Mapping the topography of spatial gene expression with interpretable deep learning

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Abstract

Spatially resolved transcriptomics technologies provide high-throughput measurements of gene ex-11 pression in a tissue slice, but the sparsity of this data complicates the analysis of spatial gene expression 12 patterns such as gene expression gradients. We address these issues by deriving a topographic map of a 13 tissue slice—analogous to a map of elevation in a landscape—using a novel quantity called the *isodepth*. 14 Contours of constant isodepth enclose spatial domains with distinct cell type composition, while gra-15 dients of the isodepth indicate spatial directions of maximum change in gene expression. We develop 16 GASTON, an unsupervised and interpretable deep learning algorithm that simultaneously learns the 17 isodepth, spatial gene expression gradients, and piecewise linear functions of the isodepth that model 18 both continuous gradients and discontinuous spatial variation in the expression of individual genes. 19 We validate GASTON by showing that it accurately identifies spatial domains and marker genes across 20 several biological systems. In SRT data from the brain, GASTON reveals gradients of neuronal differen-21 tiation and firing, and in SRT data from a tumor sample, GASTON infers gradients of metabolic activity 22 and epithelial-mesenchymal transition (EMT)-related gene expression in the tumor microenvironment. 23

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24 **1** Introduction

Gene expression varies substantially across a tissue, due to both the spatial organization of cell types 25 within a tissue and localized changes in cell state through processes such as development, differentiation, 26 and intercellular communication [160]. Many genes display sharp, discontinuous changes in expression 27 in certain areas of a tissue, often near the boundaries of distinct spatial domains containing different com-28 binations of cell types. For example, different cortical and neocortical layers of the brain are distinguished 29 by the presence and absence of expression of certain marker genes [124, 96]. Gene expression may also 30 vary continuously in a tissue, forming gene expression "gradients" that distinguish different cell types or 31 states and drive fundamental biological processes including development [6, 55, 48, 117] and cellular com-32 munication [148, 138]. For instance, gene expression gradients underlie the functional heterogeneity of 33 neurons in the hippocampus [160, 21] and hepatocytes in individual liver lobules [9, 25]. In tumors, gene 34 expression may vary continuously with the distance to the surrounding stroma due to oxygen gradients 35 or cellular interactions [125, 12]. 36 Spatially resolved transcriptomics (SRT) technologies produce high-throughput measurements of spa-37

tial gene expression, quantifying the number of RNA transcripts at thousands in a tissue slice [93, 88, 38 101, 111, 134, 139]. These SRT technologies enable the inference of spatial domains in tissues as well as 39 the identification of genes and cell types with continuous and discontinuous spatial patterns of expres-40 sion within and across spatial domains. However, SRT technologies typically yield sparse measurements 41 of the transcriptome: current whole-transcriptome sequencing-based technologies [1, 116, 127, 22, 78] 42 have limited coverage (\approx 500-5,000 unique molecular identifiers (UMIs) per location) while imaging-based 43 technologies measure a much smaller and targeted panel of transcripts (typically 100-1,000 transcripts) 44 [61, 143, 162, 91, 52]. This sparsity markedly complicates the analysis of spatial gene expression. 45

Numerous computational approaches have been developed to identify spatial domains and/or genes 46 with spatially varying expression from SRT data. These methods typically leverage correlations between 47 expression measurements at nearby spatial locations to overcome the sparse measurements at individual 48 locations. Many methods focus on the identification of distinct spatial domains by partitioning tissues into 49 subregions having large, discontinuous changes in gene expression, e.g. [168, 58, 32, 104, 81, 153, 76, 167, 50 53], but do not model continuous gene expression gradients within these regions. Several other meth-51 ods instead test whether the expression of an individual gene varies spatially by fitting a function to the 52 observed transcript counts at spatial locations [132, 130, 171, 18, 145]. However, these methods cannot dis-53 tinguish continuous gradients within spatial domains from discontinuous changes in expression between 54 domains. More generally, neither approach models the geometry of a tissue slice using a coordinate system 55 that describes both the boundaries of spatial domains and the *relative* position of spatial locations within 56 these domains, thus greatly limiting their ability to identify continuous gradients of gene expression. 57

We introduce gene expression topography, a fundamentally different approach to modeling spatial vari-58 ation in gene expression. We derive a "topographic map" of a tissue slice using the isodepth, a 1-dimensional 59 coordinate over the tissue slice which describes both the arrangement of spatial domains and the relative 60 position of each spatial location within its corresponding spatial domain. Thus, just as the topographic 61 map of a landscape demarcates mountains and valleys by their elevation, our topographic map of gene 62 expression delineates spatial domains by their isodepth. Moreover, like the elevation of a landscape, the 63 isodepth varies continuously over a tissue slice, providing a coordinate to model continuous variation in 64 the expression of individual genes. In particular, our topographic map describes gene expression gradients, 65 similar to how a topographic map of elevation shows whether a direction is a steep ascent or a flat plateau. 66 We develop Gradient Analysis of Spatial Transcriptomics Organization with Neural networks (GASTON). 67 an unsupervised and interpretable deep neural network algorithm that learns the isodepth of a tissue slice, 68 the vector field of spatial gradients of gene expression, and spatial expression functions for individual genes 69 directly from SRT data. In particular, GASTON models gene expression as a piecewise linear function of 70

⁷¹ the isodepth, thus describing both continuous gradients and sharp discontinuities in gene expression. We

⁷² demonstrate that the isodepth and spatial gradients learned by GASTON reveal the geometry and continu-

⁷³ ous gene expression gradients of multiple tissues across multiple SRT technologies including 10x Genomics

⁷⁴ Visium [1], Slide-SeqV2 [116, 127], and Stereo-Seq [22]. On SRT data from the mouse and human brain,

⁷⁵ we show that GASTON more accurately identifies spatial domains and marker genes compared to exist-

⁷⁶ ing methods, derives maps of spatial variation in cell type organization, and uncovers spatial gradients

⁷⁷ of neuronal firing and differentiation. Using SRT data from a colorectal tumor sample, we demonstrate

that GASTON identifies gradients of metabolic activity in the tumor interior, and gradients of epithelial-

⁷⁹ mesenchymal transition (EMT)-related gene expression at the tumor-stroma boundary.

80 2 Results

81 2.1 GASTON learns the topography of a tissue slice using interpretable deep learning

We introduce the *isodepth d*, a scalar quantity that models the *"topography"* of a tissue slice and is analogous 82 to the elevation in a topographic map of a land surface. A small number of contours of equal isodepth d83 partition the tissue slice into spatial domains, while the intermediate isodepth contours define the relative 84 position of a location within a domain. Moreover, the gradient ∇d of the isodepth d at each location 85 describes the spatial gradient, or the direction of maximum change in gene expression within each spatial 86 domain. The collection of spatial gradients defines a spatial transcriptomic vector field $\mathbf{v}(x, y)$ across the 87 tissue slice T (Figure 1A). Thus, the isodepth describes the geometry of a tissue slice, i.e. the arrangement 88 of distinct spatial domains in the tissue, as well as directions of continuous variation within each spatial 89 domain (Methods). 90 To learn the isodepth d from spatially resolved transcriptomics (SRT) data, we develop Gradient Anal-91 ysis of Spatial Transcriptomics Organization with Neural networks (GASTON). GASTON models the ex-92

⁹³ pression $f_g(x, y)$ of each gene g at spatial location (x, y) as a *piecewise linear* function of the isodepth ⁹⁴ d(x, y):

$$f_g(x,y) = \sum_{p=1}^{P} (\alpha_{p,g} + \beta_{p,g} \cdot d(x,y)) \cdot 1_{\{(x,y) \in R_p\}},\tag{1}$$

where the pieces R_1, \ldots, R_P are spatial domains, and $\alpha_{p,q}$ and $\beta_{p,q}$ are the *y*-intercept and slope, respectively, 95 in the pth spatial domain R_p . We use piecewise linear functions as they are a simple class of models 96 that incorporates both continuous variation in gene expression within each domain, i.e. "gradients" of 97 expression, while allowing for discontinuities in expression at the boundaries of the spatial domains. The 98 boundaries of each spatial domain R_p are given by contours of equal isodepth d(x, y) (Methods). We 99 emphasize that our model does not restrict the spatial domains R_p to be contiguous regions; thus, GASTON 100 is able to model *long-range* spatial correlations in gene expression [101], in contrast to many existing 101 approaches that only model local spatial correlations (Methods). 102

GASTON jointly learns the isodepth d and piecewise linear gene expression functions f_q in a com-103 pletely unsupervised manner using an interpretable deep learning model. Specifically, GASTON trains 104 a neural network to learn a composite function $f \circ d(x, y)$ from spatial coordinates to gene expression 105 features, where the isodepth d(x, y) corresponds to an interpretable hidden layer of the network (Figure 106 1B). GASTON then uses segmented regression [83, 3, 7] to learn the spatial domains R_p , as well as the 107 parameters α , β of the piecewise linear expression functions f_q for each gene q. We demonstrate below 108 that GASTON's interpretable approach uncovers meaningful spatial domains (Figure 1C), and continuous 109 gradients and discontinuities in gene expression (Figure 1D) and cell type composition (Figure 1E) across 110 a wide range of SRT technologies and biological systems including the brain and the tumor microenviron-111 ment (Figure 1F). 112



Figure 1: **GASTON**, an interpretable deep neural network, learns the topography of a tissue. (A) GASTON takes in spatially resolved transcriptomics (SRT) data from a tissue slice and outputs the *isodepth*, a coordinate describing a *topographic map* of the tissue slice, with contours of constant isodepth in gray and spatial gradients shown as streamlines. (B) GASTON trains a deep neural network to predict gene expression from spatial coordinates, where the isodepth is the value of an *interpretable* hidden layer of the trained neural network. The isodepth learned by GASTON enables many downstream tasks including: (C) identification of *spatial domains*, or tissue regions characterized by different cell type composition and gene expression patterns; (D) identification of genes with continuous gradients and/or discontinuous variation in expression as a function of isodepth; (E) modeling of variation in cell type composition as a function of isodepth; and (F) analysis of continuous gene expression gradients in the tumor microenvironment.

113 2.2 GASTON recapitulates spatial organization in mouse and human brain slices

We first used GASTON to learn the isodepth *d* and the spatial gradients ∇d in a tissue slice from the mouse cerebellum where the expression of 23,096 transcripts at 9,985 spatial locations was measured using the Slide-SeqV2 platform [116, 127]. The learned isodepth *d* provides a "topographical map" of the layered geometry of the cerebellum, including the boundaries of distinct layers of the cerebellum, with the depth within each layer scaled to approximate μ m (Figure 2A, Methods). The spatial expression gradients ∇d are perpendicular to the cerebellar layers (contours of constant isodepth) and indicate the spatial direction of maximum change in gene expression.

GASTON divides the tissue into four contiguous spatial domains, which are visually consistent with 121 the four distinct layers of the cerebellum - the oligodendrocyte layer, the granular layer, the Purkinje-122 Bergmann layer, and the molecular layer - that were identified in prior imaging studies [116, 26] and SRT 123 analyses [116, 19, 18] (Figure 2B). We compared the spatial domains learned by GASTON to those identified 124 by Non-negative Spatial Factorization (NSF) [135], SpaGCN [58], and SpiceMix [24] (Figure 2C-E), three 125 recent methods that showcase the major approaches currently used to model local spatial correlations in 126 spatial transcriptomics data: Gaussian processes (GPs), graph convolutional networks (GCNs), and hidden 127 Markov random fields (HMRFs), respectively. We observed that GASTON's spatial domains have much 128 larger spatial coherence [159] compared to the other methods (Figure 2F), showing that the domains iden-129 tified by GASTON better align with the structured geometry of the cerebellum [140]. Next, we compared 130 the spatial domains to the cell types reported in the original publication of the data (Figure 2G). These cell 131 types were obtained from RCTD [19], a method which performs cell type deconvolution using a reference 132 scRNA-seq dataset and does not take spatial information into account. The GASTON, SpaGCN, and NSF 133 spatial domains have similar agreement with the cell types inferred by RCTD and with each other, while 134 the SpiceMix spatial domains have low agreement with the RCTD cell types and the other methods (Figure 135 2H). These results demonstrate that the global model of spatial variation used in GASTON identifies more 136 spatially coherent spatial domains than existing methods while still preserving cell type information. 137 A key distinguishing feature of GASTON is that it learns the isodepth *d*, which provides a coordinate 138 to analyze the continuous variation in cell types within and across the layers of the cerebellum. Such 139 continuous variation is not modeled by the three methods above nor by the numerous other methods that 140 divide a tissue slice into spatial domains, e.g. [168, 32]. We find that the proportion of cell types varies 141 considerably as a function of the isodepth (Figure 2I). First, we observe that oligodendrocytes and granule 142

cells have large and nearly constant proportion throughout the range of isodepth d that corresponds to the named layers. Moreover, there is a sharp transition in proportion at the isodepth value that GASTON marks as the boundary between these layers, indicating that the learned isodepth d and spatial domains are accurately separating the oligodendrocyte and granule layers.

In contrast, the proportion of Purkinje cells and Bergmann glia exhibit spatial variation with the 147 Purkinje-Bergmann layer. Purkinje cells are concentrated at the start of the layer (small isodepth), while 148 the Bergmann glia peak in proportion inside the layer and are present over a wider range of isodepths 149 (Figure 2J). These results agree with prior imaging and microscopy-based studies which show that Purk-150 inje cells form a "monolayer" in the cerebellum, i.e. a layer with single-cell depth [170, 126, 13] while the 151 Bergmann glia do not form a monolayer but are more diffusely spread out across the Purkinje-Bergmann 152 layer [5, 72]. Interestingly, previous studies have found that the Bergmann glia form a monolayer during 153 the development of the cerebellum [68, 54], and thus the observed arrangement of Bergmann glia here 154 could indicate that the spatial arrangement of Bergmann glia changes after development. We also observe 155 that the Bergmann glia are closer to the molecular layer of the cerebellum compared to Purkinje cells, 156 which agrees with previous studies on cerebellar organization [115]. 157

¹⁵⁸ We emphasize that GASTON learns the isodepth *de novo* and in an unsupervised manner. In contrast, ¹⁵⁹ existing approaches for learning depth or depth-like measurements either require prior anatomical knowl-



Figure 2: **Spatial gradients learned by GASTON recapitulate the spatial organization of the mouse cerebellum. (A)** The isodepth d(x, y) and spatial expression gradients ∇d , shown as streamlines, learned by GASTON on Slide-SeqV2 data from the mouse cerebellum [18]. Gray curves denote contours of equal isodepth. (**B-E**) Spatial domains (layers) R_1, \ldots, R_4 identified using (**B**) GASTON, (**C**) Non-negative Spatial Factorization (NSF), (**D**) SpaGCN, and (**E**) SpiceMix. The spatial domains are colored according to the most prevalent RCTD cell types in the domain. (**F**) Spatial coherence score of spatial domains identified by each method. (**G**) Layer-specific cell types identified by RCTD. (**H**) F-measure between spatial domains identified by GASTON, NSF, SpaGCN, SpiceMix, and layer-specific cell types identified by RCTD. (**I**) Proportions of layer-specific cell types as a function of the isodepth *d*. Dashed lines indicate boundaries of GASTON spatial domains. (**J**) Layout of granule (green), Purkinje (red), and Bergmann (purple) cells as a function of isodepth near the Purkinje-Bergmann layer of the cerebellum.

edge [83, 84], which is difficult to obtain for a complex tissue like the cerebellum, or use scRNA-seq-based
 trajectory inference approaches which do not learn a spatially continuous measurement (see comparison
 to SpaceFlow [113] in Supplement C, Figure S1).

As additional validation, we evaluated GASTON using SRT data of the human dorsolateral prefrontal cortex (DLPFC) [89]. GASTON more accurately identified the manually annotated layers of the DLPFC compared to two graph neural network approaches: SpaGCN [58] and STAGATE [32] (Figure S3). Moreover, GASTON has comparable performance to Belayer [83], which previously achieved state-of-the-art performance in DLPFC layer identification using prior annotation on the layer boundaries. In contrast, GASTON, an unsupervised algorithm, achieves similar performance without any no prior knowledge. See Supplement D for details.

These analyses demonstrate that the isodepth d learned by GASTON provides a powerful computational approach for modeling the spatial organization of cells and cell types in complex biological tissues.

172 2.3 Continuous and discontinuous spatial variation in gene expression

We next investigated whether GASTON identifies biologically meaningful spatial patterns of gene expres-173 sion in sparse SRT data, particularly in low coverage Slide-SeqV2 data (median ≈ 500 UMIs per spatial 174 location [127]) where such patterns may not be apparent. For each gene q, GASTON learns a piecewise 175 linear function $h_q(d)$ of the isodepth d that models both continuous variation in expression within or 176 across spatial domains and sharp discontinuities in gene expression between adjacent spatial domains. 177 These learned gene expression functions (Supplementary Table) indicate genes that have spatially varying 178 expression patterns. For example, SBK1 – reported to be a marker gene of Purkinje cells [71] – has partic-179 ularly sparse expression in the Slide-SeqV2 cerebellum tissue, with only 15% of all spatial locations having 180 non-zero UMI count, and only 2% of spatial locations in the GASTON-estimated Purkinje-Bergmann layer 181 having UMI count > 1. (Figure 3A). By aggregating expression across contours of constant isodepth (Fig-182 ure 2A), GASTON learns a piecewise linear gene expression function for SBK1 that peaks in the Purkinje-183 Bergmann layer and exhibits continuous variation in the granule layer as a function of isodepth (Figure 184 3B). The corresponding 2D expression function clearly demarcates the Purkinje-Bergmann layer (Figure 185 3C) compared to the sparse expression values (Figure 3A). 186 The gene expression functions learned by GASTON yield a substantially better predictor of known 187 marker genes in the cerebellum than existing methods for identifying spatially variable genes (SVGs) or 188 differentially expressed genes (DEGs). Specifically, by ranking genes according to a measure of the variance 189 of the GASTON expression function across spatial domains (Methods), GASTON achieved notably higher 190 performance (AUPRC \approx 0.31) in the identification of marker genes compared to HotSpot [31]; trendsceek 191 [35]; SpatialDE [132]; SPARK-X [130, 171]; C-SIDE [18]; and SpaGCN [58] which have AUPRC ranging 192 from 0.07 to 0.25 (Figure 3D). A major reason for GASTON's improved performance is because many 193 of the other methods test only whether the expression of each gene varies in 2D space, and are unable 194 to distinguish between different types of continuous and discontinuous variation in spatial expression. 195 In contrast, GASTON's piecewise linear gene expression function explicitly models both continuous and 196 discontinuous variation in expression. We highlight two genes ranked highly by GASTON but not by 197 other methods: SBK1, described previously, and FRMPD4. FRMPD4 is not a known marker gene but has 198 high expression in the molecular layer (Figure 3E). Recent studies report that the FRMPD4 protein regulates 199 neurons in the molecular layer, with mutations of *FRMPD4* causing intellectual disabilities [105]. 200

As another demonstration of the utility of the isodepth *d* learned by GASTON, we used the isodepth as a covariate for C-SIDE [18], which identifies cell type-specific differentially expressed (DE) genes from SRT data. This variation of C-SIDE, which we call C-SIDE-iso, identifies a substantially different set of DE genes compared to the original C-SIDE, with only a 10% overlap between the DE genes identified by both approaches. C-SIDE-iso achieved better performance than the original C-SIDE in marker gene



Figure 3: GASTON reveals continuous and discontinuous spatial variation in gene expression in the mouse cerebellum. (A) SBK1 expression, shown in log counts per million (CPM). (B) Isodepth versus expression for SBK1. Lines denote piecewise linear function $h_q(d)$ learned by GASTON. (C) SBK1 expression function f(x, y) learned by GASTON. Curves denote contours of constant isodepth d. (D) Comparison of GASTON and several existing methods in marker gene identification, quantified using the area under precision-recall curve (AUPRC) and a list of known cerebellum marker genes [40, 71, 118, 69]. trendsceek^{*} uses the Seurat [50] implementation and C-SIDE-iso runs C-SIDE using the isodepth d learned by GASTON as a covariate. (E) Isodepth versus expression for FRMPD4 which was ranked highly by GASTON as a marker gene in (D). (F) (Left) Isodepth versus expression for CALB1, which has (Right) granuleattributable intradomain variation since the expression function restricted to granule cells has large slope. (G) (Left) Isodepth versus expression for SECISBP2L which has (Right) oligodendrocyte-attributable intradomain variation since the expression function restricted to oligodendrocyte cells has large slope. (H) SECISBP2L expression shown in log CPM. (I) SECISBP2L expression function f(x, y) learned by GASTON in the GASTON-inferred oligodendrocyte layer. (J-K) Isodepth versus expression for (J) CAMK2B and (K) CAMK1D which have (Left) intradomain variation in the Purkinje-Bergmann layer and molecular layer, respectively, that is (Right) not attributable to cell type, as the expression functions for the most abundant cell types in the respective layers have zero slope.

identification (Figure 3C), demonstrating the advantages of the isodepth *d*. Nevertheless, unlike GASTON,
 C-SIDE-iso cannot identify spatial domains and thus cannot test for changes in expression across different
 spatial domains, and consequently C-SIDE-iso has lower performance than GASTON in identification of
 marker genes (Figure 3C).

209 In addition to marker gene identification, the piecewise linear expression functions learned by GASTON 210 reveal distinct spatial patterns of gene expression including discontinuities in expression - i.e. large dif-211 ferences in expression between adjacent spatial domains - or continuous *intradomain* variation - i.e. a 212 large slope β of the piecewise linear expression function within a spatial domain (Methods). GASTON 213 identifies 513 spatially varying genes with either discontinuities or intradomain variation (Figure S2A). 214 Approximately half of these genes have discontinuities in expression, indicating that a gene is selectively 215 expressed or not expressed within cells in a specific spatial domain. For example, GASTON finds that 216 CPLX2 has discontinuities in expression at the boundaries of the granule layer, which matches prior stud-217 ies showing that large expression of CPLX2 in granule cells suppresses differentiation pathways [155] 218 (Figure S2B). Furthermore, more than 60% of the spatially varying genes identified by GASTON have con-219 tinuous intradomain variation (Figure S2A), indicating that continuous variation is fairly common in the 220 cerebellum. This observation may explain why SpaGCN, whose clustering algorithm assumes there is no 221 continuous variation in gene expression, is less accurate in resolving the layers of cerebellum layers (Figure 222 2D). 223

Continuous intradomain variation in gene expression may be due to a continuum of cell states within 224 a cell type, continuous variation in the proportion of cell types in a tissue, or other causes [160]. We 225 evaluated whether the intradomain variation identified by GASTON was attributable to the annotated cell 226 types in each domain, which distinguishes whether there is (1) a spatial component in the continuum of 227 cell states within a cell type [160] or (2) spatial variation in either the proportion of cell types or other 228 causes (Methods). Specifically, we say that intradomain variation is cell type-attributable if the slope β_c 229 estimated only from cells annotated as cell type c has magnitude $|\beta_c|$ close to or larger than the magnitude 230 $|\beta|$ of the slope β estimated from all cells (Methods). We find that 217 of the 338 genes that GASTON 231 reports to have intradomain variation have cell type-attributable intradomain variation (Figure S2A). 232

The cell type-attributable intradomain variation identified by GASTON reveals important cell type-233 specific processes including neuronal firing and differentiation. For example, CALB1, which is involved 234 in calcium binding, has granule-attributable intradomain variation in the granule layer (Figure 3F). This 235 granule-attributable CALB1 continuous variation identified by GASTON provides a potential molecular ex-236 planation for the reported spatial gradients in neuronal firing thresholds for granule cells in the granular 237 layer [128]. A second example is SECISBP2L, which exhibits large oligodendrocyte-attributable intrado-238 main variation in the oligodendrocyte layer (Figure 3G). SECISBP2L was recently shown to be specifically 239 expressed in differentiating oligodendrocytes, with SECISBP2L more highly expressed in less mature oligo-240 dendrocyte cells [29]. The observed decrease in SECISBP2L expression as a function of isodepth suggests 241 that oligodendrocyte differentiation may occur along the isodepth axis, i.e. along the spatial gradients 242 ∇d , in the oligodendrocyte layer (Figure 2A). Notably, continuous variation in SECISBP2L expression in 243 the oligodendrocyte layer is not apparent from individual expression values per spot (Figure 3H), but is 244 revealed by the expression function learned by GASTON, which pools expression values along contours 245 of constant isodepth (Figure 3I). 246

Approximately 35% of the intradomain variation in gene expression identified by GASTON is not attributable to cell type (Figure S2A). For example, *CAMK2B*, which is overexpressed in granule cells, has large intradomain variation in the Purkinje-Bergmann layer (Figure 3J, left). However, this intradomain variation is not attributable to the Purkinje or Bergmann cell types, as the Purkinje- and Bergmann-specific expression functions for *CAMK2B* have zero slope (Figure 3J, right). Instead, the intradomain variation of *CAMK2B* is likely attributable to the large decrease in *proportion* of granule cells in the Purkinje-Bergmann layer as a function of isodepth (Figure 2I). *CAMK1D*, a calcium-dependent protein kinase whose aberrant

²⁵⁴ behavior has been linked to Alzheimer's disease [47] and glioma [63], exhibits intradomain variation in
²⁵⁵ the molecular layer (Figure 3I, left) that is not attributable to either MLI1 or MLI2 neurons (Figure 3I, right).

²⁵⁶ This variation could be attributable to other causes such as cellular interactions or neuronal firing.

²⁵⁷ These analyses demonstrate that GASTON's combined model of continuous and discontinuous varia-

tion of gene expression reveals biologically meaningful marker genes and continuous gradients of expres-

²⁵⁹ sion not found by existing approaches.

260 2.4 Spatial gradients in the tumor microenvironment

We next used GASTON to investigate spatial gene expression patterns in the tumor microenvironment (TME). The TME is strongly correlated with tumor development and prognosis [43], but is challenging to quantify accurately without spatial information [157]. However, existing analyses of tumor SRT data, e.g. [12, 36, 62], examine only differentially expressed (DE) genes or pathways between the tumor and surrounding stromal regions. We hypothesized that GASTON's ability to quantify continuous variation might reveal more subtle variation in gene expression relative to the boundary of the tumor.

We applied GASTON to SRT data from a colorectal (CRC) tumor tissue slice (Figure 4A) where the expression of 36,601 transcripts in 3,900 spots was measured using the 10x Genomics Visium platform [149]. GASTON identifies five spatial domains (Figure 4B) that are visually distinct in the H&E-stained image (Figure 4A), including the tumor (domain 1), the tumor-adjacent stromal region (domain 2), and other stromal regions not directly adjacent to the tumor (domains 3-5). In contrast, the the published analysis of this data performed unsupervised clustering of spots based on gene expression alone [121] and was unable to distinguish between the different stromal regions of the the tissue slice (Figure S4).

We analyzed spatial variation in the TME by examining the expression of each gene as a function of 274 the isodepth d, which varies smoothly from the tumor boundary to the interior (Figure 4C, Supplementary 275 Table). GASTON identifies 1,572 spatially varying genes in the tumor and adjacent stromal domains which 276 exhibit one of seven different spatial expression patterns: intratumoral variation, a discontinuity at the 277 tumor-stroma boundary, intrastromal variation, or any combination of these (Figure 4D). For six of the 278 seven spatial gene expression patterns, the genes exhibiting the spatial pattern are enriched (p < 0.01, 279 GSEA [74]) for cancer hallmark gene sets (Figure 4E). We further group the genes in the six enriched 280 spatial gene expression patterns found by GASTON into three different types: (1) Type I genes, which 281 have intratumoral variation and no discontinuity in expression; (2) Type II genes, which have intrastromal 282 variation and a discontinuity at the tumor-stroma boundary; and (3) Type III genes, which have either 283 intrastromal variation or discontinuity at the tumor-stroma boundary but no intratumoral variation. 284

The three types of spatially varying genes identified by GASTON reflect distinct biological processes 285 occurring in the TME. The 742 Type I genes (intratumoral variation) are enriched for oxidative phospho-286 rylation and cholesterol homeostasis gene sets; moreover, 39 of the 42 Type I genes involved in oxidative 287 phosphorylation or cholesterol homeostasis have *positive* slopes within the tumor domain, indicating an 288 increase in expression from the margin to the interior of the tumor. Thus, Type I genes likely indicate 289 an *increasing* gradient of metabolic activity from the tumor boundary to the interior [74]. For example, 290 COX7B (Figure 4F) is a Type I gene in the oxidative phosphorylation pathway and a component of the 291 cytochrome c oxidase protein complex which transfers electrons to oxygen in the electron transport chain 292 and leads to ATP synthesis [144]. Several other genes in this complex are also Type I genes, including 293 COX17, COX7A2, COX6C, and COX8A. Another Type I gene is Stearyl-CoA desaturase (SCD, Figure 4G), 294 a fatty enzyme that is key component of lipid metabolism [122], with SCD deficiency being linked to re-295 duced lipid synthesis and other poor health outcomes [38]. Interestingly, the expression of both SCD and 296 COX7B are directly affected by oxygen availability [151], with lower expression in hypoxic conditions. The 297 higher expression of these genes in the interior of the tumor suggests that the interior of this CRC tumor 298 slice is more oxygenated than the boundary. This observation is consistent with a previous clinical study 29



Figure 4: GASTON identifies spatial gene expression patterns in the tumor micro-environment. (A) H&E stain of a 10x Genomics Visium colorectal tumor sample. (B) Spatial domains learned by GASTON. Domains 1 and 2 are labeled as tumor and tumor-adjacent stroma, respectively, based on the histology image in (A). (C) Isodepth *d* and spatial gradients learned by GASTON restricted to tumor and tumor-adjacent stromal domains. (D) GASTON identifies 986 spatially varying genes which are classified into three spatial expression patterns: genes with intrastromal variation in expression; genes with a discontinuity in expression at the tumor-stroma boundary; and genes with intratumoral variation in expression. (E) Enrichment for hallmark cancer gene sets reported by gene set enrichment analysis (GSEA) for six of the seven spatial expression patterns in (D). The spatial expression patterns are grouped into three types according to expression pattern and enriched cancer pathways. (F-I) Isodepth *d* versus expression for Type I genes (F) *COX4l1* and (G) *SCD*, and Type II genes (H) *ACTA2* and (I) *TAGLN*. (J) *COL1A2* expression shown in log CPM. (K) Expression versus isodepth for Type II gene *COL1A2*. (L) GASTON *COL1A2* expression function shows a gradient of expression at the tumor-stroma boundary.

which found that that stage IV CRC tumors may have lower hypoxia response – and thus higher oxygen availability – in the tumor interior compared to the boundary [2].

The 106 Type II genes (intrastromal variation and discontinuity) primarily describe the upregulation 302 of epithelial-mesenchymal transition (EMT) genes immediately outside the tumor boundary. Several stud-303 ies have shown that upregulation of EMT genes within tumor-associated stromal cells is associated with 304 aggressive, poor prognosis CRC subtypes [20, 60, 73]. Of the 15 type II genes in the EMT pathway, 14 305 had positive slopes with isodepth in the tumor-adjacent stroma domain, i.e. expression increased closer 306 to the tumor boundary, suggesting that this stage IV colorectal tumor was likely an aggressive subtype. 307 For example, ACTA2 and TAGLN, two genes that were reported to be markers of a subtype of colorec-308 tal cancer-associated fibroblasts with upregulated EMT-related genes [73], have positive slopes and large 300 discontinuities at the tumor boundary (Figure 4H, I). GASTON also finds that ACTA2 and TAGLN have 310 constant, low expression in the tumor region, consistent with previous studies that find no evidence for 311 upregulation of EMT-related genes in CRC tumor cells [20, 60]. The upregulation of EMT genes – such as 312 ACTA2 and TAGLN – in tumor-associated stromal cells could be an important mechanism underlying the 313 aggressiveness of this CRC tumor, where these stromal cells may facilitate local invasion and metastasis 314 [65]. Notably, the overexpression of several Type II genes is concentrated on the right side of the tumor 315 boundary (Figure S5A,B), suggesting that the local invasion and metastasis may be localized to a specific 316 part of the tumor boundary. We also highlight the Type II gene LGR5, which has large expression at the 317 tumor boundary and has been reported to be a potential marker for CRC stem cells [92] (Figure S5C,D). 318 The co-expression of LGR5 and ACTA2 / TAGLN suggests a potential interaction between tumor-adjacent 319 stromal cells and CRC stem cells. 320

We emphasize that the upregulation of EMT genes near the tumor boundary is not readily apparent 321 from the sparse UMI counts. For example, COL1A2 is a Type II gene involved in EMT [146], but the spatial 322 distribution of COL1A2 expression is difficult to discern directly (Figure 4J), with nearly half of all spots 323 having no measured COL1A2 transcripts while only a small fraction of spots (5%) have more than 10 tran-324 scripts. GASTON aggregates the sparse COL1A2 expression measurements across the contours of constant 325 isodepth and learns a piecewise linear COL1A2 expression function of isodepth (Figure 4K), revealing con-326 tinuous variation in COL1A2 expression. In particular, GASTON finds that COL1A2 expression peaks at 327 the tumor boundary and decays in the interior of tumor and in the tumor-adjacent stroma (Figure 4L). This 328 expression pattern is consistent with a recent report demonstrating that COL1A2 expression is lower in 329 primary CRC tumors compared to adjacent stromal tissue [156]. 330

The 657 Type III genes (no intratumoral variation) identified by GASTON primarily describe immune 331 response in the stroma as well as cell signaling and proliferation in the tumor. For example, THBS1 has 332 a large discontinuity in expression at the tumor-stroma boundary and has high expression in the tumor-333 adjacent stroma (Figure S5E), consistent with reports that THBS1 expression promotes immune cell re-334 sponse in other cancer types [106, 166]. Another Type III gene, FUCA1, is involved in fucosylation of pro-335 teins and a member of the p53 signaling pathway [37]. GASTON finds that FUCA1 has large, negative slope 336 in the stroma region; no discontinuity in expression at the tumor boundary; and constant, low expression 337 in the tumor region (Figure S5F). This spatial expression pattern suggests that FUCA1 is downregulated 338 in the tumor region, agreeing with several recent studies which found that FUCA1 is downregulated in 339 highly aggressive and metastatic CRC and breast tumors [16, 99]. 340

Overall, the spatial gene expression patterns identified by GASTON suggest that the interior of this CRC tumor sample is growing slowly – since aerobic metabolism through oxidative phosphorylation indicates slow cellular growth and proliferation [169] – while the boundary is undergoing EMT to stem-like states [86]. These features of the tumor interior and boundary indicate a late-stage, vascularized primary tumor with a fully metastatic margin, a characterization which aligns with the tumor's clinical information [149]. Thus, this analysis demonstrates how the gene expression topography learned by GASTON enables the characterization of the spatial and molecular organization of the TME.



Figure 5: GASTON reveals variation in cell types and gene expression in the mouse olfactory bulb. (A) DAPI stain of mouse olfactory bulb [42] produced by [22]. (B) Isodepth *d* and (negative) spatial gradients $-\nabla d$ (shown as streamlines) learned by GASTON. Curves denote contours of constant isodepth *d*. (C) Spatial domains learned by GASTON and labeled based on annotations in (A). (D) Cell type proportion as a function of isodepth *d*. Dashed lines indicate boundaries of spatial domains identified by GASTON. Most abundant cell types in each spatial domain are highlighted. (E) (Left) Isodepth versus expression for *CCK* which (Right) has mitral/tufted-attributable intradomain variation in the glomerular layer (GL) and external plexiform layer (EPL). (F) (Left) Isodepth versus expression for *GAD2* which (Right) has granule-attributable intradomain variation in the granule cell layer (GCL). (G) (Left) Isodepth versus expression for *DCX* which has continuous variation in the rostral migratory stream (RMS) which is (Right) not attributable to cell type, as the expression function for the most abundant cell types have zero slope. (H) *DCX* expression shown in log CPM. (I) *DCX* expression function learned by GASTON.

³⁴⁸ 2.5 Spatial gradients of cell type and gene expression in the mouse olfactory bulb

Finally, we used GASTON to analyze Stereo-seq [22] data from the mouse olfactory bulb which measures the expression of 27,106 transcripts at 9,825 spatial locations. Stereo-seq achieves single cell spatial resolution using DNA nanoball patterned array chips, but the data is highly sparse, with a median UMI of less than 350 per location. At the same time, the olfactory bulb has a *radial* geometry consisting of several concentric layers (Figure 5A), and this geometry provides spatial constraints that may help overcome the severe data sparsity.

GASTON learns the radial geometry of the olfactory bulb nearly perfectly, with the isodepth *d* providing a topographic map that reflects the geometry of the olfactory bulb (Figure 5B). Using the learned isodepth, GASTON divides the tissue into seven contiguous spatial domains (Figure 5C) that visually correspond to the seven distinct layers of the olfactory bulb (Figure 5A). In comparison, the spatial domains found by SpaGCN, a method based on a graph convolutional neural network, are less spatially coherent than the GASTON domains and do not reflect the layered geometry of the olfactory bulb. Notably, SpaGCN poorly resolves the innermost rostral migratory stream (RMS) layer (Figure S6A,B).

The olfactory bulb is one of two regions in the brain where adult neurogenesis occurs, with immature neurons migrating outward from the RMS (large isodepth) towards the outermost olfactory nerve layer (ONL, small isodepth) [90, 80]. Thus, in this tissue, the isodepth *d* learned by GASTON provides a measure of *potency* in the olfactory bulb, and the negative gradients $-\nabla d$ show the spatial trajectories of neural maturation and migration (Figure 5B).

GASTON reveals substantial variation in cell type composition as a function of isodepth d in the ol-367 factory bulb (Figure 5D). While the cell type composition of the different layers of the olfactory bulb is 368 well-studied, GASTON uncovers the spatial arrangement of cell types within each layer which has not 369 been fully characterized in the literature [94]. For example, while previous studies have found that both 370 mesenchymal cells and olfactory ensheathing cells (OECs) are in the outermost olfactory nerve layer (ONL) 371 [75], GASTON identifies that these two cell types have different spatial arrangements in the ONL: mes-372 enchymal cells are concentrated on the outer edge of the layer (isodepth d < 50) while OECs peak at a larger 373 isodepth ($d \approx 85$) and are spread more diffusely throughout the ONL. This arrangement of mesenchymal 374 cells aligns with studies showing that ONL neuron axons grow towards mesenchymal cells during de-375 velopment [33, 109], as axons in the olfactory bulb point outwards [75], i.e. towards small isodepth. In 376 the interior of the olfactory bulb, GASTON finds that immature neurons are most prevalent in the rostral 377 migratory stream (RMS), with the proportion of immature neurons increasing sharply with isodepth, in 378 agreement with studies showing that neurogenesis occurs starting from the RMS interior [90, 80]. 379

The isodepth *d* also distinguishes different cell types or cell states with similar gene expression profiles. 380 For example, mitral cells and tufted cells are grouped together in the single-cell reference dataset [133] used 381 for cell type annotation, and also by SpaGCN (Figure S6A), due to the similar gene expression profiles 382 of these cell types. However, GASTON reveals that the proportion of mitral/tufted cells peaks at two 383 different isodepth values, $d \approx 350$ and $d \approx 600$, with a larger proportion of mitral/tufted cells at the second 384 peak versus the first peak (Figure 5D). This suggests that the mitral/tufted cells at isodepth $d \approx 350$ are 385 tufted cells, which previous studies have shown are spread diffusely in the external plexiform layer (EPL) 386 [94], while the mitral/tufted cells at isodepth $d \approx 600$ are mitral cells, which have been shown to form a 387 monolayer in the mitral cell layer (MCL) [41]. GASTON also distinguishes between different granule cell 388 states. While there is a single category of granule cells in the single-cell reference dataset [133], previous 389 studies have shown that there are morphologically distinct granule cell subtypes in different layers of the 390 olfactory bulb [94]. GASTON shows that while granule cells are most prevalent in the granule cell layer 391 (GCL), there is a small population of granule cells in the EPL and in the MCL with roughly constant cell 392 type proportion (Figure 5D). The spatial segregration of these two granule cell populations suggests that 393 the granule cells in the EPL and MCL may have a different cell state compared to granule cells in the GCL. 394

³⁹⁵ Notably, neither the distinction between mitral and tufted cells nor the prevalence of immature neurons

³⁹⁶ in the interior of the bulb are apparent using an alternative 1-D coordinate computed by SpaceFlow [113]

³⁹⁷ that is based on diffusion pseudotime [49] (Supplementary Section C and Figure S6).

GASTON identifies 704 genes with spatially varying expression - i.e. genes with either discontinuous 398 expression or intradomain variation in expression – in the olfactory bulb (Figure S7, Supplementary Table). 399 These genes distinguish different cell types and states in the olfactory bulb and reveal potential molecular 400 mechanisms for biological phenomena. We highlight three examples here. CCK, which is reported to be a 401 marker for a specific subtype of tufted cells [163, 131, 59], has mitral/tufted-attributable intradomain varia-402 tion in the glomerular layer (GL) and EPL (Figure 5E). As noted above, the mitral/tufted cells in the GL and 403 EPL are likely tufted cells, indicating that the continuous variation in CCK expression is likely tufted cell-404 attributable and not mitral cell-attributable. GAD2, a marker gene for neurons in the GABAergic systems 405 - the main inhibitory neurotransmitter system in the brain [8, 14, 10] - has granule-attributable intrado-406 main variation in the GCL (Figure 5F). Granule cells are known to be GABAergic [94], suggesting that 407 the granule-attributable variation identified by GASTON may play a role in the GABAergic system. DCX 408 (doublecortin) has large intradomain variation in the RMS (Figure 5G), consistent with reports [39, 45] 409 that DCX is a marker gene for immature neurons in the RMS (Figure 5D). The continuous variation in 410 DCX expression is not attributable to cell type, and instead is likely due to the increasing proportion of im-411 mature neurons in the RMS as a function of isodepth (Figure 5D). While the intradomain variation in DCX 412 expression is challenging to observe from the sparse Stereo-seq UMI counts (Figure 5H), GASTON learns a 413 DCX expression function that pools expression across isodepth and uncovers the continuous intradomain 414 variation in DCX (Figure 5I). 415

416 **3 Discussion**

Accurate models of spatial gene expression variation within tissues are critical for determining the spatial 417 organization of cell types and for defining processes of differentiation and intercellular communication 418 that modulate cell states within spatial niches. Spatial variation in gene expression includes both discon-419 tinuous changes in gene expression across the different spatial domains of a tissue, as well as continuous 420 variation within and across spatial domains due to variation in cell state or other causes. While numer-421 ous computational methods have been developed to identify spatial domains by modeling discontinuous 422 changes in gene expression, few methods are able to identify spatial domains and simultaneously model 423 continuous variation within the domains. Moreover, to our knowledge no existing methods perform this 424 simultaneous identification in an unsupervised and biologically interpretable manner. 425

In this work, we introduce the *isodepth*, a coordinate that models both the arrangement of spatial do-426 mains within a tissue and the relative position of spatial locations with each domain. The isodepth gives 427 a topographic map of a tissue slice, analogous to elevation in a map of the Earth's surface. The gradient 428 of the isodepth describes spatial gradients, or the spatial directions of maximum change in gene expres-429 sion in a tissue. We derive an unsupervised and interpretable deep learning algorithm, GASTON, that 430 learns the isodepth, spatial gradients, and piecewise linear gene expression functions of the isodepth. We 431 demonstrate that the isodepth and spatial gradients learned by GASTON improve detection of spatial do-432 mains and spatially varying marker genes, and enable the identification of spatial gene expression patterns 433 linked to important biological processes including differentiation and communication in the brain as well 434 as hypoxia in the tumor microenvironment. 435

A key advantage of the isodepth computed by GASTON is that it provides a *global* model of spatial gene expression. Just as one can climb to the same elevation on two different mountains, so too can the isodepth take on the same value at two spatially separated locations in the same spatial domain, e.g. the Purkinje-Bergmann layer in the mouse cerebellum (Figure 2B). This global model presents a stark departure from

nearly all existing SRT methods which model only *local* spatial correlations. Using the isodepth, GASTON
 is able to model *"long-range"* spatial correlations, i.e. correlations between distant spatial locations, and
 pool information across spatially distant locations on the same isodepth contour. As we demonstrate,
 incorporating these long-range dependencies leads to improved inference of spatial domains and marker
 genes.

On a smaller scale, the isodepth learned by GASTON provides a coordinate for quantifying variation 445 in gene expression in the tumor microenvironment (TME). Just as single-cell transcriptomics of tumor 446 samples led to the identification of numerous clinical and molecular biomarkers [158], we anticipate that 447 spatial variation in gene expression in the TME will also have high clinical relevance. For example, we 448 showed that GASTON extracts gradients in gene expression that correlate with metabolism, the epithelial-449 mesenchymal transition (EMT) and other hallmarks of the TME which may translate to novel biomark-450 ers for prognostics, treatment outcome prediction, and personalized medicine [28, 57, 44]. Additionally, 451 GASTON introduces a new axis of tumor classification, in which tumors may be further characterized by 452 the variation of distinct tumor processes across spatial gradients; for example, some tumors may have an 453 increasing gradient of aerobic metabolism towards the tumor center (e.g. Figure 4) while other tumors 454 may have a decreasing gradient. Another potential clinical implication is that the spatial gradients learned 455 by GASTON could reveal spatial trajectories of metastatic migration, similar to how the spatial gradients 456 learned by GASTON in the olfactory bulb show spatial trajectories of neural migration (Figure 5B). For 457 example, the variation of EMT genes along the spatial gradients near the tumor boundary may reveal the 458 molecular underpinnings of the margination process in which tumor cells migrate towards a vascular wall 459 before metastasis [142, 165]. 460

The inference of continuous variation in *transcriptomic* space, i.e. trajectory inference or *pseudotime* 461 approaches, is widely applied in scRNA-seq analysis [49, 137, 107, 129]. Recently, there have been some 462 attempts to adapt these approaches to SRT data [113, 51, 97, 85]. However, continuous variation in tran-463 scriptomic space is not equivalent to continuous variation in *physical* space that is modeled by isodepth. 464 Indeed we find that existing approaches based on diffusion pseudotime [49] learn a coordinate that is 465 nearly constant in each spatial domain, and thus obscures spatial variation in gene expression and cell type 466 proportions within spatial domains (Figure S6). This limitation of existing scRNA-seq-based approaches 467 underscores the need for methods like GASTON that model continuous *spatial* variation. 468

We note that the current derivation of isodepth by GASTON relies on two simplifying assumptions that 469 may require adjustment for specific applications. First, we assume that all (spatially varying) genes share 470 the same vector field of spatial gradients. Thus, GASTON will not automatically find multiple directions of 471 spatial variation, where each direction corresponds to a subset of genes. For these situations, it might be 472 appropriate to learn the isodepth using a restricted set of genes or a smaller region of a tissue slice; e.g. one 473 may apply GASTON to spatial domains or gene sets obtained from a standard SRT or single-cell clustering 474 algorithm. Second, we assume that the shared spatial gradient vector field is *conservative*, meaning that 475 it does not "rotate" in space (i.e. $\operatorname{curl}(\mathbf{v}) = 0$). GASTON may not be applicable to tissue slices where this 476 assumption is violated, although we are not aware of any such biological examples. An important next step 477 would be to develop a framework for learning spatial gradients under relaxed mathematical assumptions, 478 potentially using neural fields or transformers which have been used to learn vector fields in other areas 479 of biology and machine learning [110, 152, 23]. 480

We envision that the simplicity and generality of both the mathematical framework of the isodepth and the GASTON algorithm can be readily extended in several directions. First, the piecewise linear model of gene expression can be replaced by more complicated functions. While more complicated functions may be prone to overfitting with sparse SRT data, they may be appropriate for targeted SRT technologies – e.g. MERFISH [91], 10X Genomics Xenium [61], STARMap [143, 162], or NanoString CosMx [52] – that have higher detection efficiency. Second, it would be desirable to extend GASTON to identify 3-D spatial gradients, e.g. by utilizing spatial alignment tools [159, 77, 67, 64], as well as spatiotemporal gradients

[114]. A third direction is to extend GASTON to other molecular modalities such as chromatin accessibil-

⁴⁸⁹ ity [164, 119] or protein/metabolite abundance [141, 82], e.g. using recent data on spatial measurements of

⁴⁹⁰ ribosome-bound transcripts [161]. Fourth, there has been much work on quantifying transcriptomic vector

⁴⁹¹ fields by computing RNA velocity from ratios of spliced/unspliced RNA in single-cell RNA-seq data (e.g.

⁴⁹² [108, 70, 46, 11]) and it would be interesting to understand how RNA velocity varies along the spatial gra-

⁴⁹³ dients learned by GASTON. Similarly, it would also be useful to understand how local microenvironments

or cellular interactions, e.g. as learned by [150, 112, 30], vary along the GASTON spatial gradients. Finally,

several recent papers have studied how genetic variants affect single-cell gene expression measurements,

⁴⁹⁶ i.e. single-cell eQTLs (expression quantitative trait loci) [27, 95] and it would be useful to understand how

genetic variants contribute to the continuous and discontinuous spatial gene expression patterns found by
 GASTON.

⁴⁹⁹ In summary, the topographic maps and gene expression functions computed by GASTON provide a

novel and general framework for analyzing continuous and discontinuous spatial variation in gene expres sion from spatial sequencing data across many biological systems.

502 4 Methods

4.1 Modeling gene expression and spatial gradients

We derive a model for spatial domains and gradients of gene expression in spatially resolved transcrip-504 tomics (SRT) data. SRT technologies measure the expression of G genes in a tissue slice $T \subseteq \mathbb{R}^2$, which 505 we model with a gene expression function $\mathbf{f}: T \to \mathbb{R}^G$. The vector $\mathbf{f}(x, y) = (f_1(x, y), \dots, f_G(x, y))^{\mathsf{T}}$ gives 506 the (normalized) expression of each gene at spatial location (x, y) in the tissue slice T, with the q-th com-507 ponent function $f_q : \mathbb{R}^2 \to \mathbb{R}$ describing the expression of a single gene g. For example, a gene g whose 508 expression is constant across the tissue slice T has a constant expression function $f_q(x, y) = c$, while a 509 gene that is differentially expressed in a region $R \subseteq T$ might have the expression function $f_q(x, y) =$ 510 $c \cdot 1_{\{(x,y) \in R\}} + c' \cdot 1_{\{(x,y) \notin R\}}$ 511

We model each expression function f_g as a *piecewise continuous* function. Piecewise continuous functions model continuous spatial variation in gene expression while also allowing for discontinuities in expression due to sharp changes in cell type composition or other factors. We assume the expression functions f_g have the same pieces for all genes, and thus each expression function f_g has the form:

$$f_g(x,y) = \sum_{p=1}^{P} f_{g,p}(x,y) \cdot \mathbf{1}_{\{(x,y) \in R_p\}}.$$
(2)

where $f_{g,p} : \mathbb{R}^2 \to \mathbb{R}$ are continuous functions and $R_1, \ldots, R_P \subseteq \mathbb{R}^2$ are a partition of the tissue slice *T* into *P* disjoint regions which we call *spatial domains*. Note that the spatial domains R_p need not be contiguous, and thus this model allows for physically separate locations within the tissue slice to contain a similar composition of cell types.

A spatial gradient describes how gene expression varies across the 2D tissue slice *T*. For a single gene *g*, the spatial gradient is given by the gradient ∇f_g of the expression function f_g . More generally, the rows of the Jacobian matrix $\mathbf{J}(\mathbf{f}) = [\nabla f_1 \cdots \nabla f_G]^{\mathsf{T}} \in \mathbb{R}^{G \times 2}$ of the gene expression function **f** give the individual spatial gradient of each gene at each spatial location $(x, y) \in T$. Note that the rank of the Jacobian matrix $\mathbf{J}(\mathbf{f})$ is at most two.

Estimating the spatial gradients ∇f_q for every gene g from SRT data from a single tissue slice is difficult 525 due to the limited spatial resolution and limited sequence coverage (e.g. sparsity) of the data. To avoid 526 overfitting, we make some assumptions on the structure of the spatial gradients. Specifically, we assume 527 that the Jacobian matrix $\mathbf{J}(\mathbf{f})$ has rank one at every spatial location $(x, y) \in T$, i.e. the rows $\nabla f_q(x, y)$ of the 528 Jacobian matrix $\mathbf{J}(\mathbf{f})(x, y)$ are linearly dependent for every spatial location $(x, y) \in T$. This assumption is 529 motivated by the observation that spatial expression gradients tend to be correlated; for example, many 530 genes have been observed to have expression gradients along the same axes in the brain and liver [21, 9]. 531 Under this assumption, for each spatial location $(x, y) \in T$ there exists a vector $\mathbf{v}(x, y) \in \mathbb{R}^2$ such that the 532 gradient vector $\nabla f_g(x, y)$ of each gene *g* is a scalar multiple of the vector $\mathbf{v}(x, y)$: 533

$$\nabla f_q(x,y) = \beta_q(x,y) \cdot \mathbf{v}(x,y) \tag{3}$$

where $\beta_g(x, y) : \mathbb{R}^2 \to \mathbb{R}$ are scalar functions and $\mathbf{v}(x, y)$ is a vector field which we call the *spatial gradient vector field*. Since the expression function **f** is piecewise continuous, the gradient ∇f_g of each expression function f_g is also piecewise continuous, and so we may re-write (3) as

$$\nabla f_g(x,y) = \sum_{p=1}^P \beta_g(x,y) \cdot \mathbf{v}(x,y) \cdot \mathbf{1}_{\{(x,y) \in R_p\}}.$$
(4)

⁵³⁷ 4.2 Conservative vector fields and piecewise linear functions

Equation (4) provides a general model for spatial gradients ∇f_g under a rank-one assumption on the Jacobian matrix $\mathbf{J}(\mathbf{f})$. However, in practice, it is still difficult to estimate the parameters of (4) from SRT data, as we do not observe expression gradients ∇f_g but only the expression values f_g . To derive a model for the expression functions f_g while minimizing overfitting, we make three simplifying assumptions on the spatial gradient vector field \mathbf{v} , spatial domains R_p , and scalar functions $\beta_g(x, y)$.

First, we assume the spatial gradient vector field v is the gradient of a continuously differentiable, scalar 543 function $d : \mathbb{R}^2 \to \mathbb{R}$, i.e. $\mathbf{v} = \nabla d$. We call d the *isodepth* of the tissue slice T. The isodepth d describes 544 the "topography" of a tissue slice T, analogous to the elevation in a topographic maps of a geographic 545 region. In physics, vector fields v that are the gradient of a scalar function d are called *conservative* vector 546 fields, and the scalar function d is called the potential function as it measures potential energy at different 547 locations in space, e.g. a gravitational potential function or an electric potential function [87]. In our 548 setting, the scalar function d measures a "gene expression potential" at different locations in a tissue slice 549 T. The vector field v being conservative is equivalent to the curl of v being 0 everywhere, i.e. there are no 550 regions of the tissue where the vector field v "rotates". 551

Second, we model each spatial domain R_p as a union of level sets of the isodepth d. Specifically, we assume that each spatial domain $R_p = \{(x, y) : b_{p-1} < d(x, y) \le b_p\}$ is equal to the set of spatial locations (x, y) with isodepth d(x, y) in the interval $(b_{p-1}, b_p]$, for some real numbers $-\infty = b_0 < b_1 < \cdots < b_{P-1} < b_P = \infty$. This ensures that the spatial domains R_p do not intersect, and leads to a particularly simple form for the expression function f_q as we show below.

Third, we assume that the scalar functions $\beta_g(x, y)$ are constant inside each spatial domain R_p ; i.e., the scalar functions $\beta_g(x, y) = \sum_{p=1}^{p} \beta_{g,p} \mathbb{1}_{\{(x,y) \in R_p\}}$ are piecewise constant.

Under these assumptions, the spatial gradients ∇f_q in (4) are equal to

$$\nabla f_g(x,y) = \sum_{p=1}^{P} \beta_{g,p} \cdot \nabla d(x,y) \cdot \mathbf{1}_{\{b_{p-1} < d(x,y) \le b_p\}}.$$
(5)

Integrating both sides of (5) yields the following closed form for the gene expression function f_q :

$$f_g(x,y) = \sum_{p=1}^{P} (\alpha_{g,p} + \beta_{g,p} \cdot d(x,y)) \cdot 1_{\{b_{p-1} < d(x,y) \le b_p\}},\tag{6}$$

for some constants $\alpha_{g,p}$ and $\beta_{g,p}$. Combining (6) for all genes g = 1, ..., G yields the following expression for the gene expression vector $\mathbf{f} = (f_1, ..., f_G)$:

$$\mathbf{f}(x,y) = \sum_{p=1}^{P} (\alpha_p + \beta_p \cdot d(x,y)) \cdot \mathbf{1}_{\{b_{p-1} < d(x,y) \le b_p\}},\tag{7}$$

for vectors $\alpha_p = (\alpha_{g,p})_{g \in G} \in \mathbb{R}^G$ and $\beta_p = (\beta_{g,p})_{g \in G} \in \mathbb{R}^G$.

Thus, under our model, the gene expression function $\mathbf{f}(x, y)$ at spatial location $(x, y) \in T$ is given by the composition $\mathbf{f}(x, y) = \mathbf{h}(d(x, y))$ of the isodepth d and a *piecewise linear* function $\mathbf{h} = (h_1, \ldots, h_G)$: $\mathbb{R} \to \mathbb{R}^G$ with P pieces and breakpoints b_1, \ldots, b_{P-1} :

$$\mathbf{h}(w) = \sum_{p=1}^{P} (\boldsymbol{\alpha}_p + \boldsymbol{\beta}_p w) \cdot \mathbf{1}_{\{b_{p-1} < w \le b_p\}}.$$
(8)

⁵⁶⁷ The vectors α_p and β_p are the *y*-intercepts and slopes, respectively, of the function **h** in the *p*-th piece across

all *G* genes. We call the function h(w) a *one-dimensional (1-D)* expression function as it is a function of a

- single variable w, the isodepth, in contrast to the gene expression function f(x, y) which is a function of
- 570 two spatial variables x and y.

Long-range spatial correlations and pooling. A major advantage of modeling gene expression as a 571 function of isodepth is the ability to combine gene expression measurements from distinct spatial locations 572 and thus overcome the sparsity of current SRT technologies. Specifically, all spatial locations with equal 573 isodepth d have identical gene expression value h(d), and so estimation of h(d) can use all locations on the 574 contour of equal isodepth. This contour may traverse the entire tissue slice, and need not be a contiguous 575 curve (e.g. Figure 2A). Thus, the isodepth model incorporates "long-range" spatial correlations [101], in 576 contrast to many existing algorithms for analyzing SRT data which only incorporate local correlations 577 between nearby spots, e.g. using hidden Markov random fields (HMRFs) [168, 34] or Gaussian processes 578 (GPs) [135, 132, 130, 171]. Moreover, the isodepth model allows for "pooling" information across spatially 579 separated regions of a tissue slice. 580

The isodepth model substantially generalizes the model of layered tissues and *"relative depth"* in [83] which restricted the spatial domains R_1, \ldots, R_P to be layers satisfying strict topological constraints. In contrast, here there are fewer topological constraints on the spatial domains R_1, \ldots, R_P , and we learn the spatial domains and isodepth *de novo* from SRT data without any prior knowledge, as detailed below.

585 4.3 Maximum likelihood estimation

We compute the maximum likelihood estimators (MLEs) of the isodepth *d* and piecewise linear 1-D expression function $\mathbf{h} = (h_1, ..., h_G)$ from SRT data. The observed SRT data consists of a transcript count matrix $\mathbf{A} = [a_{i,g}] \in \mathbb{R}^{N \times G}$, where $a_{i,g}$ is the UMI count of gene *g* in spot *i*, and a spatial location matrix $\mathbf{S} \in \mathbb{R}^{N \times 2}$, where each row $\mathbf{s}_i = (x_i, y_i) \in \mathbb{R}^2$ is the spatial location of the *i*-th spot. We define the Spatial Topography Problem (STP) as the following maximum likelihood estimation problem.

⁵⁹¹ **Spatial Topography Problem (STP).** Given SRT data (A, S) and a number P of spatial domains, find a ⁵⁹² continuously differentiable function $d : \mathbb{R}^2 \to \mathbb{R}$ and a piecewise linear function $\mathbf{h}(w) : \mathbb{R} \to \mathbb{R}^G$ with P ⁵⁹³ pieces that maximize the log-likelihood of the data:

$$\underset{\substack{d \in C^{1}(\mathbb{R}^{2},\mathbb{R})\\b_{1} < b_{2} < \dots < b_{P-1}}{\operatorname{argmax}} \qquad \sum_{g=1}^{G} \left(\sum_{i=1}^{N} \log \mathbb{P} \Big(a_{i,g} \mid h_{g} \big(d(x_{i}, y_{i}) \big) \Big) \right), \tag{9}$$

$$\mathbf{h} = (h_1, \dots, h_G) \in \mathcal{L}(b_1, \dots, b_{P-1})$$

⁵⁹⁴ where $C^1(\mathbb{R}^2, \mathbb{R})$ is the space of continuously differentiable functions from \mathbb{R}^2 to \mathbb{R} and $\mathcal{L}(b_1, \ldots, b_{P-1})$ is the ⁵⁹⁵ set of piecewise linear functions with breakpoints b_1, \ldots, b_{P-1} .

The STP substantially generalizes the *L*-Layered Problem from our previous work [83], which assumed the isodepth *d* was given by a piecewise conformal map where the pieces are either bounded by lines or determined by prior knowledge on the shape of the spatial domains R_p .

The STP is a challenging non-convex optimization problem over spaces of continuously differentiable and piecewise continuous functions. We solve this optimization problem using *deep learning*. By the universal approximation theorem [56], one can approximate a continuous function d with a neural network. Moreover, even a *piecewise* continuous function can be well-approximated by neural networks [79], although it may be computationally intractable to identify the individual pieces of the function [123]. Thus, we solve the STP in a two-step approach, where we first learn the isodepth d and then learn the piecewise linear expression function **h**.

606 **Step 1.** We estimate the isodepth *d* by solving a modified version of the maximum likelihood problem in 607 (9) where we parametrize the functions $d : \mathbb{R}^2 \to \mathbb{R}$ and $\mathbf{h} = (h_1, \ldots, h_G) : \mathbb{R} \to \mathbb{R}^G$ with neural networks 608 with weights θ and θ' , respectively.

$$\underset{\theta,\theta'}{\operatorname{argmax}} \quad \sum_{g=1}^{G} \left(\sum_{i=1}^{N} \log \mathbb{P} \Big(a_{i,g} \mid \mathbf{h}_{\theta'} \big(d_{\theta}(x_i, y_i) \big)_g \Big) \right). \tag{10}$$

The modified problem in (10) is also a non-convex optimization problem for most neural network architectures. Nevertheless, by parametrizing the arguments with neural networks, we leverage the fact that such problems can be approximately and efficiently solved by modern deep learning frameworks such as PyTorch [102].

Solving (10) is equivalent to learning the parameters of a *single* neural network $\mathbf{h}_{\theta'} \circ d_{\theta}$, where one of the hidden layers has only one hidden neuron whose value is the estimated isodepth d_{θ} (Figure 1). As a result, the isodepth corresponds to an *interpretable* hidden layer of a neural network.

⁶¹⁶ Using the solution $\hat{\theta}$ from (10) yields an estimate $\hat{d} = d_{\hat{\theta}}$ of the isodepth d. We expect the estimated ⁶¹⁷ isodepth \hat{d} to be a good approximation of the solution to the STP (9), as both continuous functions d⁶¹⁸ and piecewise continuous functions \mathbf{h} can be well-approximated by neural networks [79]. However, it is ⁶¹⁹ difficult to identify the breakpoints b_1, \ldots, b_{P-1} — and thus the spatial domains R_p of the tissue slice — ⁶²⁰ from the neural network $\mathbf{h}_{\theta'}$. Therefore, we solve a second optimization problem to estimate the piecewise ⁶²¹ linear function \mathbf{h} .

Step 2. We use the estimated isodepth \hat{d} from Step 1 to estimate the piecewise linear function $\hat{\mathbf{h}}$ with breakpoints $\hat{b}_1, \ldots, \hat{b}_p$ by solving the following optimization problem:

$$\underset{\substack{b_1 < b_2 < \dots < b_{P-1} \\ \mathbf{h} = (h_1, \dots, h_G) \in \mathcal{L}(b_1, \dots, b_{P-1})}{\operatorname{argmax}} \quad \sum_{g=1}^G \left(\sum_{i=1}^N \log \mathbb{P}\left(a_{i,g} \mid h_g(\widehat{d}(x_i, y_i))\right) \right).$$
(11)

⁶²⁴ When there is only one gene, i.e. G = 1, then the maximum likelihood problem in (11) is an instance ⁶²⁵ of segmented regression, a classical problem from statistics that is solved by dynamic programming (DP) ⁶²⁶ [3, 7]. For G > 1 genes, we solve (11) using a variant of the segmented regression DP derived in [83].

4.4 Training and implementation

The algorithm described above can be implemented with different probability distributions $\mathbb{P}(a_{i,g} | f_g(x_i, y_i)) = \mathbb{P}(a_{i,g} | h_g(d(x_i, y_i)))$ for the gene expression values $a_{i,g}$. Following prior work [136, 120, 83, 100], we model the UMI counts $a_{i,g}$ with a Poisson distribution of the form $a_{i,g} \stackrel{\text{i.i.d.}}{\sim} \operatorname{Pois}(U_i \cdot \exp(f_g(x_i, y_i))))$, where U_i is the total UMI count at spot *i*. Another alternative is a Gaussian measurement model $a_{i,g} \stackrel{\text{i.i.d.}}{\sim} N(f_g(x_i, y_i), \sigma^2)$ where σ^2 is a shared variance parameter. In practice, although one could use all or selected gene expression values instead, for efficiency we do

not directly solve the STP (9) using the observed gene expression values but instead use the top generalized linear model principal components (GLM-PCs) [136]. This simplification is justified by our previous
work [83] where we showed that for SRT data (A, S) generated from the Poisson expression model with
a piecewise linear expression function h, then the top-2*P* GLM-PCs of the transcript count matrix A are
also piecewise linear with Gaussian noise.

Specifically, we compute the top-2*P* GLM-PCs and solve (10) with these GLM-PCs under a Gaussian error model. For the colorectal tumor (Section 2.4), in order to capture spatial variation from the histological image, we use the top-(2P - 3) GLM-PCs together with the mean R, G, and B values taken from the H&E stained image, resulting in (2P - 3) + 3 = 2P total features in the STP. We solve the optimization problem in (10) with neural networks d_{θ} and $\mathbf{h}_{\theta'}$ that have two hidden layers of size 20 and are trained

for 10000 epochs using the Adam optimizer [66]. Because of the non-convexity of (10), we use 30 random
 initializations and select the solution with the largest likelihood.

After solving (10) with the top-2*P* GLM-PCs and estimating the isodepth \hat{d} , we then solve (11) with the top GLM-PCs to estimate the breakpoints $\hat{b}_1, \ldots, \hat{b}_{P-1}$. For most of the applications in this paper, we choose the number *P* of spatial domains using prior knowledge on the geometry of the tissue slice, e.g. for the cerebellum (Figure 2), we use *P* = 4 as prior work [19] showed that the cerebellum has four distinct layers. However, if the number *P* of domains is not known, then one may follow the model selection criteria used by [83], i.e. identifying an elbow in the log-likelihood plot, which we use for the DLPFC application (Figure S3).

Finally, we estimate the piecewise linear gene expression function $\widehat{\mathbf{h}}$ by solving

$$\underset{\mathbf{h}=(h_1,\dots,h_G)\in\mathcal{L}(\widehat{b}_1,\dots,\widehat{b}_{P-1})}{\operatorname{argmax}} \quad \sum_{g=1}^G \left(\sum_{i=1}^N \log \mathbb{P}\Big(a_{i,g} \mid h_g\big(\widehat{d}(x_i,y_i)\big) \Big) \right)$$
(12)

⁶⁵⁴ under the Poisson expression model for the UMI counts $a_{i,g}$. We solve the optimization problem in (12) ⁶⁵⁵ using Poisson regression with sklearn [103] for each individual gene g and spatial domain R_p . To prevent ⁶⁵⁶ overfitting, we subsequently perform a hypothesis test of whether each slope $\beta_{g,p}$ of gene g in domain R_p ⁶⁵⁷ is zero or non-zero, i.e. we test the hypotheses

$$H_0: \beta_{g,p} = 0 \tag{13}$$

$$H_1: \beta_{g,p} \neq 0. \tag{14}$$

For each gene g and domain R_p , we compute a log-likelihood ratio (LLR) for the null and alternative hypotheses under the Poisson expression model, and we estimate a p-value assuming that 2 · LLR follows a χ^2 -distribution, which holds asymptotically by Wilks' theorem [147]. We set the slope $\beta_{g,p}$ to zero if the p-value is less than 0.1.

Moreover, we estimate a 1-D expression function h_g only for genes g with at least K total UMI counts where K = 500 for the cerebellum and olfactory bulb (Sections 2.3, 2.5) and K = 1000 for the colorectal tumor (Section 2.4). These choices of K result in $\approx 2000 - 5000$ genes for which we estimate an expression function. Moreover, for Slide-SeqV2 and Stereo-Seq applications with sparse UMI counts, we only estimate a slope $\beta_{g,p}$ in domain R_p if there are at least T non-zero expression values in the domain. We use T = 75for the cerebellum and T = 20 for the olfactory bulb, which are approximately 10% of the number of spatial locations in the smallest domain.

4.5 Quantifying spatial variation in gene expression

The piecewise linear expression functions $h_g(w) = \sum_{p=1}^{p} (\alpha_p + \beta_p) \cdot \mathbf{1}_{\{b_{p-1} < w \le b_p\}}$ reveal both discontinuities in expression and continuous variation within a domain, or intradomain variation, as we describe below.

Discontinuous expression. Let $\delta_{g,p}$ be the discontinuity of the function h_g at breakpoint b_p , i.e. $\delta_{g,p} = (\alpha_{g,p+1} + \beta_{g,p+1} \cdot b_p) - (\alpha_{g,p} + \beta_{g,p} \cdot b_p)$. A large (absolute) discontinuity $|\delta_{g,p}|$ indicates a large discontinuous change in the expression of gene g at the boundary between spatial domains R_p and R_{p+1} .

⁶⁷⁵ We say a gene *g* has a *discontinuity* in expression between spatial domains R_p and R_{p+1} if the esti-⁶⁷⁶ mated discontinuity magnitude $|\hat{\delta}_{g,p}|$ is greater than a threshold t_p . We set the threshold t_p to be the tenth ⁶⁷⁷ percentile of all estimated discontinuity magnitudes $(|\hat{\delta}_{g,p}|)_{g=1,\dots,G}$ between spatial domains R_p and R_{p+1} .

Intradomain variation. The slope $\beta_{g,p}$ of the expression function h_g describes variation within a spatial domain R_p . We say a gene g has *intradomain variation* in spatial domain R_p if the estimated magnitude $|\widehat{\beta}_{g,p}|$ of the slope is greater than a threshold s_p . That is, intradomain variation corresponds to a large *effect size* of the parameter $\beta_{g,p}$. (Note that this effect size thresholding is distinct from the p-value thresholding in Section 4.4.) We set the threshold s_p to be the tenth percentile of all estimated slope magnitudes $(|\widehat{\beta}_{g,p}|)_{g=1,...,G}$ in domain R_p .

4.6 Attributing continuous variation in expression to cell types

Intradomain variation in expression – i.e. a large magnitude of the slope $\beta_{g,p}$ for a domain R_p in the piecewise linear fit – may be due to variation in expression within a cell type, variation in the proportions of cell types, or other biological causes. To illustrate, consider the 1-D expression function $h(w) = h_g(w)$ for a single gene g. Given cell types c = 1, ..., C, the function h(w) is given by

$$h(w) = \sum_{c=1}^{C} \left(h_c(w) \cdot u_c(w) \right) + \epsilon(w)$$
(15)

where $h_c : \mathbb{R} \to \mathbb{R}$ is the *cell type c-specific* expression, $0 \le u_c(w) \le 1$ is the proportion of cell type *c* at isodepth *w*, and $\epsilon(w)$ represents variation due to other factors.

Suppose that the expression function is $h(w) = e \cdot u_c(w) + \epsilon(w)$; i.e. expression is constant for cell type *c* and zero for other cell types. If the cell type proportion $u_c(w)$ or other variation function $\epsilon(w)$ are not constant functions of the isodepth *w*, then the function h(w) will not be constant. Thus, when we fit the expression function h(w) with a piecewise linear function, we may estimate a non-zero slope β – reflecting variation in expression – even when there is no variation for any given cell type. This motivates the problem of learning *cell type-specific* expression functions $h_{c,g} : \mathbb{R} \to \mathbb{R}$ for each gene *g* and cell type *c* which reveal whether variation is attributable to cell type or to other factors.

Here we derive a simple approach for estimating cell type-specific expression functions $h_{c,g}$ from singlecell resolution SRT data with cell type annotations. Specifically, suppose we are given single-cell resolution SRT data (**A**, **S**) with cell type annotations $z_{i,c} \in \{0, 1\}$, where $z_{i,c} = 1$ if spot *i* contains cell type *c*, and $z_{i,c} = 0$ otherwise. We assume the isodepth *d* and breakpoints b_1, \ldots, b_{P-1} have already been computed as described in Section 4.4. We model the expression $a_{i,g}$ at spatial location (x_i, y_i) with the Poisson expression model $a_{i,g} \sim \text{Pois}\left(U_i \cdot \exp\left(\sum_{c=1}^C z_{i,c} h_{c,g}(d(x_i, y_i))\right)\right)$ where U_i is the total UMI count at spatial location (x_i, y_i) . Similar to Section 4.2, we model the cell type-specific expression functions $\mathbf{h}_c = (h_{c,1}, \ldots, h_{c,G})$: $\mathbb{R} \to \mathbb{R}^G$ as piecewise linear functions of the form

$$\mathbf{h}_{c}(w) = \sum_{p=1}^{P} (\boldsymbol{\alpha}_{c,p} + \boldsymbol{\beta}_{c,p}w) \cdot \mathbf{1}_{\{b_{p-1} < w \le b_{p}\}}.$$
(16)

where $\boldsymbol{\alpha}_{c,p} = (\alpha_{c,p,g})_{g=1,...,G}$ and $\boldsymbol{\beta}_{c,p} = (\beta_{c,p,g})_{g=1,...,G}$ are the *cell type c-specific y*-intercepts and slopes, respectively, of the *cell type c-specific* expression function $\mathbf{h}_c = (h_{c,1}, \ldots, h_{c,G})$ in spatial domain R_p .

The MLE of the piecewise linear, cell type *c*-specific expression functions $\mathbf{h}_c = (h_{c,q})_{q \in G}$ is given by

$$\max_{\mathbf{h}_{1},\dots,\mathbf{h}_{C}\in\mathcal{L}(\widehat{b}_{1},\dots,\widehat{b}_{P-1})} \sum_{c=1}^{C} \sum_{g=1}^{G} \left(\sum_{i=1}^{N} \log \mathbb{P}\left(a_{i,g} \mid h_{c,g}, z_{i,c}\right) \right) = \sum_{c=1}^{C} \left[\max_{\mathbf{h}_{c}\in\mathcal{L}(\widehat{b}_{1},\dots,\widehat{b}_{P-1})} \sum_{g=1}^{G} \left(\sum_{i:z_{i,c}=1} \log \mathbb{P}\left(a_{i,g} \mid h_{c,g}\right) \right) \right].$$
(17)

The inner optimization problem is an instance of the optimization problem in (12) restricted to spots *i* with cell type *c*, i.e. $z_{i,c} = 1$, and is solved using the same Poisson regression approach. Solving (17) yields

estimated piecewise linear functions $\hat{h}_{c,g}$ with *y*-intercept $\hat{\alpha}_{c,p,g}$ and slope $\hat{\beta}_{c,p,g}$ for each gene *g* in domain R_p and cell type *c*.

To assess whether intradomain variation is attributable to cell type, we compare the cell type *c*-specific 713 slope $\beta_{c,g,p}$ to the *cell type-agnostic* slope $\beta_{g,p}$, which is derived from the *cell type-agnostic* expression func-714 tion $\mathbf{h}(w)$ (Equation (8)). Specifically, we refer to the parameters $\boldsymbol{\alpha}_p = (\alpha_{g,p})_{g \in G}$ and $\boldsymbol{\beta}_p = (\beta_{g,p})_{g \in G}$ as 715 the *cell type-agnostic y*-intercepts and slopes, respectively. If the cell type *c*-specific slope $\beta_{c,g,p}$ is close or 716 larger in magnitude to the *cell type-agnostic* slope $\beta_{g,p}$, then the continuous variation in expression – i.e. 717 the large value of $\beta_{q,p}$ – is attributed to cell type *c*. Conversely, if the cell type-specific slope $\beta_{c,q,p}$ is much 718 smaller in magnitude than the cell type-agnostic slope $\beta_{q,p}$, then the continuous variation in expression is 719 not attributable to cell type *c*. 720 We quantify this intuition by dividing the genes with continuous variation identified in Section 4.5 into 721

⁷²¹ two groups based on the estimated cell type-specific slopes $\hat{\beta}_{c,g,p}$. If $|\hat{\beta}_{c,g,p}| > (1-\gamma)|\hat{\beta}_{g,p}|$ for some cell type ⁷²³ *c* and a fixed constant γ , i.e. the magnitude of the cell type-specific slope $\hat{\beta}_{c,g,p}$ is close to or larger than the ⁷²⁴ magnitude of the slope $\hat{\beta}_{g,p}$, then we say that the expression variation within domain R_p is *attributable to* ⁷²⁵ *cell type c*. On the other hand, if $|\hat{\beta}_{c,g,p}| \le (1-\gamma)|\hat{\beta}_{g,p}|$ for all cell types *c*, then we say that there is *other* ⁷²⁶ variation in the expression of gene *g* within domain R_p . We use $\gamma = 0.5$ in our analyses.

727 4.7 Visualization

4.7.1 Scaling isodepth to physical distance

The neural network in GASTON learns an isodepth d(x, y) that smoothly varies across a tissue slice T; however, the scaling of the learned isodepth d(x, y) is arbitrary. To improve the interpretability of the isodepth d(x, y) learned by the neural network, we scale the isodepth in each spatial domain to reflect approximate physical distances inside the domain. Briefly, we derive an estimate γ_p of the "average width" of each spatial domain R_p in μ m, and we linearly transform the isodepth d(x, y) in each spatial domain such that the range of isodepth values in domain R_p is γ_p .

We scale the isodepth in each spatial domain as follows. Given the isodepth d(x, y), spatial domains R_1, \ldots, R_P , and breakpoints b_1, \ldots, b_{P-1} estimated from (10) and (11), we assume without loss of generality that the isodepth is linearly transformed such that $\min_{(x,y)\in T} d(x,y) = 0$ and $\max_{(x,y)\in T} d(x,y) = 1$, i.e. the breakpoints satisfy $b_0 = 0 < b_1 < \cdots < b_{P-1} < 1 = b_P$, where we set $b_0 = 0$ and $b_P = 1$ for convenience. For each spatial domain R_p , let γ_p be the average width of the domain, whose computation we describe helew. We compute the "cooled" isodepth $\widetilde{d}(u,v) = 0$

⁷⁴⁰ below. We compute the "scaled" isodepth d(x, y) as

$$\widetilde{d}(x,y) = \sum_{p=1}^{P} \left(e_p + f_p \cdot d(x,y) \right) \cdot \mathbf{1}_{\{b_{p-1} < d(x,y) \le b_p\}},\tag{18}$$

where e_p , f_p are chosen such that $\tilde{d}(x, y)$ is continuous, and $\tilde{d}(x, y) = \sum_{q=1}^{p} \gamma_q$ if $d(x, y) = b_p$ for p = 1, ..., P. With this choice of e_p , f_p , the range of scaled isodepth values $\tilde{d}(x, y)$ in a spatial domain R_p is given by

$$\max_{(x,y)\in R_p} \widetilde{d}(x,y) - \min_{(x,y)\in R_p} \widetilde{d}(x,y) = \max_{b_{p-1} < d(x,y) \le b_p} \widetilde{d}(x,y) - \min_{b_{p-1} < d(x,y) \le b_p} \widetilde{d}(x,y) = \sum_{q=1}^p \gamma_q - \sum_{q=1}^{p-1} \gamma_q = \gamma_p.$$
(19)

That is, the range of isodepth values $\tilde{d}(x, y)$ in each spatial domain is the average width γ_p of the domain R_p .

We estimate the average width γ_p of each spatial domain R_p by computing the median physical distance between the two boundaries of the domain R_p . Specifically, let $\Gamma_{\text{lower}} = \{(x_i, y_i) \in R_p : b_{p-1} < d(x_i, y_i) < < d(x_i,$ ⁷⁴⁷ $b_{p-1} + \epsilon$ and let $\Gamma_{upper} = \{(x_i, y_i) \in R_p : b_p - \epsilon' < d(x_i, y_i) < b_p\}$ be the set of spatial locations on the lower ⁷⁴⁸ and upper boundary curves of the spatial domain R_p , respectively. We set γ_p to be the median distance ⁷⁴⁹ between each spot $(x, y) \in \Gamma_{lower}$ and the closest spot in Γ_{upper} We choose ϵ, ϵ' such that Γ_{lower} and Γ_{upper} ⁷⁵⁰ visually correspond to the spatial domain boundaries.

For 10x Genomics Visium data, we multiply each average width γ_p by 100, since the physical distance between the centers of adjacent spots in the 10x Visium slide is 100 μ m. For Slide-seqV2 data, we multiply each average width γ_p by 64/100, since two beads that are 100 pixels apart in the Slide-SeqV2 microscopy image have a physical distance of roughly 64 μ m [116].

755 4.7.2 Visualizing 1-D expression functions

To simplify the visualization of the 1-D expression functions **h**, we aggregate the counts $a_{i,g}$ for spots (x_i, y_i) with approximately equal isodepth values $d(x_i, y_i)$, as in [83]. Specifically, we partition the range of isodepth values into a union $B_1 \cup \cdots \cup B_M$ of intervals B_j , and we compute the total expression value $\tilde{a}_{j,g} = \sum_{i:d(x_i,y_i) \in B_j} a_{i,g}$ for gene g in each interval B_j . We call $\tilde{a}_{j,g}$ the *pooled* expression value of gene g at pooled spot j. Pooling does not affect inference of the 1-D expression function **h** in the STP, as the function **h** obtained by maximizing the log-likelihood (9) with pooled data is equal to the function obtained by maximizing (9) with the original data, as shown in [83].

⁷⁶³ We plot expression as log pooled counts per million (CPM) $\log(\tilde{a}_{j,g}/\tilde{D}_j \cdot 10^6 + 1)$, where \tilde{D}_j is the sum ⁷⁶⁴ of the total UMI counts across all spots in the *j*th pooled spot. The log pooled CPM has approximately the ⁷⁶⁵ same scale as the expression function $h_q(w) + \log(10^6)$ for each gene *g*.

766 4.8 Marker gene analysis

For the marker gene comparison in Section 2.3, we derived a ranking of domain specific marker genes from the GASTON inferred 1-D expression functions h_g by ranking genes by the standard deviation of the mean of each expression function. Specifically, for each gene g, we compute the mean $m_{g,p}$ of the 1-D expression function $h_g(w)$ in spatial domain R_p , i.e. $m_{g,p} = \alpha_p + \beta_p \cdot \left(\frac{b_{p-1}+b_p}{2}\right)$, and we rank genes by the standard deviation of the values $(m_{g,p})_{p=1,...,p}$. Intuitively, a marker gene should have high expression in one spatial domain and low expression in other domains, leading to a large standard deviation, while a non-marker gene will have similar expression in all domains, leading to a small standard deviation.

774 4.9 Spatial coherence score

We quantify the spatial coherence of domain labels using a score based on O'Neill's spatial entropy measure [98, 4] which has previously been used to quantify spatial coherence in SRT data [159]. The spatial entropy measures the fraction of neighboring spots having the same label compared to random assignments of labels. A large spatial entropy indicates that the distribution of labels of neighboring spots is close to the uniform distribution, i.e the labels are spatially coherent, whereas a small spatial entropy indicates that nearby spots frequently have the same label, i.e. the labels are spatially coherent.

We use a modified version of the spatial coherence score used by [159] that is scaled to lie in [0, 1]. Specifically, following the notation in [159], we define the spatial coherence score as $C_G(L) = 1 - \frac{H(G,L)}{\log(k(k+1)/2)}$.

783 4.10 Data collection and method details

For the cerebellum analysis in Sections 2.2 and 2.3, we used replicate 1 from the RCTD/C-SIDE data repository [18]. Figure 2J was created with BioRender.com. For the marker gene comparison in Figure 3A, we derived a gene ranking for each method and evaluated the AUPRC compared to known marker genes of the

oligodendrocyte, granule, Purkinje, Bergmann, and molecular cell types in the cerebellum. These marker

genes were the combination of cell type marker genes from PanglaoDB [40], the Allen Mouse Brain Atlas
 [71], Harmonizome [118], and the supplement of [69].

⁷⁹⁰ We obtained the olfactory bulb SRT dataset from [42]. We obtained cell type annotations for each spot

⁷⁹¹ in the tissue (Figure 5D) by using scANVI [154] to integrate the SRT data with a separate mouse olfactory

⁷⁹² bulb scRNA-seq dataset [133]; for the scRNA-seq data, we followed the pre-processing steps in [76].

⁷⁹³ **SpiceMix.** We followed the Visium Jupyter notebook tutorial on Github with parameters K = 6 (for the ⁷⁹⁴ *K*-NN graph) and n_neighbors=200.

Non-negative spatial factorization (NSF). We followed the Github tutorial and trained for 150 iterations to obtain 10 factors. Since NSF identifies factors rather than spatial domains, we identified NSF spatial domains by using the NSF factors as input for the Louvain clustering module from SpiceMix [24].

RCTD/C-SIDE. For the cerebellum analysis, we used the cell type labels provided in the RCTD data repository. We followed the C-SIDE tutorial to identify cell type-specific differentially expressed genes. We ran two versions of C-SIDE: (1) without any covariates, and (2) with the isodepth d(x, y) as a covariate for each spatial location (x, y). For the analysis in Section 2.3, we ranked genes by their minimum C-SIDE p-value across all cell types.

SpaGCN. We ran SpaGCN following the Github tutorial. For the analysis in Section 2.3, we used a ranking where the SpaGCN spatially varying genes are tied for first and all other genes are tied for second.

HotSpot. We ran HotSpot following the tutorial here. For the analysis in Section 2.3, we ranked genes
 according to their *p*-value.

trendsceek*. We used the Seurat implementation of trendsceek as described here. For the analysis in Section 2.3, we ranked genes according to their *p*-value.

⁸⁰⁹ **SpatialDE.** We ran SpatialDE following the Github example. For the analysis in Section 2.3 we ranked ⁸¹⁰ genes according to their p-value.

SPARK-X. We ran Spark-X following the tutorial here. For the analysis in Section 2.3 we ranked genes according to their p-value.

⁸¹³ **SpaceFold.** We ran SpaceFold following the Github example code.

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Data and code availability

⁸²² This paper analyzes existing, publicly available data. The cerebellum SRT dataset was obtained from [18];

the olfactory bulb SRT data set was obtained from [42]; the colorectal tumor SRT dataset was obtained from

⁸²⁴ [149]; and the DLPFC SRT dataset was obtained from [89]. The code for GASTON is publicly available at

825 https://github.com/raphael-group/GASTON.

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1249

Supplemental Information

1250 A Expression models and pooling

We assume the UMI counts $a_{i,g}$ follow the Poisson expression model, i.e. the UMI counts $a_{i,g}$ are independent and follow a Poisson distribution of the form $a_{i,g} \stackrel{\text{i.i.d.}}{\sim} \text{Pois}\left(U_i \cdot \exp\left(f_g(x_i, y_i)\right)\right)$ where U_i is the total UMI count at spot *i*.

Suppose the isodepth *d* is known, and let $\gamma_1, \ldots, \gamma_{N'}$ be the unique isodepth values $d(x_i, y_i)$ across all spots $\mathbf{s}_i = (x_i, y_i)$. Let $B_j = \{i : d(x_i, y_i) = \gamma_j\}$ be the set of spots with isodepth equal to γ_j . Let $\widetilde{a}_{j,g} = \sum_{i \in B_j} a_{i,g}$ be the total expression for gene *g* over all spots $i \in B_j$, i.e. $\widetilde{a}_{j,g}$ is the total expression for all spots with isodepth γ_j . We say B_j is a *pooled* spot and we call $\widetilde{a}_{j,g}$ the *pooled* expression of gene *g* at the *j*-th pooled spot.

The solution to the MLE problem in (9) with isodepth d is equal to the solution of the following optimization problem

$$\underset{\substack{b_1 < b_2 < \dots < b_{P-1} \\ \mathbf{h} = (h_1,\dots,h_G) \in \mathcal{L}(b_1,\dots,b_{P-1})}{\operatorname{argmax}} \quad \sum_{g=1}^G \left(\sum_{j=1}^{N'} \log \mathbb{P}\left(\widetilde{a}_{j,g} \mid h_g(d(x_j, y_j)) \right) \right)$$
(20)

where the inference is performed with pooled expression values $\tilde{a}_{j,g}$. Thus, one obtains the same expression function **h** whether one computes the MLE (9) over all data points, or first sums spots with the same isodepth, i.e. *pooling* spots by their isodepth, and then computes the MLE. See [83] for more details.

¹²⁶⁴ B Dimensionality reduction using GLM-PCA

Given SRT data (**A**, **S**), we first run GLM-PCA (generalized linear model principal components analysis) [136] and obtain the top-2*P* GLM-PCs $\mathbf{u}_j = [u_{i,j}] \in \mathbb{R}^N$ for j = 1, ..., 2P. Next, we compute the MLE in (9) using these PCs and a Gaussian error model, i.e. we solve

with $u_{i,j} \stackrel{\text{i.i.d.}}{\sim} N(h'_g(d(x_i, y_i)), \sigma^2)$ for some shared variance parameter σ^2 . (Note that the value of the variance σ^2 does not affect the solution to (21).) Solving (21) an estimated isodepth \hat{d} and breakpoints $\hat{b}_1, \dots, \hat{b}_{P-1}$.

Finally, we solve the MLE problem in (9) fixing the estimated isodepth \hat{d} and breakpoints $\hat{b}_1, \ldots, \hat{b}_{P-1}$, i.e.

$$\underset{\mathbf{h}=(h_1,\dots,h_G)\in\mathcal{L}(\widehat{b}_1,\dots,\widehat{b}_{P-1})}{\operatorname{argmax}} \quad \sum_{g=1}^G \left(\sum_{i=1}^N \log \mathbb{P}\Big(a_{i,g} \mid h_g\big(\widehat{d}(x_i,y_i)\big) \Big) \right), \tag{22}$$

where we assume the UMI counts $a_{i,g}$ follow the Poisson expression model described above. Solving (22) is equivalent to solving $G \cdot P$ Poisson regression problems, one problem for each combination of the G genes and P spatial clusters.

1276 C Comparison to SpaceFlow

SpaceFlow [113] learns a 1-D coordinate, which they call a pseudo-Spatialtemporal Map (pSM), at each 1277 spatial location in a tissue by running diffusion pseudotime [49] on embeddings obtained from a graph 1278 neural network. We compared the SpaceFlow pSM to the GASTON isodepth on the mouse cerebellum 1279 SRT data from Section 2.2 (Figure S1A,B) and the mouse olfactory bulb SRT data from Section 2.5 (Figure 1280 S6C,D). Visually, the isodepth learned by GASTON varies continuously in the tissue while the SpaceFlow 1281 pSM does not. For example, in the cerebellum, the pSM is constant – and thus does not continuously vary 1282 - within each spatial domain, e.g. in the granule layer, the contours of the isodepth (Figure S1C) smoothly 1283 vary while the contours of the pSM (Figure S1D) are irregular. In the olfactory bulb, the pSM is constant 1284 in the interior of the tissue (Figure S6C). 1285

We quantify the continuous variation within each layer using the quartile coefficient of dispersion 1286 (QCOD) [15], a robust statistic measuring the variation of a dataset, with a large QCOD indicating a larger 1287 degree of variation in the data. We first scale the isodepth and the pSM to be in [0, 1] so that they have the 1288 same measurement scale; moreover, before computing the QCOD within each layer, we shift the measure-1289 ments to have the same mean in order to guarantee that the OCOD values are comparable. We observe that 1290 in the cerebellum, GASTON has larger OCOD than SpaceFlow in three out of four spatial domains (Figure 1291 S1E), indicating that there is substantially more spatial variation in the GASTON isodepth compared to 1292 the SpaceFlow pSM. Similarly, in the olfactory bulb, GASTON has larger QCOD than SpaceFlow in six out 1293 of seven domains (Figure S6B). 1294

DLPFC comparison

We evaluated GASTON on SRT data from the human dorsolateral prefrontal cortex (DLPFC) measured 1296 with 10x Visium [89]. We analyzed eight DLPFC tissue slices from two donors. These slices were manually 1297 annotated with the six layers of the DLPFC and white matter (WM) and have a curved, layered geometry, 1298 providing spatial structure that may help GASTON accurately learn the geometry of these tissue slices. 1299 We compared the spatial domains identified by GASTON to two graph deep learning approaches, SpaGCN 1300 [58] and STAGATE, and our previous method Belayer [83], which requires supervision in the form of 130 approximate layer boundaries. We evaluated each method by computing the adjusted Rand index (ARI) 1302 between the estimated spatial domains and the manually annotated layers. 1303

GASTON achieves a higher average AUPRC than the graph deep learning methods SpaGCN and STA-1304 GATE (Figure S3A). Moreover, despite being completely unsupervised, GASTON has comparable AUPRC 1305 to Belayer, which requires supervision (Figure S3A,B). Importantly, the isodepth d(x, y) learned by GASTON 1306 (Figure S3C) is highly correlated with the "relative depth" d(x, y) that Belayer estimates by solving the 1307 heat equation with known layer boundaries (Figure S3D), demonstrating that the neural network used by 1308 GASTON indeed learns the cortical depth of each layer. On the other hand, the isodepth d has lower cor-1309 relation (Figure S3D) with both the top principal component (PC1) and the top generalized linear model 1310 principal component (GLM-PC1), which are derived solely from gene expression and do not use the spa-1311 tial coordinates. These comparisons indicate the importance of spatial information in deriving an accurate 1312 measurement of layer depth. 1313

¹³¹⁴ Overall, the improved performance of GASTON demonstrates the value of using simple and inter-¹³¹⁵ pretable neural network architectures.



Figure S1: (A) The isodepth d(x, y) learned by GASTON scaled to [0, 1]. (B) The pseudo-Spatiotemporal Map (pSM) learned by SpaceFlow [113] scaled to [0, 1]. (C) The isodepth d(x, y) in the granule layer (as identified by GASTON), shown with three equally spaced contours of equal isodepth. (D) The pSM in the granule layer shown with three equally spaced contours of equal pSM. (E) The quartile coefficient of dispersion of the GASTON isodepth and the SpaceFlow pSM in each layer of the cerebellum.



Figure S2: **(A)** Venn diagram of spatially varying genes identified by GASTON in the mouse cerebellum. Numbers indicate genes with specified spatial expression pattern(s). **(B)** Isodepth versus expression for *CPLX2*, which has discontinuities in expression at the granule layer boundaries.



Figure S3: (A) Adjusted rand index (ARI) for GASTON, Belayer [83], SpaGCN [58], and STAGATE [32] in identifying the spatial domains of the dorsolateral prefrontal cortex (DLPFC). (B) The manually annotated domains and the domains identified by GASTON and Belayer for DLPFC sample 151673. (C) Isodepth *d* and spatial gradients ∇d learned by GASTON for DLPFC sample 151673. (D) Correlation between the GASTON isodepth *d* and (1) the relative depth \tilde{d} estimated by Belayer using prior knowledge of the layer boundaries (Belayer relative depth); (2) the first generalized linear model principal component (GLM-PC1); and (3) the first principal component (PC1).





Figure S4: Cell type labels for each spot in 10x Genomics Visium data from a colorectal tumor slice derived in the original study [149] using Seurat [17].

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Figure S5: (A-C) Expression shown in log CPM for Type II genes (A) *TAGLN*, (B) *ACTA2*, and (C) *LGR5*. (D-F) Expression versus isodepth for Type II gene (D) *LGR5* and Type III genes (E) *THBS1* and (F) *FUCA1*.



Figure S6: **(A)** Spatial domains learned by SpaGCN [58]. **(B)** Spatial coherence of spatial domains identified by GASTON (Figure 5C) and SpaGCN. **(C)** Pseudospatial-temporal map (pSM) learned by SpaceFlow [113], which utilizes the scRNA-seq based method diffusion pseudotime [49]. Curves denote contour lines of equal pSM. **(D)** Quartile coefficient of dispersion of the GASTON isodepth and the SpaceFlow pSM in each spatial domain identified by GASTON. **(E)** Cell type proportion as a function of SpaceFlow pSM.



Figure S7: Venn diagram of spatially varying genes identified by GASTON in the olfactory bulb.