

## ARTICLE Expanding SPTAN1 monoallelic variant associated disorders: From epileptic encephalopathy to pure spastic paraplegia and ataxia



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## ABSTRACT

**Purpose:** Nonerythrocytic  $\alpha$ II-spectrin (*SPTAN1*) variants have been previously associated with intellectual disability and epilepsy. We conducted this study to delineate the phenotypic spectrum of *SPTAN1* variants.

**Methods:** We carried out *SPTAN1* gene enrichment analysis in the rare disease component of the 100,000 Genomes Project and screened 100,000 Genomes Project, DECIPHER database, and GeneMatcher to identify individuals with *SPTAN1* variants. Functional studies were performed on fibroblasts from 2 patients.

**Results:** Statistically significant enrichment of rare (minor allele frequency  $< 1 \times 10^{-5}$ ) probably damaging *SPTAN1* variants was identified in families with hereditary ataxia (HA) or hereditary spastic paraplegia (HSP) (12/1142 cases vs 52/23,847 controls, p = 2.8 × 10<sup>-5</sup>). We identified 31 individuals carrying *SPTAN1* heterozygous variants or deletions. A total of 10 patients presented with pure or complex HSP/HA. The remaining 21 patients had developmental delay and seizures. Irregular  $\alpha$ II-spectrin aggregation was noted in fibroblasts derived from 2 patients with p.(Arg19Trp) and p.(Glu2207del) variants.

**Conclusion:** We found that *SPTAN1* is a genetic cause of neurodevelopmental disorder, which we classified into 3 distinct subgroups. The first comprises developmental epileptic encephalopathy. The second group exhibits milder phenotypes of developmental delay with or without seizures. The final group accounts for patients with pure or complex HSP/HA.

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## Introduction

The  $\alpha$ II-spectrin gene, *SPTAN1* (OMIM 182810), encodes a membrane scaffolding protein that plays an important role in the maintenance of integrity of myelinated axons, axonal development, and synaptogenesis.<sup>1</sup> Heterozygous *SPTAN1* pathogenic variants have been previously reported with variable phenotypes, most frequently causing mild to severe developmental epileptic encephalopathy (DEE) and developmental delay  $(DD)^2$  and rarely with hereditary motor neuropathy and autosomal recessive hereditary spastic paraplegia (HSP).<sup>3,4</sup> A mouse model harboring  $\alpha$ II-spectrin missense variant (p.Arg1098Gln) was reported to develop progressive ataxia with global neurodegeneration and seizures.<sup>5</sup> On the basis of these findings, we carried out a *SPTAN1* gene enrichment analysis in the 100,000 Genomes Project (100K GP)<sup>6</sup> and

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identified a statistically significant enrichment for rare probably damaging variants in hereditary ataxia (HA) and HSP groups. In this study, we present an extended phenotypic spectrum of neurologic syndromes caused by pathogenic variations of *SPTAN1* gene.

## Materials and Methods

## Patients

Our initial cohort comprised 100K GP neurology patients.6 All 100K GP genomes were previously screened for single nucleotide variants, small insertions/deletions, structural variants (SVs) or copy number variants (CNVs), and short tandem repeats in relevant genes from the PanelApp virtual gene panels (Genomics England).<sup>7</sup> We then screened DECIPHER database cohort for patients carrying single nucleotide variants and/or SVs/CNVs in SPTAN1 gene.<sup>8</sup> Additional families were subsequently recruited through GeneMatcher.9 All coding variants reported in this article are with reference to SPTAN1 RefSeq: NM 001130438.3 transcript. All procedures adhered to the principles set out in the Declaration of Helsinki and all patients/their guardians included in the study consented to participation according to ethical approval of the recruiting center.

#### Gene enrichment analysis

Case-control gene enrichment analysis was performed within the rare disease component of the 100K GP. Cases were defined as all 100K GP probands recruited under HA/ HSP, whereas controls were all remaining probands recruited into the 100K GP except for those with neurologic and neurodevelopmental disorders or metabolic disorders. Enrichment of *SPTAN1* rare, probably damaging variants in cases compared with controls was assessed via a two-sided Fisher exact test. The contributing variants were defined as rare (minor allele frequency <  $1 \times 10^{-5}$ ) and either protein-truncating variants or missense variants predicted to be pathogenic by 2 in silico tools (Combined Annotation Dependent Depletion [CADD]<sup>10</sup> and Polymorphism Phenotyping [PolyPhen]<sup>11</sup>).

## **Functional studies**

Fibroblasts derived from patient 1 (p. Arg19Trp) and patient 29 (p. Glu2207del) were used to test the functional effects of *SPTAN1* variants on protein expression compared with that of healthy unrelated controls. Western blot analysis, immunocytochemistry, and confocal microscopy were performed as previously described.<sup>3</sup>

## Structural modeling of SPTAN1 missense variants

Three-dimensional protein modeling was used to evaluate the effect of reported *SPTAN1* missense variants. Although the crystal structure for full-length  $\alpha$ II-spectrin is unknown, crystal structures of the N-terminal tetramerization site and 2 spectrin repeat unit of chicken brain  $\alpha$ II-spectrin have been solved (Protein Data Bank: 3F31 and 3Fb2).<sup>12,13</sup> We used the Protein Homology/analogY Recognition Engine V 2.0 (Phyre2) predicted models for C-terminal and spectrin repeats 13 to 20 of  $\alpha$ II-spectrin protein.<sup>14</sup> DynaMut software was used to predict variant effect.<sup>15</sup> For simulating amino acid substitutions and visualization, UCSF Chimera built-in tools were used.<sup>16</sup> In addition, in silico pathogenicity prediction analysis of all missense variants identified in the study and those previously reported in literature was conducted.

## Results

## *SPTAN1* heterozygous damaging variants are enriched in families with HSP or HA

*SPTAN1* was investigated as a candidate gene for HA or HSP using gene enrichment analysis in the rare disease component of the 100K GP, which has a total of 35,422 rare disease families, including 1142 HA/HSP probands as cases and 23,847 non-neurologic/non-metabolic unrelated individuals as controls. A case-control analysis revealed a statistically significant enrichment of rare probably damaging heterozygous variants of *SPTAN1* in probands with HA or HSP (12/1142 cases vs 52/23,847 controls, p = .00002846, odds ratio = 4.8594, 95% CI = 2.5867-9.1290) (Supplemental Table 1). None of the *SPTAN1* variants found in controls were protein-truncating variants and none overlapped with any of the missense variants described in the study.

Subsequently, we screened 100K GP neurology cohort (16,014 individuals with neurodevelopmental disorders) for probably damaging *SPTAN1* variants in families with spasticity and ataxia in addition to the previously described phenotypes of seizures and/or intellectual disability (ID). We identified 11 patients from 9 families (Table 1, Figures 1 and 2). Patients 1 to 4 had pure HSP phenotype and shared the same *SPTAN1* variant, p.(Arg19Trp). Later-onset and a more complex phenotype was noted in patient 8 who harbored p.(Ser2448Phe) variant. Although patient 7 was recruited under early-onset dystonia phenotype, she presented with abnormal eye movements, ataxia, myoclonus, and dyspraxia and had *SPTAN1* variant, p.(Arg2124Cys). Patient 10, who had pure ataxia, harbored a heterozygous splice alteration in

	Family 1	<u> </u>	Family 2		Family 3	Family 4	Family 5	Family 6	Family 7	Family 8
General Information	Patient 1	Patient 2	Patient 3	Patient 4	Patient 5	Patient 6	Patient 7	Patient 8	Patient 9	Patient 10
Sex/ethnicity Age at last examination, y	F/Asia 40	F/Europe 25	F/Europe 25	M/Europe 50	F/Europe 17	M/Europe 15	F/NA 32	M/Asia 50	F/NA 72	M/Europe 54
c.DNA	c.55C>T	c.55C>T			c.55C>T	c.55C>T	c.6370C>T	c.7343C>T	arr{hg38}9q34. 11(128,597, 905-128,602, 892)x1	NC_000009.12 (SPTAN1_v001): c.3519+2T> G exon 25
Protein	p.Arg19Trp	p.Arg19Trp			p.Arg19Trp	p.Arg19Trp	p.Arg2124Cys	p.Ser2448Phe	p.(Asp1139_ Lys1193)del (DEL 1)	p.?
Inheritance Phenotypic category	Sporadic Pure HSP/ ataxia	AD <b>Pure HSP/a</b>	taxia		Sporadic Complex HSP	De novo <b>Pure HSP</b>	Sporadic <b>Pure HSP/ataxia</b>	Unknown Complex HSP	Sporadic Pure HA	Sporadic <b>Pure HA</b>
Initial symptoms	Spastic gait	Spastic gait	Spastic gait	Spastic gait	Learning disability/ spastic ataxia	Spastic gait	Spastic gait	Spastic gait	Ataxia	Ataxia
Age of onset, v	8	NA	NA	NA	10	8	NA	NA	36	35
SZ (age of onset)	_	_	_	_	Generalized (10 v)	_	_	_	_	_
Response to therapy	/	/	/	/	VAL: controlled	/	/	/	/	/
FFG	, NA	/ NA	/ NA	/ NA	Normal	/ NA	/ NA	/ NA	NA	/ NA
Developmental history					lioniat					
ID	_	_	_	_	_	_	_	+	_	_
Learning disability	_	_	_	_	+	_	_	+	_	_
Motor delay	_	_	_	_	_	_	_	_	_	_
Language delay	_	_	_	_	_	_	_	_	_	_
Microcephaly	_	_	_	_	_	_	_	_	_	_
Neurologic findings										
Ataxia	+	+	+	+	+	_	+	_	+	+
Spasticity	+	+	+	+	+	+	+	+	_	-
Extensor planter	+	·	•	·	+	-	-	_	_	_
reflex					•					
Abnormal eve	_	+	+	+	Nystagmus	_	+	Nystagmus	_	Nystagmus,
movement		•	•	·						esotropia
UL weakness	+	+	+	+		_	_	_	_	-
LL weakness	+	+	+	+	+	+	_	_	_	_
LL hyperreflexia	+	+	+	+	+	+	_	_	_	_
Ankle clonus					+	+	+	_	_	_

## Table 1 Clinical and genetic findings of 31 patients reported in this study

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	Family 1		Family 2		Farr	ily 3	Family	4 Family	y 5 Fa	mily 6	Family 7	Family 8
General Information	Patient 1	Patient 2	Patient	3 Patient	4 Pati	ent 5	Patient	6 Patien	t7 Pa	tient 8	Patient 9	Patient 10
Bladder dysfuncti Amyotrophy Myoclonus Brain MRI	on + - - Normal	+ + - NA	+ + - NA	+ + _ NA	– Subcortic mater	al white hyper	  Normal	- - + NA	+ - + Cerebella	ar atrophy	  NA	– – Cerebellar atrophy marked in vermis
Other clinical feat	cures —	Impaired vibratory sensation	_	_		lues	Mild hear loss, atrophi left kid	ing Head tren dysprax c postura ney tremors	nors, Fascicula kia, impai Il propri S pes ca dystro	ations, red oception, avus, macular iphy	Apraxia, adult- onset sensorineural hearing loss	Areflexia and decreased vibration sense in LL, vertical ophthalmoparesis
	Family 9		Fa	mily 10		Family	y 11	Family 12	Fam	ily 13	Family 14	Family 15
General Information	Patient 11	Patien	t 12	Patient 13	Patient 14	Patien	it 15	Patient 16	Patient 17	Patient 1	8 Patient 19	Patient 20
Sex/ethnicity Age at last examination, y	M/Europe 19	M/Europe 9		M/Europe 7	F/Europe 26	M/Europe 13	M 2	/NA	M/Europe 2	F/Europe 32	F/Europe 2	M/Europe 6
c.DNA Protein	arr{hg38}9q34.11 (128,609,213- 128,613,675)x p.(Ile1563_?)del	L c.4458de 1 p.Lys1486	IA 5Asnfs <sup>*</sup> 52	1		c.2197C> p.Arg733*	Т с. <sup>*</sup> р	.4936C>T .Gln1646 <sup>*</sup>	c.1879C>T p.Arg627 <sup>*</sup>		arr{hg38}9q34 (128591376 128600369) p.(Asp1003_	.11 c.2612del - x1 p.Lys871Serfs <sup>*</sup> 5
Inheritance <b>Phenotynic</b>	(DEL2) De novo DD/ataxia	AD DD				sporadic DD	D	e novo D	AD DD		Lys1193)de (DEL3) De novo DD	De novo
category Initial symptoms	Ataxia	Speech delay	5	Speech delay	ID	ID	D	D	Axial hypotonia	Axial hypotor	DD iia	ID
Age of onset SZ (age of onset)	15 mo Febrile SZ	2 y -	-	2 y _	3 y -	NA _	B _	irth	5 mo -	3 mo -	9 mo —	1 y One febrile generalized tonic-clonic SZ (6 y)
Response to therapy	/	/	,	/	/	/	/		/	/	/	No therapy, controlled
EEG	NA	NA	I	NA	NA	NA	Iı	ncreased excitability	NA	Normal	NA	Normal

(continued)

	Family 9	F	amily 10		Family 11	Family 12	Family	13	Family 14	Family 15
General Information	Patient 11	Patient 12	Patient 13	Patient 14	Patient 15	Patient 16	Patient 17	Patient 18	Patient 19	Patient 20
Developmental										
history										
ID	+	Mild	Mild	-	Mild	+	+	-	?	-
Learning disability	+	+	+	+	+	+	+	+	?	Mild
Motor delay	+	_	-	_	_	+	+	+	+	Mild
Speech delay	+	+	+	_	_	+	+	NA	+	Moderate
Microcephaly	-	_	-		_	+	_	_	_	_
Neurologic findings										
Ataxia	Severe	-	-	_	-	-	+	+	-	_
Spasticity	-	-	-	_	-	-			-	_
Extensor planter reflex	_	-	-	_	_	-	-	_	-	-
Abnormal eye movement	Convergent strabismus	-	-	_	_	_	Nystagmus	Nystagmus	Strabismus	_
UL weakness	-	-	-	-	-	-	-	-	-	-
LL weakness	-	_	-	-	-	-	+	+	-	-
LL hyperreflexia	-	_	-	-	-	-	-	-	-	-
Ankle clonus	-	_	-	_	-	-	-	_	-	_
Bladder dysfunction	+	-	-	-	-	-	_	-	-	_
Amyotrophy	NA	-	-	-	-	-	-	-	-	-
Myoclonus	-	_	-	_	-	-	-	_	-	_
Brain MRI	Severe cerebellar atrophy and slightly dilated fourth ventricle	NA	NA	NA	NA	Nonspecific small gliosis in the right anterior border zone	Cerebellar atrophy	Severe cerebellar atrophy	NA	NA
Other clinical features	Pneumococcal meningitis aged 15 months, hypermetropia	Attention deficit Hyperactivity disorder	-	Basedow disease in remission	obesity; dyslipidemia; testicular hypoplasia (MC4R disease)	epicanthus, low-set ears, high philtrum, finger pads, sickle feet, sandal furrow	16p11.2 microdeletion involving PRRT2	-	hypotonia, poor motor planning and body awareness, tongue tie	obesity, constipation, mild myopia

(continued)

	Family 16	Family 17	Family 18	Family 19	Family 20	Family 21
General Information	Patient 21	Patient 22	Patient 23	Patient 24	Patient 25	Patient 26
Sex/Ethnicity	F/Europe	M/NA	F/Europe	M/Europe	M/NA	M/Europe
Age at last examination, y	8	9	5	10	9	8
Genetic findings						
c.DNA	c.1127G>A	c.4390C>T	arr{hg38}9q34.11 (128,582, 754-128,587,726)x1	c.6611G>A	c.4476del	arr{hg38}9q34.11 (128,587, 422-128,600,316)x1
Protein	p.Trp376 <sup>*</sup>	p.Arg1464Trp	p.(Asn571_?)del (DEL4)	p.Arg2204Gln	p.Ala1493Argfs <sup>*</sup> 44	p.(Ala927_Lys1193) del (DEL5)
Inheritance	De novo	De novo	De novo	AD	Sporadic	De novo
Phenotypic category	DD	DD/SZ	DD/SZ	DD/SZ	DD/SZ	DD/SZ
Initial symptoms	Speech delay	SZ	Hypotonia	DD	ID	SZ
Age of onset	2 y	NA	2 mo	2 y	2 y	3 mo
SZ (age of onset)	_	Febrile and generalized myoclonic (3 y)	+ (2 y)	Myoclonic absence (5 y)	Absence and generalized tonic-clonic (6 y)	Infantile spasms (3 mo)
Response to therapy	/	NA	CBZ, controlled	NA	Refractory	Partially controlled
EEG	ΝΑ	NA	ΝΑ	NA	Typical absence, continuous spikes and waves during sleen aspect	Vertex sharp waves, right and left independent parietal waves during sleep
Developmental history					···· F ··· F ···	
ID	-	+	+	+	+	+
Learning disability	+	+	+	+	+	+
Motor delay	-	+	+	+	-	+
Speech delay	+	+	+	+	+	+
Microcephaly	+	+	_	-	-	+
Neurologic findings						
Ataxia	-	+	+	-	-	_
Spasticity	-	NA	_	_	-	_
Extensor planter reflex	-	-	_	_	-	_
Abnormal eye movement	-	-	Strabismus	-	-	_
UL weakness	-	NA	_	_	-	_
LL weakness	-	NA	_	-	-	_
LL hyperreflexia	-	-	_	-	-	_
Ankle clonus	-	-	_	-	-	_
Bladder dysfunction	-	-	-	-	-	_
Amyotrophy	-	-	_	_	-	_
Myoclonus	-	-	_	-	-	_
Brain MRI	Normal	NA	Mild cerebral atrophy	Normal	Normal	Mild delay in myelination
Other clinical features	Dyspraxia, hyperlaxity	-	Hypotonia, sleep problems, constipation	-	ADHD	Poor proprioception, hypotonia

	Family 22	Family 23	Family 24	Family 25	Family 26
General Information	Patient 27	Patient 28	Patient 29	Patient 30	Patient 31
Sex/ethnicity	F/Europe	M/Europe	F/Africa	F/Europe	M/India
Age at last examination, y	16	12	20	7	1
Genetic findings					
c.DNA	c.6247_6249del	c.6811G>A	c.6619_6621del	c.4344G>A	c.6908_6916dup
Protein	p.Lys2083del	p.Glu2271Lys	p.Glu2207del	p.Gln1448= (splice) exon 38	p.Asp2303_Leu2305dup
Inheritance	Unknown	De novo	De novo	Unknown	De novo
Phenotypic category	DEE	DEE	DEE	DEE	DEE
Initial symptoms	SZ	SZ	Hypotonia	Axial hypotonia	SZ
Age of onset	2 mo	4 mo	8 mo	Birth	Birth
SZ (age of onset)	Absence SZ (2 mo)	Myoclonic jerks, dystonic spasms (4 mo)	Tonic, oral automatisms, upward deviation of gaze	West syndrome (4 mo)	Generalized tonic- clonic (birth)
Response to therapy	NA	Refractory	Controlled	Refractory	Refractory
EEG	NA	Slow-wave activity, loss of normal rhythms, temporal spike, sharp wave discharges	Diffuse slow activity, irregular low to medium amplitude	Hypsarrhythmia	Multifocal epilepsy
Developmental history					
ID	+	+	+	severe	NA
Learning disability	+	+	+	severe	NA
Motor delay	+	+	+	severe	severe
Speech delay	+	+	+	severe	NA
Microcephaly	-	+	+	+	severe
Neurologic findings					
Ataxia	+	_	_	NA	NA
Spasticity	-	_	+	NA	+
Extensor planter reflex	-	_	+	_	+
Abnormal eye movement	Strabismus	_	Strabismus	Nystagmus	Nystagmus
UL weakness	-	_	+	+	+
LL weakness	-	_	+	+	+
LL hyperreflexia	-	+	_	_	+
Ankle clonus	_	-	-	-	+

(continued)

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	Family 22	Family 23	Family 24	Family 25	Family 26
General Information	Patient 27	Patient 28	Patient 29	Patient 30	Patient 31
Bladder dysfunction	1		1		1
Amyotrophy	I	Ι	+	I	I
Myoclonus	1	1	I	1	1
Brain MRI	NA	Cerebellar atrophy, delayed	NA	Delayed myelination,	Delayed myelination,
		myelination, thin corpus		progressive brain and	cerebral atrophy,
		callosum		pontocerebellar atrophy	thin corpus callosum
Other clinical features	Ι	Rod cone retinal dystrophy,	Visual impairment,	I	I
		GERD, scoliosis, dislocated	dysmorphism,		
		hip	scoliosis		
All variants are reported w	ith reference to RefSeg NM_0	01130438.3.			
<i>AD</i> , autosomal dominant; /	4DHD, attention deficit hypera	activity disorder; CBZ, carbamazepine; DD, u	developmental delay; DEE, develop	mental epileptic encephalopathy; EEG, electric	troencephalography; F, female;
GFRD astrosonhageal reflux	· HA hereditany ataxia· HSP h	nereditary snastic naranlegia. ID intellectu	al disability. // lower limbs. M m	ale. MRT mannetic resonance imaninn. NA r	not available. SZ seizures. III

upper limbs; VAL, valproic acid; /, not applicable; –, absence of manifestation; +, presence of manifestation.

SPTAN1 gene was also screened for CNVs/SVs in the 100K GP and 1 deletion was identified. Patient 9 carried a large heterozygous in-frame deletion (DEL1), encompassing exons 25 to 27 (Supplemental Figure 1) and presented with pure HA. Both patients 9 and 10 carried sporadic SPTAN1 variants because the de novo nature could not be confirmed owing to the unavailability of family members. Additional 3 probands with SPTAN1 variants presenting with seizures, ID, and ataxia/spasticity were identified. By screening DECIPHER database,<sup>8</sup> 4 additional variants were identified; 1 missense variant in patient 22 and 3 de novo microdeletions in the SPTAN1 gene. DEL2 in patient 11 is a 4.46-kilobase deletion that removes exons 36 to 40. This patient presented with ataxia and severe DD. Patients 23 and 26, who carried DEL4 (exons 14-20) and DEL5 (exons 20-27), respectively, presented with DD and seizures. A total of 13 additional SPTAN1 families were identified through GeneMatcher.<sup>9</sup> Patients 5 and 6 shared the same de novo missense variant, p.(Arg19Trp), and HSP phenotype as patients 1 to 4. Nevertheless, patient 5 presented with complex HSP, learning disability, and seizures. Three frameshift variants were identified in 5 patients (patients 12-14, 20, and 25) with DD/Seizures. Dominant inheritance

was noted in 3 patients (patients 12-14). Five patients (patients 15-18 and 21) with ID/seizures carried nonsense

*SPTAN1* variants. The last variant was an in-frame deletion (DEL3), which was identified in patient 19, a 2-year-old presented with DD. This deletion is almost 9 kilobase, encompasses exons 22 to 27, and overlaps with DEL5. All variants reported in this study were classified according to the guidelines of the American College of Medical Genetics and Genomics and Association for Molecular Pathology.<sup>17</sup>

SPTAN1 (NC\_000009.12[SPTAN1\_v001]:c.3519+2T>G).

## Clinical phenotypes

(Supplemental Table 2).

Detailed clinical information was collated for 31 individuals from 26 unrelated families carrying heterozygous variants in *SPTAN1* (Table 1). Common phenotypes including ID/ learning disability and motor delay were reported in 73.5% and 58.8% of our cohort, respectively. Remarkably, around half of the patients (15/31) manifested ataxia, and seizures were reported in almost one-third of the cases (12/31).

Recently reported phenotypes of HSP/HA were identified in a subgroup of our patients. A total of 8 individuals from 6 families presented with pure HSP/HA and further 2 families with complex HSP (Supplemental Video 1). All patients with HSP showed typical features. Most of them had lower limb hyperreflexia and/or ataxia (6/8) whereas none had sensory abnormalities. In contrast, 2 patients with HA showed a pure phenotype. Abnormal eye movement, a common condition in patients with ataxia, was noted in 70% of this HSP/HA group. Severe phenotype of DEE was



Figure 1 Pedigrees of reported families with SPTAN1 variants showing disease segregation.

reported in 5 patients. All had seizures in early months of life and had severe DD. The remaining 16 patients from 13 families presented with varying degrees of DD.

## Functional consequences of SPTAN1 variants in patient-derived fibroblasts

We analyzed fibroblasts derived from 2 patients with the missense variants p.(Arg19Trp) and p.(Glu2207del)

(Figure 3). Although western blot did not show a quantitative difference in protein expression between patient 1 and controls, a quantitative reduction of protein expression in patient 29 was noted. Nevertheless, a high immunofluorescence brightness and intense immunoreactivity of  $\alpha$ IIspectrin was observed in both the studied patients. In patient 1,  $\alpha$ II-spectrin was ubiquitously expressed throughout the fibroblast cells, whereas there was a more localized aggregation of  $\alpha$ II-spectrin in the plasma membrane with a



**Figure 2** Schematic structure of *SPTAN1* gene and its coding protein highlighting variants identified in this study. Coding exon numbers (NM\_001130438.3) are reported on the gray bar. Truncating variants are indicated on the top. Missenses, in-frame deletion/ insertion, and splice variants are on the bottom. Deletion 1, 3, and 5 (green) remain in frame, whereas predictions for deletions 2 and 4 (orange) are not available. p.Gln1448= (c.4344G>A) is predicted to affect exon 33 donor splice site, based on maxENTScan (predicting splice sites using 'Maximum Entropy Principle') (maxENT score wild-type  $6.99 \rightarrow 3.84$  mutant). The splice altering variant (NC\_000009.12(*SPTAN1*\_v001):c.3519+2T>G) predicted to alter exon 25 canonical donor splice site (maxENT score wild-type  $10.28 \rightarrow 2.63$  mutant). Variants identified in patients presenting with HSP/HA are highlighted in red. All other variants are represented in black.



Figure 3 Representative images of  $\alpha$ II-spectrin protein expression and staining pattern in fibroblast cells derived from 2 patients and unrelated controls. A. Western blot. 1. Western blotting of protein extracted from fibroblast cell lines of patients 1 and 29 and 3 wildtype age-matched controls. 2. Densitometric analysis of western blot using BioRad Image Lab software after relative normalization to actin as a housekeeping protein. The analysis showed no change in protein expression in patient 1 but showed a quantitative reduction of protein expression in patient 29. B. Immunocytochemical staining of  $\alpha$ II-spectrin expression in primary fibroblasts of patients 1 and 29 and unrelated control individual with Alexa Fluor 488 conjugated secondary antibody (green) and Hoescht 33342 nuclear staining (blue). Scale bar represents 50 µm. Immunocytochemical staining showed high immunofluorescence brightness and intense immunoreactivity and aggregation of  $\alpha$ II-spectrin in both studied patients compared with the healthy unrelated control. Ctr, control.

relatively higher immunofluorescence brightness observed in the fibroblasts of patient 29.

#### Structural modeling of SPTAN1 missense variants

The effect of missense variants on all-spectrin protein structure (Q13813-1) was investigated using homology modeling of experimentally validated models<sup>12-14</sup> (Supplemental Figure 2). Variant p.(Arg19Trp) had the most deleterious effect on protein structure. This variant is located within the Nterminal tetramerization domain and results in steric clashes with 2 leucine residues in the beta chain. Less severe effect noted in modeling of p.(Arg1464Trp) was and p.(Arg2204Gln) variants. Structural modeling showed no structural effect for p.(Glu2271Lys), p.(Arg2124Cys), and p.(Ser2448Phe); however these would likely affect αII-spectrin heterodimerization with its partner,  $\beta$ -spectrin. Overall, most (4/6) of the reported missense variants led to protein destabilization (Supplemental Table 3). In addition, according to in silico pathogenicity prediction, almost all missense variants identified in this study are potentially pathogenic (Supplemental Table 4).

## Discussion

Identification of an enrichment of *SPTAN1* heterozygous variants in patients presenting with HA and HSP confirms *SPTAN1* involvement in a wide phenotypic spectrum. We suggest that *SPTAN1* is a genetic cause of neuro-developmental disorders, with 3 phenotypic subgroups

(Table 1). The first group comprises patients with DEE presenting with severe phenotype (OMIM 613477). DEE was identified in 5 families, consistent with previous reports.<sup>2</sup> A total of 16 patients manifested milder phenotype of DD with or without childhood-onset seizures forming the second phenotypic group. The final group consists of patients (n = 10) with pure or complex HSP/HA.

Involvement of *SPTAN1* variants in peripheral nervous system abnormalities was previously reported for heterozygous variants causing hereditary motor sensory neuropathy<sup>3</sup> and biallelic variants associated with autosomal recessive HSP.<sup>4</sup> The phenotype of HA and HSP is further supported by a previously reported *SPTAN1* mouse model presenting with unsteady gait and spasticity<sup>5</sup> (Supplemental Video 2) and the recently published study reporting de novo and dominant variants of *SPTAN1* in patients with ataxia and patients with spastic paraplegia.<sup>18</sup>

Phenotypic heterogeneity may be explained by the involvement of *SPTAN1* pathogenic variants in different mechanisms of pathogenicity as it was described with other structural proteins.<sup>19</sup> Syrbe et al<sup>2</sup> concluded that variants in the last 2  $\alpha$ II-spectrin repeats are associated with severe phenotype due to protein aggregation with dominant negative effect. This mechanism is supported by our observation in  $\alpha$ II-spectrin immunocytochemistry experiment performed on fibroblast of patient 29. However, further experiments on multiple cell lines would be imperative to support this hypothesis.

We noted that the excess of truncating variants in the milder category of our cohort (DD +/- seizures) is in agreement with the proposed mechanism of quantitative

defect of  $\alpha$ II-spectrin protein leading to a milder phenotype.<sup>20</sup> We suggest that truncating variants are responsible for a mild DD with or without epilepsy.

In our HSP/HA group, we have detected accumulation of  $\alpha$ II-spectrin in fibroblasts of patient 1 with the recurrent p.(Arg19Trp) variant, indicating abnormal protein function. Such interesting finding adds further evidence to Van de Vondel et al<sup>18</sup> report of the recurrent p.(Arg19Trp) variant detected in 7 families with spastic paraplegia. All other identified variants in this group of our patients were missense variants except for 1 splice altering variant. All are predicted to have a moderate protein effect except for p.(Arg19Trp), which is localized at an essential position of the N-terminal tetramerization domain.<sup>1</sup> An arginine to tryptophan change, p.(Arg35Trp), at the N-terminus has been reported previously in erythrocytic  $\alpha$ -spectrin gene (SPTA1), where it prevented N-terminal domain of  $\alpha$ -spectrin to form heterotetramers with its beta partner. We suggest a similar mechanism for SPTAN1 variant, p.(Arg19Trp).<sup>21</sup> In a previous report, we showed that ataxia and/or HSP cases may be accounted by hypomorphic pathogenic variants in genes known to manifest with severe phenotypes when mutated.<sup>22</sup> The p.(Arg2124Cys) and p.(Ser2448Phe) variants identified in families 5 and 6 are missense variants that are predicted to introduce mild structural alterations in the  $\alpha$ II-spectrin protein potentially explaining the milder neurologic impairment. In family 8, with mild and late-onset ataxia, a splice altering variant (c.3519+2T>G) was detected. The resulting predicted inframe deletion rather than a loss of function might explain the mild neurologic phenotype in this family.

It is interesting to notice that  $\alpha$ II-spectrin forms heterotetramers with each of the 4 nonerythrocytic  $\beta$ -spectrins 1 to 4 at precise membrane domains.<sup>23</sup> The first 3  $\beta$ -spectrin genes (*SPTBN1*, *SPTBN2*, and *SPTBN4*) are responsible of multiple neurologic disorders, depending on the gene and inheritance pattern.<sup>19</sup> Particularly,  $\beta$ III spectrin (*SPTBN2*) is specific to Purkinje cells and is involved in a relatively pure late-onset ataxia phenotype.<sup>24</sup>  $\alpha$ II-Spectrin broad distribution in the neurons is therefore a plausible explanation of the pleiotropic consequences of *SPTAN1* variants.

Molecular diagnosis of patients with neurodevelopmental disorders is often challenging owing to both phenotypic and genetic heterogenicity. We were able to expand the phenotypic and genetic spectrum of *SPTAN1* variants, shedding light on the critical role that  $\alpha$ II-spectrin has in maintaining brain health.

## **Data Availability**

De-identified data are available upon request. Data requests can be made via email to the corresponding author and will be pending data use agreements.

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## **Ethics Declaration**

The study was carried out as per the ethical approval from the University College London Hospital Research Ethics Committee, reference: 07/Q0512/26. All institutions involved in this research received local ethical approval. All patients' data were de-identified. Informed consent was obtained from all participants, their parents, or legal representatives. The authors have received and archived written patient consent for publishing the video of the individual included in this study. Experiments involving mice were approved by the local Ethical Committee in Wroclaw (Poland) under permission number 78/2018 and by the Institutional Animal Care and Use Committee at Yale University, which is accredited by the Association for the Assessment and Accreditation of Laboratory Animal Care.

## **Conflict of Interest**

The authors declare no conflicts of interest.

## Additional Information

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