1 Genome-wide screens reveal shared and strain-specific genes that facilitate

2 enteric colonization by Klebsiella pneumoniae

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- 14 **RUNNING TITLE** Colonization factors across 3 *Klebsiella* strains

15 ABSTRACT

Gastrointestinal (GI) colonization by *Klebsiella pneumoniae* is a risk factor for subsequent infection as well as transmission to other patients. Additionally, colonization is achieved by many strain types that exhibit high diversity in genetic content. Thus, we aimed to study strain-specific requirements for *K. pneumoniae* GI colonization by applying transposon insertion sequencing to three classical clinical strains: a carbapenem-resistant strain, an extended-spectrum beta-lactamase producing strain, 22 and a non-epidemic antibiotic-susceptible strain. The transposon insertion libraries were screened in a murine model of GI colonization. At three days post-inoculation, 27 genes 23 were required by all three strains for colonization. Isogenic deletion mutants for three 24 25 genes/operons (acrA, carAB, tatABCD) confirmed colonization defects in each of the 26 three strains. Additionally, deletion of *acrA* reduced bile tolerance *in vitro*, while 27 complementation restored both bile tolerance in vitro and colonization ability in vivo. Transposon insertion sequencing suggested that some genes were more important for 28 colonization of one strain than the others. For example, deletion of the sucrose porin-29 30 encoding gene scrY resulted in a colonization defect in the carbapenemase-producing strain but not in the extended-spectrum beta-lactamase producer or the antibiotic-31 susceptible strain. These findings demonstrate that classical K. pneumoniae strains use 32 33 both shared and strain-specific strategies to colonize the mouse GI tract. **IMPORTANCE** *Klebsiella pneumoniae* is a common cause of difficult-to-treat infections 34 35 due to its propensity to express resistance to many antibiotics. For example, carbapenem-resistant K. pneumoniae (CR-Kp) has been named an urgent threat by the 36 37 United States Centers for Disease Control and Prevention. Gastrointestinal colonization 38 of patients with K. pneumoniae has been linked to subsequent infection, making it a key 39 process to control in prevention of multidrug-resistant infections. However, the bacterial 40 factors which contribute to K. pneumoniae colonization are not well understood. 41 Additionally, individual strains exhibit large amounts of genetic diversity, begging the question of whether some colonization factors are strain-dependent. This study 42 43 identifies the enteric colonization factors of 3 classical strains using transposon mutant

screens to define a core colonization program for *K. pneumoniae* as well as detecting
strain-to-strain differences in colonization strategies.

46 **INTRODUCTION**

As multidrug-resistant bacteria continue to pose a looming threat to our ability to treat infections, alternative methods for controlling disease burden—such as infection prevention—are increasingly important. For *Klebsiella pneumoniae*, a highly multidrugresistant bacteria, gastrointestinal (GI) colonization is a risk factor for subsequent infection. Patients are prone to infections caused by the strains they carry and may also spread them to other hospitalized patients^{1,2}. Thus, GI colonization by *K. pneumoniae* is an attractive target for infection prevention.

The genes required for *K. pneumoniae* gut colonization are not well understood. Studies of intestinal colonization are complicated by the fact that this species exhibits substantial genetic diversity. While the average *K. pneumoniae* genome contains about 5000-6000 genes, only ~1700 are shared by most strains^{3,4}. Studies have identified colonization factors for individual strains⁵⁻⁷, but whether *K. pneumoniae*'s genetic diversity gives rise to strain-to-strain differences in GI colonization strategies remains unclear.

Two broad categories of *K. pneumoniae* strains have been described: classical and hypervirulent. Of the classical strains, several have achieved global dominance and are referred to as high-risk clones. Two such high-risk clones are the ST45 and ST258 sequence types. ST45 strains frequently produce extended-spectrum beta-lactamases (ESBLs), making them resistant to most beta-lactams except carbapenems. Many ST258 strains produce carbapenemases, causing a significant portion of carbapenemresistant infections worldwide⁸. While it is conceivable that the global success of these lineages has resulted in part from their capacity to better colonize the GI tract, how their colonization strategies might differ from those of antibiotic-susceptible strains has not been investigated.

71 Here, we selected three representative clinical classical strains of K. pneumoniae 72 to investigate GI colonization by lineages of varying epidemicity and antibiotic 73 resistance. We used transposon insertion sequencing to identify genes in each strain 74 that were required for GI colonization in a mouse model. We found that a subset of genes was required by all three strains, but each strain relied on a much larger set of 75 76 additional genes that were dispensable for the other strains. Thus, the set of genes, and 77 therefore presumed GI colonization strategies, vary substantially across phylogenetically distinct K. pneumoniae strains. 78 79 RESULTS

80 Three clinical strains of *K. pneumoniae* with distinct genetic and phenotypic

81 characteristics

We selected three clinical isolates of *K. pneumoniae* representative of strains with varying levels of epidemic spread and antibiotic resistance. First, we chose CRE-166, a carbapenem-resistant strain of the ST258 high-risk clone with the *bla*_{KPC} gene, which was isolated from bronchioalveolar lavage. Second, we selected Z4160, an ESBL producer isolated from the bloodstream with both a widespread ESBL gene (*bla*_{CTX-M-15}) and an epidemic sequence type (ST45). Third, we chose KPN46, a non-epidemic,

antibiotic-susceptible bloodstream isolate (ST433). The sizes of the CRE-166, Z4160,
and KPN46 genomes were 6.00, 5.56, and 5.63 Mb, respectively. The core genome
shared between them was 4.96 Mb (4,662 coding sequences [CDS]), leaving CRE-166,
Z4160, and KPN46 with 1.04, 0.63, 0.69 Mb (1183, 623, and 749 CDS) of accessory
genetic content (Figure 1). Thus, CRE-166, Z4160, and KPN46 represented three
clinical strains with phenotypic and genomic diversity suitable for subsequent studies of *K. pneumoniae* GI colonization.

95 A clinically relevant murine model of GI colonization

96 To model hospitalized patients receiving antibiotics and to induce robust fecal shedding of K. pneumoniae in C57BL/6 mice, we administered an antibiotic regimen 97 prior to oral gavage with bacteria. Vancomycin, one of the most highly utilized antibiotics 98 in the United States⁹, was administered through daily intraperitoneal injection for 5 days 99 (350 mg/kg, equivalent to a human dose of 1 g/day)¹⁰ (Figure 2A). In contrast to other 100 101 screens for GI colonization factors in which antibiotics were administered through drinking water and throughout the screen⁵⁻⁷, we opted for injections to control dosage to 102 each mouse and to allow for precise adjustments in future studies. We also ceased 103 104 administration prior to K. pneumoniae inoculation to investigate colonization after antibiotic exposure. Following the last dose of vancomycin, 10⁸ colony forming units 105 106 (CFU) of CRE-166 were inoculated by orogastric gavage. K. pneumoniae was then 107 selectively cultured from feces by plating on lysogeny broth (LB) agar supplemented with carbenicillin, an antibiotic to which all K. pneumoniae are resistant⁴. Culture of 108 feces on this medium prior to inoculation with K. pneumoniae yielded no colonies, 109 110 confirming specificity for experimentally introduced K. pneumoniae (Supplemental

Figure 1A). These conditions supported 10¹⁰ CFU/g fecal shedding of *K. pneumoniae* in
the first week followed by shedding of 10⁷ CFU/g for at least 60 days post-gavage in
both male and female mice (Figure 2B). At Day 14, no CFU could be detected in the
lung, liver, or spleen, indicating there was no dissemination from the gut (Supplemental
Figure 1B). Additionally, throughout the infection, mice did not exhibit signs of illness,
suggesting that they were colonized rather than infected.

117 To ensure these conditions would produce similar levels of GI colonization with 118 KPN46 and Z4160, we individually inoculated CRE-166, KPN46, and Z4160 into mice. 119 Fecal burdens were similar across 14 days (Figure 2C). These results indicated that the 120 vancomycin-treated mice were a robust model of *K. pneumoniae* GI colonization.

121 To determine whether transposon insertion sequencing experiments would be 122 informative in our model, we next ensured that mutants would not randomly drop out of 123 the fecal output due to bottlenecks rather than colonization defects. To this end, we 124 constructed a marked CRE-166 strain by inserting an apramycin-resistance cassette 125 into the chromosomal Tn7 site. This marked strain did not have a growth defect in LB 126 when compared to the parental strain (Supplemental Figure 2A). To mimic the presence 127 of a single transposon mutant within the pool of total mutants in a screen, we spiked this 128 marked strain into an inoculum at a ratio of 1:100,000 with the parental strain. Next, we 129 measured the ratio of the marked strain to total K. pneumoniae recovered from the 130 feces of the mice. At Day 3 post-gavage, the marked strain was still detectable, 131 suggesting the absence of a bottleneck significant enough to bias transposon 132 sequencing results (Supplemental Figures 2B & 2C). However, at subsequent 133 timepoints, we failed to recover the marked strain from some of the mice, indicating

greater bottlenecks. We therefore chose Day 3 post-gavage as the timepoint fortransposon sequencing experiments.

136 Generation of highly saturated transposon mutant libraries

137 To perform genome-wide screens for GI colonization factors, we generated transposon mutant libraries in all three K. pneumoniae strains. The transposon vector 138 139 pSAMerm was modified to express hygromycin resistance (pSAMhygSDM) to allow for selection of transposition in all three strains. Libraries with over 145,000 mutants were 140 generated. An initial assessment of library quality was performed by randomly selecting 141 142 32 colonies from each library and identifying transposon insertion sites with arbitrary PCR. Unique insertion sites for at least 26 colonies for each strain were successfully 143 144 identified, and no colonies had more than one insertion site, indicating the libraries were 145 of high quality.

146 Screening the mutant libraries for GI colonization factors in vivo

We gavaged each of the three transposon mutant libraries into mice pre-treated with vancomycin. A portion of the inoculum was saved as the "input pool." At Day 3, we collected fecal pellets, or the "output pools." Input pool sequencing demonstrated that over 82% of coding sequences had at least one insertion, and on average, each gene had 5 insertions. Coverage was distributed across chromosomes (Supplemental Figure 3), confirming that all libraries were well-saturated.

153 Insertion site sequencing reads were then processed using a modified version of 154 the previously described ESSENTIALS pipeline¹¹. We first analyzed the input pools to 155 identify "essential genes" required for the bacteria to grow in LB. A total of 487 genes

were identified as essential in all three strains, but a substantial number of genes were
essential in only one or two strains (Figure 3A, Supplemental Table 1). Between 1418% of CDS in each strain were found to be essential, comparable to the estimates of
11-17% reported for other strains of *K. pneumoniae*^{5,12-14}.

160 To determine which genes each strain utilized for establishing GI colonization, 161 we compared the total number of insertion reads per gene in the Day 3 output pool to 162 those of the input pools. We focused on genes that had a less than -2 log₂(fold-change) (logFC) in output vs. input insertion reads and a false discovery rate (FDR) less than 163 164 0.05 (Figure 4, Supplemental Table 2). Multidimensional scaling (MDS) plots of input 165 and Day 3 output pools demonstrated that the input pools were closely related and 166 distinct from the Day 3 output pools for each strain (Supplemental Figure 4), indicating 167 our approach was technically robust.

Twenty-seven genes were used by all three strains for GI colonization (Figure 168 169 3B). However, many genes were used by only one strain to establish colonization: 88 170 for CRE-166, 83 for Z4160, and 34 for KPN46. Intriguingly, most genes identified as 171 important for colonization in at least one strain were present in all 3 strains. That is, only 172 22.6% of colonization genes for CRE-166, 3.3% for KPN46, and 6.8% for Z4160 were absent from the genomes of the other strains, suggesting that these strains mostly rely 173 174 on shared genes to establish GI colonization, but use different sets of these genes for this purpose. 175

To determine whether these colonization genes were also found in broader populations of *K. pneumoniae* strains, we calculated a core genome (genes shared by 95% of strains) from a set of 323 previously described strains⁴. Upon comparison with this broader core genome, somewhat larger percentages of the colonization genes for
each strain were now considered accessory genes: 25.8% for CRE-166, 16.1% for
KPN46, and 15.4% for Z4160. However, most genes required for colonization by each
strain were still genes shared across *K. pneumoniae* strains.

In addition to genes required for colonization, we also identified genes which,
upon disruption with a transposon, conferred a colonization advantage (red points in
Figure 4). There were 7 genes found to confer an advantage when disrupted in all 3
strains (Supplemental Table 2). Four were involved in maltose transport (*malT*, *malEFG*) while the other three had regulatory roles (*proQ*, *prc*, and *rspR*).

188 **Classification of Gl colonization factors**

189 To better understand the core colonization program in K. pneumoniae, we 190 focused on the 27 genes important for colonization across all three strains (Table 1). As 191 expected, we identified genes involved in anaerobic metabolism (e.g., adhE, fnr, focA). 192 We also found genes involved in other metabolic pathways, including *mtlD* (mannitol-1-193 phosphate dehydrogenase) and *carAB*, which encode the subunits of carbamoyl 194 phosphate synthase that are responsible for the first committed step in synthesis of pyrimidine and arginine¹⁵. *carA* was identified in two strains and *carB* in the remaining 195 strain. *tatA* and *tatC* (folded protein secretion apparatus¹⁶) and *acrA* (efflux pump¹⁷) 196 were also identified. 197

To examine the pathways that strains relied on for colonization, we assigned Kyoto Encyclopedia of Genes and Genomes (KEGG) identifiers to all genes in each strain and determined which pathways were enriched among colonization hits. These

201 pathways fell into a few broad categories: metabolism, antimicrobial resistance, protein 202 secretion, and environmental sensing (Figure 5). Metabolic capacities played an 203 important role in the ability of bacteria to colonize the gut, but individual pathways 204 identified differed by strain. Additionally, the colonization factors for CRE-166 and 205 KPN46 were enriched for two-component systems, which may have played a role in 206 metabolic adjustments caused by environmental sensing in the GI tract. In terms of 207 antimicrobial resistance pathways, two strains (CRE-166 and KPN46) were reliant on 208 genes that conferred resistance to cationic antimicrobial peptides (CAMPs), which are 209 released by colonic epithelium and are similar to microcins released by the microbiota. 210 Thus, defense against host and microbiome factors is likely key to colonization by K. 211 pneumoniae. KPN46 colonization factors were enriched for genes for resistance to 212 beta-lactams, including efflux pumps, which play a role in the efflux of toxic compounds. Finally, protein export (the Tat secretion system) was enriched for KPN46 and Z4160, 213 214 suggesting that secreted proteins may enhance colonization.

215 Validation of colonization genes using isogenic mutants

216 To validate our screen, we created isogenic mutants of 3 genetic loci—acrA, 217 carAB, and tatABCD—required for GI colonization in all 3 strains (Table 1). These loci 218 were chosen because they represent different functional groups: antimicrobial 219 resistance, metabolism, and secretion. We generated isogenic mutants in which the 220 coding sequence of the target was replaced by an apramycin-resistance cassette. We 221 verified that these apramycin-resistant mutants did not have growth defects in LB when 222 grown individually (Supplemental Figure 5). Of these mutants, only the *tatABCD* mutant 223 had a slight defect in LB when competed against their marked (hygromycin-resistant)

parental strains (Supplemental Figure 6). Then, we inoculated 1:1 mixtures of the
marked parental strains and mutants into the mouse model of GI colonization and
enumerated CFU in the feces at Day 3 (the screen timepoint) to calculate competitive
indices (CI). To characterize the effects of these mutants at later timepoints, we also
followed the fecal burdens to Day 14.

In all three strain backgrounds, *acrA* mutants displayed significant colonization
defects at Day 3 (validating our screen) as well as beyond to Day 14 (Figure 6A-C). We
constructed a complemented strain with an unmarked deletion of the *acrA* locus,
inserting *acrA* along with its upstream region and a downstream apramycin-resistance
cassette into the chromosomal Tn7 site. This complement rescued the colonization
defect (Figure 6D).

235 The *carAB* deletion mutants were similarly tested in competition with their 236 parental strains. At Day 3, each *carAB* mutant exhibited colonization defect, continuing 237 to Day 14 for CRE-166 and Z4160 (Figure 6E-G). For the KPN46 mutant, greater 238 variability in CI was observed at later timepoints, suggesting the existence of a priority 239 effect in the second week, during which mutants that initially established themselves 240 tended to do very well while the others did progressively more poorly. Due to technical 241 limitations, a *carAB* complement could not be constructed. However, we performed 242 whole genome sequencing on all mutants, confirming that the CRE-166 and Z4160 243 mutants did not have off-site mutations likely to be responsible for observed 244 phenotypes. For KPN46, sequencing indicated that two nonsynonymous mutations 245 emerged during the course of mutant generation. We performed an *in vivo* competition 246 experiment between two marked KPN46 parental strains—one with these off-site

mutations and one without—to show that the mutations did not confer a colonization
defect (Supplemental Figure 7). These data indicate that the *carAB* deletions are
responsible for the colonization defects observed in Figure 6E-G.

Finally, deletion of the *tatABCD* operon also significantly decreased colonization capacities, both at Day 3 and throughout subsequent days (Figure 6H-J). Insertion of the *tatABCD* operon at the Tn7 site fully rescued the colonization defect (Figure 6K). Thus, we verified our ability to detect shared factors essential for GI colonization.

In addition to shared factors, we wanted to confirm strain-specific colonization factors. Hemolysin expression-modulating protein, encoded by *hha*, is a transcriptional regulator which scored as a hit in Z4160 but not in KPN46 and CRE-166. We generated *hha* deletion mutants in both Z4160 and KPN46, neither of which had *in vitro* growth defects (Supplemental Figure 5). At Day 3, the Δ *hha* mutant had a statistically significant colonization defect in Z4160 (Figure 6L). In KPN46, the Δ *hha* mutant had a slightly less severe colonization defect that was not statistically significant (Figure 6M).

As a second strain-specific factor, we selected *scrY*, which encodes for a sucrose porin, and which was identified in our screen as a colonization factor for CRE-166 but not KPN46 or Z4160. We created in-frame deletions to preserve the remainder of the operon downstream from *scrY* and found that CRE-166 Δ *scrY* but not KPN46 Δ *scrY* or Z4160 Δ *scrY* had a colonization defect (Figure 6O-Q). Together, these data indicate that colonization factors may differ in their importance from strain to strain. Finally, we also selected one target that exhibited a colonization *advantage* upon

268 disruption. We chose *malT*, the transcriptional regulator for maltose uptake and

metabolism. A *malT* deletion mutant in CRE-166 did not have a growth advantage in LB
(Supplemental Figure 5), but this deletion conferred a substantial colonization
advantage over the parent strain *in vivo* (Figure 6N), indicating our screen was also
valid for detection of genes that confer colonization advantages.

273 Deletion of acrA reduces resistance to ox bile

274 We further explored how one of our colonization genes, *acrA*, may contribute to GI colonization. As *acrA* encodes a component of an efflux pump that contributes to bile 275 resistance in other GI pathogens¹⁸, we tested whether our *acrA* mutant was more 276 277 sensitive to bile. At 2 and 24 hours after inoculation into 10% bile, the marked parental 278 strain grew significantly better than the CRE-166 Δ *acrA*::AprR mutant (Figure 7). We 279 also generated a CRE-166 Δ acrA mutant in which the apramycin-resistance cassette 280 was removed from the $\Delta acrA$ allele; this mutant also showed a growth defect in bile. A 281 complemented strain (CRE-166*\(\Delta crA\)*] acrA) generated from this mutant showed 282 that complementation rescued resistance to bile. Together, these data suggest that 283 acrA supports K. pneumoniae GI colonization by providing resistance to bile.

284 **DISCUSSION**

In this study, we aimed to answer the following question: does the genetic diversity of *K. pneumoniae* affect the colonization strategies of different strains? We compared three clinically-relevant strains (a globally distributed ST258 strain with a carbapenemase gene, an epidemic ST45 strain with an ESBL gene, and a nonepidemic antibiotic-susceptible strain) and identified a core set of genes used by all three strains to colonize the GI tract. However, we found other genes and pathways unique to one or two strains, highlighting the diversity of colonization strategies in this
genetically diverse species. In particular, we confirmed the importance of three different
factors (*acrA*, *carAB*, *tatABCD*) in colonization for all three strains to validate our
screens.

295 Our results defined a core colonization program of 27 genes utilized by all 3 296 strains. Most of these genes (16 of 27) were related to metabolism, as expected since 297 metabolic adaption to the anaerobic colon is a prerequisite for successful colonization. In addition, we also identified three genetic loci involved in pyrimidine and purine 298 299 synthesis (*carAB* and *purC/purH*, respectively). Beyond metabolic genes, all strains 300 relied on yeiE, which encodes a transcriptional activator. The genes regulated by YeiE 301 in *K. pneumoniae* are not well characterized, but in *Salmonella enterica* this activator also controls GI colonization¹⁹, targeting flagellar genes (which *K. pneumoniae* does not 302 303 possess). In addition, *tatA* and *tatC*, which encode components of the Tat folded-protein secretion apparatus, were identified as elements of the core colonization program, 304 305 suggesting secreted factors may contribute to colonization, as they do for several gut pathogens²⁰⁻²³. In particular, the Tat-secreted peptidoglycan amidases, AmiA and AmiC. 306 are necessary for colonization by S. typhimurium²⁴, and amiC was identified in our CRE-307 308 166 screen (Supplemental Table 2). However, disruption of the Tat system also destabilizes the cell envelope²⁵, decreasing resistance to bile acids²⁰. Genes encoding 309 310 the porin OmpC (*ompC*) and components of the Tol-Pal system (*tolA* and *pal*) were also 311 identified as critical for colonization for all 3 strains. In addition to allowing diffusion of 312 small solutes, OmpC is responsible for maintaining outer membrane leaflet asymmetry²⁶. In a different fashion, the Tol-Pal system also aids in maintaining the 313

integrity of the outer membrane²⁷. Deletion of *pal* in *K. pneumoniae* increases sensitivity to bile, one of the host-derived stresses encountered in the GI tract²⁸. A few other genes implicated in bile resistance (*cvpA* and *acrA*) were critical for colonization. In summary, the core GI colonization program of *K. pneumoniae* is composed of genes involved in energy generation, nucleotide biosynthesis, protein secretion, membrane homeostasis, and bile resistance.

We found many genes that contributed to colonization by one or two strains but 320 not by all three strains. For instance, pathway analysis revealed that unlike the other 321 322 two strains, Z4160 colonization factors were enriched for alanine, aspartate, and 323 glutamate metabolism pathways. In addition, we found that CRE-166 depends on the 324 sucrose porin scrY for colonization whereas Z4160 and KPN46 do not. It is possible that 325 redundancy in either sucrose uptake or functional redundancy in other metabolic pathways can compensate for scrY deletion in Z4160 and KPN46 but not CRE-166. 326 327 These findings support our hypothesis that colonization strategies differ between strains 328 of K. pneumoniae.

The identification of *acrA* as necessary for GI colonization in all three strains has 329 330 translational implications. AcrA is the periplasmic subunit of the tripartite efflux pumps that contain ToIC and AcrB or AcrD¹⁷. In *E. coli* and *S. enterica*, these pumps export a 331 large variety of substrates, including multiple classes of antibiotics and bile acids^{17,29}. 332 We demonstrated that the *acrA* mutant in CRE-166 was more susceptible to bile (Figure 333 7), suggesting a similar function in *K. pneumoniae*. Furthermore, the *acrA* deletion 334 335 mutants were undetectable at 14 days post-inoculation in most of our competition 336 experiments (Figure 6A-C). Because of its role in antibiotic resistance, the AcrAB efflux

pump has been extensively studied, and several small molecule inhibitors are in varying
 stages of pre-clinical development³⁰. We postulate that these inhibitors may have
 efficacy in preventing or eradicating *K. pneumoniae* GI colonization.

340 CRE-166 belongs to the globally disseminated ST258 group of carbapenemase-341 producing strains, and there is substantial interest in understanding how these strains 342 successfully colonize patients. Jung and colleagues previously performed transposon 343 insertion sequencing on a different ST258 strain, MH258, and our results have 344 similarities. First, both studies found that disruption of genes involved in maltose 345 metabolism conferred a fitness advantage in the GI tract. The lambda phage uses maltoporin LamB as its receptor³¹, so it is possible that the maltose system serves as a 346 347 receptor for a lytic phage, bacteriocin, or other bacterial competition systems. Second, 9 348 of 35 MH258 colonization factors were also important for CRE-166. However, we also found 150 genes to be important for GI colonization with CRE-166 that were not 349 350 identified for MH258. These differences may reflect genetic variation between the 351 ST258 strains or differences in screen analysis and antibiotic regimens.

352 Our study had several limitations. All transposon insertion sequencing screens 353 have a propensity to miss secreted factors because of trans-complementation. This may be one reason why we did not detect Type VI secretion systems in our screens even 354 though they have been shown to contribute to colonization^{32,33}. Interestingly, we did 355 356 detect *amiC*, which encodes for a Tat-secreted substrate, but this substrate may remain 357 localized within bacteria. Additionally, our screen was performed on Day 3 of 358 colonization—the latest timepoint at which we did not observe a substantial bottleneck. 359 Factors necessary for colonization on Day 3 may be different from those necessary for

360 longer term colonization. However, these factors would likely be relevant for the 361 development of prophylactic therapies against colonization, and our mutant studies 362 show that deletion of several genes required for Day 3 colonization were also necessary 363 at Day 14 (Figure 6). Additionally, we found that one strain-specific factor, scrY, had a 364 stronger phenotype in targeted deletion and validation than another factor, *hha*. This 365 may be explained by outlier read counts that have an inflated effect on the averages used to calculate log fold change. This type of technical limitation of the analysis may 366 367 explain why to/C, which is usually complexed to acrA, was identified as a colonization 368 factor for CRE-166 but not the two other strains. Another limitation is that we used as 369 our input pool the mutant library that was inoculated into mice rather than a mutant 370 library passaged in LB. As a result, some of the genes we identified as necessary for GI 371 colonization were likely necessary for normal bacterial growth in rich medium. Such genes, although mechanistically less interesting, are in the strictest sense still 372 373 necessary for colonization. Our study used only 3 strains, all of which were classical 374 strains. The conserved genome between these clinical strains was 4558 CDS, which is 375 larger than the core content between larger collections of K. pneumoniae strains 376 (around 1700 CDS). Additional studies will be necessary to determine whether our 377 findings can be extrapolated to a larger number of K. pneumoniae strains, including 378 hypervirulent strains. Finally, our studies were done with C57BL/6 mice; experiments with different mouse strains are necessary to determine whether mouse background 379 affects the genes necessary for colonization. 380

In conclusion, our study found that while different strains of *K. pneumoniae* rely
 on different genes and pathways to colonize the GI tract, there is a core set of

383	colonization factors used by multiple strains. Inhibition of the proteins encoded by
384	shared genes could theoretically block colonization by nearly all K. pneumoniae strains,
385	whereas inhibition of lineage-specific factors could selectively block colonization by
386	specific genotypes.

387 MATERIALS AND METHODS

388 Bacterial strains and cultures

- 389 CRE-166, KPN46, and Z4160 are *K. pneumoniae* clinical isolates from
- 390 Northwestern Memorial Hospital in Chicago collected between 2014 and 2015. CRE-
- 391 166 and KPN46 were previously described^{34,35}, whereas Z4160 was first used in the
- 392 current study. *E. coli* strain PIR1 was used for cloning, and *E. coli* β3914
- 393 (diaminopimelic acid auxotroph) was used to mate plasmids into K. pneumoniae^{36,37}.
- Bacteria were grown in LB 37°C unless otherwise stated. When appropriate, the following antibiotics were added: carbenicillin (100 μ g/mL), hygromycin (100 μ g/mL), or apramycin (50 μ g/mL). Medium for β 3914 was supplemented with 10 μ g/mL of DAP.
- 397 **Preparation of complete genomes**

Genomic DNA was extracted from CRE-166, KPN46, and Z4160 and sequenced
 on Illumina and Nanopore platforms to create complete genomes. Annotation was
 performed using the NCBI Prokaryotic Genome Annotation Pipeline (Z4160)³⁸ or Prokka
 (CRE-166 and KPN46)³⁹.

402 Identification of shared genes

To identify genes shared between the three *K. pneumoniae* strains, we used the program Spine and defined shared coding sequences as those with >85% sequence homology between strains⁴⁰. We defined the core genome of *K. pneumoniae* as sequences present in 95% of 323 strains from a previous study⁴ (with homologous genes defined as those with >85% similarity between strains).

408 Murine model of GI Colonization

Six- to eight-week-old C57BL/6 mice (Jackson Laboratories) received 5 daily
intraperitoneal injections of vancomycin (350 mg/kg, Hospira) unless otherwise
indicated. For gavage with individual strains, inocula of 10⁸ CFU in 50 µl of PBS were
used. Mice received daily cage changes to minimize coprophagy. CFU were
enumerated by homogenization of fecal pellets in PBS with the Benchmark Bead
Blaster 24 (Benchmark Scientific) followed by serial dilution and plating on LB agar with
carbenicillin.

Transposon insertion sequencing experiments were performed as above. Frozen aliquots of the transposon libraries were revived for 2 hours in 25 mL of LB. CFU in fecal pellets were quantified as above, and DNA was extracted from the homogenates with the Maxwell 16 system.

For competitive colonization experiments, inocula of 1:1 mixtures of a
hygromycin-resistant parental strain and an isogenic apramycin-resistant mutant (10⁸
CFU each) were created. Fecal CFU burdens were enumerated as above by plating on
LB agar with hygromycin or apramycin. Competitive indices (CIs) were calculated as the
ratio of mutant CFU to parent strain CFU, normalized to the input ratio.

- 425 Mice were housed in a containment ward of the Center for Comparative Medicine 426 at Northwestern University. Experiments were approved by the Northwestern University 427 Institutional Animal Care and Use Committee in compliance with ethical regulations.
- 428 **Construction of transposon mutant libraries**
- 429 A suicide plasmid suitable for Himar1 mariner transposon mutagenesis in highly 430 antibiotic-resistant strains was generated from pSAMerm (gift from G. Pier⁴¹). Briefly, pSAMerm was modified by replacement of the erythromycin-resistance cassette with a 431 hygromycin-resistance cassette (HygR), and the Mmel sites in HygR were removed by 432 433 site-directed mutagenesis. The resulting plasmid, pSAM*hygSDM*, was transformed into 434 E. coli β3914 to generate a donor strain for conjugation with K. pneumoniae recipient 435 strains. To select for transconjugants and eliminate β 3914, we plated on LB agar 436 supplemented with hygromycin (but lacking DAP) and incubated at 37°C overnight. 437 Colonies were scraped and resuspended in LB with 25% glycerol. The resulting library was stored at -80°C. 438

439 Arbitrary PCR for library quality control

Genomic DNA was extracted from 32 randomly selected colonies from each
library, and two rounds of nested PCR were performed to amplify the transposon
insertion site for subsequent Sanger sequencing. Primer sequences are listed in
Supplemental Table 3.

444 Preparation of DNA for transposon insertion sequencing

445 DNA extracted from fecal pellets was prepared for insertion site sequencing 446 using the method of Kazi and colleagues⁴². Three replicates for each input (technical)

- and output (biological) pool were prepared, plus one technical replicate of an output
- sample. DNA was sheared to ~250 bp fragments with the E220 ultrasonicator (Covaris),
- and insertion sites were amplified with addition of capture/sequencing sites and
- 450 barcodes. Final library pool concentrations were quantified with Kapa library
- 451 quantification (Roche) and sequenced on an Illumina MiSeq.

452 Transposon insertion sequencing data analysis

- 453 A modified version of the previously described ESSENTIALS pipeline¹¹ was used
- to identify genes necessary for growth in LB and genes that contributed to
- 455 colonization⁴³.

456 Pathway analysis

- 457 KEGG identifiers were assigned to all genes using BlastKOALA, and KEGG
- 458 pathways were assigned using KEGG Mapper^{44,45}. A hypergeometric test was
- 459 conducted in R (v4.2.2) to determine which KEGG pathways were enriched for
- 460 colonization factors.

461 Isogenic mutant construction

462 Deletion mutants were created using lambda red recombination as previously 463 described⁴⁶. All mutants were confirmed by whole-genome sequencing on Illumina 464 platforms.

465 Bile assays

Dehydrated ox bile was resuspended in water (10% w/v, Sigma) and filtered
through a 0.2 μm filter. Strains were grown to an OD₆₀₀ of 1.0, and 1 mL of each culture

- 468 was pelleted and resuspended in 1 mL PBS. Each strain was inoculated (100 μl) into
- 469 900 μl of 10% ox bile, PBS, or LB and incubated with shaking at 37°C. At 0, 2, 4, and 24
- 470 hours, aliquots were removed and plated on LB agar for CFU enumeration.

471 Data availability

- 472 The complete genomes of CRE-166, KPN46, and Z4160 have been deposited,
- and respective accession numbers are: GCA_016797255.2, GCA_021272285.2,
- 474 GCA_030019695.1.

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		log ₂ (Fold change)		
Gene	Annotation	CRE-166	KPN46	Ž4160
aceE	Pyruvate dehydrogenase E1 component	-4.412	-5.504	-5.440
acrA	Multidrug efflux pump subunit	-5.906	-6.935	-5.512
adhE	Aldehyde-alcohol dehydrogenase	-3.353	-4.848	-7.339
arcB	Aerobic respiration control sensor protein	-5.342	-3.821	-6.018
bglY	Beta-galactosidase	-6.948	-2.896	-5.749
cvpA	Colicin V production protein	-4.611	-4.449	-6.031
cydA	Cytochrome bd-I ubiquinol oxidase subunit 1	-4.387	-6.933	-4.731
fnr	Fumarate and nitrate reduction regulatory protein	-4.248	-6.542	-5.723
focA	Formate Transporter	-6.486	-7.092	-6.679
gInA	Glutamine synthetase	-3.667	-3.755	-4.313
miaA	tRNA dimethylallyltransferase	-5.773	-3.856	-3.992
mtID	Mannitol-1-phosphate 5-dehydrogenase	-5.711	-6.709	-5.990
ompC	Outer membrane porin C	-5.738	-3.129	-3.472
pal	Peptidoglycan-associated lipoprotein	-4.172	-6.183	-4.662
, pfIA	Pyruvate formate-lyase 1-activating enzyme	-5.617	-7.979	-6.821
pflB	Formate acetyltransferase 1	-4.766	-5.270	-4.077
pgi	Glucose-6-phosphate isomerase	-5.404	-5.665	-4.507
ptsl	Phosphoenolpyruvate-protein phosphotransferase	-3.088	-6.240	-7.061
purC	Phosphoribosylaminoimidazole-succinocarboxamide synthase	-3.470	-4.634	-4.273
, purH	Bifunctional purine biosynthesis protein	-3.710	-5.209	-8.324
pykF	Pyruvate kinase I	-4.431	-4.701	-3.025
setA	Sugar efflux transporter A	-6.943	-5.168	-5.053
tatA	Sec-independent protein translocase protein	-3.384	-6.061	-4.829
tatC	Sec-independent protein translocase protein	-3.452	-5.452	-5.276
tolA	Tol-Pal system protein	-5.977	-5.965	-4.284
yeiE	HTH-type transcriptional activator	-5.445	-4.572	-4.522
-	Hypothetical polysaccharide deacetylase	-5.327	-4.906	-3.985
	······································			
carA	Carbamoyl phosphate synthase small subunit	-	-3.917	-5.662
carB	Carbamoyl phosphate synthase large subunit	-5.267	-	-4.220

*For each strain, genes with log₂fold change < -2 and FDR < 0.05 were compared. For the *carAB* two-gene operon, *carB* met these criteria for CRE-166 whereas *carA* met these criteria for KPN46 and Z4160.

602

603 FIGURE LEGENDS

604

Figure 1 Unique and shared coding sequences between 3 strains of *K. pneumoniae*.
 The software program Spine was used to identify coding sequences with at least 85%
 homology in the strains indicated.

608 Figure 2 Mouse model of GI colonization with K. pneumoniae. (A) Schematic of the in

vivo model. Mice were administered 5 days of 350 mg/kg intraperitoneal vancomycin

610 injections before orogastric gavage with 10^8 CFU K. pneumoniae. Fecal samples were

611 collected after gavage and CFU enumerated. (B) Fecal burden of CRE-166 following

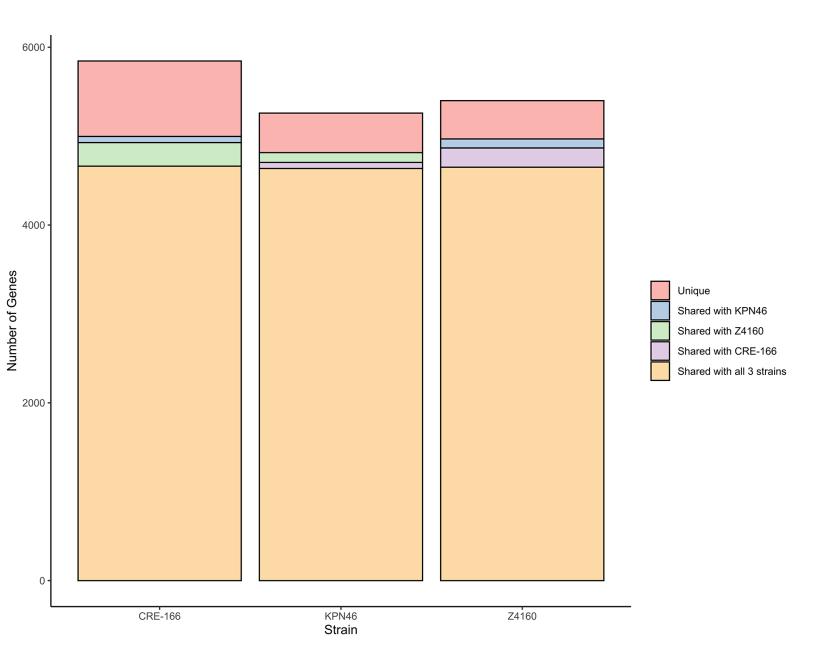
612 gavage into male (square) or female (circle) mice with (red) or without (black)

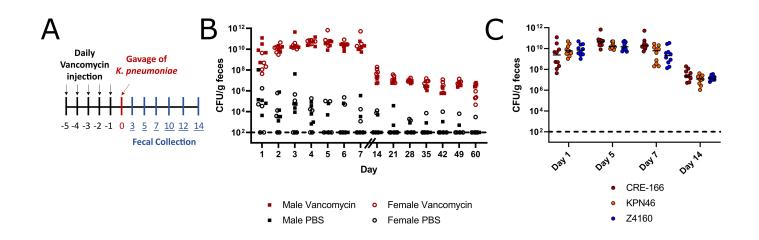
vancomycin treatment prior to gavage. n = 5 for each group. (C) Fecal burdens of

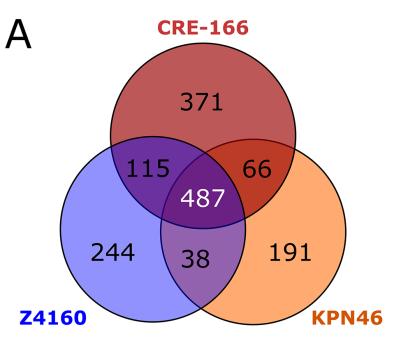
strains CRE-166, KPN46, and Z4160 in mouse model of GI colonization. n = 10 for each

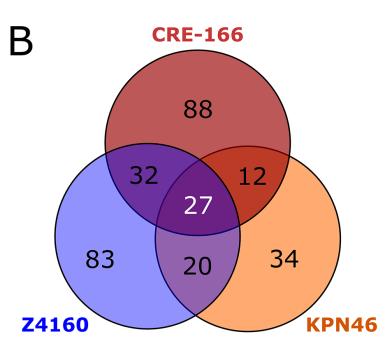
615 group. Line indicates median. Limit of detection was 10² CFU/g feces, denoted by a 616 dotted line.

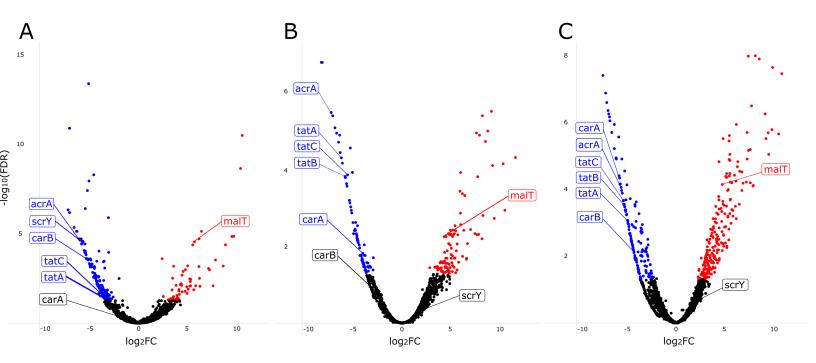
- **Figure 3** Genes required for growth in LB and for GI colonization. Transposon mutant
- 618 libraries were screened in a mouse model of GI colonization. n = 3 for inputs and
- outputs for each strain. (A) Shared and unique essential genes for each strain. (B)
- 620 Shared and unique genes for which transposon insertions resulted in reduced fitness in
- the gut. Genes had a \log_2 fold change < -2 and a false discovery rate < 0.05.
- 622 **Figure 4** Volcano plots showing results of transposon insertion sequencing experiments
- 623 in mice. Genes in which insertions were associated with decreased GI colonization
- 624 (blue dots) and increased colonization (red dots) for (A) CRE-166, (B) KPN46, and (C)
- 625 Z4160 are shown. Labeled points indicate targets that were chosen for the creation of
- 626 isogenic mutants. FDR, false discovery rate; FC, fold change.
- **Figure 5** Pathways used for GI colonization in 3 strains of *K. pneumoniae*. Kyoto
- 628 Encyclopedia of Genes and Genomes (KEGG) identifiers were assigned to all genes in
- the genomes of CRE-166, KPN46, and Z4160. The enrichment ratio (Enrich Ratio) was
- 630 calculated as the ratio of genes in the target list belonging to the specified KEGG
- 631 pathway to the total number of genes in the pathway in the genome. A hypergeometric
- test was used to determine false discovery rate (FDR), and FDR < 0.05 was considered
- 633 significant.
- **Figure 6** Competitive colonization between parent strains and isogenic mutants to
- validate genes identified in transposon insertion screens. Mice were treated with 5 days
- of vancomycin prior to gavage with 1:1 mixtures of marked parent strain (hygromycin-
- resistance cassette at the Tn7 site) and isogenic mutant (substitution of open reading
- 638 frame with apramycin-resistance cassette [A-N] or an unmarked in-frame deletion [O-
- 639 Q]) of the target gene(s). n = 10 for A-G and L-N. n \ge 9 for H-K and O-Q. Asterisks
- 640 denote significance by one-sample t-tests with Dunn correction where * p < 0.05, ** p <
- 641 0.01, *** p < 0.001, **** p < 0.0001, and "ns" indicates not significant. Limit of detection
- 642 was a competitive index of 10^{-7} for A-N and 10^{-3} for O-Q, denoted with a dotted line. 643 Log(competitive index) = 0, or equal recovered CFU of parental strain and mutant, is
- 644 marked with a dashed line.
- Figure 7 Resistance of CRE-166 and *acrA* mutants to 10% ox bile. Strains were inoculated into 10% ox bile (w/v), incubated, and CFU were plated for enumeration at the indicated timepoints. n = 3 biological replicates. Line denotes median. * indicates p < 0.05 in two-way ANOVA with Tukey's HSD test.

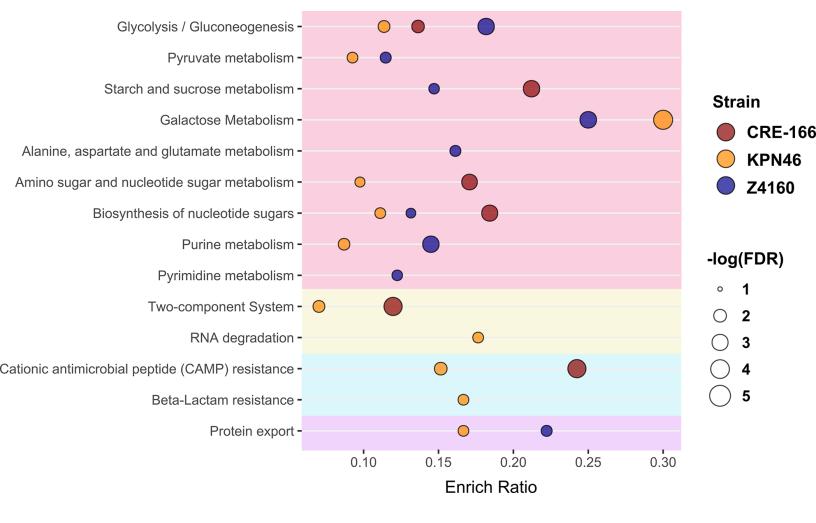


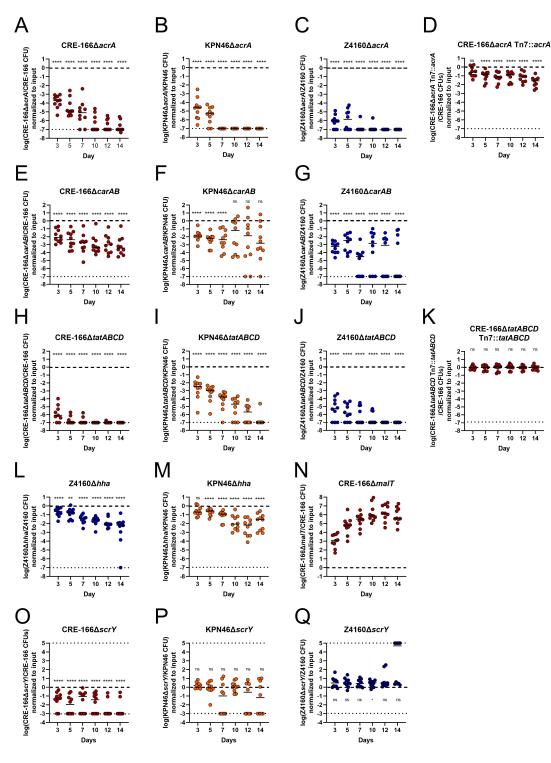












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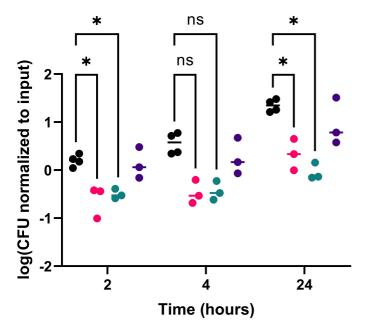
5 ż 10 12 14

Day

Tn7::tatABCD

5 ż 10 12 14

Day



- CRE-166 Tn7::HygR
- CRE-166Δ*acrA*::AprR
- CRE-166∆*acrA*
- CRE-166∆acrA Tn7::acrA