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## ABSTRACT

**PURPOSE** We developed a web-based education intervention as an alternative to predisclosure education with a genetic counselor (GC) to reduce participant burden and provider costs with return of genetic research results.

**METHODS** Women at three sites who participated in 11 gene discovery research studies were contacted to consider receiving cancer genetic research results. Participants could complete predisclosure education through web education or with a GC. Outcomes included uptake of research results, factors associated with uptake, and patient-reported outcomes.

**RESULTS** Of 819 participants, 178 actively (21.7%) and 167 passively (20.4%) declined return of results; 474 (57.9%) were enrolled. Most (60.3%) received results although this was lower than the 70% uptake we hypothesized. Passive and active decliners were more likely to be Black, to have less education, and to have not received phone follow-up after the invitation letter. Most participants selected web education (88.5%) as an alternative to speaking with a GC, but some did not complete or receive results. Knowledge increased significantly from baseline to other time points with no significant differences between those who received web versus GC education. There were no significant increases in distress between web and GC education.

**CONCLUSION** Interest in web-based predisclosure education for return of genetic research results was high although it did not increase uptake of results. We found no negative patient-reported outcomes with web education, suggesting that it is a viable alternative delivery model for reducing burdens and costs of returning genetic research results. Attention to attrition and lower uptake of results among Black participants and those with less formal education are important areas for future research.

## ACCOMPANYING CONTENT

 Appendix  
 Protocol

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## INTRODUCTION

Genetic sequencing studies involving biobanked DNA raise questions about the obligation to share individual research results with participants.<sup>1-7</sup> Arguments supporting return appeal to the principles of beneficence, autonomy, reciprocity, respect for persons, and clinical utility.<sup>4,7-9</sup> Arguments opposing return raise concerns about the distinction between research and clinical care, the actionability of results, the right not to know, and costs.<sup>5,7,9-13</sup> Although debates are ongoing, the consensus favors returning results that could be relevant to participants' health.<sup>2,4,5,14-16</sup>

Research participants have reported high interest in receiving research results.<sup>16-25</sup> However, lower uptake has been reported,<sup>26</sup> particularly in studies involving biobanks for which return of results was not emphasized during enrollment.<sup>27-34</sup> There are limited patient-reported outcomes (PROs) in the research setting<sup>27-29,35</sup> although some genomic implementation studies have reported no psychological harms with return of genetic findings.<sup>36,37</sup> In RESPECT1, we found favorable cognitive (eg, knowledge) and affective (eg, distress and uncertainty) responses with return of results among patients with breast cancer, but low uptake of results.<sup>38</sup> In addition, we found that one third of participants reported that a

## CONTEXT

### Key Objective

Is web-based education a viable alternative delivery model for predislosure education for return of individual genetic research results?

### Knowledge Generated

Uptake of web-based predislosure education for return of individual genetic research results was high among enrolled participants. Among those who completed predislosure education, most received their research results, which did not differ by web-based education versus education with a genetic counselor. No negative patient-reported outcomes with web education were found.

### Relevance (S.B. Wheeler)

As return of genetic test results to patients continues to increase with clinically relevant genetic information becoming more widely available, appropriate and timely education to support interpretation is key. This study offers a potentially impactful and efficient approach to providing that education via web-based platforms.\*

\*Relevance section written by JCO Associate Editor Stephanie B. Wheeler, PhD, MPH.

self-directed web platform would be an acceptable alternative to speaking with a genetic counselor (GC) and could reduce steps in receiving results.<sup>39</sup>

In this multicenter, observational return of results study (RESPECT2), we developed a web-based predislosure education intervention (web education) as an alternative to speaking with a GC to reduce participant burdens and steps in receiving genetic research results. Our primary aim was to evaluate uptake of genetic research results among research participants who provided a biospecimen for genetic research when using an alternative delivery model incorporating web education. We hypothesized that 70% would receive their results after predislosure education. Secondary aims included understanding participant factors associated with uptake of results, changes in PROs, and whether outcomes differed by the method of predislosure education (GC v web education).

## METHODS

Participants were English- or Spanish-speaking adult women who had provided a biospecimen for genetic research (11 studies) at the University of Pennsylvania (UPenn), University of Chicago (UChicago), or Columbia University (Columbia) and had not previously had clinical multigene panel testing. Original consents stated that results that could affect participant health would be returned (n = 7) or would not be returned (n = 4). Individuals in the latter group were contacted so as not to assume that they would not want results.

Sequencing included 25 high- and moderate-penetrance genes (*APC*, *BRCA1*, *BRCA2*, *CDH1*, *CDKN2A*, *PMS2*, *PTEN*, *MLH1*, *MSH2*, *MUTYH-homozygous*, *MSH6*, *STK11*, *TP53*, *ATM*, *BAP1*, *BARD1*,

*BMPR1A*, *BRIP1*, *CHEK2*, *MRE11A*, *MUTYH-heterozygous*, *NBN*, *PALB2*, *RAD50*, *RAD51C*, *RAD51D*) that had potential clinical relevance when the study was conducted. Sequencing was performed in institutional research laboratories. Clinical confirmation testing was recommended to participants for results that could potentially affect medical care (see below).

Institutional Review Board approval was obtained at all sites. Study invitation letters (English and Spanish versions at Columbia) explained that research testing had been completed and that participants could enroll to learn their research results. In eight studies (n = 1,583), the research team could follow up with participants by phone (three to five calls per site standards). In three studies (n = 379), original consents stated that results would not be returned and participants had to call or mail back a response card to be contacted.

### Predislosure Education by Web Intervention or Genetic Provider

On the basis of participant feedback in RESPECT1, we developed a self-directed web-based alternative for predislosure education.<sup>39</sup> The intervention was developed to cover the same content as predislosure genetic counseling. Informed by the tiered-binned model, GCs reached consensus on indispensable tier 1 information that should be presented to all participants and additional or optional tier 2 information that could be provided to support variable information needs.<sup>40</sup> The intervention and genetic counseling checklists included the same tier 1 content. The intervention consisted of seven modules and optional videos and was available in both English and Spanish (Appendix Table A1, online only).

Predislosure, participants were offered access to web education or a GC session (conducted by seven GCs via phone or

in person).<sup>38,40</sup> GCs were blinded to participants' research results at predisclosure and completed counseling checklists to ensure fidelity to tier 1 content.<sup>41,42</sup>

## Disclosure of Genetic Research Results

Participants received results by phone or in person with a GC. The result disclosure visit was separate from the education visit. Fidelity was assessed in 20% of counseling sessions, with a mean fidelity to checklists of 89% (predisclosure sessions) and 88% (disclosure sessions). On the basis of institutional policies, participants at Columbia with a positive result were told that there was a genetic finding but not the specific gene. Participants with a variant of uncertain significance (VUS) or negative result were told that there were no findings that could affect their health. UPenn and UChicago allowed participants with positive or VUS results to learn the specific gene and informed them that they should not change medical care until after confirmation testing.

## Confirmation Testing in a Clinical Laboratory Improvement Amendments-Certified Laboratory and Clinical Follow-Up

Participants with a pathogenic or likely pathogenic research result in any gene were recommended to have clinical confirmation testing. We also recommended confirmation for VUS results in high-penetrance genes since a reclassification to pathogenic or likely pathogenic could affect medical care. This approach provided confirmation of the result along with an additional opinion regarding variant calling as clinical laboratories have access to additional data. It also allowed clinical laboratories to be responsible for updates to VUS results. The need for confirmation testing and the importance of not altering medical management until after confirmation was shared in predisclosure education and at disclosure. For discordant results, recommendations for medical management were based on the participant's clinical result and personal and family history, consistent with clinical care. GCs and research staff provided reminders and support to complete confirmation testing. Research funds covered all costs of confirmation testing at UChicago and costs not covered by insurance at UPenn and Columbia. Confirmation testing was completed by mailed saliva kits or phlebotomy during a clinical visit. Confirmation testing results were shared by phone or in person. All participants were recommended to return for follow-up care.

## PROs

As previously described, the selection of relevant outcomes after the receipt of genetic research results was informed by our conceptual model,<sup>38,40,41</sup> which is grounded in the Self-Regulation Theory of Health Behavior.<sup>43,44</sup> Our model proposes that uptake of genetic research results and response to (eg, psychosocial adjustment) and use of (eg, performance of health behaviors) genetic information are products of an individual's understanding (eg, knowledge of genetic disease)

and perception of disease threat (eg, cancer risk).<sup>41,43</sup> Participants completed surveys at baseline (T<sub>0</sub>), after predisclosure education (T<sub>1</sub>), after disclosure counseling (T<sub>2</sub>), and at 6 months (T<sub>3</sub>).

*Knowledge of genetic disease* was evaluated (T<sub>0</sub>-T<sub>3</sub>) using an adapted version of the Cancer Genetics Knowledge Scale and ClinSeq knowledge scale<sup>45-47</sup> and included knowledge of inheritance and test interpretation (nine items), benefits (three items) and limitations (six items) of multigene testing, and differences between research and clinical testing (five items; Cronbach's  $\alpha = .79-.83$ ).<sup>38</sup>

*Perceived risk of cancer* (T<sub>0</sub>) was measured on a Likert scale in relation to the average woman (much higher, higher, same, lower, much lower) and, in a second item, as a numerical lifetime risk (0%-100%) of getting breast cancer (or breast cancer again).

*Psychosocial adjustment* included the following: (1) state anxiety (T<sub>0</sub>-T<sub>2</sub>), measured with the 20-item State Inventory<sup>48,49</sup> (Cronbach's  $\alpha = .94-.95$ ); (2) general anxiety and depression (T<sub>0</sub>-T<sub>3</sub>), assessed with the Hospital Anxiety and Depression Scale<sup>50</sup> (Cronbach's  $\alpha = .83-.85$  and  $.81-.83$ ); and (3) cancer-specific distress (T<sub>0</sub>-T<sub>3</sub>), evaluated with the 15-item Impact of Events Scale<sup>51</sup> (Cronbach's  $\alpha = .86-.89$ ).

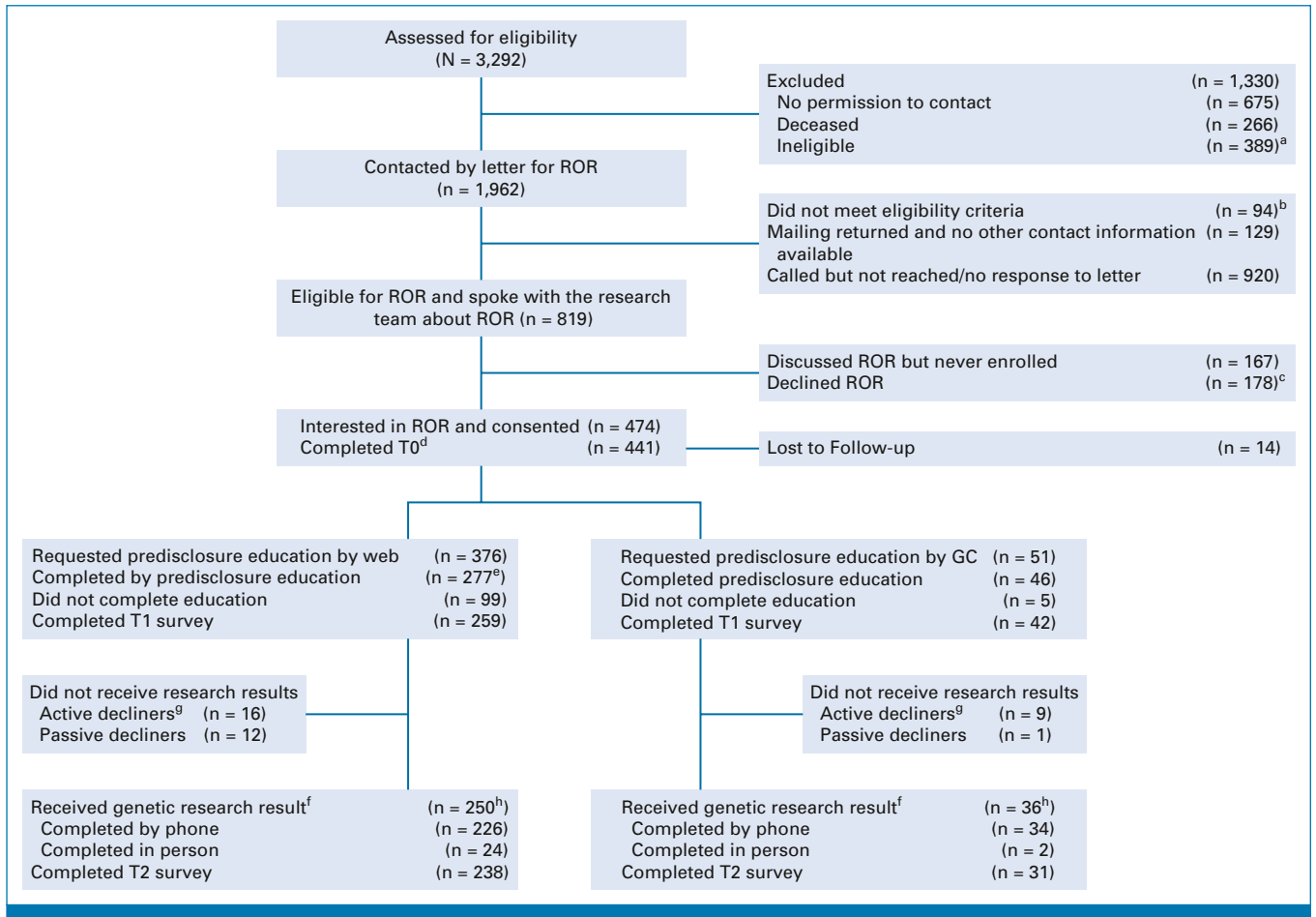
*Satisfaction with genetic services* was measured (T<sub>2</sub>) with a 13-item scale used in related research<sup>38,42,52,53</sup> (Cronbach's  $\alpha = .81-.83$ ).

*Uncertainty* was assessed (T<sub>0</sub>-T<sub>3</sub>) using a three-item scale adapted from the Multi-Dimensional Impact of Cancer Risk Assessment<sup>54</sup> (Cronbach's  $\alpha = .84$ ).

*Perceived utility* was assessed (T<sub>0</sub>-T<sub>3</sub>) with a novel scale developed to evaluate patient perceptions of the utility of genetic results, including two 12-item subscales evaluating medical and personal utility, both now and in the future (Cronbach's  $\alpha = .96-.97$ ).<sup>38,55</sup>

## Statistical Analyses

We characterized the samples using means, standard deviations, and proportions. We used logistic regressions to examine characteristics associated with responding to enrollment status groups and completion of the intervention. For psychosocial outcomes, we examined linear regressions of change scores between baseline and follow-up times (ie, follow-up minus baseline scores). In regressions, we controlled for variables that were found or hypothesized to be associated with longitudinal follow-up. These included site, Hispanic ethnicity, education, number of children, age, and number of relatives with previously diagnosed cancer. We used multiple imputation methods with 100 multiply imputed data sets to account for missing data in the regression analyses.<sup>56</sup> Analyses were conducted in STATA (StataCorp, College Station, TX) and SAS (SAS Institute, Cary, NC).



**FIG 1.** CONSORT diagram. <sup>a</sup>Twelve patients already had clinical MGPT, 155 had missing contact information, 103 research samples were not available, 38 were already known to be clinically BRCA+, and 81 were living abroad. <sup>b</sup>Thirty-four patients were found to be deceased, 47 already had clinical MGPT, two were previously disclosed, three had language barriers, two did not have decision making capacity, and six did not have valid consent. <sup>c</sup>Reasons for declining included privacy concerns, concerns about time burdens, not being interested in genetic information, only wanting actionable results, preferring clinical testing, and concerns about uncertainty or distress. <sup>d</sup>The time from baseline survey to web education link being sent to participants was a median of 1.0 days and a mean of 4.98 days (SD, 16.06). <sup>e</sup>Twenty-one were completed with a GC. <sup>f</sup>Fourteen pathogenic or likely pathogenic variants (positive result) were returned, including five high-penetrance genes (BRCA1 [2], BRCA2 [2], MSH6) and nine moderate-penetrance genes (ATM [2], BARD1, CHEK2 [4], NBN, PALB2, RAD51D). There were 23 results with at least one VUS (14 variants in moderate-penetrance genes and nine in high-penetrance genes where confirmation testing was recommended). There were two additional VUS results as a second finding. There were 250 with no findings. One individual received results without completing visit 1. <sup>g</sup>Sixteen withdrew from the study. <sup>h</sup>Two hundred twenty-nine of 256 who ultimately completed by web received results, and 56 of 67 who ultimately completed by GC received results. MGPT, multigene panel testing; ROR, return of results; T0, baseline; T1, after predisclosure counseling; T2, after disclosure counseling; VUS, variant of uncertain significance.

We hypothesized that among 1,200 participants, 70% could be reached, 50% would enroll, and 70% would receive results. With 50% enrollment, we would be able to estimate the true uptake with at least a precision of approximately 4.9%. For secondary analyses, we aimed for a sample size of 420 to have 85% power to detect a standardized odds ratio of 1.5 in logistic regressions. We set the type I error rate and *P* value to 1% (two-sided) to partially account for multiple hypothesis testing. Additional power calculations are provided in our Protocol (online only).

## RESULTS

### Participants

Across three sites, 1,962 potential participants were contacted (April 2015–October 2019; Fig 1). Some letters were returned (6.6%), and 94 participants were found to be deceased or ineligible, leaving 1,739 eligible mailings. We were not able to communicate with 920 research participants to discuss the option to receive research results

TABLE 1. Characteristics of All Potential Research Participants Contacted

Characteristic	Declined (active and passive; n = 345)	Consented and Did Not Receive Results (n = 188)	Consented and Received Results (n = 286)	Total (n = 819)	P <sup>a</sup>
Site, No. (%)					<.001
Penn	72 (21)	39 (21)	102 (36)	213 (26)	
Chicago	61 (18)	53 (28)	68 (24)	182 (22)	
Columbia	212 (61)	96 (51)	116 (41)	424 (52)	
Age, years, median (range) <sup>b</sup>	—	61.89 (13.13)	62.44 (10.97)	62.26 (11.71)	.654
Race, No. (%)					<.001
White	210 (61)	113 (36)	243 (85)	566 (69)	
Black	51 (15)	36 (19)	34 (12)	121 (15)	
Asian	4 (1)	2 (1)	0 (0)	6 (<1)	
Others	8 (2)	15 (8)	9 (3)	32 (4)	
Unknown	72 (21)	22 (12)	0 (0)	94 (12)	
Ethnicity—Hispanic, No. (%)					<.001
Hispanic	53 (15)	47 (25)	21 (7)	121 (15)	
Non-Hispanic	184 (53)	140 (74)	243 (85)	567 (69)	
Unknown	108 (31)	1 (1)	22 (8)	131 (16)	
Education, No. (%)					<.001
HS or less vocational/tech	4 (1)	7 (4)	5 (2)	16 (2)	
Some college	37 (11)	26 (14)	32 (11)	95 (12)	
College graduate or higher	161 (47)	92 (49)	192 (67)	445 (54)	
Unknown	100 (29)	43 (23)	44 (15)	187 (23)	
IRB permitted the research team follow-up after invitation letter					.587
Yes	306 (89)	172 (92)	255 (89)	733 (90)	
No	39 (11)	16 (9)	31 (11)	86 (11)	
Marital status, No. (%)					<.001
Married	50 (15)	116 (62)	206 (72)	372 (45)	
Not married	41 (12)	56 (30)	73 (26)	170 (21)	
Unknown	254 (74)	16 (9)	7 (2)	277 (34)	
Has children, No. (%)					<.001
Yes	93 (27)	117 (62)	191 (67)	401 (49)	
No	42 (12)	69 (37)	94 (33)	205 (25)	
Unknown	210 (61)	2 (1)	1 (0)	213 (26)	
History of cancer, No. (%)					.825
Yes	213 (62)	122 (65)	186 (65)	521 (64)	
No	131 (38)	66 (35)	99 (35)	296 (36)	
Unknown	1 (<1)	0 (0)	1 (0)	2 (<1)	
Had previous BRCA1/2 testing, <sup>c</sup> No. (%)					<.001
Yes	77 (22)	96 (51)	185 (65)	358 (44)	
No	55 (16)	68 (36)	87 (30)	210 (26)	
Unknown	213 (62)	24 (13)	14 (5)	251 (31)	
Age at first cancer among those with cancer history, mean (SD)	50.88 (13.49)	47.27 (11.08)	46.57 (9.42)	47.81 (11.11)	.007
No. of FDR/SDR with breast cancer, mean (SD)	1.58 (1.47)	1.19 (1.34)	1.24 (1.20)	1.30 (1.31)	.038
No. of FDR/SDR with any cancer, mean (SD)	3.39 (2.52)	2.22 (2.31)	2.93 (2.26)	2.80 (2.37)	<.001
Years since the sample was received, mean (SD), range, years <sup>d</sup>	7.83 (4.48), 2.6-16.6	8.80 (4.43), 1.3-17.4	9.99 (4.46), 0.1-16.9	8.75 (4.55), 0.1-17.4	.002

Abbreviations: FDR, first-degree relative; IRB, institutional review board; SD, standard deviation; SDR, second-degree relative.

<sup>a</sup>P values by ANOVAs and chi-squared tests for the joint test of equality among the three columns.

<sup>b</sup>Participant age was not available for many potential participants until after consent.

<sup>c</sup>Given standards at the time, all participants who had previous BRCA1/2 testing would have had pretest counseling. Participants who had previous BRCA1/2 testing had a 1.6-unit increase in the baseline knowledge score ( $P = .05$ ) although previous BRCA1/2 testing was not associated with uptake of education or receipt of research results.

<sup>d</sup>Among those with available dates for when the original sample was received. Original studies enrolled patients from 1999, and many were still open at the time of RESPECT2 (2015).

**TABLE 2.** Uptake of Research Results Hypothesized and Achieved

Result	Hypothesized (No.)	Actual (No.)
Participants able to be reached	70% (840/1,200)	47.2% (819/1,739)
Participants enrolled	50% (420/840)	57.8% (474/819)
Participants who completed predisdisclosure education and receipt of results	50% minimum (210/420) 70% hypothesized (294/420)	60.3% (286/474)
Uptake of research results among participants reached	25% minimum (210/840) 35% hypothesized (294/840)	34.9% (286/819)

NOTE. In RESPECT1 (n = 372 contacted), 51.6% were able to be reached, 55.7% enrolled, and 77.6% completed predisdisclosure counseling (all with a GC) and receipt of results. Uptake among all participants reached was 43.2%.

Abbreviation: GC, genetic counselor.

(ie, nonresponders; 52.9%). Of 819 participants who could be reached, 178 (21.7%) actively declined receipt, 167 (20.4%) passively declined (ie, expressed interest but were lost to follow-up), and 474 (57.8%) enrolled (Table 1).

### Uptake of Web-Based Predisdisclosure Education and Genetic Research Results

Most participants selected web education (88.5%) as an alternative to speaking with a GC for predisdisclosure education (Fig 1); 310 logged on, and 82.5% completed web education (Appendix Table A2). Research staff contacted participants who did not log on or complete to offer assistance or the option to speak with a GC. Among participants who selected to speak with a GC, 46 (90.2%) completed.

Among participants who consented to RESPECT2 to learn about receiving research results, 286 (60.3%) received results (Table 2). Most participants (88.2%) who completed predisdisclosure education received results, which did not differ by method (83.6% with a GC v 89.5% by web education;  $P = .2$ ). Fourteen (4.9%) participants received a positive result, 23 received a VUS (8.0%), and 250 (87.4%) had no findings (Fig 1).

### Factors Associated With Uptake of Research Results

Research participants who could not be reached were more likely to be non-White, have lower education, have no history of cancer, have not allowed phone follow-up, and be at Columbia or UPenn. Research participants who actively or passively declined results were more likely to be Black, have lower education, not allow phone follow-up, and be at Columbia or UChicago (Appendix Table A3).

Enrolled participants who selected web education were more likely to have college education, be at UChicago or UPenn, not have children, have higher baseline knowledge, and have lower depression (Table 3). Completing predisdisclosure education was associated with selecting a GC, having college education, having higher baseline knowledge, having more relatives with cancer, and being at UPenn (Table 3). Completing predisdisclosure web education was

associated with having higher education, having more relatives with cancer, and being at UPenn. Overall, uptake of research results among those who could be reached was associated with being White, allowing phone follow-up after letter notification, and being at UPenn or Columbia (Table 3).

### PROs

Knowledge increased significantly from baseline to all other time points (Appendix Table A4) and did not differ significantly by web versus GC education (Fig 2A). Similarly, there were no significant increases in distress for those who completed education by web versus GC. There was significantly greater reduction in short-term anxiety and uncertainty (T0-T1) and anxiety and depression (T0-T2) among those who completed by web versus GC although there were no significant differences in the long term (Figs 2A and 2B; Appendix Table A5).

Among participants with a positive result, knowledge increased and depression declined over time (Appendix Table A6). Among those with a VUS or negative result, there was a significant increase in knowledge after the receipt of results. There was also a significant reduction in general anxiety, perceived utility of results (VUS and negative), state anxiety, cancer-specific distress, and depression (negative only) after the receipt of results.

### Clinical Confirmation Testing

Most participants (82.6%) underwent recommended clinical confirmation testing (12 of 14 positive results, seven of nine VUS results in high-penetrance genes). Five of 14 (35.7%) participants with a VUS in a low- to moderate-penetrance genes had confirmation testing (testing optional). Among 17 participants for whom testing was submitted to insurance, three (18%) were not covered or had out-of-pocket costs and were covered by research funds.

Five of 24 samples (20.8%) sent for confirmation testing were discordant with research testing. These discordant results included a *BRCA2* variant, which was classified as

**TABLE 3. Factors Associated With Interest in and Uptake of Individual Genetic Research Results in Multivariable Models**

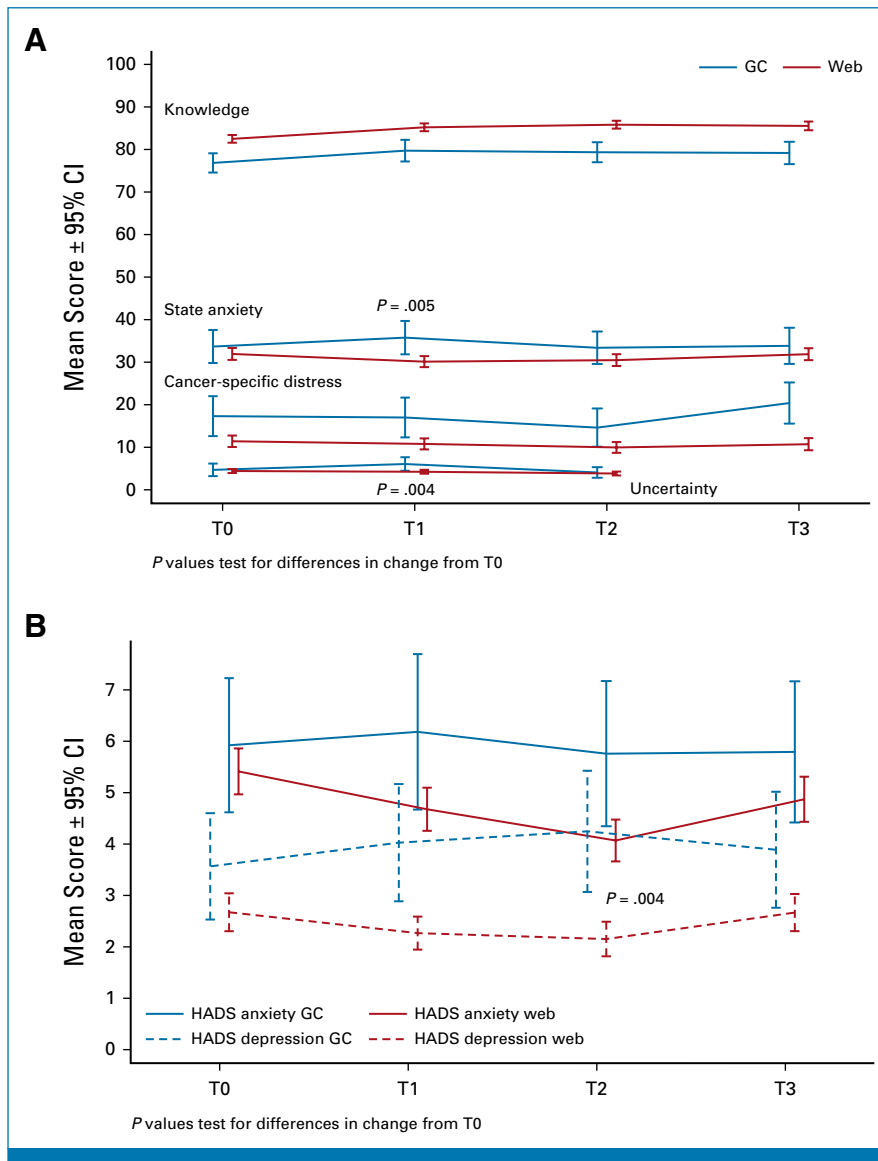
Factor	OR (95% CI)	P
Factors associated with consenting to RESPECT2 to receive research results (n = 1,739)		
History of cancer	1.95 (1.72 to 2.21)	<.001
Race		
Black	Reference	
White	3.09 (2.14 to 4.47)	<.001
Allowed phone follow-up after letter	3.33 (2.96 to 3.74)	<.001
Site		
Columbia	Reference	
UChicago	3.26 (2.39 to 4.44)	<.001
UPenn	1.60 (1.26 to 2.03)	<.001
Factors associated with selecting for web predisdisclosure education <sup>a</sup> (n = 427)		
No children	3.03 (1.34 to 6.84)	.008
Education		
High school education	Reference	
Some college	4.46 (1.60 to 12.41)	.004
College	4.49 (2.33 to 8.65)	<.001
Higher baseline genetic knowledge	1.05/point <sup>b</sup> (1.01 to 1.10)	.015
Lower depression	0.86/point (0.78,0.95)	.003
Site		
Columbia	Reference	
UChicago	5.85 (2.76 to 12.40)	.001
UPenn	3.27 (1.61 to 6.63)	.001
Factors associated with completing predisdisclosure education <sup>a</sup> (n = 427)		
Selecting GC predisdisclosure education as opposed to web education	11.39 (5.03 to 25.81)	<.001
Education		
High school or less	Reference	
College	3.20 (1.54 to 6.63)	.002
No. of FDR/SDR with any cancer	1.14 (1.01 to 1.29)	.031
Higher baseline genetic knowledge	1.05/point <sup>b</sup> (1.01; 1.09)	.026
Site		
Columbia	Reference	
UPenn	1.85 (1.17 to 2.93)	.009
Factors associated with receiving results among those who could be reached (n = 819)		
Race		
Black	Reference	
White	2.56 (1.88 to 3.49)	<.001
Allowed phone follow-up after letter	2.84 (2.21 to 3.64)	<.001
Site		
Columbia	Reference	
UChicago	2.03 (1.69 to 2.44)	<.001
UPenn	4.25 (3.60 to 5.03)	<.001

NOTE. We used multiple logistic regression models to assess relationships. Variables included in all models were site (Penn, Columbia, Chicago), race (White, Black, Asian/Other), ethnicity (Hispanic/Latino, not Hispanic/Latino), education (less than high school, vocational, some college, college degree, or higher), history of cancer (yes, no), marital status, and having children. The variable allowed phone follow-up after a mailed letter (yes, no) was included in the model of consent and receiving results.

Abbreviations: Columbia, Columbia University; FDR, first-degree relative; GC, genetic counselor; OR, odds ratio; SDR, second-degree relative; UChicago, University of Chicago; UPenn, University of Pennsylvania.

<sup>a</sup>Variables further included in the postconsent models were age, number of first/second degree relatives with breast cancer, web education versus GC education, and patient-reported outcomes (knowledge, anxiety, depression, distress, numerical lifetime perceived risk). We accounted for clustering by site in estimation. Postconsent models including perceived risk as a Likert scale (instead of the continuous scale) generated similar results.

<sup>b</sup>Per-point increase in the scale.



**FIG 2.** Change in patient-reported outcomes for web-based predisclosure education users relative to predisclosure education with a genetic counselor after predisclosure education and disclosure of results. The x-axis labels denote study wave, with T0 as baseline time point. The y-scale represents the absolute means of the scores. The range differs for each measure, which allowed us to present the results in the same figure to reduce space. The range and means at each time period are reported in Appendix Table A2. There were no significant differences in the percentage of participants who met cutoffs for clinically significant distress between groups. GC, genetic counselor; T0, baseline; T1, after predisclosure counseling; T2, after disclosure counseling; T3, at 6 months.

pathogenic by the research laboratory and as a VUS by the clinical laboratory (although this variant has been classified as pathogenic in ClinVar), and a common *CHEK2* variant, which was not detected by the clinical laboratory because of sample mix-up, or analytic error. Others included *MSH6*, *MUTYH*, and *PALB2* VUSs, which were not reported by the clinical laboratory. For these, we do not know if discordance was due to a difference in interpretation, sample mix-up or analytic error.

**DISCUSSION**

To our knowledge, this is the first return of genetic research results study reporting uptake and PROs with a web-based alternative to predisclosure genetic counseling. Although we met our minimum hypothesized uptake of results, as in our previous return of results study, many participants could not be reached. In addition, 88% of participants chose web education although some did not complete it or receive their



results. Although uptake of results was not higher among those who requested web education, there was no evidence of misunderstanding or greater distress among those who chose web education as compared with a GC. This suggests that web education is a viable delivery alternative as long as completion rates can be addressed.

Interest in web education was higher than expected on the basis of stakeholder interviews,<sup>39</sup> but was still consistent with other studies of patients with cancer undergoing genetic testing.<sup>57-60</sup> Although most who selected web education completed the intervention, 26% did not and 18% never logged on. This finding is consistent with our stakeholder interviews in which some participants reported that they might be more likely to not complete a web alternative because it is not a scheduled appointment and therefore easier to forget to complete.<sup>39</sup> Participants who never logged on were more likely to have lower education and lower genetic knowledge, raising the concern that eHealth alternatives could increase health disparities. It is possible that staff resources for reminding participants to log on varied and that automated reminders, follow-up, or additional assistance (eg, digital navigators) could be beneficial. In addition, our data suggest that retaining the option to speak with a GC is important for some participants.

Although we expected that web education might decrease barriers and increase uptake of research results, receipt of results among eligible contacted participants was no higher than that in RESPECT1.<sup>38</sup> Other studies have similarly reported difficulty in recontacting research participants,<sup>38,61</sup> with passive or active decline of research results as high as 40%.<sup>27,32-34,38,62</sup> Site differences in reaching research participants and in uptake of research results suggest that the level of engagement with the research cohort may also be important. Lower uptake among non-White participants and those with lower education suggests that disparities continue to exist with respect to interest in receiving genetic information<sup>32,38</sup> and that providing the opportunity to decline genetic research results remains important.

Among those who completed predisclosure education, the majority (88%) chose to receive their research results, which did not differ by web versus GC education. Knowledge did not significantly differ by education method, and there were no increases in negative affective outcomes for web education. In addition, how participants used the intervention (eg, number of times accessed, content accessed) could be

informative. More extensive secondary analyses addressing these questions are ongoing.

An additional challenge for research programs that are not sequencing in Clinical Laboratory Improvement Amendments-approved laboratories is the need to confirm research results. Four participants did not complete clinical confirmation testing even with significant support and coverage of costs, highlighting the importance of understanding barriers to confirmation testing.<sup>27,28,38</sup> The need for clinical confirmation was further underscored by the fact that we had at least one pathogenic result that was not confirmed and several results with discordant interpretations. Discordance in our study is likely partially related to the limited data and standards for variant calling at the time the study was conducted. Nevertheless, discordance is not uncommon, even in clinical testing, and provides additional rationale for confirmation testing, including for VUSs.<sup>63</sup>

We acknowledge several limitations. This was an observational study, and many research participants could not be reached, creating a potential nonresponse bias. Reaching participants when research results are not immediately available remains a real-world challenge, making understanding barriers to recontact especially important. Because the study was not randomly assigned, there may be unmeasured differences between groups on the basis of participant self-selection. In addition, the study only included women and was focused on those with a personal or family history of breast cancer. As we had few individuals with positive or VUS results, it is important to confirm findings for these subgroups. Although we used standardized counseling checklists, other differences in counseling could affect outcomes. Differences in levels of engagement with the research cohort and site policies regarding the number of follow-up calls may also be relevant. Finally, outcomes for web-based education could be affected by individual use of the intervention.

In conclusion, we found high interest in a web-based alternative for predisclosure education for return of genetic research results although some patients did not complete web education or receive results. Notably, while uptake of results was not higher among those who requested web education, there was no evidence of misunderstanding or greater distress among those who chose web education. Attention to attrition and lower uptake of results among Black participants and those with less formal education are important areas for future research.

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## AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

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## AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

### Uptake of Genetic Research Results and Patient-Reported Outcomes With Return of Results Incorporating Web-Based Predisclosure Education

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Open Payments is a public database containing information reported by companies about payments made to US-licensed physicians ([Open Payments](#)).

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## APPENDIX 1

TABLE A1. The Multimodality RESPECT2 Web-Based Predisclosure Education Intervention

Module	Tier 1 Content <sup>a</sup> (No. of web screens)	Tier 2 Content (No. of web screens)	Tier 2 Videos <sup>b</sup> (minutes)
Introduction	Introduction to the RESPECT study and invitation to receive research results (1) How to use the website (1)		What is the RESPECT study? (2:53)
Genetic research results v clinical testing	What are genetic research results, and how do they differ from clinical testing? (2) What is clinical confirmation testing? (4)		What are the differences between research and clinical testing, and why is confirmation testing needed? (8:56)
Cancer genes, risks, and medical management			Cancer genes and medical management (9:08)
Types of results being returned	Types of cancer genes: high, moderate, low/uncertain risk (1) Types of research results: positive, negative, VUS (2)	More about breast cancer genes (1) More about colon cancer genes (1)	GC explaining a VUS (3:47)
Clinical implications of results	How might my results change my clinical care if clinically confirmed? (1)	Medical management options by gene if results are confirmed (1)	
Benefits, risks, and limitations of receiving research results	Potential benefits of results (1) Limitations of research results and need for confirmation testing (1) Risks of results (1)		Benefits of research results (1:21) Limitations of research results (2:07) Risks of receiving research results (2:07)
Option to decline results	You may choose to not receive results (1)		
Participant choice	Log your decision (1) Next steps and confirmation testing (1)		
Optional content not included in modules above		Glossary of terms (1)	Genetics 101 (3:59)

NOTE. The intervention is informed by the tiered-binned model for genetic education and informed consent and was reviewed with a DAC of individuals with expertise in health disparities and health communication. It was also user and usability tested with five individuals from cancer risk research registries, two with less than a college degree and two who were non-White participants. Modifications on the basis of the DAC and user/usability testing included formatting to make the goals of screens clearer, text changes to increase use of plain language, clarifications to terms and descriptions identified as complex or difficult to understand, and additional content requested by users. The linear intervention includes eight modules and eight optional videos although participants can view modules for as long and as many times as desired and go back to previously viewed topics.

Abbreviations: DAC, Diversity Advisory Committee; GC, genetic counselor; VUS, variant of uncertain significance.

<sup>a</sup>Completing tier 1 content once takes approximately 15 minutes for the average user.

<sup>b</sup>Videos include a GC explaining specific topics. Content in some videos is intentionally redundant with tier 1 and tier 2 content and designed to provide an alternative method for reviewing content for participants with different learning preferences.

**TABLE A2.** Completion of Predisclosure Education by Web Versus Genetic Counseling (n = 427)

Predisclosure Original Selection	Completed by Web Education Intervention <sup>a</sup>	Completed by GC
Requested web education (n = 376)		
Logged on <sup>b</sup> (n = 310)	256	13
Never logged on (n = 66)	0	8
	Total Web group completed = 74%	
Requested GC (n = 51)	NA	46
	Total GC group completed = 90%	

NOTE. Research staff contacted participants who did not log on to offer assistance or the option to speak with a GC although this varied by site and resources. The web education intervention remained open throughout the study, and passive decliners were recontacted a final time before study closure to let them know the intervention would be closing and no longer available. The median/mean time from completion of the baseline survey to completion of web education (19-49.35 days) did not differ significantly from completion of the baseline survey to completion of GC education (25-29.58 days;  $P = .40$ ). But the time to completion was significantly longer for those who did not complete by web and were then contacted to assess barriers and ultimately completed by GC (81-104.68 days;  $P \leq .022$  compared with web- or GC-only arms).

Abbreviations: GC, genetic counselor; NA, not available.

<sup>a</sup>Completing web education was defined as reviewing all tier 1 content (which is linear by design) and logging a decision on the participant choice screen.

<sup>b</sup>Logged on is defined as entering log-in information to access the site and includes viewing some but not all screens. The mean number of times that users logged on was 2.3 times (range, 1-12), and the mean total time on site was 49.7 minutes (range, 3.4-284.5).

**TABLE A3. Factors Associated With Nonresponse and Declining Research Results**

Factor	OR (95% CI)	P
Factors associated with no response after receiving letter and follow-up phone calls <sup>a</sup>		
No history of cancer	2.03 (1.33 to 3.10)	.001
Race		
White	Reference	
Black	2.55 (1.43 to 4.55)	.002
Asian/Others	1.81 (1.20 to 2.75)	.005
Education		
High school	1.49 (1.10 to 2.03)	.011
College degree or more	Reference	
Not allowed phone follow-up after letter	2.24 (1.84 to 2.72)	<.001
Site		
UChicago	Reference	
Columbia	2.03 (1.42 to 2.91)	<.001
UPenn	3.37 (3.00 to 3.78)	<.001
Factors associated with active/passive declining after receiving letter and outreach by research staff if permitted <sup>b</sup>		
Race		
White	Reference	
Black	2.04 (1.30 to 3.19)	.002
Education		
High school	1.85 (1.08 to 3.19)	.026
Some college	Reference	
Not allowed phone follow-up after letter	2.83 (2.29 to 3.50)	<.001
Site		
UPenn	Reference	
UChicago	1.28 (1.07 to 1.52)	.006
Columbia	3.83 (3.11 to 4.72)	<.001

**NOTE.** We used multiple logistic regression models to assess relationships. Variables included in both models were site (Penn, Columbia, Chicago), race (White, Black, Asian/Others), ethnicity (Hispanic/Latino, not Hispanic/Latino), education (less than high school, vocational, some college, college degree or higher), allowed phone follow-up after mailed letter (yes, no), history of cancer (yes, no), marital status, and children. Other variables, such as age, were not included because we did not have IRB approval for these variables before consent.

Abbreviations: Columbia, Columbia University; IRB, institutional review board; OR, odds ratio; UChicago, University of Chicago; UPenn, University of Pennsylvania.

<sup>a</sup>n = 1,739 with response data.

<sup>b</sup>n = 819 participants who could be reached. This adjusts for clustering by site.

**TABLE A4. PROs With Receipt of Genetic Research Results (n = 286)**

Outcome Measure	Baseline (T0)	After Predisdisclosure Education (T1)	Difference, Mean (95% CI)	P for Change From T0 to T1, <sup>a</sup> P for Change in % Who Met Clinical Cutoff	After Research Result Disclosure (T2)	Difference, Mean (95% CI)	P for Change From T0 to T2, <sup>b</sup> P for Change in % Who Met Clinical Cutoff	6 months After Disclosure (T3)	Difference, Mean (95% CI)	P for Change From T0 to T3, <sup>b</sup> P for Change in % Who Met Clinical Cutoff
	Mean (SD), % Who Met Cutoff for Clinically Significant Distress	Mean (SD), % Who Met Cutoff for Clinically Significant Distress			Mean (SD), % Who Met Cutoff for Clinically Significant Distress			Mean (SD), % Who Met Cutoff for Clinically Significant Distress		
Knowledge (range, 22-107)										
n = 323	81.86 (7.47)	84.37 (7.50)	2.51 (1.75 to 3.27)	<.001						
n = 286	81.78 (7.45)	84.52 (7.49)		–	85.01 (7.46)	3.23 (2.46 to 4.00)	<.001	84.74 (7.95)	2.96 (2.13 to 3.79)	<.001
State anxiety (range, 20-80)										
n = 323	32.31 (11.38)	31.47 (10.95)	–0.84 (–1.74 to 0.05)	NSS						
n = 286	32.16 (11.28)	30.84 (10.68)		–	30.85 (11.10)	–1.31 (–2.24 to –0.37)	.006	32.13 (10.89)	–0.03 (–1.11 to 1.05)	NSS
General anxiety (range, 0-21)										
n = 323	5.61 (3.62), 11%	5.08 (3.61), 7%	–0.52 (–0.80 to –0.24)	<.001, .02			<.001, NSS			<.001, NSS
n = 286	5.48 (3.62), 10%	4.87 (3.54), 6%		–	4.28 (3.41), 5%	–1.20 (–1.51 to –0.88)		4.99 (3.44), 7%	–0.49 (–0.82 to –0.16)	
General depression (range, 0-21)										
n = 323	2.84 (2.97), 3%	2.59 (2.75), 2%	–0.25 (–0.46 to –0.03)	.024, NSS			<.001, NSS			NSS, NSS
n = 286	2.79 (2.98), 3%	2.49 (2.74), 2%		–	2.42 (2.87), 2%	–0.37 (–0.59 to –0.15)		2.82 (2.90), 2%	0.04 (–0.20 to 0.27)	
Cancer-specific distress (range, 0-42)										
n = 323	12.44 (11.65), 7%	12.10 (11.15), 7%	–0.34 (–1.39 to 0.71)	NSS, NSS						NSS
n = 286	12.15 (11.39), 6%	11.57 (11.02), 7%			10.55 (10.52), 4%	–1.61 (–2.81 to –0.40)	.009, NSS	11.94 (11.70), 7%	–0.21 (–1.5 to 1.07)	NSS
Uncertainty (range, 0-15)										
n = 323	4.60 (4.09)	4.64 (3.89)	0.04 (–0.36 to 0.44)	NSS						
n = 286	4.45 (3.88)	4.47 (3.84)		–	3.86 (3.55)	–0.59 (–1.08 to –0.10)	.020	–		
Perceived utility (now; range, 12-60)										
n = 286	37.22 (8.63)	–		–	32.58 (10.00)	–4.63 (–5.65 to –3.61)	<.001	34.64 (9.47)	–2.58 (–3.74 to 1.42)	<.001
Perceived utility (future; range, 12-60)										
n = 286	38.69 (8.61)	–		–	33.85 (10.04)	–4.85 (–5.94 to –3.76)	<.001	35.91 (9.54)	–2.78 (–3.95 to –1.62)	<.001
Satisfaction (range, 13-65)										
n = 286	–	–		–	39.82 (4.15)		NA			

NOTE. For participants at Columbia University with a positive or VUS finding (n = 12), PROs reported above were obtained after confirmation testing as their initial disclosure only shared that there is a potential finding that needs to be confirmed. Specific gene and result (positive or VUS) were only shared after confirmation testing as per institutional policies. Cutoffs for clinically significant distress were ≥11 for anxiety and depression and >32 for cancer-specific distress.

Abbreviations: NA, not available; NSS, not statistically significant; PROs, patient-reported outcomes; T0, baseline; T1, after predisdisclosure counseling; T2, after disclosure counseling; T3, at 6 months; VUS, variant of uncertain significance.

<sup>a</sup>n = 323 for change from T0 and T1.

<sup>b</sup>n = 286 for change from T0 to T2 and T0 to T3 as 286 received results.



**TABLE A5.** Change in Patient-Reported Outcomes for Web-Based Predisclosure Education Users Relative to Predisclosure Education With a GC After Predisclosure Education and Disclosure

Outcome Measure	T0-T1 (after predisclosure education, predisclosure web v GC)	T0-T2 (after disclosure of results, predisclosure web v GC)
State anxiety	2.79 (GC) v -1.45 (web), -4.15 (95% CI, -6.98 to -1.32; adjusted difference), <i>P</i> = .005	-0.28 (GC) v -1.45 (web), -1.32 (95% CI, -4.37 to 1.73; adjusted difference) NSS
General anxiety	0.38 (GC) v -0.67 (web), -1.13 (95% CI, 2.03 to -0.22; adjusted difference), <i>P</i> = .020	-0.16 (GC) v -1.34 (web), -1.14 (95% CI, -2.14 to -0.15; adjusted difference), <i>P</i> = .035
Cancer-specific distress	0.40 (GC) v -0.46 (web), -0.77 (95% CI, -4.18 to 2.65; adjusted difference) NSS	-2.69 (GC) v -1.45 (web), 0.55 (95% CI, -3.39 to 4.50; adjusted difference) NSS
Depression	0.34 (GC) v -0.35 (web), -0.63 (95% CI, -1.32 to 0.06; adjusted difference) NSS	0.68 (GC) v -0.52 (web), -1.06 (95% CI, -1.76 to -0.36; adjusted difference), <i>P</i> = .003
Knowledge	2.47 (GC) v 2.52 (web), 0.29 (95% CI, -2.17 to 2.75; adjusted difference) NSS	2.52 (GC) v 3.33 (web), 1.29 (95% CI, -1.30 to 3.80; adjusted difference) NSS
Uncertainty	1.46 (GC) v -0.20 (web), -2.00 (95% CI, -3.30 to -0.70; adjusted difference), <i>P</i> = .003	-0.62 (GC) v -0.58 (web), -0.14 (95% CI, -1.72 to 1.44; adjusted difference) NSS

Abbreviations: GC, genetic counselor; NSS, not statistically significant; T0, baseline; T1, after predisclosure counseling; T2, after disclosure counseling.

<sup>a</sup>Adjusted for baseline differences between groups. There were no significant differences in the percentage of participants who met cutoffs for clinically significant distress.

**TABLE A6.** Patient-Reported Outcomes With Receipt of Genetic Research Results by Test Result Among All Participants Regardless of the Pretest Education Method

Outcome Measure	Baseline, Mean (SD)	After Predisclosure Counseling, Mean (SD)	After Result Disclosure, Mean (SD)	Change From T0 to T2, Mean (95% CI)	P for Change From T0 to T2	6 Months After Disclosure (T3), Mean (SD)	P for Change From T0 to T3	Change From T0 to T3, Mean (95% CI)
Positive results (n = 13)								
Knowledge total (range, 22-107)	79.78 (5.76)	81.80 (5.21)	80.14 (5.78)	0.36 (−3.19 to 3.91)	NSS	84.40 (6.69)	.04	4.62 (0.19 to 9.05)
State anxiety (range, 20-80)	35.74 (11.71)	40.20 (12.38)	37.86 (12.17)	2.12 (−1.29 to 5.53)	NSS	34.12 (10.94)	NSS	−1.62 (−5.92 to 2.68)
General anxiety (range, 0-21)	7.54 (4.01)	7.33 (3.83)	6.43 (2.93)	−1.1 (−2.74 to 0.53)	NSS	6.77 (3.23)	NSS	−0.77 (−3.01 to 1.47)
General depression (range, 0-21)	4.54 (3.13)	4.18 (2.82)	3.36 (3.17)	−1.18 (−2.3 to −0.05)	.04	2.91 (3.20)	.05	−1.63 (−3.3 to 0.04)
Cancer-specific distress (range, 0-75)	14.92 (12.70)	16.01 (13.35)	14.62 (9.96)	−0.3 (−6.72 to 6.12)	NSS	20.13 (15.02)	NSS	5.20 (−2.42 to 12.82)
Uncertainty (range, 0-15)	5.72 (4.68)	5.29 (4.68)	6.12 (4.08)	0.4 (−2.25 to 3.05)	NSS	—	—	—
Perceived utility (now; range, 12-60)	38.92 (9.30)	—	37.63 (10.16)	−1.29 (−5.74 to 3.15)	NSS	38.06 (11.14)	NSS	−0.87 (−6.18 to 4.45)
Perceived utility (future; range, 12-60)	40.62 (9.30)	—	38.50 (10.48)	−2.12 (−7.03 to 2.78)	NSS	40.16 (11.90)	NSS	−0.47 (−5.63 to 4.7)
Negative results (n = 250)								
Knowledge total (range, 22-107)	82.10 (7.39)	84.80 (7.52)	85.46 (7.45)	3.36 (2.53 to 4.19)	<.001	85.01 (7.83)	<.001	2.90 (2.02 to 3.79)
State anxiety (range, 20-80)	31.49 (10.92)	30.21 (10.33)	29.94 (10.43)	−1.55 (−2.49 to −0.6)	.001	31.85 (10.84)	NSS	0.36 (−0.76 to 1.48)
General anxiety (range, 0-21)	5.24 (3.45)	4.69 (3.45)	4.09 (3.22)	−1.15 (−1.47 to −0.83)	.000	4.94 (3.43)	.08	−0.30 (−0.63 to 0.04)
General depression (range, 0-21)	2.63 (2.96)	2.32 (2.69)	2.33 (2.84)	−0.3 (−0.53 to −0.07)	.01	2.79 (2.90)	NSS	0.16 (−0.08 to 0.40)
Cancer-specific distress (range, 0-75)	11.55 (11.02)	11.18 (10.69)	10.12 (10.47)	−1.43 (−2.7 to −0.16)	.03	11.56 (11.56)	NSS	0.01 (−1.31 to 1.32)
Uncertainty (range, 0-15)	4.31 (3.77)	4.47 (3.82)	3.72 (3.54)	−0.58 (−1.1 to −0.06)	.03	—	—	—
Perceived utility (now; range, 12-60)	37.15 (8.72)	—	32.30 (9.99)	−4.84 (−5.96 to −3.72)	.00	34.53 (9.40)	<.001	−2.61 (−3.86 to −1.37)
Perceived utility (future; range, 12-600)	38.60 (8.74)	—	33.46 (10.12)	−5.14 (−6.33 to −3.95)	.00	35.61 (9.45)	<.001	−2.99 (−4.24 to −1.74)
VUS results (n = 23)								
Knowledge total (range, 22-107)	79.46 (8.55)	83.00 (8.10)	82.91 (7.31)	3.45 (0.8 to 6.09)	.01	82.05 (9.57)	NSS	2.59 (−0.89 to 6.07)
State anxiety (range, 20-80)	37.42 (13.41)	32.41 (11.30)	36.80 (14.39)	−0.62 (−6.16 to 4.92)	NSS	34.02 (11.51)	NSS	−3.4 (−8.72 to 1.93)
General anxiety (range, 0-21)	6.91 (4.51)	5.41 (3.90)	5.13 (4.95)	−1.78 (−3.47 to −0.1)	.04	4.49 (3.51)	.004	−2.43 (−3.96 to −0.9)
General depression (range, 0-21)	3.52 (2.89)	3.33 (2.85)	2.87 (2.97)	−0.65 (−1.46 to 0.15)	NSS	3.14 (2.90)	NSS	−0.38 (−1.36 to 0.6)
Cancer-specific distress (range, 0-75)	17.14 (13.51)	13.37 (12.83)	12.91 (11.02)	−4.23 (−9.41 to 0.95)	NSS	11.48 (9.70)	.06	−5.66 (−11.5 to 0.17)
Uncertainty (range, 0-15)	5.35 (4.44)	4.00 (3.63)	4.12 (2.97)	−1.23 (−3.28 to 0.83)	NSS	—	—	−1.23 (−3.28 to 0.83)
Perceived utility (now; range, 12-60)	37.00 (7.33)	—	32.74 (9.68)	−4.26 (−7.3 to −1.23)	.01	33.88 (9.13)	NSS	−3.12 (−7.82 to 1.58)
Perceived utility (future; range, 12-60)	38.61 (6.93)	—	35.41 (8.25)	−3.2 (−6.36 to −0.04)	.05	36.75 (8.77)	NSS	−1.86 (−6.69 to 2.98)

Abbreviations: NSS, not statistically significant; T0, baseline; T1, after predisclosure counseling; T2, after disclosure counseling; T3, at 6 months; VUS, variant of uncertain significance.