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Intrinsic dynamics of randomly clustered networks generate place fields and preplay of novel environments

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19 Abstract

- 20 During both sleep and awake immobility, hippocampal place cells reactivate time-
- 21 compressed versions of sequences representing recently experienced trajectories in a
- 22 phenomenon known as replay. Intriguingly, spontaneous sequences can also correspond to
- 23 forthcoming trajectories in novel environments experienced later, in a phenomenon known
- as preplay. Here, we present a model showing that sequences of spikes correlated with the
- 25 place fields underlying spatial trajectories in both previously experienced and future novel
- 26 environments can arise spontaneously in neural circuits with random, clustered
- connectivity rather than pre-configured spatial maps. Moreover, the realistic place fields
 themselves arise in the circuit from minimal, landmark-based inputs. We find that preplay
- 20 themselves arise in the circuit from minimal, landmark-based inputs. We find that prepl 29 quality depends on the network's balance of cluster isolation and overlap, with optimal
- quality depends on the network's balance of cluster isolation and overlap, with optimal preplay occurring in small-world regimes of high clustering yet short path lengths. We
- 30 prepay occurring in sman-world regimes of high clustering yet short path lengths. We 31 validate the results of our model by applying the same place field and preplay analyses to
- 32 previously published rat hippocampal place cell data. Our results show that clustered
- 33 recurrent connectivity can generate spontaneous preplay and immediate replay of novel
- 34 environments. These findings support a framework whereby novel sensory experiences
- 35 become associated with preexisting "pluripotent" internal neural activity patterns.
- 36

37 Impact Statement

- 38 Neural circuits with small-world connectivity spontaneously emit sequences of spikes that
- 39 are correlated with any of the distinct sequences of realistic place fields produced by
- 40 location-modulated, monotonically varying input.

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41 **Contributions**:

- 42 Jordan Breffle: Conceptualization, Formal Analysis, Investigation, Methodology, Software,
- 43 Visualization, Writing original draft, Writing review & editing
- 44 Hannah Germaine: Conceptualization, Methodology, Software, Writing review & editing
- 45 Justin D. Shin: Data curation, Investigation, Writing review & editing
- 46 Shantanu P. Jadhav: Conceptualization, Funding acquisition, Resources, Supervision,
- 47 Writing review & editing
- 48 Paul Miller: Conceptualization, Funding acquisition, Methodology, Project administration,
- 49 Resources, Supervision, Writing review & editing
- 50
- 51 Funding: NIH/NINDS R01NS104818, NIH/NIMH R01MH112661, NIH/NIMH
- 52 R01MH120228, and Brandeis University Neuroscience Graduate Program

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53 Introduction

54 The hippocampus plays a critical role in spatial and episodic memory in mammals (Morris

- et al., 1982; Squire et al., 2004). Place cells in the hippocampus exhibit spatial tuning, firing
- 56 selectively in specific locations of a spatial environment (Moser et al., 2008; O'Keefe and
- 57 Nadel, 1978). During sleep and quiet wakefulness, place cells show a time-compressed
- 58 reactivation of spike sequences corresponding to recent experiences (Wilson and
- 59 McNaughton, 1994; Foster and Wilson, 2006), known as replay. These replay events are
- 60 thought to be important for memory consolidation, often referred to as memory replay
- 61 (Carr et al., 2011).
- 62 The CA3 region of the hippocampus is a highly recurrently connected region that is the
- 63 primary site of replay generation in the hippocampus. Input from CA3 supports replay in
- 64 CA1 (Csicsvari et al., 2000; Yamamoto and Tonegawa, 2017; Nakashiba et al., 2008;
- Nakashiba et al., 2009), and peri-ripple spiking in CA3 precedes that of CA1 (Nitzan et al.,
- 66 2022). The recurrent connections support intrinsically generated bursts of activity that
- 67 propagate through the network.
- 68 Most replay models rely on a recurrent network structure in which a map of the
- 69 environment is encoded in the recurrent connections of CA3 cells, such that cells with
- 70 nearby place fields are more strongly connected. Some models assume this structure is pre-
- existing (Haga and Fukai, 2018; Pang and Fairhall, 2019), and some show how it could
- develop over time through synaptic plasticity (Theodoni et al., 2018; Jahnke et al., 2015).
- 73 Related to replay models based on place-field distance-dependent connectivity is the
- 74 broader class of synfire-chain-like models. In these models, neurons (or clusters of
- neurons) are connected in a 1-dimensional feed-forward manner (Diesmann et al., 1999;
- 76 Chenkov et al., 2017). The classic idea of a synfire-chain has been extended to included
- recurrent connections, such as by Chenkov et al., 2017, however such models still rely on
- an underlying 1-dimensional sequence of activity propagation.
- 79 A problem with these models is that in novel environments place cells remap immediately
- 80 in a seemingly random fashion (Leutgeb et al., 2005; Muller and Kubie, 1987). The CA3
- 81 region, in particular, undergoes pronounced remapping (Leutgeb et al., 2004; Leutgeb et al.,
- 82 2005; Alme et al., 2014). A random remapping of place fields in such models that rely on
- 83 environment-specific recurrent connectivity between place cells would lead to recurrent
- 84 connections that are random with respect to the novel environment, and thus would not
- 85 support replay of the novel environment.
- 86 Rather, these models require a pre-existing structure of recurrent connections to be
- 87 created for each environment. A proposed solution to account for remapping in
- 88 hippocampal models is to assume the existence of multiple independent and uncorrelated
- 89 spatial maps stored within the connections between cells. In this framework, the maximum
- 90 number of maps is reached when the noise induced via connections needed for alternative
- 91 maps becomes too great for a faithful rendering of the current map (Samsonovich and
- 92 McNaughton, 1997; Battaglia and Treves, 1998; Azizi et al., 2013). However, experiments
- have found that hippocampal representations remain uncorrelated, with no signs of

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representation re-use, after testing as many as 11 different environments in rats (Alme etal., 2014).

Rather than re-using a previously stored map, another possibility is that a novel map for a
novel environment is generated *de novo* through experience-dependent plasticity while in
the environment. Given the timescales of synaptic and structural plasticity, one might
expect that significant experience within each environment is needed to produce each new
map. However, replay can occur after just 1-2 laps on novel tracks (Foster and Wilson,
2006; Berners-Lee et al., 2022), which means that the synaptic connections that allow the
generation of the replayed sequences must already be present. Consistent with this

- 103 expectation, it has been found that decoded sequences during sleep show significant
- 104 correlations when decoded by place fields from future, novel environments. This
- 105 phenomenon is known as preplay and has been observed in both rodents (Dragoi and
- 106 Tonegawa, 2011; Dragoi and Tonegawa, 2013; Grosmark and Buzsaki, 2016; Liu et al.,
- 107 2018) and humans (Vaz et al., 2023).
- 108 The existence of both preplay and immediate replay in novel environments suggests that
- 109 the preexisting recurrent connections in the hippocampus that generate replay are
- somehow correlated with the pattern of future place fields that arise in novel
- 111 environments. To reconcile these experimental results, we propose a model of intrinsic
- 112 sequence generation based on randomly clustered recurrent connectivity, wherein place
- cells are connected within multiple overlapping clusters that are random with respect to
- any future, novel environment. Such clustering is a common motif across the brain,
- including the CA3 region of the hippocampus (Guzman et al., 2016) as well as cortex (Song
- et al., 2005; Perin et al., 2011), naturally arises from a combination of Hebbian and
- 117 homeostatic plasticity in recurrent networks (Bourjaily and Miller, 2011; Litwin-Kumar
- and Doiron, 2014; Lynn et al., 2022), and spontaneously develops in networks of cultured
- 119 hippocampal neurons (Antonello et al., 2022).
- 120 As an animal gains experience in an environment, the pattern of recurrent connections of
- 121 CA3 would be shaped by Hebbian plasticity (Debanne et al., 1998; Mishra et al., 2016).
- 122 Relative to CA1, which has little recurrent connectivity, CA3 has been found to have both
- 123 more stable spatial tuning and a stronger functional assembly organization, consistent with
- 124 the hypothesis that spatial coding in CA3 is influenced by its recurrent connections
- 125 (Sheintuch et al., 2023). Gaining experience in different environments would then be
- 126 expected to lead to individual place cells participating in multiple formed clusters. Such
- 127 overlapping clustered connectivity may be a general feature of any hippocampal and
- 128 cortical region that has typical Hebbian plasticity rules. Sadovsky and MacLean, 2014,
- found such structure in the spontaneous activity of excitatory neurons in primary visual
- 130 cortex, where cells formed overlapping but distinct functional clusters. Further, such
- preexisting clusters may help explain the correlations that have been found in otherwise
 seemingly random remapping (Kinsky et al., 2018; Whittington et al., 2020) and support
- 132 seemingly random remapping (Kinsky et al., 2010; winttington et al., 2020) and support 133 the rapid hippocampal representations of novel environments that are initially generic and
- 134 become refined with experience (Liu et al., 2021). Such clustered connectivity likely
- 135 underlies the functional assemblies that have been observed in hippocampus, wherein
- 136 groups of recorded cells have correlated activity that can be identified through
- 137 independent component analysis (Peyrache et al., 2010; Farooq et al., 2019).

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- 138 Since our model relies on its random recurrent connections for propagation of activity
- through the network during spontaneous activity, we also sought to assess the extent to
- 140 which the internal activity within the network can generate place cells with firing rate
- 141 peaks at a location where they do not receive a peak in their external input. While the total
- 142 input to the network is constant as a function of position, each cell only receives a peak in
- 143 its spatially linearly varying feedforward input at one end of the track. Our reasoning is that
- 144 landmarks in the environment, such as boundaries or corners, provide location-specific
- 145 visual input to an animal, but locations between such features are primarily indicated by
- 146 their distance from them, which in our model is represented by reduction in the landmark-
- 147 specific input. One can therefore equate our model's inputs as corresponding to boundary
- cells (Savelli et al., 2008; Solstad et al., 2008; Bush et al., 2014), and the place fields
- 149 between boundaries are generated by random internal structure within the network.
- 150 Further, variations in spatial input forms do not affect the consistency and robustness of
- the model.
- 152 In our implementation of this model, we find that spontaneous sequences of spikes
- 153 generated by a randomly clustered network can be decoded as spatial trajectories without
- 154 relying on pre-configured, environment-specific maps. Because the network contains
- 155 neither a preexisting map of the environment nor experience-dependent plasticity, we
- 156 refer to the spike-sequences it generates as preplay. However, the model can also be
- thought of as a preexisting network in which immediate replay in a novel environment can
- 158 be expressed and then reinforced through experience-dependent plasticity. We find that
- 159 preplay in this model occurs most strongly when the network parameters are tuned to
- 160 generate networks that have a small-world structure (Watts and Strogatz, 1998;
- 161 Humphries et al., 2006; Humphries et al., 2008). Our results support the idea that preplay
- and immediate replay could be a natural consequence of the preexisting recurrent
- 163 structure of the hippocampus.



164 **Results**

165 The model

166



167 **Figure 1: Illustration of the randomly clustered model**

168 (a) Schematic diagram of prior replay models that rely on preexisting environment-specific

169 structure, wherein each cell receives uniquely tuned Gaussian-shaped feed-forward inputs

- to define the place fields, and cells with nearby place fields are recurrently connected. Pairs
- 171 of cells with closest place fields are connected most strongly (thicker arrows). **(b)**
- 172 Schematic diagram of our model, where neurons are randomly placed into clusters and all
- 173 neurons receive the same spatial and contextual information but with random, cluster-
- 174 dependent input strengths. (c) Example representation of the network (8 clusters, mean
- 175 cluster participation per cell of 1.5). Excitatory cells (each symbol) are recurrently
- 176 connected with each other and with inhibitory cells ("Feedback inhibition", individual
- 177 inhibitory cells not shown) and receive feed forward input ("Sensory input"). Symbol color
- 178 indicates neurons' membership in clusters 1 and 2, with ~ meaning not in the cluster.
- 179 Symbol size scales with the number of clusters a neuron is in. Lines show connections
- 180 between neurons that are in cluster 2. Symbol positions are plotted based on a t-
- 181 distributed stochastic neighbor embedding (t-SNE) of the connection matrix, which reveals
- 182 the randomly overlapping clusters. **(d-f)** Histograms based on the network in (c) of: **(d)** the
- 183 distribution of input strengths; **(e)** the number of clusters that each neuron is a member of;
- and (f) the fraction of the excitatory cells to which each excitatory cell connects. (g) The

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- 185 Small-World Index (SWI) of the excitatory connections varies with the number of clusters
- and the mean number of clusters of which each neuron is a member ("cluster
- 187 participation"). The median value of the SWI from 10 networks at each parameter point is
- 188 plotted. The red dashed line shows a contour line where SWI = 0.4. Regions in white are
- 189 not possible due to either cluster participation exceeding the number of clusters (lower
- right) or cells not being able to connect to enough other cells to reach the target global
- 191 connectivity p_c (upper left).
- 193 We propose a model of preplay and immediate replay based on randomly clustered
- 194 recurrent connections (Figure 1). In prior models of preplay and replay, a preexisting map
- 195 of the environment is typically assumed to be contained within the recurrent connections
- 196 of CA3 cells, such that cells with nearby place fields are more strongly connected (Figure
- 197 1a). While this type of model successfully produces replay (Haga and Fukai, 2018; Pang and
- 198 Fairhall, 2019), such a map would only be expected to exist in a familiar environment, after
- 199 experience-dependent synaptic plasticity has had time to shape the network (Theodoni et
- al., 2018). It remains unclear how, in the absence of such a preexisting map of the
- 201 environment, the hippocampus can generate both preplay and immediate replay of a novel
- 202 environment.
- 203 Our proposed alternative model is based on a randomly clustered recurrent network with
- 204 random feed-forward inputs (Figure 1b). In our model, all excitatory neurons are randomly
- assigned to overlapping clusters that constrain the recurrent connectivity, and they all
- 206 receive the same linear spatial and contextual input cues which are scaled by randomly
- 207 drawn, cluster-dependent connection weights (see Methods). This bias causes cells that
- share cluster memberships to have more similar place fields during the simulated run
- 209 period, but, crucially, this bias is not present during sleep simulations so that there is no
- 210 environment-specific information present when the network generates preplay.
- 211 An example network with 8 clusters and cluster participation of 1.5 (the mean number of
- 212 clusters to which an excitatory neuron belongs) is depicted in Figure 1c. Excitatory neurons
- are recurrently connected to each other and to inhibitory neurons. Inhibitory cells have
- 214 cluster-independent connectivity, such that all E-to-I and I-to-E connections exist with a
- 215 probability of 0.25. Feed-forward inputs are independent Poisson spikes with random
- 216 connection strength for each neuron (Figure 1d). Excitatory cells are randomly,
- 217 independently assigned membership to each of the clusters in the network. All neurons are
- 218 first assigned to one cluster, and then randomly assigned additional clusters to reach the
- 219 target cluster participation (Figure 1e). Given the number of clusters and the cluster
- participation, the within-cluster connection probability is calculated such that the global
- 221 connection probability matches the parameter $p_c = 0.08$ (Figure 1f). The left peak in the
- distribution shown in Figure 1f is from cells in a single cluster and the right peak is from cells in two clusters, with the long tail corresponding to cells in more than two clusters.
- 225 cens in two crusters, with the long tan corresponding to cens in more than two clusters.
- For a given p_c , excitatory connectivity is parameterized by the number of clusters in the
- network and the mean cluster participation. The small-world index (SWI; Neal, 2015; Neal,
- 226 2017) systematically varies across this 2-D parameterization (Figure 1g). A high SWI

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227 indicates a network with both clustered connectivity and short path lengths (Watts and 228 Strogatz, 1998). A ring lattice network (Figure 1—figure supplement 1a) exhibits high 229 clustering but long path lengths between nodes on opposite sides of the ring. In contrast, a 230 randomly connected network (Figure 1—figure supplement 1c) has short path lengths but 231 lacks local clustered structure. A network with small world structure, such as a Watts-232 Strogatz network (Watts and Strogatz, 1998) or our randomly clustered model (Figure 1— 233 figure supplement 1b), combines both clustered connectivity and short path lengths. In our 234 clustered networks, for a fixed connection probability, SWI increases with more clusters 235 and lower cluster participation, so long as cluster participation is greater than one to 236 ensure sparse overlap of (and hence connections between) clusters. Networks in the top 237 left corner of Figure 1g are not possible, since in that region all within-cluster connections 238 are not sufficient to match the target global connectivity probability, p_c . Networks in the 239 bottom right are not possible because otherwise mean cluster participation would exceed 240 the number of clusters. The dashed red line shows an example contour line where SWI =

241 0.4.

242 Example activity

243



Figure 2: Spatially correlated reactivations in networks without environment-

245 specific connectivity or plasticity

- 246 (a-f) Example activity from the fiducial parameter set (15 clusters, mean cluster
- 247 participation of 1.25). (a) Example raster plot from one place-field trial. Cells sorted by trial
- peak. (b) Example membrane traces from two of the cells in (a). (c) Place fields from 10
- 249 different networks generated from the same parameter set, sorted by peak location and
- normalized by peak rate. (d) Example raster plot (top) and population firing rate (bottom;

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251 blue line) showing preplay in a simulation of sleep. Horizontal dashed black line is the 252 mean population rate across the simulation. Horizontal dashed red line is the threshold for 253 detecting a population-burst event (PBE). PBEs that exceeded the threshold for at least 50 254 ms and had at least 5 participating cells were included in the preplay decoding analysis. 255 Grev bars highlight detected events. (e) Example preplay event (Top, raster plot. Bottom, 256 Bayesian decoding of position). Event corresponds to the center event in (d). Raster 257 includes only participating cells. The blue line shows the weighted correlation of decoded 258 position across time. **(f)** Nine example decoded events from the same networks in (c). The 259 width of each time bin is 10 ms. The height spans the track length. Same color scale as in 260 (e), r is each event's absolute weighted correlation, id is the maximum normalized jump in 261 peak position probability between adjacent time bins. The same event in (e) is shown with 262 its corresponding statistics in the center of the top row. Preplay statistics calculated as in 263 Farooq et al., 2019.

264

265 Our randomly clustered model produces both place fields and preplay with no

266 environment-specific plasticity or preexisting map of the environment (Figure 2). Example

267 place cell activity shows spatial specificity during linear track traversal (Figure 2a-c).

268 Although the spatial tuning is noisy, this is consistent with the experimental finding that

the place fields that are immediately expressed in a novel environment require experience

in the environment to stabilize and improve decoding accuracy (Tang and Jadhav, 2022;

Shin et al., 2019; Hwaun and Colgin, 2019). Raster plots of network spiking activity (Figure

272 2a) and example cell membrane potential traces (Figure 2b) demonstrate selective firing in
 273 specific track locations. Place fields from multiple networks generated from the same

274 parameters, but with different input and recurrent connections, show spatial tuning across

the track (Figure 2c).

276 To test the ability of the model to produce preplay, we simulated sleep sessions in the same 277 networks. Sleep sessions were simulated in a similar manner to the running sessions but 278 with no location cue inputs active and a different, unique set of context cue inputs active to 279 represent the sleep context. The strength of the context cue inputs to the excitatory and 280 inhibitory cells were scaled in order to generate an appropriate level of network activity, to account for the absence of excitatory drive from the location inputs (see Methods). During 281 282 simulated sleep, sparse, stochastic spiking spontaneously generates sufficient excitement 283 within the recurrent network to produce population burst events resembling preplay 284 (Figure 2d-f). Example raster and population rate plots demonstrate spontaneous transient 285 increases in spiking that exceed 1 standard deviation above the mean population rate 286 denoting population burst events (PBEs: Figure 2d). We considered PBEs that lasted at 287 least 50 ms and contained at least 5 participating cells candidates for Bayesian decoding 288 (Shin et al., 2019). Bayesian decoding of an example PBE using the simulated place fields 289 reveals a spatial trajectory (Figure 2e). We use the same two statistics as Faroog et al. 290 (2019) to quantify the quality of the decoded trajectory: the absolute weighted correlation 291 (r) and the maximum jump distance (jd; Figure 2f). The absolute weighted correlation of a 292 decoded event is the absolute value of the linear Pearson's correlation of space-time 293 weighted by the event's derived posteriors. Since sequences can correspond to either 294 direction along the track, the sign of the correlation simply indicates direction while the

- 10
- 295 absolute value indicates the quality of preplay. The maximum jump distance of a decoded 296 event is the maximum jump in the location of peak probability of decoded position across 297 any two adjacent 10-ms time bins of the event's derived posteriors. A high-quality event
- 298 will have a high absolute weighted correlation and a low maximum jump distance.
- 299 Together, these results demonstrate that the model can reproduce key dynamics of
- hippocampal place cells, including spatial tuning and preplay, without relying on 300
- 301 environment-specific recurrent connections.
- 302 **Place Fields**
- 303



304 Figure 3: The model produces place fields with similar properties to hippocampal

- place fields 305
- (a) Place field statistics for hippocampal place fields recorded in rats upon their first 306
- exposure to a W-track (Shin et al., 2019). Left, place-field peak rate (Hz). Center, place-field 307
- 308 specificity (fraction of track). Right, place-field spatial information (bits/spike). (b) Same as
- 309 (a) but for place fields from a set of 10 simulated networks at one parameter point (15
- 310 clusters and mean cluster participation of 1.25). (c) Network parameter dependence of

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311 place-field statistics. For each parameter point, the color indicates the mean over all place

- 312 fields from all networks. Top row: mean statistics corresponding to the same measures of
- 313 place fields used in panels (a, b). Bottom left: mean firing rate of the inhibitory cells. Bottom
- center: the KL-divergence of the distribution of place-field peaks relative to a uniform
- 315 spatial distribution. Bottom right: fraction of place-field peaks peaked in the central third of
- the track.

- 318 To compare the place fields generated by the model to those from hippocampal place cells
- 319 of rats, we calculated several place-field statistics for both simulated and experimentally
- 320 recorded place fields (Figure 3). Because our model assumes no previous environment-
- 321 specific plasticity, we analyzed data from place cells in rats on their first exposure to a W-
- track (Shin et al., 2019). Equivalent statistics of place-field peak rate, sparsity, and spatial
- information are shown for experimental data (Figure 3a) and simulations (Figure 3b). We
- found that the model produces qualitatively similar (but not quantitatively identical)
- 325 distributions for the fiducial parameter set.
- 326 These place-field properties depend on the network parameters (Figure 3c). With fewer
- 327 clusters and lower cluster overlap (lower cluster participation), place fields have higher
- 328 peak rates, sparsity, and spatial information (Figure 3c, top row and bottom left). However,
- 329 lower overlap reduces the uniformity of place-field locations, measured by KL-divergence
- (Figure 3c bottom middle) and the fraction of place fields in the central third of the track
- 331 (Figure 3c bottom right).
- 332 To verify that our simulated place cells were more strongly coding for spatial location than
- for elapsed time, we performed simulations with additional track traversals at different
- 334 speeds and compared the resulting place fields and time fields in the same cells. We find
- that there is significantly greater place information than time information (Figure 3—
- figure supplement 1)

337 **Preplay**







(a,c) The cumulative distribution function (CDF) of the absolute weighted correlations for 340 341 actual events (blue line) versus shuffled events (red dashed line) of experimental data from Shin at al., 2019 (a; KS-test, p=2×10⁻¹², KS-statistic=0.078) and simulated data (c; KS-test, 342 343 $p=3\times10^{-16}$, KS-statistic=0.29) reveal results similar to those in Figure 1h of Faroog et al., 344 2019. *** p<0.001. (b,d) P-value grids (p-value indicated logarithmically by color) showing 345 that the actual decoded events are higher quality sequences than shuffles across a wide 346 range of quality thresholds for both experimental data from Shin et al., 2019 (b) and 347 simulated data (d). For each point on the grid the fraction of events that exceed the absolute weighted correlation threshold (y-axis) and don't exceed the maximum jump 348 349 distance (x-axis) is calculated, and the significance of this fraction is determined by 350 comparison against a distribution of corresponding fractions from shuffled events. Black

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squares indicate criteria that were not met by any events (either shuffled or actual). The 351 352 panel is equivalent to Figure 1e of Faroog et al., 2019. (e) Network parameter dependence 353 of several statistics quantifying the population-burst events. Top left, fraction of excitatory 354 cells firing per event. Top right, mean excitatory cell firing rate (Hz). Bottom left, mean 355 event duration (s). Bottom right, mean event frequency (Hz). Each point is the mean of data 356 combined across all population-burst events of all networks at each parameter point. Data 357 from the same simulations as Figure 3. (f) Network parameter dependence of several 358 statistics quantifying the Bayesian decoding. Top left, p-value of the absolute weighted 359 correlations (from a KS-test as calculated in (c)). Top right, the shift in the median absolute 360 weighted correlation of actual events relative to shuffle events. Bottom left, the fraction of 361 events with significant absolute weighted correlations relative to the distribution of 362 absolute weighted correlations from time bin shuffles of the event. Bottom right, the mean 363 entropy of the position probability of all time bins in decoded trajectories.

364

365 Having found that the model produces realistic place-field representations with neither 366 place-field like inputs nor environment-specific spatial representation in the internal 367 network connectivity (Figure 3), we next examined whether the same networks could 368 generate spontaneous preplay of novel environments. To test this, for the same set of networks characterized by place-field properties in Figure 3, we simulated sleep activity by 369 370 removing any location-dependent input cues and analyzed the resulting spike patterns for 371 significant sequential structure resembling preplay trajectories (Figure 4). We find 372 significant preplay in both our reference experimental data set (Shin et al., 2019; Figure 4a, 373 b; see Figure 4—figure supplement 1 for example events) and our model (Figure 4c, d) 374 when analyzed by the same methods as Farooq et al., 2019, wherein the significance of 375 preplay is determined relative to time-bin shuffled events (see Methods). The distribution 376 of absolute weighted correlations of actual events was significantly greater than the 377 distribution of absolute weighted correlations of shuffled events for both the experimental 378 data (Figure 4a, KS-test, p=2x10⁻¹², KS-statistic=0.078) and the simulated data (Figure 4c, 379 KS-test, $p=3x10^{-16}$, KS-statistic=0.29). Additionally, we found that this result is robust to 380 random subsampling of cells in our simulated data (Figure 4—figure supplement 2). Our 381 analyses of the hippocampal data produce similar results when analyzing each trajectory 382 independently (Figure 4—figure supplement 3).

383 For each event, we also calculated the maximum spatial jump of the peak probability of 384 decoded position between any two adjacent time bins as a measure of the continuity of the 385 decoded trajectory. The absolute weighted correlation (high is better) and maximum jump 386 (low is better) were then two different measures of the quality of a decoded trajectory. We 387 performed a bootstrap test that took both of these measures into account by setting 388 thresholds for a minimum absolute weighted correlation and a maximum jump distance 389 and then calculating the fraction of events meeting both criteria of quality. The significance 390 of the fraction of events meeting both criteria was then determined by comparing it against 391 a distribution of such fractions generated by sets of the time-bin shuffled events. We 392 systematically varied both thresholds and found that the actual events are of significantly 393 higher quality than chance for a wide range of thresholds in both the hippocampal (Figure 394 4b) and simulated (Figure 4d) data. The upper right corner of these grids cannot be

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395 significant since 100% of all possible events would be included in any shuffle or actual set. 396 Points in the left-most column are not all significant because the strictness of the maximum

- 397 jump distance means that very few events in either the actual or shuffled data sets meet the
- 398 criterion, and therefore the analysis is underpowered. This pattern is similar to that seen in
- 399 Farooq et al., 2019 (as shown in their Figure 1e).
- 400 Both PBEs and preplay are significantly affected by the two network parameters (Figure 4e,
- 401 f). The number of clusters and the extent of cluster overlap (indicated via mean cluster
- 402 participation) affects PBE participation (Figure 4e, top left), firing rates (Figure 4e, top
- right), event durations (Figure 4e, bottom left), and event frequency (Figure 4e, bottom 403
- 404 right). We find that significant preplay occurs only at moderate cluster overlap (Figure 4f,
- 405 top left), where we also find the greatest increase from chance in the linearity of decoded
- 406 trajectories (Figure 4f, top right). The fraction of events that are individually significant 407 (determined by comparing the absolute weighted correlation of each decoded event
- 408 against the set of absolute weighted correlations of its own shuffles) is similarly highest for
- 409 modest cluster overlap (Figure 4f, bottom left). The mean entropy of position probability of
- 410 each time bin of decoded trajectories is also highest for modest cluster overlap (Figure 4f,
- 411 bottom right), meaning that high cluster overlap leads to more diffuse, less precise spatial
- 412 decoding.
- 413 To test the robustness of our results to variations in input types, we simulated alternative
- 414 forms of spatially modulated feedforward inputs. We found that with no parameter tuning
- 415 or further modifications to the network, the model generates robust preplay with
- 416 variations on the spatial inputs, including inputs of three linearly varying cues (Figure 4—
- 417 figure supplement 4a) and two stepped cues (Figure 4—figure supplement 4b-c). The
- 418 network is impaired in its ability to produce preplay with binary step location cues (Figure
- 419 4—figure supplement 4d), when there is no cluster bias (Figure 4—figure supplement 4e),
- 420 and at greater values of cluster participation (Figure 4—figure supplement 4f)

421 Preplay is due to successive activations of individual clusters

422

cells 5

0

0

0.02

Time (s)

0.04



50

0

0

0.02 0.04 0.06

Active cluster duration (s)

2.5

1.5

0.5

0.03

0.02

0.01

3

10

2

Cluster participation

2

15

423 Figure 5: Coherent spiking within clusters supports preplay

424 (a) Example event. Top, spike rates averaged across neurons of individual clusters: Each 425 firing rate curve is the smoothed mean firing rate across the population of cells belonging 426 to each cluster. We defined clusters as "active" if at any point their rates exceed twice that 427 of any other cluster. Three clusters meet the criterion of being active (green, then red, then 428 blue). Bottom, raster plots: Cells belonging to each of the active clusters are plotted 429 separately in the respective colors. Cells in multiple clusters contribute to multiple 430 population curves, and cells in multiple active clusters appear in multiple rows of the raster 431 plot. Cells that participate but are not in any active clusters are labeled "Other cells" and 432 plotted in black. Only active cells are plotted. (b) For the fiducial parameter set (15 433 clusters, mean cluster participation of 1.25), the distribution over events of the number of 434 active clusters per event. (c) The mean number of active clusters per event as a function of 435 the network parameters. Same data as that used for the parameter grids in earlier figures. 436 (d) For the fiducial parameter set (15 clusters, mean cluster participation of 1.25), the 437 distribution of durations of active clusters for all active cluster periods across all events. 438 The active duration was defined as the duration for which an active cluster remained the

- 439 most-active cluster. **(e)** The mean active cluster duration as a function of the network
- 440 parameters.

441

442 Figure 4f indicates that PBEs are best decoded as preplay when cluster participation is only

- slightly above one, indicating a small, but non-zero, degree of cluster overlap. We
- 444 hypothesized that this can be explained as balancing two counteracting requirements: 1)
- 445 Sufficient cluster overlap is necessary for a transient increase in activity in one cluster to
- 446 induce activity in another cluster, so as to extend any initiated trajectory; and 2) Sufficient
- 447 cluster isolation is necessary so that, early in a transient, spikes from an excited cluster
- 448 preferentially add excitement to the same cluster. A network with too much cluster overlap
- 449 will fail to coherently excite individual clusters—rendering decoded positions to be spread
- randomly throughout the track—while a network with too little cluster overlap will fail to
- 451 excite secondary clusters—rendering decoded positions to remain relatively localized.
- 452 We find that the dependence of preplay on cluster overlap can indeed be explained by the 453 manner in which clusters participate in PBEs (Figure 5). An example PBE (Figure 5a)
- 454 shows transient recruitment of distinct clusters, with only one cluster prominently active
- 455 at a time. We define a cluster as 'active' if its firing rate exceeds twice the rate of any other
- 456 cluster. We calculated the number of active clusters per event (Figure 5b) and the duration
- 457 of each active cluster period (Figure 5d). We find that these statistics vary systematically
 458 with the network parameters (Figure 5c, e), in a manner consistent with the dependence of
- 459 preplay on cluster overlap (Figure 4f). When there is modest overlap of an intermediate
- 460 number of clusters, events involve sequential activation of multiple clusters that are each
- 461 active sufficiently long to correspond to at least one of the time bins used for decoding (10
- 462 ms). Figures 4 and 5 together indicate that high-quality preplay arises via a succession of
- 463 individually active clusters. Such succession requires a moderate degree of cluster overlap,
- but this must be combined with sufficient cluster isolation to promote independent
- 465 activation of just one cell assembly for the duration of each time-bin used for decoding.

16

The results of Figure 5 suggest that cluster-wise activation may be crucial to preplay. One
possibility is that the random overlap of clusters in the network spontaneously produces
biases in sequences of cluster activation which can be mapped onto any given environment.
To test this, we looked at the pattern of cluster activations within events. We found that
sequences of three active clusters were not more likely to match the track sequence than
chance (Figure 5—figure supplement 1a). This suggests that preplay is not dependent on a
particular biased pattern in the sequence of cluster activation. We then asked if the number
of clusters that were active influenced preplay quality. We split the preplay events by the
number of clusters that were active during each event and found that the median preplay
shift relative to shuffled events with the same number of active clusters decreased with the
number of active clusters (Spearman's rank correlation, p=0.0019, $ ho$ =-0.13; Figure 5—

477 figure supplement 1b).



478 Cluster identity is sufficient for preplay

480 Figure 6: Preplay is abolished when events are decoded with shuffled cell identities

481 **but is preserved if cell identities are shuffled only within clusters.**

482 We decoded the population burst events from the fiducial parameter set simulations after randomly shuffling cell identities in three different manners (a-c, 25 replicates for each 483 484 condition) and compared the resulting preplay statistics to the unshuffled result (red line). 485 (a) Randomly shuffling cell identities results in median preplay correlation shifts near zero 486 (top, 100th percentile of shuffles), with p-values distributed approximately uniformly (bottom, 0th percentile of shuffles). **(b)** Randomly shuffling cell identities within clusters 487 488 reduces the magnitude of the median preplay correlation shifts (top, 100th percentile of 489 shuffles) but preserves the statistical significance of preplay (bottom, 0th percentile of 490 shuffles). (c) Randomly shuffling cell identities within clusters for only cells that belong to 491 a single cluster results in median preplay correlation shifts that are similar to the

492 unshuffled result (top, 36th percentile of shuffles) and are all statistically significant

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493 (bottom, 12th percentile of shuffles).

494

495 The pattern of preplay significance across the parameter grid in Figure 4f shows that 496 preplay only occurs with modest cluster overlap, and the results of Figure 5 show that this 497 corresponds to the parameter region that supports transient, isolated cluster-activation. 498 This raises the question of whether cluster-identity is sufficient to explain preplay. To test 499 this, we took the sleep simulation population burst events from the fiducial parameter set 500 and performed decoding after shuffling cell identity in three different ways. We found that 501 when the identity of all cells within a network are randomly permuted the resulting median 502 preplay correlation shift is centered about zero (t-test 95% confidence interval, -0.2018 to 503 0.0012) and preplay is not significant (distribution of p-values is consistent with a uniform 504 distribution over 0 to 1, chi-square goodness-of-fit test p=0.4436, chi-square statistic=2.68; 505 Figure 6a). However, performing decoding after randomly shuffling cell identity between 506 cells that share membership in a cluster does result in statistically significant preplay for all 507 shuffle replicates, although the magnitude of the median correlation shift is reduced for all 508 shuffle replicates (Figure 6b). The shuffle in Figure 6b does not fully preserve cell's cluster 509 identity because a cell that is in multiple clusters may be shuffled with a cell in either a 510 single cluster or with a cell in multiple clusters that are not identical. Performing decoding 511 after doing within-cluster shuffling of only cells that are in a single cluster results in 512 preplay statistics that are not statistically different from the unshuffled statistics (t-test 513 relative to median shift of un-shuffled decoding, p=0.1724, 95% confidence interval of -514 0.0028 to 0.0150 relative to the reference value; Figure 6c). Together these results

515 demonstrate that cluster-identity is sufficient to produce preplay.

18

516 Mean relative spike rank correlates with place field location







- 520 (a) Mean within-event relative spike rank of all place cells as a function of the location of
- their mean place field density on the track for networks at the fiducial parameter set. Left,
- mean relative rank with respect to all cells in each network. Right, mean relative rank with
- respect to only cells that share cluster membership. **(b)** Same as (a), but after accounting
- 524 for the direction of each events' decoded trajectory. If the decoded slope for a given event
- was negative, then the order of spiking in that event was reversed. **(c-d)** Comparison of the
- regression slopes from (b) to the distribution of slopes that results from applying the same
- 527 analysis after shuffling cell identities as in Figure 6. (c) The within-network regression

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- slope is significant relative to all three methods of shuffling cell identity. (d) Same as (c),
- 529 but for the within-cluster regression slope.
- 530

531 While cluster-identity is sufficient to produce preplay (Figure 6b), the shuffle of Figure 6c is 532 incomplete in that cells belonging to more than one cluster are not shuffled. Together, these 533 two shuffles leave room for the possibility that individual cell-identity may contribute to 534 the production of preplay. It might be the case that some cells fire earlier than others, both 535 on the track and within events. To test the contribution of individual cells to preplay, we 536 calculated for all cells in all networks of the fiducial parameter point their mean relative spike rank and tested if this is correlated with the location of their mean place field density 537 538 on the track (Figure 7). We find that there is no relationship between a cell's mean relative 539 within-event spike rank and its mean place field density on the track (Figure 7a). This is the 540 case when the relative rank is calculated over the entire network (Figure 7, "Within-541 network") and when the relative rank is calculated only with respect to cells with the same 542 cluster membership (Figure 7, "Within-cluster"). However, because preplay events can 543 proceed in either track direction, averaging over all events would average out the sequence order of these two opposite directions. We performed the same correlation but after 544 545 reversing the spike order for events with a negative slope in the decoded trajectory (Figure 7b). To test the significance of this correlation, we performed a bootstrap significance test 546 547 by comparing the slope of the linear regression to the slope that results when performing 548 the same analysis after shuffling cell identities in the same manner as in Figure 6. We found 549 that the linear regression slope is greater than expected relative to all three shuffling 550 methods for both the within-network mean relative rank correlation (Figure 6c) and the 551 within-cluster mean relative rank correlation (Figure 6d).

20

552 Small-world index correlates with preplay

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554 **Figure 8: The Small-World Index of networks correlates with preplay quality**

555 (a-c) Left column, the Small-World Index (SWI; plotted as color) is affected by the global E-556 to-E connection probability, p_c . Red dotted line indicates a contour line of SWI = 0.4. This 557 boundary shifts downward as p_c increases. Center column, across parameter points in the 558 network parameter grid, SWI correlates with an increase in the median absolute weighted 559 correlation of decoded trajectories relative to shuffles (e.g. this corresponds in Figure 4c 560 to the rightward shift of the CDF of measured absolute weighted correlations relative to the 561 shuffle events). Each point is produced by analysis of all events across 10 networks from one parameter point in the grid on the left. Right column, same as the center column but 562 563 each point is data from each of the 10 individual networks per parameter set. P-value and 564 correlation, ρ , are calculated from Spearman's rank-order correlation test. Dashed line is 565 the least-squares fit. (a) Data from a parameter grid where the E-to-E connection probability was decreased by 50% and the E-to-E connection strength was doubled from 566 567 their fiducial values used in prior figures. (b) Data from the same parameter grid as Figures 568 3-5. (c) Data from a parameter grid where the E-to-E connection probability was increased 569 by 50% and the E-to-E connection strength scaled by two-thirds from their fiducial values.

570

571 We noticed that the highest quality of decoded trajectories (Figure 4f) seemed to arise 572 in networks with the highest small-world index (SWI; Figure 1g). In order to test this, we

- 573 simulated different sets of networks with both increased and decreased global E-to-E 574 connection probability, p_c . Changing p_c , in addition to varying the number of clusters and 575 the mean cluster participation, impacted the SWI of the networks (Figure 8, left column).
- 576 We hypothesized that independent of p_c , a higher SWI would correlate with improved
- 577 preplay quality. To test this, we simulated networks across a range of parameters for three
- 578 p_c values: a decrease of p_c by 50% to 0.04, the fiducial value of 0.08, and an increase by
- 579 50% to 0.12 (Figure 8a-c, respectively). For the decreased and increased p_c cases, the E-to-
- 580 E connection strength was respectively doubled or reduced to 2/3 of the fiducial strength
- 581 to keep total E-to-E input constant. For each parameter combination, we quantified preplay
- quality as the rightward shift in median absolute weighted correlation of decoded preplay
- events versus shuffled events (as in Figure 4f, top right). We then asked if there was a
- 584 correlation between that quantification of preplay quality and SWI.
- 585 Across all three p_c values, SWI significantly correlated with improved preplay both across
- 586 parameter sets (Figure 8, center column) and across individual networks (Figure 8, right
- column). These results support our prediction that higher small-world characteristics
- 588 correspond to higher-quality preplay dynamics regardless of average connectivity.

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Figure 9: Trajectories decoded from population-burst events are significantly correlated with linear trajectories in arbitrary environments

593 (a) Place fields from a single network with simulated runs in both directions of travel on a 594 linear track in two different environments. Each column of panels is the set of place fields for the trajectory labeled on the diagonal. Each row of panels has cells sorted by the order 595 596 of place-field peaks for the trajectory labeled on the diagonal. The r values are the 597 correlations between the corresponding remapped trajectory with its comparison on the 598 diagonal. Note that correlations mirrored across the diagonal are equal because they 599 correspond only to a change in the labels of the dimensions of the population rate vectors, which does not affect the vector correlation. (b) Distribution of the place-field map 600 601 correlations across trajectories from both directions of travel on a linear track in two 602 environments for 10 networks. Blue is the distribution of correlations for all left vs right 603 place-field maps from the same environment. Red is the correlations from all pair-wise 604 comparisons of trajectories from different environments. (c) An example event with a 605 statistically significant trajectory when decoded with place fields from Env. 1 left (absolute

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606 correlation at the 99th percentile of time-bin shuffles) but not when decoded with place 607 fields of the other trajectories (78th, 45th, and 63rd percentiles for Env. 1 right, Env. 2 left, 608 and Env. 2 right, respectively). (d) An entire set of PBEs shows similar levels of absolute 609 weighted correlations when decoded with different sets of place fields. In color are CDFs of 610 absolute weighted correlations of decoded trajectories with leftward and rightward linear 611 trajectories in each of the two environments (R1 and L1 are the rightward and leftward 612 trajectories of environment one. R2 and L2 are the rightward and leftward trajectories of 613 environment two). In black (all overlapping) are the corresponding absolute weighted 614 correlations with each of the 4 trajectories arising from decoding of shuffled events. (e) 615 The significance of linearity of decoded trajectories indicated by p-value in color (as in 616 Figure 4b) from decoding the same PBEs with the four different environment place fields. 617 Black squares indicate criteria that were not met by any events (either shuffled or actual). 618 Env. 1 left is the same as that shown in Figure 4d.

619

620 Information about each environment enters the network via the feed-forward input connection strengths, which contain cluster-dependent biases. A new environment is 621 622 simulated by re-ordering those input biases. We first wished to test that a new 623 environment simulated in such a manner produced a distinct set of place fields. We 624 therefore simulated place maps for leftward and rightward trajectories on linear tracks in 625 two distinct environments (Figure 9a). The two maps with different directions of motion 626 showed very high correlations when in the same environment (Figure 9b, blue) while the 627 comparisons of trajectories across environments show very low correlations (Figure 9b, 628 red). Cells that share membership in a cluster will have some amount of correlation in their 629 remapping due to the cluster-dependent cue bias, which is consistent with experimental 630 results (Hampson et al., 1996; Pavlides et al., 2019), but the combinatorial nature of cluster 631 membership renders the overall place field map correlations low (Figure 9b). We also 632 performed simulations with extra laps of running and calculated the correlations between 633 paired sets of place fields produced by random, independent splits of trials of the same 634 trajectory. The distribution of these correlations was similar to the distribution of within-635 environment correlations (comparing opposite trajectories with the same spatial input), 636 showing no significant *de novo* place-field directionality. This is consistent with 637 hippocampal data in which place-field directionality is initially low in novel environments 638 and increases with experience (Frank et al., 2004; Navratilova et al., 2012; Shin et al., 639 2019).

640 Because we simulated preplay without any location-specific inputs, we expected that the 641 set of spiking events that significantly decode to linear trajectories in one environment 642 (Figure 4) should decode with a similar fidelity in another environment. Therefore, we 643 decoded each PBE four times, once with the place fields of each trajectory (Figure 9c-e). 644 Since the place field map correlations are high for trajectories on the same track and near zero for trajectories on different tracks, any individual event would be expected to have 645 similar decoded trajectories when decoding based on the place fields from different 646 647 trajectories in the same environment and dissimilar decoded trajectories when decoding 648 based on place fields from different environments. A given event with a strong decoded 649 trajectory based on the place fields of one environment would then be expected to have a

- 650 weaker decoded trajectory when decoded with place fields from an alternative
- 651 environment (Figure 9c). The distributions of absolute weighted correlations arising from
- decoding of PBEs according to each of the four sets of place fields was consistent across
- 653 environments (Figure 9d, colored lines) and all were significantly rightward shifted
- 654 (indicating greater absolute weighted correlation) when compared to those absolute
- weighted correlations arising from the corresponding shuffled events (Figure 9d,
- overlapping black lines). If we consider both absolute weighted correlation and jump-
- distance thresholds as in Figure 4d, we find that the matrices of p-values are consistent
- 658 across environments (Figure 9e). In summary, without environment-specific or place-field
- 659 dependent pre-assigned internal wiring, the model produces population-burst events,
- 660 which, as an ensemble, show significant preplay with respect to any selected environment.

25

661 **Discussion**

662 Our work shows that spontaneous population bursts of spikes that can be decoded as

spatial trajectories can arise in networks with clustered random connectivity without pre-

- 664 configured maps representing the environment. In our proposed model, excitatory neurons
- 665 were randomly clustered with varied overlap and received feed-forward inputs with
- random strengths that decayed monotonically from the boundaries of a track (Figure 1).
 Even though the model neural circuit lacked place-field like input and lacked environment-
- 667 Even though the model neural circuit lacked place-field like input and lacked environment 668 specific internal wiring, the network exhibited both realistic place fields (Figures 2,3) and
- 669 spontaneous preplay of novel, future environments (Figures 2,4).
- 670 We validated our modeling results by applying the same analyses to a previously collected
- 671 experimental data set (Shin et al., 2019). Indeed, we replicated the general finding of
- hippocampal preplay found previously in Farooq et al., 2019, although the p-value matrix
- 673 for our experimental data (Figure 4b) is significant across a smaller range of threshold
- values than found in their prior work. This is likely due to differences in statistical power.
- The pre-experience sleep sessions of Shin et al., 2019 were not longer than half an hour for
- 676 each animal, while the pre-experience sleep sessions of Farooq et al., 2019 lasted 2-4 hours.
- 677 However, finding statistically significant hippocampal preplay in an experiment not
- 678 designed for studying preplay shows that the general result is robust to a number of
- 679 methodological choices, including shorter recording sessions, use of a W-track rather than
- 680 linear track, and variations in candidate event detection criterion.
- Although our model is a model of the recurrently connected CA3 region and the data set we
- 682 analyze (Shin et al., 2019) comes from CA1 cells, the qualitative comparisons we make here
- are nevertheless useful. Despite some statistically significant quantitative differences, the
- 684 general properties of place fields that we consider are qualitatively similar across CA1 and
- 685 CA3 (Sheintuch et al., 2023; Harvey et al., 2020), and CA3 and CA1 generally reactivate in a
- 686 coordinated manner (O'Neil et al., 2008; Karlsson and Frank, 2009).
- 687 The model parameters that controlled the clustering of the recurrent connections strongly
- 688 influenced preplay and place-field quality. Moderate overlap of clusters balanced the
- 689 competing needs for both a) sufficiently isolated clusters to enable cluster-wise activation
- and b) sufficiently overlapping clusters to enable propagation of activity across clusters
- 691 (Figure 5). In our clustered network structure, such a balance in cluster overlap produces
- 692 networks with small-world characteristics (Watts and Strogatz, 1998) as quantified by a
- 693 small-world index (SWI; Neal, 2015; Neal, 2017). Networks with a high SWI, indicating high
- 694 clustering (if two neurons are connected to the same third neuron, they are more likely
- than chance to be connected to each other) yet short paths (the mean number of
- 696 connections needed to traverse from one neuron to any other), showed optimal preplay
- 697 dynamics (Figure 8). The same networks could flexibly represent distinct remapped
- 698 environments (Leutgeb et al., 2004; Leutgeb et al., 2005; Alme et al., 2014) solely through
- 699 differences in scaling of feed-forward spatially linear input (Figure 9).
- 700 Across many species, small-world properties can be found at both the local neuronal
- network scale and the gross scale of the network of brain regions. At the neuronal
- connection scale, small-world properties have been reported in a number of networks,

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such as the C. elegans connectome (Watts and Strogatz, 1998; Humphries et al., 2008), the

- brainstem reticular formation (Humphries et al., 2006), mouse visual cortex (Sadovsky and
- MacLean, 2014), cultured rat hippocampal neurons (Antonello et al., 2022), mouse
- 706 prefrontal cortex (Luongo et al., 2016), and connectivity within the entorhinal-
- hippocampal region in rats (She et al., 2016). At the level of connected brain regions, small-
- world properties have been reported across the network of brain regions activated by fear
- memories in mice (Vetere et al., 2016), in the hippocampal-amygdala network in humans
- 710 (Zhang et al., 2022), and across the entire human brain (Liao et al., 2010).
- 711 Our results suggest that the preexisting hippocampal dynamics supporting preplay may
- reflect general properties arising from randomly clustered connectivity, where the
- randomness is with respect to any future, novel experience. The model predicts that
- 714 preplay quality will depend on the network's balance of cluster isolation and overlap, as
- 715 quantified by small-world properties. Synaptic plasticity in the recurrent connections of
- 716 CA3 may primarily serve to reinforce and stabilize intrinsic dynamics, which could be
- established through a combination of developmental programming (Perin et al., 2011;
- 718 Druckmann et al., 2014; Huszar et al., 2022) and past experiences (Bourjaily and Miller,
- 2011), rather than creating spatial maps *de novo*. The particular neural activity associated
- with a given experience would then selectively reinforce the relevant intrinsic dynamics,
- while leaving the rest of the network dynamics unchanged.
- 722 Our model provides a general framework for understanding the origin of pre-configured
- 723 hippocampal dynamics. Hebbian plasticity on independent, previously experienced place
- maps would produce effectively random clustered connectivity. The spontaneous dynamics
- of such networks would influence expression of place fields in future, novel environments.
- 726 Together with intrinsic sequence generation, this could enable preplay and immediate
- replay generated by the preexisting recurrent connections.
- 728 Future modeling work should explore how experience-dependent plasticity may leverage
- and reinforce the dynamics initially expressed through preexisting clustered recurrent
- 730 connections to produce higher-quality place fields and decoded trajectories during replay
- 731 (Shin et al., 2019; Farooq et al., 2019). Plasticity may strengthen connectivity along
- 732 frequently reactivated spatiotemporal patterns. Clarifying interactions between intrinsic
- dynamics and experience-dependent plasticity will provide key insights into hippocampal
- neural activity. Additionally, the *in vivo* microcircuitry of CA3 is complex and includes
- aspects such as nonlinear dendritic computations and a variety of inhibitory cell types
- (Rebola et al., 2017). This microcircuitry is crucial for explaining certain aspects of
 hippocampal function, such as ripple and gamma oscillogenesis (Ramirez-Villegas et al.,
- 737 antipocampar function, such as ripple and gamma oschogenesis (Ramiez-vinegas et al., 738 2017), but here we have focused on a minimal model that is sufficient to produce place cell
- right for the second se
- 740 statistics.

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741 Methods

742 To investigate what network properties could support preplay, we simulated recurrently

- connected networks of spiking neurons and analyzed their dynamics using standard
- hippocampal place cell analyses.

745 Neuron model

- 746 We simulate networks of Leaky Integrate-and-Fire (LIF) neurons, which have leak
- conductance, g_L , excitatory synaptic conductance, g_E , inhibitory synaptic conductance, g_I ,
- spike-rate adaptation (SRA) conductance, g_{SRA} , and external feed-forward input synaptic
- conductance, g_{ext} . The membrane potential, V, follows the dynamics

750
$$\tau_m \frac{dV}{dt} = -g_L(V - E_L) - g_E(V - E_E) - g_I(V - E_I) - g_{SRA}(V - E_{SRA}) - g_{ext}(V - E_E)$$

where τ_m is the membrane time constant, E_L is the leak reversal potential, E_E is the

- excitatory synapse reversal potential, E_I is the inhibitory synapse reversal potential, E_{SRA} is
- the SRA reversal potential, and E_{ext} is the external input reversal potential. When the
- membrane potential reaches the threshold V_{th} , a spike is emitted and the membrane
- 755 potential is reset to V_{reset} .
- 756 The changes in SRA conductance and all synaptic conductances follow

$$\tau_i \frac{dg_i}{dt} = -g_i$$

to produce exponential decay between spikes for any conductance *i*. A step increase in

- conductance occurs at the time of each spike by an amount corresponding to the
- 760 connection strength for each synapse (W_{E-E} for E-to-E connections, W_{E-I} for E-to-I
- connections, and W_{I-E} for I-to-E connections), or by δ_{SRA} for g_{SRA} . Initial feed-forward

input conductances were set to values approximating their steady-state values by

randomly selecting values from a Gaussian with a mean of $W_{in}r_G\tau_E$ and a standard

764 deviation of $\sqrt{W_{in}^2 r_G \tau_E}$. Initial values of the recurrent conductances and the SRA

765 conductance were set to zero.

<u>Parameter</u>	<u>Value</u>	Description
$ au_m$	40 ms	Membrane time constant
C_m	0.4 nF	Membrane capacitance
d_t	0.1 ms	Simulation time step
g_L	10 nS	Leak conductance
E_L	-70 mV	Leak reversal potential
E_E	0 mV	Excitatory synaptic reversal potential
E_I	-70 mV	Inhibitory synaptic reversal potential
E _{SRA}	-80 mV	SRA reversal potential

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V _{th}	-50 mV	Spike threshold
V _{reset}	-70 mV	Reset potential
$ au_E$	10 ms	Excitatory time constant
$ au_I$	3 ms	Inhibitory time constant
$ au_{SRA}$	30 ms	Spike-rate adaptation time constant
δ_{SRA}	3 pS	Spike-rate adaptation strength

766

767 Network structure

768 We simulated networks of n = 500 neurons, of which 75% were excitatory. Excitatory

neurons were randomly, independently assigned membership to each of n_c clusters in the

network. First, each neuron was randomly assigned membership to one of the clusters.

Then, each cluster was assigned a number $-n_E(\mu_c - 1)/n_c$ rounded to the nearest

integer—of additional randomly selected neurons such that each cluster had identical

numbers of neurons, $n_{E,clust} = n_E(\mu_c/n_c)$, and mean cluster participation, μ_c , reached its

- 774 goal value.
- E-to-E recurrent connections were randomly assigned on a cluster-wise basis, where only
- neurons that shared membership in a cluster could be connected. The within-cluster
- connection probability was configured such that the network exhibited a desired global E-
- to-E connection probability p_c . Given the total number of possible connections between
- excitatory neurons is $C_{tot} = n_E(n_E 1)$ and the total number of possible connections
- between excitatory neurons within all clusters is $C_{clust} = n_{E,clust} (n_{E,clust} 1) n_c$, we
- calculated the within-cluster connection probability as $p_c(C_{tot}/C_{clust})$. That is, given the
- absence of connections between clusters (clusters were coupled by the overlap of cells) the
- 783 within-cluster connection probability was greater than p_c so as to generate the desired
- total number of connections equal to $p_c C_{tot}$.
- 785 All E-to-I and I-to-E connections were independent of cluster membership and existed with
- a probability p_{c_l} . There were no I-to-I connections. p_c , n_c , and μ_c were varied for some
- 787 simulations. Except where specified otherwise, all parameters took the fiducial value
- shown in the table below.
- 789 The network visualization in Figure 1c was plotted based on the first 2 dimensions of a t-
- 790 distributed stochastic neighbor embedding of the connectivity between excitatory cells
- vsing the MATLAB function *tsne*. The feature vector for each excitatory cell was the binary
- vector indicating the presence of both input and output connections.

	Fiducial	
<u>Parameter</u>	<u>Value</u>	<u>Description</u>
n	500	Number of neurons
n_E	375	Number of excitatory neurons
n_E	3/5	Number of excitatory neur

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<i>n_c</i> or "clusters"	15	Number of clusters
μ_c or "cluster participation"	1.25	Mean cluster membership per neuron
p_c	0.08	E-to-E connection probability
p_{c_I}	0.25	E-to-I and I-to-E connection probability
$W_{\text{E-E}}$	220 pS	E-to-E synaptic conductance step increase
W _{E-I}	400 pS	E-to-I synaptic conductance step increase
$W_{\text{I-E}}$	400 pS	I-to-E synaptic conductance step increase

793 Network inputs

All excitatory neurons in the network received three different feed-forward inputs (Figure

1b). Two inputs were spatially modulated, with rates that peaked at either end of the track

and linearly varied across the track to reach zero at the opposite end. One input was a

797 context cue that was position independent. All excitatory cells received unique Poisson

spike trains from each of the three inputs at their position-dependent rates. Inhibitory cells

- received only the context input.
- The connection strength of each feed-forward input to each neuron was determined by anindependent and a cluster-specific factor.

802 First, strengths were randomly drawn from a log-normal distribution $e^{\mu+\sigma\mathcal{N}}$, where \mathcal{N} is a

803 zero-mean, unit variance Normal distribution,
$$\mu = ln\left(\frac{W_{in}^2}{\sqrt{\sigma_{in}+W_{in}^2}}\right)$$
 and $\sigma = \sqrt{ln\left(\frac{\sigma_{in}}{W_{in}^2+1}\right)}$ for

- 804 mean strength W_{in} and standard deviation σ_{in} for the location cues, with σ_{in} replaced by
- 805 $\sigma_{context}$ for the context cue. Each environment and the sleep session had unique context cue
- input weights. For model simplicity, the mean input strength W_{in} for all inputs was kept the same for both E and I cells in both the awake and sleep conditions, but the strength of the
- resulting context input was then scaled by some factor f_x for each of the 4 cases to
- 309 accommodate for the presence, or lack thereof, of the additional current input from the
- 810 location cues. These scaling factors were set at a level that generated appropriate levels of
- 811 population activity. During simulation of linear track traversal, the context cue to excitatory
- cells was scaled down by $f_{\text{E-awake}}$ to compensate for the added excitatory drive of the
- location cue inputs, and the context cue input to I cells was not changed ($f_{\text{I-awake}} = 1$).

B14 During sleep simulation, the context cue input to E cells was not scaled ($f_{\text{E-awake}} = 1$) but

- 815 the context cue input to I cells was scaled down by $f_{\text{I-sleep}}$.
- 816 Second, to incorporate cluster-dependent correlations in place fields, a small ($\leq 4\%$)
- 817 location cue bias was added to the randomly drawn feed-forward weights based on each
- 818 neuron's cluster membership. For each environment, the clusters were randomly shuffled
- and assigned a normalized rank bias value, such that the first cluster had a bias of -1
- 820 (corresponding to a rightward cue preference) and the last cluster had a bias of +1
- 821 (leftward cue preference). A neuron's individual bias was calculated as the mean bias of all

30

822 clusters it belonged to, multiplied by the scaling factor σ_{bias} . The left cue weight for each

823 neuron was then scaled by 1 plus its bias, and the right cue weight was scaled by 1 minus

its bias. In this way, the feed-forward input tuning was biased based on the mean rank of a

- 825 neuron's cluster affiliations for each environment. The addition of this bias produced
- correlations in cells' spatial tunings based on cluster membership, but, importantly, this
 bias was not present during the sleep simulations, and it did not lead to high correlations of
- bias was not present during the sleep simulations, and it did not lead to high cor place field many between environments (Figure 0b)
- 828 place-field maps between environments (Figure 9b).

<u>Parameter</u>	<u>Value</u>	Description
r_G	5000 Hz	Peak Poisson input rate
W _{in}	72 pS	Mean strength of the input synapses
σ_{in}	5 pS	Standard deviation of the location cue input synapses
$\sigma_{context}$	1.25 pS	Standard deviation of the context cue input synapses
σ_{bias}	0.04	Location bias scale
$f_{ ext{E-awake}}$	0.1	E-cell context cue input scaling during awake simulation
$f_{ ext{E-sleep}}$	1	E-cell context cue input scaling during sleep simulation
$f_{ ext{I-awake}}$	1	I-cell context cue input scaling during awake simulation
$f_{\text{I-sleep}}$	0.75	I-cell context cue input scaling during sleep simulation

829 Simulation

830 For a given parameter set, we generated 10 random networks. We simulated each network

for one sleep session of 120 s and for five 2-s long traversals of each of the two linear

trajectories on each track. For the parameter grids in Figures 3 and 4 we simulated 20

833 networks with 300 s long sleep sessions in order to get more precise empirical estimates of

the simulation statistics. For analysis comparing place-field reliability, we simulated 10

- 835 traversals of each trajectory.
- 836 To compare coding for place vs time, we performed repeated simulations for the same
- 837 networks at the fiducial parameter point with 1.0x and 2.0x of the original track traversal
- 838 speed. We then combined all trials for both speed conditions to calculate both place fields
- and time fields for each cell from the same linear track traversal simulations. The place
- 840 fields were calculated as described below (average firing rate within each of the fifty 2-cm
- long spatial bins across the track) and the time fields were similarly calculated but for fifty
- 842 40-ms time bins across the initial two seconds of all track traversals.
- 843
- 844 Place field analysis
- 845 Place-field rate maps

846 We followed the methods of Shin et al., 2019 to generate place fields from the spike trains.

847 We calculated for each excitatory cell its trial-averaged occupancy-discounted firing rate in

31

848 each 2 cm spatial bin of the 1 m long linear track. Note that the occupancy-discounting term

849 is uniform across bins, so it has no impact in our model, because we simulated uniform

850 movement speed. We then smoothed this with a Gaussian kernel with a 4 cm standard

- 851 deviation. For statistics quantifying place-field properties and for Bayesian decoding, we
- 852 considered only excitatory cells with place-field peaks exceeding 3 Hz as in Shin et al.,
- 853 2019.
- 854
- 855 Place-field specificity

Place-field specificity was defined as 1 minus the fraction of the spatial bins in which theplace field's rate exceeded 25% of its maximum rate (Shin et al., 2019).

- 858
- 859 Place-field spatial information
- 860 The spatial information of each cells' place field was calculated as

861 Spatial Information =
$$\sum_{i} p_i\left(\frac{r_i}{\overline{r}}\right) \log_2\left(\frac{r_i}{\overline{r}}\right)$$

862 where p_i is the probability of being in spatial bin *i*, r_i is the place field's rate in spatial bin *i*,

and \overline{r} is the mean rate of the place field (Sheintuch et al., 2023). Given the division of the

track into 50 spatial bins, spatial information could vary between 0 for equal firing in all

bins and $log_2(50) \cong 5.6$ for firing in only a single bin. Spatial information of 1 is equivalent,

- for example, to equal firing in exactly one half of the bins and no firing elsewhere.
- 867

868 Distribution of peaks

869 We used two measures to quantify the extent to which place-field peaks were uniformly

870 distributed across the track. In our first measure, we calculated the Kullback-Leibler

871 divergence of the distribution of peaks from a uniform distribution, as

872
$$D_{KL} = -\sum_{i} p_{i}^{\text{data}} log_{2} \left(\frac{p_{i}^{\text{uniform}}}{p_{i}^{\text{data}}} \right)$$

873 where p_i^{data} is the fraction of cells with peak firing rates in the *i*th spatial bin and $p_i^{uniform}$

is 1/50, *i. e.*, the fraction expected from a uniform distribution (Sheintuch et al., 2023).

875 Similarly, the range for spatial information, D_{KL} is bounded between zero for a perfectly

uniform distribution of peaks and $log_2(50) \cong 5.6$ if all peaks were in a single bin. D_{KL} of 1

is equivalent, for example, to all peaks being uniformly spread over one half of the bins inthe track.

For our second measure, we calculated the fraction of place cells whose peak firing rate
was in the central third of the track. Since inputs providing spatial information only peaked

32

- at the boundaries of the track, the central third was ubiquitously the most depleted of highfiring rates.
- 883

884 Place-field map correlations

To compare the similarity of place fields across different trajectories, we calculated the
correlation between the place-field rate maps of each pair of trajectories. For each spatial
bin, we calculated the Pearson correlation coefficient between the vector of the population

- 888 place-field rates of the two trajectories. We then averaged the correlation coefficients
- across all spatial bins to get the correlation between the two trajectories.
- 890

891 **PBE detection**

892 We detected candidate preplay events in the simulated data by identifying population-

893 burst events (PBEs). During the simulated sleep period, we calculated the mean rate of the

894 population of excitatory cells, which defines the population rate, smoothed with a Gaussian

kernel (15 ms standard deviation). We then detected PBEs as periods of time when the

896 population rate exceeded 1 standard deviation above the mean population rate for at least

897 30 ms. We also required the peak population rate to exceed 0.5 Hz (corresponding to 5-6

spikes per 30ms among excitatory cells) in order for the rate fluctuation to qualify as a PBE.

899 We then combined PBEs into a single event if their start and end times were separated by

- 900 less than 10 ms.
- 901

902 Sharp-wave ripple detection

903 Because of the reduced number of recorded cells relative to the simulated data, we

detected candidate events in the Shin et al., 2019 data with a method that incorporated the

- 905 ripple band oscillation power in the local field potential (LFP) in addition to the population
- 906 spiking activity. We first calculated the smoothed firing rate for each excitatory neuron by
- 907 convolving its spikes with a Gaussian kernel (100 ms standard deviation) and capping at 1

908 to prevent bursting dominance. We then computed the z-scored population firing rate from

- 909 the capped, smoothed single-neuron rates. Additionally, we calculated the z-scored, ripple-
- 910 filtered envelope of the tetrode-averaged LFP. We then summed these two z-scores and

911 detected peaks that exceeded 6 for at least 10 ms and exceeded the neighboring regions by

912 at least 6 (*MinPeakHeight, MinPeakWidth*, and *MinPeakProminence* of the MATLAB function

- 913 *findpeaks*, respectively). Candidate events were defined as periods around detected peaks,
- 914 spanning from when the z-score sum first dipped below 0 for at least 5 ms before the peak
- 915 to after the peak when it again dipped below 0 for at least 5 ms. We additionally required
- 916 that the animal be immobile during the event.
- 917

33

918 Bayesian decoding

919 We performed Bayesian decoding of candidate preplay events following the methods of

920 Shin et al., 2019. We performed decoding on all candidate events that had at least 5 active

cells and exceeded at least 50 ms in duration. Spikes in the event were binned into 10 ms

time bins. We decoded using the place fields for each trajectory independently. The

- 923 description provided below is for the decoding using the place fields of one particular
- 924 trajectory.

925 For each time bin of each event, we calculated the location on the track represented by the

926 neural spikes based on the place fields of the active cells using a memoryless Bayesian927 decoder

928
$$P(x|s) = \frac{P(s|x)P(x)}{P(s)}$$

929 where P(x|s) is the probability of the animal being in spatial bin x given the set of spikes s

930 that occurred in the time bin, P(s|x) is the probability of the spikes *s* given the animal is in

931 spatial bin x (as given by the place fields), P(x) is the prior probability of the animal being

932 in spatial bin x, and P(s) is the probability of the spikes s.

- 933 We assumed a uniform prior probability of position, P(x). We assumed that the *N* cells 924 firing during the event exted as independent Beissen processes in order to calculate
- 934 firing during the event acted as independent Poisson processes in order to calculate

935
$$P(s|x) = \prod_{i}^{N} \frac{(\tau r_i(x))^{s_i} e^{-\tau r_i(x)}}{s_i!}$$

936 where τ is the time bin window duration (10 ms), $r_i(x)$ is the place-field rate of cell *i* in

937 spatial bin x and s_i is the number of spikes from cell i in the time bin.

938 This allows us to calculate the posterior probability of position for each time bin as

939
$$P(x|s) = C\left(\prod_{i}^{N} r_i(x)^{s_i}\right) e^{-\tau \sum_{i}^{N} r_i(x)}$$

940 where *C* is a normalization constant, which accounts for the position-independent term, 941 P(s).

942

943 Bayesian decoding statistical analyses

944 We analyzed the significance of preplay using the methods of Farooq et al., 2019 (see also

945 Silva et al., 2015). We computed two measures of the sequence quality of each decoded

946 event: the event's absolute weighted correlation and its jump distance. The absolute

947 weighted correlation is the absolute weighted Pearson's correlation of decoded position

948 across the event's time bins. For each decoded event, we calculate the weighted correlation

949 between space and time with MATLAB's *fitlm* function using the decoded probability in

34

- each space-time bin (10 ms by 2 cm) as the weight for the corresponding location in the
- 951 correlation. The absolute value of the weighted correlation is used in order to account for
- both forward and reverse preplay. The jump distance is the maximum of the distance
- 953 between the positions of peak probability for any two adjacent 10-ms time bins in the
- 954 event, quantified as fraction of the track length.
- 955 For each event, we generated 100 shuffled events by randomly permuting the order of the
- 956 10-ms time bins. We then calculated the weighted correlation and jump distance for each
- 957 shuffled event in the same manner as for the actual events. For each simulated parameter
- 958 set, we combined all events from the 10 simulated networks.
- 959 Following the methods of Farooq et al., 2019, we calculated the statistical significance of
- 960 the population of preplay events using two different methods. First, we used the
- 961 Kolmogorov-Smirnov (KS) test to compare the distributions of absolute weighted
- 962 correlations obtained from the actual events and the shuffled events (Figure 4a, c).
- 963 Second, we used a bootstrap test to compare the fraction of high-quality events—defined as
- having both high absolute weighted correlations and low maximum jump distance—
- relative to shuffles (Figure 4b,d). To perform the bootstrap test, we created a grid of
- 966 thresholds for minimum absolute weighted correlation and maximum jump distance, and
- 967 for each combination of thresholds we calculated the fraction of actual events that
- 968 exceeded the minimum absolute weighted correlation threshold and did not exceed the
- 969 maximum jump distance threshold. Then, we generated 100 data sets of shuffled events by
- 970 randomly permuting the order of the 10-ms time bins for each actual event and calculated
- 971 the fraction of events meeting the same pairs of thresholds for each shuffled data set. The
- 972 p-value of the fraction of high-quality events was then calculated as the fraction of shuffled
- 973 data sets with a higher fraction of high-quality events.
- 974 To test the significance of each event's absolute weighted correlation individually, we

975 calculated the event's p-value as the fraction of the event's own shuffles that had a higher

- 976 absolute weighted correlation than the un-shuffled event (Figure 4f, bottom left).
- 977 The spatial entropy *H* of a decoded event was calculated as the mean over its time bins of
- 978 the entropy of the decoded position probability in each time bin, using the equation

979
$$H = -\sum_{i} p_i \, \log_2(p_i)$$

980 for each time bin, where p_i is the decoded position probability for spatial bin *i*.

981 Cell identity shuffled decoding

- 982 We performed Bayesian decoding on the fiducial parameter set after shuffling cell
- 983 identities in three different manners (Figures 6 and 7). To shuffle cells in a cluster-
- 984 independent manner ("Across-network shuffle"), we randomly shuffled the identity of cells
- 985 during the sleep simulations. To shuffle cells within clusters ("Within-cluster shuffle"), we
- randomly shuffled cell identity only between cells that shared membership in at least one
- 987 cluster. To shuffle cells within only single clusters ("Within-single-cluster shuffle"), we

35

shuffled cells in the same manner as the within-cluster shuffle but excluded any cells fromthe shuffle that were in multiple clusters.

990 To test for a correlation between spike rank during sleep PBEs and the order of place fields

on the track (Figure 7), we calculated for each excitatory cell in each network of the fiducial

parameter set its mean relative spike rank and correlated that with the location of its mean

place field density on the track (Figure 7a). To account for event directionality, we

calculated the mean relative rank after inverting the rank within events that had a

negatively sloped decoded trajectory (Figure 7b). We calculated mean relative rank for

996 each cell relative to all cells in the network ("Within-network mean relative rank") and

relative to only cells that shared cluster membership with the cell ("Within-cluster meanrelative rank"). We then compared the slope of the linear regression between mean relative

999 rank and place field location against the slope that results when applying the same analysis

1000 to each of the three methods of cell identify shuffles for both the within-network regression

1001 (Figure 7c) and the within-cluster regression (Figure 7d).

1002

1003 Small-world index

1004 The small-world index (SWI) was calculated following the method of Neal, 2015 (see also1005 Neal, 2017). It was defined as

1006
$$SWI = \frac{(L - L_l)}{(L_r - L_l)} \times \frac{(C - C_r)}{(C_l - C_r)}$$

1007 where L is the mean path distance and C is the clustering coefficient of the network. We 1008 calculate L as the mean over all ordered pairs of excitatory cells of the shortest directed 1009 path length from the first to the second cell. We calculate *C* as the ratio of the number of all 1010 triplets of excitatory cells that are connected in either direction over the number of all 1011 triplets that could form, following the methods of Fagiolo, 2007 for directed graphs. L₁ and C_1 are the expected values for a one-dimensional ring lattice network with the same size 1012 and connection probability (in which connections are local such that there are no 1013 1014 connections between cells with a greater separation on the ring than that of any pairs without a connection). And L_r and C_r are the expected values for a random network of the 1015 same size and connection probability. A network with a high SWI index is therefore a 1016 1017 network with both a high clustering coefficient, similar to a ring lattice network, and small 1018 mean path length, similar to a random network.

1019 For directed graphs of size *n*, average degree *k*, and global connection probability *p*

1020 $C_r = p$ (Fagiolo, 2007),

- 1021 $L_r = \frac{ln(n) \gamma}{ln(k)} + 0.5$ (Fronczak et al., 2004),
- 1022 $C_l = \frac{3(k-2)}{4(k-1)}$ (Neal, 2015)
- 1023 $L_l = \frac{n}{2k} + 0.5$ (Neal, 2015; Fronczak et al., 2004)

36

- 1024 where γ is the Euler-Mascheroni constant.
- 1025

1026 Active cluster analysis

1027 To quantify cluster activation (figure 5), we calculated the population rate for each cluster

- 1028 individually as the mean firing rate of all excitatory cells belonging to the cluster smoothed
- 1029 with a Gaussian kernel (15 ms standard deviation). A cluster was defined as 'active' if at
- 1030 any point its population rate exceeded twice that of any other cluster during a PBE. The
- 1031 active clusters' duration of activation was defined as the duration for which it was the most
- 1032 active cluster.
- 1033 To test whether the sequence of activation in events with three active clusters matched the
- 1034 sequence of place fields on the track, we performed a bootstrap significance test (Figure
- 1035 5—figure supplement 1). For all events from the fiducial parameter set that had three
- 1036 active clusters, we calculated the fraction in which the sequence of the active clusters
- 1037 matched the sequence of the clusters' left vs right bias on the track in either direction. We
- 1038 then compared this fraction to the distribution expected from randomly sampling
- 1039 sequences of three clusters without replacement.
- 1040 To determine if there was a relationship between the number of active clusters within an
- 1041 event and it's preplay quality we performed a Spearman's rank correlation between the
- 1042 number of active clusters and the normalized absolute weighted correlation across all
- 1043 events at the fiducial parameter set. The absolute weighted correlations were z-scored
- 1044 based on the absolute weighted correlations of the time-bin shuffled events that had the
- 1045 same number of active clusters.

1046 Experimental data

- 1047 Electrophysiological data was reanalyzed from the hippocampal CA1 recordings first
- 1048 published in Shin et al., 2019. All place-field data (Figure 3a) came from the six rats' first
- 1049 experience on the W-track spatial alternation task. All preplay data (Figure 4a,b) came
- 1050 from the six rats' first sleep-box session, which lasted 20-30 minutes and occurred
- 1051 immediately before their first experience on the W-track.
- 1052
- 1053 **Code**
- Simulations and analysis were performed in MATLAB with custom code. Code available at
 https://github.com/primon23/Preplay_paper.

37

1056 Supplemental figures





- 1058 **Figure 1—figure supplement 1: Comparison of the randomly clustered network and**
- 1059 the canonical Watts-Strogatz small-world network
- 1060 (a) A small ring-lattice network. (b) Example small-world networks. Top, a Watts-Strogatz
- 1061 network with re-wiring parameter $\beta = 0.2$. Bottom, a randomly clustered network with
- 1062 two clusters and a cluster participation of 1.25. **(c)** Example randomly connected network.
- 1063 1064



- Figure 3—figure supplement 1: The simulated cells have greater place information
 than time information.
- 1067 **(a)** Place fields (left) and time fields (right) for an example cell calculated from simulated
- 1068 trajectories that took 2 seconds (solid line) or 4 seconds (dotted line) to traverse the track.
- 1069 **(b)** CDFs of the information content of the place fields ("Place") and time fields ("Time") of
- 1070 all cells. The spatial information is significantly greater than the temporal information (KS-
- 1071 test, p=6.4e-23). (c) Scatter plot of the data in (b), with the median values marked in red.





Time (10 ms bins)

1074 Figure 4—figure supplement 1: Example preplay events from the Shin et al., 2019

1075 data

1076 Example preplay events. Same as Figure 2f but for events from the hipopcampal data from

1077 Shin et al., 2019. The height of each plot spans the length of the trajectory used for

1078 decoding, divided into 2 cm spatial bins. The width of each plot spans the duration of the

1079 detected event, divided into 10 ms time bins. Probability is show in color.

1080



1082 Figure 4—figure supplement 2: Significant preplay can typically be identified with as 1083 few as 50 cells

1084 (a-c) Results from performing the same Bayesian decoding on the same simulated

1085 population burst events (PBEs) in Figure 4c but using only random subsets of the

1086 excitatory cells for performing the decoding analysis. Each circle is the result of an analysis performed on one random subset of the cells. 25 random subsets were analyzed for each 1087

1088 analyzed cell count. The subset sizes are logarithmically spaced. Black lines show the

1089 median value. The variability at N=375 is due to the variation in the randomness of the

1090 time-bin shuffles. (a) Number of events meeting the inclusion criterion for decoding

1091 analysis. b) P-value of the KS-test comparing actual vs shuffled event absolute weighted

1092 correlations. A majority of the random subsets of 50 cells (17 out of 25) produce preplay p-

1093 values below 0.05. (c) Shift in the median absolute weighted correlation of actual events

relative to shuffled events. 1094





Figure 4—figure supplement 3: Preplay statistics by trajectory for Shin et al., 2019 data.

(a) Same as Figure 4a but separated by results from decoding by each of the 4 trajectories of the W-track individually (trajectory 1, center arm to right arm; trajectory 2, right arm to center arm; trajectory 3, center arm to left arm; trajectory 4, left arm to center arm). KS-1102 test for each trajectory: trajectory 1, p=0.0030; trajectory 2, p=0.0028; trajectory 3, p=0.0027; trajectory 4, p=5.461×10⁻⁵. ** p<0.01, *** p<0.001. b) Same as Figure 4b but

- separated by results from decoding by each of the 4 trajectories individually.
- 1105
- 1106



1108 Figure 4—figure supplement 4: Additional simulations support the consistency and

- 1109 **robustness of the model to variations in spatial input forms.**
- 1110 Each row corresponds to a different parameter grid simulation, with statistics calculated as
- 1111 in the corresponding panel from Figure 4. (a) Preplay statistics are similar to the main

1112	simulation results when a third linearly varying spatial cue is included in the inputs to the
1113	network (CDF KS-test, p=3.9e-13, KS-statistic=0.26). (b) Preplay statistics are similar to the
1114	main simulation results when a stepped input is used (CDF KS-test, p=2.5e-08, KS-
1115	statistic=0.20). The stepped input is less spatially informative since stretches of adjacent
1116	locations on the track have identical spatial input. (c) Same as (b), but with three step
1117	increments (CDF KS-test, p=6.2e-13, KS-statistic=0.26). (d) Same as (c), but with a single
1118	step increment (CDF KS-test, p=4.9e-13, KS-statistic=0.26). With this input the fiducial
1119	parameter set still shows significant preplay (right two columns), but most of the
1120	parameter grid loses significant preplay. (e) When the bias in cluster spatial input location
1121	is removed preplay is no longer significant (CDF KS-test, p=0.34, KS-statistic=0.063). (f) A
1122	parameter grid that shows greater values of cluster participation do not have significant
1123	preplay. Values along the diagonal where clusters equals cluster participation are
1124	equivalent to a random cluster-less network. Example parameter point is at clusters=5 and
1125	cluster participation=5 (CDF KS-test, p=0.99, KS-statistic=0.02).
1126	
1127	
114/	
1128	



- 1129 Figure 5—figure supplement 1: Relationship between cluster activation and preplay.
- **(a)** Out of all events from the fiducial parameter set simulations where 3 unique clusters
- 1131 were active, the fraction of those events with sequences that match the order of cluster
- biases on the track (red line) is consistent with the values expected by randomly sampling
- 1133 clusters (blue). **(b)** Z-scored absolute weighted preplay correlation is negatively correlated
- 1134 with the number of active clusters (Spearman's rank correlation).
- 1135

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