

# Study of the Risk and Preventive Factors for Progress of Mild Cognitive Impairment to Dementia

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Chengping Hu, BM<sup>1</sup>, Ling Wang, BM<sup>1</sup>, Yi Guo, BM<sup>2</sup>,  
Zhicheng Cao, BM<sup>2</sup>, Ying Lu, BM<sup>2</sup>, and Hongyun Qin, MM<sup>2</sup>

## Abstract

**Objective:** To evaluate the risk factors for progress of mild cognitive impairment to dementia. **Methods:** This study was based on the epidemiological survey in 2011 (No. PKJ2010-Y26) and contained 441 MCI individuals. Cognitive function was measured by the Mini-Mental Status Examination, clinical dementia rating, and montreal cognitive assessment. The association between demographic characteristics and MCI outcomes were evaluated using single-and multifactor ordered logistic regression analysis models. **Results:** Of the 441 MCI, 77 progressed to dementia (MCIp: 17.5%, 95% CI: 14.4%-21.6%), 356 remained stable (MCI: 80.7%, 95% CI: 77.0%-88.4%), and 8 reverted to normal cognition (MCIr: 1.8%, 95% CI: 0.6%-3.0%) at follow-up in 2017. Univariate ordinal regression analysis showed that diabetes ( $P = .052$ ), marriage ( $P = .028$ ), worker ( $P = .069$ ), and manager ( $P = .075$ ) may be the risk factor for the status of MCI. Multiple ordinal regression results showed that diabetes ( $P = .049$ ) and marriage ( $P = .04$ ) significantly affected the cognitive function changes in the MCI patients. **Conclusion:** Nondiabetics and being married may prevent the progression from MCI to dementia.

## Keywords

mild cognitive impairment, dementia, ordered logistic regression analysis, education, diabetes, past occupation

## Introduction

Mild cognitive impairment (MCI) is thought to be a transitional stage between normal cognitive function and dementia among aging individuals. Compared with normal elderly people whose age and education level are matched, patients have mild cognitive decline without significant decline in functional activities of daily living.<sup>1</sup> Mild cognitive impairment with significant memory impairment is the initial clinical manifestation of Alzheimer's disease (AD).<sup>2</sup> Bennett et al<sup>3</sup> and Morris et al<sup>4</sup> reported that more than 34% MCI individuals developed to AD over 5 years, while, 9.5 years later, the conversion was 100%. A longitudinal clinical study showed that about 80% AD were developed from MCI.<sup>5</sup> By the time AD was diagnosed, the cognitive decline began many years ago and accelerated during the course of the disease.<sup>5</sup> Substantial and irreversible neurological damage has occurred in patients with AD, and there is currently no effective treatment. Therefore, early diagnosis and prevention of AD are particularly important, and reasonable intervention in MCI may be effective for AD.<sup>6</sup>

The number of older patients over age 60 with multiple comorbid diseases is significantly increased recently.<sup>7</sup> Epidemiological surveys show that the occurrence of cognitive

impairment in the elderly was closely related to the metabolic diseases.<sup>8,9</sup> Beydoun et al conducted a meta-analysis containing 247 studies (cross-sectional and cohort studies) to analyze the modifiable factors associated with cognition and dementia. The results showed that higher homocysteine levels, lower educational attainment, and decreased physical activity were particularly strong predictors of incident AD.<sup>10</sup> The effect of some factors, such as occupations, economic income, hypertension, and other chronic physical diseases on the cognitive function requires further research.

<sup>1</sup> Administrative Office, Shanghai Pudong New Area Mental Health Center, Tongji University School of Medicine, Shanghai, China

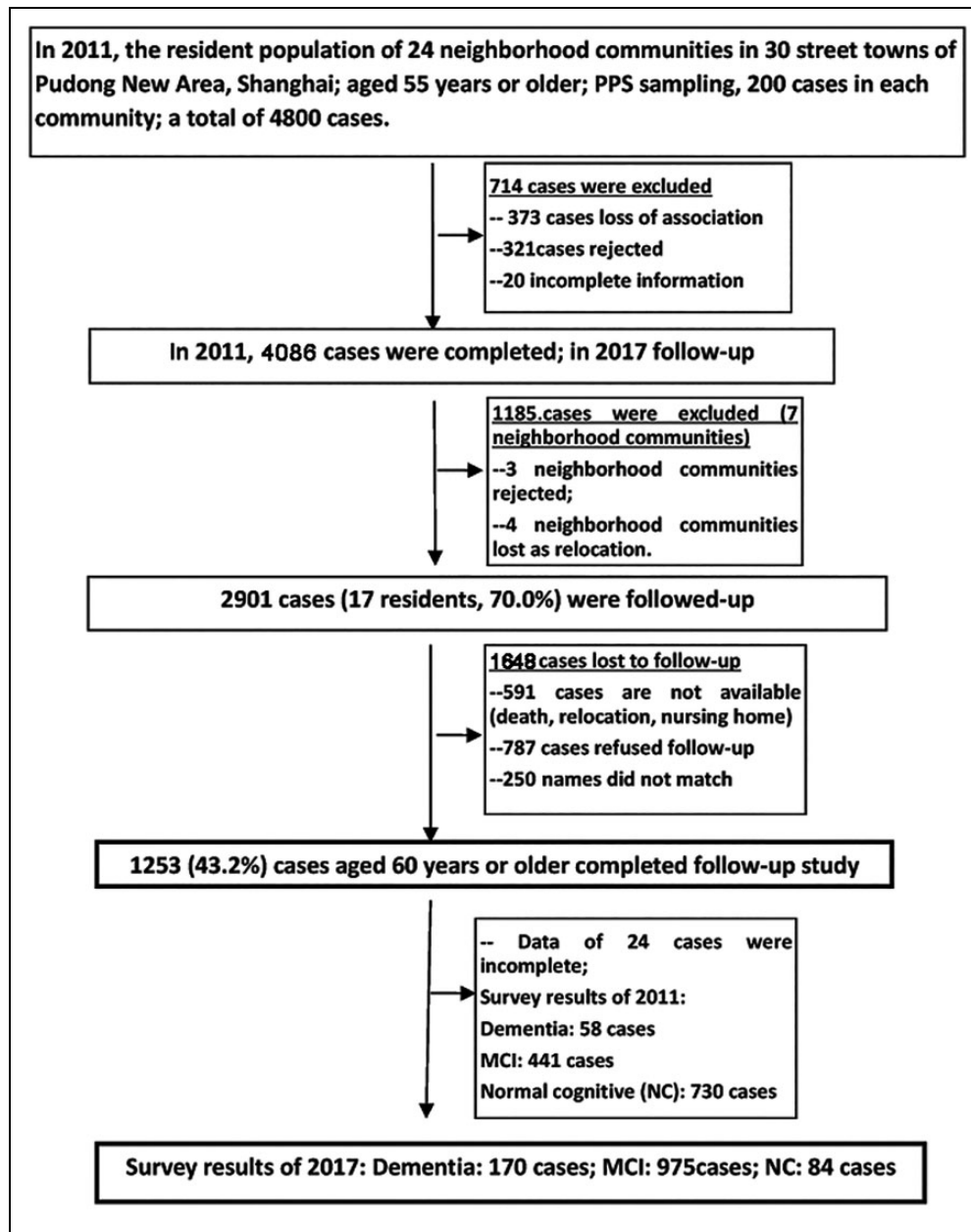
<sup>2</sup> Department of Psychiatric Control and Prevention, Shanghai Pudong New Area Mental Health Center, Tongji University School of Medicine, Shanghai, China

## Corresponding Author:

Hongyun Qin, MM, Department of Psychiatric Control and Prevention, Shanghai Pudong New District Mental Health Center, Tongji University School of Medicine, No. 165 Sanlin Road, Shanghai, 200124, China.

Email: qinhongyun07@163.com





**Figure 1.** Flow chart of investigation on cognitive function of community elderly in 2017.

The present study was based on the research conducted in 2011 (No. PKJ2010-Y26)<sup>7</sup> for the aim of investigation of the cognition changes in MCI, and analysis of the protective and risk factors for MCI progression to dementia by follow-up on the same community elderly in 2017.

## Methods

### Study Design and Ethical Considerations

Based on the probability-proportional-to-size sampling method, community elderly involved in the cross-sectional epidemiological survey (No. PKJ2010-Y26) from July 2011 to July 2012 were involved in this study. Aged 60 years and above

with audiovisual levels to complete the necessary examinations were considered as the inclusion criteria. Flow chart of follow-up process was summarized in Figure 1. Excluding the rejected and relocated communities, the remaining 17 communities participated in the follow-up survey, of which 1253 were followed up and 1648 were lost (the follow-up rate was 43.8%). The present study was approved by Ethics Committee of Shanghai Pudong New Area Mental Health Center: 2016001 and all participants signed informed consents.

### Demographic Characteristics

Demographic characteristics were counted based on the questionnaires, including gender, age, height and weight, education

level, marital status, occupation, economic income, health insurance, personality, the number of children, care method, family status, family history of dementia, and history of diabetes, hypertension, hyperlipidemia, and mental illness. The investigation was conducted by psychiatric medical staff with more than 2 years of clinical work experience from the district mental health center. Before the survey, investigators were trained with Mini-Mental State Examination (MMSE), clinical dementia rating (CDR), and Petersen diagnostic assessments to ensure the Kappa values ranged from 0.82 to 0.88,<sup>7</sup> followed by reviewing by the middle or senior psychiatrists. The survey was conducted by door-to-door interview.

### Diagnosis of MCI and Dementia

According to Petersen's diagnostic criteria,<sup>11</sup> participants who had the following symptoms were diagnosed as the MCI: subjective memory complaints or reported by family members for obvious memory impairment over 3 months; normal total cognitive function assessed by MMSE (illiteracy > 17 points, primary school > 20 points, others > 24 points)<sup>12</sup>; CDR score of 0.5<sup>13</sup>; montreal cognitive assessment (MoCA) score of ≤ 26 points<sup>14</sup>; intact activities of daily living assessed by activities of daily living scale (ADL)<sup>15</sup>; and no dementia.<sup>16</sup> Diagnostic criteria for dementia: according to MMSE, illiterate group ≤ 17 points, primary school group ≤ 20 points, middle school or above group ≤ 24 points<sup>12</sup>; obvious blindness, loss of speech and difficulty in verbal expression. Diagnostic criteria for normal cognitive: MoCA > 26 points (fewer than 12 years of education, added one point) and MMSE > 24 points; MMSE score >18 points for illiteracy; MMSE score >21 points for primary school education. Hachinski ischemic score (HIS) was used for identification of the vascular dementia.<sup>17</sup>

### Statistical Analysis

Statistical analysis was performed by SPSS version 19.0 software (IBM), with  $P < .05$  indicating statistical significance. For continuous data, the normal distribution was checked by One-Sample Kolmogorov-Smirnov Test (1 sample K-S Test) and histogram. Continuous data were expressed by mean ± standard deviation (normal distribution) or spacing values (median, quartile, extremes) for non-normal distribution. Differences between groups were analyzed by independent-samples  $t$  test (normal distribution) or nonparametric tests (Mann-Whitney  $U$  test, non-normal distribution). Qualitative data was expressed as percentages, and  $\chi^2$  test was used to compare proportions between the groups. Six-year follow-up outcomes of MCI were used as the dependent variable, while, the participants' general demographic information and physical illnesses were used as the independent variables. Then, ordered logistic regression analysis model (univariate and multiple logistic regression models) was established, and Supplemental Table 1 showed the variable assignment. The proportional odds assumption was tested by Test of Parallel Lines method. If they met the proportional odds assumption with  $P > .05$ , the ordered logistic

**Table 1.** The Basic Information of Patients in 3 Groups.

Characteristics	MCIp	MCIc	MCIr	P
Age, n (%)				.271
> 60 years	67 (87.0)	282 (79.2)	6 (75.0)	
≤ 60 years	10 (13.0)	74 (20.8)	2 (25.0)	
Gender				.917
Male	23 (29.9)	99 (27.8)	2 (25.0)	
Female	54 (70.1)	257 (72.2)	6 (75.0)	
BMI, kg/m <sup>2</sup>				.897
<24.0	8 (10.4)	41 (11.6)	1 (12.5)	
24.0-27.9	29 (37.7)	125 (35.3)	2 (25.0)	
≥28.0	40 (51.9)	188 (53.1)	5 (62.5)	
Family history of dementia				.611
No	68 (89.5)	322 (91.0)	8 (100.0)	
Yes	8 (10.5)	32 (9.0)	0 (0.0)	
Memory decline				.913
Yes	62 (81.6)	293 (82.5)	7 (87.5)	
No	14 (18.4)	62 (17.5)	1 (12.5)	
Diabetes				.135
Yes	12 (15.8)	32 (9.1)	0 (0.0)	
No	64 (84.2)	320 (90.9)	8 (100.0)	
Hypertension				.809
Yes	38 (50.0)	173 (48.7)	5 (71.4)	
No	38 (50.0)	182 (51.3)	2 (28.6)	
Hyperlipidemia				.620
Yes	3 (4.1)	15 (4.3)	1 (12.5)	
No	70 (95.9)	336 (95.7)	7 (87.5)	
Marriage				.081
Married	56 (72.7)	297 (83.4)	7 (87.5)	
Else	21 (27.3)	59 (16.6)	1 (12.5)	
Health insurance				.146
City health care	60 (77.9)	258 (72.5)	8 (100.0)	
Else	17 (22.1)	98 (27.5)	0 (0.0)	
Care method				.479
Model 6	54 (70.1)	273 (76.7)	2 (25.0)	
Else	23 (29.9)	83 (23.3)	6 (75.0)	
Care frequency				.972
Model 1	30 (39.0)	146 (41.0)	6 (75.0)	
Else	47 (61.0)	210 (59.0)	2 (25.0)	
Personality				.079
Introverted type	15 (19.5)	86 (24.2)	3 (37.5)	
Middle type	41 (53.2)	149 (42.0)	3 (37.5)	
Extroverted type	21 (27.3)	120 (33.8)	2 (25.0)	
Highest education, n (%)				.083
Primary school or below	45 (58.4)	195 (54.8)	0 (0.0)	
Middle school or above	32 (41.6)	161 (45.2)	8 (100.0)	
Occupation				.190
Technical staff	4 (5.2)	25 (7.1)	3 (37.5)	
Worker	41 (53.2)	178 (50.6)	2 (25.0)	
Peasant	15 (19.5)	77 (21.9)	0 (0.0)	
Service staff	1 (1.3)	5 (1.4)	0 (0.0)	
Businessman	1 (1.3)	8 (2.3)	0 (0.0)	
Clerk	1 (1.3)	8 (2.3)	0 (0.0)	
Manager	2 (2.6)	4 (1.1)	0 (0.0)	
Inconvenient classification	12 (15.6)	47 (13.4)	3 (37.5)	
Family type				.175
Living alone	11 (14.3)	31 (8.8)	1 (12.5)	
Stem family	28 (36.4)	140 (39.5)	5 (62.5)	
Core family	38 (49.4)	183 (51.7)	2 (25.0)	
Economic income				.696
≥2000 Yuan/month	22 (28.6)	92 (25.9)	3 (37.5)	
<2000 Yuan/month	55 (71.4)	263 (74.1)	5 (62.5)	

Abbreviations: BMI, body mass index; MCI, mild cognitive impairment.

regression analysis were performed. The factors with the  $P$  value  $< 0.1$  in the single-factor were involved in the multi-factor regression analysis which was completed by the full entry model. Odds ratios (OR) of correlations were estimated with their 95% confidence interval (CI).

## Results

### Demographic Characteristics of Participants and Lost to Follow-Up Population

This study was based on a survey, 6 years ago (PKJ2010-Y26), using the same tools to reinvestigate the community elderly to understand the cognition changes of MCI. Individuals who were older, had more children, not in marriage, and those with high income were prone to be lost to follow-up. While, there was no significant difference in the history of hypertension and hyperlipidemia between participants followed up and those lost to follow-up.

### The Outcome of MCI Patients Based on the Follow-Up Study

Among the 1229 participants with complete information, 441 individuals were diagnosed as MCI in 2011. Of the 441 MCI, 77 progressed to dementia (MCIp: 17.5%, 95% CI: 14.4%-21.6%), 356 remained stable (MCIc: 80.7%, 95% CI: 77.0%-88.4%), and 8 reverted to normal cognition (MCIr: 1.8%, 95% CI: 0.6%-3.0%) at follow-up in 2017. The basic characteristics of patients were listed in Table 1. There were no significant differences of age, gender, and body mass index in patients of MCIp, MCIc, and MCIr group ( $P > .05$ , Table 1). According to the HIS score, dementia was classified into vascular dementia in 3 cases (3.9%), AD in 59 cases (76.6%), and mixed dementia in 15 cases (19.5%).

### The Ordered Logistic Regression Analysis of Outcome of MCI Patients

For single-factor ordered logistic regression, all the results for Test of Parallel Lines were  $P > .05$ . The results of single-factor ordered logistic regression analysis of outcome of MCI patients were presented in Table 2. Among the baseline characteristics, the  $P$  values of diabetes, marriage status, worker, manager were all less than 0.1. The factors with  $P$  value  $< 0.1$  were subsequently subjected to multifactor ordered logistic regression analysis. Then, Test of Parallel Lines showed that ordered probability model met the proportional odds assumption ( $\chi^2 = 14.673$ ,  $P = .108$ ). As shown in Table 3, cognitive function changes in the MCI population were closely associated to diabetes, marriage. The possibility of stability and reversion of cognitive function in diabetic MCI patients was 0.48 (95% CI: 0.23-0.99) times higher than that of nondiabetics MCI patients ( $P = .047$ ). Compared to married participants, the unmarried, widowed, divorced population had OR of 0.54 (95% CI: 0.3-0.97,  $P = .04$ ) for the stability and reversion of

**Table 2.** The Univariate Ordinal Regression Analysis of Outcome of MCI Patients.

Factors	OR	P value
Age ( $>60$ vs $\leq 60$ years)	0.59	.110
Family history of dementia (yes vs no)	1.31	.495
Memory decline (yes vs no)	1.10	.753
Diabetes (yes vs no)	0.50	.052
Hypertension (yes vs no)	1.05	.852
Hyperlipidemia (yes vs no)	1.34	.646
Gender (male vs female)	0.90	.682
Marriage (else vs married)	0.53	.028
Health insurance (else vs city health care) <sup>a</sup>	1.10	.724
Care method (else vs model 6)	0.74	.258
Care frequency (else vs model 6)	1.00	.991
BMI, kg/m <sup>2</sup>		
<24.0 (reference)		
24.0-27.9	0.88	.609
$\geq 28.0$	1.07	.866
Personality		
Introverted type (reference)		
Middle type	0.60	.112
Extroverted type	0.90	.752
Highest education (middle school or above vs primary school or below)	1.49	.108
Occupation		
Technical staff (reference)		
Worker	0.35	.069
Peasant	0.38	.117
Service staff	0.37	.398
Businessman	0.54	.565
Clerk	0.54	.565
Manager	0.16	.075
Inconvenient classification	0.41	.163
Family type <sup>b</sup>		
Living alone (reference)		
Stem family	1.79	.147
Core family	1.52	.280
Economic income ( $\geq 2000$ vs $< 2000$ Yuan/month)	0.94	.831

Abbreviations: BMI, body mass index; OR, odds ratio.

<sup>a</sup>Health insurance includes city health care = 1; medical insurance for urban residents = 2; residents health care = 3; cooperative medical care = 4; others = 5 (including type 2/3/4/5).

<sup>b</sup>Stem family: A family contains grandparents or grandmothers, parents, and third generation. Core family: A family only contains parents and unmarried children.

MCI. Besides, no past occupation significantly influenced the stability and reversion of MCI progressed to dementia.

## Discussion

The present study mainly focused on the 441 MCI and analyzed their progression to dementia, reversion to normal cognition, and remained stable during 6 years of follow-up. There were 17.5% MCI community elderly progressed to dementia and 80.7% remained stable. Diabetes and marriage status as factors increased the risk of MCI progressing to dementia.

A meta-analysis conducted by our team showed that the probability of community elderly MCI progression to dementia

**Table 3.** The Multiple Ordinal Regression Analysis of Outcome of MCI Patients.

Factors	OR	P value
Diabetes (yes vs no)	0.49	.049 <sup>a</sup>
Marriage (else vs married)	0.54	.040 <sup>a</sup>
Occupation		
Technical staff (reference)		
Worker	0.39	.109
Peasant	0.41	.149
Service staff	0.47	.515
Businessman	0.56	.590
Clerk	0.60	.639
Manager	0.14	.057
Inconvenient classification	0.43	.193

Abbreviation: OR, odds ratios.  
P < .05.

was 34% (95% CI: 26%-42%), which was lower than clinic-based outcomes.<sup>18</sup> Gao et al followed up 208 MCI (among of the 437 participants older than 55 years) in Singapore and found that 4% MCI progressed to dementia and 44% MCI reversed to normal cognition during the 6-year follow-up.<sup>19</sup> Besides, Pandya et al reported that 35% of the 1208 participants meeting MCI criteria progressed to dementia at 2 years.<sup>20</sup> While, in this study, the MCI progression ratio was 17.5%. The different operational diagnostic criteria, assessment process, regional difference, and participant backgrounds might explain the wide possibility of MCI progression to dementia. Verlinden et al investigated trajectories of cognition and daily functioning in preclinical dementia, during 18 years of follow-up, revealing that dementia cases first reported memory complaints 16 years before diagnosis, followed by decline in MMSE and ADL.<sup>21</sup> Therefore, the age of memory complaints also affected the length of time for MCI progression to dementia.

In this study, diabetes was found to be a risk factor significantly affecting the status MCI (progression, reversion or stability). According to Degen et al, diabetes type II might lead to deficits in cognitive flexibility and visuospatial thinking, indicated that diabetes type II can be considered to be a frequent comorbid condition which can aggravate the course of MCI.<sup>22</sup> In our study, the risk of diabetes MCI progression to dementia was 3 times higher than those without diabetes. There was plenty of evidence to support the results. Neuropathologic studies have revealed cerebral atrophy and subclinical brain infarction evidence in diabetes patients without dementia.<sup>23</sup> Presumably, small-vessel disease and high levels of glycated hemoglobin which were common symptoms of chronic hyperglycemia increased the oxidative stress as well as the accumulation of advanced glycation end products, then led to the alterations in synaptic plasticity and damage of the central nervous cells.<sup>24-26</sup> According to Ji and Cheng, fasting blood glucose and glycated hemoglobin levels were inversely associated with cognitive function scores, meaning that the higher the blood glucose level, the more severe the cognitive dysfunction.<sup>27</sup> This finding was similar to those of previous studies

conducted in Beijing,<sup>28,29</sup> which indicated that fasting blood glucose and insulin resistance (Homeostasis model assessment for insulin resistance [HOMA]-IR,  $\beta = 1.313$ ,  $P = .01$ ) were independent influencing factors of cognitive impairment (MMSE assessment) in elderly type 2 diabetic patients. Diabetes, impaired glucose tolerance, and metabolic syndrome increased the risk of MCI progression.<sup>30,31</sup> High fasting blood glucose level increased the risk of dementia even in nondiabetes individuals.<sup>32</sup>

Besides, our data also showed that the marriage status was a risk factor for the outcomes of MCI. The risk of depression, delusions, elation, and disinhibition was less in married MCI participants.<sup>33</sup> The declined cognitive ability in MCI patients affects the marital relationship. Conversely, the changes in marital relationship may increase the caregiving burden and depression in MCI patients.<sup>34</sup> Being married is reported to be negatively related with the decline of social activities.<sup>35</sup> The declined engagement in social activities is closely associated with the progression from mild to severe cognitive impairment in MCI patients. Therefore, we concluded that being married may decline the risk of the progression from MCI to dementia. Moreover, past occupation as a manager may be another risk factor for MCI progressed to dementia. Keohane and Balfe found that complex work which required higher mental stimulation may be protective for cognitive function. It was possible due to the continuously use of the brain increased the cognitive reserve in the technical staff.<sup>36</sup> The more you use your brains, the slower the cognitive function declines, and this advantage became more apparent after age 65.<sup>37</sup> Garibotto et al reported that education and occupation might be proxies for reserve in aMCI converters and AD Fluorodeoxyglucose positron emission tomography (FDG-PET) evidence.<sup>38</sup> High education or high occupational attainment (such as mid-level civil servant or management, head of a small business, academician, or specialist in a subordinate position, or senior academic position) reduced the severity and delayed the clinical expression of AD pathology.<sup>38</sup> The different levels of education/occupation showed a different modulatory effect on the relationship between brain metabolism and cognitive functions, which was in accordance with neuropathologic evidence.<sup>39</sup> Working in low-skilled occupations has been repeatedly identified as a risk factor for dementia.<sup>40</sup> The regression analysis also revealed that working as a farm laborer was associated with a greater risk of developing MCI.<sup>41</sup> Highly qualified/skilled occupation such as being a professional musician is related to increased gray matter volumes in particular brain areas.<sup>42</sup> The previous studies showed that compared to other occupations, the higher the complexity of the previous occupation (such as occupations with high requirements for reasoning, calculation, language, or vocational training ability), the lower the risk of dementia. In our study, univariate ordinal regression analysis showed that worker and manager might be the risk factors for the outcomes of MCI patients with  $P$  value < .01. However, the multiple ordinal regression analysis showed no past occupation significantly affected the outcomes of MCI patients. However, the possibility of manager as the risk factor for influencing the

outcomes of MCI was 14% (0.02-1.06,  $P = .057$ ). Our data show that the occupation of manager may be the risk factor influencing the progression, stability, and reverse of MCI, which should be validated in further studies.

The present study has several limitations. First, in order to save time and economic cost, this study used a noninvasive MMSE and MoCA screening scale with high reliability and validity, rather than high-cost detection methods such as magnetic resonance and genetic testing to reflect the cognitive changes. Second, in our previous study, the mean MMSE score of MCI (2011,  $n = 441$ ,  $\geq 55$  years old) was  $26.58 \pm 2.48$ , which was higher than that of other investigators (2008,  $n = 2809$  cases,  $\geq 60$  years old) with  $24.37 \pm 4.071$ .<sup>43</sup> This may be one of the reasons for the low MCIp rate in this study. While, this study specifically investigated the relevant factors of the MCI outcomes among the older population in Shanghai. We hope that our findings would be of guiding significance for preventing MCI progression to dementia.

## Conclusion

Of the 441 MCI participants, about 17.5% progressed to dementia and 80.7% remained stable during the 6-year follow-up. The diabetes and marriage status were the risk factors influencing the outcomes of MCIs. Diabetes and nonmarital status significantly increased the cognition function impairment of MCI patients.

## Authors' Note

The present study was approved by Ethics Committee of Shanghai Pudong New Area Mental Health Center: 2016001. C.H. contributed to conception and design of the research, obtaining funding, and drafting the manuscript; Y.L. contribute to acquisition of data; Z.C. contributed to analysis and interpretation of data; Y.G. contributed to statistical analysis; H.Q. contributed to drafting the manuscript and revision of manuscript for important intellectual content. All authors read and approved the final manuscript.


## Declaration of Conflicting Interests

The authors declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

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## ORCID iD

Hongyun Qin  <https://orcid.org/0000-0001-8751-0908>

## Supplemental Material

Supplemental material for this article is available online.

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