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## Muscle spindles and their role in maintaining robust locomotion

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## Abstract

Muscle spindles, one of the two main classes of proprioceptors together with Golgi tendon organs, are sensory structures that keep the central nervous system updated about the position and movement of body parts. Although they were discovered more than 150 years ago, their function during movement is not yet fully understood. Here, we summarize the morphology and known functions of muscle spindles, with a particular focus on locomotion. Although certain properties such as the sensitivity to dynamic and static muscle stretch are long known, recent advances in molecular biology have allowed the characterization of the molecular mechanisms for signal transduction in muscle spindles. Building upon classic literature showing that a lack of sensory feedback is deleterious to locomotion, we bring to the discussion more recent findings that support a pivotal role of muscle spindles in maintaining murine and human locomotor robustness, defined as the ability to cope with perturbations. Yet, more research is needed to expand the existing mechanistic understanding of how muscle spindles contribute to the production of robust, functional locomotion in real world settings. Future investigations should focus on combining different animal models to identify, in health and disease, those peripheral, spinal and brain proprioceptive structures involved in the fine tuning of motor control when locomotion happens in challenging conditions.

## **Graphical Abstract**

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Author contributions

A.S. and T.A. were responsible for study conceptualization, writing the original draft, and reviewing and editing. Both authors have approved the final version of the manuscript and agree to be accountable for all aspects of the work. All persons designated as authors qualify for authorship, and all those who qualify for authorship are listed.

Competing interests

The authors declare that they have no competing interests.

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Vertebrates with intact muscle spindles respond to locomotor perturbations with increased kinematic variability and reduced accuracy of muscle activation patterns. When muscle spindles, but not Golgi tendon organs, are genetically removed in mice, the animals fail to modulate kinematics and muscle activity in response to perturbations and appear to be in a constantly perturbed state.

#### Keywords

locomotion; muscle spindles; perturbations; proprioception

## Brief history of muscle spindles

Muscle spindles were first discovered in 1862 by Kölliker (1862) and received the name 'Muskelspindeln' (i.e. muscle spindles in German) by Kühne (1863). Only three decades later, thanks to the work of Sherrington (1894) and Ruffini (1898), their sensory function was uncovered and shown to contribute to the already-existing concept of 'muscular sense' (Sherrington, 1900), also defined by Bastian as 'kinaesthesis', or 'sense of movement' (Bastian, 1880). Finally, after the first hypotheses on what is now is called proprioception (Sherrington, 1906), it was shown that these sensory structures are sensitive to muscle stretch (Adrian & Zotterman, 1926; Matthews, 1933). Today, it is established that muscle spindles are part of the proprioceptive system, defined as a network of sensory receptors that receive their stimuli by the organism itself (Sherrington, 1907). They are part of the kinesthetic sensory system that informs the central nervous system about the position and movement of the body (Proske & Gandevia, 2009, 2012). In the following, we briefly review

the overall structure and function of muscle spindles, followed by their role in terrestrial mammalian locomotor behaviour.

#### The morphology of muscle spindles.

Studies in humans and other mammals have shown that muscle spindles are present in the vast majority of striated muscles, with numbers ranging from 1 to 100 spindles per gram of muscle and with a typical human body containing around 30 000 spindles (Matthews, 1972; Prochazka, 2021). By contrast to extrafusal (i.e. outside the spindle) muscle fibres that generate force through their contraction, intrafusal (i.e. inside the spindle) muscle fibres modulate the transduction properties of the spindle without contributing to the overall muscle force generation (Ruffini, 1898; Sherrington, 1894). The overall structure of muscle spindles consists of contractile fibres in the two polar regions flanking an encapsulated equatorial (i.e. central) non-contractile zone (Fig. 1). The sensory terminals in the equatorial region are responsible for signal transduction (Bewick & Banks, 2015). Contraction of the polar regions adjusts the length of the muscle spindle and therefore the sensitivity of sensory afferents and is regulated by the activity of beta innervation originating from alpha motor neurons that innervate both intrafusal and extrafusal fibres (Banks, 1994, 2015; Bessou et al., 1963) and specialized motor neurons called gamma motor neurons, which exclusively innervate intrafusal fibres (Barker, 1974; Banks, 1994, 2015; Cooper & Daniel, 1956; Kanning et al., 2010; Matthews, 1933; Proske & Gandevia, 2012).

Within the encapsulated central region of the intrafusal muscle fibres, there are two morphologically distinct fibre types (Boyd, 1956, 1958; Cooper & Daniel, 1956; Tourtellotte et al., 2001): the nuclear bag fibres and nuclear chain fibres (Fig. 1), named after the arrangement of myonuclei in the equatorial region (Boyd, 1960). The nuclear bag fibres are often longer and larger in diameter, especially in the equatorial and juxtaequatorial regions, where a cluster of nuclei disposed side by side is present (Boyd, 1958; Matthews, 1972; Tourtellotte et al., 2001). By contrast, the nuclear chain fibres are usually shorter and thinner, with their nuclei distributed in a single file (Boyd, 1958; Banks et al., 1977; Matthews, 1972; Tourtellotte et al., 2001). Two different types of afferents innervate these fibres (Fig. 1): a single, larger diameter primary or group Ia afferent and several smaller diameter secondary or group II afferents, both entering the spinal cord through the dorsal roots (Banks et al., 2021; Boyd, 1962; Eccles & Sherrington, 1930; Kucera et al., 1988; Matthews, 1972; Pierrot-Deseilligny & Burke, 2012; Ruffini, 1898; Sherrington, 1894). Although only one type of nuclear chain fibre exists, nuclear bag fibres are of two types, depending on whether they receive only group Ia afferent innervation (bag<sub>1</sub> nuclear bag fibres) or both group Ia and group II afferent innervation (bag<sub>2</sub> nuclear bag fibres). Thus, the group Ia afferents innervate all of the nuclear bag and nuclear chain fibres, specifically in the equatorial region (Hulliger, 1984). By contrast, the group II afferents innervate all of the nuclear chain fibres and only bag<sub>2</sub> fibres near to the equatorial region around what are called the juxtaequatorial regions (Hulliger, 1984). Because the group Ia afferents respond primarily, yet not exclusively, to the dynamic aspect of muscle stretch, the bag<sub>1</sub> fibres are also called 'dynamic bag fibres' (Boyd et al., 1977). Accordingly, the group II afferents primarily respond to the static, or steady-state, aspect of stretch, and therefore the bag<sub>2</sub> fibres are also called 'static bag fibres' (Boyd et al., 1977). A typical mammalian muscle

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spindle consists of up to eight fibres: two nuclear bag fibres and a varying number of nuclear chain fibres (Banks et al., 1982; Banks, 1986, 1994). Proprioceptive information is elaborated not only locally in the spinal cord, but also possesses collateral projections to the brain in the dorsal column–medial lemniscus pathway, which transmits to the somatosensory cortex through the brainstem (Niu et al., 2013). Additionally, proprioceptive information is conveyed to the cerebellum through the dorsal and ventral spinocerebellar tracts (Bosco & Poppele, 2001).

The contraction of the contractile polar regions of the intrafusal muscle receives beta and gamma efferent innervation (Barker, 1948; Hunt & Kuffler, 1951). Gamma motor neurons are located in the ventral horn of the spinal cord grey matter and leave the spinal cord through the ventral roots (Burke et al., 1977). The main structural difference with the alpha motor neurons is that gamma motor neurons typically have smaller cell body and smaller axon diameter, translating into a slower conduction velocity (Barker, 1974; Banks, 1994). In addition, the alpha motor neurons and the gamma motor neurons differ in many ways that are not discussed further here in terms of presynaptic drive and gene expression patterns. A detailed review has been given elsewhere (Kanning et al., 2010). Based on the muscle fibre type that they innervate, gamma motor neurons can also be divided into dynamic (reaching only bag<sub>1</sub> fibres) and static (reaching both bag<sub>2</sub> and nuclear chain fibres) gamma motor neurons (Fig. 1) (Matthews, 1962). The different intrafusal fibre and afferent types combined with this complex motor innervation make muscle spindles one of the most complex sensory systems.

#### The function of muscle spindles.

Muscle spindles were recognized as specialized sensory organs contributing to the muscle sense in that they detect muscle stretch (Matthews, 1933; Sherrington, 1900). By doing so, they contribute to the sensation of angular position and movement of joints that are moved by the relevant muscles. For example, if a joint extends and flexor muscle fibres are stretched, flexor muscle spindles are stimulated (Fig. 2). Because the group Ia afferents are predominantly influenced by the dynamic aspect of stretch, they mainly signal the angular velocity of the joint movement. On the other hand, the group II afferents that are mostly affected by the static aspects of stretch signal the angular joint position (Hunt & Ottoson, 1975). Yet, the functional distinction between primary and secondary afferents is not always dichotomous (Wilkinson et al., 2012) and recent evidence suggests the existence of new spindle afferent subtypes (Wu et al., 2021). If the same joint flexes and the flexor muscle fibres shorten, the muscle spindles of that muscle shorten as well. This relaxation, called unloading, leads to diminished sensitivity of the spindles. When unloading occurs, activation of gamma motor neurons causes contraction of the intrafusal muscle fibres, restoring the tension and, accordingly, the sensitivity of the spindles (Crowe & Matthews, 1964a, 1964b; Hunt & Kuffler, 1951). These mechanisms show that the way muscle spindles sense angular displacement during movement is very complex. Detailed reviews, also describing the role of muscle spindles in the various phases of the gait cycle, have been given elsewhere (Dietz & Duysens, 2000; Frigon et al., 2021; Pearson, 2008; Proske, 1997, 2006; Proske & Gandevia, 2018).

How is the stretch of intrafusal fibres translated into neuronal signalling? The stretch of muscle spindles causes deformation of the sensory terminals (Banks, 1986; Bendeich et al., 1978). The mechanical deformation is primarily detected by the mechanosensitive nonselective cation channel protein PIEZO2 at the afferent endings (Kefauver et al., 2020; Woo et al., 2015). Yet, current evidence does not exclude that other candidate channels might be involved in the mechanotransduction process (Bewick & Banks, 2021). For example, the voltage-gated sodium channel  $Na_V 1.1$  has been recently shown to be essential for proprioceptive signaling (Espinoetal., 2022). Stretch-induced opening of the PIEZO2 channels and influx of Na<sup>+</sup> and Ca<sup>2+</sup> ions causes depolarization of the membrane of the afferent endings, whereas  $K^+$  efflux is responsible for repolarization, collectively shaping the stretch-induced receptor potential at the afferent endings (Hunt & Ottoson, 1975; Hunt et al., 1978). The stretch-induced receptor potential is then translated into action potential signals that are conveyed to the central nervous system (Bewick & Banks, 2015). This sensory transduction may be facilitated in an axon-autonomous manner: the discovery of synaptic-like vesicles containing glutamate (Banks et al., 2002) and the vesicular glutamate transporter 1 (VGLUT1) (Fig. 3) protein (Wu et al., 2004) suggested that the afferent endings can release glutamate in the spindle, increasing the firing rate of the autonomous afferent (Bewick et al., 2005; Bewick & Banks, 2015) and improving the static but not the dynamic sensitivity (Than et al., 2021). But whether this positive feedback loop is differentially activated in a context-dependent manner and what role it has in behaviour remain unknown. Considering all these aspects of sensory transduction, muscle spindles are structurally and functionally complex organs that detect muscle stretch. Therefore, muscle spindles indirectly encode the angular position and velocity of joints and the force, stiffness, velocity and acceleration of muscles (Blum et al., 2020).

#### Muscle spindles contribute to locomotor robustness.

All biological systems are inherently modular, complex and robust (Csete & Doyle, 2002; Kitano, 2007). Modularity can be seen at most scale levels, from genes and proteins to the co-ordinated muscle activations needed to achieve movement (Bizzi & Cheung, 2013; Csete & Doyle, 2002). Complexity, found not only in biological systems, but also in artificial structures such as modern airplanes, computers or grand pianos, describes how different parts of the same system are organized and hierarchically ordered at multiple scales (Carlson & Doyle, 2002). Robustness is the ability to cope with perturbations or errors of execution (Santuz et al., 2018; Stelling et al., 2004) and it allows maintenance of function or performance in the face of external and internal perturbations (Kitano, 2007). Biological systems such as mammals achieve the ultimate goal of robustness thanks to a fine interplay between complexity, modularity and feedback mechanisms: for a specific task, each ingredient needs to be present in the correct amount to maintain function (Csete & Doyle, 2002). The systemic or partial absence of proprioceptive feedback is known to disrupt locomotor patterns in frogs (Kargo & Giszter, 2000), mice (Akay et al., 2014; Takeoka & Arber, 2019), cats (English, 1980, 1985), guinea fowls (Gordon et al., 2020) and humans (Lajoie et al., 1996). Ageing, cancer, inflammation, infection, pain, trauma and many musculoskeletal, neurodegenerative, neurodevelopmental and peripheral nervous system disorders are known to be associated with impaired proprioception (Blanche et al., 2012; Blecher et al., 2018; Camdessanche et al., 2009; Dietz, 2002; D'Silva et al., 2016;

Hammond et al., 2013; Kröger & Watkins, 2021; Prochazka, 2021; Röijezon et al., 2015; Sheikh & Amato, 2010). The disruption can be seen in the resulting movements (e.g. via analysis of the animal's kinematics) and also in the muscle activations required to perform those movements (e.g. recorded via electromyography). For example, the genetic removal of muscle spindles in mice produces, amongst other symptoms, evident and irreversible gait ataxia (Tourtellotte & Milbrandt, 1998). The ataxia manifests itself during locomotion in an exaggerated lift of the paw achieved by prolonged activation of the ankle flexor muscles, especially in the hindlimb (Akay et al., 2014), and this is dramatically worsened by the concurrent removal of Golgi tendon organs (Takeoka & Arber, 2019). The same is true in humans, where purely sensory neuropathy is known to have detrimental effects on gait fluidity that are compensated for by reducing the overall degrees of freedom (e.g. locking the knee joint during the stance phase), increasing the width of the base of support and relying on visual feedback to assess the position of the lower limbs (Lajoie et al., 1996). Thus, locomotion without feedback from muscle spindles is possible, yet aberrant.

#### Locomotor drive modularity and muscle spindles.

Deeper insight into the role of sensory feedback can be gained by analysing the modular organization of muscle activity. One prominent theory in motor control states that the central nervous system might benefit from controlling multiple muscles synchronously rather than individually (Bernstein, 1967), in finely orchestrated sets of activation patterns that have been called muscle synergies (Bizzi et al., 1991). Following this concept, the great number of muscle activations needed to execute a certain movement could result from the combination of a small number of synergies, which would drastically reduce the dimensionality of the control strategy (Tresch et al., 1999). In muscle spindle-deficient mice (Santuz et al., 2019, 2022), the synergistic activation motifs on average undergo a change in timing compared to the wild-type, mostly resulting in their widening (i.e. activations are of longer duration in the absence of muscle spindles). This outcome is similar to that previously found for individual muscles (Akay et al., 2014) and fits previous findings in humans where the widening of muscle activity emerged in response to pathology (Cappellini et al., 2016; Janshen et al., 2020; Martino et al., 2014), development stage (Cappellini et al., 2016; Dominici et al., 2011), aging (Dewolf et al., 2021; Santuz et al., 2020) and external mechanical perturbations (Martino et al., 2015; Santuz et al., 2018). The parallelism between muscle spindle-deficiency and other internal or external perturbed states shows that proprioceptive feedback is needed to regulate the locomotor output.

To support the connection between muscle spindles and locomotor robustness, it is possible to consider what happens when external mechanical perturbations are administered to genetically modified mice lacking proprioceptive feedback. Wild-type mice (Santuz et al., 2019, 2022), similarly to healthy humans (Chvatal & Ting, 2012; Martino et al., 2015; Santuz et al., 2018, 2020), respond to external perturbations by increasing the duration of muscle activation patterns relative to the gait cycle. This is true for both species independently of whether the stimulus is a sudden acceleration or a mediolateral displacement of the treadmill's belt (Santuz et al., 2020, 2022), a mechanically-evoked trip in mice (Santuz et al., 2019) or the unevenness (Santuz et al., 2018) or slipperiness (Martino et al., 2015) of the locomotion surface in humans. The increased duration reflects

the adaptive strategies (i.e. anticipatory, predictive and reactive) used by the central nervous system to cope with perturbations (Patla, 2003). In muscle spindle-deficient mice, however, activation patterns are wider compared to the wild-type in both the presence and absence of external perturbations, showing a loss of capability in the regulation of timing. Similarly, a lack of regulation is true for kinematic-related variables (Santuz et al., 2022). Moreover, the simultaneous ablation of both muscle spindles and Golgi tendon organs induces such a remarkable hindering of function that the animals lose the ability to adapt to fast speeds and, similar to muscle spindle-deficient mice, to regulate the timing of activation patterns (Santuz et al., 2022; Takeoka & Arber, 2019). Thus, the role of muscle spindles in maintaining locomotor function is more pronounced in the presence of external perturbations and intimately connected with the modularity of the locomotor output.

#### Locomotor drive complexity and muscle spindles.

As mentioned above, the ultimate goal of robustness in biological systems is achieved by the dense interplay of modularity and complexity. Amongst the numerous possibilities of addressing complexity from a numerical standpoint, methods stemming from fractal theory (Mandelbrot, 1983) have recently been used in behavioural studies and have been reviewed elsewhere (Jelinek et al., 2005; Kesi & Spasi , 2016; Müller et al., 2017). In sum, biological signals such as those recorded from muscles via electromyography possess fractal properties in that oscillations of those time series are visible at various scales (or, in other words, at different levels of magnification) and are largely similar to one another (Mandelbrot, 1985). Fractal measures of complexity can be used to detect properties of the signal that would be difficult to quantify otherwise: its roughness, its repeatability over various cycles, its noise content, and so on (Santuz & Akay, 2020). Fractal analysis reveals that muscle activation patterns undergo a decrease in complexity when locomotion happens in the presence of both internal and external perturbations. This outcome stands for mice as well as for humans: wild-type mice (Santuz & Akay, 2020; Santuz et al., 2022) and healthy humans of young and older age (Santuz et al., 2020) decrease the complexity of synergistic activation motifs when exposed to external mechanical perturbations. Similarly, the genetic removal of muscle spindles in mice produces decreased complexity of synergistic activations when the animals are compared with their wild-type littermates, independently of the administration of external mechanical disturbances (Santuz et al., 2022). This constitutes further confirmation that feedback from muscle spindles is essential to allow the fine tuning of motor output depending on the environmental demands and its absence leaves the system in a constantly perturbed state. Moreover, studying the complexity of muscle synergies, it is possible to show that supraspinal integration of proprioceptive information is needed to cope with perturbations during locomotion (Santuz et al., 2022). Wild-type mice in which the dorsal column of the spinal cord is lesioned lose the ability to cope with external perturbations, often coming to a complete stop, indicating failure to maintain locomotor function and thus robustness. This is probably because synergistic activation patterns do not undergo any modulation of complexity if proprioceptive pathways to the brain are partially interrupted. Indeed, in those animals, the complexity of synergistic activities during perturbed locomotion does not differ from that observed in intact littermates and remains undiscernible from that of unperturbed locomotion. Taken together, these recent results

highlight an important role of muscle spindles in the regulation of the intrinsic complexity of the locomotor drive, aimed at the production of robust locomotion.

### Conclusions

We have briefly summarized the vast body of knowledge on the structure and function of muscle spindles, with particular attention to the role of these sensory organs in assisting vertebrate locomotion. Subsequent to their discovery 160 years ago, the scientific interest in muscle spindles has intensified, but a complete characterization of their function is still a long way off. Recent findings based on the analysis of muscle activity's modularity and complexity support a crucial role of muscle spindles in maintaining murine and human locomotor robustness: a fundamental feature for avoiding loss of function during locomotion. Yet, there still remain the questions of how this regulation is controlled and which peripheral, spinal and brain structures are responsible for the fine tuning required to face locomotion in real world environments. Answering these questions, possibly by the concurrent use of both non-human and human models, will provide new insight into the fundamental mechanisms of proprioception. Only by grasping those mechanisms will it be possible to fully decode the function of muscle spindles during locomotion, in both health and disease.

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## Biography



Alessandro Santuz received his bachelor's and master's degree in Aerospace Engineering at the University of Padua (Italy). He completed his PhD in Sport Science at the Humboldt-Universität zu Berlin (Germany) and was a postdoctoral fellow at the Department of Medical Neuroscience, Dalhousie University (Canada) and at the Institute for Biomechanics, ETH (Switzerland). His research focusses on the neural control of locomotion through sensory feedback in mice and humans. Alessandro uses special treadmills and mouse genetics to produce external and internal perturbations during locomotion and linear/nonlinear analysis tools to investigate how the central nervous system controls muscles and uses proprioceptive sensory feedback for the production of robust locomotion. He is currently employed at the Max Delbrück Center for Molecular Medicine in Berlin (Germany)

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#### Figure 1. The morphology of muscle spindles

Found within fascicles of muscle fibres (left), muscle spindles (right) are sensory organs that detect muscle stretch and length and are built from contractile fibres in the two poles and non-contractile sensory fibres in the equatorial region. Nuclear chain fibres and static bag fibres (bag<sub>2</sub>) are innervated by both the unique primary (group Ia) and the several secondary (group II) afferents, whereas dynamic bag fibres (bag<sub>1</sub>) only receive endings of the primary afferent. The contractile portions of the intrafusal fibres are innervated by the specialized gamma motor neurons that aid the fine-tuning of overall sensitivity and avoid potential slack in the sensory component if the spindle length is reduced by unloading.



# Figure 2. Activation of gamma motor neurons maintains the sensitivity of unloaded muscle spindles

When the muscle is further stretched (left), primary Ia afferents increase their firing rate until a steady-state is reached. When the muscle is shortened after activation of alpha motor neurons, muscle spindles unload and undergo relaxation (middle). Activation of gamma motor neurons (right) re-tensions spindles to the extrafusal fibres to ensure that their transduction capabilities are maintained within the appropriate range.



## **Figure 3. Immunofluorescence histochemistry staining of a murine muscle spindle** The muscle spindle and surrounding tissue were isolated from the tibialis anterior hindlimb muscle of a wild-type mouse with C57BL/6 background. VGLUT1 is expressed in the central and peripheral sensory endings of muscle-spindle afferents, allowing staining of their spiralling terminations and leaving the extrafusal and intrafusal fibres uncoloured.