## Circular-SWAT for deep learning based diagnostic classification of Alzheimer's disease: application to metabolome data

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## Summary

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Methods The c-SWAT methodology builds upon the existing Sliding Window Association Test (SWAT) and utilizes a three-step approach: feature correlation analysis, feature selection, and classification. Data from 997 participants from the Alzheimer's Disease Neuroimaging Initiative (ADNI) served as the basis for model training and validation. Feature correlations were analyzed using Weighted Gene Co-expression Network Analysis (WGCNA), and Convolutional Neural Networks (CNN) were employed for feature selection. Random Forest was used for the final classification.

Background Deep learning has shown potential in various scientific domains but faces challenges when applied to

complex, high-dimensional multi-omics data. Alzheimer's Disease (AD) is a neurodegenerative disorder that lacks

targeted therapeutic options. This study introduces the Circular-Sliding Window Association Test (c-SWAT) to

improve the classification accuracy in predicting AD using serum-based metabolomics data, specifically lipidomics.

Findings The application of c-SWAT resulted in a classification accuracy of up to 80.8% and an AUC of 0.808 for distinguishing AD from cognitively normal older adults. This marks a 9.4% improvement in accuracy and a 0.169 increase in AUC compared to methods without c-SWAT. These results were statistically significant, with a p-value of  $1.04 \times 10^{-4}$ . The approach also identified key lipids associated with AD, such as Cer(d16:1/22:0) and PI(37:6).

Interpretation Our results indicate that c-SWAT is effective in improving classification accuracy and in identifying potential lipid biomarkers for AD. These identified lipids offer new avenues for understanding AD and warrant further investigation.

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### **Research in context**

### Evidence before this study

A PubMed search up to August 7, 2023, using the terms "deep learning" "machine learning" "Alzheimer's Disease" "metabolomics" and "lipidomics" yielded studies that mainly applied traditional machine learning algorithms and neuroimaging techniques for diagnosing and understanding Alzheimer's Disease (AD). While there has been interest in applying deep learning to metabolomics and multi-omics data, these efforts often overlook the importance of feature correlations, which could potentially improve the accuracy of predictive models. Additionally, deep learning models struggle with high-dimensional, complex data sets like those commonly found in multi-omics research, particularly when data are sparse.

### Added value of this study

We introduced a three-step deep learning method, the circular-Sliding Window Association Test (c-SWAT), specifically designed to account for correlated features and improve model performance. The method was applied to serum-based lipidomics data from 997 individuals to predict AD. c-SWAT achieved an accuracy of up to 80.8% and an Area

## Introduction

Deep learning is able to recognize complex patterns in input data by weighting key features during backpropagation.<sup>1-3</sup> Because deep learning can handle large volumes of data without feature selection procedures,4 researchers have been using it to uncover new biological phenomena,5,6 develop new drugs,7 and find important clues to help delineate diseases.<sup>8,9</sup> Deep learning excels when applied to data with complex structures such as images<sup>10</sup> and language.<sup>11</sup> However, its application to tabular data,<sup>12</sup> which often has a smaller sample size and a more straightforward feature set, has not consistently shown effectiveness. In light of the widespread use of tabular data in genomic and multiomics studies,13 it is imperative to refine deep learning applications for this type of data. Therefore, we developed the circular- Sliding Window Association Test (c-SWAT), an advanced variant of SWAT,14 initially designed for genetic data and adapted to accommodate the specificities of multi-omics data. Our c-SWAT method enhances the efficiency of the deep learning algorithm in classifying data by incorporating correlated feature groups within each flexible-sized window. This study reports on the application of this method to lipidomic data, a subfield of metabolomics, to detect Under the Curve (AUC) of 0.808, outperforming models not using c-SWAT by 9.4% in accuracy and 0.169 in AUC. Moreover, c-SWAT identified key lipids correlated with AD, including Cer(d16:1/22:0), PI(37:6), CE (20:4) [+OH], and LPE (20:4) [sn1], which have biological implications related to neural cell membranes, microglial signaling, cholesterol storage, and glycerophospholipid metabolism. These lipids showed a 1.27%–3.23% increase in AD samples compared to cognitively normal older adults (CN).

### Implications of all the available evidence

The c-SWAT approach offers a notable advancement in the application of deep learning to multi-omics data, particularly for complex diseases like AD. The method not only improves predictive accuracy but also offers insights into potential biomarkers crucial for understanding AD pathophysiology. The identified lipids offer new possibilities for targeted research and therapeutic interventions. Furthermore, the approach has the potential to be adapted to other types of biological data and diseases, contributing to the development of personalized medicine for a range of conditions.

Alzheimer's disease, as well as identify metabolites associated with the disease.

Alzheimer's disease (AD) is a neurodegenerative disorder leading to conditions such as dementia, personality changes, impairment of judgment and speech, and memory loss.<sup>15,16</sup> In the preclinical phase of AD, patients' function declines steadily for more than 10 years.17 However, no clinical evidence enables a diagnosis of AD, and there are no targeted pharmacological interventions or prophylactic interventions to treat AD.<sup>18,19</sup> A further understanding of the underlying cause of AD is therefore essential to identifying new treatment targets. Neurofibrillary tangles and amyloid plaques are two typical AD pathological lesions.<sup>20</sup> When the disease advances, there are also changes in the structure of the brain, glucose metabolism, and measurements of biomarkers in circulating blood.<sup>21,22</sup> In recent years, metabolomics technologies have been used to identify a number of disease-specific biomarkers, enabling insights into the pathophysiology of diseases like AD, type 2 diabetes, and cancer.<sup>23-31</sup>

Metabolites, products of biological cascades that include DNA, transcripts, and proteins, may have beneficial properties which may help identify AD biomarkers. In this paper, we present a three-step deep learning approach for detecting AD using lipidomic data, a specific aspect of the broader metabolomics data.

We used serum-based metabolomics data from 997 participants from the Alzheimer's Disease Neuroimaging Initiative (ADNI). c-SWAT, an extension of SWAT, calculates the phenotype influence score (PIS), which represents the association between the metabolites and the phenotype of interest, based on the correlation between these metabolites using deep learning. Using weighted gene co-expression network analysis (WGCNA),<sup>32</sup> the correlation between features was calculated and feature groups were created. WGCNA is utilized in our study to uncover highly correlated clusters of features among metabolites. We then implement a flexible windowing approach, choosing the most influential features within each feature group and thereby defining the structure for input into the Convolutional Neural Networks (CNN). The purpose of this process is not simply to filter the data, but rather to determine the structured input, based on feature correlations, for our deep learning model. The structure required by CNN is maintained in this approach, with dense layers becoming more appropriate due to the derived correlations from the WGCNA and SWAT processes. Subsequently, CNN is employed to classify AD from cognitively normal older adults (CN).

### Methods

### Data acquisition

The Alzheimer's Disease Neuroimaging Initiative (ADNI) cohort served as the source for all study participants. This cohort included 382 cognitively normal individuals (CN), 212 individuals with early mild cognitive impairment (EMCI), 254 with late mild cognitive impairment (LMCI), and 149 with Alzheimer's Disease (AD). For the EMCI, LMCI, and AD groups, only those with confirmed amyloid- $\beta$  positivity were included. Overall, our investigation involved a total of 997 fasted individuals. The metabolomics data used in this study was obtained from the ADNI database (http://adni.loni. usc.edu/). The ADNI, launched in 2003 as a publicprivate partnership and led by Principal Investigator Michael W. Weiner, MD, has been primarily concerned with testing whether the progression of AD can be effectively measured using serial magnetic resonance imaging (MRI), positron emission tomography (PET), other biological markers, and clinical and neuropsychological assessment.

## Extension of SWAT to c-SWAT

We developed an innovative method named c-SWAT, a variation on the original Sliding Window Association Test (SWAT)<sup>14</sup> approach we previously developed. The original SWAT was proposed as a three-step, deep learning-based procedure to identify informative single nucleotide polymorphisms (SNPs) and create

classification models for phenotypes of interest. This process, as shown in Fig. 1, was initiated by dividing the whole genome into optimally sized, non-overlapping fragments, upon which deep learning algorithms were employed to select phenotype-associated fragments containing relevant SNPs. SWAT then computed a PIS for each SNP within a fragment. When the kth SNP is  $S_k$ , the PIS in SWAT is calculated using the following formula:

$$PIS_{SWAT} = \sum_{k=k-w+1}^{k+w-1} \frac{S_k}{k+w-1}$$

In this process, the fragment length is denoted as 'w'. A window of length 'w-1' is initially positioned at the first SNP of the fragment. The window then slides one SNP at a time until it reaches the end of the fragment. This SWAT procedure is implemented across all selected fragments, leading to the calculation of a PIS for each SNP involved. This score reflected the association between the SNP and the phenotype of interest, aiding in the identification of the most informative SNPs. Notably, in a real-world application to Alzheimer's disease, this deep learning approach using SWAT succeeded in identifying significant genetic loci for the disease and achieved a higher classification accuracy than existing machine learning methods. While SWAT proved effective for high-dimensional data, it is not as well-suited for the tabular data formats frequently found in multi-omics studies, which often have a smaller set of features. These data types inherently constrain the range and number of features that can be analyzed effectively.

To improve upon these limitations, c-SWAT was developed. Fig. 2 illustrates an overview of c-SWAT, designed to enhance the learning effect by grouping related input features. While SWAT demonstrates high efficiency with complex, unfiltered data, it may encounter limitations with tabular data possessing fewer features, mainly due to constraints in acquiring a sufficient number of windows for PIS computation. To address this, c-SWAT computes the PIS for each group, as demonstrated in Fig. 2a, distinguishing itself from SWAT by constructing a circular window that links the start and end of all features. This window size is uniquely tailored for each group. As seen in Fig. 2b, we applied the WGCNA to determine these feature groups. Each group, represented by a circle in the figure, encompasses correlated metabolite features. Variable-sized sliding windows captured their collective properties, and based on these arrangements and the class of each lipid, a PIS for each metabolite is calculated. These PIS values then subsequently inform the classification of AD.

# Deep learning for phenotype influence score (PIS) calculation in c-SWAT

In the stages of c-SWAT that required the calculation of PIS, we employed CNN as our base classifier. As illustrated in Fig. 3, the CNN consists of a convolutional layer

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**Fig. 1:** The figure illustrates the original Sliding Window Association Test (SWAT) in Genome-Wide Association Studies (GWAS). SWAT begins by partitioning the entire genome into smaller, nonoverlapping fragments. For every fragment, SWAT employs a sliding window technique in conjunction with a Convolutional Neural Network (CNN) to compute a phenotype influence score (PIS) for each Single Nucleotide Polymorphism (SNP). This computation considers 'w', the number of SNPs in a fragment, and 'S<sub>k</sub>', the position of each SNP. By distinguishing SNPs with significant PIS values, SWAT efficiently identifies phenotype-associated genetic variants.

containing 64 filters with a kernel size of 5, applying ReLU<sup>33</sup> activation function, followed by a global max pooling layer. Subsequent to the pooling, four dense layers with units of the corresponding number of features, 32, 16, and 8 respectively, are implemented, all utilizing ReLU activation functions. The final output layer is tailored for binary AD classification, containing 2 units and employing a softmax activation function. We utilized Adam (preprint<sup>34</sup>) as the optimizer within the context of deep learning. Each input value was initially rescaled using the log(x) transformation. The robustness of our model was confirmed using 5-fold cross-validation.

## Step1: grouping correlated metabolites

To detect network modules in metabolomics data, we used the WGCNA R package, which utilizes hierarchical clustering and dynamic tree cut algorithm (preprint<sup>34</sup>). We used the biweight mid-correlation method to calculate the correlation between lipids with a soft-thresholding power of 7. The minimum number of lipids within modules was set to 5. The levels of lipids in a module were represented by the module eigen-lipid value (ME), which is defined as the first principal component of the lipid matrix of the corresponding module.

## Step2: calculating phenotype influence score based on deep learning

The importance of each feature group was determined using a modified *k*-fold Cross-Validation approach. In this approach, each feature group was left out once, and the model was trained on the remaining feature groups. The performance was then averaged across the k folds to provide an estimate of the feature group's importance. The error for this method can be represented by the following formula:

$$ModuleImpact_{j} = \frac{1}{k} \sum_{k'=1}^{k} \left( \sum_{i \in Fold \ k'} (y_{i} - \widehat{f_{i}})^{2} \right)$$
$$- \frac{1}{k} \sum_{k'=1}^{k} \left( \sum_{i \in Fold \ k'} (y_{i} - \widehat{f_{i-j}})^{2} \right)$$

Here, n represents the total number of feature modules,  $y_i$  denotes the actual value of the *i*th data, and  $f_i$ refers to the predicted value obtained by feeding the *i*th data into the model trained using all other data, and k' serves as the index for each individual fold in k-fold Cross-Validation. *j* is the index for the feature group, defined either through WGCNA or though lipid classes. The PIS is the value obtained by applying the above formula to the feature groups defined by WGCNA, and pre-defined lipid classes. Specifically, to the ModuleImpact<sub>i,WGCNA</sub> represents the CV-based module impact for the *i*th feature module defined using WGCNA, and ModuleImpacti, Lipid represents the CVbased module impact for the *i*th feature module divided according to the group subclasses given in ADNI data. In addition to the CV approach, Random



**Fig. 2:** Overall structure of the c-SWAT. The phenotype influence score for the feature groups was calculated as shown in (a). Sliding windows of varying sizes overlap all feature groups except one to perform the classification prediction, thereby determining the importance of the excluded group. WGCNA was used to determine the group as shown in (b). Based on these results and the lipid classes, PIS for each metabolite was calculated and used to classify AD.



**Fig. 3:** An overview of how a deep learning approach was implemented in steps 1 and 3. Our model utilizes three main hidden layers, with the number of nodes in these layers optimized from 32 down to 8 using a grid search approach. The classification between AD and CN was performed with top-ranked features from each group using the CNN algorithm, and the performance was assessed by a 5-fold cross-validation.

Forest's feature importance, denoted as  $f_{i_i}$ , was employed to further assess the relevance of each feature in the model. This process can be represented as follows:

$$PIS_{c-SWAT,i} = \frac{1}{2} \Big( ModuleImpact_{i,WGCNA} \Big)$$

+ ModuleImpact<sub>i,Lipid</sub>)+ $fi_i$ 

# Step3: classifying Alzheimer's disease (AD) from cognitively normal older adults (CN)

After computing the PIS in the second step, we applied the Random Forest algorithm for AD-CN classification. This process was conducted using 10-fold crossvalidation with stratification, utilizing the features with the highest PIS from each feature group. Our Random Forest model consists of 100 decision trees. The final prediction is obtained by aggregating the results from individual trees using majority voting. To evaluate the performance of our model, we used both average accuracy and average AUC as primary metrics, computed over the 10 validation folds.

## Ethics

For the ADNI data used in this study, all participants provided written informed consent approved by the institutional review board of each participating institution.

## Statistics

To assess the effectiveness of the c-SWAT approach, the model's performance was compared against a baseline where an equal number of features were randomly selected. This choice of comparison was made to evaluate the impact of feature selection by c-SWAT in a controlled setting. The classification accuracy and the Area Under the Receiver Operating Characteristic (ROC) Curve (AUC) were primary metrics, calculated using a 10-fold cross-validation approach. Additionally, the Precision-Recall (PR) curve was employed to provide a comprehensive assessment of the model's performance. A p-value of approximately  $1.04 \times 10^{-4}$  was obtained, confirming the statistical significance of the improvement in classification accuracy. The sample size for the study was determined by the availability of multi-omics data for each participant in the Alzheimer's Disease Neuroimaging Initiative (ADNI) database, totaling 997 participants. No further inclusion or exclusion criteria were applied. Randomization was applied in the selection of features for the baseline comparison model. All data used are publicly available and there are no restrictions on their availability.

## Role of funders

The funders had no role in the design and conduct of the study; collection, management, analysis, and interpretation of the data; preparation, review, or approval of the manuscript; and decision to submit the manuscript for publication.

## **Results**

In our c-SWAT approach, we used serum metabolomics data from 997 ADNI participants. WGCNA identified 48 network modules (feature groups) from 781 metabolites. The modified CV approach identified the influential factors of each lipid within their respective feature groups, which enabled us to assess each metabolite's impact on classification. Utilizing a CNN model with a 5-fold cross validation method, we calculated the PIS for each metabolite. Finally, metabolites with higher PIS values were used to construct a robust AD classification model.

The overall result of a 10-fold cross-validation is shown in Fig. 4a. With c-SWAT, the Random Forest model could classify AD from CN with a highest accuracy of 0.808 when using 22 features, compared to an accuracy of 0.714 when the same number of features were randomly applied without using PIS. The difference in accuracy between the two methods was found to be statistically significant with a p-value of approximately  $1.04 \times 10^{-4}$ . Fig. 4b shows the accuracies for AD/ CN classification, using subsets containing the top 1 to 781 features based on PIS. A blue dot represents a testing accuracy on a number of feature sets after applying c-SWAT, and a red dot represents a testing accuracy without c-SWAT. When features with high PIS rankings were applied, the classification accuracy improved, and as the number of features increased, the differences between classifiers narrowed.

Fig. 5a displays the Receiver Operating Characteristic (ROC) curve for the classification capability using three sets of features: the top features selected by c-SWAT, randomly selected features, and the least associated features determined by c-SWAT. Utilizing a 10-fold cross-validation, the highest average Area Under the Curve (AUC) achieved with the top features was 0.808 when using 22 features. For a similar set of 22 features chosen randomly, the AUC was 0.639. In stark contrast, the AUC for the same number of least associated features was significantly lower at 0.478. Fig. 5b further explores the Precision-Recall (PR) curve for these different sets of features. The top features selected by c-SWAT consistently showed higher precision and recall values when compared to both randomly selected and least associated features. This underscores the predictive proficiency of the top features in AD classification.

We also conducted a comprehensive analysis on the classification accuracy across distinct stages of



**Fig. 4:** Visualization of AD/CN classification results. (a) Bar graph on the y-axis representing the average accuracy of a 10-fold cross validation. With c-SWAT, the Random Forest model could classify AD from CN with a highest accuracy of 0.807 when using 22 features, compared to an accuracy of 0.714 when the same number of features were randomly applied without using PIS. (b) The y-axis presents the accuracy for AD/CN classification in each subset, both with and without the implementation of c-SWAT, considering subsets ranging from the top 1 to 781 features. An outer circle represents the number of metabolite features utilized. Blue dots indicate classification accuracy when incorporating the results of PIS with c-SWAT, while red dots represent cases without the application of c-SWAT.

Alzheimer's disease, focusing on the top features selected by c-SWAT, as they showed superior performance. By applying these top features to each Alzheimer's disease stage (Alzheimer's Disease [AD], Late Mild Cognitive Impairment [LMCI], Early Mild Cognitive Impairment [EMCI], and Cognitively Normal [CN]), we developed classification models. Fig. 6a presents the AUC values for various Alzheimer's disease stages, and Fig. 6b showcases the ROC curves for different disease stage comparisons. Our analysis revealed that the classification of AD from CN had the highest accuracy. Following the AD vs CN comparison in accuracy ranking, the next best classifications were AD vs ENCI, then LMCI vs CN, followed by AD vs LMCI, and lastly EMCI vs CN.

Using PIS obtained through c-SWAT, we identified highly AD-associated lipids. In Table 1, the highest scoring lipids are listed, with Cer(d16:1/22:0), PI(37:6), CE (20:4) [+OH], and LPE (20:4) [sn1] being the topranking lipid classes.

## Discussion

In this study, we developed and evaluated a deep learning-based approach to select phenotype-related features and construct an AD classification model using feature correlations. This approach was applied to serum-based metabolomics data related to AD. From our analysis, we discerned specific features with a strong correlation to AD. Using these features enabled more accurate classification and played a crucial role in enhancing the accuracy of classification across various Alzheimer's Disease stages.

Our findings highlight the effectiveness of our method in isolating metabolites that correlate with AD (Table 1). By analyzing these associations, we can better understand the role of lipidomic data, a subset of metabolomics, in the broader context of AD's pathophysiology.

Based on our results, Cer(d16:1/22:0), known as Ndocosanoyl-hexadecasphing-4-enine, emerged as one of the metabolites with elevated PIS values. This ceramide is notably found in cell membranes, especially in peripheral nerve cells and the central nervous system. Its roles in fundamental cellular processes like cell division, differentiation, and cell death are significant. Changes in levels of ceramides like Cer(d16:1/22:0) have been observed in neurodegenerative diseases like AD.<sup>35,36</sup> They might be involved in AD-related pathways, including inflammation in the brain, neuronal damage and death, and the formation of amyloid-beta plaques.<sup>37,38</sup> PI(37:6), a member of the phosphatidylinositols family, has been reported to be integral to microglial signaling pathways. These phosphatidylinositols, recognized as essential secondary



**Fig. 5:** Performance comparison between top features, randomly selected features, and least associated features in AD classification. (a) The Receiver Operating Characteristic (ROC) curve illustrates the classification capability using the top features selected by c-SWAT, randomly selected features, and the least associated features determined by c-SWAT. The highest average Area Under the Curve (AUC) from a 10-fold cross-validation for the top features reached 0.808 with 22 features. When randomly selecting the same number of 22 features, the AUC was 0.639. In comparison, the AUC for the same number of least associated features was significantly lower at 0.478. (b) The Precision-Recall (PR) curve showcases the predictive performance of these feature sets, including the top features selected by c-SWAT, randomly selected features, and the least associated features determined by c-SWAT. The top features consistently exhibited higher precision and recall values relative to the randomly selected and least associated features, underscoring their enhanced predictive proficiency in AD classification.



Fig. 6: Classification accuracy across Alzheimer's Disease stages. (a) Bar chart illustrating the area under the curve (AUC) values for the classification among different Alzheimer's disease stages. (b) ROC curves representing classification performance for various disease stage comparisons, with each curve displaying the relationship between the true positive rate and false positive rate.

Identifier	Full name	Category	Class	PIS
CER.D16.1.22.0	Cer (d16:1/22:0)	sphingolipids	ceramide	0.158
PI.37.6	PI (37:6)	glycerophospholipids	phosphatidylinositol	0.157
CE.20.4OH	CE (20:4) [+OH]	Neutral/Other	oxidised lipids	0.155
LPE.20.4SN1	LPE (20:4) [sn1]	glycerophospholipids	Lysophosphatidylethanolamine	0.144
PE.P.19.0.20.4A	PE (P-19:0/20:4) (a)	glycerophospholipids	alkenylphosphatidylethanolamine	0.142
PE.P.17.0.20.4A	PE (P-17:0/20:4) (a)	glycerophospholipids	alkenylphosphatidylethanolamine	0.139
PC.0.16.0.20.3	PC (0-16:0/20:3)	glycerophospholipids	alkylphosphatidylcholine	0.133
CER.D18.1.20.0	Cer (d18:1/20:0)	sphingolipids	ceramide	0.126
CER.D19.1.20.0	Cer (d19:1/20:0)	sphingolipids	ceramide	0.118
PI.34.1	PI (34:1)	glycerophospholipids	phosphatidylinositol	0.115
PE.P.15.0.20.4B	PE (P-15:0/20:4) (b)	glycerophospholipids	alkenylphosphatidylethanolamine	0.114
PE.P.16.0.20.5	PE (P-16:0/20:5)	glycerophospholipids	alkenylphosphatidylethanolamine	0.110
CER.D18.1.24.1	Cer (d18:1/24:1)	sphingolipids	ceramide	0.109
LPE.20.4SN2	LPE (20:4) [sn2]	glycerophospholipids	Lysophosphatidylethanolamine	0.101
PE.P.18.0.22.5N6	PE (P-18:0/22:5) (n6)	glycerophospholipids	alkenylphosphatidylethanolamine	0.101
PC.P.16.0.20.5	PC (P-16:0/20:5)	glycerophospholipids	alkenylphosphatidylcholine	0.100
LPE.18.2SN1	LPE (18:2) [sn1]	glycerophospholipids	Lysophosphatidylethanolamine	0.097
DE.20.4	DE (20:4)	Neutral/Other	dehydrocholesteryl ester	0.097
Using the phenotype influen	ce score obtained through c-SWAT, h	ighly AD-associated lipids were iden	tified.	

messenger lipids, are notably affected by amyloid-ß protein deposits in AD.39 Originating from various phosphatidylinositol kinases, the significance of their phosphorylation state in their functional roles has been emphasized. CE (20:4) is a cholesteryl ester involved in cholesterol movement and storage. Recent studies found that certain cholesteryl esters, including CE (20:4), have decreased levels in Alzheimer's disease patients. This observation hints at a possible connection between these esters and the disease, though the exact role is still being explored.40 LPE (20:4) [sn1] is a component in the Glycerophospholipid metabolism pathway and interacts with specific enzymes. Studies suggest that variations in such lipids could be associated with brain health and conditions like AD, with peroxisomal function potentially being a key factor.<sup>41</sup> In AD samples, the levels of key metabolites—Cer(d16:1/22:0), PI(37:6), CE (20:4) [+OH], and LPE (20:4) [sn1]-were found to be 1.27%, 3.23%, 1.54%, and 1.49% higher, respectively, compared to CN samples. deDE (18:2) and deDE (20:4) from the Dehydrodesmosteryl ester class showed high associations. While these compounds showed a strong correlation with AD, the potential effects of medications, such as donepezil, on these associations should be taken into account. Further research and interpretation are essential to confirm this correlation.

In instances where the dataset may not be sufficiently extensive for exhaustive learning, our approach, c-SWAT, has proven adept at confronting these limitations effectively. This efficacy can be largely attributed to our pre-processing stage, where we leverage feature correlations to tactically arrange our data. Our approach is engineered to diminish the weight of less pertinent features during the training phase, with an objective of filtering out unnecessary noise and thereby minimizing the risk of overfitting. Essentially, this phase plays a critical role in safeguarding our model against the potential negative influence of extraneous features on its performance. This element of our work, in particular, demonstrates the potential of c-SWAT to operate effectively even under less than ideal conditions, thus underscoring its value in the pursuit of improving deep learning methodologies.

## Conclusion

We developed a deep learning-based approach, c-SWAT, which uses the strength of feature correlations to maximize the predictive capabilities of tabular datasets. A key feature of c-SWAT is its ability to handle correlations inherent in biological data and incorporate them into the learning process, contributing to improved predictive performance. In the research area of AD, a neurodegenerative disorder marked by progressive cognitive impairment, c-SWAT appear promising for identifying potentially AD-related lipids and building predictive models for AD. It's important to note that while our results using c-SWAT appear promising, these findings are needed to be validated using large independent data sets. Furthermore, c-SWAT's ability to efficiently identify meaningful patterns from complex biological data, especially when the dataset may not be large enough for exhaustive learning, underscores the potential of this method. In the future, this method will be applied to large-scale metabolite datasets to further refine its predictive capabilities. Quantitative endophenotypes will also be leveraged to evaluate the early stages

of AD, including mild cognitive impairment (MCI), providing insights into the preclinical phase of the disease. Thus, by utilizing the advantages of deep learning and innovative feature correlation methods, c-SWAT offers a significant opportunity to enhance disease prediction and biomarker discovery in AD and beyond.

### Contributors

TJ contributed to the conception and design of the study, analysis of data, and drafting of the manuscript. JP contributed to analysis of data and drafting of the manuscript. PB contributed to drafting of the manuscript. KH contributed to the acquisition of data and drafting of the manuscript. TW contributed to the acquisition of data and drafting of the manuscript. MA contributed to the data preprocessing and drafting of the manuscript. PIM contributed to the acquisition of data and drafting of the manuscript. CG contributed to drafting of the manuscript. RKD contributed to the conception and design of the study, acquisition of data, and drafting of the manuscript. AJS contributed to the conception and design of the study, acquisition of data, and drafting of the manuscript. KN contributed to the conception and design of the study and drafting of the manuscript. TJ, AJS and KN accessed and verified the data. All authors read and approved the final version of the manuscript. All authors had access to the underlying data, which is also available to the scientific community through the ADNI website.

### Data sharing statement

The ADNI data used in this study were obtained from the ADNI database (https://adni.loni.usc.edu). The source code for executing the c-SWAT approach, as described in this paper, is openly available. The code can be accessed at https://github.com/taehojo/c-SWAT.

#### Declaration of interests

Dr. Saykin receives support from multiple NIH grants (P30 AG010133, P30 AG072976, R01 AG019771, R01 AG057739, U19 AG024904, R01 LM013463, R01 AG068193, T32 AG071444, U01 AG068057, U01 AG072177, and U19 AG074879). He has also received support from Avid Radiopharmaceuticals, a subsidiary of Eli Lilly (in kind contribution of PET tracer precursor); Siemens Medical Solutions USA, Inc. (Dementia Advisory Board); NIH NHLBI (MESA Observational Study Monitoring Board); Eisai (Scientific Advisory Board); NIH/NIA: External Advisory Committees, Multiple NIH-funded centers/programs; and Springer-Nature Publishing (Editorial Office Support as Editor-in-Chief, Brain Imaging and Behavior). Dr. Kaddurah-Daouk receives support from multiple NIH grants (3U01AG061359, 1RF1AG059093, 1RF1AG058942. 5U19AG063744, 3U19AG063744-04S1, 1R01AG069901, 3U01AG061359-05S1). She is an inventor on a series of patents related to metabolomics signatures in neuropsychiatric diseases. Dr. Kaddurah-Daouk holds equity and stock in Metabolon, Inc., and PsyProtix, which were not involved in this study. Matthias Arnold is coinventor (through Duke University/Helmholtz Zentrum München) on patents on applications of metabolomics in diseases of the central nervous system. Matthias Arnold also holds equity in Chymia LLC and IP in PsyProtix and Atai that is unrelated to this work. The other authors declare no conflict of interest.

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### Appendix A. Supplementary data

Supplementary data related to this article can be found at https://doi.org/10.1016/j.ebiom.2023.104820.

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