G.D., Gale, C.R., Kivimaki, M., et al., 2020. Comparison of risk factor associations in UK Biobank against representative, general population based studies with conventional response rates: prospective cohort study and individual participant meta-analysis. m131 BMJ 368.<https://doi.org/10.1136/bmj.m131>.
- Brunekreef, B., Kunzli, N., Pekkanen, J., et al., 2015. Clean air in Europe: beyond the horizon? Eur. Respir. J. 45, 7–10. [https://doi.org/10.1183/09031936.00186114.](https://doi.org/10.1183/09031936.00186114)
- Burger, C.D., DuBrock, H.M., Cartin-Ceba, R., et al., 2021. Topic-based, recent literature review on pulmonary hypertension. Mayo Clin. Proc. 96, 3109–3121. [https://doi.](https://doi.org/10.1016/j.mayocp.2021.05.026)  [org/10.1016/j.mayocp.2021.05.026.](https://doi.org/10.1016/j.mayocp.2021.05.026)
- Cai, M., Zhang, S., Lin, X., et al., 2022. Association of ambient particulate matter pollution of different sizes with in-hospital case fatality among stroke patients in China. Neurology. <https://doi.org/10.1212/WNL.0000000000200546>.
- Cannon, J., Roberts, K., Milne, C., et al., 2017. Rheumatic heart disease severity, progression and outcomes: a multi-state model. J. Am. Heart Assoc. 6. [https://doi.](https://doi.org/10.1161/JAHA.116.003498) [org/10.1161/JAHA.116.003498.](https://doi.org/10.1161/JAHA.116.003498)
- Clapham, K., A. Pampana, S.H. Choi, et al., 2021, Whole Exome Sequence Analysis of Pulmonary Hypertension in the UK Biobank. 144, A14272-A14272. Clougherty, J.E., 2010. A growing role for gender analysis in air pollution epidemiology.
- Environ. Health Perspect. 118, 167–176. [https://doi.org/10.1289/ehp.0900994.](https://doi.org/10.1289/ehp.0900994)
- Davies, G., Marioni, R.E., Liewald, D.C., et al., 2016. Genome-wide association study of cognitive functions and educational attainment in UK Biobank (N=112 151). Mol. Psychiatry 21, 758–767. [https://doi.org/10.1038/mp.2016.45.](https://doi.org/10.1038/mp.2016.45)
- Dockery, D.W., Speizer, F.E., Ferris Jr., B.G., et al., 1988. Cumulative and reversible effects of lifetime smoking on simple tests of lung function in adults. Am. Rev. Respir. Dis. 137, 286–292. [https://doi.org/10.1164/ajrccm/137.2.286.](https://doi.org/10.1164/ajrccm/137.2.286)
- Franklin, M., Fruin, S., 2017. The role of traffic noise on the association between air pollution and children's lung function. Environ. Res 157, 153–159. [https://doi.org/](https://doi.org/10.1016/j.envres.2017.05.024)  [10.1016/j.envres.2017.05.024.](https://doi.org/10.1016/j.envres.2017.05.024)
- [Goyanes, A., Tonelli, A.R., 2022. Pulmonary Hypertension and Air Pollution. Lung](http://refhub.elsevier.com/S0147-6513(23)00630-9/sbref10) [Health and the Exposome: How Environmental Factors Influence Lung Health.](http://refhub.elsevier.com/S0147-6513(23)00630-9/sbref10) [Springer,, pp. 179](http://refhub.elsevier.com/S0147-6513(23)00630-9/sbref10)–186.
- Graber, M., Mohr, S., Baptiste, L., et al., 2019. Air pollution and stroke. A new modifiable risk factor is in the air. Rev. Neurol. (Paris) 175, 619–624. [https://doi.org/10.1016/](https://doi.org/10.1016/j.neurol.2019.03.003)  [j.neurol.2019.03.003](https://doi.org/10.1016/j.neurol.2019.03.003).
- Guarnieri, M., Balmes, J.R., 2014. Outdoor air pollution and asthma. Lancet 383, 1581–1592. [https://doi.org/10.1016/S0140-6736\(14\)60617-6.](https://doi.org/10.1016/S0140-6736(14)60617-6)
- Hoeper, M.M., Humbert, M., Souza, R., et al., 2016. A global view of pulmonary hypertension. Lancet Respir. Med 4, 306–322. [https://doi.org/10.1016/S2213-2600](https://doi.org/10.1016/S2213-2600(15)00543-3)  [\(15\)00543-3](https://doi.org/10.1016/S2213-2600(15)00543-3).
- Hu, W., Fang, L., Zhang, H., et al., 2023. Changing trends in the air pollution-related disease burden from 1990 to 2019 and its predicted level in 25 years. Environ. Sci. Pollut. Res Int 30, 1761–1773. <https://doi.org/10.1007/s11356-022-22318-z>.
- Huang, K., Liang, F., Yang, X., et al., 2019. Long term exposure to ambient fine particulate matter and incidence of stroke: prospective cohort study from the China-PAR project. BMJ 367, l6720. <https://doi.org/10.1136/bmj.l6720>.
- Kan, H., London, S.J., Chen, G., et al., 2008. Season, sex, age, and education as modifiers of the effects of outdoor air pollution on daily mortality in Shanghai, China: The Public Health and Air Pollution in Asia (PAPA) Study. Environ. Health Perspect. 116, 1183–1188.<https://doi.org/10.1289/ehp.10851>.
- Kephalopoulos, S., Paviotti, M., Anfosso-Ledee, F., et al., 2014. Advances in the development of common noise assessment methods in Europe: The CNOSSOS-EU framework for strategic environmental noise mapping. Sci. Total Environ. 482–483, 400–410. [https://doi.org/10.1016/j.scitotenv.2014.02.031.](https://doi.org/10.1016/j.scitotenv.2014.02.031)
- Kwon, S.O., Hong, S.H., Han, Y.J., et al., 2020. Long-term exposure to PM(10) and NO(2) in relation to lung function and imaging phenotypes in a COPD cohort. Respir. Res 21, 247.<https://doi.org/10.1186/s12931-020-01514-w>.
- Lai, Y.C., Potoka, K.C., Champion, H.C., et al., 2014. Pulmonary arterial hypertension: the clinical syndrome. Circ. Res 115, 115-130. [https://doi.org/10.1161/](https://doi.org/10.1161/CIRCRESAHA.115.301146) [CIRCRESAHA.115.301146](https://doi.org/10.1161/CIRCRESAHA.115.301146).
- Leary, P.J., Kaufman, J.D., Barr, R.G., et al., 2014. Traffic-related air pollution and the right ventricle. The multi-ethnic study of atherosclerosis. Am. J. Respir. Crit. Care Med 189, 1093-1100. https://doi.org/10.1164/rccm.201312-22980
- Leikauf, G.D., Kim, S.H., Jang, A.S., 2020. Mechanisms of ultrafine particle-induced respiratory health effects. Exp. Mol. Med 52, 329–337. [https://doi.org/10.1038/](https://doi.org/10.1038/s12276-020-0394-0)  [s12276-020-0394-0.](https://doi.org/10.1038/s12276-020-0394-0)
- Liu, J., Ye, X., Ji, D., et al., 2018. Diesel exhaust inhalation exposure induces pulmonary arterial hypertension in mice. Environ. Pollut. 237, 747–755. [https://doi.org/](https://doi.org/10.1016/j.envpol.2017.10.121)  [10.1016/j.envpol.2017.10.121](https://doi.org/10.1016/j.envpol.2017.10.121).
- Liu, K., Hua, S., Song, L., 2022. PM2.5 Exposure and Asthma Development: The Key Role of Oxidative Stress. Oxid. Med Cell Longev. 2022, 3618806 [https://doi.org/](https://doi.org/10.1155/2022/3618806)  [10.1155/2022/3618806.](https://doi.org/10.1155/2022/3618806)
- Mandegar, M., Fung, Y.C., Huang, W., et al., 2004. Cellular and molecular mechanisms of pulmonary vascular remodeling: role in the development of pulmonary hypertension. Micro Res 68, 75–103. <https://doi.org/10.1016/j.mvr.2004.06.001>.
- Manisalidis, I., Stavropoulou, E., Stavropoulos, A., et al., 2020. Environmental and Health Impacts of Air Pollution: A Review. Front Public Health 8, 14. [https://doi.](https://doi.org/10.3389/fpubh.2020.00014) [org/10.3389/fpubh.2020.00014.](https://doi.org/10.3389/fpubh.2020.00014)
- Mazzoli-Rocha, F., Fernandes, S., Einicker-Lamas, M., et al., 2010. Roles of oxidative stress in signaling and inflammation induced by particulate matter. Cell Biol. Toxicol. 26, 481–498. <https://doi.org/10.1007/s10565-010-9158-2>.
- Meyer, M.L., Lin, F.C., Jaensch, A., et al., 2019. Multi-state models of transitions in depression and anxiety symptom severity and cardiovascular events in patients with coronary heart disease. e0213334 PLoS One 14. [https://doi.org/10.1371/journal.](https://doi.org/10.1371/journal.pone.0213334) [pone.0213334](https://doi.org/10.1371/journal.pone.0213334).
- <span id="page-7-0"></span>Park, S.H., Chen, W.C., Durmus, N., et al., 2015. The Effects of Antigen-Specific IgG1 Antibody for the Pulmonary-Hypertension-Phenotype and B Cells for Inflammation in Mice Exposed to Antigen and Fine Particles from Air Pollution. e0129910 PLoS One 10. [https://doi.org/10.1371/journal.pone.0129910.](https://doi.org/10.1371/journal.pone.0129910)
- Pope 3rd, C.A., Burnett, R.T., Thun, M.J., et al., 2002. Lung cancer, cardiopulmonary mortality, and long-term exposure to fine particulate air pollution. JAMA 287, 1132-1141. https://doi.org/10.1001/jama.287.9.1132. 1132-1141. https://doi.org/10.1001/jam
- Rao, N.D., Kiesewetter, G., Min, J., et al., 2021. Household contributions to and impacts from air pollution in India. Nat. Sustain. 4, 859–867. [https://doi.org/10.1038/](https://doi.org/10.1038/s41893-021-00744-0)  [s41893-021-00744-0](https://doi.org/10.1038/s41893-021-00744-0).
- Reis, G.S., Augusto, V.S., Silveira, A.P., et al., 2013. Oxidative-stress biomarkers in patients with pulmonary hypertension. Pulm. Circ. 3, 856–861. [https://doi.org/](https://doi.org/10.1086/674764)  [10.1086/674764.](https://doi.org/10.1086/674764)
- Ross, B.A., Doiron, D., Benedetti, A., et al., 2023. Short-term air pollution exposure and exacerbation events in mild to moderate COPD: a case-crossover study within the CanCOLD cohort. Thorax. https://doi.org/10.1136/thorax-2022-21961
- Ruckerl, R., Schneider, A., Breitner, S., et al., 2011. Health effects of particulate air pollution: A review of epidemiological evidence. Inhal. Toxicol. 23, 555–592. .<br>https://doi.org/10.3109/08958378.2011.59358
- Sanidas, E., Papadopoulos, D.P., Grassos, H., et al., 2017. Air pollution and arterial hypertension. A new risk factor is in the air. J. Am. Soc. Hypertens. 11, 709–715. [https://doi.org/10.1016/j.jash.2017.09.008.](https://doi.org/10.1016/j.jash.2017.09.008)
- Schiess, R., Senn, O., Fischler, M., et al., 2010. Tobacco smoke: a risk factor for pulmonary arterial hypertension? A case-control study. Chest 138, 1086–1092. <https://doi.org/10.1378/chest.09-2962>.
- Seimetz, M., Parajuli, N., Pichl, A., et al., 2011. Inducible NOS inhibition reverses tobacco-smoke-induced emphysema and pulmonary hypertension in mice. Cell 147, 293–305. <https://doi.org/10.1016/j.cell.2011.08.035>.
- Simonneau, G., Montani, D., Celermajer, D.S., et al., 2019. Haemodynamic definitions and updated clinical classification of pulmonary hypertension. Eur. Respir. J. 53. <https://doi.org/10.1183/13993003.01913-2018>.
- Sofianopoulou, E., Kaptoge, S., Graf, S., et al., 2019. Traffic exposures, air pollution and outcomes in pulmonary arterial hypertension: a UK cohort study analysis. Eur. Respir. J. 53. [https://doi.org/10.1183/13993003.01429-2018.](https://doi.org/10.1183/13993003.01429-2018)
- Strak, M., Janssen, N.A., Godri, K.J., et al., 2012. Respiratory health effects of airborne particulate matter: the role of particle size, composition, and oxidative potential-the RAPTES project. Environ. Health Perspect. 120, 1183–1189. [https://doi.org/](https://doi.org/10.1289/ehp.1104389)  [10.1289/ehp.1104389](https://doi.org/10.1289/ehp.1104389).
- Sudlow, C., Gallacher, J., Allen, N., et al., 2015. UK biobank: an open access resource for identifying the causes of a wide range of complex diseases of middle and old age. e1001779 PLoS Med 12. [https://doi.org/10.1371/journal.pmed.1001779.](https://doi.org/10.1371/journal.pmed.1001779)
- Swinnen, K., Bijnens, E., Casas, L., et al., 2022. Health effects of exposure to residential air pollution in patients with pulmonary arterial hypertension: a cohort study in Belgium. Eur. Respir. J. 60. [https://doi.org/10.1183/13993003.02335-2021.](https://doi.org/10.1183/13993003.02335-2021)
- Textor, J., van der Zander, B., Gilthorpe, M.S., et al., 2016. Robust causal inference using directed acyclic graphs: the R package 'dagitty'. Int J. Epidemiol. 45, 1887–1894.<br>https://doi.org/10.1093/jie/dyw341.  $.org/10.1093/ije/dy$
- UK Air, 2022. Modelled background pollution data. London: Department for Environment, Food and Rural Affairs. URL: [https://uk-air.defra.gov.uk/data](https://uk-air.defra.gov.uk/data/pcm-data)
- [/pcm-data.](https://uk-air.defra.gov.uk/data/pcm-data) Weichenthal, S.A., Godri-Pollitt, K., Villeneuve, P.J., 2013. PM2.5, oxidant defence and cardiorespiratory health: a review. Environ. Health 12 (40). https://doi.org/ [10.1186/1476-069X-12-40.](https://doi.org/10.1186/1476-069X-12-40)
- WHO, 2022. Burden of disease from environmental noise Quantification of healthy life years lost in Europe. WHO. URL: [http://www.who.int/quantifying\\_ehimpacts/publi](http://www.who.int/quantifying_ehimpacts/publications/e94888/en/)  [cations/e94888/en/.](http://www.who.int/quantifying_ehimpacts/publications/e94888/en/)
- Wu, Y., Zhang, S., Qian, S.E., et al., 2022. Ambient air pollution associated with incidence and dynamic progression of type 2 diabetes: a trajectory analysis of a population-based cohort. BMC Med 20, 375. [https://doi.org/10.1186/s12916-022-](https://doi.org/10.1186/s12916-022-02573-0) [02573-0](https://doi.org/10.1186/s12916-022-02573-0).
- Xie, Y., Li, Z., Zhong, H., et al., 2021. Short-term ambient particulate air pollution and hospitalization expenditures of cause-specific cardiorespiratory diseases in China: a multicity analysis. Lancet Reg. Health West Pac. 15, 100232 [https://doi.org/](https://doi.org/10.1016/j.lanwpc.2021.100232) [10.1016/j.lanwpc.2021.100232](https://doi.org/10.1016/j.lanwpc.2021.100232).
- Yang, J., Liu, F., Wang, B., et al., 2021. Blood pressure states transition inference based on multi-state markov model. IEEE J. Biomed. Health Inf. 25, 237–246. [https://doi.](https://doi.org/10.1109/JBHI.2020.3006217)  [org/10.1109/JBHI.2020.3006217](https://doi.org/10.1109/JBHI.2020.3006217).
- Zhang, L., Ou, C., Magana-Arachchi, D., et al., 2021. Indoor particulate matter in urban households: sources, pathways, characteristics, health effects, and exposure mitigation. Int J. Environ. Res Public Health 18. [https://doi.org/10.3390/](https://doi.org/10.3390/ijerph182111055) ijerph18211105!
- Zhang, Z., 2016. Multiple imputation with multivariate imputation by chained equation (MICE) package. Ann. Transl. Med 4, 30. [https://doi.org/10.3978/j.issn.2305-](https://doi.org/10.3978/j.issn.2305-5839.2015.12.63)  [5839.2015.12.63.](https://doi.org/10.3978/j.issn.2305-5839.2015.12.63)
- Zou, H., Zhang, S., Cai, M., et al., 2023. Ambient air pollution associated with incidence and progression trajectory of cardiometabolic diseases: A multi-state analysis of a prospective cohort. Sci. Total Environ. 862, 160803 [https://doi.org/10.1016/j.](https://doi.org/10.1016/j.scitotenv.2022.160803)  [scitotenv.2022.160803](https://doi.org/10.1016/j.scitotenv.2022.160803).