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# Preparation of water-soluble chitosan oligosaccharides by oxidative hydrolysis of chitosan powder with hydrogen peroxide

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### ABSTRACT

Chitosan (CS) is only soluble in weak acid medium, thereby limiting its wide utilisation in the field of biomedicine, food, and agriculture. In this report, we present a method for preparing water-soluble CS oligosaccharides (COSs) at high concentration (~10%, w/v) via the oxidative hydrolysis of CS powder with molecular weight (Mw) ~90,000 g/mol) in 2% H<sub>2</sub>O<sub>2</sub> solution at ambient temperature by a two-step process, namely, the heterogeneous hydrolysis step and homogeneous hydrolysis step. The resultant COSs were characterised by gel permeation chromatography (GPC), fourier transforms infrared spectroscopy (FT-IR), ultraviolet–visible spectroscopy (UV–Vis), proton nuclear magnetic resonance spectroscopy (<sup>1</sup>H NMR) and X-ray diffraction (XRD) spectroscopy. The resulting products were composed of COSs (Mw of 2000–6600 g/mol) that were completely soluble in water. The results also indicated that the structure of COSs with low Mw (~2000 g/mol) and high concentration (10%, w/v) could be effectively prepared by the oxidative hydrolysis of CS powder using hydrogen peroxide under ambient conditions.

# 1. Introduction

In recent decades, many studies have shown that chitosan oligosaccharide (COS) is a biomaterial with potential application in biomedical pharmaceutical and agricultural applications. COS with low Mw can completely dissolve in water, creating remarkably high biological properties such as antibacterial, antioxidant and inflammatory functions; moreover, it can lower blood pressure, deliver drugs, prevent obesity, avoid HIV and decrease cholesterol in the blood [1]. In agriculture, low-Mw CS acts as a potent bio-stimulant, which induces anaphylactic reactions in plants and activates the production of resistance against pathogen invasion [2].

CS has high Mw and a high degree of polymerization (DP) so it has low solubility at neutral or alkaline pH; the high viscosity of CS solutions is also their limiting factor when applied to cosmetic, food, medical and agricultural industries [3]. In terms of solubility, CS with DP < 10 is readily soluble in water; however, the water solubility of CS depends on the degree of redox reduction and the pH of the solution [4]. Currently, the Mw of CS can be adjusted to that of COS to improve solubility via many methods. In the physical method, CS is hydrolyzed with the help of microwaves and added with chemical agents such as salts or acids [5] by gamma Co-60 irradiation [6] or a combination of gamma Co-60 irradiation with  $H_2O_2$  treatment [7], ultrasound [8], microwave [9] and plasma solution [10,11].

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Photocatalysis method is oxidation of CS solution by fluorescent light source with  $TiO_2$  catalyst [12]. In the electrochemical method, COS can be prepared by placing  $Ti/TiO_2$ -RuO<sub>2</sub> [13] or Ti/Sb-SnO<sub>2</sub> electrodes [14] in CS solution. The disadvantage of the electrochemical method is the short life of the electrode. In the biological method, chitinase, chitosanase, glucanase, protease, and lysozyme enzymes are used to cleave the  $\beta$ - $\frac{1}{4}$  glycoside bonds of CS; this method is safe but costly [15].

Chemical methods to adjust the Mw of CS include the use of phosphonic acid [16], hydrochloric acid [17], and nitrous acid [18]; the use of oxidants such as hydrogen peroxide, ozone, sodium perborate and potassium persulfate [19]; or the use of  $H_2O_2$  with a phosphotungstic acid catalyst [20]. The method of using hydrogen peroxide to degrade CS has been reported as inexpensive and safe for the environment [21]. In this method, if using a high concentration of  $H_2O_2$  or high temperature, the hydrolysis reaction occurs quickly but affects the structure of COS such as opening the glucosamine ring or creating a carboxyl functional group (–COOH) [22]. The suitable concentration of  $H_2O_2$  to carry out the oxidative hydrolysis of CS has been reported to be 2% (v/v) [20,23]. Most of the previously published studies on oxidative hydrolysis of CS using  $H_2O_2$  were carried out in an acidic CS solution. In this report, we use a new approach involving the oxidation of CS powder in 2%  $H_2O_2$  solution to generate water-soluble COSs at pH 7–10 with high concentration (10%, w/v).

# 2. Materials and methods

## 2.1. Materials

Chemicals used in the experiment were of analytical grade, including  $H_2O_2$  30%, NaOH, NH<sub>4</sub>OH and CH<sub>3</sub>COOH of Xilong, China; potassium permanganate of Duc Giang, Vietnam; CS powder with particle size  $\leq 0.1$  mm and Mw  $\sim 90,000$  g/mol (Suntze Chemical, Vietnam); and pullulan with Mw of 1300; 6000; 12000; 22000; 50000 and 110000 g/mol (Sigma Aldrich, Germany). Deionized water with pH 7 was used throughout the experiment.

## 2.2. Methods

## 2.2.1. Preparation of water-soluble COSs

About 10 g of CS powder was soaked in 100 mL of 2%  $H_2O_2$  (v/v) solution in a 250 mL beaker and the 12 identical samples were prepared and placed at room temperature (25 °C – 28 °C). After every 6 h, the mixture of CS/H<sub>2</sub>O<sub>2</sub> solution was stirred for about 5 min. In the first step of the degradation process namely, namely, the heterogeneous hydrolysis step, after 48 h (2 days) of the reaction time, the mixture of CS/H<sub>2</sub>O<sub>2</sub> was filtered to collect a solution containing water-soluble CS and unreacted H<sub>2</sub>O<sub>2</sub>. The concentration of unreacted H<sub>2</sub>O<sub>2</sub> was determined by titration with KMnO<sub>4</sub> [24]. Undissolved CS powder was also collected to determine the dissolved CS yield. On the basic of the results of the determined H<sub>2</sub>O<sub>2</sub>, the equally consumed amount of H<sub>2</sub>O<sub>2</sub> was added to the remaining CS/H<sub>2</sub>O<sub>2</sub> samples to reach 2% (v/v). In the second step of the degradation process, namely, the homogeneous hydrolysis step, when all CS powder was dissolved into solution, then H<sub>2</sub>O<sub>2</sub> was not further added to compensate for the reacted amount of H<sub>2</sub>O<sub>2</sub>. After every 2 days, a certain amount of the soluble CS/H<sub>2</sub>O<sub>2</sub> solution was removed and vacuum dried to constant weight to obtain a powder sample. Notably, the beaker containing sample after being used to determine the amount of unreacted H<sub>2</sub>O<sub>2</sub> and the characteristic properties of COS was not used further. The experiment was carried out with three replicates and the values were presented as mean  $\pm$  standard error (SE).

The amount of water-soluble COS in the studied samples was determined according to the method of Herdiana et al. [25]: the mixture of  $CS/H_2O_2$  solution was filtered on a quantitative filter paper with a pore size of 2 µm to collect the insoluble part of CS and dried to constant weight (m). The amount of COS dissolved in water (S) was calculated according to the following Formula (1):

$$S(\%) = \frac{10 - m}{10} \times 100$$
(1)

The filtrate containing dissolved COS was placed in a 250 mL beaker and vacuum dried to constant weight, the obtained COS powder was used to determine the specific properties and solubility at pH 7–10. The solubility of COS at different pH values was conducted following the method of Vasilieva et al. [26]: 1g of COS was dissolved in 10 mL of deionized water and the pH of the solution was adjusted by  $NH_4OH$ . The amount of COS dissolved was determined by filtration.

# 2.2.2. Structure and DD determination of CS and COS by <sup>1</sup>H NMR spectroscopy

The <sup>1</sup>H NMR spectra of original CS and COS were measured on a 500 Mhz Advance III HD nuclear magnetic resonance spectrometer (Bruker Biospin, Switzerland) by using a 5 mm BBO probe. The solvent used was  $D_2O + CD_3COOH$ . DD of CS and COS was calculated from the <sup>1</sup>H NMR spectrum according to Formula (2) [27]:

DD (%) = 
$$\left(\frac{\frac{1}{3} \times I_{CH3}}{\frac{1}{6} \times I_{H2-H6}}\right) \times 100$$
 (2)

## 2.2.3. Determination of Mw, retention time (RT) of COS by GPC

The COS powder sample was dissolved in a mixture of 0.25 M CH<sub>3</sub>COOH + 0.25 M CH<sub>3</sub>COONa. The Mw and retention time (RT) were determined by GPC LC-20AD (Shimadzu, Japan). This device uses an RID 20A detector, Shodex SB803 column HQ, using pullulan standard with Mw from 780 g/mol to  $10^5$  g/mol.

## 2.2.4. Determination of ptical properties of COS by UV-Vis

CS and COS samples were disolved at 0,1% (w/v) in 0.2% CH<sub>3</sub>COOH (v/v) and the UV–Vis spectra were measured on UV–Vis JASCO V630 equipment (Japan) [28].

## 2.2.5. Determination of crystal structures of materials by XRD

The XRD patterns were measured on XRD D8-Advance (Bruker, Germany), using Cu K<sub> $\alpha$ </sub> ( $\lambda = 1.5405$  Å) radiation with a constant voltage of 40 kV and diffraction angle 2 $\theta$  scans from 10° to 80° [29].

## 2.2.6. Determination of functional groups of materials by FT-IR

The FT-IR spectra of COS samples were determined on a FT-IR 8400S (Shimadzu, Japan) with a wavenumber range of  $4000-400 \text{ cm}^{-1}$  [30].

# 3. Results

## 3.1. Yield of water-soluble COS

The results in Table 1 indicated that the yield of water-soluble CS increased with the increase in the hydrolysis time of CS powder by  $H_2O_2$ . Simultaneously, the Mw of the resultant soluble COS decreased gradually with increasing reaction time.

In step 1, when the Mw of CS was still high and not completely dissolved in water, the amount of  $H_2O_2$  was reduced after 48 h cycle by 40–50%, calculated as 2%  $H_2O_2$  at the beginning of each additional cycle (sample COS2–COS6). Therefore, the first step of replenishing the amount of  $H_2O_2$  lost is necessary to shorten the hydrolysis time of CS. The second step of CS hydrolysis without the compensation of  $H_2O_2$ , at the end of the hydrolysis process, the amount of  $H_2O_2$  is completely reacted or consumed to obtain COS solution without remaining  $H_2O_2$ .

Interestingly, the results of PI of COS in Table 1 increased from 1.95 (CS) to 2.74 (COS2d) and then gradually decreased to 1.84 (COS6d) in step 1. As the reaction time increased, the PI of COS decreased spontaneously from 1.76 (COS8d) to 1.22 (from COS18d to COS24d) in step 2. The results in Table 1 also showed that the total amount of reacted  $H_2O_2$  to obtain COS with Mw ~2000 g/mol was 4.82% after the reaction time of 24 days. In general, a low the PI results in a narrower Mw distribution. In the heterogeneous hydrolysis of CS powder in step 1, the oxidizing agent  $H_2O_2$  preferentially attacks CS molecules with high Mw only on the interface of solid and liquid phases. The highest PI value of COS was 2.74 after 2 days of reaction (COS2d). After this reaction time, the PI of COS was in a competitive distribution from the degradation of soluble COS in solution and the degradation of CS in the solid phase. Therefore, the PI of COS should be decreased to a moderate level after this period. After three  $H_2O_2$  compensations, COS8d was almost soluble in the reaction medium, so the hydrolysis reaction of COS occurred in a homogeneous medium. So, the PI value decreased gradually with the increase in the reaction time. Furthermore, the obtained results of Mw, PI and yield of dissolve CS in Table 1 showed that the repeatability of the experiment was fairly good. The mode of the hydrolysis reaction of COS in step 2 was almost similar to that in the study of Hai et al. [21], who carried out the homogeneous hydrolysis of CS in acid solution by  $H_2O_2$  at ambient temperature. The heterogeneous hydrolysis of CS using 2%  $H_2O_2$  in step 1 with three  $H_2O_2$  compensations created a COS solution with a high concentration (10%, w/v) that was difficult to prepare by dissolving CS in acid solution. This COS concentration was significantly higher

#### Table 1

Yield of water-soluble COS, Mw, retention time (RT), polydispersity index (PI) and H<sub>2</sub>O<sub>2</sub> reacted after every 48 h according to the reaction time.

Steps	Samples	$Mw \times 10^{-3}  \text{(g/mol)}$	PI	H <sub>2</sub> O <sub>2</sub> reacted (%)	$\rm H_2O_2$ in solution (%)	Yield of soluble CS (%)
Step1: heterogeneous hydrolysis <sup>a</sup>	CS	$90.06\pm0.17$	$1.95\pm0.02$	0.00	2,00	~0.00
	COS2d	$9.98 \pm 0.08$	$\textbf{2.74} \pm \textbf{0.02}$	1.01	2,00	$18.96\pm0.25$
	COS4d	$9.24\pm0.07$	$\textbf{2.43} \pm \textbf{0.02}$	1.95	2.00	$46.33\pm0.43$
	COS6d	$\textbf{8.89} \pm \textbf{0.06}$	$1.84 \pm 0.02$	2.82	2.00	$\textbf{76.15} \pm \textbf{0.81}$
Step 2: homogeneous hydrolysis <sup>b</sup>	COS8d	$6.57\pm0.05$	$1.76\pm0.01$	3.60	1.22	~100
	COS10d	$3.97\pm0.05$	$1.54 \pm 0.02$	4.20	0.62	~100
	COS12d	$2.68\pm0.07$	$1.37 \pm 0.02$	4.60	0.22	~100
	COS14d	$2.22\pm0.05$	$1.23\pm0.02$	4.64	0.18	~100
	COS16d	$2.09\pm0.05$	$1.23\pm0.02$	4.71	0.11	~100
	COS18d	$2.07\pm0.04$	$1.22\pm0.02$	4.76	0.06	~100
	COS20d	$2.07\pm0.03$	$1.22\pm0.02$	4.79	0.03	~100
	COS22d	$2.06\pm0.01$	$\textbf{1.22} \pm \textbf{0.01}$	4.80	0.02	~100
	COS24d	$\textbf{2.04} \pm \textbf{0.02}$	$1.22\pm0.01$	4.82	~0.00	$\sim 100$

Notes: PI = Mw/Mn; The number after the COS symbol was the reaction time in days.

<sup>a</sup> With compensation of the reacted H<sub>2</sub>O<sub>2</sub> after every 48 h.

<sup>b</sup> Without compensation of the reacted H<sub>2</sub>O<sub>2</sub>.

(4)

than that in the research results of other authors listed in Table 2.

In addition, COS prepared by a two-step degradation process, particularly heterogeneous and homogeneous hydrolysis steps, had a low Mw (2000 g/mol) without using organic acid for solubilizing CS. Thus, the method used in this study could be favorably applied to large-scale applications to produce water-soluble COS with low Mw and high concentration because of the relatively simple production process. Furthermore, this method was carried out at ambient temperature and the solvent of the COS product was only water, not acid solution. Although this method had a long reaction time, it did not require energy for heating and treating reaction media. Therefore, this method could be considered an energy saving method [21].

Notably, after a hydrolysis time of 18 days, the amount of  $H_2O_2$  remaining in the solution was less than 0.06%. The time needed to completely decompose  $H_2O_2$  in the COS18d sample to obtain COS24d at room temperature was about 6 days which could be recognized by adding 1 drop of KMnO<sub>4</sub> solution into the COS24d sample; the purple-violet color of KMnO<sub>4</sub> in COS24d solution did not disappeared [23].

According to Hai et al. [21] and Chang et al. [38], the oxidative reaction of  $H_2O_2$  for the degradation of CS belongs to a pseudo-first-order kinetic model. The relationship between the reciprocal of Mw and the reaction time in step 2 is presented in Fig. 1. The linear relationship of the oxidative hydrolysis of COS solution in the initial period was from 8 days to 14 days. The rate constant k (1/time) and k' (mol/g/time) can be calculated according to Formula (3) as follows [27]:

$$\frac{1}{M_{W_t}} = \frac{1}{M_{W_0}} + \frac{kt}{M_{M_w}} = \frac{1}{M_{W_0}} + k't$$
(3)

Where the MMw is the average Mw of CS with DD of 93.47% calculated based on Formula (4) [39]:

$$MMw = DD \times Mw_{glucosamine} + (1 - DD) \times Mw_{N - acetylglucosamine}$$

MMw calculated by Formula (4) was 181.92 g/mol k' and k could be inferred to be  $2.25 \times 10^{-6}$  mol/g/h and  $4.09 \times 10^{-4}$  h<sup>-1</sup>, respectively. The rate constant (k' and k) values obtained in this study for the oxidative hydrolysis of CS using 2% H<sub>2</sub>O<sub>2</sub> were four times higher than that using a low H<sub>2</sub>O<sub>2</sub> concentration of 1% in the study of Hai et al. [21]. The degraded reaction occurred at a high rate, so the reaction time could be shortened, and the obtained COS still retained the original CS structure (see sections 3.3–3.5).

The breakdown of CS to COS with a smaller Mw was due to the formation of free radicals <sup>•</sup>OH according to Equations (5) and (6), which then act through scission reaction on polysaccharide (CS) to form oligosaccharide (COS) [40].

$$H_2O_2 \rightarrow H + + HOO^-$$
 (5)

$$H_2O_2 + HOO^- \rightarrow O H + O^{2-\bullet} + H_2O$$
(6)

The <sup>•</sup>OH group is a strong oxidizing agent. It reacted with CS by capturing a hydrogen atom from the C–H bond on the glucose ring, followed by transposing to the 1,4- $\beta$ -D-glucoside bond in the CS chain [38,40,41], according to Equations (7) and (8):

$$(\operatorname{GlcN})_{x} - \operatorname{GlcN} - \operatorname{O} - \operatorname{GlcN} - (\operatorname{GclN})_{v} + {}^{\bullet}\operatorname{O} \operatorname{H} \rightarrow (\operatorname{GlcN})_{x} - {}^{\bullet}\operatorname{GlcN} - \operatorname{O} - \operatorname{GlcN} - (\operatorname{GlcN})_{v} + \operatorname{H}_{2}$$

$$(7)$$

$$(\text{GlcN})_{x} - {}^{\bullet}\text{GlcN} - O - \text{GlcN} - (\text{GclN})_{y} + H_{2}O \rightarrow (\text{GlcN})_{x} - \text{GlcN} - OH + (\text{GclN})_{y} - \text{GlcN} - OH$$
(8)

The GPC chromatograms of the original CS and COSs that were completely soluble at pH 8–10 (COS12d with Mw  $\sim$ 2600 g/mol), and COS24d with Mw  $\sim$ 2000 g/mol) are shown in Fig. 2.

Table 2
Comparison of various methods for the degradation of CS to prepare COS

Reagent /equipment/To	Final CS conc. (%, w/v)	Degraded time	Mw of COS	Reference
H <sub>2</sub> O <sub>2</sub> /Vc, ultrasound irradiation, 25 °C	0.2	1 h	~7.67 kDa	[8]
H <sub>2</sub> O <sub>2</sub> , 80 °C	~3	3 h	1360 g/mol	[9]
H <sub>2</sub> O <sub>2</sub> , 80 °C, microwave irradiation	~3	25 min	1460	[9]
H <sub>2</sub> O <sub>2</sub> , solution plasma, RT°C	0.5	1 h	~1.44-4.92 kDa	[10]
Solution plasma, RT°C	0.5	0.5–1.5 h	~4.6–7.8 kDa	[11]
Purified cellulase, 50 °C	~5	12–24 h	~1.4–2.3 kDa	[31]
$H_2O_2$ , $RT^{\circ}C$	3	360 h	~4.5 kDa	[21]
Commercial protease, 50 °C	~4	0,5–1 h	DP 3-8	[32]
H <sub>2</sub> O <sub>2</sub> , 65 °C	~2	0-48 h	~1.2–7.7 kDa	[22]
Purified hemicellulase, 50 °C	~5	4 h	1.4 kDa	[33]
H <sub>2</sub> O <sub>2</sub> , Phosphotungstic acid, 65 °C	~1	40 min	DP 2-9	[20]
Branchzyme, 50 °C	~2	24 h	DP 2-20	[34]
Chitosanase, 30 °C	~2	0.5–6 h	DP 2-7	[35]
H <sub>2</sub> O <sub>2</sub> , gamma irradiation, RT°C	5	4–16 h	8.6–2.7 kDa	[36]
Gamma irradiation, RT°C	5	24 h	~10 kDa	[36]
H <sub>2</sub> O <sub>2</sub> , food-grade cellulase, 55 °C	~9	6 h	DP 2-9	[37]
H <sub>2</sub> O <sub>2</sub> , two-step, RT°C	~10	16-24 days	~2 kDa	This study



Fig. 1. Dependence of 1/Mw on reaction time.

## 3.2. Solubility of COS in water and alkaline pH medium

The water-soluble COS samples obtained from the oxidative hydrolysis of CS powder by  $H_2O_2$  with a yield of solubility at 100% were used to investigate the solubility at pH 7–10. COS powder samples dissolved in different pH solutions with a concentration of 10% (w/v) and the results of the solubility percentage are presented in Fig. 3.

The results in Fig. 3 showed that COS8d with Mw of 6570 g/mol was completely soluble at pH 7 but the solubility at pH 8, 9 and 10 was 86.54, 82.68% and 75.48%, respectively. COS10d was completely soluble at pH 7–9 but had a solubility of 92.54% at pH 10. COS12d to COS24d with  $Mw \le 2680$  g/mol completely dissolved at pH 7–10. Thus, the solubility of COS depended on Mw; the smaller the Mw, the higher the solubility at weak alkaline pH. According to Quin et al. [33], CS with low Mw reduces the ability of intermolecular interactions because reduced steric effects such as van der Waals forces and hydrogen bonding, so it had high solubility in aqueous solvents. This study showed that the solubility of COS at different pH depended on Mw, which was similar to the findings of Thuy et al. [7], who reported that a small Mw leads to high solubility of COS in alkaline pH. Chang et al. [42] also suggested that low Mw COS reduces intramolecular hydrogen bonding strength, thereby increasing its solubility in water due to increasing the flexibility of hydroxyl and amine groups.

## 3.3. <sup>1</sup>H NMR spectra and deacetylation degree of the original CS and soluble COS

Fig. 4 presents the  ${}^{1}$ H NMR spectra of the original CS and the COSs that were completely soluble at pH 7–10 (COS12d and COS24d). The  ${}^{1}$ H NMR spectra of all samples exhibited resonance signal peaks at chemical shifts characterizing CS molecules according to Pereira et al. [43], Vidal et al. [44], Badawy et al. [45], and Jafari et al. [46].

The characteristic signals of CS in the <sup>1</sup>H NMR spectrum include  $\delta$  2.0–2.1 ppm of 03 protons belonging to the acetyl group and  $\delta$  3.2 ppm characterised the H<sub>2</sub> proton. Some overlapping signals were observed at positions  $\delta$  3.5–4.0 ppm characterising the H<sub>3–6</sub> proton linked to C<sub>3</sub> and C<sub>4</sub> of the glucose pyranose ring.  $\delta$  at position 4.9 ppm represents the H<sub>1</sub> proton. DD data were calculated using the Equation of Lavertu et al. [27].

The <sup>1</sup>H NMR spectra in Fig. 4 and Formula (2) for DD calculation showed that the original CS had DD of 93.47%  $\pm$  0.40%. After oxidative hydrolysis to form COS, the DD values decreased slightly to 92.50%  $\pm$  0.16% and 91.18%  $\pm$  0.23% for COS12d and COS24d,



Fig. 2. GPC chromatogram of CS, COS12d and COS24d.



Fig. 3. Solubility of COS samples at pH 7–10.



Fig. 4. <sup>1</sup>H NMR spectra of original CS, COS12d and COS24d.

respectively. This change was not significantly different from the initial CS sample. Thus, the hydrolysis of CS powder at room temperature with 2% H<sub>2</sub>O<sub>2</sub> solution hardly changed the DD of COS compared with the original CS. It was almost similar to the results of some previous authors using an H<sub>2</sub>O<sub>2</sub> concentration of 1%, combined with gamma irradiation [7] or microwave irradiation [46]. The results of the <sup>1</sup>H NMR spectroscopy and DD calculation of CS and COS above confirmed that almost no change occurred in the molecular structure during oxidative hydrolysis except low-Mw COS with C=O group at the end of the COS molecular chain (see Figs. 4 and 5).

# 3.4. UV-Vis spectrum of CS and COSs

The UV–Vis spectra of the original CS, COS12d and COS24d are shown in Fig. 5. The original CS had a peak of 210 nm corresponding to the  $n\rightarrow\sigma^*$  electron shift of the amino group [23]. A peak at 256 nm could be typical for the carboxyl or carbonyl group possibly due to a previously oxidized CS material; this peak shifted to high wavelength, which might be due to the degradation of glycosidic bonds [47,48]. The peak at around 290 nm appeared for COS12d and COS24d, which was assigned to the carbonyl group



Fig. 5. UV-Vis spectrum of original CS, COS12d and COS24d.

(-C=O) [23,49]. The carbonyl group formed during the hydrolytic breakage of glycosidic bonds at C1 and C4 [50].

## 3.5. XRD patterns of CS and COSs

The XRD patterns of the studied samples CS, COS12d and COS24d are shown in Fig. 6. The XRD pattern of the original CS had signal peaks at 2 $\theta$  angle located at 10.3° and 19.8° which were typically assigned for CS [51–53]. In the XRD patterns of the COS samples with Mw of 2040 g/mol and 2680 g/mol (COS24d and COS12d), the peak at the angle of  $2\theta \sim 10.3^{\circ}$  disappeared and the diffraction intensity at the angle of  $2\theta \sim 19.8^{\circ}$  was significantly reduced, showing that the oxidative hydrolysis of CS powder in H<sub>2</sub>O<sub>2</sub> reduced crystallization of CS as previously reported [7,54,55].

# 3.6. FT-IR spectra of CS and COSs

The FT-IR spectra in Fig. 7 showed that the COS samples did not appear as new peaks compared with the original CS. The signals that characterised the vibrations of the bonds in CS and COS molecules were consistent with the previous authors' studies as follows: the O–H and N–H stretching vibration of CS at 3450 cm<sup>-1</sup> [21,56,57] shifted to a low wavenumber for COS samples due to the reduction of intramolecular hydrogen bonding [58]. The characteristic peaks for the O–H and N–H stretching vibrations of CS in previous studies by other authors correspond to low wavenumber at 3292.31 cm<sup>-1</sup> [59] or 3290-3270 cm<sup>-1</sup> [60]. The above difference might be due to this characteristic vibration depending on the DD of CS, CS with low DD; the characteristic vibration was at a low wavenumber [60,61]. The peaks at 2920–2850 cm<sup>-1</sup> were characteristic for C–H symmetric and asymmetric stretching vibrations [56, 59,62,63].

The FT-IR spectra in Fig. 7 also appeared a functional group -C-OH (the secondary OH group of C3) at 2346 cm<sup>-1</sup> [64], this vibration also appeared at 2350–2380 cm<sup>-1</sup> in some previous studies [65,66]. The peak at 1637 cm<sup>-1</sup> represented the C=O group bonding [62]. The covalent bond of the CH<sub>2</sub> bending vibration was observed at the wave range of 1410–1446 cm<sup>-1</sup> [62,67]. The peak at 1150 cm<sup>-1</sup> represented the C–O-C stretching [61] and the peaks at 1014–1051 cm<sup>-1</sup> represented the C–O stretching [62,63]. In the FT-IR spectrum of the original CS sample, a peak was observed at the wave number of 875 cm<sup>-1</sup>, which was typical for the C–H bending vibration of the polysaccharide [59,63].

# 4. Conclusion

Degradation of CS powder by oxidative hydrolysis in  $H_2O_2$  solution at ambient temperature can be considered a potential method for the preparation of water-soluble COSs with low Mw (~2000 g/mol) and high concentration (10%, w/v). The advantages of this method are environmentally safe, energy efficiency and no requirement for an organic acid solution to dissolve CS; the obtained COS solution did not contain  $H_2O_2$ . Furthermore, this method could be used to carry out the production of COSs on large scale because of the relatively favorable production process. The resultant COSs with low Mw that were soluble in water at neutral and slightly alkaline pH could be favorably applied in various fields.

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# Author contribution statement

Bui Duy Du: Conceived and designed the experiments; Contributed reagents, materials, analysis tools or data; Wrote the paper. Nguyen Quoc Hien: Conceived and designed the experiments; Wrote the paper. Nguyen Trong Hoanh Phong, Tran Phuoc Tho: Performed the experiments. Le Nghiem Anh Tuan: Analyzed and interpreted the data.

## Data availability statement

Data included in article/supp. material/referenced in article.

## Additional information

No additional information is available for this paper.

## Declaration of competing interest

The authors declare no conflict of interest.



Fig. 6. XRD patterns of original CS, COS12d and COS24.



Fig. 7. FT-IR spectra of original CS, COS12d and COS24d.

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