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Author manuscript Nat Rev Neurosci. Author manuscript; available in PMC 2023 October 25.

Published in final edited form as:

Nat Rev Neurosci. 2023 April ; 24(4): 195–212. doi:10.1038/s41583-022-00674-6.

## **Spectrins: molecular organizers and targets of neurological disorders**

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## **Abstract**

Spectrins are cytoskeletal proteins that are expressed ubiquitously in the mammalian nervous system. Pathogenic variants in SPTAN1, SPTBN1, SPTBN2 and SPTBN4, four of the six genes encoding neuronal spectrins, cause neurological disorders. Despite their structural similarity and shared role as molecular organizers at the cell membrane, spectrins vary in expression, subcellular localization and specialization in neurons, and this variation partly underlies non-overlapping disease presentations across spectrinopathies. Here, we summarize recent progress in discerning the local and long-range organization and diverse functions of neuronal spectrins. We provide an overview of functional studies using mouse models, which, together with growing human genetic and clinical data, are helping to illuminate the aetiology of neurological spectrinopathies. These approaches are all critical on the path to plausible therapeutic solutions.

## **Introduction**

Neuronal spectrins coordinate the positioning and stabilization of multifunctional nanodomains and microdomains of ion channels, cell adhesion molecules, membrane transporters and scaffolding proteins<sup>1–3</sup>. Together with actin, spectrin forms a submembrane cytoskeleton thought to impart mechanical resilience to neuronal processes and mediate signalling events<sup>4</sup>. Additionally, spectrins promote vesicle and organelle transport<sup>2</sup>. Given the multifaceted roles of spectrins in neurons, it is not surprising that pathogenic variants in spectrin genes lead to neurodevelopmental disorders. Clinical variants in four (SPTAN1,

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The authors contributed equally to all aspects of the article.

Competing interests

The authors declare no competing interests.

**Supplementary information** The online version contains supplementary material available at https://doi.org/10.1038/ s41583-022-00674-6.

**Peer review information** Nature Reviews Neuroscience thanks M. Rasband, who co-reviewed with O. Sert; M. Sousa; and the other, anonymous reviewer for their contribution to the peer review of this work.

SPTBN1, SPTBN2 and SPTBN4) of the six spectrin genes expressed in the nervous system have been genetically and functionally linked to neurological disorders whose clinical presentations include intellectual disability (ID), developmental delay (DD), seizures, movement disorders and behavioural abnormalities (Table 1). The advent of accessible whole-exon sequencing for clinical diagnosis has enabled the identification of genes and variants underlying new neurological spectrinopathies and measurably expanded the list of individuals affected by these rare disorders.

Spectrinopathies of the nervous system diverge in their primary clinical diagnoses but overlap in their syndromic presentations, underscoring both the functional similarities and the distinct cellular and subcellular specializations of spectrins (Fig. 1). Spectrins are broadly expressed in the nervous system and form elongated, rod-like polypeptides directly coupled to the actin cytoskeleton to form remarkably regular networks that line the cell membrane spanning the neuron. These arrays form by assembling a basic motif that comprises α-spectrin and β-spectrin heterodimers, which then form head-to-head tetramers that crosslink F-actin (Fig. 2). This meshwork integrates into the cytosolic side of the plasma membrane by direct association with membrane lipids and ankyrins<sup>1,2</sup> (Fig. 2a). These structural hubs bring together other molecular partners, whose specific identities determine local function, and their disruption drives the underlying pathophysiology of the different spectrinopathies.

In this Review, we describe the function of spectrins in mammalian neurons and summarize recent advances in delineating their cell-type-specific and neuronal-domainspecific localization and functional specialization. We examine how impaired expression and pathogenic variants in spectrin genes lead to altered protein function, the physiological and behavioural consequences of these changes in mouse models, and their relationship to clinical presentations in humans. Lastly, we discuss potential mechanistic overlap across spectrinopathies of the nervous system and future directions that may inform the rational design of therapeutic approaches.

## **Spectrins in neuron architecture and function**

The basic set of a single α-spectrin, one giant β-spectrin and one canonical β-spectrin with ankyrin-binding activity (Fig. 2) already present in bilaterians expanded markedly in vertebrates through whole-genome duplication events<sup>3</sup>. Non-mammalian vertebrates express four β-spectrin genes. *SPTB* encodes βI-spectrin, the red blood cell β-spectrin<sup>5,6</sup> also found in neurons;  $SPTBNI$  encodes  $\beta II$ -spectrin, first characterized in the brain<sup>7,8</sup> and expressed in all tissues;  $SPTBN4$  encodes  $\beta$ IV-spectrin, found in the nervous system, pancreatic islets<sup>9</sup> and cardiomyocytes<sup>10</sup>; and *SPTBN5* encodes the giant  $\beta V$ -spectrin, which lacks the ankyrinbinding sequence and is expressed at modest levels in the cerebellum and in auditory hair and photoreceptor cells<sup>11,12</sup> (Fig. 2d,f). Mammals also express  $\beta$ III-spectrin, encoded by SPTBN2, which was first identified in the brain<sup>13,14</sup> (Fig. 2d) and detected at high levels in the pancreas, kidney, reproductive tissues and skin. In addition, vertebrates express αII-spectrin, which is encoded by  $SPTAN1$  and associates ubiquitously with β-spectrins<sup>15</sup> (Fig. 2c); and  $\alpha I$ -spectrin, encoded by *SPTA1*, which is found exclusively in mammalian

erythrocytes<sup>16</sup>. Alternative splicing expands the spectrin complement<sup>17</sup>. For example,  $\beta$ IVspectrin is also expressed as isoforms lacking portions of the N or C termini<sup>9,18</sup> (Fig. 2e).

#### **Molecular architecture of spectrins**

Spectrins are elongated molecules formed by in-tandem spectrin repeats (SRs), each containing 99–114 residues and extending approximately 100 nm in length. Crystal structures show that SRs adopt a left-handed, anti-parallel, three-helix coiled-coil topology, and are connected by short α-helical linkers19. Canonical βI–βIV-spectrins contain 16 full SRs and a partial 17th  $SR^{20}$ , two N-terminal tandem calponin homology (CH) domains, an ankyrin-binding site in SRs 14 and 15, and a C-terminal pleckstrin homology (PH) domain (Fig. 2d). βIV-spectrin has an additional sequence between the final SR and the PH domain<sup>9</sup> (Fig. 2d). Giant  $\beta V$ -spectrin contains 29 full SRs plus a partial 30th SR<sup>11</sup> (Fig. 2f). By contrast, αII-spectrin, the obligatory partner of neuronal β-spectrins, contains 20 SRs, an Src-homology 3 (SH3) domain in SR9, a calmodulin (CaM)-binding loop in SR10 and calcium-binding EF hand domains near the C terminus (Fig. 2c). Complementary motifs in SRs 1 and 2 of βI–βIV-spectrins and SRs 20 and 21 of αII-spectrin enable the antiparallel lateral assembly of  $\alpha-\beta$ -spectrin heterodimers<sup>21</sup> (Fig. 2b). Spectrin dimers assemble into tetramers via head-to-head non-covalent association between partial repeats in each α-spectrin and β-spectrin subunit (Fig. 2b–d). Atomic force microscopy studies show that the tertiary structure of SRs imparts elasticity to the molecules<sup>22</sup>. This spring-like property facilitates elastic recovery of spectrin molecules when subjected to shear stress during circulation in erythrocytes $^{23}$  or mechanical tension in axons during growth and fasciculation<sup>24,25</sup>, and offers a rationale for the formation of long-range ordered spectrin– actin assemblies in neurons<sup>26</sup>.

## **Spectrins and the neuronal cytoskeleton**

The ability of spectrins and actin to form long-range ordered networks was first observed via electron microscopy in erythrocytes, where they organize as a hexagonal lattice, in which six spectrin tetramers about 60–80 nm in length crosslink short actin protofilaments<sup>27</sup> capped by adducin<sup>28,29</sup> and tropomodulin<sup>30,31</sup>. Visualization using 3D-stochastic optical reconstruction microscopy (3D-STORM) confirmed that native erythrocytic spectrin tetramers adopt a relaxed conformation $32$  and also revealed a similar 2D polygonal spectrin lattice in the somatodendritic compartment of cultured rodent neurons<sup>33</sup>

(Fig. 1). This 2D assembly progressively develops both in the soma and in dendrites in vitro and depends on actin polymerization and βII-spectrin for its formation33. Images obtained with 3D-STORM suggest that the somatodendritic spectrin lattice contains tetramers of αII/βIII-spectrin in their extended conformation. However, whether βIII-spectrin is an essential component of this structure and the functional role of the 2D lattice are not known. In accordance with spectrin's canonical role, it is possible that one function is to stabilize protein complexes in those neuronal regions or to modulate endocytosis; this remains to be clarified.

The membrane-associated periodic skeleton (MPS), consisting of actin, spectrins and binding partners, is conserved across organisms and neuron tyes<sup>34–37</sup>. The MPS is composed

of submembrane actin rings periodically spaced at ~190 nm throughout axons and mature dendrites, which corresponds to the extended conformation of spectrin tetramers<sup>33–35</sup> (Fig. 1). This remarkable periodicity of the actin lattice is established very early in neuronal development and is likely to be conserved across neuron types and species. In mouse neurons, the MPS is detected in the proximal axon of cultured neurons as early as day in vitro 2 (DIV2) and propagates towards distal axon regions as neurons mature<sup>38</sup>. However, a recent study suggests that the MPS nucleates from multiple periodic patches along the growing axon that expand and coalesce into a single scaffold $39$ . That the youngest part of the axon with the lowest actin–spectrin periodicity has the greatest axonal diameter implicates the gradual MPS assembly in the progressive constriction of the growing  $axon<sup>39,40</sup>$ . The assembly and integrity of the MPS depend on both actin and spectrin. Perturbation of actin using destabilizing drugs and depletion of  $\beta$ II-spectrin, the most ubiquitous  $\beta$ -spectrin in neurons, by in vitro short hairpin RNA knockdown or in vivo genetic knockout prevents the assembly of the MPS or disrupts its stability. These disruptions correlate with deficits in the structural integrity of the axon initial segment (AIS), the growth of axons in vitro and in vivo, and the formation of long-range axonal tracts in mouse brains<sup>33,34,38,41,42</sup>.

Early studies in Caenorhabditis elegans showed that worms lacking β-spectrin are more prone to axon breakage upon movement<sup>43</sup>, which suggests that spectrins and the MPS promote the integrity and mechanical stability of axons under mechanical stress<sup>26</sup>. This protection could be due, in part, to the unfolding properties and intrinsic flexibility of the SRs22,44, which probably confer tension-buffering properties on the MPS and allow axons to stretch reversibly without compromising their structural or functional properties<sup>45</sup>. Several studies also support the idea that spectrins and the MPS are critical regulators of axon diameter. Loss of either βII-spectrin or the actin-capping protein α-adducin, a component of the axonal MPS, results in axon enlargement and degeneration in mouse models $40,41$ . The ability of the MPS to regulate axonal radial contractility is facilitated by the actin-binding protein non-muscle myosin II, which associates with periodic F-actin rings via its head domains46,47 .

Other functions attributed to the actin and spectrin-based MPS include serving as a signalling platform (discussed below) and acting as a diffusion barrier at the AIS that selectively filters proteins to contribute to neuronal polarity<sup>48,49</sup>. In addition, direct crosstalk between the MPS and microtubules, in which these two cytoskeletal networks depend on each other for their formation, stability and regulation, has been proposed<sup>50</sup>.

## **Subcellular localization and functional specialization**

Despite their remarkable structural and functional domain similarities, β-spectrins are differentially expressed across neuronal types and preferentially localized to specific functional compartments (for example, the AIS, nodes of Ranvier (NoR), dendrites and spines, and the postsynapse) (Fig. 1). We next discuss the preferential segregation of neuronal spectrins and what is known about how this spatial distribution is molecularly codified.

#### **Axonal spectrins: axon initial segment.**

αII-Spectrin and βIV-spectrin are the most abundant spectrin tetramers at the AIS, where they incorporate into the MPS with a ~190 nm periodicity<sup>51–53</sup> (Fig. 1).  $\alpha$ II-Spectrin associates with both βIV-spectrin-ΣI and βIV-spectrin-ΣVI isoforms, which depend on ankyrin-G for their recruitment to this axonal domain<sup>54,55</sup>. Right after axonal specification and before AIS formation, periodic αII/βII-spectrin tetramers can already be detected in the proximal axon38. However, as neurons mature, both the βII-spectrin signal and its periodicity at the AIS diminish, whereas ankyrin-G and βIV-spectrin levels increase to become highly periodic by DIV12 (ref.  $38$ ). Early βII-spectrin expression promotes the formation of a periodic  $\beta$ IV-spectrin assembly at the AIS and proper AIS development<sup>38</sup>. Consistent with these roles, neurons cultured in vitro and mouse brains lacking βII-spectrin exhibit fragmented  $AIS^{41,42,48}$  and expanded localization of  $\beta IV$ -spectrin beyond the normal AIS boundaries<sup>48</sup>. Similarly, loss of αII-spectrin, which lowers βII-spectrin levels by more than 70%, results in a reduction in the number of AISs in the mouse cortex<sup>52</sup>. The remaining AISs are fragmented, with lower levels and less periodic distribution of  $\beta$ IV-spectrin<sup>52</sup>. Impaired AIS formation in either αII-spectrin- or βII-spectrin-deficient neurons occurs despite a significant increase in levels of the  $\beta$ IV-spectrin-ΣVI isoform<sup>41,52</sup>. These results are surprising given that βIV-spectrin-ΣVI, which lacks actin binding but interacts with ankyrin-G, restores AIS morphology and the clustering of ankyrin-G and other critical AIS components of neurons lacking βIV-spectrin<sup>56</sup>. Unexpectedly, βIV-spectrin periodicity at the AIS is not affected by chemical perturbation of the submembrane actin and microtubule lattices52. Thus, the organization and function of βIV-spectrin in the AIS do not require the submembrane cytoskeleton but, rather, depend on ankyrin-G binding<sup>53,57</sup>. Targeting of  $\beta$ IVspectrin to the AIS can be further modulated by phosphorylation-dependent conformational changes in 480 kDa ankyrin-G, the giant isoform that localizes at the AIS and promotes its development<sup>56,57</sup>.  $\beta$ I-Spectrin, the major  $\beta$ -spectrin in erythrocytes, is not normally found at the AIS but re-localizes to this domain in parvalbumin-positive interneurons lacking βIVspectrin58. However, βI-spectrin cannot compensate for loss of AIS βIV-spectrin. Unlike βII-spectrin and βIV-spectrin, βI-spectrin preferentially binds ankyrin-R59, which cannot be recruited to the AIS; therefore, βI-spectrin is unable to stabilize ion channels and other AIS components<sup>58</sup>. Together, spectrins regulate the morphology, structural integrity and macromolecular composition of the AIS, which is required for efficient action potential initiation and propagation in the nervous system.

#### **Axonal spectrins: nodes of Ranvier.**

Spectrins collaborate with ankyrins to position macromolecular complexes that are essential for the ultrastructural organization and function of  $NoR<sup>60</sup>$ . Ankyrin-G and  $\beta$ IV-spectrin are confined to the nodal gap and organized with a  $\sim$ 190 nm periodicity<sup>61,62</sup> (Fig. 1). Early in development, βIV-spectrin-ΣI is the predominant isoform at NoR in mouse neurons; however, βIV-spectrin-ΣVI levels increase robustly after birth, quickly exceeding βIV-spectrin-ΣI in abundance63. Loss of ankyrin-G or βIV-spectrin does not disrupt the clustering of voltage-gated sodium channels (Na<sub>V</sub>) at NoR of sensory neurons owing to a compensatory mechanism whereby ankyrin-R and βI-spectrin concentrate at the nodes to stabilize these channels<sup>59</sup>. Studies in conditional knockout mouse models that selectively lack either βI-spectrin or βIV-spectrin, or both, only in peripheral sensory neurons (PSNs)

demonstrated a hierarchy of nodal spectrins. βIV-Spectrin is the main nodal spectrin; however, βI-spectrin can fully compensate for its loss at NoR<sup>64</sup>. Although nodal β-spectrins are not required for  $\text{Na}_{\text{V}}$  clustering during development, they are essential for maintaining NaV assemblies at NoR and the structural integrity of sensory axons, and their loss leads to axonal degeneration<sup>64</sup>. βII-Spectrin localizes to the paranodal region of NoR, where it is periodically organized<sup>62,65</sup> (Fig. 1) and required for paranode-dependent clustering of nodal Na<sub>V</sub><sup>66</sup>. Loss of βII-spectrin disrupts the paranode–juxtaparanode membrane barrier and leads to diffusion of voltage-activated  $K_V1.2$  potassium channels into paranodes and nodal gaps<sup>67</sup>. αII-Spectrin also forms a periodic cytoskeleton at nodes and paranodes<sup>68</sup> (Fig. 1). Loss of αII-spectrin disrupts the periodicity of βIV-spectrin at the nodal gap and of βII-spectrin at paranodes, impairs NoR assembly and maintenance, disrupts the restricted juxtaparanode localization of  $K_V1.2$  and causes axon degeneration<sup>68</sup>. These studies underscore the critical roles of nodal spectrins in organizing key ion channels and cytoskeletal components at NoR, which are essential for fast action potential propagation in myelinated axons and for maintaining axon integrity.

#### **Somatodendritic spectrins.**

As in axons, αII/βII-spectrin tetramers organize the MPS in dendritic shafts and in a subset of spine necks of mature (DIV16-21) cultured mouse neurons, and probably in vivo33,38,41,69,70 (Fig. 1). The formation of the dendritic MPS depends on the expression and local concentration of  $\beta$ II-spectrin<sup>33,38</sup>. Ankyrin-B, a  $\beta$ II-spectrin binding partner, is a critical regulator of βII-spectrin dendritic levels and dendritic MPS formation<sup>38</sup>. Loss of ankyrin-B results in a twofold increase in dendritic βII-spectrin in DIV10 neurons without overall changes in its total brain expression or in the organization of the axonal MPS $^{38,71}$ . Although the MPS is very irregular in DIV10 control dendrites, at this timepoint βII-spectrin and α-adducin exhibit a highly periodic distribution in all dendrites of neurons lacking ankyrin-B, quantitatively similar to their axonal periodicity<sup>38</sup>. The periodic distribution of βII-spectrin at spine necks is less penetrant and detected in 25–50% of spines, depending on culture conditions and super-resolution imaging modalities  $33,69$ . By contrast, F-actin rings are periodically organized at the neck of every spine<sup>69</sup>, suggesting that other  $\beta$ -spectrins may selectively help to assemble the dendritic MPS in a subset of spines.

Tetramers containing βIII-spectrin are likely to contribute to the periodic organization of actin and other MPS components in spines. βIII-Spectrin is highly enriched in dendrites and at the neck of spines, as shown by confocal and platinum replica electron microscopy<sup>72,73</sup> (Fig. 1). A periodic βIII-spectrin signal has also been detected by STORM in dendritic shafts of mature neurons<sup>33</sup>. Consistent with a functional role for  $\beta$ III-spectrin in spines, its knockdown decreases the density of dendritic spines and alters the formation of the constricted necks of spines in hippocampal neuronal cultures<sup>73</sup>. Deficits in βIII-spectrin also reduce the number of synapses and impair the localization of critical postsynaptic density (PSD) components, including metabotropic glutamate receptor 1α (mGluR1α) and delta-2 glutamate receptor (GluR $\delta$ 2) within spines of cerebellar Purkinje neurons<sup>72,74,75</sup>. These deficits, which are likely to arise from a failure of βIII-spectrin's essential role in localizing and scaffolding membrane proteins at dendritic domains, alter cerebellar excitability and cause motor impairments in mouse models<sup>72,74</sup>.

Multiple spectrins localize at the spine head, including βII-spectrin and βIII-spectrin (Fig. 1), whose signals do not appear to co-localize with PSD markers<sup>70</sup>. This localization pattern contrasts with previous reports that βII-spectrin selectively interacts with NMDA receptor subunits through binding sites distinct from those of members of the PSD95/SAP90 family<sup>76</sup>. βI-Spectrin is localized throughout dendrites<sup>77,78</sup> and enriched at the  $PSD^{79-81}$ . Interestingly, the βI-spectrin signal at the PSD does not co-localize with αII-spectrin, which is found mostly at the spine neck<sup>81</sup>. Whether  $\beta$ I-spectrin functions in its monomeric form in this subsynaptic domain, is integrated into spectrin tetramers or is periodically organized remains to be determined. βI-Spectrin may have both structural and functional roles in dendritic spines through its interaction with F-actin and the small GTPase RAC3 (ref. <sup>82</sup>). Expression of the actin-binding domain of βI-spectrin stabilizes actin filaments in dendritic spines by reducing its depolymerization rate<sup>82</sup>. Functionally, these changes disrupt the dynamic regulation of actin in spines and the morphological and electrophysiological plasticity of spines, as evidenced by increases in the sizes of spine heads, in the trafficking of AMPA receptors into spines and in AMPA receptor-mediated synaptic responses<sup>82</sup>. The inability of a mutant ankyrin-G that lacks βIV-spectrin binding to rescue deficits in spine development associated with ankyrin-G loss prompted the suggestion that βIV-spectrin may localize to spines and participate in the modulation of spine morphology $83$ . However, the binding site for βIV-spectrin in ankyrin-G is shared with other β-spectrins. Thus, the specific β-spectrin(s) that modulate the roles of ankyrin-G in spines, and whether βIVspectrin localizes to spines, remain to be determined through super-resolution microscopy, biochemical and functional studies.

#### **Spectrins in intracellular transport.**

The capacity of spectrins to act as transport facilitators has critical physiological consequences<sup>41,42,84</sup>. The implication of spectrin in organelle transport was first suggested by the co-migration of  $\beta$ II-spectrin with axonal organelles in the optic nerve<sup>85</sup>, and by the formation of complexes between βII-spectrin and kinesin motors KIF3A, KIF5B and KIF1A and the p150 $G$ lued dynactin subunit<sup>41</sup>. Other spectrins also associate with motor proteins or their adaptors. For instance, αII-spectrin interacts and travels with similar velocity to KIF3 in rat optic nerves<sup>86</sup>. βIII-Spectrin binds the dynactin actin-related protein 1 (Arp1) subunit87. Lastly, βV-spectrin binds KIF3A and myosin VIIa motors and the dynactin subunit dynamitin ( $p50$ ) in photoreceptor cells<sup>12</sup>. Spectrins also interact with various organelles<sup>12,41,85,88</sup> and probably operate as adaptors or accessory proteins that promote the recruitment of microtubule-based motors to cargoes. For example, βII-spectrin associates with synaptic vesicles and lysosomes in mouse brain lysates, and its loss impairs their bidirectional axonal transport in hippocampal and cortical neurons<sup>41,42</sup>. Similarly, βV-spectrin forms complexes with opsin-containing vesicles in vivo and promotes their recruitment to myosin VIIa and transport to the outer segments of photoreceptor cells<sup>12</sup>.

β-Spectrins are recruited to intracellular membranes via coupling of their PH domains to membrane phospholipids. Expression of the K2207Q βII-spectrin PH domain mutant, which is incapable of binding phosphoinositide<sup>89</sup>, cannot restore axonal transport in neurons lacking βII-spectrin<sup>41,90</sup>. Similarly, reconstituted motility assays using cytoplasmic material and liposomes from squid axons showed that expression of a dominant-negative

construct containing the PH domain of βII-spectrin or βIII-spectrin impaired organelle and liposome retrograde motility<sup>88</sup>. These studies indicate that the association of  $\beta$ -spectrins with membrane lipids is required for axonal transport driven by dynein or dynactin<sup>88</sup>. β-Spectrins also bind integral membrane proteins on vesicles, as suggested by the direct interaction of βII-spectrin with synapsin  $I^{91}$ . The association of β-spectrins with vesicles could be mediated by ankyrin-B, which binds surface phosphoinositol trisphosphate lipids in organelles and regulates axonal transport<sup>71</sup>. However, the Y1874A mutant form of  $\beta$ IIspectrin, which does not bind ankyrin-B, rescues synaptic vesicle dynamics in neurons lacking βII-spectrin, which suggests that ankyrin-B and βII-spectrin promote axonal transport through independent pathways<sup>41</sup>.

How can spectrins simultaneously integrate into the axonal MPS and associate with motors and cargoes? Are the axonal transport deficits in neurons lacking β-spectrins secondary to MPS disruption? It is possible that high F-actin concentrations combined with the low-affinity association between F-actin and the CH domains of β-spectrins partition spectrin molecules between motor protein-bound and MPS-associated pools. Interestingly, βII-spectrin regulates axonal cargo transport from early axonal development in the absence of the MPS, which forms a few days later $41$ . However, the secondary effects of acute MPS disassembly on axonal organelle trafficking warrant further investigation.

#### **Spectrins in signal transduction.**

The ability of spectrins to periodically organize functionally related membrane proteins suggests that the MPS may serve as a structural platform that regulates signalling events spatially and temporally. Multiple signalling molecules, including G-protein-coupled receptors (GPCRs), cell adhesion molecules and receptor tyrosine kinases (RTKs), are periodically distributed in axons and co-localize with the MPS near the ankyrin-binding region of the spectrin tetramer<sup>4</sup>. The incorporation of a subset of signalling molecules into the MPS is potentiated (or only detected) following extracellular stimulation<sup>4</sup>. Thus, the initial association of these molecules with the MPS probably increases their local concentration, amplifies their recruitment through multivalent interactions with ankyrins and spectrins, and promotes the formation of signalling hubs<sup>4</sup>. In support of this view, periodically localized cannabinoid type 1 receptor (CB1) and neural cell adhesion molecule 1 increased their association with the MPS upon treatment with a CB1 agonist, which resulted in RTK transactivation and activation of the ERK cascade in neurons<sup>4</sup>. Interestingly, ERK signalling causes degradation of spectrin and the MPS by calpain, which provides a feedback loop mechanism for neuronal signal attenuation<sup>4</sup>.

## **Insights from mouse models**

The high evolutionary conservation of spectrin genes across mammals has made knock-in, global and conditional knockout mouse models of spectrins valuable tools for discerning their roles in the nervous system and investigating the pathogenic mechanisms of nervous system spectrinopathies (Fig. 3; see Supplementary Table S1).

#### **Sptan1 mouse models**

Although global loss of αII-spectrin causes early lethality around mouse embryonic day 12.5–16.5 and craniofacial, neural tube and cardiac anomalies, haploinsufficiency does not affect the lifespan or lead to obvious abnormalities<sup>92</sup>. Mice with conditional loss of  $\alpha$ II-spectrin in all neural progenitors (Nestin-Cre; *Sptan1<sup>f/f</sup>*) survive for the first month of life, providing a powerful tool to define the in vivo roles of αII-spectrin during early CNS development<sup>52</sup>. Juvenile Nestin-Cre; Sptan1<sup>f/f</sup> mice exhibit generalized seizures with nearly continuous limb movements and abnormal EEG discharges. These epileptic presentations are in line with cellular and anatomical brain abnormalities that can alter neuronal excitability, including fewer and fragmented AISs in the cerebral cortex, disrupted cortical lamination (probably owing to arrested neuronal migration) and reduced complexity of dendritic arborization in the mouse cortex and in hippocampal neuron cultures<sup>52</sup>. Loss of αII-spectrin also resulted in fewer Purkinje neurons, which show fragmented and shorter AISs, disrupted formation of Pinceaux terminals from basket cells, thinning of their dendrites and altered organization of axonal projections. Brains from Nestin-Cre; Sptan1<sup>f/f</sup> mice exhibited increased immunostaining for β-amyloid precursor protein in Purkinje neurons, the thalamus and the cortex, suggesting widespread neuronal degeneration<sup>52</sup>. CRISPR-mediated deletion of Sptan1 in embryonic rat forebrain via in utero electroporation disrupted neuronal polarity, AIS organization and dendritic development<sup>93</sup>. Loss of aIIspectrin also impaired callosal axon growth and guidance, and resulted in corpus callosum dysgenesis, defective GABAergic innervation and reduced frequency of miniature inhibitory postsynaptic currents in cortical pyramidal neurons, all consistent with hyperexcitability and epileptic presentations.

αII-Spectrin also promotes the organization of excitable axonal domains in PSNs. Young adult Advillin-Cre;  $Sptan I^{ff}$  mice with selective  $\alpha$ II-spectrin loss in PSNs exhibit severe ataxia, motor impairments and reduced nerve conduction velocity associated with preferential degeneration of myelinated proprioceptor and mechanoreceptor axons<sup>68</sup>. Affected sensory axons show a reduced number of NoR, disrupted paranodal junctions and mislocalized  $K_V1.2$  channels, suggesting mechanical weakening of the axons at NoR68. Degeneration of peripheral axons is consistent with motor neuropathy phenotypes reported for some affected individuals carrying disease-linked SPTAN1 variants (described below) $94-96$ .

Models of αII-spectrin deficits in selective neurons have provided insights into its roles in nervous system function. However, the in vivo characterization of morphological and behavioural phenotypes associated with pathogenic SPTAN1 variants predicted to operate via dominant or dominant-negative mechanisms has lagged. The identification of a mouse line carrying the spontaneous p.R1098Q mutation<sup>97</sup> allows us to study how heterozygous expression of the p.R1098C or p.R1098S variants (Fig. 4a), in the same residue, causes combined ataxia, spasticity, ID and seizures in humans<sup>98</sup>. Initial evaluations show that heterozygous p.R1098Q mice develop progressive ataxia with tremors, cerebellar degeneration and extensive neuronal loss in the neocortex and hippocampus<sup>97</sup>. Substitutions at p.R1098 are predicted to intrinsically enhance proteolysis of αII-spectrin by calpain,

which may destabilize the submembrane spectrin cytoskeleton, disrupt axon and dendritic development and integrity, and cause global neurodegeneration<sup>97</sup>.

#### **Sptbn mouse models**

Conditional loss of βI-spectrin in all neural progenitors (Nestin-Cre; Sptbn<sup>f/f</sup>) does not lead to any discernible alterations in the structural organization of the AIS of cortical neurons or in motor performance<sup>58</sup>. Similarly, mice selectively lacking βI-spectrin in PSNs (Advillin-Cre; Sptbn<sup> $f/f$ </sup>) show normal proprioceptive responses, nerve conduction velocity and nodal Na<sub>V</sub> channel clustering<sup>64</sup>. Thus, although  $\beta$ I-spectrin is highly expressed in multiple neuronal types, it does not seem to be essential for central or PSN function, probably owing to compensation by other neuronal β-spectrins.

#### **Sptbn1 mouse models**

Whole-body loss of the embryonic liver fodrin (ELF) isoform of βII-spectrin, which lacks the PH domain, results in mid-gestational embryonic lethality with severe growth delay and aberrant development of the heart, gut, liver and brain<sup>99</sup>. Mice with complete loss of βII-spectrin in all neural progenitors (Nestin-Cre; *Sptbn1<sup>f/f</sup>*) exhibit early lethality at around 5 weeks, accompanied by global DD, overt hyperactivity and motor deficits, tremors and seizures<sup>41,42</sup>. Brain βII-spectrin haploinsufficiency also leads to global DD and social interaction deficits in young adult mice $42$ . DD was also observed upon selective loss of βII-spectrin in cortical projection neurons (Nex-Cre; Sptbn1<sup>f/f</sup>), suggesting neuronalautonomous mechanisms<sup>42</sup>. Selective loss of βII-spectrin in auditory hair cells (Atoh1-Cre; Sptbn1<sup> $f/f$ </sup>) causes deafness, probably owing to its critical roles in organizing the actin cytoskeleton around the base of hair cell stereocilia rootlets<sup>100</sup>. Behavioural effects of brain βII-spectrin deficiency in mice are consistent with autism spectrum disorder (ASD), attention deficit and hyperactivity disorder (ADHD), and learning, motor and hearing deficits reported in carriers of *SPTBN1* variants (discussed below)<sup>42,101</sup>.

βII-Spectrin is required for the establishment of long-range cerebellar axons and of axonal tracts connecting the cerebral hemispheres in the mouse brain, and for the proper formation of neocortical layers that give rise to callosal-projecting neurons<sup>41,42</sup>. That βII-spectrin regulates brain cytoarchitecture is demonstrated by the presence of corpus callosum hypoplasia in humans with SPTBN1 variants and in mice with complete loss or haploinsufficiency of βII-spectrin in the entire brain or only in cortical projection neurons41,42 . βII-Spectrin also has critical roles in myelinated PNS axons. At paranodes, βII-spectrin provides a barrier that promotes the polarized assembly of macromolecular complexes<sup>67</sup>. Mice lacking  $\beta$ II-spectrin in PSNs (Advillin-Cre; *Sptbn1<sup>f/f</sup>*) exhibit impairments in motor coordination and proprioceptive responses<sup>67</sup>.

#### **Sptbn2 mouse models**

Studies in whole body βIII-spectrin hypomorph mice have demonstrated its role in stabilizing surface excitatory amino acid transporter 4 (EAAT4) in dendrites of Purkinje neurons102–104. Loss of βIII-spectrin also reduces dendritic levels of the glutamate transporter GLAST and ankyrin-R, and sodium currents in Purkinje neurons $103,104$ . In addition, expression of the p.E532\_M544del βIII-spectrin variant associated with

spinocerebellar ataxia type 5 (SCA5) impairs localization of mGluR1α in Purkinje neuron dendritic spines and long-term potentiation in knock-in mice<sup>74</sup> (Fig. 3). It is likely that combined deficits in cerebellar glutamatergic transmission and in the firing of Purkinje neurons in βIII-spectrin-deficient mice may intrinsically diminish Purkinje neuron output and cause their degeneration. Beyond progressive deficits in motor function, βIII-spectrindeficient mice exhibit myoclonic seizures, underperform in a subset of cognitive and memory tasks, and show thinning of dendrites of prefrontal cortex neurons<sup>72,104,105</sup>. These behavioural phenotypes correlate with cognitive deficits of probands homozygous for the recessive human C627\* variant, which is predicted to eliminate most βIII-spectrin expression<sup>105</sup>. Interestingly, neither βIII-spectrin haploinsufficient mice nor individuals hemizygous for the C627\* variant show obvious cerebellar or cortical dysfunction<sup>72,105,106</sup>, which suggests a total loss-of-function mechanism. By contrast, multiple individuals heterozygous for de novo missense SPTBN2 variants develop severe childhood-onset cerebellar ataxia and ID<sup>107–113</sup>. These findings point to strong gain-of-function or dominantnegative effects, which may not be recapitulated by loss-of-function models and warrants further investigation.

#### **Sptbn4 mouse models**

Autosomal recessive variants in *Sptbn4* are associated with auditory and motor neuropathies, progressive ataxia with hindlimb paralysis and tremors in six lines of homozygous 'quivering' (Sptbn $4q^{q/(q)}$ ) mice<sup>114</sup> (Fig. 3). These Sptbn4 variants result in different truncations of βIV-spectrin and in its reduced expression, which correlates with the severity of the neurological phenotypes. For example, Sptbn4<sup>qv3J/qv3J</sup> mice, which carry a frameshift mutation at G2210 that is predicted to eliminate the PH domain and add a novel 49 amino acid extension in the C-terminal region, have disrupted NoR in the CNS but mostly normal PNS nodes<sup>114–116</sup>. By contrast, the nonsense Q1359\* mutation in SR10 found in  $Sptbn49v4J/qv4J$  mice<sup>114</sup> impairs the localization of nodal, paranodal and juxtaparanodal proteins at NoR in the PNS<sup>115,116</sup>. The more penetrant effect of the  $Sptbn4^{qv4J/qv4J}$ mutation may be explained by the loss of the ankyrin-binding region of βIV-spectrin-ΣI and an extensively truncated βIV-spectrin-ΣVI isoform, combined with their reduced expressions<sup>114,116</sup>. Global loss of the βIV-spectrin-ΣI isoform recapitulates the quivering mice phenotypes<sup>18,51</sup>, which underscores its roles in the organization and function of the AIS and NoR in vivo. Interestingly, a gene trap insertion mouse model that lacks full-length βIV-spectrin-ΣVI and βIV-spectrin-ΣI but might express N-terminal truncation fragments of βIV-spectrin-ΣI shows the hallmarks of the quivering mice phenotype, including a more severe progression than the isoform-specific knockout mice<sup>54</sup>.

Functional evidence from mouse models suggests that pathogenic SPTBN4 variants in the CNS operate principally through destabilization of the AIS followed by progressive neuronal dysfunction and degeneration. Conditional knockout of βIV-spectrin in the brain (Nestin-Cre; Sptbn $4<sup>f/f</sup>$ ) causes tremors and poor motor performance, collateral to reduced expression of ankyrin-G and  $\text{Na}_{\text{V}}$  channels in the AIS of cortical neurons<sup>58</sup>. By contrast, Na<sub>V</sub> clustering at CNS NoR is not affected because of compensation by  $βI-spectrum<sup>64</sup>$ .  $βIV-spectrum$  is also dispensable for normal clustering of Na<sub>V</sub> channels at NoR in the PNS (Advillin-Cre; *Sptbn4<sup>t/f</sup>*) through the functional compensation of the partners  $\beta I$ -spectrin and

ankyrin- $R^{59,64}$ . Interestingly, a nerve biopsy from a proband with compound heterozygous p.R504Q/p.R2435C βIV-spectrin variants showed normal NoR morphology and Na<sub>V</sub> channel clustering, but weaker KCNQ2 immunoreactivity, an observation replicated in myelinated axons of *Sptbn4<sup>qv3J/qv3J* and ankyrin-G conditional knockout mice<sup>115,117,118</sup>.</sup> It is possible that the redundant ankyrin-R–βI-spectrin complex, which is important for the localization and maintenance of  $K_V3.1b$  at NoR<sup>118</sup>, does not rescue KCNQ2. KCNQ2 deficiency may contribute to sensory nerve dysfunction and peripheral neuropathy.

#### **Sptbn5 mouse models**

The contribution of βV-spectrin to cochlear outer hair cell (OHC) function and auditory responses was recently investigated using a global knockout mouse model of *Sptbn5* (Sptbn5<sup>-/-</sup>) generated by a targeted exon deletion<sup>119</sup>. These mice exhibit normal OHC electromechanical activity and auditory thresholds, probably owing to the undetectable expression of *Sptbn5* transcripts in OHCs. By contrast, *Sptbn5* transcripts are present in spiral ganglion neurons and βV-spectrin loss is associated with decreases in the number of afferent and efferent nerve fibres and in auditory brainstem response wave 1 amplitudes<sup>119</sup>. These data suggest that βV-spectrin promotes the maintenance and function of nerves in the peripheral auditory system but has no critical role in OHCs.

## **Spectrinopathies of the nervous system**

Although pathogenic variants in spectrins are categorized as rare, their identification in individuals with neurological disorders is rapidly growing. Here we describe the spectrinopathies of the nervous system (Table 1) and summarize current knowledge regarding their clinical presentation (Fig. 5) and pathogenic mechanisms.

#### **SPTAN1 spectrinopathy: epilepsy, ID and motor deficits**

More than 40 pathogenic de novo and inherited SPTAN1 variants have been linked to a wide range of neurological presentations<sup>94–98,120–137</sup> (Table 1 and Figs. 4a and 5; see Supplementary Table S2). The first association of αII-spectrin with human neurological diseases was the identification of de novo heterozygous in-frame deletion (p.E2207del) and in-frame duplication (p.R2308\_M2309dup) variants in SR20 in two patients with West syndrome<sup>120</sup>. In addition to early-infantile epileptic encephalopathy (EIEE) with frequent severe seizures, these individuals presented with abnormal cortical and white matter development, corpus callosum thinning, hypomyelination, cerebellar atrophy, severe DD, ID and spastic quadriplegia<sup>120</sup>. Other studies have implicated de novo in-frame duplications and deletions in SPTAN1 in EIEE and epilepsy<sup>120–123,125,127,130,131,136</sup>. More recently, heterozygous nonsense SPTAN1 variants have been linked to hereditary motor neuropathy94,95, a de novo heterozygous nonsense variant to sensorimotor peripheral neuropathy with DD134, homozygous and biallelic missense variants to hereditary spastic paraplegia<sup>133,134</sup>, and both de novo and dominantly inherited missense variants to cerebellar ataxia with ID, often accompanied by spasticity and seizures<sup>98,136</sup>.

The corresponding amino acid position affected by pathogenic SPTAN1 variants does not predict the presence or severity of specific neurological presentations. However, the

emerging picture points to a segregation of EIEE and West syndrome diagnosis with a cluster of variants in the spectrin dimerization domain in SR20 (Fig. 4a). The variability in variant type, disease onset and phenotypic spectrum suggests that SPTAN1 variants operate through different mechanisms. For instance, aggregates of αII-spectrin have been detected in cortical mouse neurons expressing p.E2207del and p.R2308\_M2309dup mutants<sup>120</sup>, and in patient-derived induced pluripotent stem cell-derived glutamatergic neurons expressing a p.D2303 L2305dup variant<sup>93</sup>, all of which are EIEE-linked variants, which suggests gain-of-function or dominant-negative effects. By contrast, αII-spectrin haploinsufficiency probably contributes to hereditary motor neuropathy presentations, given that hereditary motor neuropathy-linked nonsense SPTAN1 variants cause degradation of the mutant transcript by nonsense-mediated decay<sup>94</sup>. SPTAN1 variants associated with cerebellar ataxia and mild ID (p.K2083del), hereditary spastic paraplegia (p.R19W) and combined ataxia, spasticity, ID and seizure phenotypes (p.R1624C, p.R1098C and p.Q2205P) possibly destabilize SR folding through the loss of electrostatic interactions and hydrogen bonds98. Interestingly, the spontaneous p.R1098Q mutation in mouse αII-spectrin leads to progressive ataxia with tremors and seizures in mice<sup>97</sup>. Substitutions at p.R1098, near the start of SR10, which contains the calpain cleavage and CaM-binding sites, are predicted to alter αII-spectrin's CaM affinity and sensitivity to calpain proteolysis<sup>97</sup>. Overall, diseaselinked SPTAN1 variants impair the organization and maintenance of the neuronal actin– spectrin cytoskeleton, which has functional consequences in axonal development and connectivity, the formation and function of excitable axonal and synaptic domains, and neuronal excitability.

#### **SPTBN1 spectrinopathy: DD, ID, epilepsy, ADHD and ASD**

SPTBN1 variants cause an autosomal-dominant syndrome of early onset characterized by global developmental language and motor delays<sup>42,101</sup> (Table 1 and Figs. 4b and 5; see Supplementary Table S2). Affected individuals also co-present with mild to severe ID, seizures, movement abnormalities, hypertonia and hypotonia, and hearing impairments. Behavioural diagnoses include ASD, ADHD, sleep disturbances, anxiety, emotional liability and aggressive or self-injurious behaviours. Notably, ASD and ADHD are concurrent in a subset of probands, which supports the identification of SPTBN1 as a top risk gene among genes with rare truncating variants that co-occur in these disorders<sup>138</sup>. Thirty-four unique heterozygous variants in *SPTBN1* have been identified in 33 affected individuals from 32 families, including 1 pair of siblings and 1 proband with 2 variants in  $ci\epsilon^{42,101}$ (Fig. 4b). Of those, 24 variants are missense, 4 are nonsense and 3 are canonical splice site variants, with 2 variants predicted to lead to in-frame deletions and 1 to a frameshift that introduces a premature stop codon. Three additional variants are predicted to cause protein frameshifts and loss of function<sup>42,101</sup>. Parental studies suggest that most individuals in these studies carry de novo variants. However, two maternal half-siblings inherited the p.R1003W variant from their unaffected mother, who shows low levels of mosaicism<sup>42</sup>. In addition, the carrier of the c.763+1G>A splice variant inherited it from his mother, who exhibits learning disabilities but not  $ID^{101}$ .

Approximately half of the variants cluster in the CH domains, which show a higher degree of missense variant constraint in the population than the rest of the protein  $(ExAC v.10)^{139}$ ,

indicating its critical function. CH domain variants show deleterious effects on βII-spectrin's interactions with F-actin and αII-spectrin, and on cytoskeleton dynamics and neuronal morphology. Interestingly, variants within the proximal region of the second CH domain induce destabilizing structural effects on βII-spectrin that reduce its solubility and cause its aberrant accumulation within cytosolic aggregates together with F-actin and  $\alpha$ II-spectrin<sup>42</sup>. Other variants affect βII-spectrin's interactions with ankyrins or membrane lipids because they result in truncated polypeptides that lack the ankyrin-binding motif and/or the PH domain.

The pathogenicity of clinically relevant *SPTBN1* variants is also supported by structure– function studies in which they failed to rescue deficits in axonal length, axonal organelle transport, AIS organization and dendritic development of cortical neurons lacking mouse  $βII-spectrum<sup>42</sup>$ . These results demonstrate the functional conservation between human and mouse βII-spectrin and highlight their multifaceted roles. Expression and functional studies in mouse neurons lacking βII-spectrin and patient-derived induced pluripotent stem cells suggest that loss-of-function mechanisms contribute to neuronal dysfunction. However, changes in the binding affinity for F-actin, in neuronal morphology and in the formation of cytosolic aggregates, which are not observed upon reduction in βII-spectrin levels, indicate that CH domain variants are likely to contribute to neurological deficits through dominant or dominant-negative effects that affect the submembrane cytoskeleton.

#### **SPTBN2 spectrinopathy: spinocerebellar ataxia with and without ID**

Inherited autosomal dominant variants in SPTBN2 cause late-onset SCA5 (refs. 75,140–142) (Table 1 and Figs. 4c and 5; see Supplementary Table S2). In addition, de novo and autosomal recessive SPTBN2 variants have been associated with early childhood ataxia, which often co-segregates with ID and DD104,106,108–113,143–152 (Fig. 4c; see Supplementary Table S2). SCA5, the first brain spectrinopathy reported, was described in three unrelated families in the United States, France and Germany<sup>153–155</sup>. Symptoms appear anytime between early childhood and the seventh decade of life, but most frequently during adulthood. MRI and autopsy evaluations from these families show cerebellar cortical atrophy without other brain alterations, consistent with a pure form of cerebellar degeneration. Affected members of the American and French families are hemizygous for a 13 amino acid (p.E532\_M544del) and a 5 amino acid (L629\_R634delinsW) in-frameinsertion deletion in SR3, respectively. The German family carries the p.L253P variant in the second CH domain<sup>75</sup>. In addition, the SR2 p.T472M variant co-segregates with late-onset pure cerebellar ataxia in members of a family of Norwegian descent<sup>140</sup>. Interestingly, de novo variants that affect the same residues have been identified in unrelated patients with early childhood ataxia, DD and cognitive deficits, strongly supporting their pathogenic  $effects<sup>107–111,143–145</sup>$ . The growing number of cases also indicates that the association of SPTBN2 variants with ataxia and various neurological presentations is more common than previously appreciated<sup>156</sup>.

Evaluation of post-mortem samples from patients with SCA5 points to significant degeneration of the cerebellum with Purkinje neuron loss, thinning of the molecular layer and changes in the distribution of the EAAT4 and the glutamate receptor GluRδ2, probably

owing to their reduced stability at the surfaces of Purkinje neuron dendrites<sup>75</sup>. Changes in glutamate signalling secondary to deficits in βIII-spectrin levels and/or function could contribute to Purkinje neuron death and to motor and cognitive deficits. The p.L253P SCA5 variant significantly increases binding affinity for F-actin, suggesting that altered modulation of F-actin dynamics could also contribute to disease pathology<sup>157</sup>.

## **SPTBN4 spectrinopathy: congenital hypotonia, neuropathy and deafness, with and without ID**

Sixteen SPTBN4 variants have been linked to a neurodevelopmental disorder with congenital hypotonia, neuropathy and deafness  $(NEDHND)^{115,158-163}$  (Table 1 and Figs. 4d) and 5; see Supplementary Table S2). These include 11 nonsense, 4 missense and 1 canonical splice site variants as well as 1 multi-exon deletion (Fig. 4d). Most affected individuals carry recessive homozygous *SPTBN4* variants; three have compound heterozygous variants. This suggests that variants in both SPTBN4 alleles are necessary to induce pathogenicity. Common presentations among probands include lack of head control and ability to sit, stand and walk, congenital muscular hypotonia and axonal neuropathy, often accompanied by severe DD and ID. More than 50% of probands also experience seizures or have abnormal EEG recordings $115,158-163$ .

The first association of SPTBN4 variants with NEDHND was the identification of a homozygous p.Q533\* variant in a 10-year-old boy born to healthy heterozygous carrier parents158. A muscle biopsy revealed an absence of sarcolemma βIV-spectrin and the presence of demyelinating axonal motor neuropathy, probably owing to loss of sodium channels at neuromuscular junction sites. Six additional individuals with homozygous or compound heterozygous SPTBN4 variants were reported to present with NEDHND, central vision impairment and  $ID^{115}$ . Functional characterization of this subset of *SPTBN4* variants in rat hippocampal neurons indicated changes in the organization of the AIS, which are likely to alter neuronal excitability. In addition, a homozygous canonical splice site variant predicted to generate an in-frame βIV-spectrin polypeptide missing the protein sequence encoded by exon 19 was found in a sibling pair also born to heterozygous carrier parents<sup>159</sup>. Although these siblings shared severe hypotonia and axonal neuropathy, they did not exhibit ID or deafness, suggesting that the resulting βIV-spectrin, which is predicted to lack 49 amino acids at the beginning of SR7, is partially functional. The clinical spectrum associated with SPTBN4 variants was recently expanded with the identification of five individuals carrying biallelic variants, who in addition to the common neurological presentations also developed horizontal nystagmus, abnormal EEG without seizures and choreoathetosis<sup>160</sup>. This broad nervous system phenotype underscores the critical functions of βIV-spectrin in the organization and maintenance of key neuronal domains in both the CNS and the PNS. Interestingly, the absence of symptoms in heterozygous carriers of pathogenic SPTBN4 variants is consistent with the apparent lack of neurological phenotypes in mice with partial loss of βIV-spectrin<sup>54</sup>. It is possible that these partial deficiencies in βIV-spectrin are rescued by βI-spectrin<sup>58,64</sup>.

## **Overlapping and diverging pathogenic mechanisms in spectrinopathies**

Spectrinopathies of the nervous system are largely syndromic and display a wide range of clinical presentations within and across the affected spectrin genes (Table 1 and Fig. 5; see Supplementary Table S2). Underlying this variety is the intrinsic multifunctionality of spectrins, together with their cell-specific expression in the nervous system and their domain-specific localization in neurons (Fig. 1). Consequently, the penetrance and degree of pathogenicity of a spectrin variant is likely to be determined by the extent to which it affects some, or all, spectrin functions and its resulting effects at the cellular and circuit levels. Within this complexity, certain overlapping clinical features of brain spectrinopathies indicate similarities in the underlying molecular mechanisms and/or converging pathways (Fig. 5). For example, a large group of individuals affected by any of the four nervous system spectrinopathies present with DD, ID, seizures or movement abnormalities.

A convergent pathogenic mechanism may operate through αII-spectrin deficiency, either directly caused by αII-spectrin variants or through effects of β-spectrin variants on αII-spectrin function, or in the stability of the corresponding spectrin tetramers. For example, SPTBN1 variants that cause βII-spectrin aggregation in cortical neurons sequester endogenous  $\alpha$ II-spectrin within the aggregates<sup>42</sup>. Co-aggregation of  $\alpha$ II-spectrin and βIIspectrin is also observed in patient-derived glutamatergic neurons expressing clinically relevant SPTAN1 variants<sup>93</sup>. αII/βII-Spectrin aggregates interfere with normal protein function and may be inherently toxic to neurons. These aggregates also sequester F-actin and further disrupt cytoskeleton organization and dynamics, which underlie observed aberrant neuronal morphologies<sup>42</sup>.

Several disease-linked βII-spectrin variants cluster in the CH domains and dysregulate binding to  $F\text{-actin}^{42}$ . Molecular modelling suggests that their range of effects on  $F\text{-actin}$ binding affinity is probably due to both local and CH domain-wide conformational changes caused by modified intramolecular interactions that affect contacts at the βII-spectrin– F-actin interface. Modelling also predicts structural instability due to substantial charge changes and steric hindrance introduced by amino acid substitution in the CH domain, which probably underlies protein aggregation in cells<sup>42</sup>. Interestingly, the site of the pathogenic p.L250R βII-spectrin variant is conserved in βIII-spectrin (p.L253), and the p.L253P βIII-spectrin change causes SCA5 (refs.  $42,75$ ). The L250/L253 site modulates βIIspectrin/βIII-spectrin affinity for F-actin<sup>42,164</sup>, suggesting that the deficits in this function as a shared pathogenic mechanism. However, given that very few human variants have been reported in the CH domains of βIV-spectrin and that their effects on F-actin binding are unknown, it is not clear whether disruption of β-spectrin/F-actin is a universal pathogenic mechanism shared by all β-spectrinopathies.

Macro-scale disruption of the spectrin–actin cytoskeleton resulting from spectrin deficits disturbs the organization of functional neuronal macrodomains. Deficits in αII-spectrin, βII-spectrin and βIV-spectrin are associated with AIS loss, fragmentation or reduction in key AIS components such as ion channels<sup>42,48,52,93,115</sup> (Fig. 3a). These structural and macromolecular changes alter neuronal polarity, AP initiation and kinetics, and ion channel function, which may contribute to seizures and epileptic phenotypes in multiple patients

across these syndromes. Similarly, the roles of αII-spectrin, βII-spectrin and βIV-spectrin in organizing macromolecular complexes at NoR of PSN axons (Fig. 3a) likely underlie hypotonia and hypertonia, and neuropathy presentations associated with their selective disruptions42,94–96,98,120,133,134,158–160 .

Although failure to properly traffic or stabilize neuronal membrane proteins appears to be a shared mechanism of spectrinopathies, the individual spectrin partners, cell type expression and neuronal domain localization likely confer specificity (Fig. 1). For example, βIII-spectrin interacts with EAAT4 in the soma and dendrites of Purkinje neurons and with mGluR1 $\alpha$  in dendritic spines<sup>74,75,102</sup>. Loss of βIII-spectrin or SCA5-linked variants cause deficient mGluR1-mediated long-term potentiation, Purkinje cell degeneration and cerebellar dysfunction through combined and cumulative effects of glutamate excitotoxicity and disrupted synaptic function<sup>74</sup> (Fig. 3a). Loss of βII-spectrin, or its pathogenic variants, affects axonal organelle transport, which probably contributes to altered protein distribution, diminished axonal growth in vitro and in vivo, and impairments in brain-wide axonal connectivity observed in probands and mouse models<sup>41,42</sup> (Fig. 3).

Deficits associated with spectrin dysfunction might also result from alterations in their binding partners, ankyrins, which are regulators of neuronal transport, membrane organization and long-range axonal connectivity<sup>1–3,71,165</sup>. For example, pathogenic *SPTBN4* variants impair βIV-spectrin binding to ankyrin-G and its clustering at the AIS<sup>159</sup>. Likewise, multiple βII-spectrin variants associated with the SPTBN1 syndrome truncate the polypeptide prior to the ankyrin-binding domain and impair its binding to ankyrin-B<sup>42</sup>. In addition, SCA5-linked SPTBN2 variants reduce ankyrin-R localization in Purkinje neuron dendrites, which is required for regulating  $\text{Na}_{\text{V}}$  levels and intrinsic excitability<sup>103</sup>. Dendritic underdevelopment has also been commonly observed across mouse and cellular models of either neuronal spectrin deficiency or SPTAN1, SPTBN1 and SPTBN2 spectrinopathies<sup>42,72,74,97,104</sup> (Fig. 3a). These effects suggest an additional pathogenic mechanism that can affect synaptic function; however, the functional roles of spectrin in dendrites and postsynaptic domains have not been extensively studied.

## **Concluding remarks and future directions**

Pathogenic variants in four of the six spectrin genes expressed in the nervous system have been genetically and functionally associated with complex neurological syndromes. Although conditional knockout models of βI-spectrin (SPTB) did not show apparent neuronal or behavioural phenotypes<sup>58,64</sup>, whether variants in this gene result in neurological deficits remains to be determined. A recent report linked de novo βV-spectrin (SPTBN5) variants to ID, aggressive behaviours and variable presentations including facial dysmorphisms and autistic behaviours in four unrelated individuals<sup>166</sup>. However, this study only evaluated the functional impact of putative pathogenic variants using in silico prediction tools. Thus, whether these variants are indeed pathogenic and the extent to which they affect βV-spectrin expression, localization or specialized functions in neurons remain to be established. Future studies that focus on discerning the neuronal types and domains in which  $\beta V$ -spectrin is expressed in the nervous systems, its functional roles and the impact

of putative disease variants using human samples and mouse models will shed light on the likelihood of pathogenicity of these and other SPTBN5 variants.

Various reports have also linked non-genetic spectrin deficits to neurological dysfunction. For instance, βIV-spectrin autoantibodies have been selectively detected in three unrelated individuals with paraneoplastic neuropathy, suggesting that they can either contribute to the development of neuropathic symptoms or serve as a biomarker<sup>167,168</sup>. In addition, βIV-spectrin levels are silenced through DNA methylation in the cerebral cortex of patients with Alzheimer disease, suggesting that impaired AIS and/or NoR function contributes to disease pathology<sup>169</sup>. The importance of maintaining proper  $\beta$ IV-spectrin levels during brain development is further demonstrated by significant associations between DNA methylation at the  $SPTBN4$  locus and severe delays in language and motor skills<sup>170</sup>. Spectrin levels have also been associated with neurodegeneration. As an example, increased levels of αII-spectrin and βII-spectrin breakdown products, generated by aberrant activation of calpain-dependent proteolysis, correlate with amyloid-β deposits and neurofibrillary tangles in the brains of individuals with Alzheimer disease<sup>171,172</sup>.  $\alpha$ II-Spectrin and βII-spectrin are also enriched in Lewy bodies in the brains of individuals with Parkinson disease  $173,174$ . Interestingly, spectrin preferentially binds  $\alpha$ -synuclein phosphorylated at Ser<sup>129</sup>, which promotes aggregation and neurotoxicity. This enhanced interaction might reorganize the actin cytoskeleton and contribute to mitochondrial dysfunction in Parkinson disease<sup>175</sup>.

Although our understanding of the neuronal roles of spectrins continues to advance, their biology in other cell types in the nervous system is largely understudied, and whether or how deficiency of spectrins in these cells may contribute to spectrinopathies is largely unknown. One study in mice lacking βII-spectrin in myelinating glial cells in both the CNS and the PNS showed that loss of the protein affected the formation and maintenance of NoR, and also altered action potential conduction velocities<sup>176</sup>. Given the marked importance of glial cells in neurodevelopmental disorders<sup>177–179</sup>, this gap in knowledge deserves attention. We also lack a comprehensive understanding of the cell types and developmental stages that are vulnerable to disruptions in each spectrin. Therefore, cell-specific, spatiotemporal maps of spectrin expression in the brain and in the PNS in humans and in animal models used to study spectrinopathies will refine our knowledge of disease progression and define potential entry points for therapeutic interventions. For example, re-expression of βIV-spectrin in a mouse model that lacks full-length βIV-spectrin-ΣVI and βIV-spectrin-ΣI<sup>54</sup> partially restored PNS NoR organization independently of the intervention time<sup>180</sup>. CNS NoR restoration was slower and less efficient if the rescue started at a later timepoint, highlighting differences in the mechanisms and recovery window between CNS and PNS myelinated axons. Motor performance and axon functional parameters were only partially improved in these mice, probably because only 50% of PNS and 25% of CNS NoR were restored<sup>180</sup>.

Lastly, additional knock-in animal models of variants linked to spectrinopathies will expand the toolbox to unambiguously discern pathophysiological mechanisms. However, because animal models often fail to recapitulate pathogenic effects observed in humans, harnessing the unique features of human induced pluripotent stem cells and CRISPR–Cas9 editing technology to establish cell-based 2D and 3D brain organoid models relevant to spectrinopathies is an attractive complementary approach<sup>181</sup>. Combined with 'omics' and

other unbiased high-throughput molecular technologies, this full arsenal will open avenues towards the identification of targets through traditional therapeutic discovery, an effort presently lacking for spectrinopathies. To this end, they will help to enable promising technologies that may offer alternative viable paths towards treatment, such as gene replacement and editing, and antisense oligonucleotide-based strategies<sup>182</sup>.

## **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

## **Acknowledgements**

The authors apologize to those colleagues whose work could not be cited because of space limitations. This work was supported by National Institute of Mental Health (NIMH) grant R01MH127848 and National Institute of Neurological Disorders and Stroke (NINDS) grant R01NS110810.

## **Glossary**





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#### **Fig. 1 |. Cellular localization and organization of neuronal spectrins.**

Mammalian neurons express six of the seven spectrins, which follow a general pattern of domain localization and organization across different neuron types. βIV-spectrin is enriched at the axon initial segment (AIS), where, together with αII-spectrin, actin and other key molecules, it forms a membrane-associated periodic skeleton  $(MPS)^{34}$ . The MPS is best characterized by actin rings enwrapping the circumference of neuronal processes with a ~190 nm periodicity, which is determined by their cross-linking by spectrin tetramers in their fully elongated conformation. The proximal-to-distal axon, including axonal branches, expresses βII-spectrin and αII-spectrin in high abundance together with relatively less abundant βIII-spectrin, all integrated into the MPS that spans the full axon. In myelinated axons of both the CNS and the PNS, βIV/αII-spectrins are localized and periodically organized in the nodal gap of nodes of Ranvier (NoR), flanked by βII/αII-spectrins in the paranode, also periodically distributed<sup>60</sup>. Upon loss of βIV-spectrin, βI-spectrin localizes to NoR and rescues βIV-spectrin function. This redundancy is not available at the AIS, probably because βI-spectrin localization depends on its molecular partner ankyrin-R, which is not recruited to the AIS59. Unlike in the axon, the probability of detecting the MPS in dendritic shafts of mature neurons, which includes βII-spectrin and βIII-spectrin, is about 50%33. In addition to the quasi-1D organization of the MPS, βIII-spectrin can form 2D

polygonal lattices in the soma and dendritic shaft. In dendritic spines, βII-spectrin and βIII-spectrin adopt MPS periodicity in the neck, but not in the head.



#### **Fig. 2 |. Tetrameric assembly and structural domains of neuronal spectrins.**

**a**, Canonical spectrins form heterotetramers of two α-units and two β-units that crosslink F-actin rings along the neuronal membrane. Spectrins bind ankyrins, which in turn stabilize membrane-spanning proteins such as cell adhesion molecules and ion channels. **b**, Spectrin tetramers assemble by linking heterodimers head-to-head via non-covalent association between the partial spectrin repeats (SRs) in the N terminus adjacent to SR1 in the α-spectrin subunits (blue) and partial SR17 at the N terminus of the β-spectrin subunits (green). Complementary motifs in SR1 and SR2 of βI–IV spectrins and SR19 and SR20 of αII-spectrin bind covalently to enable the antiparallel lateral assembly of α–β-spectrin heterodimers. **c**, αII-Spectrin spans 20 modular SRs (blue), a calcium-binding EF hand domain (yellow) close to the C terminus, an Src-homology 3 (SH3) domain (red) in SR9 and a calmodulin (CaM)-binding loop in SR10. **d**, Canonical βI–βIV-spectrins contain 16 full SRs and a partial 17th SR (green), two N-terminal tandem calponin homology (CH) domains (teal and orange), an ankyrin-binding site in SR15 and a C-terminal pleckstrin

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homology (PH) domain (purple). The CH domains enable binding to actin and the PH domain binds membrane lipids. **e**, The alternatively spliced βIV-spectrin-ΣVI isoform, which is important for maintenance of the axon initial segment (AIS), lacks the CH domains and the first eight full SRs, but retains ankyrin-binding activity. **f**, Giant βV-spectrin contains 29 full SRs plus a partial 30th SR. Whether βV-spectrin associates with αII-spectrin is not clear.



#### **Fig. 3 |. Deficiencies in mouse models of neuronal spectrin dysfunction.**

**a**, Loss, haploinsufficiency and mutations in spectrins in mice induce global, region and functional domain-specific neuronal defects in vivo and in vitro, including reduced dendritic arborization, axonal degeneration, protein mislocalization and reduced axonal transport. Neuron type and mouse model source (see Supplementary Table S1) indicated in parentheses. **b**, Major anatomical and functional phenotypes observed in mouse models of spectrin deficits in the CNS and PNS. Mouse model source (see Supplementary Table S1) indicated in parentheses. AIS, axon initial segment; APP, amyloid precursor protein; EAAT4, excitatory amino acid transporter 4; mGluR1α, metabotropic glutamate receptor type 1α; NoR, nodes of Ranvier.





**a**, Multiple reported αII-spectrin variants have been associated with neurological disorders. Variant types include missense (blue), nonsense (red), duplication (yellow), deletion (dark grey), splicing (teal), insertion (orange) and frameshift (violet). The cis superscript indicates compound heterozygous (in cis), with the letter in parentheses indicating the corresponding variant pair for a single individual. The sex of the reported individual is indicated by the lines below the dots (male, blue line; female, yellow line; unknown, discontinuous line). Number of individuals of each sex for each variant is indicated by the length of the corresponding line below the oval-shaped dot measured relative to the y-axis. Variants are distributed throughout the spectrin repeats (SRs; blue), with a cluster in the heterodimerization region (SRs 19–20). **b**, βII-Spectrin variants associated with a neurodevelopmental syndrome. These variants emerge largely de novo and are spread throughout the SRs (green), with a strong cluster in the second calponin homology (CH2;

orange) domain. **c**, βIII-Spectrin variants associated with ataxia, developmental delay (DD) and intellectual disability (ID). **d**, Reported human βIV-spectrin variants associated with disorders of the CNS and PNS. Only homozygous and compound heterozygous carriers manifest clinical presentations. The carrier of the N384Qfs\*17 $\text{CIS}(c)$  variant also bears a maternally inherited deletion with a breakpoint spanning [chr19.g.(?\_41,001,394)\_  $(41,011,375$ <sup>2</sup>)del (GRCh37)], which is predicted to delete exons 6–11 (ref. <sup>160</sup>). Knockin mouse models are indicated in the lower part of the protein schematic, with the corresponding mutated site in the mouse spectrin homologue shown in parentheses. PH, pleckstrin homology; SH, Src-homology. Part **b** adapted from ref. 42, Springer Nature Limited.



#### **Fig. 5 |. Major phenotypes in humans with spectrinopathies of the nervous system.**

Pathogenic variants in spectrins cause complex neurological syndromes in both the brain and periphery that have overlapping pathologies and clinical presentations across spectrin genes. Affected spectrin genes indicated in parentheses. ADHD, attention deficit hyperactivity disorder; ASD, autism spectrum disorder; DD, developmental delay; ID, intellectual disability.



ADHD, attention deficit hyperactivity disorder; ASD, autism spectrum disorder; DD, developmental delay; DDISBA, developmental delay, impaired speech and behavioural abnormalities; DEE,<br>developmental and epileptic encephalo developmental and epileptic encephalopathy; ID, intellectual disability; NEDHND, neurodevelopmental disorder with congenital hypotonia, neuropathy and deafness; S, infantile seizures and epilepsy; SP, ADHD, attention deficit hyperactivity disorder; ASD, autism spectrum disorder; DD, developmental delay; DDISBA, developmental delay, impaired speech and behavioural abnormalities; DEE, spasticity, paraplegia or quadriplegia; OMIM, Online Mendelian Inheritance in Man (https://www.omim.org). spasticity, paraplegia or quadriplegia; OMIM, Online Mendelian Inheritance in Man (<https://www.omim.org>).

 ${}^2\!\rm{No}$  OMIM record. No OMIM record.