

1 **Rolling the evolutionary dice: *Neisseria* commensals as proxies for elucidating the**  
2 **underpinnings of antibiotic resistance mechanisms and evolution in human pathogens**

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21  
22 **ABSTRACT**

23 Species within the genus *Neisseria* are especially adept at sharing adaptive allelic variation  
24 across species' boundaries, with commensal species repeatedly transferring resistance to their  
25 pathogenic relative *N. gonorrhoeae*. However, resistance in commensal *Neisseria* is infrequently  
26 characterized at both the phenotypic and genotypic levels, limiting our ability to predict novel and  
27 potentially transferable resistance mechanisms that ultimately may become important clinically.  
28 Unique evolutionary starting places of each *Neisseria* species will have distinct genomic  
29 backgrounds, which may ultimately control the fate of evolving populations in response to  
30 selection, as epistatic and additive interactions may coerce lineages along divergent evolutionary  
31 trajectories. However alternatively, similar genetic content present across species due to shared  
32 ancestry may constrain the adaptive solutions that exist. Thus, identifying the paths to resistance  
33 across commensals may aid in characterizing the *Neisseria* resistome – or the reservoir of alleles  
34 within the genus, as well as its depth. Here, we use *in vitro* evolution of four commensal species

35 to investigate the potential for and repeatability of resistance evolution to two antimicrobials, the  
36 macrolide azithromycin and the  $\beta$ -lactam penicillin. After 20 days of selection, commensals evolved  
37 elevated minimum inhibitory concentrations (MICs) to penicillin and azithromycin in 11/16 and 12/16  
38 cases respectively. Almost all cases of resistance emergence converged on mutations within  
39 ribosomal components or the *mtrRCDE* efflux pump for azithromycin-based selection, and  
40 *mtrRCDE* or *penA* for penicillin selection; thus, supporting constrained adaptive solutions despite  
41 divergent evolutionary starting points across the genus for these particular drugs. However,  
42 continuing to explore the paths to resistance across different experimental conditions and  
43 genomic backgrounds, which could shunt evolution down alternative evolutionary trajectories, will  
44 ultimately flesh out the full *Neisseria* resistome.

45

## 46 INTRODUCTION

47 The emergence of antibiotic resistance within bacterial populations is mediated by natural  
48 selection, whereby mutations encoding drug-protective mechanisms are produced stochastically,  
49 and subsequently increase in frequency as a result of only the cells harboring these mutations  
50 surviving exposure events. However, a key question for both understanding evolutionary process  
51 and also the enhancement of surveillance efforts is: how repeatable and predictable is resistance  
52 evolution at the genotypic level? Two alternate hypotheses can be advanced: (1) adaptive  
53 landscapes are constrained to one or few solutions (i.e., genotypic constraint), or (2) a multitude  
54 of fitness peaks exist created by many mutations imparting similar phenotypic outcomes. Many  
55 prior studies support some level of genotypic constraint on resistance evolution at the strain or  
56 species-level<sup>1-5</sup>, however less frequently has the repeatability of resistance evolution been  
57 interrogated across species' boundaries. Applying selection across different genomic  
58 backgrounds at the species-level may lead us to predict a higher likelihood of divergent  
59 evolutionary outcomes, with different mutations giving rise to similar phenotypic resistance in  
60 different species. We may predict this given that the pre-existing suite of potentially additive and/or  
61 epistatically-interacting mutations already present in each species' genomes will likely be unique  
62 as a result of both genetic drift since the time of lineage divergence and also niche-specific  
63 adaptation. However, if genotypic convergence is observed across species, this suggests  
64 constrained ranges of adaptive solutions between high-level taxonomic groupings (e.g., genera,  
65 families, etc.) due to their shared ancestral history and conserved genetic makeup. Here, we  
66 begin to interrogate this question: does genotypic constraint or divergence govern the emergence  
67 of resistance evolution within the genus *Neisseria*?

68           The genus *Neisseria* is comprised of several Gram-negative, typically diplococoid,  
69 oxidase-positive, and often catalase-positive species, which most frequently colonize the  
70 nasopharyngeal or oral niche in humans or animals<sup>6</sup>. Most human-associated *Neisseria* are  
71 carried harmlessly as commensals in 100% of healthy human adults and children, however *N.*  
72 *gonorrhoeae* and *N. meningitidis* are obligate and opportunistic pathogens respectively and are  
73 carried in a smaller percentage of the population (between 0.01-10%)<sup>7-11</sup>. Within the *N.*  
74 *gonorrhoeae* population, rates of resistance to multiple classes of antimicrobials are rising. For  
75 example, according to the latest Gonococcal Isolate Surveillance Project (GISP) report<sup>12</sup> ~15% of  
76 surveyed isolates were resistance to penicillin, ~20% resistant to tetracycline, 33.2% to  
77 ciprofloxacin, 5.8% to azithromycin, and 0.3% to cefixime in the United States; and although  
78 resistance ( $\geq 0.25 \mu\text{g/ml}$ ) was not observed in 2020 to ceftriaxone, isolates with reduced  
79 susceptibility have been identified in previous years (2017-2019) as a part of the GISP collection<sup>12</sup>.  
80 Additionally, surveillance studies in other countries have identified higher rates of circulating  
81 ceftriaxone resistance (e.g., 4.2% in Taiwan<sup>13</sup>, 16% in in Guangdong, China<sup>14</sup>); with recent  
82 observations indicating global dissemination (Japan, China, Europe, Australia, North America and  
83 Southeast Asia) of high-level ceftriaxone-resistant strains<sup>15-20</sup>. Though the genetic basis of some  
84 resistance phenotypes appears to be exclusively encoded by recurrently acquired mutations (i.e.,  
85 ciprofloxacin resistance is almost always caused by amino acid substitutions in the DNA  
86 gyrase subunit A (GyrA S91F and D95G/D95A<sup>21,22</sup>)); the complete genetic bases of other  
87 resistance phenotypes is currently not fully described and/or is clearly imparted by multiple  
88 additive or epistatically-interacting loci (i.e., penicillin<sup>23-27</sup> and azithromycin<sup>22,28</sup> resistance). Thus,  
89 experimentally interrogating the paths to resistance and their repeatability will become an  
90 important component of both identifying novel contributing mutations, and understanding their  
91 potential prevalence and evolution within populations.

92           Studies on the paths to resistance within gonococci have previously been explored *in vitro*  
93 (e.g.,<sup>29-34</sup>). However, gonococci in addition to gaining resistance through *de novo* mutations, are  
94 also superbly adept at acquiring resistance from their close commensal relatives<sup>5,28,35-37</sup>. This  
95 allelic exchange across *Neisseria* species likely occurs in their shared colonization sites of the  
96 naso- and oropharyngeal niches<sup>38</sup>, with the whole genus often being referred to as a consortium  
97 with 'fuzzy' borders due to the high frequency of DNA donation through horizontal gene transfer  
98 (HGT)<sup>39-41</sup>. Commensal species thus serve as a bubbling cauldron of new adaptive solutions and  
99 reservoir of resistance for gonococci, with each species containing a unique genomic background  
100 in which novel resistance genotypes may emerge. Therefore, expanding the investigation on the  
101 repeatability of evolution to the entire genus may serve two important goals in the fight against

102 the spread of resistance in gonococci: 1) identifying resistance phenotypes for which a multitude  
103 of genotypic paths exist, either within distinct genomic contexts or across several, and 2)  
104 determining which drugs and/or drug classes have limited adaptive solutions within the genus.  
105 Both of these findings may guide the development of nucleic acid-based resistance tests (i.e.  
106 NAAT or WGS) for surveillance programs by defining the scope of mutations which must be  
107 surveyed.

108 Here, we begin to interrogate the paths to resistance to two drugs with as-of-yet not fully  
109 identified genotypic bases within the pathogenic *Neisseria*. We use four different genomic  
110 contexts across the *Neisseria* genus (*N. cinerea*, *N. subflava*, *N. elongata*, and *N. canis*), and  
111 select for increasing minimum inhibitory concentrations (MICs) by passaging each species across  
112 selective gradients as previously described<sup>5</sup>. Though the scope of this initial and a prior study<sup>5</sup>  
113 have been limited (i.e., limited species and experimental replicates) we imagine that by continuing  
114 to ‘roll the evolutionary dice’ we will ultimately coalesce on the possible and quantity of paths to  
115 resistance, addressing the repeatability of evolution to different drug classes across the genus.  
116 Finally, both this and our previous study<sup>5</sup> were conducted as part of exercises within  
117 undergraduate classrooms at the Rochester Institute of Technology, highlighting the power of  
118 experimental evolution in addressing fundamental questions impacting global public health, while  
119 also providing important experiential learning opportunities for students.

120

## 121 **RESULTS**

### 122 **Rolling the dice: Evolving *Neisseria* commensals**

123 Four *Neisseria* commensal species were selected as distinct evolutionary starting points  
124 for antibiotic selection (*N. cinerea* (AR-0944), *N. subflava* (AR-0953 and AR-0957), *N. elongata*  
125 (AR-0945), and *N. canis* (AR-0948)). All are human-associated commensals except for *N. canis*,  
126 which colonizes the oral cavity of dogs and cats, but has also been isolated from human patients  
127 with dog and cat bite wounds<sup>42–44</sup>. All isolates had been phenotyped for their minimum inhibitory  
128 concentrations (MICs) to penicillin and azithromycin (Table 1), and the majority sequenced  
129 previously<sup>45</sup>. One isolate, AR-0944, was sequenced as a part of this study (accession:  
130 SAMN37441995; length 2.13 Mbp, 131 contigs, N50= 250 kbp, GC content 50.78%).

131 For each species and drug combination, four replicate lineages were passaged with  
132 selection created by application of Etest strips on standard growth media as previously described<sup>5</sup>  
133 (Figure 1). Cells were passaged for 20 days, or ~480 generations, by sweeping the entire zone  
134 of inhibition (ZOI) and a 1 cm band surrounding the ZOI, and plating any collected cells on new  
135 selective growth media. For azithromycin, the average MICs of evolved *N. cinerea* (MIC=152 ±

136 120.79 µg/ml), *N. canis* ( $64 \pm 36.95$  µg/ml), and *N. subflava* ( $224 \pm 64$  µg/ml) lineages crossed  
137 the breakpoint of reduced susceptibility as defined by the Clinical and Laboratory Standards  
138 Institute (CLSI) guidelines for *N. gonorrhoeae* of  $\geq 2$  µg/ml<sup>46</sup>. *N. elongata* lineages however did  
139 not surpass this breakpoint ( $0.69 \pm 0.36$  µg/ml). For penicillin, the average MICs for evolved  
140 lineages of all species surpassed the CLSI-defined breakpoint concentration of  $\geq 2$  µg/ml<sup>46</sup>: *N.*  
141 *cinerea* (MIC= $12 \pm 0$  µg/ml), *N. elongata* ( $6.75 \pm 11.53$  µg/ml), *N. canis* ( $5.44 \pm 1.38$  µg/ml), and  
142 *N. subflava* ( $3.69 \pm 2.17$  µg/ml). Control populations (n=3 per species) with no drug selection  
143 showed no significant increase in azithromycin or penicillin MICs compared to the ancestral stocks  
144 (Supplementary Table 1).

145 Final recorded MICs for azithromycin ( $92.17 \pm 25.57$  µg/ml) were significantly higher  
146 across all commensal species compared to the MICs for penicillin ( $4.45 \pm 1.23$  µg/ml) ( $W =$   
147  $38.5$ ,  $P = 0.00073$ ; Figure 2A). Azithromycin MIC fold-changes ( $4.39 \pm 0.77$ ) were also  
148 significantly higher than that of penicillin MICs ( $2.08 \pm 0.65$ ) across species ( $W = 74$ ,  $P = 0.043$ ;  
149 Figure 2B). The number of days for MICs to double for azithromycin ( $10.75 \pm 1.34$ ) compared to  
150 penicillin ( $9.07 \pm 0.70$ ) were not significantly different ( $W = 92.5$ ,  $P = 0.41$ ; Figure 2C); nor was  
151 the day the CLSI resistance breakpoint was passed at  $9.0 \pm 0$  and  $9.0 \pm 0.45$  respectively ( $W =$   
152  $18$ ,  $p$ -value =  $0.56$ ; Figure 2D) – with species starting with above breakpoint values at the  
153 beginning of the experiment omitted for this last analysis. Between species for azithromycin, *N.*  
154 *subflava* and *N. cinerea* had significantly higher evolved MICs compared to *N. elongata*  
155 (Tukey's HSD:  $p = 0.036$ ; and  $p = 0.036$  respectively; see also Figure 3A and Supplementary  
156 Table 1). There were no significant differences for final MICs between species for penicillin  
157 (Figure 3B). However, between species fold-change in MIC was significantly different for four  
158 contrasts for azithromycin (Tukey's HSD:  $p < 0.05$ ; Figure 3C) and three contrasts for penicillin  
159 (Tukey's HSD:  $p < 0.01$ ; Figure 3D).

160

### 161 **The frequency and identity of derived mutations**

162 For each evolved lineage, a single colony was picked for further characterization and  
163 whole-genome sequencing (Supplementary Table 1). There were no significant differences  
164 between the number of derived mutations after the 20-day long experiment between drugs across  
165 all species, however each species and interaction between drugs and species (2-way ANOVA:  $p$   
166 =  $0.0008$ ) had a significant and nearly significant (2-way ANOVA:  $p = 0.055$ ) impact on the number  
167 of derived mutations respectively. *N. elongata* had significantly fewer derived mutations compared  
168 to *N. canis* (Tukey's HSD:  $p = 0.02$ ), *N. cinerea* (Tukey's HSD:  $p = 0.0007$ ), and *N. subflava*

169 (Tukey's HSD:  $p = 0.004$ ). When separated by drug class, for penicillin both *N. canis* and *N.*  
170 *cinerea* had significantly more derived mutations compared to *N. elongata* (Tukey's HSD:  $p = 0.02$   
171 *and*  $p = 0.059$  respectively; Figure 4); and for azithromycin *N. subflava* had significantly more  
172 novel mutations compared to *N. elongata* (Tukey's HSD:  $p = 0.045$ ; Figure 4).

173 Mutations within coding domain sequences (CDSs) were identified for all evolved  
174 lineages, and after correcting for mutations also present in control lineages with no drug exposure,  
175 were considered candidates for imparting resistance (Figure 5). For azithromycin, all replicate  
176 lineages of *N. subflava*, *N. canis*, and *N. cinerea* evolved resistance, however none of the *N.*  
177 *elongata* strains did (Figure 1). The most frequent mutation occurring in *N. subflava* lineages was  
178 located within *pilM*. Additional mutations that emerged included those in *fabH*, *mafB5*, *nadh*, *rpIP*,  
179 *rpIV*, and *rpmH*. For *N.canis*, the most frequent mutations occurred in *mtrR*; followed by *rpIV*,  
180 *duf2169*, *mtrD*, and *pglB2*. Finally, for *N.cinerea*, mutations emerged in *glk*, *prmA*, and *rpmH*. For  
181 penicillin, all replicate evolved lineages gained resistance except for one *N. elongata* strain and  
182 all *N.subflava* strains; however each of these lineages developed increased MICs compared to  
183 the ancestral strains, and had MICs  $\geq 1$   $\mu\text{g/ml}$ . Mutations in *N. subflava* lineages which emerged  
184 includes those in *mtrD* and *mtrR*, *tufA*, and a *murin transglycosylase*. The most frequent mutations  
185 in *N. canis* includes those in the 16s and 23s rRNAs, followed by those in *PNL71104\_P2*, *gmhA*,  
186 an HTH11-domain coding protein, a phage-associated protein, *prfB*, *rpoA*, and *tRNA-fMet(cat)*.  
187 In *N. elongata*, derived mutations include those in *mtrD*, *penA*, *ispE*, and *tgt*. Finally in *N. cinerea*,  
188 mutations included those in *penA*, *pilM*, *glk*, *pitA*, *ppx*, *rpoB*, and *slmA*; along with some additional  
189 singleton mutations (Figure 5).

190

## 191 DISCUSSION

192 Commensal *Neisseria* have repeatedly donated resistance alleles to their pathogenic  
193 relative *N. gonorrhoeae*<sup>28,35–37</sup>, and beyond doubt serve as a bubbling cauldron of new adaptive  
194 solutions to address 'the antibiotic crisis' that *N. gonorrhoeae* faces. However, we do not yet  
195 understand the full suite of resistance alleles that commensal *Neisseria* can carry, if the pool of  
196 mechanisms is large or small, and if the pool size varies by antibiotic. Here, we to role the  
197 evolutionary dice using antibiotic selection across divergent commensal *Neisseria* genomic  
198 contexts to begin to answer three important questions: 1) what are the identities of resistance  
199 mutations that can emerge in commensals, 2) are the paths to resistance evolution constrained  
200 or broad, and 3) do the answers to the two prior questions vary by drug class?

201 Azithromycin is a macrolide antibiotic that inhibits protein synthesis by binding to the 23S  
202 rRNA component of the 50S ribosome. Mutations that impact the conformation or block the



203 binding site of the drug have previously been described in *N. gonorrhoeae* to impart resistance  
204 and include: mutations in the 23S rRNA azithromycin binding sites (C2611T and A2059G)<sup>47,48</sup>, a  
205 G70D mutation in the RplD 50S ribosomal protein L4<sup>49</sup>, *rpIV* tandem duplications<sup>22</sup>, and variants  
206 of the rRNA methylase genes *ermC* and *ermB*<sup>50</sup>. Here, we also find a suite of variants that  
207 emerged post-selection within the CDSs encoding ribosomal proteins. For example, in both *N.*  
208 *subflava* and *N. canis* we uncovered mutations emerging in *rpIV* encoding the 50S ribosomal  
209 protein L22; with 2/4 *N. subflava* lineages and 2/4 *N. canis* lineages evolving tandem duplications  
210 within this gene; previously predicted to block the azithromycin binding site<sup>22</sup>. In-frame insertions  
211 in *rpmH*, which encodes the 50S ribosomal L34 protein, were also frequent; and found within 2/2  
212 surviving *N. cinerea* and 2/4 *N. subflava* strains. *N. cinerea* strains both evolved distinct *rpmH*  
213 variants (18-bp variant: GATAAGTGC GTTTCATGA; 21-bp variant:  
214 GTTGATAAGTGC GTTTCATGA), while *N. subflava* strains evolved the same variant (24-bp  
215 variant: AAACGCACTTATCAACCTTCCGTT). The *N. cinerea rpmH* variants were nearly  
216 identical to those previously described in *N. elongata*<sup>5</sup> and *N. gonorrhoeae*<sup>30</sup>, which were found  
217 to be casual to high-level azithromycin resistance through transformation in *N. elongata*<sup>5</sup>, and  
218 thus are the likely mechanisms imparting high-level resistance in *N. cinerea* strains within this  
219 study. Interestingly the *N. elongata* strains evolved in this study did not evolve reduced  
220 azithromycin susceptibility (Figure 1; Table 1); however, in our prior work<sup>5</sup>, only 44% of replicate  
221 *N. elongata* lineages evolved resistance, and only 43% of these resistant isolates gained  
222 resistance through mutations in *rpmH*. With only 4 replicate *N. elongata* strains selected in this  
223 study we speculate that we did not have sufficient power to uncover these mutations. Finally, we  
224 find evidence for a duplication within the *rpIP* gene encoding the 50S ribosomal protein L16 within  
225 a single *N. subflava* strain, however we find no difference in MICs between this strain which also  
226 harbors a *rpIV* duplication and a second strain with just a *rpIV* duplication, suggesting that the  
227 variant uncovered in *rpIP* may not contribute to the elevated MICs observed. Manoharan-Basil et  
228 al. (2021)<sup>51</sup> describe multiple recombination events in genes encoding ribosomal proteins across  
229 pathogenic and commensal *Neisseria*, supporting the possibility of transfer of these types of  
230 resistance mutations in natural *Neisseria* populations.

231 The Multiple transferable resistance efflux pump (Mtr) is a primary mechanism by which  
232 *N. gonorrhoeae* gains resistance to both azithromycin and penicillin. The Mtr efflux pump is  
233 comprised of the MtrC-MtrD-MtrE cell envelope proteins, which together export diverse  
234 hydrophobic antimicrobial agents such as antibiotics, nonionic detergents, antibacterial peptides,  
235 bile salts, and gonadal steroidal hormones from the cell<sup>52-55</sup>. Overexpression of the pump, through  
236 mutations that ablate or decrease the expression of the repressor of the pump (MtrR) have been

237 demonstrated to increase resistance to both azithromycin and penicillin<sup>22,26,56,57</sup>; and substitutions  
238 within the inner membrane component MtrD have been shown to decrease susceptibility to  
239 azithromycin<sup>28,36</sup>. Here, in response to azithromycin-based selection, all four experimental  
240 replicates of *N. canis* evolved mutations in MtrR: two with a G172D substitution, one A37V, and  
241 one insertion impacting the reading frame and resulting in a premature stop codon. 3/4 replicates  
242 of *N. subflava* evolved *mtrR* mutations in response to penicillin exposure which resulted in a T111  
243 substitution in MtrR. MtrD mutations also emerged in response to penicillin-selection in *N.*  
244 *subflava* (L996I) and *N. elongata* (with all three strains carrying different mutations: V139G, F604I,  
245 or A1009T). Finally, a MtrD mutation also emerged in 1/4 *N. canis* strains after azithromycin  
246 selection E823K. Interestingly, this last E823K MtrD substitution was predicted to be the causal  
247 mutation imparting azithromycin resistance in mosaic commensal *Neisseria* alleles transferred to  
248 *N. gonorrhoeae*<sup>28,36</sup>.

249  $\beta$ -lactams, such as penicillin, target the penicillin binding proteins and inhibit cell wall  
250 biosynthesis. Mutations in Penicillin-Binding Protein 2 (PBP2, encoded by *penA*) in particular  
251 have been well documented to impart elevated penicillin MICs in *N. gonorrhoeae*<sup>25,58</sup>, and also  
252 other  $\beta$ -lactams including the extended spectrum cephalosporin ceftriaxone, through both native  
253 gonococcal alleles<sup>59</sup> and non-native alleles acquired from commensal *Neisseria*<sup>22,37,58,60</sup>. These  
254 mutations act by lowering the affinity of the beta-lactam antibiotics for PBP2 and also by restricting  
255 the motions of PBP2 which are important for acylation by beta-lactams<sup>61</sup>. Therefore,  
256 unsurprisingly we observed multiple mutations emerge in *penA*, though only in two species: *N.*  
257 *elongata* and *N. cinerea*. 3/3 surviving *N. elongata* evolved lines had *penA* mutations emerge:  
258 P399S, V574E, and A581S; and all four experimental *N. cinerea* replicates evolved *penA*  
259 mutations encoding the amino acid substitutions: F518S, V548E, and A549E.

260 Additional derived mutations of note that emerged after selection include those in the RNA  
261 polymerase and components of the pilus. Here, after penicillin selection a *rpoA* mutation emerged  
262 in *N. canis*, and *rpoB* mutations emerged in *N. cinerea*. In *N. gonorrhoeae*, both RpoD (E98K and  
263  $\Delta$ 92) and RpoB (R201H) mutations impact ceftriaxone susceptibility, likely through increased  
264 expression of PBP1 and reduced expression of D,D-carboxypeptidase<sup>62</sup>. Here, the *rpoA* G147A  
265 nucleotide substitution in *N. canis* resulted in a silent change so does not likely contribute to  
266 elevated penicillin MICs; however, the evolved *rpoB* mutations did encode amino acid  
267 substitutions (E345A and P591S) in 2/4 *N. cinerea* replicate lineages. Finally, the pilus-associated  
268 mutations in PilM in *N. cinerea* in response to penicillin selection and PilQ in *N. subflava* in  
269 response to azithromycin likely impact drug diffusion across the outer membrane in some way



270 similar to gonococci<sup>63</sup>, however are not likely to be evolutionarily maintained in natural *Neisseria*  
271 populations due to the importance of the pilus in host-cell attachment<sup>64</sup>.

272 The aforementioned ribosomal, MtrRCDE, and PenA mechanisms seem to be the likely  
273 contributors to the emergence of reduced susceptibility in all of the *Neisseria* commensals  
274 investigated in this study for both penicillin and azithromycin-based selection (Figure 6).  
275 Therefore, despite 2/2 *N. canis* replicates evolving low-level penicillin resistance with as-of-yet  
276 unexplained genetic bases; with 19/21 cases of *Neisseria* evolution converging on known  
277 resistance mechanisms, we must accept a constrained range of adaptive solutions to antibiotic  
278 selection within the genus at this point. Remaining questions do exist however. For example:  
279 MICs varied greatly among experimental replicates of the same species, so what other modulating  
280 mutations emerged that impact resistance phenotype? Furthermore, here we only investigate  
281 coding-domain regions, thus important mutations in intergenic regions were likely missed (i.e.,  
282 promoter region mutations). We also acknowledge that our small sample of strains and  
283 experimental replicates may have limited the pool of potential resistance mechanisms uncovered.  
284 For example, some mechanisms may be less frequently observed due to high fitness costs,  
285 necessitating the evolution of compensatory mutations. These types of mutations may therefore  
286 be missed in small-scale experimental studies. Finally, evolution does not occur in controlled  
287 laboratory environments, so what is the role of intergenus gene exchange in *Neisseria* resistance  
288 emergence? Can other genera transfer clinically relevant resistance mechanisms to the *Neisseria*  
289 (see Goytia & Wadsworth (2022)<sup>35</sup> for a discussion on this possibility)? In summary, our current  
290 results highlight conserved paths to resistance within the *Neisseria* genus, though continued  
291 tosses of the evolutionary dice may ultimately paint a different picture.

292

## 293 **METHODS**

### 294 **Bacterial strains and culturing**

295 Stocks of *Neisseria* were obtained from the Centers for Disease Control and Prevention  
296 (CDC) and Food and Drug Association's (FDA) Antibiotic Resistance (AR) Isolate Bank  
297 "*Neisseria* species MALDI-TOF Verification panel". Evolved strains included: AR-0944 (*N.*  
298 *cinerea*), AR-0945 (*N. elongata*), AR-0948 (*N. canis*), AR-0953 (*N. subflava*), and AR-0957 (*N.*  
299 *subflava*). Bacteria were cultivated for all subsequent protocols on GC agar base (Becton  
300 Dickinson Co., Franklin Lakes, NJ, USA) media plates containing 1% Kellogg's solution (GCP-K  
301 plates) for 18-24 hours at 37°C in a 5% CO<sub>2</sub> atmosphere. Bacterial stocks were stored in trypticase  
302 soy broth (TSB) containing 50% glycerol at -80°C.

303

## 304 **Experimental evolution and MIC testing**

305 Minimum inhibitory concentrations (MICs) were measured by Etest strips (bioMérieux,  
306 Durham, NC) on GCB-K plates according to the manufacturer specifications. In brief, cells from  
307 overnight plates were suspended in TSB to a 0.5 McFarland standard and inoculated onto GCB-  
308 K plates. Etest strips were incubated on these plates for 18-24 hours at 37°C in a 5% CO<sub>2</sub>  
309 incubator. MICs were subsequently determined by reading the lowest concentration that inhibited  
310 growth of bacterial lawns.

311 For each of the four *Neisseria sp.* used in the study, four replicates were passaged on  
312 GCB-K plates containing a selective gradient of either penicillin or azithromycin. Selective  
313 gradients were created using Etest strips as described above and previously<sup>5</sup>, and MICs were  
314 recorded each day. Cells to be passaged were collected from the entire zone of inhibition (ZOI)  
315 and a 1 cm region in the bacterial lawn surrounding the ZOI (Figure 1). Cells were suspended in  
316 TSB, and spread onto a new GCB-K plate containing a fresh Etest strip. Strains were exposed to  
317 azithromycin and penicillin for 20 days, or ~480 generations. Controls for each species were  
318 passaged on GCB-K plates as described above, however they did not contain any antibiotic.

319

## 320 **Genomic sequencing and comparative genomics**

321 DNA was isolated from cells using the PureLink Genomic DNA Mini kit (Thermo Fisher  
322 Corp., Waltham, MA), following lysis in TE buffer (10 mM Tris [pH 8.0], 10 mM EDTA) with 0.5  
323 mg/mL lysozyme and 3 mg/mL proteinase K (Sigma-Aldrich Corp., St. Louis, MO). Resultant  
324 genomic DNA was treated with RNase A and prepared for sequencing using the Nextera XT kit  
325 (Illumina Corp., San Diego, CA). Libraries were uniquely dual-indexed and pooled, and  
326 sequenced on the Illumina MiSeq platform at the Rochester Institute of Technology Genomics  
327 Core using V3 600 cycle cartridges (2x300bp). Sequencing quality of each paired-end read library  
328 was assessed using FastQC v0.11.9<sup>65</sup>. Trimmomatic v0.39<sup>66</sup> was used to trim adapter sequences,  
329 and remove bases with phred quality score < 15 over a 4 bp sliding window. Reads < 36 bp long,  
330 or those missing a mate, were also removed from subsequent analysis. Draft assemblies had  
331 been previously published for all strains<sup>45</sup>, except for *N. cinerea* AR-0944. This assembly was  
332 constructed using SPAdes v.3.14.1<sup>67</sup> and all assemblies were annotated with Bakta v.1.8.1<sup>68</sup>.  
333 Assembly quality was assessed using QUAST (<http://cab.cc.spbu.ru/quast/>). Trimmed reads were  
334 mapped back to draft assemblies using Bowtie2 v.2.2.4<sup>69</sup> using the “end-to-end” and “very-  
335 sensitive” options and Pilon v.1.16<sup>70</sup> was used to call variant sites. Data analysis and  
336 visualizations were conducted in R<sup>71</sup>.

337

338 **Data Availability**

339 All scripts and datasets are available on: <https://github.com/wadsworthlab>. Read libraries  
340 for the genomics datasets generated in this study can be accessed on the Sequence Read  
341 Archive for evolved strains can be access as a part of the BioProject PRJNA1018855. The  
342 assembly for AR-0944 has been deposited to GenBank (accession: SAMN37441995).

343

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356

357 **Figure Captions**

358

359 **Figure 1.** Azithromycin and penicillin-mediated selection of four species of commensal *Neisseria*.  
360 (A) Four species with distinct genetic backgrounds were selected as unique starting points for *in*  
361 *vitro* evolution to two antimicrobials. Each experimental replicate and species/drug combination  
362 can be envisioned as an independent “roll of the dice”, in which new derived mutations and  
363 evolutionary trajectories may emerge. In brief, 4 experimental replicates were passaged for each  
364 species and drug combination for 20 days (~480 generations) on selective gradients created with  
365 Etest strips. Cells for each passage were selected by sweeping the entire zone of inhibition (ZOI)  
366 and a 1 cm band in the bacterial lawn surrounding the ZOI. (B) Overall, after 20 days, evolved  
367 azithromycin minimum inhibitory concentrations (MICs) tended to be higher than of penicillin  
368 MICs; with species also differing in their evolutionary trajectories towards elevated MICs within a  
369 drug class.

370

371 **Figure 2.** Across all species, evolved azithromycin MICs were significantly elevated compared to  
372 penicillin MICs in both (A) their final values ( $p < 0.0001$ ), and (B) their fold-increase from ancestral  
373 MICs ( $p < 0.01$ ). The (C) time for MICs to double was not significantly different between drugs ( $p$   
374  $> 0.05$ ), as was the number of days to surpass the breakpoint value as defined by CLSI guidelines  
375 for *Neisseria gonorrhoeae* ( $P > 0.05$ ).

376

377 **Figure 3.** Evolved MICs and MIC log-fold change values separated by drug and species. (A) For  
378 azithromycin, *N. subflava* and *N. cinerea* had significantly higher MICs compared to *N. elongata*  
379 after selection (Tukey's HSD:  $p = 0.036$ ; and  $p = 0.036$  respectively). (B) Species were not  
380 significantly different between any contrast for penicillin. However, between species fold-change  
381 in MIC after evolution was significantly different for (C) four contrasts for azithromycin (Tukey's  
382 HSD:  $p < 0.05$ ) and (D) three contrasts for penicillin (Tukey's HSD:  $p < 0.01$ ).

383

384 **Figure 4.** The number of derived mutations after the 20-day long experiment for azithromycin and  
385 penicillin selected lines, as well as control lineages. For penicillin both *N. canis* and *N. cinerea*  
386 had significantly more derived mutations compared to *N. elongata* (Tukey's HSD:  $p = 0.02$  and  $p$   
387  $= 0.059$  respectively); and for azithromycin *N. subflava* had significantly more derived mutations  
388 compared to *N. elongata* (Tukey's HSD:  $p = 0.045$ ).

389

390 **Figure 5.** Identity of derived mutations in coding domain sequences (CDSs) for drug-selected  
391 lineages. The frequency of a mutations within a gene are displayed as a heatmap, with brighter  
392 blue coloration indicating more frequent occurrence of a mutation within a CDS in replicate  
393 evolved lineages for each species.

394

395 **Figure 6.** Paths to resistance emergence across members of the *Neisseria* genus. For  
396 azithromycin selection, all species with evolved resistance converged on mutations within  
397 ribosome components or the *mtrRCDE* efflux pump system. For penicillin resistance, *N. cinerea*,  
398 *N. elongata*, and *N. subflava* all strains evolving resistance acquired mutations in either the  
399 *mtrRCDE* efflux pump system or *penA*. *N. canis* experimental replicates evolving penicillin  
400 resistance acquired as-of-yet undescribed resistance mutations.

401

402 **Supplementary Figure Captions**

403

404 **Supplementary Figure 1.** Ancestral azithromycin MICs started significantly higher across  
405 species compared to penicillin MICs ( $P < 0.001$ ).

406

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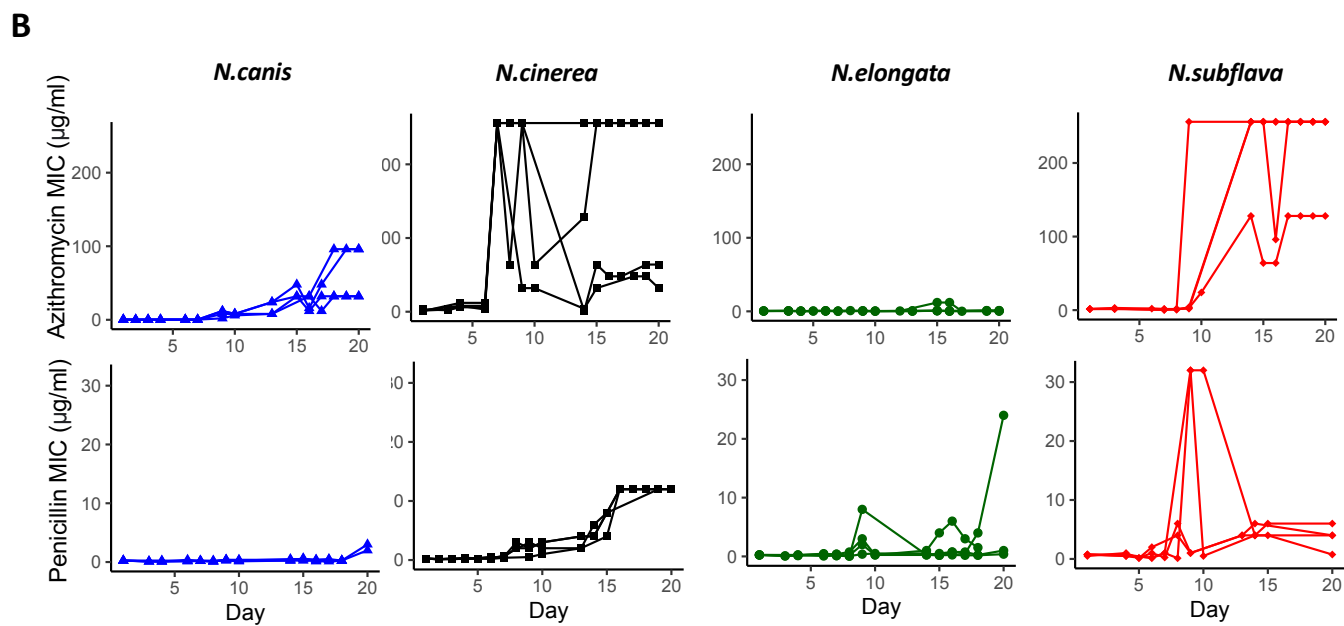
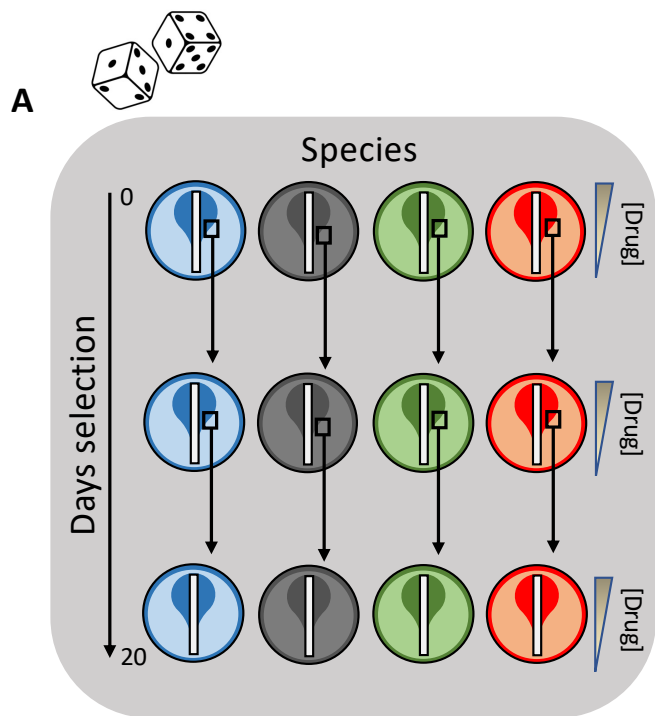
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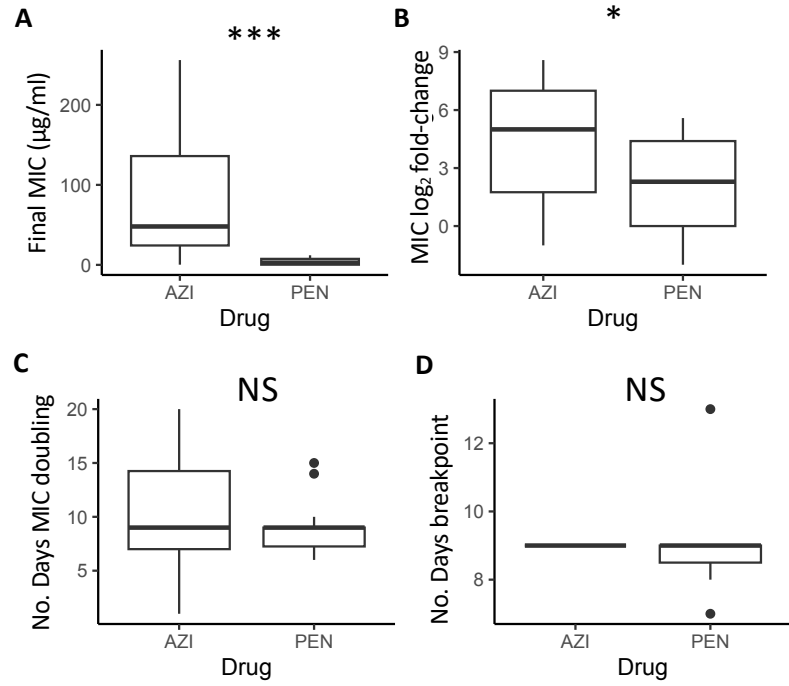
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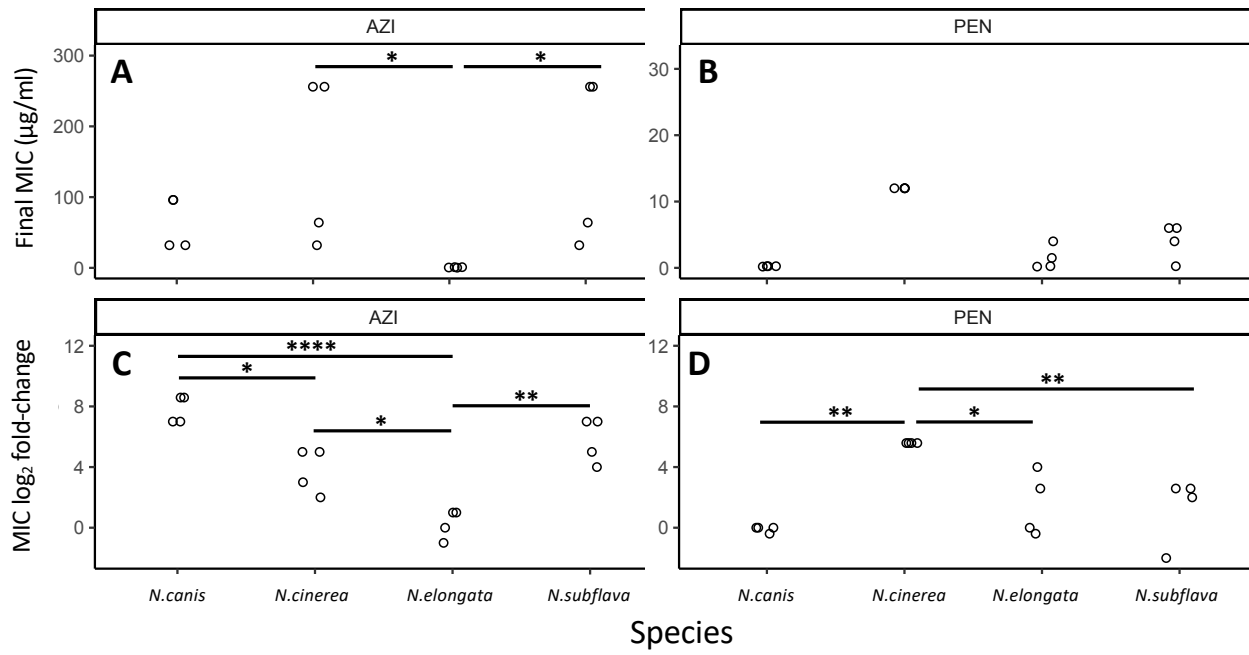
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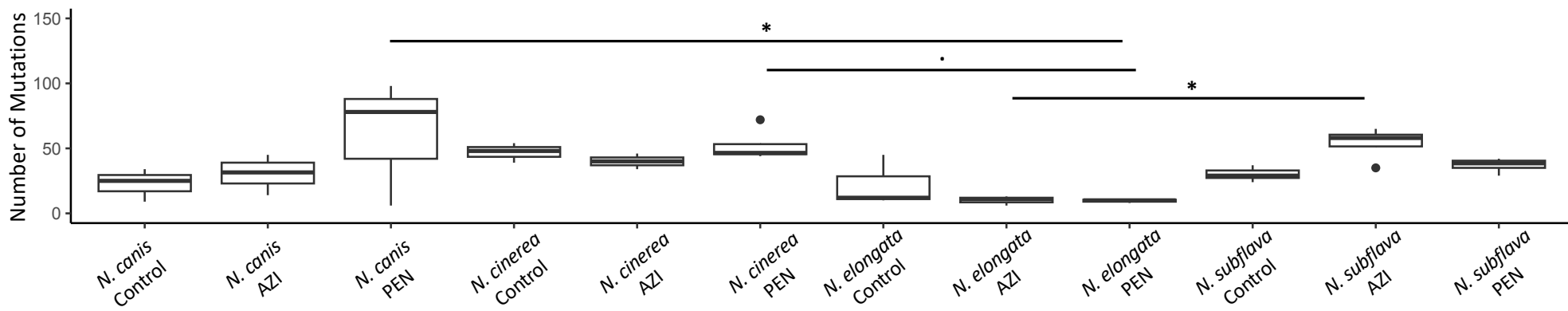
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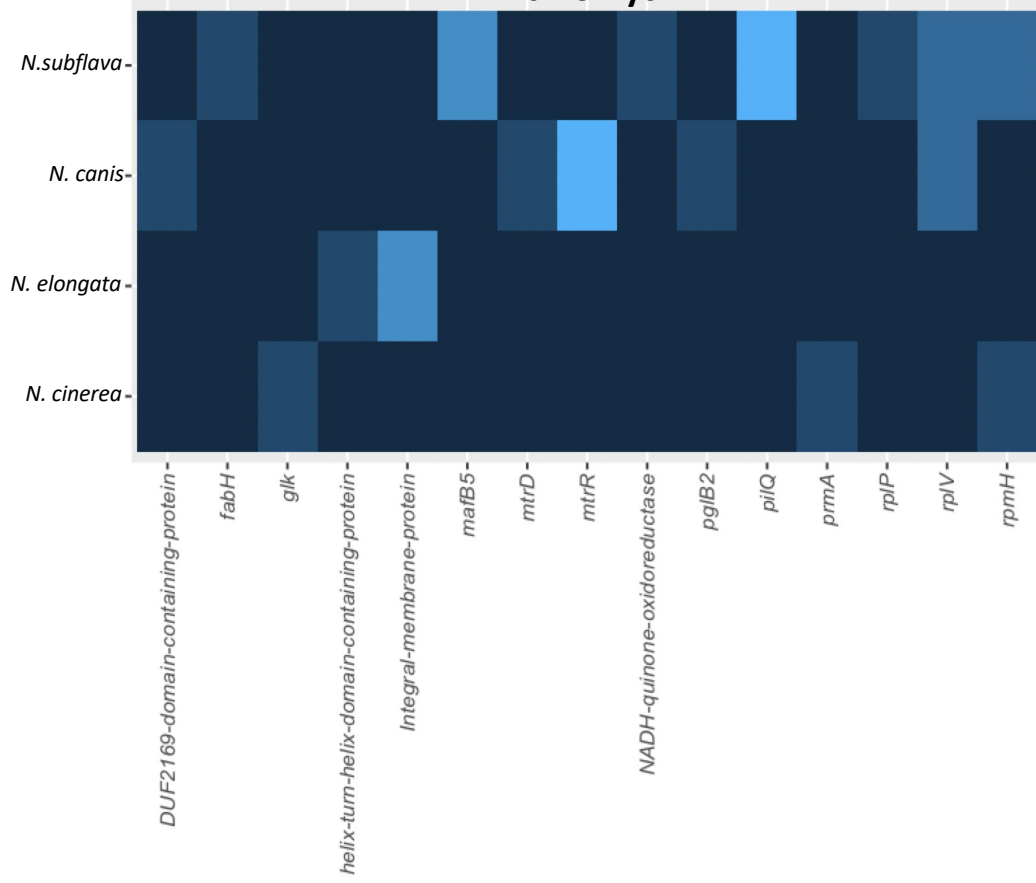




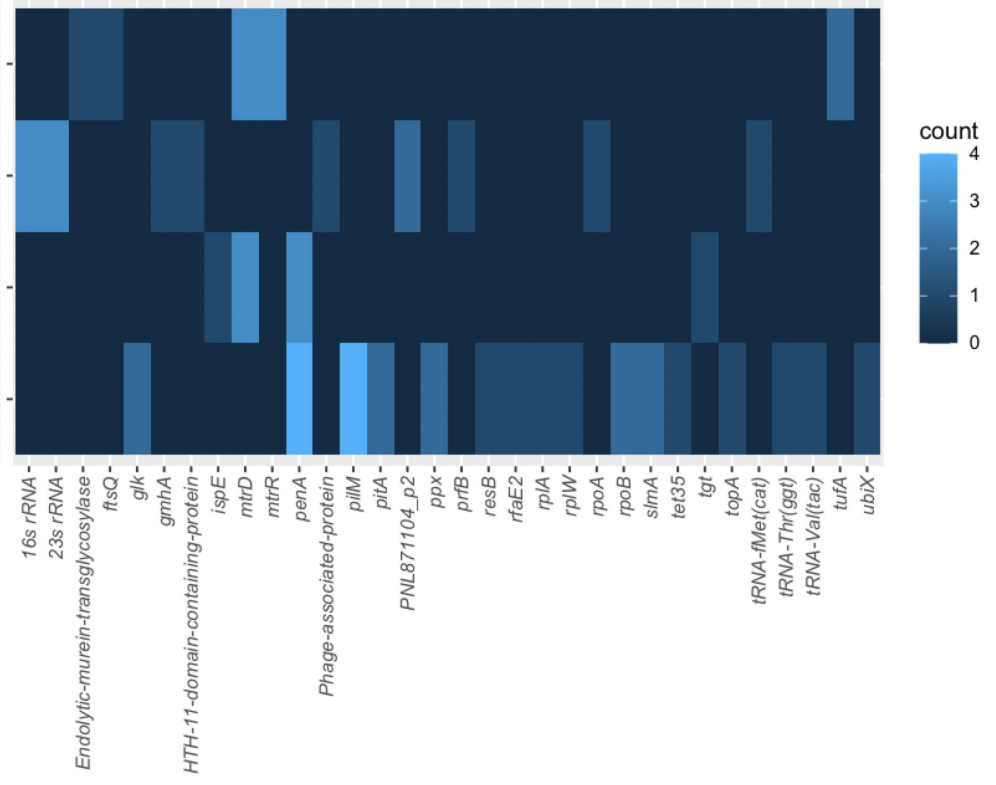




## Azithromycin



## Penicillin



**Table 1. Minimum inhibitory concentrations (MICs) for ancestral and average MICs for evolved strains**

<b>Ancestral Strains</b>	<b>Azi MIC (µg/ml)</b>	<b>Average Azi MIC (µg/ml) evolved (n=4)</b>	<b>Pen MIC (µg/ml)</b>	<b>Average Pen MIC (µg/ml) evolved (n=4)</b>
AR-0944 ( <i>N. cinerea</i> )	8	152	0.38	12
AR-0945 ( <i>N. elongata</i> )	0.5	0.69	0.25	6.72
AR-0948 ( <i>N. canis</i> )	0.38	64	0.25	5.44
AR-0953 ( <i>N. subflava</i> )	2	224	1.5 †	
AR-0957 ( <i>N. subflava</i> )	8 †		1	3.69

† AR-0953 was only selected with azithromycin and AR-0957 was only selected with penicillin; see discussion for further details.