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# Autoantibodies Neutralizing Type III Interferons Are Uncommon in Patients with Severe Coronavirus Disease 2019 Pneumonia

Martti Vanker,<sup>1</sup> Karita Särekannu,<sup>1</sup> Arnaud Fekkar,<sup>2,3</sup> Sofie Eg Jørgensen,<sup>4,5</sup> Liis Haljasmägi,<sup>1</sup> Anne Kallaste,<sup>6</sup> Kalle Kisand,<sup>7</sup> Margus Lember,<sup>6,7</sup> Pärt Peterson,<sup>1</sup> Madhvi Menon,<sup>8</sup> Tracy Hussell,<sup>8</sup> Sean Knight,<sup>8,9</sup> James Moore-Stanley<sup>8</sup>; CIRCO,<sup>\*</sup> COVID Human Genetic Effort,<sup>\*</sup> Paul Bastard,<sup>2,10-12</sup> Shen-Ying Zhang,<sup>2,10,11</sup> Trine H. Mogensen,<sup>4,5</sup> Quentin Philippot,<sup>2,10</sup> Qian Zhang,<sup>2,10,11</sup> Anne Puel,<sup>2,10,11</sup> Jean-Laurent Casanova,<sup>2,10–13</sup> and Kai Kisand<sup>1</sup>

Autoantibodies (AABs) neutralizing type I interferons (IFN) underlie about 15% of cases of critical coronavirus disease 2019 (COVID-19) pneumonia. The impact of autoimmunity toward type III IFNs remains unexplored. We included samples from 1,002 patients with COVID-19 (50% with severe disease) and 1,489 SARS-CoV-2 naive individuals. We studied the prevalence and neutralizing capacity of AABs toward IFN $\lambda$  and IFN $\alpha$ . Luciferase-based immunoprecipitation method was applied using pooled IFN $\alpha$  (subtypes 1, 2, 8, and 21) or pooled IFN $\lambda$ 1–IFN $\lambda$ 3 as antigens, followed by reporter cell-based neutralization assay. In the SARS-CoV-2naive cohort, IFN $\lambda$  AABs were more common (8.5%) than those targeting IFN $\alpha$ 2 (2.9%) and were related with older age. In the COVID-19 cohort the presence of autoreactivity to IFN $\lambda$  did not associate with severe disease [odds ratio (OR) 0.84; 95% confidence interval (CI) 0.40–1.73], unlike to IFN $\alpha$  (OR 4.88; 95% CI 2.40–11.06;  $P < 0.001$ ). Most IFN $\lambda$  AAB-positive COVID-19 samples (67%) did not neutralize any of the 3 IFN $\lambda$  subtypes. Pan-IFN $\lambda$  neutralization occurred in 5 patients (0.50%), who all suffered from severe COVID-19 pneumonia, and 4 of them neutralized IFN $\alpha$ 2 in addition to IFN $\lambda$ . Overall, AABs to type III IFNs are rarely neutralizing, and do not seem to predispose to severe COVID-19 pneumonia on their own.

Keywords: type III interferons, autoantibodies, COVID-19, type I interferons

<sup>&</sup>lt;sup>1</sup>Institute of Biomedicine and Translational Medicine; <sup>7</sup>Department of Internal Medicine, Institute of Clinical Medicine; University of Tartu, Tartu, Estonia. <sup>2</sup>

<sup>&</sup>lt;sup>2</sup>Laboratory of Human Genetics of Infectious Diseases, Necker Branch, INSERM U1163, Necker Hospital for Sick Children, Paris, France.

Service de Parasitologie-Mycologie, Groupe Hospitalier Pitié Salpêtrière, AP-HP, Paris, France.

<sup>&</sup>lt;sup>4</sup>Department of Infectious Diseases, Aarhus University Hospital, Aarhus N, Denmark.

<sup>5</sup> Department of Biomedicine, Aarhus University, Aarhus C, Denmark. 6 Department of Internal Medicine, Tartu University Hospital, Tartu, Estonia.

<sup>&</sup>lt;sup>8</sup>Lydia Becker Institute of Immunology and Inflammation, Division of Immunology, Immunity to Infection and Respiratory Medicine, Faculty of Biology, Medicine and Health, University of Manchester, Manchester Academic Health Science Centre, Manchester, United Kingdom.<br><sup>9</sup>Respiratory Department, Salford Care Organisation, Northern Care Alliance Foundation Trust, Manchester, United Kingdom.

To University of Paris, Imagine Institute, Paris, France.<br><sup>11</sup>St. Giles Laboratory of Human Genetics of Infectious Diseases, Rockefeller Branch, The Rockefeller University, New York,<br>New York, USA.

<sup>&</sup>lt;sup>12</sup>Department of Pediatrics, Necker Hospital for Sick Children, AP-HP, Paris, France.  $13$ Howard Hughes Medical Institute, New York, New York, USA.

<sup>\*</sup>List of the Coronavirus Immune Response and Clinical Outcomes (CIRCO) Collaborative Group and the COVID Human Genetic Effort members Can Be Found in the Appendix.



# Introduction

THE CLINICAL COURSE of coronavirus disease 2019<br>(COVID-19) varies from asymptomatic infection to life-threatening disease requiring mechanical ventilation or other means of organ support. The risk of hospitalization or death increases with age, doubling every 5 years from childhood onward (Levin et al., 2020). A crucial factor determining disease severity may be the host immune response, particularly the early production of type I and type III interferons (IFN) in the respiratory tract (Andreakos et al., 2019; Bastard et al., 2022b; Galani et al., 2021; Hadjadj et al., 2020; Prokunina-Olsson et al., 2020; Smith et al., 2022; Sposito et al., 2021). The effects of IFN-I/III are mediated by induction of IFN-stimulated genes (ISGs), which encode proteins capable of inhibiting viral replication through various mechanisms (Schoggins, 2019).

The essential role of type I IFNs in protective immunity against SARS-CoV-2 has been amply documented. Inborn errors of TLR3- or TLR7-dependent type I IFN immunity underlie 1%–5% of cases of critical COVID-19 pneumonia (Asano et al., 2021; Casanova and Abel, 2022; Zhang et al., 2022). Moreover, neutralizing autoantibodies (nAABs) against IFN-Is are present in 15% of COVID-19 patients in critical condition, whereas these autoantibodies (AABs) are not or rarely found in asymptomatic patients (Bastard et al., 2021a; Bastard et al., 2020; Casanova and Abel, 2022; Troya et al., 2021; Zhang et al., 2022). Importantly, the risk of critical COVID-19 pneumonia increases with the number and concentration of type I IFNs neutralized (Manry et al., 2022).

These findings have been independently replicated in many different centers around the globe (Abers et al., 2021; Arrestier et al., 2022; Bastard et al., 2022a; Bastard et al., 2021b; Chauvineau-Grenier et al., 2022; Eto et al., 2022; Goncalves et al., 2021; Mathian et al., 2022; Smith et al., 2022; Solanich et al., 2021; Troya et al., 2021; van der Wijst et al., 2021; Wang et al., 2021; Zhang et al., 2020). NAABs pre-exist infection with SARS-CoV-2 (Bastard et al., 2021b). Moreover, the proportion of individuals carrying IFN-I AABs increases with age, with a prevalence between 0.3% and 1% younger than the age of 65 years (for neutralization of high and low concentrations of IFNs), and a rise to 4%–7% in the aged population.

The contribution of type III IFNs to protective immunity to SARS-CoV-2 is less studied. As IFN-I, IFN-III is also transiently expressed upon recognition of pathogenassociated molecular patterns, mostly from viruses. In humans, the family of IFN-III comprises 4 members:  $IFN\lambda1/$ IL-29, IFN $\lambda$ 2/IL-28A, IFN $\lambda$ 3/IL-28B, and IFN $\lambda$ 4, that is either a pseudogene due to a certain variant (rs368234815), or poorly secreted (Hong et al., 2016; Kotenko et al., 2003; Prokunina-Olsson et al., 2013; Vlachiotis and Andreakos, 2019).

IFNλ2 and IFNλ3 are virtually identical, with 96% amino acid identity, and IFN $\lambda$ 1 is sharing  $\sim$  80% of amino acids with them (Sheppard et al., 2003). IFN-IIIs are involved not only in the front line of antiviral defense since their heterodimeric receptors (IFN $\lambda$ R1/IL-10RB) are mainly expressed on epithelial cells of respiratory mucosa and other anatomical barriers, but also on a set of immune cells (Goel et al., 2021; Kotenko et al., 2003; Lazear et al., 2019; Ye et al., 2019). In contrast, IFN-I receptors are expressed ubiquitously—it is therefore speculated that the systemic response elicited by IFN-Is is reserved to situations where the effect of IFN-IIIs does not suffice (Andreakos et al., 2019).

Indeed, IFN-IIIs efficiently restricted the proliferation of SARS-CoV-2 *in vitro* (Felgenhauer et al., 2020; Stanifer et al., 2020; Vanderheiden et al., 2020) and higher serum

IFN-III levels were associated with faster viral clearance in COVID-19 patients (Galani et al., 2021). COVID-19 treatment trials with IFN-IIIs have either shown faster viral clearance (Feld et al., 2021; Santer et al., 2022) or protection from hospitalization and emergency department visits (Reis et al., 2023), but no benefit from a single dose of subcutaneous Peginterferon Lambda-1a over placebo ( Jagannathan et al., 2021).

In contrast to IFN-I AABs, it is poorly studied whether  $AABs$  against IFN-III (IFN $\lambda$  AABs) underlie lifethreatening COVID-19, apart from a recent report in a very limited number of patients (Credle et al., 2022). It also remains unknown whether age and gender are factors contributing to the formation of IFN $\lambda$  AABs in the general population, as it was proven for IFN-I AABs. Some findings also suggest that IFN $\lambda$  may be essential in defense against fungal infections such as invasive pulmonary aspergillosis, which according to a study by Fekkar et al. (2021) affects about 5% of intensive care unit admitted COVID-19 patients (Espinosa et al., 2017; Ye et al., 2019).

It has not, however, been studied whether NAABs targeting IFNl predispose to severe COVID-19 *per se*, and/or predispose COVID-19 patients to aspergillosis superinfection. We aimed to analyze auto-Abs to type III IFNs in the general population and in patients with COVID-19, with or without aspergillosis.

# Materials and Methods

### Study population

This study included 1,002 COVID-19 patients from Estonia, Denmark, France, and the United Kingdom (Table 1). The patients were allocated to 2 severity groups according to the WHO guidelines (World Health Organization, 2022). Mild disease corresponded to WHO grades 1–2 [WHO1: symptomatic patients without evidence of pneumonia; WHO2: evidence of pneumonia, but no signs of severe pneumonia (SpO<sub>2</sub>  $\geq$ 90% in room air)], and severe COVID-19 corresponded to WHO grades 3–4 (WHO3: pneumonia plus one of the following—respiratory rate  $\geq 30$  breaths/min or  $SpO<sub>2</sub> < 90\%$  and WHO4: patients with acute respiratory distress syndrome, sepsis, or septic shock). A total of 50% of the study group developed severe COVID-19. Median age among COVID-19 patients was 51 years [interquartile range (IQR) 22 years], 47% of patients were male.

We also included serum samples from 1,489 Estonian SARS-CoV-2 naive subjects either collected prior COVID-19 pandemic or tested negative for antibodies specific for SARS-CoV-2 (Table 2). Median age among SARS-CoV-2 naive individuals was 66 years (IQR 36 years), 43% of subjects were male. Plasma or serum samples were collected from all study participants to analyze IFN $\alpha$  and IFN $\lambda$  AAB levels and AAB bioactivity.

Written informed consent was obtained from all study participants. Study protocols were approved by the Ethics Review Committee of Human Research of the University of Tartu (Protocols 272/T-12, 275/M-17, 368M-4, and 318/T-1) from the French Ethics Committee "Comité de Protection des Personnes,'' the French National Agency for Medicine and Health Product Safety, and the ''Institut National de la Santé et de la Recherche Médicale," in France (Protocol C10-13, ID-RCB No. 2010-A00634-35), and the Rockefeller University Institutional Review Board in New York (Protocol JCA-0700), Danish National Committee on Health research ethics: (#1-10-72-80-20), Ethical approval obtained from the National Research Ethics Service (REC reference 15/NW/0409 for ManARTS and 18/WA/0368 for NCARC). The research was completed in accordance with the Declaration of Helsinki as revised in 2013.

#### Luciferase-based immunoprecipitation system

The sequences encoding IFN $\alpha$  subtypes (IFN $\alpha$ 1, IFN $\alpha$ 2, IFN $\alpha$ 8, IFN $\alpha$ 21) or IFN $\lambda$  subtypes IFN $\lambda$ 1–IFN $\lambda$ 3 (IL-29, IL-28A, IL-28B) were cloned into pPK-CMV-F4 plasmid (PromoCell GmbH) where NanoLuc luciferase sequence (Promega) was inserted instead of firefly luciferase. HEK293 cells were used to produce the fusion proteins. The cells were cultured in Dulbecco's modified Eagle's medium (DMEM; Lonza, Switzerland) supplemented with 10% fetal bovine serum (FBS),  $100$  U/mL penicillin and  $100 \mu g/mL$ streptomycin at 37 $^{\circ}$ C in a 5% CO<sub>2</sub> atmosphere. Cells were transfected with the constructs, 72 h later, cell media containing the secreted fusion proteins was collected. Patient sera were diluted 1:10 using buffer A (50 mM Tris pH 7.5, 100 mM NaCl,  $5 \text{ mM } MgCl_2$ ,  $1\%$  Triton X-100). A volume of  $25 \mu L$  serum dilution and  $25 \mu L$  of protein G agarose bead suspension (Exalpha Biologicals) was coincubated in a 96 well microfilter plate (Merck Millipore) on a shaker at room temperature for 1 h.

Afterward, a mix of each fusion protein corresponding to  $1 \times 10^6$  luminescent unit for each, was pipetted into each well. The plate was incubated on a shaker for 1 h. A vacuum system (Millipore) was used to wash the plate first with buffer A and thereafter with  $1 \times$  phosphate-buffered saline (PBS). Into each well,  $20 \mu L$  of 1:1,000 PBS-diluted luciferase substrate (Promega) was added, and VICTOR X Multilabel Plate Reader (PerkinElmer Life Sciences) was used to quantify luminescence. The same 3 AAB-negative control serum samples were run in duplicates with each 96 well plate. For each sample, a fold change of luminescence relative to the mean of 3 negative control samples was calculated by dividing the mean luminescence value of the test sample with the mean of the negative control samples.

#### Neutralization assays

The blocking activity of IFN $\alpha$ 2 AABs in patient serum samples from France was determined with a reporter luciferase activity as described in Bastard et al. (2021a). In brief, HEK293T cells were transfected with a plasmid containing the firefly luciferase gene under the control of the human *ISRE* promoter in the pGL4.45 backbone, and a plasmid constitutively expressing *Renilla* luciferase for normalization (pRL-SV40). Cells were transfected in the presence of the X-tremeGene9 transfection reagent (Sigma-Aldrich) for 24 h. Cells in DMEM (Thermo Fisher Scientific) supplemented with 2% fetal calf serum and 10% healthy control or patient serum/plasma (after inactivation at 56°C, for 20 min) were either left unstimulated or were stimulated with  $IFN\alpha2$ (Miltenyi Biotech, Germany) at  $100 \text{ pg/mL}$ , for 16h at 37°C. Each sample was tested once for each cytokine and dose.

Finally, cells were lysed for 20 min at room temperature and luciferase levels were measured with the Dual-



TABLE 1. THE MAIN CHARACTERISTICS OF CORONAVIRUS DISEASE 2019 SUBSAMPLES BY THE COUNTRY OF ORIGIN Table 1. The Main Characteristics of Coronavirus Disease 2019 Subsamples by the Country of Origin

AAB DP, interferon  $\alpha$  and interferon<sup>'</sup> $\lambda$  autoantibody double positive; ARDS, acute respiratory distress syndrome; COVID-19, coronavirus disease 2019; IFNz AAB SPos, interferon  $\alpha$  autoantibody single positive; IFNz AAB DP, interferon a and interferon l autoantibody double positive; ARDS, acute respiratory distress syndrome; COVID-19, coronavirus disease 2019; IFNa AAB SPos, interferon a autoantibody single positive; IFNa neut tested, the number of serum samples subjected for interferon alpha neutralization assay; IFNA AAB SPos, interferon A autoantibody single positive; IFNA neut tested, the number of serum samples subjected to interferon  $\lambda$  neutralization assay; WHO3, hypoxemic pneumonia (respiratory rate  $\geq$ 30 breaths/min or SpO<sub>2</sub> <90%); WHO4, patients with ARDS, sepsis or septic shock.





parameters from the same individuals.<br><sup>b</sup>For subsamples 1 and 5, only serum samples that were negative for antibodies specific for SARS-CoV-2 were selected. parameters from the same individuals.<br><sup>b</sup>For subsamples 1 and 5, only serum samples that were negative for antibodies specific for SARS-CoV-2 were selected.

Luciferase<sup>®</sup> Reporter 1000 assay system (Promega), according to the manufacturer's protocol. Luminescence intensity was measured with a VICTOR X Multilabel Plate Reader (PerkinElmer Life Sciences). Firefly luciferase activity values were normalized against *Renilla* luciferase activity values. These values were then normalized against the median induction level for non-neutralizing samples and expressed as a percentage. Samples were considered neutralizing if luciferase induction, normalized against *Renilla* luciferase activity, was below 15% of the median values for controls tested the same day.

For the serum samples over the cutoff for IFN $\alpha$ luciferase-based immunoprecipitation system (LIPS) assay from the SARS-CoV-2-naive cohort and from Estonian, Danish, and British patient cohorts, IFNa neutralizing capacity was measured by using a reporter cell line HEK-Blue  $IFN\alpha/IFN\beta$  (InvivoGen) as previously described (Meyer et al., 2016). The cells were grown in DMEM (Lonza) with heat inactivated FBS (10%), 30 g/mL Blasticidin (Invivo-Gen), and 100 g/mL Zeocin (InvivoGen). IFNa2 (Miltenyi Biotech) was used at the concentration of 25 U/mL. Threefold serially diluted serum samples were coincubated with IFNs for 2h at 37°C, 5% CO<sub>2</sub>. Reporter cells  $(10^5)$  were added to 96-well tissue-culture plate wells and incubated 20–24 h at 37 $\degree$ C, 5% CO<sub>2</sub>. QUANTI-Blue (InvivoGen) colorimetric enzyme assay was used to determine AP activity in overnight supernatants.

Optical density (OD) was measured at 620 nm with Multiscan MCC/340 enzyme-linked immunosorbent assay (ELISA) reader (Labsystems). Neutralization activity was expressed as  $IC_{50}$ , which was calculated from the doseresponse curves and represents the serum dilution at which the IFN bioactivity was reduced to half of its maximum (Supplementary Fig. S1). If the lowest serum dilution (1:20) did not reduce the maximum signal induced by IFN $\alpha$ 2 by half, the serum was considered non-neutralizing. In addition, the full British patient cohort was tested with 100 pg/mL IFN $\alpha$ 2 coincubated 16 h with 10% of patient serum. Neutralization was calculated as a percentage from the mean signal gained with non-neutralizing control samples. Samples were considered neutralizing if OD values were reduced below 15% of the mean values for non-neutralizing control sera tested the same day.

The neutralization activity of IFN $\lambda$  AAB-positive sera was assessed with the help of HEK-Blue<sup>TM</sup> IFN $\lambda$  cells (InvivoGen)—a reporter cell-line expressing alkaline phosphatase under the control of ISG54 promoter. The cells were cultured in DMEM (Lonza) supplemented with 10% heat inactivated FBS and the following antibiotics: 100 U/mL penicillin,  $100 \mu g/mL$  streptomycin,  $10 \mu g/mL$  blasticidin  $(InvivoGen)$ , 1  $\mu$ g/mL puromycin (InvivoGen), Zeocin<sup>TM</sup> 100 μg/mL (InvivoGen) a 37°C 5% CO<sub>2</sub>. Serum serial dilutions  $(3 \times)$  starting from 1:20 were made on 96-well cell culture plates using supplemented DMEM. Next, IFN $\lambda$ 1 (IL-29; BioLegend, CA) at a final concentration of  $12.5$  pg/mL or either IFN $\lambda$ 2 (IL-28A) or IFN $\lambda$ 3 (IL-28B) fusion proteins produced for use in LIPS assay was pipetted to the serum dilutions. The IFN $\lambda$ 2 and IFN $\lambda$ 3 fusion proteins were used in a final dilution that induced approximately similar alkaline phosphatase expression as the optimized IFN $\lambda$ 1 concentration.

For the positive control wells, no serum was added, and for the negative control wells neither IFN $\lambda$  nor serum was

added. The plate was preincubated  $(37^{\circ}C \cdot 5\% \cdot CO_2)$  for 2 h. After the preincubation step  $5 \times 10^4$  of HEK-Blue IFN $\lambda$  cells were added to each well and the plate was incubated (37°C 5% CO2) overnight. Alkaline phosphatase secreted into cell media was quantified colorimetrically after adding  $QUANTI-Blue^{TM}$  (InvivoGen) solution. OD was measured after 30 min of incubation at 620 nm with Multiskan MCC/340 (Labsystems) ELISA plate reader. OD results were normalized to cell viability assessed by use of CellTiter-Glo<sup>®</sup> luminescent cell viability assay (Promega). In brief, CellTiter-Glo was added to wells, well contents were transferred to opaque-welled plates. After a 10-min incubation step, luminescence was measured with VICTOR X Multilabel Plate Reader.

A half maximal inhibitory concentration  $(IC_{50})$  for each neutralizing serum was calculated from dose-response curves using GraphPad Prism 9 (GraphPad Software, Inc.) based on the normalized OD values of the serial dilution. Neutralization activity was expressed as  $IC_{50}$ , which was calculated from the dose-response curves and represents the serum dilution at which the IFN bioactivity was reduced to half of its maximum (Supplementary Fig. S1). If the lowest serum dilution (1:20) did not reduce the maximum signal induced by IFN $\alpha$ 2 by half, the serum was considered nonneutralizing.

#### Statistical analysis

Cutoffs for determining AAB positivity were chosen based on the distribution of AAB titer values across the whole sample  $(n=2,491)$ . The Gaussian mixture models algorithm (R code in Supplemetary Materials) was used to determine 3 normal distribution clusters: low (healthy) level, intermediate level, and high level (Supplementary Fig. S2). AAB positivity cutoff was defined as the mean plus 1 standard deviation of intermediate cluster. The cutoff level was 4.94 for IFN $\alpha$  AAB and 4.88 for IFN $\lambda$  AAB. The statistical significance of the difference between 2 groups was compared using Wilcoxon rank-sum test, and Kruskal– Wallis test was used in case of more than 2 groups. The level of significance was set at 0.05. Bonferroni correction was used for *post hoc* analyses.

Differences between the proportions of categorical variables of multiple groups were analyzed with chi-square test. Spearman correlation was used to study the association of 2 continuous variables. To evaluate the effect of IFN AABs (categorical variable) to COVID-19 severity, multivariable logistic regression was carried out in R using the package finalfit. Patient age and sex were used as confounding variables. Most of the plots were constructed with the package ggpubr. All statistical analyses were performed in R version 4.1.2 (Free Software Foundation, Boston, MA; [www.r](http://www.r-project.org)[project.org\)](http://www.r-project.org).

#### Results

#### IFN AAB prevalence and bioactivity in the SARS-CoV-2-naive cohort

To compare the prevalence of IFN $\alpha$  and IFN $\lambda$  AABs in population, and its association with age and gender, we used LIPS assay for screening 1,489 serum samples collected from SARS-CoV-2-naive individuals. For IFN $\alpha$  AAB screening, we used the pool of 4 different IFN $\alpha$  subtypes





(IFN $\alpha$ 1, IFN $\alpha$ 2, IFN $\alpha$ 8, IFN $\alpha$ 21) and for IFN $\lambda$  AAB testing,  $3$  IFN $\lambda$  subtypes IFN $\lambda$ 1–IFN $\lambda$ 3 (IL-29, IL-28A, IL-28B) were mixed. IFN $\lambda$ 4 was not included, because this is often a pseudogene due to a variant in the gene, and its secretion is inhibited in remaining individuals. Therefore, AABs are not expected to emerge. The prevalence of AABs among SARS-CoV-2-naive subjects (aged 2–99 years) was 2.9% [95% confidence interval (CI)  $2.0\% - 3.9\%$ ] for IFN $\alpha$  AABs and 8.5% (95% CI 7.1%–10.1%) for IFN $\lambda$  AABs. We identified only 9 individuals (0.6% CI 0.3%–1.1%) who tested double positive for both type I and type III IFN AABs.

The plots displaying AAB levels against age (Fig. 1) point to the accumulation of higher AAB values in older age groups. As expected, individuals with IFN $\alpha$  AAB were significantly older compared to AAB double-negative ones. Although the median age of IFN $\lambda$  AAB-positive individuals was slightly higher in comparison to the double-negative group, these antibodies were detectable also in children in

FIG. 2. Median age of SARS-CoV-2-naive individuals stratified by presence of anti-IFN AABs. The *upper* and *lower* edge of the *box* signify IQR and the whiskers correspond to 95% CI. Wilcoxon rank-sum test was applied to compare the groups pairwise, *P* value was adjusted with Bonferroni correction. AAB Dneg, IFNa, and IFN $\lambda$  AAB negative; AAB DPos, IFN $\alpha$  and IFN $\lambda$ AAB positive; CI, confidence interval; IFNα AAB SPos, interferon  $\alpha$  autoantibody single positive; IFN $\lambda$  AAB SPos, interferon  $\lambda$  autoantibody single positive; IQR, interquartile range.

contrast to IFN $\alpha$  AABs (Fig. 2). While comparing the proportions of IFN AAB-positive and -negative individuals in different age groups, we found that the frequency of AAB double-negative cases was significantly higher in the younger  $( $65 \frac{y}{o}$ )$  age group, and IFN AAB-positive cases were more prevalent in the older  $(\geq 65 \text{ y/o})$  age group (Supplementary Table S1).

Sex was not associated with AAB prevalence (Supplementary Table S2). The biological impact of the slightly, although significantly, increased median levels of IFN $\alpha$ AABs in males, is probably low (Supplementary Fig. S3). While several AABs tend to be more prevalent in females, this is not the case for IFN AABs.

#### IFN AAB prevalence in COVID-19 patients

Next, we studied IFN AABs in COVID-19 patients. The overall prevalence of IFN $\alpha$  AABs in the COVID-19 cohort





FIG. 3. Association of age and IFNa AAB (*left panel*) or IFNl AAB (*right panel*) titer in COVID-19 patients. AAB titer was expressed on a common logarithmic (log10) scale. The cutoffs were 4.94 and 4.88 for IFN $\alpha$  AAB and IFN $\lambda$  AAB, respectively. COVID-19, coronavirus disease 2019.

was  $5.5\%$  (95% CI 4.2%–7.1%), for IFN $\lambda$  AABs, it was 3.4% (CI 2.4%–4.7%). Of patients, 1.7% (95% CI 1.0%– 2.7%) were double positive. The proportion of doublepositive serum samples among all IFN AAB-positive cases (either single- or double positive) was significantly higher in the COVID-19 group compared to SARS-CoV-2-naive individuals (16.0% vs. 5.0%, chi-square test of independence  $P = 0.004$ ). IFN $\alpha$  AAB-positive COVID-19 patients were older than AAB-negative patients (Fig. 3 and Supplementary Fig. S4).

# Bioactivity of IFN AABs assessed by neutralization assays

Apart from the level of binding AABs, their capacity to block IFN bioactivity is of importance. IFN $\alpha$  neutralization was tested in all COVID-19 patients from French and U.K. cohort. In SARS-CoV-2-naive individuals and in other COVID-19 cohorts, the assay was performed with samples above the cutoff level of binding AABs in serum samples available in sufficient quantities (numbers tested can be found in Tables 1 and 2). IFN $\alpha$  AAB level was significantly higher in neutralizing samples in comparison to nonneutralizing sera (Fig. 4).

Due to the relatively scant number of sera with available neutralizing data, we were not able to find a cutoff LIPS value using a receiver operating characteristic curve that would separate neutralizing sera from non-neutralizing sera. However, it can be estimated from the figure that for IFN $\alpha$ -neutralizing sera, the lowest IFN $\alpha$  AAB LIPS value was about 30, which indicates a luminescence signal 30 times higher than the mean value of the healthy controls ran with each LIPS assay.

Regarding the suggested importance of IFN $\lambda$  for the protection of mucosal surfaces, the potential biological impact of IFN $\lambda$  AABs is also of interest. Therefore, we performed neutralization assay with the reporter cells checking



FIG. 4. IFN $\alpha$  AAB level difference between IFN $\alpha$ non-neutralizing and neutralizing COVID-19 patient sera. AAB level was expressed on a common logarithmic (log10) scale. The cutoff for  $IFN\alpha$  AAB was 4.94. The *upper* and *lower* edge of the *box* signify IQR and the whiskers correspond to 95% CI. Wilcoxon ranksum test was used to assess the statistical significance of the difference between the groups.



FIG. 5. IFN $\lambda$  AAB neutralization activity against 3 IFN $\lambda$  subtypes (IFN $\lambda$ 1–IFN $\lambda$ 3) among all sera with IFN $\lambda$  AABbinding value over 20. Analyzed sera are arranged based on IFN $\lambda$  AAB level measured with LIPS (x-axis). For both COVID-19 and SARS-CoV-2-naive groups the sera (columns) on the left have the highest IFN $\lambda$  AAB level. IFN $\lambda$ neutralization activity was classified as follows: "Absent"—IC<sub>50</sub>  $\leq$ 20; "Low"—IC<sub>50</sub> 20–500; "Intermediate"—IC<sub>50</sub> 500– 10,000; "High"—IC<sub>50</sub> >10,000. Severe COVID-19 was defined according to WHO guidelines: pneumonia plus respiratory rate  $\geq$ 30 breaths/min or SpO<sub>2</sub> <90% or patients with ARDS, sepsis or septic shock. ARDS, acute respiratory distress syndrome; COVID-19, coronavirus disease 2019; LIPS, luciferase-based immunoprecipitation system; WHO, World Health Organization.

the blocking activity of serum samples with or without IFN $\lambda$ AABs using 3 different IFN $\lambda$  subtypes separately. A total of 105 serum samples, including both COVID-19 patients (35%) and SARS-CoV-2-naive individuals (65%), were analyzed for IFN $\lambda$  neutralization (Supplementary Table S3). All the serum samples with IFN $\lambda$  AAB-binding value over 20 were selected  $(n=71)$  alongside with randomly selected sera with lower binding values or below the cutoff value. It was not necessary to test all seropositive sera on the lower end of the spectrum since previous experience demonstrated that significantly heightened AAB titers are a prerequisite for bioactivity.

The most common target of IFN $\lambda$  NAABs was IFN $\lambda$ 1 (Fig. 5 and Supplementary Table S3), 16 COVID-19 patient samples inhibited the bioactivity of this IFN (prevalence in the whole group 1.6%) and 16 serum samples (1.1%) from the SARS-CoV-2-naive cohort. Only 5 serum samples from the COVID-19 cohort neutralized IFN $\lambda$ 2 and IFN $\lambda$ 3 in addition to IFN $\lambda$ 1, so that pan-IFN $\lambda$  neutralization among the patients was as low as 0.5% (Supplementary Table S4). The respective percentage among SARS-CoV-2-naive samples was  $0.6\%$  (9 serum samples), while any of the 3 IFN $\lambda$ subtypes was blocked by 23 serum samples (1.5%). The concentration of IFN $\lambda$ 1 neutralized by the serum samples ranged from  $2$  ng/mL to  $10 \mu$ g/mL.

The association of IFN $\lambda$  AAB level and IFN $\lambda$  NAAB neutralization activity (as the sum of individually measured titers) was assessed both in the SARS-CoV-2-naive cohort and COVID-19 patients. We found that IFN $\lambda$  AAB level of COVID-19 patients was in a strong correlation with IFN $\lambda$ neutralization activity  $(R=0.91, P<0.0001,$  Fig. 6). In the SARS-CoV-2-naive cohort, a similar, although weaker, correlation was found  $(R=0.72, P<0.0001)$ .

#### AABs and COVID-19 severity

Among COVID-19 patients with severe disease course, IFNa AABs were found in 9.1% (95% CI 6.7%–11.9%), which encompasses both IFN $\alpha$ -neutralizing and nonneutralizing sera. In comparison to IFN $\alpha$  AABs, IFN $\lambda$ AABs were less prevalent (3.0%, 95% CI 1.7%–4.8%) among severe COVID-19 patients (Supplementary Figs. S5 and S6). The corresponding prevalence rates in patients with mild COVID-19 were 1.8% (95% CI 0.8%–3.4%) for IFNa AABs and 3.8% (95% CI 2.3%–5.9%) for IFN $\lambda$  AABs. The results of multivariable logistic regression (additive model using age and sex as additional variables) performed in COVID-19 patients  $(n=1,002)$  point to IFN $\alpha$  AABs exclusively elevating the odds of developing severe disease neither IFN $\lambda$  AABs alone nor together with IFN $\alpha$  AABs had any significant effect on the disease course (Table 3).

Additional adjustment of the model with COVID-19 vaccination status did not change the results much (Supplementary Table S5). The median IFN $\alpha$  and IFN $\lambda$  AAB levels were significantly different  $(P=0.024$  for IFN $\alpha$  AABs and  $P = 0.0004$  for IFN $\lambda$  AABs) between severe and mild COVID-19 groups, but as the means were very close, the biologic impact of the difference is probably negligible (Supplementary Fig. S6).

It is also important to study the association of IFN $\lambda$ NAABs with the disease severity. Although there were too few neutralizing serum samples for proper statistical analysis, we could observe the following. All 5 patients who had pan-IFN $\lambda$  NAABs suffered from severe COVID-19. Interestingly, 4 of them neutralized also IFN $\alpha$  (Supplementary Table S3). From 11 IFN $\lambda$ 1 selective-neutralizers 7 had severe disease and 1 of them had NAABs to IFN $\alpha$  too.

#### **IFNA AAB**



FIG. 6. Spearman correlation analysis of IFN $\lambda$  AAB level and IFN $\lambda$  AAB bioactivity expressed as  $IC_{50}$  (half maximal inhibitory concentration) in selected IFNλ<br>AAB-positive COVID-19  $A$ AB-positive patients  $(n=27)$ . AAB bioactivity was obtained by summarizing the  $IC_{50}$  values against 3 IFN $\lambda$  subtypes  $(IFN $\lambda$ 1, IFN $\lambda$ 2, and IFN $\lambda$ 3).$ Both AAB titer and bioactivity were plotted on a common logarithmic (log10) scale.

As IFN-IIIs have in addition to antiviral activity antifungal effects (Espinosa et al., 2017), we tested the association of IFN $\lambda$  AABs with invasive pulmonary aspergillosis as a complication of COVID-19. Out of 13 patients with aspergillosis superinfection, only 1 patient exhibited neutralization of IFN $\lambda$  (over the 3 subtypes).

In sum, NAABs toward IFN-IIIs are relatively infrequent in comparison to IFN $\alpha$  NAABs, and probably unable to modify COVID-19 course on their own. Their potentially aggravating role in combination with NAABs toward IFN-Is would require further studies.

#### **Discussion**

AABs to IFN-I and other cytokines are quantified using various methods, each of them having certain strengths and weaknesses (Puel et al., 2022). COVID-19-related studies embrace neutralization assay as a gold standard to which other methods are compared to (Eto et al., 2022; Manry et al., 2022). ELISA, although simple and accessible, can be prone to false positives and negatives (Eto et al., 2022). Gyros and bead-based assays perform better (Bastard et al.,

Table 3. Analysis of the Relationship Between Interferon Autoantibody Status and Disease Severity in Coronavirus Disease 2019 Patients  $(N=1,002)$  Using Multivariable Logistic Regression

AAB status	Count $(\% )$	Severe COVID-19, OR (95% CI)	P
AAB DNeg $IFN\alpha$ AAB SPos IFNλ AAB SPos AAB DPos Total count	896 (89.4) 55(5.5) 34(3.4) 17(1.7) 1.002	$4.88(2.40 - 11.06)$ $0.84(0.40-1.73)$ $1.78(0.62 - 5.52)$	< 0.001 0.63 0.30

Besides IFN AAB status, age and sex were used as explanatory variables.

AAB DNeg, IFN $\alpha$  and IFN $\lambda$  AAB negative; CI, confidence interval; OR, odds ratio.

2021a; Bastard et al., 2020; Chang et al., 2021). Screening methods that use full length proteins, as rapid extracellular antigen profiling (REAP), have permitted the discovery of  $IFN\alpha$  AABs, but those that rely on the expression of shorter peptides as phage immunoprecipitation sequencing (PhIP-Seq) do not (Vazquez et al., 2022; Vazquez et al., 2020; Wang et al., 2021).

Many of the epitopes on IFN $\alpha$ s are conformational when the proper 3D structure is disrupted, most of the binding activity of the AABs is lost (Kärner et al., 2013). LIPS, the method where the conformation of the antigens is well preserved, has shown very high sensitivity in previous studies (Meyer et al., 2016), and excellent match with the neutralization assay in the current study. According to LIPSbinding values, it is possible to predict the neutralization capacity of respective serum samples (Fig. 4). Neutralizing assays might seem an ideal option but sometimes also non-NAABs can give further valuable information: for example, they can contain a subpopulation of cytokine stabilizing/enhancing AABs as suggested by a recent study in systemic lupus erythematosus (SLE) patients and the broad screen of AABs in COVID-19 (Bradford et al., 2023; Wang et al., 2021).

The present study confirmed previous findings about  $IFN\alpha$  AABs: their increased prevalence in older individuals and their association with severe COVID-19 (Bastard et al., 2021a; Bastard et al., 2020; Manry et al., 2022). The data about IFN $\omega$  and IFN $\beta$  AABs in this study were not complete due to the limited volume of several patient samples, and therefore were omitted from the analysis.

The primary focus of the present study was IFN $\lambda$  AABs. To date, IFN $\lambda$  AABs have been detected in diseases that are characterized by high or moderate prevalence of AABs toward IFN $\alpha$ : autoimmune polyendocrinopathy candidiasis ectodermal dystrophy (APECED), thymoma, and SLE (Bradford et al., 2023; Burbelo et al., 2010; Meager et al., 2006; Meyer et al., 2016). In APECED patients, IFN $\lambda$ 1 is the main target (AAB prevalence  $30\%$ ) while IFN $\lambda$ 2 and IFN $\lambda$ 3 are bound only if AABs to IFN $\lambda$ 1 are also present (detectable in 15% of patients) (Meyer et al., 2016).

Approximately 2/3 of the type III AAB-positive APECED sera are neutralizing (Meager et al., 2006).

AABs to IFN $\lambda$  are difficult to detect, possibly due to the epitopes that are extremely sensitive to conformational changes. Techniques that rely on the expression of shorter peptides (eg, PhIP-Seq), or antigen binding on the solid surfaces have failed to detect AABs to IFN-III (Chang et al., 2021; Vazquez et al., 2020) (our own unpublished observations). However, REAP method has recovered reactivity toward IFN-III in healthy controls as well as in COVID-19 patients (Wang et al., 2021). LIPS method has shown its advantages again in this study and in previous publications on APECED and thymoma (Burbelo et al., 2010; Meyer et al., 2016).

The discovery that IFN-I NAABs are an important risk factor for developing severe COVID-19 helped to verify the essential role of IFN-I in limiting the infection by SARS-CoV-2. An obvious next question is if we can learn about IFN-IIIs in a similar way. It is established that IFN-IIIs are specialized in epithelial surface protection and can restrict SARS-CoV-2 proliferation *in vitro*. Specific contribution from each subtype is less known. There are some hints that the relative resistance of children to COVID-19 may be the result of their higher local production of  $IFN\lambda1$  in response to SARS-CoV-2 infection in comparison to adults (Gilbert et al., 2021), and that children and patients with mild disease have higher levels of serum IFN $\lambda$ 1 and IFN $\lambda$ 2/3 than patients with severe COVID-19 ( Jeong et al., 2023).

We discovered that  $IFN\lambda$  AABs are relatively common in the SARS-CoV-2-naive cohort and among COVID-19 patients. Their frequency increases slightly with age but not as dramatically as is the case of IFN $\alpha$  AABs. Our SARS-CoV-2-naive sample contained 3 subgroups consisting of mainly older people (median age  $\geq 70$ , Table 2) with increased prevalence of IFN $\lambda$  AABs. This explains the seemingly higher prevalence of IFN $\lambda$  AABs in SARS-COV-2-naive individuals in comparison to COVID-19 cohort. Importantly, the neutralizing capacity toward the  $3$  IFN $\lambda$ subtypes remained below the detection limit in the majority of the IFN $\lambda$  AAB-positive cases. Like in APECED patients, IFN $\lambda$ 1 was neutralized more often than the IFN $\lambda$ 2/3 subtypes in COVID-19 patients, while the SARS-CoV-2-naive group showed more equal distribution of AAB neutralization targets.

The frequency of IFN $\alpha$  and IFN $\lambda$  AAB double-positive individuals is very low in SARS-CoV-2 naive cohort pointing to different causes for their induction. Significantly, higher proportion of double-positive serum samples in COVID-19 group is intriguing, suggesting that the tolerance toward IFN $\lambda$  could be disrupted after SARS-CoV-2 infection in some cases.

COVID-19 patients are characterized by increased frequency of various AABs (Burbelo et al., 2022; Chang et al., 2021; Vazquez et al., 2022; Wang et al., 2021). Some of the specificities can be induced by SARS-CoV-2 infection, the others (among them IFN $\alpha$  AABs) were estimated to be preexisting (Wang et al., 2021). The origin of IFN $\lambda$  AABs remains unknown, but as the total frequency of  $IFN\lambda$  AABs was not increased in the COVID-19 cohort in comparison to the SARS-CoV-2-naive cohort, they are likely pre-existing in the majority of cases.

The role of type III IFNs in humans has not been genetically clarified, although the patients with IL-10RB deficiency have been mildly affected by SARS-CoV-2 (Abolhassani et al., 2022). This resistance, although, does not apply to all viral infections, as 2 siblings with defective IL-10RB have succumbed to fulminant viral hepatitis (Korol et al., 2023). Parallel hints can be derived from animal models.  $Stat2^{-1}$ (lacking both IFN-I and IFN-III responses) hamsters cannot control SARS-CoV-2 infection, whereas this infection is successfully controlled by  $II-28r^{-/-}$  (deficient for IFN-III response only) animals (Boudewijns et al., 2020).

We suggest that AABs to IFN $\lambda$  are neutral to COVID-19 course due to their infrequent neutralization capacity and their interchangeability in case a single IFN $\lambda$  subtype is blocked. However, taking into the account the analogy with IFN-I family, where the risk of severe COVID-19 increases with the number of family members affected, it is possible that additional pan-IFN $\lambda$  neutralization can contribute to the equation even more. It may seem tempting to consider  $IFN\lambda$ AABs as potentially protective against severe COVID-19, but the odds ratio (OR) obtained from the multivariable logistic regression analysis (0.84; 95% CI 0.40–1.73) does not provide statistically significant support for this hypothesis.

This study has some limitations, which may have affected the results. First, the IFN AAB positivity cutoffs were relatively low—for this reason a large proportion of IFN AABpositive sera are not capable of neutralizing IFNs. Second,  $IFN\alpha$  AAB neutralization activity data were fully available for only 2 cohorts of COVID-19 patients and for IFN $\lambda$ AABs, only sera containing high levels of IFN $\lambda$  AABs were analyzed for bioactivity. Third, SARS-CoV-2-naive group represents only Estonian population containing a proportion of samples derived from patients from internal medicine and dermatology clinics, meaning that this cohort of patients should not be held for healthy controls. Finally, the low number of pan-IFN $\lambda$  neutralizing sera prevents us drawing definite conclusions about the pathogenicity of these NAABs.

#### Conclusions

Although AABs toward IFN-III are readily detectable in serum samples derived from SARS-CoV-2-naive individuals as well as from COVID-19 patients, their neutralizing capacity is limited to very rare cases. Regarding all the current evidence, we suggest that  $IFN\lambda$  AABs on their own, even if neutralizing, are not capable of modifying COVID-19 course, but in combination with impaired type I IFN responses might further increase the susceptibility to severe COVID-19.

#### Acknowledgments

We thank the participants of the study and medical personnel taking care of the patients. We are grateful to Ahto Salumets for his guidance in statistical analysis.

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#### Authors' Contributions

M.V., L.H., K.S, P.B., and A.F. generated the main data sets, analyzed, and interpreted them. M.V. performed statistical analysis and prepared the figures. S.E.J., A.K.,

K.Kalle., M.L., M.M., T.H., S.B.K., J.M.-S., CIRCO, COVID-HGE, and T.H.M. collected patient samples, curated, and analyzed clinical data. P.P., P.B., A.P., Q.P., and J.-L.C. supervised the research, K.Kai., A.P., Q.P., and J.- L.C. designed the study. K.Kai. coordinated the study, M.V., K.Kai., and J.-L.C. wrote the article. All authors discussed the results and commented and edited the article.

#### Author Disclosure Statement

J.-L.C. is an inventor on patent application PCT/US2021/ 042741, filed July 22, 2021, submitted by The Rockefeller University that covers diagnosis of susceptibility to, and treatment of viral disease and viral vaccines, including COVID-19 and vaccine-associated diseases.

#### Funding Information

The study was supported by EU HORIZON grant ID 101057100 (UNDINE), The Centre of Excellence for Genomics and Translational Medicine funded by European Regional Development Fund (Project No. 2014- 2020.4.01.15-0012) and the Estonian Research Council grants PRG377 and PRG1117. The Laboratory of Human Genetics of Infectious Diseases is supported by the Howard Hughes Medical Institute, the Rockefeller University, the St. Giles Foundation, the National Institutes of Health (NIH) (R01AI088364, R01AI163029), the National Center for Advancing Translational Sciences (NCATS), NIH Clinical and Translational Science Award (CTSA) program (UL1TR001866), the Fisher Center for Alzheimer's Research Foundation, the Meyer Foundation, the JBP Foundation, the French National Research Agency (ANR) under the ''Investments for the Future'' program (ANR-10-IAHU-01), and the Integrative Biology of Emerging Infectious Diseases Laboratory of Excellence (ANR-10-LABX-62-IBEID).

Also, the French Foundation for Medical Research (FRM) (EQU201903007798), the ANRS-COV05, ANR GENVIR (ANR-20-CE93-003) project, the ANR-RHU program (ANR-21-RHUS-08-COVIFERON), the European Union's Horizon 2020 research and innovation program under grant agreement number 824110 (EASI-genomics), the HORIZON-HLTH-2021-DISEASE-04 program under grant agreement 01057100 (UNDINE), the Square Foundation, Grandir–Fonds de solidarité pour l'enfance, the Fondation du Souffle, the SCOR Corporate Foundation for Science, the French Ministry of Higher Education, Research, and Innovation (MESRI-COVID-19), Institut National de la Santé et de la Recherche Médicale (INSERM) and the University Paris Cité.

#### Supplementary Material

- Supplementary Materials
- Supplementary Figure S1
- Supplementary Figure S2
- Supplementary Figure S3
- Supplementary Figure S4
- Supplementary Figure S5
- Supplementary Figure S6
- Supplementary Table S1
- Supplementary Table S2
- Supplementary Table S3
- Supplementary Table S4
- Supplementary Table S5

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Address correspondence to: *Dr. Kai Kisand Institute of Biomedicine and Translational Medicine University of Tartu Ravila 19 Tartu 50411 Estonia*

*E-mail:* kai.kisand@ut.ee

*Martti Vanker Institute of Biomedicine and Translational Medicine University of Tartu Ravila 19 Tartu 50411 Estonia*

*E-mail:* martti.vanker@ut.ee

Received 15 January 2023/Accepted 7 March 2023

# Appendix

# Appendix A1. List of COVID HGE Consortium Authors

Laurent Abel,<sup>1</sup> Alessandro Aiuti,<sup>2</sup> Saleh Al-Muhsen,<sup>3</sup> Evangelos Andreakos,<sup>4</sup> Andrés A. Arias,<sup>5</sup> Hagit Baris Feldman,<sup>6</sup> Paul Bastard,<sup>1</sup> Catherine M. Biggs,<sup>7</sup> Alexandre Bolze,<sup>8</sup> Anastasiia Bondarenko,<sup>9</sup> Ahmed A. Bousfiha,<sup>10</sup> Petter Brodin,<sup>11</sup> Jean-Laurent Casanova,<sup>12</sup> Giorgio Casari,<sup>13</sup> John Christodoulou,<sup>14</sup> Roger Colobran,<sup>15</sup> Antonio Condino-Neto,<sup>16</sup> Stefan N. Constantinescu,<sup>17</sup> Xavier Duval,<sup>18</sup> Sara Espinosa-Padilla,<sup>19</sup> Jacques Fellay,<sup>20</sup> Carlos Flores,<sup>21</sup> José Luis Franco,<sup>22</sup> Antoine Froidure,<sup>23</sup> Guy Gorochov,<sup>24</sup> Filomeen Haerynck,<sup>25</sup> Rabih Halwani,<sup>26</sup> Elena W.Y. Hsieh,<sup>27</sup> Yuval Itan,<sup>28</sup> Kai Kisand,<sup>29</sup> Yu-Lung Lau,<sup>30</sup> Davood Mansouri,<sup>31</sup> Isabelle Meyts,<sup>32</sup> Kristina Mironska,<sup>33</sup> Trine H.

Mogensen,<sup>34</sup> Lisa F.P. Ng,<sup>35</sup> Antonio Novelli,<sup>36</sup> Giuseppe Novelli,<sup>37</sup> Cliona O'Farrelly,<sup>38</sup> Satoshi Okada,<sup>39</sup> Keisuke Okamoto,<sup>40</sup> Tayfun Ozcelik,<sup>41</sup> Rebeca Perez de Diego,<sup>42</sup> Jordi Perez-Tur,<sup>43</sup> David S. Perlin,<sup>44</sup> Graziano Pesole,<sup>45</sup> Anna M. Planas,<sup>46</sup> Carolina Prando,<sup>47</sup> Aurora Pujol,<sup>48</sup> Anne Puel,<sup>1</sup> Laurent Renia,<sup>35</sup> Igor Resnick,<sup>49</sup> Carlos Rodríguez-Gallego,<sup>50</sup> Vanessa Sancho-Shimizu,<sup>51</sup> Anna Sediva,<sup>52</sup> Mikko R.J. Seppänen,<sup>53</sup> Mohammed Shahrooei,<sup>54</sup> Anna Shcherbina,<sup>55</sup> Pere Soler-Palacín,<sup>56</sup> Ivan Tancevski,<sup>57</sup> Ahmad Abou Tayoun,<sup>58</sup> Şehime Gülsün Temel,<sup>59</sup> Christian Thorball,<sup>60</sup> Martin Tolstrup,<sup>61</sup> Sophie Trouillet-Assant,<sup>62</sup> Stuart E. Turvey,<sup>63</sup> K.M. Furkan Uddin,<sup>64</sup> Mohammed J. Uddin,<sup>65</sup> Diederik van de Beek,<sup>66</sup> Lucie Roussel,<sup>67</sup> Donald C. Vinh,<sup>67</sup> Joost Wauters,<sup>68</sup>, Mateus Vidigal,<sup>69</sup>, Mayana Zatz, $69$  Qian Zhang,<sup>1</sup> and Shen-Ying Zhang<sup>1</sup>

- 1. Laboratory of Human Genetics of Infectious Diseases, Necker Branch, INSERM U1163, Necker Hospital for Sick Children, Paris, France; Paris Cité University, Imagine Institute, Paris, France; St. Giles Laboratory of Human Genetics of Infectious Diseases, Rockefeller Branch, Rockefeller University, New York, NY, USA.
- 2. San Raffaele Telethon Institute for Gene Therapy, IRCCS Ospedale San Raffaele, and Vita Salute San Raffaele University, Milan, Italy.
- 3. Immunology Research Lab, Department of Pediatrics, College of Medicine, King Saud University, Riyadh, Saudi Arabia.
- 4. Laboratory of Immunobiology, Center for Clinical, Experimental Surgery and Translational Research, Biomedical Research Foundation of the Academy of Athens, Athens, Greece.
- 5. St. Giles Laboratory of Human Genetics of Infectious Diseases, Rockefeller Branch, The Rockefeller University, New York, NY, USA; Primary Immunodeficiencies Group, Department of Microbiology and Parasitology, School of Medicine, University of Antioquia, Medellín, Colombia; School of Microbiology, University of Antioquia UdeA, Medellín, Colombia.
- 6. The Genetics Institute, Tel Aviv Sourasky Medical Center and Sackler Faculty of Medicine, Tel Aviv University, Tel Aviv, Israel.
- 7. Department of Pediatrics, BC Children's and St. Paul's Hospitals, University of British Columbia, Vancouver, BC, Canada.
- 8. Helix, San Mateo, CA, USA.
- 9. Shupyk National Medical Academy for Postgraduate Education, Kiev, Ukraine.
- 10. Clinical Immunology Unit, Department of Pediatric Infectious Disease, CHU Ibn Rushd and LICIA, Laboratoire d'Immunologie Clinique, Inflammation et Allergie, Faculty of Medicine and Pharmacy, Hassan II University, Casablanca, Morocco.
- 11. SciLifeLab, Department of Women's and Children's Health, Karolinska Institutet, Stockholm, Sweden.
- 12. The Rockefeller University & Howard Hughes Medical Institute, New York, NY, USA; Necker Hospital for Sick Children & INSERM, Paris, France.
- 13. Clinical Genomics, IRCCS San Raffaele Scientific Institute and Vita-Salute San Raffaele University, Milan, Italy.
- 14. Murdoch Children's Research Institute and Department of Paediatrics, University of Melbourne, Melbourne, VIC, Australia.
- 15. Immunology Division, Genetics Department, Hospital Universitari Vall d'Hebron, Vall d'Hebron Research Institute, Vall d'Hebron Barcelona Hospital Campus, UAB, Barcelona, Catalonia, Spain.
- 16. Department of Immunology, Institute of Biomedical Sciences, University of São Paulo, São Paulo, Brazil.
- 17. de Duve Institute and Ludwig Cancer Research, Brussels, Belgium.
- 18. Université de Paris, IAME UMR-S 1137, INSERM, Paris, France; Inserm CIC 1425, Paris, France.
- 19. Instituto Nacional de Pediatria (National Institute of Pediatrics), Mexico City, Mexico.
- 20. School of Life Sciences, Ecole Polytechnique Fédérale de Lausanne, Lausanne, Switzerland; Precision

Medicine Unit, Lausanne University Hospital and University of Lausanne, Lausanne, Switzerland.

- 21. Research Unit, Hospital Universitario Nuestra Señora de Candelaria, Santa Cruz de Tenerife; CIBER de Enfermedades Respiratorias, Instituto de Salud Carlos III, Madrid; Genomics Division, Instituto Tecnológico y de Energías Renovables (ITER), Santa Cruz de Tenerife, Spain.
- 22. Group of Primary Immunodeficiencies, University of Antioquia UDEA, Medellin, Colombia.
- 23. Pulmonology Department, Cliniques Universitaires Saint-Luc; Institut de Recherche Expérimentale et Clinique (IREC), Université Catholique de Louvain, Brussels, Belgium.
- 24. Sorbonne Université, Inserm, Centre d'Immunologie et des Maladies Infectieuses-Paris (CIMI PARIS), Assistance Publique-Hôpitaux de Paris (AP-HP) Hôpital Pitié-Salpêtrière, Paris, France.
- 25. Department of Paediatric Immunology and Pulmonology, Centre for Primary Immunodeficiency Ghent (CPIG), PID Research Laboratory, Jeffrey Modell Diagnosis and Research Centre, Ghent University Hospital, Ghent, Belgium.
- 26. Sharjah Institute of Medical Research, College of Medicine, University of Sharjah, Sharjah, United Arab Emirates.
- 27. Departments of Pediatrics, Immunology and Microbiology, University of Colorado, School of Medicine, Aurora, CO, USA.
- 28. Institute for Personalized Medicine, Icahn School of Medicine at Mount Sinai, New York, NY, USA; Department of Genetics and Genomic Sciences, Icahn School of Medicine at Mount Sinai, New York, NY, USA.
- 29. Molecular Pathology, Department of Biomedicine, Institute of Biomedicine and Translational Medicine, University of Tartu, Tartu Estonia.
- 30. Department of Paediatrics & Adolescent Medicine, The University of Hong Kong, Hong Kong, China.
- 31. Department of Clinical Immunology and Infectious Diseases, National Research Institute of Tuberculosis and Lung Diseases, The Clinical Tuberculosis and Epidemiology Research Center, National Research Institute of Tuberculosis and Lung Diseases (NRITLD), Masih Daneshvari Hospital, Shahid Beheshti, University of Medical Sciences, Tehran, Iran.
- 32. Department of Pediatrics, University Hospitals Leuven; KU Leuven, Department of Microbiology, Immunology and Transplantation; Laboratory for Inborn Errors of Immunity, KU Leuven, Leuven, Belgium.
- 33. University Clinic for Children's Diseases, Department of Pediatric Immunology, Medical Faculty, University ''St.Cyril and Methodij'' Skopje, North Macedonia.
- 34. Department of Biomedicine, Aarhus University, Aarhus, Denmark.
- 35. A\*STAR Infectious Disease Labs, Agency for Science, Technology and Research, Singapore; Lee Kong Chian School of Medicine, Nanyang Technology University, Singapore, Singapore.
- 36. Laboratory of Medical Genetics, IRCCS Bambino Gesù Children's Hospital, Rome, Italy.
- 37. Department of Biomedicine and Prevention, Tor Vergata University of Rome, Rome, Italy.
- 38. Comparative Immunology Group, School of Biochemistry and Immunology, Trinity Biomedical Sciences Institute, Trinity College Dublin, Ireland.
- 39. Department of Pediatrics, Graduate School of Biomedical and Health Sciences, Hiroshima University, Hiroshima, Japan.
- 40. Tokyo Medical and Dental University, Tokyo, Japan.
- 41. Department of Molecular Biology and Genetics, Bilkent University, Bilkent–Ankara, Turkey.
- 42. Institute of Biomedical Research of IdiPAZ, University Hospital ''La Paz,'' Madrid, Spain.
- 43. Institut de Biomedicina de València-CSIC, CI-BERNED, Unitat Mixta de Neurologia i Genètica, IIS La Fe, Vallencia, Spain.
- 44. Center for Discovery and Innovation, Hackensack Meridian Health, Nutley, New Jersey, USA.
- 45. Department of Biosciences, Biotechnology and Biopharmaceutics, University of Bari A. Moro, Bari, Italy.
- 46. IIBB-CSIC, IDIBAPS, Barcelona, Spain.
- 47. Faculdades Pequeno Príncipe, Instituto de Pesquisa Pelé Pequeno Príncipe, Curitiba, Brazil.
- 48. Neurometabolic Diseases Laboratory, Bellvitge Biomedical Research Institute (IDIBELL), L'Hospitalet de Llobregat, Barcelona, Spain; Catalan Institution of Research and Advanced Studies (ICREA), Barcelona, Spain; Center for Biomedical Research on Rare Diseases (CIBERER), ISCIII, Barcelona, Spain.
- 49. University Hospital St. Marina, Varna, Bulgaria.
- 50. Department of Immunology, University Hospital of Gran Canaria Dr. Negrín, Canarian Health System, Las Palmas de Gran Canaria; Department of Clinical Sciences, University Fernando Pessoa Canarias, Las Palmas de Gran Canaria, Spain.
- 51. Department of Paediatric Infectious Diseases and Virology, Imperial College London, London, United Kingdom; Centre for Paediatrics and Child Health, Faculty of Medicine, Imperial College London, London, United Kingdom.
- 52. Department of Immunology, Second Faculty of Medicine Charles University, V Uvalu, University Hospital in Motol, Prague, Czech Republic.
- 53. Adult Immunodeficiency Unit, Infectious Diseases, Inflammation Center, University of Helsinki and Helsinki University Hospital, Helsinki, Finland; Rare Diseases Center and Pediatric Research Center, Children's Hospital, University of Helsinki and Helsinki University Hospital, Helsinki, Finland.
- 54. Specialized Immunology Laboratory of Dr. Shahrooei, Ahvaz, Iran; Department of Microbiology and Immunology, Clinical and Diagnostic Immunology, KU Leuven, Leuven, Belgium.
- 55. Department of Immunology, Dmitry Rogachev National Medical Research Center of Pediatric Hematology, Oncology and Immunology, Moscow, Russia.
- 56. Pediatric Infectious Diseases and Immunodeficiencies Unit, Vall d'Hebron Barcelona Hospital Campus, Barcelona, Catalonia, Spain.
- 57. Department of Internal Medicine II, Medical University of Innsbruck, Innsbruck, Austria.
- 58. Al Jalila Children's Hospital, Dubai, UAE.
- 59. Departments of Medical Genetics & Histology and Embryology, Faculty of Medicine; Department of

Translational Medicine, Health Sciences Institude, Bursa Uludağ University, Bursa, Turkey.

- 60. Precision Medicine Unit, Lausanne University Hospital and University of Lausanne, Lausanne, Switzerland.
- 61. Department of Infectious Diseases, Aarhus University Hospital, Aarhus, Denmark.
- 62. Hospices Civils de Lyon, Lyon, France; International Center of Research in Infectiology, Lyon University, INSERM U1111, CNRS UMR 5308, ENS, UCBL, Lyon, France.
- 63. BC Children's Hospital, The University of British Columbia, Vancouver, Canada.
- 64. Centre for Precision Therapeutics, Genetics & Genomic Medicine Centre, NeuroGen Children's Healthcare and Lecturer, Holy Family Red Crescent Medical College Dhaka, Dhaka, Bangladesh.
- 65. College of Medicine, Mohammed Bin Rashid University of Medicine and Health Sciences, Dubai, UAE; Cellular Intelligence (Ci) Lab, GenomeArc, Inc., Toronto, Ontario, Canada.
- 66. Department of Neurology, Amsterdam Neuroscience, Amsterdam University Medical Center, University of Amsterdam, Amsterdam, The Netherlands.
- 67. Department of Medicine, Division of Infectious Diseases, McGill University Health Centre, Montréal, Québec, Canada; Infectious Disease Susceptibility Program, Research Institute, McGill University Health Centre, Montréal, Québec, Canada.
- 68. Department of General Internal Medicine, Medical Intensive Care Unit, University Hospitals Leuven, Leuven, Belgium.
- 69. Biosciences Institute, University of São Paulo, São Paulo, Brazil.

# **CIRCO**

Members of the Coronavirus Immune Response and Clinical Outcomes (CIRCO) collaborative group include Bethany Potts, Sara Kirkham, Joanne E. Konkel, John R. Grainger, Elizabeth Mann, Rohan Ahmed, Halima Ali Shuwa, Miriam Avery, Katharine Birchall, Oliver Brand, Evelyn Charsley, Alistair Chenery, Christine Chew, Richard Clark, Emma Connolly, Karen Connolly, Simon Dawson, Laura Durrans, Hannah Durrington, Jasmine Egan, Claire Fox, Helen Francis, Miriam Franklin, Susannah Glasgow, Nicola Godfrey, Kathryn J. Gray, Seamus Grundy, Jacinta Guerin, Pamela Hackney, Mudassar Iqbal, Chantelle Hayes, Emma Hardy, Jade Harris, Anu John, Bethany Jolly, Verena Kästele, Saba Khan, Gabriella Lindergard, Sylvia Lui, Lesley Lowe, Alex G. Mathioudakis, Flora A. McClure, Joanne Mitchell, Clare Moizer, Katrina Moore, David J. Morgan, Stuart Moss, Syed Murtuza Baker, Rob Oliver, Grace Padden, Christina Parkinson, Laurence Pearmain, Mike Phuycharoen, Ananya Saha, Barbora Salcman, Nicholas A. Scott, Seema Sharma, Jane Shaw, Joanne Shaw, Elizabeth Shepley, Lara Smith, Simon Stephan, Ruth Stephens, Gael Tavernier, Rhys Tudge, Alison Uriel, Louis Wareing, Roanna Warren, Thomas Williams, Lisa Willmore, and Mehwish Younas; The University of Manchester and Manchester University NHS Foundation Trust, United Kingdom.