# Outcome for Children and Young Adults With T-Cell ALL and Induction Failure in Contemporary Trials

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DOI<https://doi.org/10.1200/JCO.23.00088>



CONCLUSION Outcomes for patients age 21 years and younger with T-ALL and IF have improved in the contemporary treatment era with a DFS benefit among those undergoing HSCT in CR1. However, outcomes still lag considerably behind those who achieve remission at EOI, warranting investigation of new treatment approaches.

# INTRODUCTION

T-cell acute lymphoblastic leukemia (T-ALL) comprises about 10% of acute lymphoblastic leukemia (ALL) in young children and 25%-30% in adolescents and young adults with a historically worse prognosis than B-cell acute lymphoblastic leukemia (B-ALL). $1,2$  $1,2$  Outcomes have improved in recent trials using risk-adapted intensive therapy; however, resistant and recurrent disease remain a challenge, not least in young adults.<sup>[3](#page-8-2)[-24](#page-9-0)</sup> CNS involvement at diagnosis is more common in  $T-\text{ALL}^{25}$  $T-\text{ALL}^{25}$  $T-\text{ALL}^{25}$  and the kinetics of bone marrow (BM) disease response in T-ALL is slower than B-ALL with a higher proportion showing prednisone poor response

(34.7% v 6.3% B-ALL), induction failure (IF; 8% v 1.5%),[26](#page-9-2)[-28](#page-9-3) and persistence of high minimal residual disease (MRD) levels at the end of consolidation (EOC) therapy ( $\geq 5 \times 10^{-4}$  in 20.9% v 5.9%) in AIEOP-BFM trials.<sup>[6,](#page-8-3)[29](#page-9-4)</sup>

Patients with T-ALL and IF had a very poor outcome (10-year overall survival [OS], 28%) in a previous intergroup Ponte di Legno (PDL) study. $28$  As some studies have shown higher cure rates with allogeneic hematopoietic stem cell transplant  $(HSCT)$ ,<sup>[30](#page-9-5)[,31](#page-9-6)</sup> this treatment approach has been pursued in first remission (CR1) in many groups. To determine if greater application of CR1 HSCT and the use of nelarabine may have improved outcomes in this high-risk subgroup, we, as

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# CONTEXT

## Key Objective

What are the outcomes for children with T-cell acute lymphoblastic leukemia (T-ALL) who fail induction therapy (≥5% marrow blasts) in a contemporary treatment era?

## Knowledge Generated

The majority of children with T-ALL induction failure (IF) achieve a complete remission (CR) with post-induction chemotherapy and their 10-year overall survival rates have nearly doubled over the past 20 years and now approach 60%. Among children who achieve a CR, disease-free survival was superior with hematopoietic stem cell transplantation in first remission compared with chemotherapy alone in this retrospective analysis from 14 treating consortia.

### Relevance (S. Bhatia)

While hematopoietic cell transplantation are a therapeutic option for T-ALL IF patients who subsequently attain a CR with conventional chemotherapy, these patients should be candidates for new T-cell targeted therapy including cellular approaches.\*

\*Relevance section written by JCO Associate Editor Smita Bhatia, MD, MPH, FASCO.

intergroup PDL, analyzed a cohort of IF T-ALL cases diagnosed between 2000 and 2018, who failed to achieve complete remission (CR) at the end of induction (EOI) therapy. Our primary aim was to assess long-term outcome with contemporary therapy, including the role of HSCT.

## METHODS

## Study Design and Patients

Data from 14 cooperative study groups (Appendix [Table A1,](#page-11-0) online only) in Europe, North America, and Asia were collected on patients registered on clinical trials conducted from 2000 to 2018 (included). All the clinical trials from which data were used in this analysis had received approval from the relevant institutional review boards or ethics committees, and written informed consent had been obtained from patients or guardians.

Each study group was asked to identify all patients age 21 years and younger with T-ALL who had IF defined as persistence of at least 5% BM lymphoblasts by morphology and/ or persistence of extramedullary disease (EMD) at EOI, which was scheduled according to protocol, between days 28 and 43. Medullary IF was confirmed by MRD analysis  $(\geq 10^{-2})$  in 211 of the 220 patients with available data (96%), using a more contemporary MRD-based definition of treatment failure.<sup>[32](#page-9-7)</sup> A predefined set of data were collected for each patient: clinical, biologic, and genetic characteristics; treatment protocol, including treatment arm and HSCT; early treatment responses, including MRD level at EOI and EOC where available; and clinical outcomes, including the achievement of CR with postinduction treatment (defined as a blast percentage by morphology <5% and no EMD),

relapse, second malignant neoplasm (SMN), and death. All data were centrally reviewed for consistency and completeness before analyses.

Follow-up extended through May 2021 with a median of 6.4 years (range, 0.3-17.9); in particular, 70% of patients without a first relapse or death in CR were followed for more than 5 years. Treatment strategies for patients with EOI failure differed among the study groups. Most common postinduction schedules consisted of protocol IB (consolidation), augmented IB, nelarabine followed by augmented IB, or intensive chemotherapy blocks.[3,](#page-8-2)[6](#page-8-3),[9](#page-8-4)[,10](#page-8-5)[,14](#page-8-6),[15](#page-8-7)[,18-](#page-9-8)[20](#page-9-9)[,22](#page-9-10)[,24](#page-9-0) Frequently, there was a protocol indication to proceed to CR1 HSCT in patients who obtained CR with postinduction treatment.

## Statistical Analysis

Baseline characteristics are reported as percentages. The main end points were OS and disease-free survival (DFS). OS was calculated from diagnosis to death of any cause or date of last contact, if alive. DFS was computed only for subjects who achieved CR with postinduction therapy and was defined as the time from diagnosis until relapse, death in CR, development of a SMN, or date of last contact, if disease-free. Date of diagnosis was used as time of origin since date of CR differed among study groups and was not uniformly available. The Kaplan-Meier estimator was used for OS and DFS, with associated SEs calculated by Greenwood and the logrank test was used for comparisons.

We further analyzed the patients with T-ALL described in the historical cohort reported by Schrappe et al<sup>[28](#page-9-3)</sup> for assessment of OS and achievement of remission with postinduction treatment to be able to compare their outcome data with those of the more recent cohort reported here. To minimize potential bias in the comparison of outcome between patients treated with chemotherapy followed by transplantation and with intensive chemotherapy only, the Kaplan-Meier curves were adjusted to account for the waiting time to transplantation: the curves originated at a landmark (median time to transplantation) and did not include patients who experienced events or whose data were censored before that time; the curves were also adjusted to account for the delayed entry of patients into the transplantation group, when trans-plantation occurred after the landmark.<sup>[33](#page-9-11)</sup>

To deal with the lack of proportional hazards, as seen by graphical check, between the two treatment cohorts (HSCT v no HSCT) and to model the profile of the hazard ratio (HR) in time, we applied a piecewise Poisson model on DFS (in in-tervals of 30 days).<sup>[33](#page-9-11)</sup> In the model, transplantation was treated as a time-dependent variable (a transplanted patient was included in the chemotherapy group until HSCT). The time since diagnosis was modeled by a flexible B-spline function (six degrees of freedom), whereas the time dependence of the treatment effect (ie, nonproportional hazards) was accommodated by including a term for interaction between treatment and time since transplantation

(modeled as B-spline with one knot at 180 days). The model was adjusted for age, sex, white blood cell count, BM at the EOI, and period of diagnosis. Survival after different types of transplants (from date of HSCT) was also estimated and compared. Analyses were carried out using R and SAS 9.4 (SAS Institute, Cary, NC) software programs.  $P < .05$  was considered statistically significant.

# RESULTS

Of the 344 patients assessed, 19 were found ineligible and thus 325 are included in the cohort analyzed [\(Fig 1](#page-2-0)). The 5- and 10-year OS were 58.0% (SE = 2.8) and 54.7%  $(SE = 2.9)$ , respectively, and significantly higher than the  $28.5\%$  (SE = 2.9) and 27.6% (SE = 2.9) observed in the historical cohort ( $N = 241$ ; [Fig 2](#page-3-0)).<sup>28</sup> Of note, within the recent cohort, the OS improved even more for patients diagnosed in the period 2009-2018 ( $n = 183$ ) compared with those diagnosed from 2000 to 2008 (n = 142; OS = 62.2%; SE = 4.0% *v* 45.4%; SE = 4.3%; *P* = .0044; [Table 1](#page-4-0)). No significant impact on OS was seen for sex, age, and white blood cell count at diagnosis nor for T-cell immunophenotype maturity [\(Table 1](#page-4-0); Appendix [Fig A1](#page-12-0), online only). The early thymocyte precursor (ETP) subtype, which represents



<span id="page-2-0"></span>FIG 1. 2010 flow diagram. AML, acute myeloid leukemia; BM, bone marrow; DFS, disease-free survival; EOI, end of induction; HSCT, hematopoietic stem cell transplantation.



<span id="page-3-0"></span>FIG 2. OS since diagnosis of patients with T-ALL and IF in the current study (N = 325) and in the historical cohort (N = 241) reported by Schrappe et al.<sup>[28](#page-9-3)</sup> IF, induction failure; OS, overall survival; T-ALL, T-cell acute lymphoblastic leukemia.

approximately 15% of T-ALL in children and adolescents, was diagnosed in 58 (29%) of 200 patients with adequate immunophenotypic data, using definitions established at each participating consortium; their 10-year survival was however similar to the non-ETP patients (51.3%; SE = 6.9%  $\nu$ 58.6%; SE = 4.2%, respectively; Appendix [Fig A1](#page-12-0)). Information on NOTCH and PTEN mutations were reported for a minority of patients: NOTCH mutation was detected in 29/86 patients (34%), which is a lower frequency than in unbiased  $cohorts$ ,<sup>[34](#page-9-12)</sup> with no significant difference in survival compared with those with the wild-type; PTEN mutation was present in  $9/63$  patients  $(14%)$  with only three patients surviving [\(Table 1\)](#page-4-0). Among 294 patients with CNS status data at diagnosis, 227 were CNS1, 48 CNS2, and 19 CNS3, and their survival was not significantly different [\(Table 1](#page-4-0); Appendix Fig A<sub>1</sub>;  $P = .098$ ).

At EOI, 14 patients with CR BM (<5% blasts) had IF because of persistent isolated EMD (one CNS, five mediastinal mass, three lymph nodes, four thymus/liver/spleen/lymph nodes, and one unknown), seven of whom survived. The 10-year OS for the 156 patients with M2 ( $5\%$ -24% blasts) and the 139 with M3 (≥25% blasts) marrows was 60.4% (SE = 4.1%) and 49.2% (SE = 4.6%;  $P = .09$ ), respectively. The 211 patients with MRD at EOI ≥10<sup>-2</sup> had 10-year OS (58.4%; SE = 3.6%) similar to that of the whole cohort (54.7%;  $SE = 2.9$ ; [Table 1\)](#page-4-0). Of the 313 patients evaluable for CR, 290 patients (93%) achieved a CR [\(Fig 1](#page-2-0)) and they had  $10$ -year OS and DFS of 59.6% (SE = 3.1%) and 56.3% (SE = 3.1%), respectively ([Fig 3](#page-5-0)). Among the 290 who achieved CR, 232 had information on the time of remission, reported at a median time of 84 days from diagnosis (IQR, 63-102 days). There was no significant difference in survival, with a 10-year OS of 57.8% (SE =  $4.8$ ) in patients who achieved CR by day 84 after diagnosis (n = 118) versus 59.5% (SE = 4.9) in those (n = 114) who obtained CR later ( $P = .7$ ). Of the 23 patients who did not achieve CR, 22 died at a median of 5 months from diagnosis and one was lost to follow-up (Appendix [Fig A2,](#page-13-0) online only; [Table 1\)](#page-4-0).

As mentioned in the Methods section, we also reanalyzed the historical cohort, which was published in 2012 (period 1985-  $2000$ ,<sup>[28](#page-9-3)</sup> for the data on achievement of CR. Of the 206 with available information on postinduction treatment outcome, 143 (69%) achieved CR, a rate significantly lower than that of the current cohort ( $P < .001$ ). For those who did achieve CR in the historical cohort, the 10-year OS was  $40.1\%$  (SE =  $4.1\%$ ).

The most commonly used postinduction therapies (in 274 patients with data) were protocol IB (consolidation;  $n = 143$ ), high-dose chemotherapy blocks  $(n = 50)$ , nelarabinecontaining regimens ( $n = 48$ ), and augmented IB ( $n = 29$ ). No significant difference in survival was observed according to treatment received (Appendix [Fig A3](#page-13-1), online only). Of the 290 patients who achieved CR, 209 (72%) received a transplant and 70 received only chemotherapy (33 relapsed, seven of whom were transplanted in second CR); no data on HSCT were available for 11 patients. In a 190-day landmark analysis (Fig  $4$ ), 10-year DFS was significantly better for transplanted patients (63.8% [SE = 3.6]  $\nu$  45.5% [SE = 7.1];  $P = .005$ ), which translated into a nonsignificantly better OS of 66.2% (SE = 3.6) versus 50.8% (SE = 6.8; P = .10).

#### Outcomes After T-ALL Induction Failure

## <span id="page-4-0"></span>TABLE 1. Characteristics and Early Response in 325 Patients, With Kaplan-Meier 10-Year Overall Survival and Univariate Survival Comparisons



Abbreviations: BM, bone marrow; CNS1, CSF without blasts; CNS2, <5 WBC in CSF with blasts; CNS3, ≥5 WBC in CSF with blasts; CR, complete remission; EMD, extramedullary disease; EOI, end of induction; ETP, early thymocyte precursor; MRD, minimal residual disease; OS, overall survival; SE, standard error.

a Because of the small number of patients in this subgroup, the reported survival estimate is omitted as well as the log-rank test.

b For 16 patients, induction failure was defined as BM blasts ≥5% with no distinction between M2 and M3.

c With isolated extramedullary disease.

d Test comparison between M2 and M3.

e Response status after postinduction treatment.



<span id="page-5-0"></span>FIG 3. OS and DFS of 290 patients with T-ALL resistant to induction therapy who achieved complete remission with postinduction treatment. Date of relapse was not available for one patient, thus it was excluded from DFS analysis. DFS, disease-free survival; OS, overall survival; T-ALL, T-cell acute lymphoblastic leukemia.

The most frequent adverse event after HSCT was relapse  $(n = 44)$  followed by death in CR  $(n = 25;$  [Table 2\)](#page-6-0). As shown in Appendix [Figure A4A](#page-14-0) (online only), there was an

improvement in survival in transplanted patients diagnosed in the period 2009-2018 (5-year OS of 74.4%; SE = 4%) compared with those diagnosed in the period 2000-2008



<span id="page-5-1"></span>FIG 4. (A) DFS and (B) OS of patients with T-ALL who achieved remission with postinduction treatment according to whether they received HSCT or not in first CR-time since landmark at 190 days (median time from diagnosis to HSCT). DFS comparison:  $P = .005$  (unadjusted Poisson model, likelihood ratio test with five degrees of freedom); OS comparison:  $P = .1$  (unadjusted Poisson model, likelihood ratio test with five degrees of freedom). CR, complete remission; DFS, disease-free survival; HSCT, hematopoietic stem cell transplantation; OS, overall survival; T-ALL, T-cell acute lymphoblastic leukemia.

<span id="page-6-0"></span>



Abbreviations: BM, bone marrow; CR, complete remission; HSCT, hematopoietic stem cell transplant; SMN, second malignant neoplasm. <sup>a</sup>Deaths in CR in patients surviving at least 190 days (median time to HSCT) were 2/54 in the chemotherapy arm (3.6%) and 22/204 (11%) in the HSCT arm showing that raw numbers, with early mortality assigned by default to those patients who were not able to undergo transplant, give an apparent advantage to HSCT (immortal time bias). b Two patients do not have time at HSCT.

(5-year OS of 59.8%; SE = 5.4%), although the difference was not statistically significant ( $P = .08$ ). Small decreases both in the rate of transplant-related mortality (9.5% v 16%) and of post-transplant relapse (19%  $v$  24%) were observed. Of note, compared with patients treated in the early period, those treated in the latter period were more likely to have undergone transplant in CR (78% v 71%) and included more matched unrelated donor HSCTs (33% v 24% of transplanted patients). Survival in transplanted patients by type of donor was higher and similar for sibling (5 years post HSCT 79.8%; SE = 5.5) and matched unrelated (72%; SE = 5.8) donors ( $P = .3$ ) compared with other types of donors (58.4%; SE = 5.3; P = .03 for the three-way comparison; Appendix [Fig A4B](#page-14-0)).

The Poisson model on DFS [\(Table 3\)](#page-6-1) shows that prognosis was favorably associated with HSCT in CR1 versus no HSCT  $(P = .007)$ , with a time-dependent effect reporting a significant protection at 2 years post HSCT (HR at 2 years since HSCT =  $0.24$ ; 95% CI, 0.11 to 0.52) after adjusting for age, white blood cell count, sex, marrow status at EOI, and period of diagnosis (for this latter variable, the estimated HR was 0.63; 95% CI, 0.43 to 0.92;  $P = .0171$ ; 2009-2018 v 2000-2008). Although data on MRD level before HSCT were not available, data on MRD at the EOC were available in a subset of patients. Of the 290 patients who achieved CR, 140 had available MRD data at EOC, and there were 47 with EOC <span id="page-6-1"></span>TABLE 3. Poisson Model on Disease-Free Survival (270 patients who achieved final remission with 113 events)



Abbreviations: BM, bone marrow; EOI, end of induction; HSCT, hematopoietic stem cell transplant.

MRD <10–<sup>4</sup> , including 12 patients with PCR MRD that was positive but not quantifiable. The OS of patients with EOC MRD <10<sup>-4</sup> was 67.1% (SE = 7%) compared with 51.2% (SE = 5.5%) for ≥10<sup>-4</sup> (*P* = .1; Appendix [Fig A5](#page-14-1), online only). An exploratory analysis comparing DFS and OS in patients undergoing HSCT or chemotherapy alone showed an advantage for HSCT within both EOC MRD-based subgroups (Appendix Fig  $A6$ , online only).

# **DISCUSSION**

T-ALL with IF occurs in approximately 8% of patients, [9,](#page-8-4)[35](#page-9-13) representing about 1% of all cases of childhood ALL. Although survival rates for pediatric patients with newly diagnosed T-ALL without IF have steadily improved and now tend to approximate those achieved in B-ALL, T-ALL with IF remains challenging to treat.<sup>[13](#page-8-8)</sup> Such an uncommon subgroup can best be investigated in a large intergroup collaboration, such as that of the PDL Group. A previous PDL study of IF reported a 10-year OS of 27.6% (SE = 2.9%) in 241 patients with T-ALL and IF diagnosed between 1985 and 2000. $28$ Seventy-seven (54%) of the 143 patients who achieved CR underwent HSCT and the 10-year OS was 40% in patients who received a matched related donor and 45.8% in the 55% patients who received HSCT from other donors.<sup>[28](#page-9-3)</sup>

We report an improvement in 10-year OS to 54.7%  $(SE = 2.9\%; P < .0001)$  for 325 patients with T-ALL and IF treated in a subsequent era from 2000 to 2018. The improved outcome might be attributable to a higher proportion of patients achieving CR after subsequent treatment (93% v 69%; P < .0001) and proceeding to CR1 HSCT (72% v 54%), including increased use of unrelated and haploidentical donors. A variety of postinduction treatments were used to achieve CR but most included standard/augmented IB with (17%) or without nelarabine. CR was achieved in 97.2% (139 of 143) after IB-based therapy, 89.6% (26 of 29) after augmented IB, and 85.4% after nelarabine followed by augmented IB (41 of 48). There was no significant difference in outcome on the basis of postinduction treatment given, and EOC MRD was only available in 30% of subjects reported here. Thus, no recommendation can be made on the optimal regimen on the basis of our data. Attainment of an MRD-negative remission before HSCT could have affected outcomes as well; however, these data were not routinely available and/or reported in this study. As expected, all patients who did not achieve CR had a fatal outcome.

Although we cannot exclude selection biases, the outcome of transplanted patients in CR1 (adjusted by landmark analysis at 190 days) was significantly better than those not transplanted, in regard to DFS (63.8%; SE = 3.6  $\nu$  45.5%; SE = 7.1) with a tendency for improved OS (66.2%; SE =  $3.6 v 50.8\%$ ; SE = 6.8). Patients transplanted from sibling and unrelated donors had superior outcomes compared with alternative donor transplants. Patients diagnosed in the later half of the study period had a better outcome (10-year survival estimate of 62.2% v 45.4% in 2009-2018 and 2000-2008, respectively). Although the proportion achieving CR1 was similar, a slightly higher proportion of patients were transplanted in the later period (78% v 71%) which, along with better post-transplant outcomes, might partly explain the improved overall outcome.

We had limited data on immunophenotype, cytogenetic, and molecular profiles. Several studies have reported a higher

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incidence of IF in the ETP subgroup $11,36$  $11,36$  and our data confirm that observation with an enrichment of the ETP subtype (29%) compared with T-ALL at diagnosis (15%). Similar to the previous reports of patients with ETP ALL without IF, $1,36$  $1,36$ ETP patients with IF had no worse outcome than other patients with T-ALL and IF. In this study, IF was firmly established by MRD in 96% of patients with M2/3 BM. Of the nine patients with MRD <1  $\times$  10<sup>-2</sup>, seven remain in continuous CR, of whom three received HSCT and four chemotherapy only. The relatively favorable outcome of these patients may suggest an incorrect morphologic classification of the BM and emphasizes the importance of MRD in establishing IF in future cases.

Although our study is limited by its retrospective nature, heterogeneity of chemotherapy regimens used to achieve CR after IF, and the use of different types of transplantation procedures, we can report a significant improvement in outcome compared with a historical cohort. The use of nelarabine as salvage therapy did not affect treatment outcomes in our study. Notably, attainment of a CR after IF is paramount as there were no survivors among patients with refractory disease, highlighting the need for effective salvage regimens. Our study suggests transplantation should be considered in patients with T-ALL IF who subsequently attain a CR with conventional chemotherapy, regardless of MRD status at the EOC. Despite the reported improvement in this more recent treatment era, the outcome of patients with T-ALL and IF remains considerably worse than those who achieve CR after induction therapy and they should be candidates for early-phase studies of new T-cell–targeted therapy including cellular approaches.

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## EQUAL CONTRIBUTION

E.A.R. and P.R. contributed equally to this work.

# SUPPORT

Supported in part by Children's Oncology Group grants U10 CA98543, U10 CA98413, U10 CA180886, and U10 CA180899; CA21765; American Lebanese Syrian Associated Charities; Deutsche Krebshilfe No. 108106, 108588, Project PRIN 2017(14041, PI MGV).This work is also part of the Danish nationwide research program Childhood Oncology Network Targeting Research, Organisation & Life expectancy (CONTROL) and supported by the Danish Cancer Society (R-257-A14720) and the Danish Childhood Cancer Foundation (2019-5934 and 2020-5769).

## AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

Disclosures provided by the authors are available with this article at DOI [https://doi.org/10.1200/JCO.23.00088.](https://ascopubs.org/doi/full/10.1200/jco.23.00088)

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# ACKNOWLEDGMENT

The authors would like to thank those who prepared the data for this study, namely Michal Kicinski (EORTC Headquarters), Hester de Groot (Princess Máxima Center), Yael Flamand (DFCI), Atsushi Sato (Department of Hematology/Oncology, Miyagi Children's Hospital, Sendai, Japan), Yasuhiro Okamoto (Department of Pediatrics, Graduate School of Medical and Dental Sciences, Kagoshima University, Kagoshima, Japan), Mitsuteru Hiwatari (Department of Pediatrics, Graduate School of Medicine, The University of Tokyo, Tokyo, Japan), Daniela Silvestri (AIEOP) and Martin Zimmermann (BFM, Hannover, Germany), and Zhiguo Chen, Department of Biostatistics, University of Florida, Gainesville, FL (COG).

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# AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

## Outcome for Children and Young Adults With T-Cell ALL and Induction Failure in Contemporary Trials

The following represents disclosure information provided by authors of this manuscript. All relationships are considered compensated unless otherwise noted. Relationships are self-held unless noted. I = Immediate Family Member, Inst = My Institution. Relationships may not relate to the subject matter of this manuscript. For more information about ASCO's conflict of interest policy, please refer to [www.asco.org/rwc](http://www.asco.org/rwc) or [ascopubs.org/jco/authors/author-center](https://ascopubs.org/jco/authors/author-center).

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Elizabeth A. Raetz Research Funding: Pfizer (Inst) Other Relationship: BMS

Valentino Conter Consulting or Advisory Role: Amgen Travel, Accommodations, Expenses: Jazz Pharmaceuticals

Martin Schrappe Consulting or Advisory Role: Servier, Jazz Pharmaceuticals Speakers' Bureau: Jazz Pharmaceuticals Research Funding: Servier (Inst)

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This author is a member of the Journal of Clinical Oncology Editorial Board. Journal policy recused the author from having any role in the peer review of this manuscript. Honoraria: Novartis, Merck

Chihaya Imai Stock and Other Ownership Interests: Cured Inc Consulting or Advisory Role: Cured Inc Research Funding: Cured Inc Patents, Royalties, Other Intellectual Property: Chimeric receptor with 4-1BB signaling

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No other potential conflicts of interest were reported.

# APPENDIX

<span id="page-11-0"></span>TABLE A1. Participating Cooperative Study Groups



NOTE. Eight patients with IF T-ALL were diagnosed in 1999 and belonged to a pilot study before the beginning of AIEOP-ALL2000 (n = 5), to JACLS ALL-T97 (n = 2) and to EORTC 58951 (n = 1).

Abbreviations: BM, bone marrow; IF, induction failure; NA, not available; T-ALL, T-cell acute lymphoblastic leukemia aAlso includes patients not enrolled in the randomized study but treated with the same protocol.



<span id="page-12-0"></span>FIG A1. Kaplan-Meier OS estimate by baseline characteristics: (A) by WBC, (B) by ETP status, (C) by age, (D) by CNS status at diagnosis, and (E) by marrow at EOI (M1 with isolated extramedullary disease; the test for comparison between M2 and M3 gives  $P = 0.09$ ). BM, bone marrow; EOI, end of induction; ETP, early thymocyte precursor; OS, overall survival.



<span id="page-13-0"></span>FIG A2. Kaplan-Meier OS estimate by remission status. OS, overall survival.



<span id="page-13-1"></span>FIG A3. Kaplan-Meier OS estimate by postinduction treatment. OS, overall survival.



<span id="page-14-0"></span>FIG A4. OS after hematopoietic stem cell transplant (HSCT in 207 patients) by (A) period of diagnosis and (B) type of donor. Two HSCT patients were excluded as date of transplant was missing. HSCT, hematopoietic stem cell transplant; OS, overall survival.



<span id="page-14-1"></span>FIG A5. OS according to MRD status at the EOC. EOC, end of consolidation; MRD, minimal residual disease; OS, overall survival.



<span id="page-15-0"></span>FIG A6. (A) DFS and (B) OS of patients with T-ALL who achieved remission with postinduction treatment according to whether they received HSCT or not in first CR according to MRD status at the EOC. Time originates at landmark (190 days, median time from diagnosis to HSCT). DFS, diseasefree survival; EOC, end of consolidation; HSCT, hematopoietic stem cell transplant; MRD, minimal residual disease; OS, overall survival; T-ALL, T-cell acute lymphoblastic leukemia.