

Does major pathological response after neoadjuvant Immunotherapy in resectable nonsmall-cell lung cancers predict prognosis? A systematic review and meta-analysis

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Objective: Overall survival is the gold-standard outcome measure for phase 3 trials, but the need for a long follow-up period can delay the translation of potentially effective treatment to clinical practice. The validity of major pathological response (MPR) as a surrogate of survival for non small cell lung cancer (NSCLC) after neoadjuvant immunotherapy remains unclear.

Methods: Eligibility was resectable stage I–III NSCLC and delivery of PD-1/PD-L1/CTLA-4 inhibitors prior to resection; other forms/modalities of neoadjuvant and/or adjuvant therapies were allowed. Statistics utilized the Mantel–Haenszel fixed-effect or random-effect model depending on the heterogeneity (l^2).

Results: Fifty-three trials (seven randomized, 29 prospective nonrandomized, 17 retrospective) were identified. The pooled rate of MPR was 53.8%. Compared to neoadjuvant chemotherapy, neoadjuvant chemo-immunotherapy achieved higher MPR (OR 6.19, 4.39–8.74, P < 0.00001). MPR was associated with improved disease-free survival/progression-free survival/event-free survival (HR 0.28, 0.10–0.79, P = 0.02) and overall survival (HR 0.80, 0.72–0.88, P < 0.0001). Patients with stage III (vs I/II) and PD-L1 \geq 1% (vs <1%) more likely achieved MPR (OR 1.66, 1.02–2.70, P = 0.04; OR 2.21, 1.28–3.82, P = 0.004).

Conclusions: The findings of this meta-analysis suggest that neoadjuvant chemo-immunotherapy achieved higher MPR in NSCLC patients, and increased MPR might be associated with survival benefits treated with neoadjuvant immunotherapy. It appears that the MPR may serve as a surrogate endpoint of survival to evaluate neoadjuvant immunotherapy.

keywords: immunotherapy, major pathological response, neoadjuvant, nonsmall-cell lung cancer, survival

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Sponsorships or competing interests that may be relevant to content are disclosed at the end of this article

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Introduction

Lung cancer is one of the most common and deadly cancers in the world^[1]. Surgical resection remains the mainstay of treatment for early-stage and locally advanced nonsmall cell lung cancer (NSCLC). However, even with early-stage disease, 30–55% of patients with NSCLC develop recurrence and die of their disease despite curative resection^[2–5].

A meta-analysis of the NSCLC showed that adding chemotherapy for the neoadjuvant management could get a small gain in survival of 5% at 5 years^[6]. Immune checkpoint inhibitors (ICIs) targeting the PD-1/PD-L1 axis, either as monotherapy or in combination with chemotherapy, are now the cornerstone of the treatment of metastatic NSCLC. Multiple phase 2 trials of neoadjuvant immunotherapy have shown encouraging outcomes that ICI alone or combined chemotherapy, effectively reduce the size of locally advanced tumors and improve their pathological regression^[7]. The major pathological response (MPR), defined as 10% or less viable tumor, is in the range of 19–45% with single agent in the neoadjuvant setting, and fluctuates within 33-83% when combined with chemotherapy^[8]. Recently, neoadjuvant nivolumab plus chemotherapy showed statistically significant longer event-free survival (EFS), better pathological complete response (pCR) rate and MPR rate compared with chemotherapy alone in the phase III CheckMate-816 trial^[9].

Although overall survival (OS) is the gold-standard outcome measure for phase 3 trials, the need for a long follow-up period can delay the translation of potentially effective treatment to clinical practice. MPR as a candidate surrogate endpoint to rapidly evaluate the clinical efficacy of neoadjuvant chemotherapy (nCT) has also been advocated. Weissferdt *et al.*^[10] identified 151 NSCLC patients who had been treated with nCT followed by complete surgical resection from 2008 to 2012. The results revealed that MPR was associated with long-term OS on multivariable analysis (HR = 2.68, P = 0.01). Hellman *et al.*^[11] proposed that MPR was strongly associated with improved survival, reflected the treatment impact and captured the magnitude of the treatment benefit on survival. So far, the evidence-based validity of MPR has not been demonstrated in the immunotherapy era.

Herein, we performed a systematic review and meta-analysis to estimate the validity of MPR as a surrogate of survival after neoadjuvant immunotherapy.

Methods

Systematic review

This study was registered at the PROSPERO database. AMSTAR 2, Supplemental Digital Content 1, http://links.lww.com/JS9/ A615 and PRISMA, Supplemental Digital Content 2, http://links. lww.com/JS9/A616, Supplemental Digital Content 3, http://links. lww.com/JS9/A617 were used to evaluate methodological and reporting quality^[12,13]. A systematic literature review was performed in MEDLINE, CENTRAL, EMBASE, as well as the proceedings of the American Association for Cancer Research (AACR), the European Lung Cancer Congress (ELCC), the American Society of Clinical Oncology (ASCO), the American Society for Radiation Oncology (ASTRO), and the International Association for the Study of Lung Cancer (IASLC) annual meetings. We additionally reviewed the reference lists of included publications along with relevant review articles retrieved from the electronic searches to identify other potentially relevant studies that could have been missed. A complete list of the search strategies for each database is provided in Supplemental Tables 1–3, Supplemental Digital Content 4, http://links.lww.com/JS9/A618.

PRISMA, Supplemental Digital Content 2, http://links.lww. com/JS9/A616 and MOOSE guidelines were followed as shown in Supplemental Tables 4-5, Supplemental Digital Content 5, http://links.lww.com/JS9/A619. The inclusion criteria of publications were defined according to the PICOD criteria, which was listed as follows. P: resectable NSCLC and confirmation of NSCLC with histopathology; I/E: neoadjuvant ICIs, including PD-1/PD-L1 inhibitors and CTLA-4 inhibitors, either combined with chemotherapy, radiotherapy, or lack thereof; C: either no control group (i.e. single-arm study); or nCT; O: MPR, diseasefree survival (DFS), progression-free survival (PFS), EFS, recurrence-free survival (RFS), OS; D: randomized controlled trials, prospective nonrandomized trials, or observational (retrospective) studies. Searches did not have date restrictions and included articles in the English language that were published-/presented through 12 October 2022.

More specifically, studies were eligible if they met the following inclusion criteria: histopathologically-confirmed stage I–III NSCLC with intent to perform an oncologic-quality/curativeintent resection (regardless of the proportion that eventually underwent resection); delivery of PD-1, PD-L1, or CTLA-4

HIGHLIGHTS

- Question: Does major pathological response (MPR) after neoadjuvant Immunotherapy in resectable nonsmall-cell lung cancers predict prognosis?
- Findings: Neoadjuvant immunotherapy could improve pathological responses obviously, as evidenced by a 53.4% pooled MPR rate. Neoadjuvant chemo-immunotherapy yielded promising efficacy, with an increased MPR rate compared with chemotherapy alone. MPR were shown to be associated with improved OS and disease-free survival/progression-free survival/event-free survival, and patients with stage III (vs I/II) or PD-L1 $\geq 1\%$ (vs PD-L1 < 1%) were significantly more likely to achieve MPR.
- Meaning: The findings of this meta-analysis suggest that increased MPR may associate with survival benefits in NSCLC patients treated with neoadjuvant immunotherapy. The MPR may serve as a surrogate endpoint of OS to evaluate neoadjuvant immunotherapy. Our data can be provided.

inhibitors (regardless of dosing or cycles) prior to resection (nCT and/or radiotherapy, or any type of adjuvant therapy (or lack thereof), was allowed); sufficient data for quantitative metaanalysis for at least one outcome measure listed above (if the study pertained to a heterogeneous cohort, outcomes for the eligible population as defined above had to have been separately reported).

Other exclusion criteria were as follows: delivery of other treatment regimens/approaches prior to neoadjuvant therapy; meta-analyses, reviews, surveys, letters, case reports, and book chapters; studies based on the National Cancer Database or the Surveillance, Epidemiology, and End Results database, as these do not record the specific ICI agent; studies involving nonhuman subjects; and incomplete studies.

If a trial had been updated, we included only the publication with the most complete data. The data was reviewed by two independent authors (Y.J.W. and Q.L.) and validated with another two (J.W. and J.Y.C.) until consensus was reached. If important data in the included studies were missing, contacting the authors of the original publications was considered.

Data extraction

From each study, extracted data included the first author's name, study year, study design, baseline characteristics, neoadjuvant treatment regimen(s), histology, number of patients, MPR, PFS/DFS/EFS/RFS, and OS. Of note, for purposes of this metaanalysis, PFS/DFS/EFS/RFS were used interchangeably given the similar semantics and methodologies used to calculate these outcomes.

Different articles defined MPR and PCR differently; 33 articles reported that MPR contained PCR, 7 articles reported that MPR did not contain PCR, and 13 articles did not specify whether MPR contained PCR. We added the MPR given in the article with PCR as the MPR for this study in response to these seven papers where the MPR did not include PCR. We contacted the original author by e-mail to clarify the relationship between MPR and PCR in response to these 13 articles that did not specify whether the MPR contained PCR. Of these, four articles had explicitly stated that the MPR contained PCR, and two articles' authors had not responded to the e-mail. However, seven articles in which we were unable to determine the author's e-mail were therefore unable to contact the author.

Evaluation of quality and bias

According to the Cochrane-Handbook for Systematic Reviews of Interventions, two authors independently assessed the methodological quality of the included randomized studies using the Cochrane Collaboration's 'Risk of Bias' tool (Supplemental Figs. S1-2, Supplemental Digital Content 6, http://links.lww.com/JS9/ A620, Supplemental Digital Content 7, http://links.lww.com/JS9/ A621). The following domains were assessed: random sequence generation, allocation concealment, blinding of participants and personnel, blinding of outcome assessment (assessed separately for self-reported and objectively assessed outcomes), incomplete outcome data, selective reporting, and other sources of bias (specifically, baseline imbalance). Each item was rated as at 'low risk', 'unclear risk', or 'high risk' of bias. All review authors participated in resolving any discrepancies until a consensus was reached. For nonrandomized studies, the risk of bias was assessed by the MINORS score (Supplemental Table 6, Supplemental Digital Content 8, http://links.lww.com/JS9/A622). The items were scored 0 (not reported), one (reported but inadequate), or two (reported and adequate). The global ideal score was 16 for noncomparative studies and 24 for comparative studies.

Publication bias was examined by means of constructing funnel plots. Begg's test were conducted to analyze the publication bias of all outcomes.

Statistical analysis

The Review Manager (Rev Man) (version 5.4, provided by the Cochrane collaboration website at www.cochrane-handbook. org) software was used to evaluate publication bias, generate funnel plots and prediction intervals (PIs), evaluate for heterogeneity, as well as conduct the meta-analysis.

We assessed heterogeneity among trials using the χ^2 -test for heterogeneity (with a 10% level of statistical significance) and the I^2 statistic. Data was pooled using the Mantel–Haenszel fixedeffect model if there was no significant heterogeneity ($I^2 < 50\%$). If there was significant heterogeneity ($I^2 \ge 50\%$) the randomeffect model was employed. Data regarding MPR was expressed as ORs with 95% CIs. For time-to-event data (DFS, PFS, EFS, RFS, OS), these were expressed as HRs with 95% CIs; additionally, the ORs or HRs were integrated to obtain the logHR or logOR and standard error (the inverse variance method was used to calculate the combined statistics). To quantify the association between DFS/PFS/EFS/RFS/OS and MPR, classical pairwise meta-analysis was conducted using a frequentist framework.

R software (version 4.2.0) was used to provide pooled estimates of the MPR of the single-group studies. The Meta-analysis for R (metafor) package (version 3.4-0) and the General Package for Meta-Analysis (meta) (version 5.2-0) were used to perform the random or fixed effects meta-analyses, tests for heterogeneity $(I^2 \text{ and } \tau)$, generation of PIs, generation of funnel plots, and tests for publication bias (τ , which is the SD of the random-effect, to quantify study heterogeneity, was calculated using an arcsine transformation, with the value ranging from 0 to π ; an inverse transform, ($\sin[\tau/2])^2$, was used to express τ as a percentage in the article). The angular transformation was used and a 0.5 continuity correction was applied for studies with an event probability of 0 or 1. In addition, the restricted maximum likelihood method and the Knapp–Hartung adjustment were used. Weighted random-effect models and weighted fixed-effect models were used to determine an overall summary estimate for each outcome measure and were depicted on a forest plot with its corresponding 95% CI and associated 95% PIs. PIs were included because they were particularly insightful in this setting, with a 95% PI providing a prediction region for a single future study. The R code used to generate each of these analyses is provided in the Supplement 3, Supplemental Digital Content 9, http://links. lww.com/JS9/A623.

A sensitivity analysis was performed using Stata v12.0 according to the 'leave-one-out' method, which was used to determine the impact of each individual study on the overall results by removing each study. Each estimate value and its upper and lower CIs represented the HR or OR after the individual study was removed. The critical value of OR was 1 and the critical value of HR was 0. After removing any individual study, if the new HR or OR value was consistent with the original HR or OR value on the same side of the critical value, it was considered to verify the robustness of the particular outcome parameter.

Results

Literature review

Fifty-three articles met the criteria for this meta-analysis (Fig. 1), which included 7 randomized trials, 29 prospective nonrandomized trials, and 17 retrospective studies. There were nine studies that compared neoadjuvant chemo-immunotherapy (nCIT) versus nCT alone. Table 1 displayed pertinent details of each study^[9,14–65]; patients were most commonly stage III and received 2–4 cycles of a variety of ICIs.

MPR of neoadjuvant immunotherapy

Fifty-three articles were included. Of note, Cascone *et al.*^[14] and Altorki *et al.*^[18] reported MPR rates of neoadjuvant ICI alone and ICI combination regimens, and Qiu^[19] reported MPR rates of neoadjuvant ICI 2 cycles and 3 cycles. For these three articles, each arm needed to be analyzed as an independent data. After pooled analysis of the 56 MPR data, the estimated rate was 53.8% (95% CI 47.0–59.6%, 95% PI 16.9–88.4%; $I^2 = 88\%$ 95% CI 85–90%; $\tau = 0.20$, 95% CI 0.16–0.25) (Fig. 2).

Comparison MPR of nCIT vs nCT alone

There were eight comparative studies from the available data; of these, the most common comparison was nCIT versus nCT alone (n = 8; five prospective and three retrospective).

As compared to nCT, patients who underwent nCIT were associated with higher MPR rates; the OR was 6.19 (95% CI 4.39–8.74, P < 0.00001) (Fig. 3). There was no significant heterogeneity.

Correlation between MPR and survival outcome

We explored whether MPR could be an indicator for survival. As a result, HR for DFS/PFS/EFS and OS by MPR status crossed six studies and four studies, respectively. The overall HR for DFS/PFS/EFS was 0.28 (95% CI: 0.10–0.79, P=0.02), indicating a



statistically significant association between MPR and DFS/PFS/EFS (Fig. 4). The overall HR for OS was 0.80 (95% CI: 0.72–0.88, P < 0.0001), indicating a statistically significant association between MPR and OS (Fig. 4).

Correlation between MPR and ORR

Although a minority of studies reported the relationship between MPR and ORR (n = 17), the results were statistically significant and all without heterogeneity. MPR was associated with a OR of 6.21 for ORR (95% CI 3.71–9.16, P < 0.00001) (Fig. 5).

Predictors of MPR and association with outcomes

Lastly, we examined several potential predictors of developing MPR with neoadjuvant immunotherapy (with or without other neoadjuvant therapies), such as PD-L1 expression, histology, and clinical stage. Ten studies reported MPR rates by PD-L1 tumor proportion score. Patients with PD-L1 \geq 1% were significantly more likely to achieve MPR (OR 2.21, 95% CI 1.28–3.82, P = 0.004, Fig. 6) than that with PD-L1 negative (<1%). Eight studies reported MPR rates by clinical stage (III vs I/II). Patients with stage III were significantly more likely to achieve MPR (OR 1.66, 95% CI 1.02–2.70, P = 0.04, Fig. 6) than stage I/II patients. Histology (squamous cell vs nonsquamous) was not significantly associated with MPR rates (Fig. 6). There was no heterogeneity in any of the aforementioned parameter.

Sensitivity analysis and statistical analysis of publication bias

Sensitivity analysis by systematically eliminating each specific study from the total count demonstrated that the new HRs or ORs were similar to the original HRs/ORs as above (Supplemental Table 7, Supplemental Digital Content 10, http://links.lww.com/JS9/A624), implying that any given study might not have disproportionately impacted the results. Additionally, statistical analysis of publication bias demonstrated no statistically significant evidence thereof (Supplemental Figure S3, Supplemental Digital Content 11, http://links.lww.com/JS9/A625).

Discussion

Neoadjuvant therapy has the potential to improve the survival of resectable NSCLC patients. The challenge remains to determine the best neoadjuvant approach to achieve a high response rate and acceptable toxicity. Immunotherapy recently emerges as a promising therapeutic strategy for NSCLC, and some focus has shifted to the use of ICI in early-stage NSCLC. The delivery of early ICI therapy may lead to a deep pathological response because of the antigen load of the entire cancer prior to surgical resection^[66,67]. Brandt et al.^[68] reported that the MPR rate following nCT was 15%. The CheckMate 159 research showed that neoadjuvant nivolumab achieved MPR in 45% of participants^[37]. The phase II NADIM study evaluated the effectiveness of nivolumab combined with carboplatin/paclitaxel as neoadjuvant therapy in patients with stage IIIa resectable NSCLC. A high MPR rate of 82.9% suggested that nCIT might be a new option for patients with locally advanced NSCLC^[36]. It

Table 1

General information from the included studies.

											OS	EFS/DFS/PFS						
Trial	Trial type	No. of patients n	Resectable , patients, % (n)	Histology	Clinical stage	R0% (<i>n</i>)	MPR% (n)	ORR% (<i>n</i>)	DCR% (<i>n</i>)	pCR% (<i>n</i>)	Survival (median/%)	Survival (median/%)	Neoadjuvant regimen	Neoadjuvani cycle	t Adjuvant 10	≥ G3 TRAEs% ≥ (n) 9	F ≥ G3 SRAEs 6(n) (⁼ atal AEs (n)
Forde PM et al, 2022 ^[9]	randomised, controlled, phase III	179	149 (83.2%)	NSCLC	IB-IIIA	83.2% (124/149) 36.9% (66/179)) 53.6% (96/179	9)92.7% (166/17	9) 24% (43/179) NR (median)	31.6 months (median), 76.1% (1-year	nivolumab + Chemo	3		33.5%		5
		179	135 (75.4%)			77.8% (105/135) 8.9% (16/179)) 37.4% (67/179	9)86.6% (155/17	9) 2.2% (4/179)	NR (median)	20.8 months (median) 63.4% (1-year	Chemo			36.9%		4
Cascone, T. et al 2021 ^[14]	, randomized, phase II	23	22 (95.7%)	SCC(39%), ASCC(2%), AC (59%)	I-IIIA	100% (22/22)	22% (5/23)	22% (5/23)	87% (20/23)	9% (2/22)	NR (median)	NR (median)	nivolumab	3		13%(3/23)		1
Provencio et al.2022 [15]	randomized, phase II	21 57	17 (81.0%) 53 (93.0%)	NSCLC	IIIA-B	100% (17/17) 92.5%49/53	38% (8/21) 52% (30/57)	19% (4/21) 74% (42/57)	81% (17/21) _	29% (6/21) 36.2% (21/57)	NR (median) 84.7% (2- year)	NR (median) 66.6% (2-year	nivolumab + ipilimumab Nivolumab + Chemo	3 3	 6 mo	10%(2/21) 24.6%(14/57)		0 0
		29	20 (69.0%)			65.0% (13/20)	14% (4/29)	48% (14/29)		6.8% (2/29)	63.4% (2- vear)	42.3% (2-year	Chemo	3		10.3%(3/29)		
Feng, Y et al, 2021 ^[16]	randomized, phase II	8	8 (100.0%) SCC(90.5%),Non-SCC(9.5%)	IIA-IIIB	-	50% (4/8)	87.5% (7/8)	100% (8/8	37.5% (3/8)	_	-	pembrolizumab or toripalimab + Chemo	2		12.5%(1/8)		0
Lei J et al, 2020 [17]	randomised, controlled,	13 14	13 (100.0% 7 (50%))	IIIA-IIIB		38.46% (5/13) 28.6% (2/7)	46.15% (6/13) 86.7% (6/7)	100% (13/13) _	7.69% (1/13) 57.1% (4/7)	-	-	Chemo camrelizumab + Chemo	2 3		0		0 0
Altorki, N. K. et al, 2021 [18]	phase II trial randomized, phase II	13 30	6 (46.2%) 26 (86.7%)	AC(53%),SCC(37%), Sarcomatoid(3%), NOS(7%)	I-IIIA	_ 88% (23/26)	16.7% (1/6) 6.7% (2/30)	57.1% (4/6) 3% (1/30)	_ 90% (27/30)	16.7% (1/6) 0	-	_	Chemo durvalumab	3 2	 12 cycles	 20%(6/30)		0 1
Qiu FM et al,2022 ^{[19}	Randomized, ¹ phase II	30 60	26 (86.7%)	NSCLC	IB-IIIA	96% (25/26) 91.7% (55/60)	53.3% (16/30) 41.4% (12/29)	47% (14/30) 55.2% (16/29)	96.7% (29/30) —	26.6% (8/30) 24.1% (7/29)	-	_	durvalumab + RT Two cycles sintilimab + Chemo	2	12 cycles 1 y	23.3%(7/30) 5%(3/60)		1
Hou X et al,2022 [20]	Prospective, observational	31	31 (100.0%) SCC(48.3%) AC(45.2%)	IIIA-IIIB	-	26.9% (7/26) 61.3% (19/31)	50% (13/26) 64.5% (20/31)	_ 100% (31/31)	19.2% (5/26) 25.8% (8/31)	_ 95.0% (1- year)	91.6% (1-year	Three cycles sintilimab + Chemo camrelizumab + Chemo	3	≥2			
	Study	25	24 (96.0%)	SCC(60.0%)		-	37.5% (9/24)	40.0% (10/25)	92.0% (23/25)	8.3% (2/24)	83.2% (1-	57.0% (1-year	Chemo	3	≥2			
Liang, H et al, 2021 ^[21]	retrospective	10	10 (100.0%) SCC(60%),AC(20%),large-cell (5%).Others(15%)	IIB-IIIB	-	50% (5/10)	80% (8/10)	100% (10/10)	10% (1/10)	100% (10/10	0)100% (10/10)	PD-1 inhibitors + Chemo	1-6		0	0	0
Liu Z et al, 2021 [22]	retrospective	10 79	10 (100.0% 79 (100.0%)) SCC(55.9%),AC(32.4%),ASCC (2.9%),large-cell(5.9%), sarcomatoid(1.2%),others	; IB-IIIB	_ 100% (79 /79)	30% (3/10) 53.2% (42/79)	30% (3/10) 70.9% (56/79)	80% (8/10) 98.7% (78/79)	0	60% (6/10) _	60% (6/10) 13.28 months (median), 67.2% (2-year	Chemo pembrolizumab/nivolumab/ sintilimab/camrelizumab + Chemo	1-6 3 (2-5)				0
		91	91 (100.0%)		100% (91 /91)	14.3% (13/91)	47.3% (43/91)	95.7% (87/91)	-	-	12.6 months (median), 39.5% (2-vear	Chemo	2 (2-5)				
Zhao D et al, 2022 ^[23]	retrospective	42	42 (100.0%)) SCC(69.0%) AC(19.0%) Others(11.9%)	IB-IIIB	100% (42/42)	71.4% (30/42)	59.5% (25/42)	80.9% (34/42)	40.5% (17/42)	-	_	pembrolizumab + Chemo	2-4				1
		98	98 (100.0%) SCC(54.1%) AC(37.8%) Others(8.2%)		100% (98/98)	14.3% (14/98)	22.4% (22/98)	71.4% (70/98)	6.1% (6/98)	-	-	Chemo	2-4				3
Huang Z et al, 2021 ^[24]	Retrospective	25	24 (96.0%)	AC(66.3%), SCC(24.8%), Others(8.9%)	IIIA	95.8% (23/24)	37.5% (9/24)	32.0% (8/25)	96.0% (24/25)	4.2% (1/24)	-	-	nivolumab	2		12%(3/25) 1	2.5%(3/24)	0
Chaft J E et al,	prospective,	82 181	78 (95.1%) 159 (88%)	SCC(38%), Others(62%)	IB-IIIB	84.6% (66/78) 86.2% (137/159	12.8% (10/78)) 20.3% (29/143)	53.7% (44/82) 6.1% (11/181	95.1% (78/82) I)87.3% (158/18	2.6% (2/78) 1) 5.6% (8/143)	-	-	Chemo atezolizumab	2 1-2		20.7%(17/82) 1 11.0%(20/181)	6.7%(13/78) 	0
Z022 ⁽²⁶⁾ Zhang Y. et al, 2022 ^[26]	single-arm prospective, single-arm	26	17 (65.4%)	SCC	IIB-IIIB	-	38.5%(10/26)	-	-	19.2% (5/26)	-	-	Camrelizumab + Chemo	2-4		7.6%(2/26)		

Bahce I. et al, 2022 ^[27] Gao X et al	prospective, single-arm	26	24 (92.3%)	NSCLC	IIB-IIIB	-	79.2% (1924)	-	-	62.5% (15/24)	-	-	lpilimumab + nivolumab + Chemo + RT			54%(14/26)		
2022 ^[28]	single-arm	44	44 (100.0%)	NSCLC	Ш	100.0% (44/44)	84.1% (37/44)	-	-	59.1% (26/44)	-	-	+ Chemo	3		18.2%(8/44)		
[29]	single-arm	37	27 (73.0%)	SCC(78.4%) Others(21.6%)	IIB-III	96.0% (26/27)	81.5% (22/27)	-	-	48.1% (13/27)	-	-	tislelizumab + Chemo	3-4		2.7%(1/37)		
Yan S et al,2022 [30]	single-arm	53	39 (73.6%)	SCC(79.2%) Others(20.8%)	IIB-IIIB	100.0% (39/39)	61.4% (25/39)	85.7% (42/49)	-	51.3% (20/39)	-	-	tislelizumab + Chemo	2-4		30.6%(15/49)		
Zhang Y et al.2022 ^[31]	prospective, single-arm	40	26 (65.0%)	SCC(87.9%) Others(12.1%)	IIIA-IIIB	100.0% (26/26)	57.7% (15/26)	_	_	42.3% (11/26)	-	89.4% (1-year) 72.9% (2-year)	toripalimab + Chemo	2-4	cycles + 13 cycles			
Wang J et al 2021 ^[32]	prospective,	72	72 (100 0%)	SCC(1.4%),AC(6.9%),SCLC (91.7%)	IIIΔ	_	_	94 4% (68/72)	98.6% (71/72)	29.1% (21/72)	_	_	PD-1 inhibitors + Chemo	2		19 4%(14/72)		0
Duan H et al 2021 ^[33]	prospective,	23	20 (87 0%)	AC(17.4%) SCC(82.6%)	IIA-IIIR	95% (19/20)	50% (10/20)	73 9% (17/23)	100% (23/23)	30% (6/20)	_	11.3 months (median)	PD-1 inhibitors	1-4		30%(6/20)		
Zhang, Y. et al, 2021 ^[34]	retrospective	56	45 (80.4%)	NSCI C	IIIA-IIIB	100% (45/45)	68.0% (31/45)			40% (18/45)	_	(11001011)	nembrolizumah/torinalimah + Chemo			5.4%(3/56)		
Zinner, R. et al,	prospective,	12	12 (100.0%)	SCC(60%) pop SCC(21%)		100 % (43/43)	AC0/ (C(12)	469/ (6/12)		200/ (E/12)				2		20.99/ (4/12)		
2020	Single-dim	15	13 (100.0%)	300(09%),1011-300(31%)	-IIIA	-	40% (0/13)	40% (0/13)	_	36% (3/13)	97.8% (1-	-	nivolumau + chemo	3		30.6%(4/13)		
											93.5%	95.7% (1-year)						
Provencio	single-arm,										(18 months 89.9% (2-	s) 87% - (18 months)						
et al.2020 [30] Forde PM	phase II prospective,	46	41 (89.1%)	SCC(35%),AC(57%),NOS(9%) AC(62%),SCC(29%),others	IIIA	100% (41/41)	83% (34/41)	76.1% (35/46)	100% (46/46)	63% (26/41)	year)	77.1% (2-year) RFS:73.0%	nivolumab + Chemo	3		30%(14/46)		
et al.2018 [37]	single-arm prospective,	22	21 (95.5%)	(10%)	I-IIIA	95% (20/21)	45% (9/20)	9.5% (2/21)	95.2% (20/21)	15% (3/20)	-	(18 months)	nivolumab	1		4.5%(1/22)		
Bott MJ et al.2018 ^[38]	single-arm, phase I	22	20 (90.9%)	AC(67%),SCC(24%),ASCC (5%), Pleomorphic(5%)	I-IIIA	_	45% (9/20)	9.5% (2/21)	95.2% (20/21)	_	-	_	nivolumab	2		5%(1/20)		
Shen D et al, 2021 ^[39]	prospective, single-arm	37	37 (100.0%)	SCC(100%)	IIB-IIIB	100% (37/37)	64.9% (24/37)	86.5% (32/37)	100% (37/37)	45.9% (17/37)	-	-	pembrolizumab + Chemo	2				
Eichhorn F et al,	prospective, single-arm,																	
2021 ^[40] Bar, J., et al,	phase II prospective,	15	15 (100.0%)	AC(86.7%), SCC(13.3%) AC(50%),SCC(42%),ASCC	II-IIIA	100% (15/15)	13.3% (2/15)	26.7% (4/15)	93.3% (14/15)	13.3% (2/15)	-	-	pembrolizumab	2		20%(3/15)		
2021 ^[41]	single-arm	26	23 (88.5%)	(4%),NSCLC(4%)	-	-	27% (7/26)	4% (1/26)	84.6% (22/26)	12% (3/26)	-	-	pembrolizumab			8%(2/26)		
Tong BC et al, 2021 ^[42]	single-arm, phase II trial	30	25 (83.3%)	AC(33%),SCC(57%),others (10%)	IB-IIIA	88% (22/25)	28% (7/25)	_	_	12% (3/25)	_	_	pembrolizumab	2	4 cvcles	3.3%(1/30)		
Shu CA et al	prospective,			SCC(40%) AC(57%) Large cell						(,		17 9 months			,	,		
2020 ^[43]	phase II prospective,	30	29 (96.7%)	neuroendocrine(3%)	IB-IIIA	87% (26/30)	57% (17/30)	63.3% (19/30)	93.3% (28/30)	33% (10/30)	-	(median)	atezolizumab + Chemo	2-4				3
Lee J et al, 2021 ^[44]	single-arm, phase II	181	159 (87.8%)	SCC(38%),NSCC(62%)	IB-IIIB	91% (145/159) 20% (30/147)	-	_	7% (10/147)) –	-	atezolizumab	2		9(5.0%)	20(12.6%)) 1
Zhang P et al,	prospective, single-arm,			AC(22%),SCC(56%), NOS							93.7% (1-	-						
2022 ^[45]	phase II trial prospective,	50	30 (60.0%)	(22%)	IIIA	100% (30 /30)	43.3% (13/30)	46% (23/50)	96% (48/50)	20% (6/30)	year)	85.3% (1-year)	sintilimab + Chemo	2-4		8%(4/50)		1
Gao S et al, 2020 [46]	single-arm, phase lb	40	37 (92.5%)	SCC(82.5%),AC(15%),Mixed (2.5%)	IA-IIIB	97.3% (36/37)	40.5% (15/37)	20% (8/40)	90% (36/40)	16.2% (6/37)	_	_	sintilimab	2		10%(4/40)		1
Tao XL et al,	prospective, single-arm,			AC(16.7%),SCC(80.6%),Mixed														
2020 ^[47] Zhu. X et al.	phase lb prospective.	36	36 (100.0%)	(2.8%) SCC(64.6%), AC(18.8%),	IA-IIIB	-	36.1% (13/36)	36.1% (13/36)	94.4% (34/36)	13.9% (5/36)	-	-	sintilimab	2				
2021 ^[48]	single-arm	48	22 (45.8%)	NSCLC(16.6%)	IIA-IIIC	100% (22/22)	40.9% (9/22)	62.5% (30/48)	79.2% (38/48)	18.2% (4/22)	-	-	toripalimab + Chemo	2-4		6.2%(3/48)		
Wu YL et al, 2022 ^[49]	single-arm, phase 1b/3	37	34 (91.9%)	AC(13.5%), SCC(83.8%),ASCC (2.7)	; II-IIIB	94.1% (32/34)	55.9% (19/34)	70.3% (26/37)	97.3% (36/37)	32.4% (11/34)	-	-	PD-1 inhibitors + Chemo	3	16 cycles	78.4%(29/37)	17.6%(6/34)	
Zhao ZR et al,	prospective, single-arm,																	
2021 ^[DU]	phase II prospective,	33	30 (90.9%)	NSCLC	IIIA-IIIB	96.7% (29/30)	66.7% (20/30)	87.9% (29/33)	97% (32/33)	50% (15/30)	-	-	toripalimab + Chemo	3	12 months	6%(18.2)		
Hong MH et al, 2021 ^[51]	single-arm, phase II	14 67	11 (78.6%) 55 (82.1%)	AC(50%),others(50%)	III IIIA	100% (11/11) 93% (51/55)	72.7% (8/11) 62% (34 /55)		_) 100% (55/55)	27.3% (3/11) 18% (10/55)	-	_	durvalumab + Chemo + RT durvalumab + Chemo	2 3	12 months 26 cycles	7%(1/14) 88%(59 /67)	 87%(48 /55)	

Table 1

(Continued)

											0S	EFS/DFS/PFS						
Trial	Trial type	No. of patients n	Resectable , patients, % (n)	Histology	Clinical stage	R0% (<i>n</i>)	MPR% (n)	ORR% (<i>n</i>)	DCR% (<i>n</i>)	pCR% (<i>n</i>)	Survival (median/%)	Survival (median/%)	Neoadjuvant regimen	Neoadjuvar cycle	nt Adjuvant 10	≥ G3 TRAEs ^a (n)	%≥G3 SRA %(n)	Fata Es AEs (n)
Rothschild SI et al, 2021 ^[52]	prospective, single-arm, phase II trial prospective,			SCC(33%),AC(55%),large-cell neuroendocrine carcinoma (2%),NOS(10%)							91% (1-year 83% (2-year	r) EFS: 73% (1- r) year)						
Tfayli A et al, 2020 ^[53]	single-arm, phase II	15	11 (73.3%)	SCC(13.3%), AC(86.7%)	IB-IIIA	-	9.1% (1/11)	27.2% (3/11)	81.8% (9/11)	6.7% (1/15)	-	-	avelumab + Chemo sintilimab	3		26.7%(4/15)		
Deng H et al, 2021 ^[54]	retrospective	51	31 (60.8%)	(3.2%), large cell lung cancer (3.2%), lymphoepithelioma-like carcinoma(6.5%)) IIIB	96.8% (30 /31)	67.8% (21/31)	71% (22/31)) 100% (31/31)	35.5% (11/31)	_	27.5 months (median)	nivolumab /tislelizumab + Chemo	3.4				
Shi, L., et al, 2021 ^[55]	retrospective	27	27 (100.0%) SCC	IIA-IIIB	-	55.6% (15/27)	_	-	29.6% (8/27)	_	-	sintilimab,/ pembrolizum/camrelizumab/ toripalimab/tislelizumab + Chemo	1-4		66.6%(18/27))	
Hong T et al, 2021 ^[56]	retrospective	25	25 (100.0%	SCC(76%), AC(20%), Others) (4%) AC(20%), SCC(70%), ASCC	IIA-IIIC	100% (25/25)	52% (13/25)	88% (22/25)) 100% (25/25)	32% (8/25)	-	-	sintilimab/pembrolizumab/ camrelizumab + Chemo	3				
Hu Y et al, 2021 ^[57] Cheng X. et al,	retrospective	20	20 (100.0%	(5%), large-cell neuroendocrine) carcinoma(5%) SCC(63.2%),	B-IIIB	100% (20/20)	40% (8/20)	75% (15/20)) 100% (20/20)	25% (5/20)	-	-	sintilimab/pembrolizumab/ tislelizumab/toripalimab + + Chemo	2-4		0		
2022 ^{LOOJ}	retrospective	19	19 (100%)	Other(36.8%) SCC(33.3%), AC(50.0%), ASCO (8.3%)) IIIA-IIIB	100% (19/19)	79.0% (15/19)	-	-	52.6% (10/19)	-	-	l islelizumab + Chemo	2-4				
2021 ^[59] Wu J et al,	retrospective	12	12 (100.0%) adenocarcinoma (8.3%) AC(22%), SCC(65%), NSCLC-	IIIA-IIIB	100% (12/12)	33.3% (4/12)	50% (6/12)	100% (12/12)	41.7% (5/12)	-	-	pembrolizumab/nivolumab + Chemo)				
2022 ^[00] Zhang, Y et al, 2021 ^[61]	retrospective	76 30	76 (100.0% 23 (76.7%)) NOS(13%) SCC(73.3%), others(26.7%)	IB-IIIB	100% (76/76)	64% (49/76) 69.6% (16/23)	75% (57/76) _) 100% (76/76) _	37% (28/76) 30.4% (7/23)	_	-	pembrolizumab/nivolumab + Chemo pembrolizumab/toripalimab + Chemo	o 2-4		25%(19 /76	5)	
Chen Y et al, 2021 ^[62]	Retrospective, single-arm	35	35 (100.0%	SCC(74.3%), AC(20%), Large- cell carcinoma(2.9%),) Sarcomatoid carcinoma(2.9%)	IIIA-IIIB	100% (35/35)	74.3% (26/35)	48.6% (17/35)) 100% (35/35)	51.4% (18/35)	_	_	pembrolizumab + Chemo	2		2.9%(1/35)		
											90.5% (1- year), 86.8% (18 months)	67% (1-year), 53.4% (18						
Zhai H et al, 2022 ^[63] Xao X et al. 202	retrospective	46	45 (97.8%)	AC(41.3%), SCC(58.7%)	IIIA-IIIB	95.6% (43/45)	17.8% (8/45)	60.9% (28/46)) 97.8% (45/46)	53.3% (24 /45	79.9% (2-) year)	montyhs) 45.8% (2-year	nivolumab + Chemo	3	at least 1 cycle	19.6%(9/46)		
[64] Fan BS	retrospective	11	11 (100%)	AC(8.9%), SCC(91.1%)	IIIA-IIIB	100% (11/11)	81.8% (9/11)	72.7% (8/11)	100% (11/11)	72.7% (8/11)	-	-	camrelizumab/ durvalumab + Chem	0 2-3	1-2 cycles	3		
et al,2022 [65]	retrospective	8	8 (100.0%) AC(25.0%)	IIIA/IIIB	100.0% (8/8)	62.5% (5/8)	87.5% (7/8)	100% (8/8)	-	-	-	sintilimab + Chemo	1-3		12.5%(1/8)		

Therefore they were included in our meta-analysis due to different purposes.

AC, adenocarcinoma; AEs, adverse events; ASCC, adenocarcinoma squamous cell cancer, Chemo:Chemotherapy; DCR, disease control rate; DFS, disease-free survival; EFS, event-free survival; G3, grade 3; MPR, major pathological response; NOS, Not otherwise specified; NR, not reached; NSCLC, non small cell lung cancer; ORR, objective response rate; OS, overall survival; pCR, pathological complete response; PFS, progression-free survival; R0, R0 resection (no residual tumor); RFS, recurrence-free survival; RT, Radiotherapy; SCC, squamous cell cancer; SCLC, small cell lung cancer; SRAE, surgery-related adverse events; TRAE, treatment-related adverse events.

							Events per 100		
Study	Histology	Stage	Treatment protocol	IO type	Event cases	Sample size	observations	MPR (%)	95% CI
Altorki 2021	All	I-IIIA	nIT	PD-L1	2	30		6.7	[0.7; 18.1]
Chaft 2022	All	IB-IIIB	nIT	PD-L1	29	143		20.3	[14.1; 27.2]
Lee 2021	All	IB-IIIB	nIT	PD-L1	30	147		20.4	[14.3; 27.3]
Cascone 2021	All	I-IIIA	nIT	PD-1	5	23		21.7	[7.7; 40.5]
Eichhorn 2021	All	II-IIIA	nIT	PD-1	4	15		26.7	[8.2; 51.0]
Bar 2021	All	1-11		PD-1	1	26		26.9	[12.0; 45.2]
Tfauli 2020	All		nCIT (2 cycles)	PD-1	2	20		20.9	[12.0, 45.2]
Tong 2021	All	IB-IIIA	nIT	PD-1	7	25		28.0	[12 5: 46 8]
Tao 2020	All	IA-IIIB	nIT	PD-1	13	36		36.1	[21.4: 52.3]
Forde 2022	All	IB-IIIA	nCIT	PD-1	66	179		36.9	[30.0; 44.1]
Huang 2021	All	IIIA	nIT	PD-1	9	24		37.5	[19.6; 57.3]
Cascone 2021	All	I-IIIA	nDIT	PD-1+CTLA-4	8	21		38.1	[19.0; 59.3]
Zhang 2022	SCC	IIB-IIIB	nCIT	PD-1	10	26		38.5	[21.1; 57.5]
Hu 2021	All	IB-IIIB	nCIT	PD-1	8	20		40.0	[20.2; 61.7]
Gao 2020	All	IA-IIIB	nIT	PD-1	15	37		40.5	[25.5; 56.6]
Zhu 2021	All	IIA-IIIC	nCIT	PD-1	9	22		40.9	[21.7; 61.6]
QIU 2022	All	IB-IIIA	nCIT(3 cycles)	PD-1	12	29		41.4	[24.4; 59.5]
Bott 2018			nCT	PD-1	0	20		45.5	[20.4, 01.1]
Forde 2018			nIT	PD-1	9	20		45.0	[24.4; 66.6]
Zinner 2020	All	IB-IIIA	nCIT	PD-1	6	13		46.2	[20.9: 72.5]
Duan 2021	All	IIA-IIIB	nCIT	PD-1	10	20		50.0	[28.8; 71.2]
Feng 2021	All	IIA-IIIB	nCIT	PD-1	4	8	_	50.0	[18.1; 81.9]
Hong T 2021	All	IIA-IIIC	nCIT	PD-1	13	25		52.0	[32.8; 70.9]
Provencio 2022(NADIM II)	All	IIIA-IIIB	nCIT	PD-1	30	57		52.6	[39.7; 65.4]
Liu 2021	All	IB-IIIB	nCIT	PD-1	42	79		53.2	[42.2; 64.0]
Altorki 2021	All	I-IIIA	nIRT	PD-L1	16	30		53.3	[35.6; 70.6]
Shi 2021	SCC	IIA-IIIB	nCIT	PD-1	15	27		55.6	[36.9; 73.5]
Wu YL 2022	All	11-111	nCIT	PD-1	19	34		55.9	[39.2; 71.9]
Shu 2020	All	IB-IIIA	nCIT	PD-L1	17	30		50.7	[38.9; 73.6]
Liang 2021		IIR-IIIB	nCIT	PD-1	6	10		60.0	[30.0, 75.7]
Hou 2022	All	IIIA-IIIB	nCIT	PD-1	19	31		61.3	[43.8: 77.4]
Rothschild 2021	All	IIIA	nCIT	PD-L1	34	55		61.8	[48.7: 74.1]
Fan 2022	All	IIIA-IIIB	nCIT	PD-1	5	8		62.5	[28.7; 90.5]
Yan 2022	All	IIB-IIIB	nCIT	PD-1	25	39		64.1	[48.6; 78.2]
Wu J 2022	All	IB-IIIB	nCIT	PD-1	49	76		64.5	[53.4; 74.8]
Shen 2021	SCC	IIB-IIIB	nCIT	PD-1	24	37		64.9	[49.0; 79.2]
Zhao ZR 2021	All	IIIA-IIIB	nCIT	PD-1	20	30		66.7	[49.1; 82.1]
Deng 2021	All	IIIB	nCIT	PD-1	21	31		67.7	[50.5; 82.8]
Zhang 2021	All		nCIT	PD-1	31	45		60.9	[34.6, 01.4]
Zhai 2022			nCIT	PD-1	32	45		71 1	[49.7, 80.2]
Zhao 2022	All	IB-IIIB	nCIT	PD-1	30	42		71.4	[57 0: 83 9]
Hong MH 2021	All	111	nCIRT	PD-L1	8	11		72.7	[44.1: 93.7]
Chen Y 2021	All	IIIA-IIIB	nCIT	PD-1	26	35	·	74.3	[58.7; 87.2]
Chen T 2021	All	IIIA-IIIB	nCIT	PD-1	9	12		75.0	[47.9; 94.3]
Cheng 2022	All	IIIA-IIIB	nCIT	PD-1	15	19	; -	78.9	[58.4; 93.8]
Bahce 2022	All	IIB-IIIB	nCIRT	PD-1+CTLA-4	19	24		79.2	[61.0; 92.7]
Lin 2022	All	IIB-III	nCIT	PD-1	22	27		81.5	[65.0; 93.6]
Gao 2022	All		nCIT	PD-1	36	44		81.8	[69.2; 91.7]
rao 2022	All	IIIA-IIIB	nCIT	PD-1/PD-L1	9	11		81.8	[54.9; 97.9]
			nCIT	PD-1	6	41		85.7	[52 7: 100 0]
Wang 2021	All	IIIA	nCIT	PD-1	68	72		94.4	[32.7, 100.0]
			non					01.1	[00.0]
Common effect model						2034	•	50.6	[48.4; 52.8]
Random effects model							-	53.8	[48.0; 59.6]
Prediction interval									[16.9; 88.4]
Heterogeneity:/ $^{-}$ = 88% [85%; 90%], τ = 0.20 [0.16; 0.25], χ_{55}^{-} = 443.54 (p < 0.01)							0 20 40 60 80 40	0	
							MPR (%)		

Figure 2. Weighted random-effects model of major pathological response (MPR). Of note, Cascone *et al.*^[14] and Altorki *et al.*^[18] reported MPR rates of neoadjuvant ICI alone and ICI combination regimens, and Qiu ^[19] reported MPR rates of neoadjuvant ICI 2 cycles and 3 cycles; therefore, for all these studies, data of each were analyzed as two groups.

was determined that nivolumab plus chemotherapy showed statistically significant improvement compared to neoadjuvant platinum-based chemotherapy alone in the MPR as a neoadjuvant treatment for resectable NSCLC in the phase III CheckMate-816 trial by 36.9 versus 8.9%, respectively^[9]. In our meta-analysis, the pooled MPR was 53.8%, which demonstrated the addition of immunotherapy to nCT could improve pathological responses obviously. Our data confirmed that nCIT yielded promising efficacy, with an increased MPR rate compared with chemotherapy alone (49.2 vs 14.7%). The synergistic effect of chemotherapy and ICIs, with the cytotoxic chemotherapy increasing the recognition of these agents as immunotherapies, might explain the high rates of MPR^[69,70].

The median time from trial initiation to publication for adjuvant platinum chemotherapy trials in NSCLC was longer than 10 years^[11]. Early and widespread acceptance of new perioperative treatment strategies for resectable NSCLC was usually hindered by a lack of surrogate endpoints of clinical efficacy. Pathological response has shown patient-level asso-ciation with survival in various cancers^[71–73], which requires further evaluation across ongoing trials of neoadjuvant therapy involving patients with NSCLC.A meta-analysis based on 21 clinical studies showed that the HR of OS under different pCR states was 0.49 (95% CI 0.43-0.56)^[74]. Another combined analysis of two nCT studies showed that, 5-year OS was 80.0% in the pCR group versus 55.8% in the non-pCR group (P=0.0007), and pCR was a favorable prognostic factor of OS (HR 0.34; 95% CI 0.18-0.64)^[72]. Maybe the low pCR rate after neoadjuvant treatments and insufficient data were available for analysis, its use was greatly limited as a surrogate endpoint. Compared with pCR, MPR is seemed more common. Although without mediastinal downstaging evaluation, MPR has been accepted as another surrogate of survival in patients with NSCLC received nCT. William et al.^[74] reported the MPR rate following nCT was 30% and histopathologic response was a significant predictors of OS. The College of

	NCI	г	NCT	•		Odds Ratio	Odd	s Ratio	
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% Cl	M-H, Fiz	ced, 95% Cl	
Feng 2021	4	8	5	13	6.8%	1.60 [0.27, 9.49]			
Forde 2022	66	179	16	179	36.1%	5.95 [3.28, 10.80]			
Hou 2022	19	31	9	24	14.0%	2.64 [0.88, 7.91]			
Lei 2020	6	7	2	6	1.1%	12.00 [0.80, 180.97]		· · · ·	
Liang 2021	6	10	3	10	4.3%	3.50 [0.55, 22.30]	-		
Liu 2021	42	79	13	91	20.2%	6.81 [3.27, 14.20]			
Provencio 2022 (NADIM II)	30	57	4	29	9.0%	6.94 [2.14, 22.52]			
Zhao 2022	30	42	14	98	8.6%	15.00 [6.24, 36.04]			-
Total (95% CI)		413		450	100.0%	6.19 [4.39, 8.74]		•	
Total events	203		66						
Heterogeneity: Chi ² = 9.16, df =	7 (P = 0.2	(4); I ² =	24%			H	+	+ +	
Test for overall effect: Z = 10.37	(P < 0.00	001)				0.01	0.1	1 10	100
							Favours [NCT]	Favours [NCI]	[]
Figure 3. Pooled analyses of MPR co	omparing	betwee	n nCIT an	d stand	dard nCT.				

American Pathologists recommended MPR as one of the study endpoint of clinical trials on neoadjuvant immunotherapy for lung cancer. However, the relationship between OS and MPR in resectable NSCLC patients who receiving neoadjuvant immunotherapy has not been fully elucidated. The present meta-analysis synthesized the results of published clinical trials, we found that MPR were shown to be associated with improved OS (HR = 0.80, 95% CI: 0.72–0.88, P < 0.0001) and DFS/PFS/EFS (HR = 0.28, 95% CI: 0.10–0.79, P = 0.02) when compared with non-MPR. MPR seemed to be an alternative endpoint of OS in patients with NSCLC received nCT.

In the MRC LU22/NVALT 2/EORTC 08012 multicenter randomised trial, nCT resulted in a good radiological response rate (4% CR, 45% PR). However, there was no evidence of a benefit in terms of OS. The discrepancy between the radiographic

and pathological assessment was often observed. The tumor response patterns of Immune agents may differ compared with conventional chemotherapeutic agents^[75]. The incidence of radiographic partial response and complete response with nCIT ranged from 38 to $72\%^{[7,36,43,52,77]}$. Pseudo progression, which was characterized by radiologic progression of the tumor burden, followed by objective response, was first described in melanoma patients treated with ipilimumab^[78]. Some studies indicated that pseudo progression may occur in other cancer types receiving ICI therapy. This unconventional phenomenon does not typically occur with traditional cytotoxic chemotherapy. In the present study, objective response with neoadjuvant immonotherapy indicated an increased likelihood of MPR (OR = 6.21, 95% CI 3.71–9.16, *P* < 0.00001). Although new immunotherapy-specifc radiologic criteria has been developed, the classic RECIST

A Study or Subgroup	log[Hazard Ratio]	SE	Weight	Hazard Ratio IV. Fixed, 95% CI	Hazaro IV, Fixeo	l Ratio 1, 95% Cl	
Chaft 2022	-0.22314	0.051844	99.6%	0.80 [0.72, 0.89]			
Provencio 2022	-2.283893	1.584869	0.1%	0.10 [0.00, 2.28]			
Zhai (MPR) 2022	-1.358123	1.205806	0.2%	0.26 [0.02, 2.73]			
Zhang 2022	-0.8979416	1.673722	0.1%	0.41 [0.02, 10.83]	•		
Total (95% CI)			100.0%	0.80 [0.72, 0.88]	•		
Heterogeneity: Chi ² =	2.73, df = 3 (P = 0.44); l² = 0%					
Test for overall effect:	Z = 4.41 (P < 0.0001)		0.01	0.1	10	100
					Favours [MPR]	Favours [Nor	ı-MPR]
В				Hazard Ratio	Hazar	rd Ratio	
Study or Subgroup	log[Hazard Ratio]	SE	Weight	IV, Random, 95% CI	IV, Rand	om, 95% Cl	
Duan 2021	-1.358123	1.602907	7.8%	0.26 [0.01, 5.95]	•		
Zhao 2021	-0.7472144	1.470967	8.8%	0.47 [0.03, 8.46]			
Provencio 2022	-2.047693	0.9741277	14.5%	0.13 [0.02, 0.87]		•	
Zhai (MPR) 2022	-1.299283	0.9613753	14.7%	0.27 [0.04, 1.79]		+	
Liu 2021	-2.019543	0.3992079	25.0%	0.13 [0.06, 0.29]			
Chaft 2022	-0.3285	0.061815	29.2%	0.72 [0.64, 0.81]			
Total (95% CI)			100.0%	0.28 [0.10, 0.79]	\bullet		
Heterogeneity: Tau ² =	0.93; Chi² = 21.84, df	= 5 (P = 0.0	006); l ² =	77% ⊢		+ +	<u> </u>
Test for overall effect: 2	Z = 2.41 (P = 0.02)			0.01	1 0.1	1 10	100
					Favours [MPR]	Favours [nor	n-MPR]

Figure 4. Association between MPR and time-to-event outcomes.

	ORF	2	Non-O	RR		Odds Ratio	Odd	ls Ratio	
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% CI	M-H, Fi	xed, 95% Cl	
Chaft 2022	4	10	2	123	1.1%	40.33 [6.12, 265.64]			>
Chen Y 2021	15	17	11	18	7.6%	4.77 [0.83, 27.56]			
Duan 2021	8	17	2	6	9.5%	1.78 [0.25, 12.45]		+ • · · · · · · · · · · · · · · · · · ·	
Eichhorn 2021	3	4	1	10	0.9%	27.00 [1.26, 578.35]			
Fan 2022	4	7	1	1	6.3%	0.43 [0.01, 14.08]	· · · ·		
Feng 2021	8	19	0	2	3.0%	3.70 [0.16, 87.38]			
Forde 2018	2	2	7	18	2.1%	7.67 [0.32, 183.01]		•	
Gao 2020	7	8	8	29	2.6%	18.38 [1.94, 173.98]		· · · ·	
Liang 2021	6	8	0	2	1.3%	13.00 [0.45, 377.47]	_	· · ·	
Provencio 2020	30	35	4	11	5.3%	10.50 [2.23, 49.52]			
Rothschild 2021	19	27	15	31	25.0%	2.53 [0.86, 7.50]			
Shen 2021	24	29	0	8	0.9%	75.73 [3.78, 1518.51]			
Shu 2020	16	19	1	7	1.4%	32.00 [2.76, 370.81]		î	
Tfayli 2020	2	3	1	8	1.1%	14.00 [0.58, 338.78]	-		
Wu J 2022	41	57	8	19	20.4%	3.52 [1.20, 10.36]			
Wu YL 2022	17	26	2	11	5.9%	8.50 [1.50, 48.05]			_
Zhang P 2022	11	18	2	11	5.8%	7.07 [1.17, 42.85]			
Total (95% CI)		306		315	100.0%	6.21 [3.99, 9.65]		•	
Total events	217		65						
Heterogeneity: Chi ² =	18.70, df =	= 16 (P	= 0.28); l ^a	² = 14%		H			
Test for overall effect:	Z = 8.11 (P < 0.0	0001)			0.0	0.1	1 10	100
							Favours [Non-ORR]	Favours [ORI	ج]
ure 5. Association betwe	en MPR ar	nd ORR							

remains a reasonable and meaningful method to assess response to immunotherapy in the clinic^[79].

Recent trials had evaluated potential predictive biomarkers for MPR, but there was no consensus currently. Two dominant subtypes, accounting for ~80% of NSCLC cases, are lung adenocarcinoma and lung squamous carcinoma (SCC)^[80]. Several studies have reported relatively higher MPR rates in SCC patients, compared with adenocarcinoma^[43,81]. In our pooled analysis, there was no difference (44.2 vs 41.7%, P=0.81) in MRP rates between SCC and non-SCC.

Usually, patient with earlier-stage disease (stages IB to II) are recommended for upfront resection and adjuvant chemotherapy based on a series of large prospective trials and the Lung Adjuvant Cisplatin Evaluation meta-analysis^[82]. It is still unclear as to which stages of NSCLC benefit the most from neoadjuvant ICI therapy. A stage-based assessment of pathological responses is important as it may allow for improved design of future trials in specific disease stages^[69]. As reported in the CheckMate-816 trial (NCT02998528), the magnitude of EFS benefit was greater for the patients with stage IIIA (HR 0.54) than for those with stages IB to II disease (HR 0.87) and for patients with tumor PD-L1 expression of 1% or greater (HR 0.41) than for those with PD-L1 expression lower than 1% (HR 0.85). The MPR benefit for stage IIIA patients with the addition of nivolumab in CheckMate-816 was more impressive than that for stage IB to stage II^[9]. In our metaanalysis, eight studies explored MPR rates of different stages, and similar to the previous perioperative chemotherapy, patients with stage III were significantly more likely to achieve MPR (OR = 1.66, 95% CI 1.02-2.70, P = 0.04).

Irrespective of the results in metastatic stage IV patients, the predictive value of the PD-L1 status might have a different impact in patients with non-metastatic earlier-stage lung cancer with less tumor burden^[40]. Both the NEOSTAR^[14] and the Checkmate-816 trial^[9] showed that elevated PD-L1 expression was also associated with higher pathologic responses. However, both the CLMC3 trial^[37] and Shu *et al.*^[43]. found no association between pathological response and PD-L1 expression. In our study, patients with stage III (vs I/II) or PD-L1 \geq 1% (vs PD-L1 < 1%) were significantly more likely to achieve MPR, and histology (SCC vs non-SCC) was not significantly associated with MPR.

There were certain limitations to this meta-analysis. First of all, no prospective study data directly confirmed the correlation between pathological response and survival of neoadjuvant immunotherapy, most of the included trials were nonrandomized single-arm clinical trials with a small sample size, and these corresponding analyses were based on indirect comparisons. Second, so far, there was still no standardized method for MPR evaluation, especially when immunotherapy was added into neoadjuvant therapy. An ideal observation index should be easy to measure, and with a smaller chance of having a deviation, whereas neoadjuvant immunotherapy was different from the other treatments with more diverse pathological changes. Third, in several studies, the criteria of MPR were not quite uniform. In Checkmate-816 trial, the evaluation of MPR included sampled lymph nodes. Fourth, MPR might be differ after neoadjuvant immunotherapy between squamous cell carcinoma and adenocaicinoma, although there was no difference in our result, the optimal cutoff value for pathological response might require further refinement. Additionally, optimized methods of histologic sampling, interobserver variability in the assessment of pathological response, varied cycles of neoadjuvant therapy would affect the final pathological results. What's more, some of the included trials are still ongoing with only initial results, more prospective studies are needed to confirm its validity and its relationship with



DFS and OS. Despite the above deficiencies, to our knowledge, this is the first meta-analysis to evaluate MPR as a surrogate marker of improved long-term outcomes of NSCLC receiving immunotherapy. Once a recognized surrogate endpoint is established, it would accelerate clinical trials and drug development.

Conclusions

Results of this systematic review and meta-analysis demonstrate that nCIT achieved higher MPR in NSCLC patients, and increased MPR might be associated with survival benefits. It seems that the MPR could serve as a surrogate endpoint of survival to evaluate neoadjuvant immunotherapy. In the future, more randomized clinical trials are warranted to confirm our conclusions.

Ethical approval

No.

Conflicts of interest disclosure

The authors have no conflicts of interest.

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Author contribution

Y.C. and J.Q.: methodology, software, validation, investigation, resources, data curation, writing-original draft; Y.W.: methodology, software, investigation, data curation; Q.L.: conceptualization, investigation, resources, data curation, writingreview and editing; J.W. and W.Z.: conceptualization, investigation, data curation; F.L.: conceptualization, methodology, software and validation; Z.H.: conceptualization, data curation, investigation; M.Z.: conceptualization, data curation, investigation; J.W.: conceptualization, data curation, investigation; J.W.: conceptualization, data curation, investigation; J.W.: conceptualization, methodology, validation, investigation, data curation, writing-review and editing, visualization, supervision, project administration, funding acquisition.

Guarantor

Jun Wang.

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