Novel Tools and Methods

A Versatile Strategy for Genetic Manipulation of Cajal–Retzius Cells in the Adult Mouse **Hippocampus**

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Abstract

Cajal–Retzius (CR) cells are transient neurons with long-lasting effects on the architecture and circuitry of the neocortex and hippocampus. Contrary to the prevailing assumption that CR cells completely disappear in rodents shortly after birth, a substantial portion of these cells persist in the hippocampus throughout adulthood. The role of these surviving CR cells in the adult hippocampus is largely unknown, partly because of the paucity of suitable tools to dissect their functions in the adult versus the embryonic brain. Here, we show that genetic crosses of the $\Delta Np73$ -Cre mouse line, widely used to target CR cells, to reporter mice induce reporter expression not only in CR cells, but also progressively in postnatal dentate gyrus granule neurons. Such a lack of specificity may confound studies of CR cell function in the adult hippocampus. To overcome this, we devise a method that not only leverages the temporary CR cell-targeting specificity of the ΔNp 73-Cre mice before the first postnatal week, but also capitalizes on the simplicity and effectiveness of freehand neonatal intracerebroventricular injection of adeno-associated virus. We achieve robust Cre-mediated recombination that remains largely restricted to hippocampal CR cells from early postnatal age to adulthood. We further demonstrate the utility of this method to manipulate neuronal activity of CR cells in the adult hippocampus. This versatile and scalable strategy will facilitate experiments of CR cell-specific gene knockdown and/or overexpression, lineage tracing, and neural activity modulation in the postnatal and adult brain.

Key words: adeno-associated virus; adult hippocampus; Cajal–Retzius cells; $\Delta Np73$ -Cre; genetic modification; neonatal intracerebroventricular injection

Significance Statement

High-throughput and specific tools for genetic manipulation of neuronal subtypes in vivo are desirable for scalable experiments and accurate data interpretation. However, limitations in available tools present a challenge for certain cell types, such as Cajal–Retzius cells, a class of transient neurons of which a portion persists in the adult brain. Highlighting the limitation of Cre-driver mouse lines because of loss of specificity in adulthood, we demonstrate the use of neonatal intracerebroventricular delivery of adeno-associated viral vectors to specifically manipulate Cajal–Retzius cells in the adult hippocampus. Our strategy offers a framework to address similar issues with experiment throughput and specificity of other neuronal subtypes.

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Introduction

Cajal–Retzius (CR) cells are a group of early-born glutamatergic neurons that populate the embryonic cortex [\(Squarzoni et al., 2015;](#page-22-0) [Causeret et al., 2021](#page-21-0); [Vílchez-](#page-22-1)[Acosta et al., 2022](#page-22-1)). Originating from four distinct progenitor domains of the brain, including the ventral pallium, the septum, the thalamic eminence, and the cortical hem, CR cells first appear at approximately embryonic day 9.5 (E9.5) in mice. They then migrate tangentially to cover the entire surface of the telencephalon. Their final destination is determined by their ontogenic origin and migration path, such that a particular brain region is predominantly occupied by CR cells from the same progenitor niche. A prominent example is the mouse hippocampus, which is populated almost elusively by cortical hem-derived CR cells [\(Yoshida et](#page-22-2) [al., 2006](#page-22-2); [Louvi et al., 2007](#page-21-1)). Once in residence, CR cells control cortical neuron migration via secretion of the glycoprotein reelin [\(Meyer et al., 2004](#page-21-2); [Yoshida et al., 2006](#page-22-2); [Tissir et al., 2009;](#page-22-3) [Amelio et al., 2020](#page-20-0); [Vílchez-Acosta et al.,](#page-22-1) [2022\)](#page-22-1). They also regulate cortical and hippocampal circuits through their connectivity in local networks [\(Anstötz et al.,](#page-21-3) [2022;](#page-21-3) [Genescu et al., 2022](#page-21-4); [Riva et al., 2023](#page-21-5)).

CR cells can be readily identified by a few selective markers including reelin (RELN) and p73 (TRP73), of which the latter is considered to be the most specific [\(Anstötz](#page-20-1) [and Maccaferri, 2020](#page-20-1)). Morphologically distinct from other neurons by virtue of their smaller cell body and characteristic "tadpole" shape [\(Anstötz et al., 2016](#page-20-2); [Anstötz and](#page-20-1) [Maccaferri, 2020](#page-20-1)), CR cells can also be recognized based on their distinct locations within the brain, particularly in the marginal zone of the embryonic neocortex [\(Del Río et al.,](#page-21-6) [1996;](#page-21-6) [Gil et al., 2014](#page-21-7); [Ma et al., 2014;](#page-21-8) [Elorriaga et al.,](#page-21-9) [2023\)](#page-21-9), as well as along the hippocampal fissure and the molecular layer of the dentate gyrus (DG; [Anstötz et al.,](#page-20-2)

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[2016;](#page-20-2) [Causeret et al., 2021;](#page-21-0) [Glærum et al., 2022\)](#page-21-10). Beyond protein markers and morphologic properties, an array of transgenic reporter or Cre-expressing mouse lines have been used for the study of CR cells in the developing brain (for review, see [Causeret et al., 2021\)](#page-21-0). For example, the \triangle Np73-Cre mouse strain drives Cre expression in CR cells derived from the cortical hem, septum, and the thalamic eminence ([Tissir et al., 2009\)](#page-22-3), while the Wnt3a-Cre mouse line is restricted to cortical hem-derived CR cells [\(Yoshida](#page-22-2) [et al., 2006\)](#page-22-2). Though powerful, these genetic tools also nonspecifically label or target other cells (for review, see [Causeret et al., 2021\)](#page-21-0), which may cloud data interpretation.

CR cells have long been known as transient neurons as a majority of them undergo programmed cell death during development. In humans, massive CR cell death occurs around gestational weeks 23–28 ([Meyer and](#page-21-11) [González-Gómez, 2018a](#page-21-11), [b\)](#page-21-12), with some cells persisting in layer 1 of the neocortex [\(Marín-Padilla, 1990;](#page-21-13) [Martínez-](#page-21-14)[Cerdeño et al., 2002;](#page-21-14) [Martínez-Cerdeño and Clascá, 2002](#page-21-15); [Martínez-Cerdeño and Noctor, 2014\)](#page-21-16) and the hippocam-pus ([Blümcke et al., 1996](#page-21-17), [1999](#page-21-18)). In mice, very few $(-5%)$ CR cells persist in the adult neocortex. However, a larger portion (20–30%) of CR cells remain in the hippocampus throughout adulthood [\(Anstötz et al., 2016](#page-20-2), [2018a\)](#page-20-3). The persistence of CR cells in the adult hippocampus is often overlooked, which may be partly because of the presumption of their complete disappearance. Because of this assumption, most of the available genetic tools for the study of CR cells have not been thoroughly characterized regarding their cell-type specificity in the adult brain, leading to a paucity of suitable tools to specifically manipulate persistent CR cells. Moreover, as CR cells have critical roles in embryonic brain development ([Causeret et al., 2021](#page-21-0); [Elorriaga et al., 2023](#page-21-9)), methods that confer specific labeling or targeting of postnatal CR cells without affecting their embryonic counterparts are especially desirable for the dissection of their contributions in the adult brain. Here, we describe a simple and versatile strategy to genetically manipulate postnatal and adult CR cells by introducing Credependent adeno-associated virus (AAV) constructs to neonatal ΔNp73-Cre mice via freehand intracerebroventricular injections. This method is relatively high throughput and low cost since it does not require special surgery and injection equipment. Our strategy will facilitate experiments that enhance our understanding of the function of CR cells in the early postnatal and adult hippocampus.

Materials and Methods

Mice

 Δ Np73-Cre hemizygous mice ([Tissir et al., 2009](#page-22-3)) and Wnt3a-Cre hemizygous mice ([Yoshida et al., 2006](#page-22-2)) were a gift from Alessandra Pierani (Université Paris Cité, Paris, France) and were kept as hemizygous; only hemizygous Cre mice were used throughout this study. LSL-tdTomato [B6.Cq-Gt(ROSA)26Sor^{tm9(CAG-tdTomato)Hze}/J (Ai9); stock #007909; [Madisen et al., 2010\]](#page-21-19), LSL-ArchT-EGFP [B6.Cg-Gt(ROSA)/26Sortm40.1(CAG-aop3/EGFP)Hze/J, stock #021188; [Daigle et al., 2018](#page-21-20)], LSL-2XChETA-P2A-tdTomato [B6;129-Gt (ROSA)26Sortm1(CAG-COP4* E123T* H134R,-tdTomato)Gfng/J, stock

#017455; [Ting and Feng, 2013](#page-22-4)], and LSL-HA-hM3D [B6N;129-Tg(CAG-CHRM3*,-mCitrine)1Ute/J, stock #026220; [Zhu et al., 2016\]](#page-22-5) mice were obtained from The Jackson Laboratory. Mice were group housed in a 12 h light/dark cycle, with all experiments performed during the light period. For genotyping animals between P7 and P17, tail biopsy samples were taken at the time the mice were killed, followed by tissue lysis and genotyping PCRs [\(Table 1\)](#page-2-0). For genotyping animals older than P17, ear notch biopsy samples were taken between P14 and P18, and only animals with the desired genotypes were kept and weaned from the parents at P21. The genotypes of these mice were confirmed again by taking tail biopsy samples at the time mice were killed. Both male and female mice were used for experiments. Detailed information regarding the number and sex of animals used in each experiment is provided in [Table 2.](#page-3-0) Ages are indicated where applicable. All animal procedures were performed in accordance with the animal care committee regulations of the University of Alberta and the University of Ottawa.

Adeno-associated viruses

pAAV-CAG-YFP was generated as described previously [\(Rousseaux et al., 2018\)](#page-21-21). pAAV-hSyn-DIO-hM3D(Gq)-mCherry was a gift from Bryan Roth [the University of North Carolina School of Medicine, Viral Prep #44361-AAV8, Addgene ([http://n2t.net/addgene:44361\)](http://n2t.net/addgene:44361); RRID:[Addgene_44 361\)](https://scicrunch.org/resolver/Addgene_44&hx2009;361). $pAAV-EF1\alpha$ -double floxed-hChR2(H134R)-mCherry-WPRE-HGHpA was a gift from Karl Deisseroth [Stanford University, Viral Prep #20297-AAV8, Addgene ([http://n2t.net/addgene:](http://n2t.net/addgene:20297) [20297\)](http://n2t.net/addgene:20297); RRID:[Addgene_20 297](https://scicrunch.org/resolver/Addgene_20&hx2009;297)]. Viruses were aliquoted and stored at -80° C until use. Thawed viruses were kept at 4 $^{\circ}$ C and used within a week. When necessary, viruses were diluted to the desired titers using PBS.

Neonatal intracerebroventricular injections

Neonatal intracerebroventricular injections were performed as previously described with minor modifications [\(Kim et al., 2013](#page-21-22)). Within 6 h after birth, the newborn pups and dam were transported to the surgery suite in their home cage. Half of the litter was removed from the cage and transferred to a biological safety cabinet. One at a time, each pup was anesthetized using hypothermia by placing the pup on a wet paper towel on ice. When the pup no longer responded to tactile stimulation, the animal was placed on a cold flat surface and the cranial surface was disinfected with a 70% ethanol wipe (catalog #326910, Becton Dickinson Canada). Using a gas-tight syringe (catalog #361025642, Hamilton) with a 32 gauge 1.25 cm needle (catalog #7762–03, Hamilton), 2μ of AAV was injected into the lateral ventricles of each hemisphere. The injection sites were cleaned with a 70% ethanol wipe to remove any surface contamination. The pup was then placed on a 37°C heating pad until cardiac output improved, as evidenced by a bright pink skin color, and the pup regained mobility and responded to tactile stimulation. The pup was then kept warm in bedding on the heating pad until the remaining half of the litter was completed. The injected pups were then returned to the home cage together, at the same time removing the second half of the litter, thereby endeavoring to reduce stress on the dam. The remaining pups were injected with the virus as aforementioned. Once all pups were injected with the virus, the cages were then promptly returned to the housing suite. Pups were monitored daily for 1 week to ensure the absence of complications.

Clozapine-N-oxide injection

At 7 weeks of age, AAV8/hSyn-DIO-hM3D-mCherry injected mice were intraperitoneally injected with 5mg/kg clozapine-N-oxide (CNO; 4mg/ml stock solution; catalog #6329/ 10, Tocris Bioscience) or sterile saline (0.9% NaCl; catalog #JB1324, Baxter). Two hours after the intraperitoneal injection, the brain tissues were collected as described below.

Tissue preparations

Animals were deeply anesthetized via injection of sodium pentobarbital (240 mg/ml, i.p.; Euthanyl, Bimeda-

Table 2: Summary of statistical analysis

Table 2: Continued

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Experiment	Animal number and sex	Test	p -value	t , df	F , dfn, dfd
Percentage of mCherry ⁺ CRs, lower molecular layer	P0 to $>7W$: $n=7$ (2 females and 5 males)	No test was per- formed as there was no variation among replicates			
Extended Data Figure 7-1C					
m Cherry ⁺ granule neuron density		No test was per- formed as there was no variation among replicates			
Extended Data Figure 7-2	Female, AAV/ChR2: $n = 3$				
Percentage of mCherry ⁺ CRs at 7W, hippocampal fissure	Male, AAV/ChR2: $n = 7$	Nested one-way ANOVA	**0.0066		4.502, 3, 58
Extended Data Figure 7-2	Female, AAV/hM3D: $n = 5$				
Percentage of mCherry ⁺ CRs at 7W, lower molecular layer	Male, AAV/hM3D: $n = 7$	Nested one-way ANOVA	Not significant		2.638, 3, 61
Figure 8C					
Percentage of mCherry ⁺ CR cells, hippocampal fissure		Nested t test	< 0.0001	$t = 10.30$, $df = 34$	106.0, 1, 34
Figure 8C					
cells, lower molecular layer	Percentage of mCherry ⁺ CR Saline: $n = 5$ (2 females and 3 Nested t test males)		< 0.0001	$t = 10.55$, $df = 34$	111.2, 1, 34
Figure 8C	CNO: $n = 7$ (3 females and 4 males)				
Percentage of total ⁺ CR cells, hippocampal fissure		Nested one-way ANOVA	****<0.0001		98.35, 3, 68
Figure 8C					
Percentage of total CR cells, lower molecular layer		Nested one-way ANOVA	****<0.0001		114.2, 3, 68
Extended Data Figure 8-1					
Percentage of total ⁺ CR cells, hippocampal fissure	Saline: $n = 5$ (5 males)	Nested one-way ANOVA	Not significant		0.7748, 3, 8
Extended Data Figure 8-1	CNO: $n = 2$ (2 females)				
Percentage of total ⁺ CR cells, lower molecular layer		Nested one-way ANOVA	Not significant		0.6000, 3, 36

W, Weeks; P, postnatal days; CR, Cajal-Retzius cells; WT, wild type; tdT, tdTomato; df, degree of freedom. dfn, degrees of freedom in the numerator; dfd, degrees of freedom in the denominator.

MTC), then transcardially perfused with PBS (catalog #BP399-20, Fisher Bioreagents) followed by 4% paraformaldehyde in PBS (catalog #19202, Electron Microscope Sciences). The brains were removed and postfixed in 4% paraformaldehyde overnight at 4°C, followed by sequential submersion in 15% and then 30% sucrose for 24 h at 4°C for each change. Brain tissue was cut coronally using a brain matrix, followed by cryoembedding into Optimal Cutting Temperature compound (catalog #4585, Fisher HealthCare) and subsequently frozen at -80° C. Coronal brain sections $(40 \mu m)$ thick) were cut using a cryostat (catalog #CM1520, Leica Microsystems) and kept at 4°C in PBS with 0.02% sodium azide (catalog #7144.8–16, BICCA) as a preservative. Coronal sections were then transferred onto Superfrost Plus microscope slides (12–550-5, Thermo Fisher Scientific) and air dried overnight. Once the slides were dry, they were used for immunofluorescence staining or stored at -80° C.

Nissl staining and immunofluorescence studies

Nissl (cresyl violet) staining was performed using standard protocols. For immunofluorescence studies, slides were postfixed in 10% phosphate buffered formalin (catalog #SF100-4, Fisher Chemicals) for 10 min at room temperature and then washed in PBS. Antigen retrieval was performed with a citric acid-based antigen unmasking solution (catalog #H-3300, Vector Laboratories) for 30 min in a 95°C water bath. Once the slides were cooled to room temperature, they were washed twice with PBS, permeabilized with PBST (PBS $+ 0.3\%$ Triton X-100; catalog #BP151-500, Fisher Bioreagents) for 20 min at room temperature and then blocked with 5% normal donkey serum (catalog #D9663-10ML, Sigma-Aldrich) diluted in PBST (blocking solution) for 20 min at room temperature. Primary antibodies were diluted in blocking solution, added onto the slides, and incubated overnight at 4°C in a humid chamber. Sections were washed three times with PBST before incubating for 2 h at room temperature in secondary antibody diluted in blocking buffer. Afterward, the slides were washed in PBST then PBS, and autofluorescence was quenched using Vector TrueVIEW autofluorescence quenching kit (catalog #SP8400, Vector Laboratories), prepared as per manufacturer instructions for 2 min at room temperature. The slides were washed, counterstained with DAPI ($5 \mu g/ml$; catalog #D3571, Thermo Fisher Scientific) for 10 min of

Figure 1. Characterization of tdTomato (tdT) reporter expression driven by the $\Delta Np73$ -Cre or the Wnt3a-Cre mouse line. A, B, Comparison of tdT reporter expression in the $\Delta Np73$ -Cre; LSL-tdTomato mice at P18 (A) and the Wnt3a-Cre; LSL-tdTomato mice at P20 (B). Left, Representative images show tdTomato expression in the hippocampus. In the hippocampus of both mouse lines, reporter expression is limited to the DG and along the hippocampal fissure (HF). Reporter expression was not detected in cornu ammonis (CA) regions. Scale bars, 200 µm. Middle, Higher-magnification images show reporter expression in the DG. In both transgenic lines, reporter expression is readily detectable in Cajal–Retzius cells (white arrows) located along the HF and in the dentate gyrus molecular layer (ML). While cells in the granular layer (GL; yellow arrows) of the ΔNp 73-Cre; LSL-tdTomato mice are only occasionally observed to express tdTomato, there is extensive tdTomato expression in the granular layer in the Wnt3a-Cre; LSLtdTomato mice. Scale bars, 200 µm. Right panels, Cajal–Retzius cells are identified by their unique tadpole-like morphology and the expression of RELN. Scale bars, $10 \mu m$. SLM, Stratum lacunosum-molecular; V3, third ventricle.

incubation at room temperature, then washed with PBS. The slides were then mounted using VECTASHIELD Vibrance Antifade Mounting Medium (catalog #H170010, Vector Laboratories) and covered with a coverslip. The slides were left to dry overnight, sealed with transparent nail polish, then further dried before being imaged with a confocal microscope.

Antibodies

The following primary antibodies were used for immunofluorescence staining: goat anti-tdTomato (1:500; catalog #AB8181-200, SICGEN; RRID:[AB_2722750](https://scicrunch.org/resolver/AB_2722750)); rabbit anti-TRP73 (1:500; catalog #ab40658, Abcam; RRID: [AB_776999](https://scicrunch.org/resolver/AB_776999)); mouse anti-RELN (1:500; catalog #MAB5364, MilliporeSigma; RRID[:AB_1293544\)](https://scicrunch.org/resolver/AB_1293544); mouse anti-RELN (1:500; catalog #ab78540, Abcam; RRID[:AB_1603148\)](https://scicrunch.org/resolver/AB_1603148); rabbit anti-CALB1 (1:500; catalog #CB38, Swant; RRID: [AB_10000340\)](https://scicrunch.org/resolver/AB_10000340); mouse anti-DCX (1:25; catalog #sc-271390, Santa Cruz Biotechnology; RRID[:AB_10610966](https://scicrunch.org/resolver/AB_10610966)); and goat anti-EGFP/YFP (yellow fluorescent protein; 1:500; catalog #AB0020-500, SICGEN; RRID[:AB_2333100\)](https://scicrunch.org/resolver/AB_2333100). The secondary antibodies used were as follows: donkey antigoat Alexa Fluor 555 (1:1000; catalog #A21432, Thermo Fisher Scientific; RRID:[AB_2535853](https://scicrunch.org/resolver/AB_2535853)); donkey anti-rabbit Alexa Fluor 488 (1:1000; catalog #A21206, Thermo Fisher Scientific; RRID[:AB_2535792\)](https://scicrunch.org/resolver/AB_2535792); and donkey antimouse Alexa Fluor 647 (1:1000; catalog #A31571, Thermo Fisher Scientific; RRID[:AB_162542\)](https://scicrunch.org/resolver/AB_162542).

Confocal microscopy

Immunofluorescent images were taken using a laserscanning confocal microscope (model LSM 700, Zeiss). For adult (>5 weeks old) mouse brains, three coronal sections from each animal spanning the dorsal dentate gyrus at bregma -1.46 , -1.94 , and -2.46 mm were selected for imaging. For younger mice, comparable anatomic sections were chosen for imaging. Tiled and z-stacked images were acquired for each animal.

Data analyses and statistical method

Cell counting and area measurements were performed using Fiji ImageJ software (version 1.53; [Schindelin et al.,](#page-21-23) [2012](#page-21-23)). The hippocampal fissure area was defined as 60 μ m above and below the hippocampal fissure, as previously described [\(Pahle et al., 2020\)](#page-21-24). CR cells positive for TRP73 expression were counted along the entire hippocampal fissure or the entire molecular layer ventral to the infrapyramidal blade of the dentate gyrus (lower molecular blade) from at least three sections per animal. Cell densities were normalized to the length of the hippocampal fissure and/or the length of the lower molecular layer. The variation index was calculated using the difference of cell densities between the two hemispheres divided by the sum of the densities. Animals without any tdTomato-expressing granule neurons (three of seven mice at 7 weeks of age) were excluded from the granule neuron variation index calculation as they resulted in an invalid formula for the variation index

continued

were performed using nested one-way ANOVA with Tukey's post hoc test. *p < 0.05; **p < 0.01; ***p < 0.001; ****p < 0.0001. The data that illustrate reporter expression in the neocortex are shown in Extended Data [Figure 2-1](https://doi.org/10.1523/ENEURO.0054-23.2023.f2-1). The images that demonstrate granule neuron identity are shown in Extended Data [Figure 2-2.](https://doi.org/10.1523/ENEURO.0054-23.2023.f2-2) Analyses of the effect of sex on tdTomato-expressing granule neurons are provided in Extended Data [Figure 2-3.](https://doi.org/10.1523/ENEURO.0054-23.2023.f2-3)

calculation. Statistical analyses were performed using GraphPad Prism [version 9.4.1; GraphPad Software [\(www.](http://www.graphpad.com) [graphpad.com](http://www.graphpad.com))]. Detailed statistics for all analyses in the article are presented in [Table 2.](#page-3-0)

Results

Neither *Wnt3a-Cre* nor ∆Np73-Cre lines are specific to Cajal–Retzius cells in the hippocampus by the third postnatal week

Several Cre-driver transgenic mouse lines have been developed to study CR cells in the developing brain [\(Causeret et al., 2021](#page-21-0)). However, few studies have pursued the role of CR cells within the adult brain. To achieve this, we first set out to identify a transgenic mouse line that will allow us to specifically manipulate CR cells in the hippocampus. We assessed two popular mouse lines used to drive Cre expression in cortical hem-derived CR cells, the $\Delta Np73$ -Cre line [\(Tissir et al., 2009\)](#page-22-3) and the Wnt3a-Cre line [\(Yoshida et al., 2006\)](#page-22-2), by crossing them to the Cre-dependent LSL-tdTomato reporter mice. At P18, the $\Delta Np73$ -Cre line demonstrated high specificity in the hippocampus with tdTomato reporter expression mostly restricted to CR cells, which were characterized by their unique tadpole-like morphology, reelin expression, and localization along the hippocampal fissure and in the molecular layer of the dentate gyrus ([Fig. 1](#page-7-0)A). In contrast, the Wnt3a-Cre line drove broad tdTomato expression outside of the CR cell domain, in what were likely DG granule neurons [\(Fig. 1](#page-7-0)B), consistent with previous reports [\(Quattrocolo and](#page-21-25) [Maccaferri, 2014](#page-21-25); [Anstötz et al., 2018a](#page-20-3)) and the expression of Wnt3 in the postnatal hippocampal neurogenic niche [\(Lie](#page-21-26) [et al., 2005](#page-21-26)). As such, we opted to use the ΔNp 73-Cre line for the remainder of this study.

In the hippocampus of the $\Delta Np73$ -Cre; LSL-tdTomato mice, we occasionally observed non-CR cells labeled in the DG granular layer [\(Fig. 1](#page-7-0)A, yellow arrows). This prompted us to further characterize the extent of reporter expression in the hippocampus of these mice throughout postnatal development. At P7, tdTomato reporter expression was seen exclusively in CR cells in the DG [\(Fig. 2](#page-8-0)A). From P7 to P21, CR cells in the hippocampus undergo massive cell death, with \sim 50% of the entire population being eliminated. CR cell number continues to decline until \sim 5 weeks of age then stabilizes, leaving \sim 20–30% of CR cells persisting throughout adulthood [\(Anstötz et al., 2018a](#page-20-3)). In agreement with this, we observed a drastic reduction of tdTomato-expressing CR cells along the hippocampal fissure and in the molecular layer from P7 to P17, which then stabilized by 4 weeks of age (Fig. $2A,B$), suggesting that the $\Delta Np73$ -Cre and the LSL-tdTomato alleles do not overtly alter the time course of developmental programmed cell death of CR cells. In the neocortex, reporter expression was restricted to CR cells in layer 1, and CR cell density in 7-week-old mice was reduced to \sim 5% of that in P7 mice (Extended Data [Fig. 2-1\)](https://doi.org/10.1523/ENEURO.0054-23.2023.f2-1).

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Coincidental with the postnatal pruning of the CR cell population, the DG undergoes protracted development. The DG develops from E13 to P15 in mice, with continuous neurogenesis throughout adulthood thereafter [\(Yu et al., 2014](#page-22-6)). At P7, when the DG is still developing, we did not find any cells in the granular layer with reporter expression [\(Fig. 2](#page-8-0)A, [B](#page-8-0)). At P17, shortly after DG morphologic maturation is completed and when mature granule neurons begin to emerge [\(Hochgerner et al., 2018](#page-21-27)), reporter expression was detected in some non-CR cells in the DG granular layer. To determine the identity of these tdTomato-expressing non-CR cells, we immunostained for doublecortin (DCX) and calbindin (CALB1), which mark immature and mature DG granule neurons, respectively ([Hourigan et al., 2021\)](#page-21-28). We found them to be DCX $^-$ but CALB1 $^+$, indicating these were mature granule neurons (Extended Data [Fig. 2-2](https://doi.org/10.1523/ENEURO.0054-23.2023.f2-2)). There is a general trend that the numbers of these tdTomato-expressing non-CR cells increase after P17. By 4 weeks of age, we observed a significant increase in tdTomato-expressing granule neurons in the granular layer of the suprapyramidal and infrapyramidal blades of the dentate gyrus, as well as a concomitant decrease in CR cell-targeting specificity [\(Fig. 2](#page-8-0)B). Of note, reporter expression in granule neurons between different mice varied substantially [\(Figs.](#page-8-0) [2](#page-8-0)B, [3](#page-10-0)A), although these animals were housed under the same conditions and were handled and genotyped in a similar way. These animals were from different litters, and we did not detect any sex effects on the variability (Extended Data [Fig. 2-3](https://doi.org/10.1523/ENEURO.0054-23.2023.f2-3)). We also noted significant variation between the DGs of both hemispheres within the same mouse [\(Fig.](#page-10-0) [3](#page-10-0)B). We further quantified this variation using the variation index, which is the difference of cell densities between the two hemispheres divided by the sum of the densities. If the two hemispheres have similar densities of cells, then the variation index would be near zero. Our analysis showed that there was limited between-hemisphere variation for CR cells in $\triangle Np73$ -Cre; LSL-tdTomato mice, whereas the variation indexes for granule neurons were significantly higher [\(Fig. 3](#page-10-0)B). This suggests that the ΔNp 73-Cre allele may drive recombination in mature granule neurons because of individual differences (e.g., neurobehavior, physical activity, and other individual experiences), rather than because of transient Cre expression in a regulated developmental program. Overall, our genetic crosses indicate that, while both Wnt3a-Cre and ΔNp 73-Cre lines induce robust reporter expression in CR cells, they also lead to additional recombination in postnatal DG granule neurons by the third postnatal week.

The ΔNp 73-Cre allele drives recombination in dentate gyrus granule neurons in the adult hippocampus of multiple reporter lines

While the Wnt3a-Cre allele has been shown to induce recombination in non-CR cells in the DG [\(Quattrocolo and](#page-21-25) [Maccaferri, 2014](#page-21-25); [Anstötz et al., 2018a\)](#page-20-3), the surprising

tdTomato

в

Variation between hemispheres

old $\triangle Np73$ -Cre; LSL-tdTomato mice. A, Left, Representative confocal images show variable tdTomato expression in the DG between different animals at 7 weeks of age. Each image represents an individual animal. Scale bars, 200 µm. Right, Highermagnification images show CR cells (white arrows) and dentate gyrus granule neurons (yellow arrows). Scale bars, 50 μ m. **B**. Representative confocal images show variable tdTomato expression between the two hemispheres of a 4-week-old and a 7-week-old animal. Yellow arrows point to granule neurons. Quantification of the variation indexes (the difference of cell densities between the two hemispheres divided by the sum of the cell densities) is shown at the bottom. Between the two hemispheres of 7-week-old animals, tdTomato expression in

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granule neurons is significantly more variable than that in CR cells. Data are presented as scatter plots with all data points shown and error bars representing \pm SD. Each data point is an individual animal, whereby three sections were measured for each animal. Statistical analyses were performed using Welch's t test. $p < 0.05$. SLM, Stratum lacunosum-moleculare; HF, hippocampal fissure; ML, molecular layer; GL, granular layer.

degree of recombination in granule neurons by the $\Delta Np73-$ Cre allele has not been previously appreciated. We asked whether these recombination events could be because of the LSL-tdTomato reporter undergoing recombination in the absence of the Cre recombinase. However, we did not observe any tdTomato expression in granule neurons in the reporter-only mice (without the Cre allele; Extended Data [Fig.](https://doi.org/10.1523/ENEURO.0054-23.2023.f4-1) [4-1\)](https://doi.org/10.1523/ENEURO.0054-23.2023.f4-1). To further test this in a more rigorous way, we crossed the $\Delta Np73$ -Cre transgenic mice to two different reporter lines, LSL-ArchT-EGFP and LSL-2XChETA-P2A-tdTomato, expressing inhibitory and excitatory opsins, respectively, in a Cre-dependent manner. Adult (10 weeks old) $\triangle Np73$ -Cre; LSL-ArchT-EGFP (ArchT ΔNp^{73-Cr} , for short) mice exhibited ArchT-EGFP fusion protein expression in CR cells, which were marked by the CR cell-specific protein TRP73. However, they also showed widespread EGFP expression in DG granule neurons ([Fig. 4](#page-11-0)A). Similarly, we found reporter expression in both $TRP73⁺$ CR cells and $TRP73⁻$ DG granule neurons in adult $\triangle Np73$ -Cre; LSL-2XChETA-P2A $tdTomato$ (ChETA Δ Np^{73-Cre}, for short) mice ([Fig. 4](#page-11-0)B). All three of the aforementioned reporter alleles—the LSL-tdTomato, the LSL-ArchT-EGFP, and the LSL-2XChETA-P2A-tdTomato —were inserted into the ROSA26 locus on chromosome 6, which is widely used to harbor reporter constructs because of its ubiquitous expression [\(Soriano, 1999](#page-22-7)). As genomic context may influence reporter expression, we next crossed the \triangle Np73-Cre mice to the LSL-HA-hM3D mice, in which the Cre-dependent chemogenetic receptor (hM3D) cassette was integrated into chromosome 14 as a transgene [\(https://www.](https://www.jax.org/strain/026220) [jax.org/strain/026220](https://www.jax.org/strain/026220)). At P14, we observed robust and specific reporter expression in neocortical and hippocampal CR cells in the $\triangle Np$ 73-Cre; LSL-HA-hM3D (hM3D^{$\triangle Np$ 73-Cre}, for short) mice [\(Fig. 4](#page-11-0)C, Extended Data [Fig. 4-2](https://doi.org/10.1523/ENEURO.0054-23.2023.f4-2)C). At this age, a low degree of recombination $(<1$ cell/mm) was seen in granule neurons, which increased to \sim 6 cells/mm at 5 weeks of age [\(Fig. 4](#page-11-0)C), which is consistent with our findings in the ∆Np73-Cre; LSL-tdTomato mice [\(Fig. 2](#page-8-0)).

We further compared the on-target and off-target recombination events of the three reporter lines under the $\Delta Np73-$ Cre driver. To this end, we quantified the number of reporter-expressing CR cells along the hippocampal fissure and in the molecular layer of the DG infrapyramidal blade, as well as the number of reporter-expressing DG granule neurons in the suprapyramidal and infrapyramidal blades. The numbers of CR cells and granule neurons were similar between the $ArchT^{\Delta Np73-Cre}$ and the $hM3D^{\Delta Np73-Cre}$ mice [\(Fig.](#page-11-0) [4](#page-11-0)D). In contrast, the ChETA Δ Np73-Cre mice had a lower number of labeled CRs but a higher number of granule neurons, resulting in lower CR cell-targeting specificity. While all three reporter lines had a similar number of reporter-expressing CR cells in the adult neocortex (Extended Data [Fig. 4-2](https://doi.org/10.1523/ENEURO.0054-23.2023.f4-2)D),

Figure 4. The $\Delta Np73$ -Cre allele drives reporter expression in Cajal–Retzius cells and adult dentate gyrus granule neurons in different reporter lines. A, Left, Overview of ArchT-EGFP expression in the DG of 10-week-old $\triangle Np73$ -Cre; LSL-ArchT-EGFP mice. Note the

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extensive ArchT-EGFP fusion protein expression in the dendrites and axons of DG granule neurons. Scale bar, 200 µm. Right, Representative high-magnification images. ArchT-EGFP expression is found in the plasma membrane of TRP73⁺ CR cells (white arrows) and TRP73[–] DG granule neurons (yellow arrows). Scale bar, 50 μ m. **B**, tdTomato reporter expression in the DG of 10-week-old (10W) ΔNp 73-Cre; LSL-2XChETA-P2A-tdTomato mice. In these mice, ChETA and tdTomato are expressed separately and not as fusion proteins. tdTomato expression is found in the cytoplasm of TRP73⁺ CR cells (white arrows) and TRP73⁻ DG granule neurons (yellow arrows). Scale bar, 50 μ m. C, HA-hM3D reporter expression in the dentate gyrus of the ΔNp 73-Cre; LSL-HA-hM3D mice. At P14, reporter expression is restricted to CR cells (white arrows). By 5 weeks of age, reporter expression is also observed in DG granule neurons (yellow arrows). Scale bar, 50 µm. Quantification of reporter-expressing granule neuron density is shown to the right. Cell densities were normalized to the length of the hippocampal fissure (HF) and the molecular layer of the DG infrapyramidal blade (loML). D, Quantification of reporter-expressing CR cell and granule neuron densities, as well as CR cell-targeting specificity in the three mouse lines. E , Representative confocal images of reporter expression in the two hemispheres of a $\Delta Np73$ -Cre; LSL-ArchT- $EGFP$ mouse (top) and a $\Delta Np73$ -Cre; LSL-HA-hM3D mouse (bottom). Yellow arrows indicate reporter-expressing DG granule neurons. Scale bars, $200 \mu m$. Quantification of variation indexes (the difference of cell densities between the two hemispheres divided by the sum of the cell densities) are shown to the right. V3, Third ventricle; SLM, stratum lacunosum-moleculare; GL, granular layer. Data are presented as scatter plots with all data points shown and error bars representing \pm SD. Each data point is an individual animal, whereby three sections were measured for each animal. Statistical analyses were performed using nested t test or nested oneway ANOVA with Tukey's post hoc test. *p < 0.05; **p < 0.01; ***p < 0.001; ***p < 0.0001. The images that show the lack of reporter expression in the hippocampus of the LSL-tdTomato mice are provided in Extended Data [Figure 4-1.](https://doi.org/10.1523/ENEURO.0054-23.2023.f4-1) The data that demonstrate reporter expression in the neocortex are shown in Extended Data [Figure 4-2](https://doi.org/10.1523/ENEURO.0054-23.2023.f4-2).

recombination in the ArchT ΔN p73-Cre and the hM3D ΔN p73-Cre mice was restricted to CR cells in neocortex layer 1, while additional recombination was observed in non-CR cells in layer 1 in the $ChETA^{\Delta Np73-Cre}$ mice (Extended Data [Fig. 4-2](https://doi.org/10.1523/ENEURO.0054-23.2023.f4-2)B).

Similar to our findings with the $\Delta Np73$ -Cre; LSLtdTomato mice [\(Fig. 3\)](#page-10-0), the extent of Cre-mediated recombination in DG granule neurons was highly variable between individual $ChETA^{\Delta Np73-Cre}$ mice [\(Fig. 4](#page-11-0)D, note the large variation among the three animals). Although this between-mice variation was less in the $ArchT^{\Delta Np73\text{-}\text{Cre}}$ and the $hM3D^{\Delta Np73-Cre}$ lines, recombination events in DG granule neurons varied substantially between the two hemispheres within a single mouse in these two lines [\(Fig. 4](#page-11-0)E). When we quantified this using a variation index, we found that the between-hemisphere variation indexes for CR cells were close to zero in both the ArchT^{ΔNp 73-Cre} and the hM3D $^{\Delta Np}$ 73-Cre mice, whereas the variation indexes for granule neurons were larger and more variable [\(Fig. 4](#page-11-0)E). Collectively, our data demonstrate that, while the degree and variability of recombination in granule neurons differ among reporter lines, recombination events in postnatal DG granule neurons driven by the $\triangle Np73$ -Cre allele is a consistent phenomenon among different reporter lines.

Neonatal intraventricular injection of adenoassociated virus efficiently transduces Cajal–Retzius cells

The unexpected recombination pattern driven by the \triangle Np73-Cre could confound the interpretation of experiments involving genetic crosses to floxed alleles. We therefore set out to develop a strategy for efficient and specific genetic manipulation of CR cells. This was guided by our observation that, before the first two postnatal weeks, recombination by the $\Delta Np73$ -Cre allele in the hippocampus was highly specific to CR cells ([Figs. 2](#page-8-0)A, 4[C](#page-11-0)). Imposing additional specificity that limits recombination in DG neurons that are born after P14 could be a viable approach. Fortuitously, neonatal (P0) intracerebroventricular injection of AAV has been shown to produce very little viralmediated expression in DG granule neurons, especially those generated after P14 ([Kim et al., 2013](#page-21-22), [2014\)](#page-21-29). This freehand injection method is fast and easy to use. By opting for a serotype such as AAV8, it results in brain-wide transduction [\(Kim et al., 2013\)](#page-21-22), which is particularly desirable for CR cells as they are distributed throughout the hippocampus. We first conducted a pilot experiment by injecting AAV8 carrying a viral construct encoding YFP under the CAG promoter (AAV8/CAG-YFP) into P0 wild-type mice and analyzed them at P8 (Extended Data [Fig. 5-1](https://doi.org/10.1523/ENEURO.0054-23.2023.f5-1)A). We found that the AAV8 serotype effectively transduced $TRP73⁺$ CR cells (Extended Data [Fig. 5-1](https://doi.org/10.1523/ENEURO.0054-23.2023.f5-1)B, yellow arrows). We next asked whether we could use neonatal intracerebroventricular injection of Cre-dependent AAV8 constructs to impose additional temporal specificity in the $\Delta Np73$ -Cre mice. To test this, we injected P0 $\triangle Np73$ -Cre pups intraventricularly with AAV8 carrying a Cre-dependent expression construct for the ChR2-mCherry fusion protein driven by the ubiquitous EF1 α promoter [AAV8/EF1 α -DIO-ChR2-mCherry (or AAV/DIO-ChR2 for short); [Fig. 5](#page-13-0)A]. As the viral titer may impact the efficiency and specificity of transduction, we determined the optimal titer by assessing transduction efficiency and specificity at 1.0 \times 10¹¹, 1.0 \times 10¹², and 1.0 \times 10¹³ genome copies (GC)/ml. At 14 d post injection (which is also P14), we found very few CR cells with mCherry expression in mice injected with 1.0×10^{11} GC/ml virus [\(Fig. 5](#page-13-0)A,B), indicating that the viral titer was too low to render detectable transduction. In contrast, $\Delta Np73$ -Cre mice injected with 1.0×10^{12} GC/ml AAV8 showed robust reporter expression in \sim 45% of CR cells along the hippocampal fissure and \sim 40% of CR cells in the molecular layer of the infrapyramidal blade of the dentate gyrus (lower molecular layer). The identity of mCherry-expressing CR cells was further confirmed by immunostaining for the CR cell markers TRP73 and RELN [\(Fig. 5](#page-13-0)C). With this titer, viral transduction was restricted to CR cells in the hippocampus, as we did not observe reporter expression in any other hippocampal neurons such as DG granule neurons ([Fig. 5](#page-13-0)A,B, Extended Data [Fig. 5-2](https://doi.org/10.1523/ENEURO.0054-23.2023.f5-2)A). Slightly but significantly more robust CR cell

Figure 5. Neonatal intraventricular injection of adeno-associated virus induces efficient recombination events in hippocampal Cajal– Retzius cells in the $\Delta Np73$ -Cre mice. A, Schematic shows the experimental procedure and brain region of interest. The lateral ventricles of P0 ΔNp 73-Cre mouse pups were freehand injected with different titers of AAV serotype 8 carrying a Cre-dependent expression construct for the ChR2-mCherry fusion protein under the EFA promoter (AAV8/EF1 α -DIO-ChR2-mCherry). Injected mice were analyzed at P14. Three representative confocal images show the hippocampal fissure (HF) area after intraventricular injection of three titers of the AAV8 for comparison of transduction efficiency. Viral-mediated, Cre-dependent transgene expression is visualized by immunostaining for mCherry. Note the lack of transduction using the 1.0 \times 10¹¹ viral titer. Cre-independent spontaneous recombination events were observed in some cortical and hippocampal neurons in mice injected with the 1.0 \times 10¹³ titer (white arrows). Scale bars, 200 µm. **B**, Quantification of viral transduction efficiency in CR cells and recombination events in granule neurons. Data are presented as scatter plots with all data points shown and error bars representing \pm SD. Each data point is an individual animal, whereby three sections were measured for each animal. The total number of CR cells per animal analyzed along the hippocampal fissure of different animals were as follows: 1.0×10^{11} titer, $90-174$ cells; 1.0×10^{12} titer, $82-152$ cells; 1.0×10^{13} titer, $108-188$ cells. The total number of CR cells per animal analyzed in the molecular layer of the infrapyramidal blade of different animals were as follows: 1.0×10^{11} titer, 47–83 cells; 1.0 \times 10¹² titer, 39–82 cells; 1.0 \times 10¹³ titer, 42–77 cells. Statistical analyses were performed using nested one-way ANOVA with Tukey's post hoc test. **p < 0.01; ***p < 0.001; ***p < 0.0001. C, Immunostaining for TRP73, mCherry, and RELN in P14 1.0 \times 10¹² GC/ml virus-injected tissue confirmed that mCherry-expressing cells (yellow arrows) are CR cells. Some CR cells are not transduced as they do not express mCherry (white arrowheads). Scale bar, 20 μ m. GL, Granular layer; ML, molecular layer; SLM, stratum lacunosum-moleculare; CA1, Cornu Ammonis 1; CA3, Cornu Ammonis 3; loML, lower molecular layer (i.e., molecular layer of the infrapyramidal blade of the dentate gyrus). The images that illustrate the result using AAV/CAG-YFP are shown in Extended Data [Figure 5-1](https://doi.org/10.1523/ENEURO.0054-23.2023.f5-1). The images that show the specificity of our neonatal AAV injection approach in the hippocampus are provided in Extended Data [Figure 5-2.](https://doi.org/10.1523/ENEURO.0054-23.2023.f5-2) The data that demonstrate the absence of Cre-mediated recombination in the wild-type mice are shown in Extended Data [Figure 5-3.](https://doi.org/10.1523/ENEURO.0054-23.2023.f5-3)

transduction was observed along the hippocampal fissure (\sim 65%) and in the lower molecular layer (\sim 63%) in ΔNp 73-Cre pups injected with 1.0×10^{13} GC/ml virus. However, at such a high titer, we began to see occasional reporter

expression in DG granule neurons $(-1-3$ cells/section; [Fig.](#page-13-0) [5](#page-13-0)B, cell density calculation) and in CA1 neurons as well as other cortical neurons [\(Fig. 5](#page-13-0)A, white arrows). This could be because of Cre-independent spontaneous recombination of

Figure 6. Neonatal intraventricular injection of adeno-associated virus results in lasting and highly specific transgene expression in Cajal–Retzius cells. A, Representative images of a ΔNp 73-Cre mouse hippocampus 7 weeks post-neonatal (P0) injection with AAV8/ EF1a-DIO-ChR2-mCherry. Coimmunostaining with TRP73 and RELN indicates CR cells continue to express mCherry 7 weeks following the injection (yellow arrows). Some CR cells do not express mCherry (white arrowhead) because they were not transduced by the virus. Scale bars: top, 200 μ m; bottom, 20 μ m. B, Quantification of AAV-transduced CR cells (mCherry⁺ TRP73⁺) in 7-weekold wild-type (WT) and $\Delta Np73$ -Cre mice. C, Comparison of the number of mCherry⁺ granule neurons in P14 and 7-week-old

continued

∆Np73-Cre mice neonatally injected with AAV8/EF1a-DIO-ChR2-mCherry. D, Comparison of the number of recombination events in granule neurons and CR cell-targeting specificity of 7-week-old $\Delta Np73$ -Cre; LSL-tdTomato mice, $\Delta Np73$ -Cre mice neonatally injected with AAV8/EF1a-DIO-ChR2-mCherry, and $\Delta Np73$ -Cre mice neonatally injected with AAV8/hSyn-DIO-hM3D-mCherry. E, Representative images of the hippocampal fissure areas of P14 and 7-week-old wild-type and $\Delta Np73$ -Cre transgenic mice injected neonatally with AAV8/EF1a-DIO-ChR2-mCherry. Scale bars, 20 μ m. Quantification of the densities of TRP73⁺ CR cells are shown at the bottom. SLM, Stratum lacunosum-moleculare; HF, hippocampal fissure; ML, molecular layer; GL, granular layer; CA1, Cornu Ammonis 1; loML, lower molecular layer (i.e., molecular layer of the infrapyramidal blade of the dentate gyrus). Data are presented as scatter plots in B , C, and D with all data points shown and error bars representing \pm SD, and statistical analyses were performed using nested one-way ANOVA with Tukey's post hoc test. Each data point is an individual animal, whereby three sections were measured for each animal. Data are presented as summary data in E with mean \pm SD, and statistical analyses were performed using two-way ANOVA with Sidak test to correct for multiple comparisons. ***p < 0.001; ***p < 0.0001; n.s., not significant.

the viral vector [\(Fischer et al., 2019;](#page-21-30) Botterill et al., [2021](#page-21-31)). To test this, we injected wild-type mice with AAV/DIO-ChR2 at a titer of 1.0 \times 10¹³ GC/ml and analyzed them at P14. Our data show that recombination events in the wild-type mice, which were Cre independent, were significantly fewer than those in the $\Delta Np73$ -Cre mice, which could be both Cre dependent and Cre independent (Extended Data [Fig. 5-3](https://doi.org/10.1523/ENEURO.0054-23.2023.f5-3)A, [C](https://doi.org/10.1523/ENEURO.0054-23.2023.f5-3),D). This suggests that both Cre-dependent and Cre-independent recombination events may occur when viral titers are used at 1.0 \times 10¹³ GC/ml. Additionally, two of the five pups injected with 1.0 \times 10¹³ GC/ml virus were runty and reached humane endpoint by P14, while animals injected with lower titers appeared healthy until at least 7 weeks of age. Therefore, we opted to use the 1.0 \times 10¹² GC/ml titer of the virus for the remainder of the study. Overall, our data demonstrate the specificity and effectiveness of neonatal intracerebroventricular injections of AAVs in transducing CR cells in the hippocampus.

Neonatal intraventricular injection of Cre-dependent adeno-associated virus confers specificity in Cajal– Retzius cells in the adult hippocampus

We next assessed whether neonatal intracerebroventricular injections of Cre-dependent AAV could impose sufficient temporal specificity that overcomes excessive recombination in postnatal DG granule neurons in the Δ Np73-Cre mice. To this end, animals were injected intraventricularly at P0 with 1.0×10^{12} GC/ml AAV8/DIO-ChR2 and allowed to age to 7 weeks of age. Our analysis showed that \sim 56% of CR cells expressed the mCherry reporter at this age [\(Fig. 6](#page-14-0)A,B, Extended Data [Fig. 5-3](https://doi.org/10.1523/ENEURO.0054-23.2023.f5-3)B,C), confirming robust viral transduction. Importantly, recombination events in DG granule neurons were limited: of the 10 animals that we examined, 3 exhibited no recombination in granule neurons, while the other 7 animals had a limited number of recombined granule neurons ([Fig. 6](#page-14-0)C). Compared with similarly injected mice at P14, there was a trend toward more recombined granule neurons at 7 weeks of age, but this did not reach significance. Nonetheless, in 7-week-old $\Delta Np73$ -Cre mice injected with AAV8/DIO-ChR2 at P0, the number of granule neurons with recombination events is significantly less than that in 7-week-old ΔNp73-Cre; LSL-tdTomato mice, resulting in higher CR cell-targeting specificity ([Fig. 6](#page-14-0)D). This demonstrates that our approach of neonatal intracerebroventricular AAV injection into the $\Delta Np73$ -Cre pups effectively limits recombination events in granule neurons in adult mice.

CR cells are known to undergo massive cell death in early postnatal weeks ([Causeret et al., 2021\)](#page-21-0). We next assessed whether viral transduction or transgene expression would alter the developmental programmed cell death of CR cells by comparing wild-type and ΔNp 73-Cre mice injected with the AAV. We analyzed the numbers of TRP73⁺ RELN⁺ CR cells (total CR cells) along the hippocampal fissure and in the lower molecular layer at P14, during the time window of massive CR cell death and at 7 weeks of age, when CR cell death has subsided [\(Anstötz](#page-20-3) [et al., 2018a\)](#page-20-3). We did not find a significant genotype and age interaction in our analyses for CR cells in either area [\(Fig. 6](#page-14-0)E). These data suggest that AAV transduction does not significantly alter physiological programmed cell death of CR cells. Altogether, our data validate the utility of neonatal intracerebroventricular injection of Cre-dependent AAV as a tool to specifically manipulate CR cells in the adult hippocampus with minimal recombination in DG granule neurons.

Neonatal intraventricular injection of adenoassociated virus facilitates neural activity modulation of Cajal–Retzius cells in the adult hippocampus

One potential application for specific genetic manipulation in adult CR cells is to manipulate their neural activity and determine the effects on neural circuits and animal behavior. We therefore undertook a proof-ofprinciple study to determine whether neonatal intracerebroventricular AAV injection into the $\Delta Np73$ -Cre mice is suitable for this application. Neural activity modulation may be achieved using either optogenetics or chemogenetics. While optogenetics has a localized effect because of the limits of light delivery, chemogenetics is often used for broad or brain-wide targeting [\(Vlasov et](#page-22-8) [al., 2018\)](#page-22-8). As CR cells are widely distributed throughout the adult hippocampus, we decided to use chemogenetics to activate these cells. To this end, $\Delta Np73$ -Cre P0 pups were injected intraventricularly with 1.0×10^{12} GC/ ml AAV8 carrying a Cre-dependent expression construct of hM3D(Gq)-mCherry fusion protein driven by the neuronal promoter hSyn [AAV8/hSyn-DIO-hM3D-mCherry (or AAV8/DIO-hM3D for short)]. At P14, \sim 66% of CR cells along the hippocampal fissure and \sim 59% of CR cells in the lower molecular layer expressed the mCherry reporter [\(Fig. 7](#page-16-0)A,B, Extended Data [Fig. 7-1](https://doi.org/10.1523/ENEURO.0054-23.2023.f7-1)A). Robust mCherry reporter expression persisted in 7-week-old $\triangle Np73$ -Cre mice injected neonatally with AAV8/DIO-hM3D ([Fig. 7](#page-16-0)C,D,

Figure 7. Neonatal intraventricular injection of AAV8/hSyn-DIO-hM3D-mCherry results in robust and highly specific transgene expression in Cajal–Retzius cells. A, Neonatal ΔNp 73-Cre pups were injected with adeno-associated virus serotype 8 carrying a Credependent expression construct for the hM3D-mCherry fusion protein under the hSyn promoter (AAV8/hSyn-DIO-hM3D-mCherry) and brain tissue analyzed at P14. mCherry-expressing cells also express TRP73 and RELN, confirming their identity as CR cells (yellow arrows). Some CR cells do not express mCherry as they were not transduced by the injected AAV8 (white arrowhead). Scale bars: top, 200 μ m; bottom, 20 μ m. **B**, Comparison of viral transduction efficiency in CR cells at P14 of the two different viral constructs (AAV8/EF1a-DIO-ChR2-mCherry and AAV8/hSyn-DIO-hM3D-mCherry). C, Reporter expression persists for 7 weeks after neonatal injection. A representative image of a ΔNp 73-Cre mouse hippocampus 7 weeks post injection with AAV8/hSyn-DIO-hM3D m Cherry is shown. Scale bar, 200 μ m. D, Comparison of viral transduction efficiency in CR cells at 7 weeks of age of the two different viral constructs (AAV8/EF1a-DIO-ChR2-mCherry and AAV8/hSyn-DIO-hM3D-mCherry). E, Comparison of the number of mCherry⁺ granule neurons in P14 and 7-week-old $\Delta Np73$ -Cre mice neonatally injected with AAV8/hSyn-DIO-hM3D-mCherry. F, Quantification of the densities of TRP73⁺ CR cells in P14 and 7-week-old wild-type or $\Delta Np73$ -Cre mice neonatally injected with AAV8/hSyn-DIO-hM3D-mCherry. SLM, Stratum lacunosum-moleculare; HF, hippocampal fissure; ML, molecular layer; GL, granular layer; CA1, Cornu Ammonis 1; loML, lower molecular layer (i.e., molecular layer of the infrapyramidal blade of the dentate gyrus). Data are presented in B , D , and E as scatter plots with all data points shown and error bars representing \pm SD; statistical analyses were performed using nested t tests. Each data point is an individual animal, whereby three sections were measured for each animal. Data are presented as summary data in F with mean \pm SD, and statistical analyses were performed using two-way ANOVA with Sidak test to correct for multiple comparisons. **p < 0.01; ***p < 0.001; ****p < 0.0001. The data that demonstrate the absence of Cre-mediated recombination in the wild-type mice are shown in Extended Data [Figure 7-1.](https://doi.org/10.1523/ENEURO.0054-23.2023.f7-1) Analyses of the effect of sex on AAV transduction efficiency are provided in Extended Data [Figure 7-2](https://doi.org/10.1523/ENEURO.0054-23.2023.f7-2).

Figure 8. Chemogenetic activation of Cajal–Retzius cells in the adult hippocampus. A, Schematic of the experimental procedures. B, In 7-week-old mice neonatally injected with AAV8/hSyn-DIO-hM3D-mCherry, treatment of CNO results in activation of TRP73⁺ CR cells that express the chemogenetic receptor hM3D-mCherry, indicated by c-Fos immunoreactivity (yellow arrows). A CR cell with hM3D-mCherry expression but is not activated (mCherry⁺, c-Fos⁻) is indicated by the yellow arrowhead. A CR cell that does not express the hM3D receptor and is not positive for c-Fos is indicated by the white arrowhead. Scale bars, $20 \mu m$. C, Quantification of the percentage of different populations of c -Fos⁺ CR cells of mCherry⁺ or total CR cells. In each of the saline-injected control mice, 69–91 total CR cells were analyzed along the hippocampal fissure and 37–43 total CR cells were analyzed in the lower molecular layer. In each of the CNO-injected mice, 74–91 total CR cells were analyzed along the hippocampal fissure and 39–46 total CR cells were analyzed in the lower molecular layer. Data are presented as scatter plots with all data points shown and error bars representing \pm SD. Each data point is an individual animal, whereby three sections were measured for each animal. Statistical analyses were performed using nested t test or nested one-way ANOVA with Tukey's post hoc test. ****p < 0.0001. The data that demonstrate the absence of CR cell activation in the wild-type mice are shown in Extended Data [Figure 8-1](https://doi.org/10.1523/ENEURO.0054-23.2023.f8-1).

AAV8/EF1α-DIO-ChR2-mCherry $\triangle Np73$ -Cre: P0 \rightarrow P14

Figure 9. Effects of neonatal intraventricular adeno-associated virus injection on $\Delta Np73$ -lineage cells in the neocortex. $\Delta Np73$ -Cre pups injected at P0 with AAV8/EF1a-DIO-ChR2-mCherry were analyzed for mCherry expression at 14 d (P14, top) or 7 weeks (bottom) post injection. Coimmunostaining of TRP73 and RELN identifies neocortical Cajal–Retzius cells that express mCherry (yellow arrows). Neocortical Cajal–Retzius cells are only found in layer 1 (L1). They are very sparse at P14 and almost completely disappear by 7 weeks of age. Scale bars, $30 \mu m$.

Extended Data [Figs. 5-2](https://doi.org/10.1523/ENEURO.0054-23.2023.f5-2)B, [7-1](https://doi.org/10.1523/ENEURO.0054-23.2023.f7-1)B). Compared with our results using the AAV8/DIO-ChR2, the AAV8/DIO-hM3D virus transduced a significantly higher proportion of CR cells at both ages examined ([Fig. 7](#page-16-0)B,D, Extended Data [Fig. 7-2](https://doi.org/10.1523/ENEURO.0054-23.2023.f7-2)), suggesting that the hSyn promoter may be more robust than the EFA promoter for driving transgene expression in hippocampal CR cells.

At P14, only one of the six $\Delta Np73$ -Cre mice injected with AAV8/DIO-hM3D showed recombination in DG granule neurons (one cell in one of three sections examined). This became more prevalent in mice at 7 weeks of age [\(Fig. 7](#page-16-0)E), indicating that recombination in granule neurons still occurred using our approach. However, similar to our findings using the AAV8/DIO-ChR2, the number of recombined granule neurons in 7-week-old AAV8/DIOhM3D-injected mice is significantly lower, while the CR cell-targeting specificity is significantly higher, than that in the ΔNp 73-Cre; LSL-tdTomato mice of the same age [\(Fig.](#page-14-0) [6](#page-14-0)D), again demonstrating that neonatal intracerebroventricular AAV injection into the $\Delta Np73$ -Cre pups effectively limits recombination events in granule neurons even in adult mice. We further determined that AAV8/DIO-hM3D transduction did not significantly alter the physiological cell death dynamics of CR cells ([Fig. 7](#page-16-0)F).

To test the potential application of activity modulation in CR cells, we intraperitoneally injected CNO to activate the hM3D receptors ([Fig. 8](#page-17-0)A), which leads to G-protein-coupled receptor signaling, intracellular calcium release, and neuronal excitation ([Armbruster et al., 2007](#page-21-32)). We quantified the percentage of CR cells with c-Fos expression as a surrogate for neural activity [\(Fig. 8](#page-17-0)B). In saline-injected controls, we did not find any c-Fos expression in nontargeted (i.e., hM3D-mCherry–) CR cells, while only a few targeted (i.e., hM3D-mCherry⁺) CR cells exhibited c-Fos expression [\(Fig.](#page-17-0) [8](#page-17-0)C, Extended Data [Fig. 8-1](https://doi.org/10.1523/ENEURO.0054-23.2023.f8-1)). This suggests that adult hippocampal CR cells were inactive under the specific conditions of our experiment and that CR cells expressing hM3D are not activated without CNO. Indeed, CNO administration led to a dramatic increase in the number of h M3D-mCherry⁺ CR cells with c-Fos immunoreactivity, with 55–60% hM3DmCherry-expressing CR cells, which was \sim 35% of the total CR cells, being activated along the hippocampal fissure and in the lower molecular layer ([Fig. 8](#page-17-0)C). Our data thus provide proof-of-principle support for neonatal intracerebroventricular AAV injection into $\triangle Np73$ -Cre mice as a strategy to manipulate CR cell activity in the adult hippocampus.

Discussion

CR cells are important organizers of cortical development in the embryonic brain, but their persistence in the adult brain, especially the hippocampus, has largely been ignored [\(Anstötz et al., 2018a](#page-20-3); [Causeret et al., 2021\)](#page-21-0). This conceptual bias, adding to the lack of suitable technical tools to specifically manipulate adult CR cells, contributes to the paucity of information on their function in the adult brain circuits and their influence on behavior. In this study, we show surprisingly high levels of recombination events in postnatal DG granule neurons in the ΔNp 73-Cre genetic crosses to reporter lines. Seeking to restrict Cre-

Figure 10. Effects of neonatal intraventricular adeno-associated virus injection on $\Delta Np73$ -lineage cells in the third ventricle and choroid plexus. A, Wild-type or $\Delta Np73$ -Cre pups injected at P0 with AAV8/EF1a-DIO-ChR2-mCherry at different titers were analyzed for mCherry expression at P14. At 1.0 \times 10¹³ viral titer, Cre-independent recombination was evident in the wild-type mice (white arrowheads). Additionally, at 1.0 \times 10¹³ GC/ml viral titer, choroid plexus epithelial cells in the third ventricle (3V) of the $\triangle Np73$ -Cre mice were also transduced, evident from their mCherry expression (yellow arrows). Transduction of epithelial cells is not detectable at the 1.0 \times 10¹² AAV titer. Scale bars, 300 µm. **B**, Wild-type or ΔNp 73-Cre pups injected at P0 with AAV8/EF1a-DIO-ChR2mCherry or AAV8/hSyn-DIO-hM3D-mCherry at 1.0×10^{12} GC/ml viral titer were analyzed for mCherry expression at 7 weeks postinjection. Reporter expression is absent from ependymal and choroid plexus cells.

mediated recombination to CR cells, we develop a strategy that harnesses the efficiency of neonatal intracerebroventricular injection of viral vectors and the temporary specificity of the $\Delta Np73$ -Cre driver line. Our method is easy to implement, efficient, and versatile, offering a starting point for a wide range of experiments into CR cell function in the postnatal and adult hippocampus.

Our genetic crosses of the $\Delta Np73$ -Cre driver to reporter lines reveal unexpected recombination events in postnatal DG granule neurons. Because these recombination events vary substantially between mice and even within a single mouse, they are likely spontaneous events, rather than the $\Delta Np73$ promoter being turned on when DG granule neurons become mature. However, we cannot rule out the possibility that the $\Delta Np73$ promoter becomes active because of certain neural activity or dynamic cellular events during postnatal and/or adult hippocampal neurogenesis, resulting in Cre expression and recombination. Future studies using more animals could examine the effects of sex, housing conditions, handling, and animal behaviors on \triangle Np73-Cre-mediated recombination in granule neurons. Nonetheless, our findings highlight the need for careful assessment of unwanted recombination events in genetic crosses of Cre driver lines to ensure that experimental results are generated from the intended genetic manipulation. Whether recombination outside of CR cells will confound

result interpretation should be evaluated on a case-by-case basis with consideration of the potential contribution of each cell type. While lineage-tracing studies might tolerate erroneous recombination, where additional protein markers and morphologic and/or location information are available to aid in the interpretation of results, studies involving circuit manipulation or animal behavior may be less forgiving. For example, neural activity manipulation in adult $\triangle Np73$ -Cre mice that harbor opsins or chemogenetic receptors through genetic crosses will inevitably activate both CR cells and DG granule neurons. As CR cells modulate the hippocampal microcircuits [\(Quattrocolo and](#page-21-25) [Maccaferri, 2014](#page-21-25); [Anstötz et al., 2016,](#page-20-2) [2018b](#page-20-4), [2022\)](#page-21-3) and DG granule neurons control hippocampal information processing [\(Jonas and Lisman, 2014](#page-21-33)), it may be difficult to tease apart the relative contributions of the two cells types if both are manipulated simultaneously. However, with our neonatal AAV injection approach, it is now possible to selectively activate or silence adult hippocampal CRs with limited effects on granule neurons.

Our study focuses on the $\Delta Np73$ -Cre line, because of its popularity in CR cell research ([Tissir et al., 2009](#page-22-3); [Ledonne et al., 2016;](#page-21-34) [Riva et al., 2019;](#page-21-35) [Anstötz et al.,](#page-21-3) [2022](#page-21-3); [Genescu et al., 2022](#page-21-4)) and our data showing its high specificity compared with the *Wnt3a-Cre* line. However, the homozygous $\Delta Np73$ -Cre mouse model results in

knockout of $\Delta Np73$ and ablation of $\Delta Np73$ -lineage CR cells in the brain ([Tissir et al., 2009](#page-22-3)). In our study, we used only the hemizygous $\Delta Np73$ -Cre mice, which do not demonstrate CR cell loss ([Tissir et al., 2009\)](#page-22-3) and present with similar CR cell densities in the hippocampus compared with wild-type mice. Moreover, while our study focuses only on the postnatal and adult hippocampus, we recognize that CR cells in the neocortex are also derived from the $\Delta Np73$ lineage ([Ledonne](#page-21-34) [et al., 2016](#page-21-34); [Riva et al., 2019;](#page-21-35) [Genescu et al., 2022\)](#page-21-4); therefore, our strategy will also transduce neocortical CRs. While very few neocortical CRs are present in the adult brain [\(Fig. 9\)](#page-18-0), this is an important consideration when designing experiments, especially if the contribution of the few remaining neocortical CRs may lead to misinterpretation of results. Furthermore, choroid plexus and ependymal cells also belong to the $\Delta Np73$ lineage ([Tissir et al., 2009](#page-22-3); [Marshall et al.,](#page-21-36) [2016](#page-21-36)). In our hands, while the 1.0 \times 10¹³ GC/ml viral titer did result in reporter expression within these cells, the lower 1.0 \times 10¹² GC/ml titer did not [\(Fig. 10](#page-19-0)), suggesting that careful titration of the AAV vector can reduce and even eliminate Cremediated recombination events in these cells.

Toward the goal of genetic manipulation of postnatal and adult CR cells, we adapted a previously developed neonatal intracerebroventricular injection method to introduce AAV vectors into the brain. Alternatively, AAVs can be introduced via stereotaxic injections. In a recent study, stereotaxic neonatal AAV injection was used to selectively ablate postnatal hippocampal CR cells in the Pde1c-Cre mice [\(Glærum et al., 2022\)](#page-21-10). Compared with our fast and easy freehand neonatal injection method, stereotaxic neonatal injection is more labor intensive and time consuming, resulting in processing fewer animals per day, thereby increasing day-to-day variability. Stereotaxic neonatal injection also requires specialized surgery and injection equipment, and thus more technical training. While neonatal intracerebroventricular injection offers brain-wide transduction of the viral vector, the transduction pattern from stereotaxic injection is more localized, limiting to areas adjacent to the injection site ([Kim](#page-21-22) [et al., 2013](#page-21-22)). As CR cells are broadly distributed throughout the hippocampus, stereotaxic AAV injection may only transduce CR cells in a specific subregion of the hippocampus. However, such localized delivery may increase the local viral titer and allow for better control of the effective viral titer, which may explain the slightly higher transduction efficiency $(-70%)$ in the [Glærum et al. \(2022\)](#page-21-10) study compared with our results $(\sim 50\%)$. However, this difference could also be because of the use of different Cre driver lines (Pde1c-Cre vs $\Delta Np73$ -Cre), which may have slightly different Cre expression levels and hence recombination efficiency in CR cells. Future studies applying our approach to the Pde1c-Cre mice or performing stereotaxic neonatal brain injections into the $\triangle Np73$ -Cre mice will provide a better comparison between the efficiencies of the two techniques and the two Cre-driver lines. In addition to neonatal AAV injection into Cre-driver mice, it may also be possible to genetically manipulate CR cells in the adult brain using the inducible Cre/ ERT2 system, whereby Cre is activated after tamoxifen treatment ([Metzger et al., 1995](#page-21-37); [Schwenk et al., 1998\)](#page-22-9). The Fzd10-Cre/ERT2 line shows inducible Cre-mediated recombination in CR cells during embryonic development ([Metzger et al., 1995](#page-21-37); [Schwenk et al., 1998\)](#page-22-9). However, its specificity for CR cells in the postnatal and adult brain has not been characterized, and therefore, its utility remains to be tested.

A limitation of our approach is that we only achieve Cremediated recombination in \sim 50% of postnatal CR cells. This may limit the usefulness of our approach in conditional gene knock-out studies, both because of its relatively low efficiency and because the volume of the region transduced by the AAV may vary. Whether a \sim 50% recombination rate is sufficient for gene overexpression, lineage tracing, circuit manipulation, and behavioral analysis should be evaluated on a case-by-case basis. In our hands, increasing the viral titer by 10-fold significantly increased viral transduction efficiency but led to increased animal morbidity and spontaneous recombination of the viral construct in DG granule neurons. Further optimization may be needed by using different Cre-driver lines and/or other AAV serotypes. Future studies may adapt our strategy to study the role of CR cells in the adult hippocampus via optogenetic and chemogenetic approaches. Previous studies have only stimulated CR cells optogenetically in ex vivo tissue [\(Quattrocolo and Maccaferri, 2014](#page-21-25); [Riva et al., 2019;](#page-21-35) [Anstötz et al., 2022](#page-21-3)), or chemogenetically in vivo at early postnatal age from P1–P3 ([Genescu et al.,](#page-21-4) [2022](#page-21-4)). As a result, we know very little about the functions of CR cells in the adult brain circuit and animal behavior. Of note, we found that few CR cells in the adult hippocampus express c-Fos under basal condition, suggesting that they are inactive under the specific conditions of our experiment. Because of this low baseline activity, activating even a small number of CR cells using our approach may impact the hippocampal circuit and animal behavior. While optogenetic and chemogenetic manipulation of adult CR cells followed by behavioral studies is beyond the scope of our study, our framework offers a starting point for others to recognize the persistence of CR cells in the adult hippocampus and begin to address their functions.

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