PROTOCOLS

Study Design, Rationale, and Methodology for Promote Weight Loss in Patients With Peripheral Artery Disease Who Also Have Obesity: The PROVE Trial

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BACKGROUND: Overweight and obesity are associated with adverse functional outcomes in people with peripheral artery disease (PAD). The effects of weight loss in people with overweight/obesity and PAD are unknown.

METHODS: The PROVE (Promote Weight Loss in Obese PAD Patients to Prevent Mobility Loss) Trial is a multicentered randomized clinical trial with the primary aim of testing whether a behavioral intervention designed to help participants with PAD lose weight and walk for exercise improves 6-minute walk distance at 12-month follow-up, compared with walking exercise alone. A total of 212 participants with PAD and body mass index \geq 25 kg/m² will be randomized. Interventions are delivered using a Group Mediated Cognitive Behavioral intervention model, a smartphone application, and individual telephone coaching. The primary outcome is 12-month change in 6-minute walk distance. Secondary outcomes include total minutes of walking exercise/wk at 12-month follow-up and 12-month change in accelerometer-measured physical activity, the Walking Impairment Questionnaire distance score, and the Patient-Reported Outcomes Measurement Information System mobility questionnaire. Tertiary outcomes include 12-month changes in perceived exertional effort at the end of the 6-minute walk, diet quality, and the Short Physical Performance Battery. Exploratory outcomes include changes in gastrocnemius muscle biopsy measures of mitochondrial cytochrome C oxidase activity, mitochondrial biogenesis, capillary density, and inflammatory markers.

CONCLUSIONS: The PROVE randomized clinical trial will evaluate the effects of exercise with an intervention of coaching and a smartphone application designed to achieve weight loss, compared with exercise alone, on walking performance in people with PAD and overweight/obesity. Results will inform optimal treatment for the growing number of patients with PAD who have overweight/obesity.

REGISTRATION: URL: https://www.clinicaltrials.gov; Unique identifier: NCT04228978.

Key Words: eating behavior
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Nonstandard Abbreviations and Acronyms

EX	exercise intervention
GOALS	Group-Oriented Arterial Leg Study
ICC	interclass correlation
LITE	Low Intensity Exercise Intervention in PAD
PROVE	Promote Weight Loss in Obese PAD Patients to Prevent Mobility Loss
WL+EX	weight loss plus exercise intervention

ower extremity peripheral artery disease (PAD) affects >230 million adults worldwide,^{1,2} and causes significant walking impairment.² Walking exercise is first-line therapy to improve walking performance in PAD.³ Individuals with PAD and overweight or obesity have greater functional impairment and faster functional decline than people with PAD and normal weight.⁴⁻⁶ Rates of obesity are increasing nationally⁷ and ≈65% of people with PAD have overweight or obesity.^{8,9} In animals, obesity was associated with impaired limb perfusion¹⁰ and in humans, obesity was associated with reduced skeletal muscle mitochondrial biogenesis and activity.¹¹ However, the effects of intentional weight loss in people with PAD who have overweight or obesity are unknown. A combined weight loss and exercise intervention may improve walking performance if weight loss improves adherence to exercise activity by making walking exercise easier, increasing calf perfusion, and improving calf mitochondrial activity and inflammation. However, weight loss may not be beneficial if weight loss exacerbates PAD-related sarcopenia or if the reguirements of the weight loss program interfere with adherence to walking exercise.¹²

The PROVE (Promote Weight Loss in Obese PAD Patients to Prevent Mobility Loss) Trial was designed to compare an intervention intended to achieve weight loss combined with walking exercise to a walking exercise intervention alone. The weight loss intervention and the walking exercise intervention incorporated behavioral change methods. The primary outcome is change in 6-minute walk distance at 12-month follow-up. This article describes the design and methods for the PROVE Trial.

METHODS

The PROVE Trial was reviewed and approved by a single Institutional Review Board through Northwestern University. All participants provide written informed consent. This clinical trial is single-blind, multicentered, and conducted at Northwestern University (Chicago, IL), the University of Minnesota (Minneapolis, MN), and Tulane University (New Orleans, LA), with the Data Coordinating Center at Wake Forest University School of Medicine (Winston-Salem, NC). After trial completion, anonymized data and materials will be made publicly available at BioLINCC.

Participant Identification

Patients with established PAD or vascular laboratory testing consistent with PAD are contacted via mailed letters, messaging through patient portals, and referrals from vascular specialists. Brochures, flyers, or posters are displayed in relevant clinics. Postcards advertising the study are mailed to people aged 50 years and older in the communities where the trial is conducted. Advertisements on buses and trains in Chicago, Minneapolis, and New Orleans are used to advertise the clinical trial. Field center investigators also identify potential participants from lists of people with PAD who participated in previous studies and expressed interest in future research. Initial eligibility screening occurs by telephone (Table 1).

Inclusion and Exclusion Criteria

Inclusion and exclusion criteria are intended to maximize both eligibility and generalizability of the randomized sample (Table 2). Eligible participants are people with PAD who have either overweight or obesity. Participants with overweight (ie, body mass index [BMI] 25-29.9 kg/m²) were included for the following reasons. First, prior work showed that among people with PAD, annual declines in 6-minute walk distance increased across BMI categories of normal BMI, overweight, and obesity.⁴ Second, prior work showed a trend across BMI categories of normal BMI, overweight, and obesity with regard to the degree of fatty infiltration of calf skeletal muscle in people with PAD.⁶ Third, including participants with either overweight or obesity increases generalizability of the results. Fourth, including participants who are overweight was expected to assist with recruitment. Patients who report treatment for overweight or obesity in the past 6 months are excluded (Table 2). However, patients taking drugs associated with mild-to-modest weight loss such as metformin or glucagon-like peptide receptor agonists to treat diabetes who report no recent treatment for weight loss are not excluded. Data on medication use at baseline and follow-up is recorded by investigators.

The ankle brachial index (ABI) is performed after 5 minutes of supine rest. The systolic blood pressure is measured twice in the right brachial, dorsalis pedis, posterior tibial, and left dorsalis pedis, posterior tibial, and brachial arteries using a hand-held Doppler probe. The ABI is calculated for each leg by dividing the mean of the dorsalis pedis and posterior tibial pressures by

Table 1. Initial Telephone Screening Questions

Sectio	n 1: Demographics
	er a brief study description) Are you interested in hearing more ut the study?
2. Hov	v did you hear about the study?
3. May	I ask your biological sex?
4. Wha	at is your age?
5. Wha	at is your date of birth?
Section	n 2: PAD
	a doctor ever told you that you have blockages in your leg ries, intermittent claudication, or peripheral artery disease?
	you get pain, discomfort, tightness, weakness, or other ptoms in your legs when you walk?
8. Are	you a current or former smoker?
9. Has	a doctor ever told you that you had diabetes?
	uld you like to be contacted in the future about new research dies?
Section	n 3: Interest
,	er a detailed study description) Do you think you are interested in rticipating?
Section	n 4: Inclusion/exclusion
12. Wh	at is your height?
13. Wh	at is your weight?
eng asl vis of t mo	this study, you will be asked to attend 2–3 baseline visits and gage in an intervention for 1 year. During the year you will be ked to attend weekly visits for the first 4 months. These weekly its will transition to every other week for the 5th and 6th months the study, and finally, to once-a-month sessions for the last 6 onths of the study. Will you be able to come to (visit location) for s schedule of visits?
Section	n 5: Physical activity
15. Do	you walk for exercise currently?
-	s, on average, how many days per week do you walk for cise?
-	s, on average, how many minutes per day do you walk for cise?
Section	n 6: Equipment
16. Do	you have a smartphone?
	, are you willing to learn how to use a smartphone to record your if one is provided to you during the study?
lf ye: vers	s, please provide the type of device and operating system or ion.
Section	n 7: Alcohol use
17.Do	you drink alcohol?
If ye	s, how many drinks do you have a week?
Section	n 8: Self-report inclusion/exclusion criteria
18. In t	he last 3 months, have you had a heart attack?
19. In t	he last 3 months, have you had a stroke?
hea	ve you had any major surgeries or revascularizations of the art or legs (ie, procedure to improve blood flow) in the past 3 onths?
pro	you anticipate any major surgeries or revascularizations (ie, becedure to improve blood flow in the heart or legs) in the next 12 onths?
22. Do	you use an oxygen tank other than at night?

(Continued)

Table 1. Continued

24. Do you have a foot ulcer or open wor that interferes with walking?	und on the bottom of your foot
25. Have you had a significant eating dis	order within the past 5 years?
26. In the last 6 months, have you been t psychosis?	reated for schizophrenia or
27. In the last 6 months, have you been h disorder?	ospitalized for a psychiatric
28. Do you have Parkinson disease?	
29. Do you have a leg amputation?	
30. Do you use a walker or wheelchair?	
31. Do you have visual impairment that ir	nterferes with walking?
32. In the past 6 months, have you been	treated for drug abuse?
33. In the past 6 months, have you unde are you currently undergoing weight	
34. Have you gained or lost any weight in	n the past 6 months?
If yes, how many pounds?	
Section 9: Additional information	
35. Do you have cancer that has require	d treatment in the last 2 years?
36. Do you have foot pain at night that g dangle your feet?	ets better when you sit up and
If yes, has the foot pain gotten worse	in the past month?
37. Do you have an implantable cardiove	rter-defibrillator?
38. Are you currently participating in a su or cardiac rehabilitation program, or supervised treadmill exercise or card the past 3 months?	have you participated in a
39. Are you currently participating in any or have you completed a clinical rese months?	

the mean of the 4 brachial pressures. Participants with a borderline ABI (ie, >0.90 and ≤1.00) may undergo a heel-rise ABI. The heel-rise test consists of the participant performing 50 heel rises at a rate of 1 per second and then immediately repeating the ABI.¹³ Participants with ≥20% drop in the ABI in either leg are eligible. Additional methods of confirming the presence of PAD through angiographic evidence or vascular laboratory testing are summarized in Table 2.

Information regarding medical history and demographic characteristics are obtained by questionnaires administered by research staff.

Run-In Period

Before randomization, potential participants are asked to complete a run-in period for up to 14 days. During the run-in, participants receive the study application (app) on a smartphone and are asked to enter all food consumed into the app. A smartphone with data plan is provided to participants who do not have their own. Successful completion of the run-in is defined as entering >800 kcal for at least 5 days. Individuals who do not meet this criterion are not eligible. This helps ensure that randomized participants are willing and able to use the study app regularly.

Table 2. Inclusion and Exclusion Criteria

Inclusion criteria	Rationale
 Presence of PAD, defined by: ABI ≤0.90 or TBI ≤0.70 at baseline visit ABI >0.90 and ≤1.00 with a 20% or greater drop in ABI in either leg after the heel-rise test at baseline visit ABI >0.90 at baseline visit with vascular laboratory evidence or angiographic evidence of PAD, including: TBI ≤0.70 Duplex ultrasound or angiographic evidence of ≥70% stenosis in lower extremity artery Post heel-rise or post-exercise ABI drop in either leg of ≥20% ABI ≤0.90 Individuals with a history of lower extremity revascularization who do not meet the criteria above and have an ABI >0.90 with ≥20% drop in ABI after an exercise test will be eligible if they have symptomatic PAD* 	Accepted criteria for PAD in clinical practice guidelines. ³
- BMI ≥25 kg/m ²	Overweight and obesity are defined as a BMI of 25–29 kg/m ² and >30 kg/m ² . Observational evidence demonstrated a linear trend in association of normal BMI, overweight, and obesity with the magnitude of annual decline in 6-minute walk distance among people with PAD. ⁴
- Age ≥18 years	PAD is rare in children
Exclusion criteria	Rationale
 Above or below knee amputation, critical limb ischemia, or wheelchair confinement. 	These criteria characterize severe or end stage PAD. Most individuals with PAD do not have severe/end stage PAD.
- Walking is limited by a condition other than PAD.	The WL+EX intervention is designed to improve walking impairment caused by PAD.
- Failure to complete the study run-in defined as not entering at least 800 kcal of consumed calories per day for at least 5 days during the 7-day run-in^{\dagger}	These individuals may not adhere to study requirements.
 Major surgery, coronary or leg revascularization in the past 3 months or anticipated in the next year. 	These procedures may influence functional performance, independent of study interventions.
 Experienced a heart attack or stroke in the past 3 months. Major medical illness including lung disease requiring oxygen, Parkinson disease, a life-threatening illness with life expectancy <6 months, or cancer requiring treatment in the previous 2 years.[‡] Increase in angina or angina at rest. Potential participants may become eligible following an abnormal baseline treadmill stress test if they have evidence of an absence of coronary ischemia based on testing with their own physician. Current ulcer on bottom of foot 	These conditions may interfere with the ability to fully participate in and complete the study.
 Mini-Mental Status Examination score <23, dementia, and substance abuse. History of being treated for new onset or an acute episode of schizophrenia or psychosis in the past 6 months. Hospitalization for a psychiatric disorder in the past 6 months. 	May interfere with ability to fully adhere to the study intervention or accurately complete questionnaires.
- BMI >45 kg/m ²	These individuals have morbid obesity that requires more intensive treatment than our intervention provides.
 History of a significant eating disorder that has been active within the past 5 years or weight loss treatment in the past 6 months. Weight loss treatment includes Weight Watchers, any history of weight loss surgery, and using weight loss medications now or in the past 6 months. Weight gain or loss of >25 pounds in the past 6 months 	The WL+EX intervention is not intended for individuals with an eating disorder. Patients with recent weight loss may have weight change independently of the study interventions.
 Unwilling/unable to use a smartphone and unwilling to attend weekly study sessions. Non-English-speaking Visual impairment that limits walking ability 	These individuals will not be able to fully engage in the intervention.

(Continued)

Table 2. Continued

Inclusion criteria	Rationale	
 Currently walking regularly for exercise at a level comparable to the amount of exercise prescribed in the intervention Current participation in supervised treadmill exercise, participation in supervised treadmill exercise in the past 3 months or planning to participate in supervised treadmill exercise in the next year. Participation in or completion of a clinical trial in the previous 3 months[§] 	These programs may influence functional performance, independent of study interventions.	

ABI indicates ankle brachial index; BMI, body mass index; PAD, peripheral artery disease; TBI, toe brachial index; and WL+EX, weight loss plus exercise. *Symptomatic PAD will be defined as leg symptoms associated with exertion that resolved within 10 minutes of rest. The presence of symptomatic PAD will be determined based on the claudication questionnaire, the 6-minute walk, or principal investigator interview/discussion with the potential participant.

[†]The run-in period can be extended to assist participants with learning the app, but participants must demonstrate ability to enter at least 800 kcal per day for at least 5 days of the run-in period.

[‡]Potential participants may still qualify if they have had treatment for an early-stage cancer in the past 2 years and the prognosis is excellent.

[§]After completing a stem cell or gene therapy intervention, participants will become eligible after the final study follow-up visit of the stem cell or gene therapy study so long as at least 6 months have passed since the final intervention administration. After completing a supplement or drug therapy (other than stem cell or gene therapy), participants will be eligible after the final study follow-up visit provided at least 3 months have passed since the final intervention of the trial.

Randomization

Participants unwilling to adhere to the intervention and follow-up testing, regardless of group assignment, are not randomized. To ensure equipoise, participants are informed of the purpose of the trial and advised that if they are randomized to the exercise group, they should not make changes to their diet, unless advised otherwise by their physician. Potential participants unwilling to continue approximately their same (current) diet if randomized to exercise alone are excluded. Data on participants excluded due to inability to complete runin or unwillingness to adhere to the assigned diet and exercise intervention are recorded. For randomization, investigators use permuted block randomization with random block sizes, stratified by site.

Interventions

The study interventions combine connective mobile technology with a Group Mediated Cognitive Behavioral intervention and remote coaching. The Group Mediated Cognitive Behavioral intervention uses psychological empowerment, social support, and supportive accountability to increase self-efficacy and

subjective well-being to facilitate positive behavioral change in older adults. The intervention for each study group is divided into 3 phases: intensive (months 1-4), transition (months 5–6), and maintenance (months 7–12) (Table 3). During the intensive phase, participants meet weekly in a video conference (Zoom) with other participants in their group and the study coach. Group meetings occur twice per month during the transition phase and once per month during the maintenance phase. All participants receive a manual, a custom smartphone app that is specific to their group assignment, and an ActiGraph accelerometer. Participants randomized to exercise alone receive an app that only tracks exercise but does not track calorie or fat consumption or weight loss. In both groups, participants receive individual coaching calls during the weeks without a group session (Table 4).

Exercise Intervention

The exercise (EX) component in each group is identical and modeled after the GOALS (Group-Oriented Arterial Leg Study) and LITE (Low Intensity Exercise Intervention in PAD) home-based exercise

1	Table 3.	Intervention	Summary and	Frequency of	Contact

	Intensive (mo 1-4)	Transition (mo 5–6)	Maintenance (mo 7–12)
GMCB sessions	Weekly	Every other wk	1 per mo
Coach telephone calls	None	Every other wk	3 per mo
Coach remote monitoring	Weekly	Weekly	Weekly
Walking exercise recorded using ActiGraph	5 d per wk	5d/wk	5d/wk
Weight loss goal (WL+EX only)	≥0.50 pounds/wk	≥0.50 pounds/wk	
PROVE study app to record dietary intake (WL+EX only)	Daily	Daily	Daily
Weight recorded using digital scale (WL+EX)	Daily	Daily	Daily

GCMB indicates group-mediated cognitive behavioral; EX, exercise; WL+EX, weight loss plus exercise; and PROVE, Promote Weight Loss in Obese PAD Patients to Prevent Mobility Loss.

 Table 4.
 Items Used to Monitor Fidelity to the Study

 Intervention
 Intervention

	WL+EX	EX
Coaching skills		
Expresses empathy and asks permission	Х	Х
Uses active and reflective listening to generate the most appropriate responses and questions	Х	X
Supports self-efficacy	Х	Х
Elicits change talk	Х	Х
Uses nonjudgmental curiosity and uses open- ended questions	Х	X
Treatment fidelity		
Discusses adherence to weighing and self-monitoring	Х	
Discusses walking exercise goals	Х	Х
Discusses calorie goals	Х	
Discusses the fat gram goal	Х	
Discusses my action plan	Х	Х

EX indicates exercise; and WL+EX, weight loss plus exercise.

interventions.^{14,15} GOALS used a group-mediated cognitive behavioral framework to help participants with PAD adhere to a home-based walking exercise program and improved 6-minute walk distance, compared with the control group.¹⁶ Participants are asked to walk for exercise 5 days/wk, beginning with 10 to 15 minutes of walking exercise/session and working up to 40 to 50 minutes/session. Exercise is tracked in the study app. Exercise goals are individualized and intended to increase walking duration by 5 minutes per session each week to facilitate progress toward the goal of 40 to 50 minutes of walking exercise per session.

Participants are instructed to walk for exercise at a pace that elicits moderate to severe ischemic leg symptoms.¹⁵ Walking duration and intensity are monitored using an ActiGraph accelerometer. Before the start of the intervention, participants are instructed to wear the ActiGraph while walking for exercise for 5 minutes at a pace eliciting moderate-to-severe discomfort defined as attaining ischemic leg discomfort of 4 to 5 on a 1 to 5 scale, where 5 is the most severe ischemic leg symptoms. The ActiGraph-measured intensity (activity counts) during this walking activity is the desired intensity during walking exercise activity. The ActiGraph-measured walking exercise intensity corresponding to ischemic leg discomfort of 4 to 5 on the 1 to 5 scale is remeasured at scheduled intervals, every 3 months, for each participant and after hospitalizations or major illness.

Participants wear the ActiGraph during walking exercise and data are uploaded to CentrePoint. Walking progress is displayed on the study app to the participant (Figure 1) and on the study website to the coach. The coach and participant use the data to monitor exercise intensity, frequency, and minutes of exercise per session.

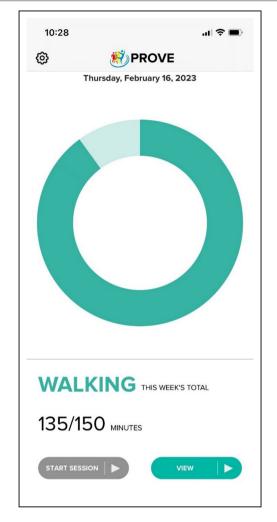


Figure 1. Screenshot of PROVE Trial app showing progress toward walking exercise goal for a participant in the exercise (EX) group.

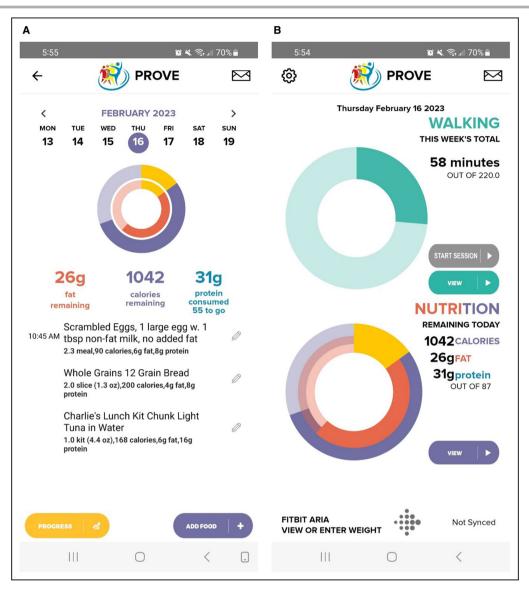
PROVE indicates Promote Weight Loss in Obese PAD Patients to Prevent Mobility Loss.

During each group Zoom session, the coach presents a topic of the week, such as "overcoming negative thoughts," "goal setting and self-monitoring," or "walking exercise in cold weather." The coach leads group discussions that facilitate participants sharing their experiences in the walking exercise program, including successes and challenges. At the end of each session, each participant selects their individual walking exercise goals for the next week.

Weight Loss Plus Exercise Intervention

The exercise component of the weight loss plus exercise (WL+EX) intervention is the same as that described for the EX intervention above.

Participants randomized to WL+EX receive a digital scale and a version of the app that enables participants to track their daily dietary intake (Figure 2A) and weight, in addition to walking exercise. The app





provides immediate feedback to participants regarding their adherence to self-selected goals related to daily intake of kilocalories, total grams of fat and protein, body weight, and walking exercise (Figure 2B). The PROVE Trial app has been successfully used previously to achieve weight loss in adults with overweight and obesity who did not have PAD.¹⁷⁻¹⁹

The weight loss component of the WL+EX intervention is designed to reduce calorie intake to achieve $\approx 0.3 \text{ kg/wk}$ of weight loss for months 1 to 6 and maintain weight loss during months 7 to 12, with the goal of attaining weight loss of 7% to 10% at 12-month follow-up, compared with baseline. An individualized

reduced-kilocalorie goal is determined for each participant according to baseline weight. Participants are encouraged to consume ≈15% to 20% of kilocalories from protein and <7% of kilocalories from saturated fat. These 2 dietary components are monitored using the PROVE Trial app and coach dashboard. Participants are coached on increasing dietary protein-rich foods if self-selected intake is inadequate.

Monitoring Intervention Adherence

Throughout the intervention, coaches remotely monitor each participant's progress at least once/wk using the coaching dashboard that displays walking exercise relative to goals for all participants. For those assigned to WL+EX, the dashboard also displays weight, nutrient, and calorie consumption relative to goals (Figure 2A). This information is used to provide individualized feedback during individual coaching calls.

For all participants, the coach contacts participants outside of their normally scheduled calls for the following: (1) the participant is not recording any exercise minutes for >4 days; (2) exercise minutes in 1 week are >50% less than the participant's walking exercise goal; (3) the participant has difficulty adhering to walking exercise activity at the desired intensity (ie, not walking at a pace that elicits moderate-tosevere ischemic leg symptoms) at least 4 to 5 days per week. Individuals in either group who meet 1 or more of these criteria may receive additional unscheduled individual calls from the coach, as appropriate. For WL+EX the coach additionally contacts the participant between weekly scheduled interactions if dashboard data show 1 or more of the following: (1) absence of self-monitoring for >4 days, (2) overly rapid weight loss (>1.36 kg [≈3 pounds]/wk for 3 consecutive weeks); (3) a 2-week period without at least 0.23 kg [0.50 pounds] weight loss/wk (ie, 0.46 kg [1.0 pound] per 2 weeks) (this monitoring begins in week 3 of the intervention); or (4) nutritional concerns (ie, inadequate protein intake). If a participant randomized to WL+EX does not lose weight for a 3-week period and they are adherent to dietary goals, the calorie goal is reduced.

Monitoring Intervention Fidelity

Group meetings and individual coaching calls are audio recorded and a 10% subsample of sessions is selected quarterly by the Data Coordinating Center for review. Investigators evaluate and rate assigned sessions using a fidelity checklist (Table 5). Coaches with a mean score of <70% undergo additional training and recertification.

Outcomes

Outcomes are shown in Table 5. Outcome assessors are blinded to group assignment. Outcome assessors at each site are trained in data collection by the principal investigator's (MMM) staff and certified by the principal investigator or her designee, using a detailed checklist developed for the study protocol. Study coordinators undergo recertification approximately every 6 months. When deficiencies are identified, interviewers are retrained and reassessed.

6-Minute Walk

In the 6-minute walk, participants walk back and forth along a 100-ft hallway for 6 minutes after standardized instructions are read from a script. The script instructs participants to complete as many laps as possible during the 6 minutes. The primary outcome is the total distance covered during the 6 minutes. The BORG scale of perceived exertion is administered at the end of the walk to evaluate perceived effort at baseline and follow-up.

Questionnaires

Health-related quality of life is assessed with the Patient-Reported Outcomes Measurement Information System mobility questionnaire²⁰ and the Walking Impairment Questionnaire.²¹ Leg symptoms are assessed with the San Diego Claudication Questionnaire.²² Frequency of exercise activity at baseline and follow-up is collected via administered questionnaires.

Physical Activity

Physical activity during daily life is measured over 10 days at baseline and follow-up, using the ActiGraph accelerometer. Up to 7 days of wear time will be used in analyses. Participants are instructed to put on the ActiGraph when they wake up and take it off when they go to sleep, removing it only for bathing.

Table 5.Outcomes of the PROVE Trial. For Each Outcome, We Hypothesize That Weight Loss Plus Exercise (WL+EX) WillHave Greater Improvement, Compared With Exercise (EX)

Primary outcome	- 12-mo change in 6-min walk distance	
Secondary outcomes	 Minutes of walking exercise/wk during the last month of the 12-mo trial 12-mo change in physical activity 12-mo change in WIQ distance score 12-mo change in PROMIS mobility questionnaire 	
Tertiary outcomes	 12-mo change in perceived exertional effort at the end of the 6-min walk test 12-mo change in diet quality measured by change in Healthy Eating Index 	
Exploratory outcomes	 12-mo change in the short physical performance battery 12-mo change in the WIQ walking speed and the WIQ stair climbing scores 12-mo change in calf muscle biopsy measured cytochrome C oxidase enzyme activity 12-mo change in calf muscle biopsy measures of mitochondrial biogenesis (PGC-1α), capillary density (capillaries per muscle fiber), and calf muscle inflammation (IL-6, TNF-α, and IL-1β). 	

EX indicates exercise; IL, interleukin; PGC, peroxisome proliferator-activated receptor-gamma coactivator; PROMIS, Patient-Reported Outcomes Measurement Information System; PROVE, Promote Weight Loss in Obese PAD Patients to Prevent Mobility Loss; TNF, tumor necrosis factor; WIQ, Walking Impairment Questionnaire; and WL+EX, weight loss plus exercise.

Dietary Assessment

The standard semi-quantitative food frequency questionnaire, the Diet History Questionnaire III,²³ is used to estimate energy, macronutrient, and micronutrient intake at baseline and 12-month follow-up.

Short Physical Performance Battery

The short physical performance battery is a composite score (range 0-12, 12 = best) that includes assessment of 4-meter walking velocity at usual pace, standing balance, and time required to stand 5 times from a seated position.^{24,25} Each of the 3 short physical performance battery components is scored on a 0 to 4 scale. The 4-m walking velocity is performed by asking the participant to walk ≈16 feet in a corridor at their usual pace, as if they are "walking down the street to go to the store." The time required to walk the first 4 m is recorded. The measure is performed twice, and the faster time is used in analyses. Standing balance is measured by asking participants to hold 3 separate standing positions for 10 s each. The 3 standing positions are sideby-side stand, semi-tandem stand, and the tandem stand. Time required to stand from a seated position 5 times as fast as possible is also measured.

Height, Weight, and Waist Circumference

Height and weight and waist circumference are measured at baseline using standard procedures. Weight and waist circumference are repeated at 6- and 12month follow-up.

Muscle Biopsies

For an exploratory-specific aim, 50 participants randomized at Northwestern undergo muscle biopsies. Gastrocnemius muscle biopsy measures consist of mitochondrial activity (cytochrome c oxidase activity), mitochondrial biogenesis (peroxisome proliferatoractivated receptor-gamma coactivator enzyme activity), mitochondrial biogenesis (PGC-1a), capillary density (capillaries per muscle fiber), calf muscle inflammation (interleukin-6, tumor necrosis factor-a, and interleukin-1 β), and abundance of senescent cells, compared with EX. These measures will help delineate biologic pathways that may mediate improved 6-minute walk distance in response to WL+EX, compared with EX. Gastrocnemius muscle biopsies are obtained from an incision in the medial head of the gastrocnemius muscle in the leg with lower ABI, at ≈67% of the distance between the medial malleolus and the medial aspect of the proximal tibia.²⁶ Biopsies are performed at baseline and 12 month follow-up, with the 12-month biopsy incision performed adjacent to the site of the baseline biopsy, denoted by the incision scar.

Comparisons will be made by using contrasts at 12 months in a mixed-model repeated measures analysis of covariance model with an unstructured covariance matrix to account for the fact that multiple measurements within a participant over time are not independent. We focus on the contrast at 12 months because our interest is on that time point. However, including all time points (ie, 6 and 12 months) will allow us to borrow strength across the time points when there may be missing data at 12 months. Predictor variables as fixed effects will include treatment (EX versus WL+EX), time (6 versus 12 months), and the baseline (prerandomization) value of the end point being analyzed. Field center and recruitment wave within center will be included as random effects. All participants will be asked to complete follow-up testing, regardless of adherence to their intervention. Tests of hypotheses and reported P values will be 2-sided using the intention-to-treat principle at the 5% level. We have 1 primary outcome, 5 secondary outcomes, and 7 exploratory outcomes. We do not plan an explicit adjustment for multiplicity for multiple outcomes. However, we will make explicit the number of outcomes explored. Subgroups of interest defined a priori include sex, race or ethnicity (Black versus non-Black), baseline BMI (median split), baseline 6-minutes walk performance (median split), baseline smoking status (current versus never and past), age (median split), presence/absence of diabetes, ABI <0.6 versus ≥0.6, presence/absence of classical claudication symptoms, and presence/absence of exertional leg symptoms.

To account for correlation of baseline and follow-up, we used data from GOALS¹⁶ to estimate residual SDs after adjustment for the baseline level of the response and correlations. For the primary outcome of the 6-minute walk distance, the root mean squared error was 63.0 m. For the calculation of power, we used a 2-sample t test and assumed a sample size of 212 participants, a 12-month retention of at least 85% (a net sample size of at least 180), and 2-sided tests at the 5% level. To account for the group-based intervention delivery, we calculated the design effect, which is the ratio of variances comparing a simple randomized design to a cluster randomized design as 1+ICC[n-1], where n is the size of each group and ICC is the interclass correlation coefficient. The design effect is also the ratio of effective sample sizes for designs where the subjects are randomized independently compared with a grouprandomized design.²⁷ In the GOALS study, we delivered the intervention in a group setting and we used data from that study to estimate the ICC within a group for 6-minute walk at 12 months as 0.0297. We assumed a similar ICC for the PROVE Trial and an average cluster size of 7 (the most we expect) to get a design effect of 1+0.0297 [7-1] =1.178. Therefore, we have assumed

an effective sample size of 212×0.85/1.178=152.9 to account for loss to follow-up and clustering. Power for the primary outcome is 0.681 for a difference of at least 25m in the 6-minute walk test and goes up to 0.83 for 30m, 0.925 for 35m, and 0.973 for 40m. Note that these power estimates are slightly conservative as we will borrow strength from the 6-month visit in our mixed model. Meaningful change has been defined for change in 6-minute walk performance: a 20- to 30-m change in the 6-minute walk represents a small meaningful change, and 50 m represents a large meaningful change.²⁸⁻³⁰ The GOALS Trial achieved a 53.5-m difference in 6-minute walk between the intervention and control groups.¹⁶ We believe that WL+EX will achieve at least 60% of the benefit of the home exercise alone, or 32.1 m. Our own (unpublished) pilot study demonstrated an improvement of 64.1 m (95% Cl, 10.6-118) over 7 weeks. Therefore, we believe that WL+EX will improve 6-minute walk distance by at least 30 m over EX.

DISCUSSION

Among people with PAD who have overweight or obesity, the PROVE Trial will examine whether a diet and weight loss intervention combined with walking exercise results in significantly greater improvement in 6-minute walk distance at 12-month follow-up, compared with walking exercise alone. There are at least 3 potential mechanisms by which weight loss could improve walking outcomes in people with overweight or obesity and PAD. First, in individuals with PAD with overweight/obesity, weight loss may improve walking performance by reducing the energy or perceived effort required during the 6-minute walk. Second, weight loss in people with PAD with overweight/obesity may improve adherence to regular walking exercise, thereby facilitating greater response to a walking exercise intervention. Third, based on preclinical evidence, weight loss may improve the pathophysiologic changes that characterize PAD. Pathophysiologic changes may include improved lower extremity perfusion,³¹ improved skeletal muscle mitochondrial bioenergetics, and/or reduced inflammation, which may have direct adverse effects on muscle, 32-35 thereby improving walking performance. For example, in a rat model of PAD, obese rats, when compared with rats that were not obese, had hind limb arterial vasoconstriction and reduced vasodilation in response to nitric oxide.^{31,33}

However, there are several potential reasons that weight loss may not improve walking performance in people with PAD with overweight/obesity. First, in older people, including people with PAD, weight loss has been associated with loss of skeletal muscle.^{36–38} In a longitudinal observational study of 389 people with PAD and mean BMI of 28.1 (SD, 5.1) at baseline, people who engaged in intentional weight loss had significantly

greater decline in calf muscle area, measured by computed tomography scan, at 3.23 years (SD, 1.37) follow-up, compared with those who did not engage in intentional weight loss.³⁶ People with PAD already have reduced calf muscle area compared with those without PAD.^{6,39} Weight loss that exacerbates sarcopenia could be detrimental for people with PAD. Second, although recent literature⁴⁰ indicates that attending to multiple health behaviors simultaneously can be beneficial and create a synergistic effect on positive health behavior, it is unclear whether these synergistic effects will also be observed in individuals with PAD. Third, while weight loss initially relies on dietary changes, physical activity is often necessary to realize weight loss maintenance, which may be challenging in this often physically inactive population.⁴¹ Fourth, the added burden of discomfort, pain, and heaviness that individuals with PAD with overweight or obesity may experience may make behavior change to attain weight loss more difficult compared with people without PAD who have overweight or obesity. For participants randomized to WL+EX, extreme difficulty making the behavior changes in diet or lack of perceived success could discourage progress or sustained engagement in the intervention.

It is also possible that the technological component of the study interventions may be too burdensome for some participants. However, the app has been used successfully to support physical activity and weight loss in other studies, and weight loss has been achieved in prior clinical trials of people who are overweight and obese and who have metabolic syndrome.^{17–19,40,42–44} If the WL+EX group sufficiently improves physical functioning above that of EX alone, investigators will have established an additional intervention that could improve the lives of some individuals with PAD without undue burden of additional in-person health care visits.

CONCLUSIONS

The PROVE Trial is a multicentered randomized clinical trial that will evaluate the effect of weight loss combined with home-based walking exercise, compared with home-based walking exercise alone on walking performance in individuals with PAD. If the proposed hypotheses are supported, the PROVE Trial will have important implications for the large and growing number of people with PAD who have overweight or obesity.

ARTICLE INFORMATION

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