

Bruton's Tyrosine Kinase in Neutrophils Is Crucial for Host Defense against *Klebsiella pneumoniae*

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Bruton's tyrosine kinase · *Klebsiella pneumoniae* · Innate immunity · Neutrophils · Reactive oxygen species

Abstract

Humans with dysfunctional Bruton's tyrosine kinase (Btk) are highly susceptible to bacterial infections. Compelling evidence indicates that Btk is essential for B cell-mediated immunity, whereas its role in myeloid cell-mediated immunity against infections is controversial. In this study, we determined the contribution of Btk in B cells and neutrophils to host defense against the extracellular bacterial pathogen *Klebsiella pneumoniae*, a common cause of pulmonary infections and sepsis. *Btk*^{-/-} mice were highly susceptible to *Klebsiella* infection, which was not reversed by Btk re-expression in B cells and restoration of natural antibody levels. Neutrophil-specific Btk deficiency impaired host defense against *Klebsiella* to a similar extent as complete Btk deficiency. Neu-

trophil-specific Btk deficiency abolished extracellular reactive oxygen species production in response to *Klebsiella*. These data indicate that expression of Btk in neutrophils is crucial, while in B cells, it is dispensable for in vivo host defense against *K. pneumoniae*.

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Introduction

Bruton's tyrosine kinase (Btk) is a member of the family of nonreceptor tyrosine kinases designated "tyrosine kinase expressed in hepatocellular carcinoma". Btk is expressed in all cells of the hematopoietic lineage, except in T and plasma cells [1]. Btk is vital for B-cell development due to its role in signaling of the B-cell receptor and its

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immature form, the pre-B-cell receptor [2]. In humans, defects in Btk result in the primary immunodeficiency X-linked agammaglobulinemia (XLA). XLA is characterized by an almost complete block of B-cell development at the pre-B-cell stage, and as a result, circulating B cells and antibodies are very low [3]. The phenotype of Btk-deficient (*Btk*^{-/-}) mice, also known as X-linked immune deficiency mice, partially mimics XLA. In *Btk*^{-/-} mice, pre-B-cell differentiation is only mildly impaired, follicular B-cell numbers are reduced, and B-1 cells are absent, and as a result, levels of natural IgM and IgG3 antibodies are low [2]. Both XLA patients and *Btk*^{-/-} mice are highly susceptible to infections [3–5]. Since treatment of XLA patients and *Btk*^{-/-} mice with antibody supplementation causes dramatic reductions in infections and greatly improves survival rates [4, 6], the increased susceptibility for infection due to functional Btk deficiency is thought to result predominantly from impaired B cell-mediated immunity.

Btk, however, mediates several myeloid cell effector functions [1]. In monocytes and macrophages, Btk regulates complement receptor-, Fcγ receptor (FcγR)- and dectin-1-mediated phagocytosis [7–9], although conflicting findings have also been reported [10–12]. Moreover, Btk controls specific bactericidal responses by macrophages [10, 13, 14] and contributes to Toll-like receptor (TLR), triggering receptors expressed on myeloid cells 1 and NLR family pyrin domain containing 3 as well as FcγR-mediated production of inflammatory cytokines by macrophages [8, 13, 15–20]. In neutrophils, Btk has been implicated in integrin-mediated recruitment and activation [21, 22], and in generation of TLR4-mediated reactive oxygen species (ROS) and nitric oxide production [10]. Targeting of Btk in neutrophils protected mice from FcγR/TLR4-mediated acute lung injury [23]. In addition, Btk is required for neutrophil development and expression of granule proteins [24]. Together, these studies led us to hypothesize that the enhanced susceptibility of XLA patients and *Btk*^{-/-} mice for bacterial infections could also be attributed to impaired effector functions of myeloid cells, including neutrophils.

In addition to *Haemophilus influenzae* and *Streptococcus pneumoniae*, *Klebsiella pneumoniae* is among the most frequent pathogens isolated in XLA patients [25–27]. The Gram-negative bacterium *K. pneumoniae* is a common cause of nosocomial pneumonia and sepsis [28]. Severe systemic infections can be caused by hypervirulent *Klebsiella* strains that generate thick mucoviscous polysaccharide capsules [29]. Neutrophils are the most abundant circulating leukocytes, being the first line

of host defense against bacterial infection [30]. Previous studies have shown that neutrophils are essential in host defense against *K. pneumoniae*-evoked pneumosepsis [31, 32]. In the present study, we determined the role of Btk in B cells and neutrophils in the immune response against *Klebsiella*. For this purpose, we studied *Btk*^{-/-} mice, *Btk*^{-/-} mice with re-enforced expression of Btk specifically in B cells, μMT mice lacking peripheral B cells and antibodies, and neutrophil-specific *Btk*^{-/-} mice in a well-established model of hypervirulent *Klebsiella*-induced pneumonia and sepsis [31–34].

Materials and Methods

Mice

Wild-type (WT) mice were either purchased from Charles River (Maastricht, Netherlands) or derived from heterozygous breeding of *Btk*^{-/-} mice. *Btk*^{-/-} mice and *Cd19-BTK*⁺ mice were generated as previously described [35, 36], and the latter strain was maintained on a *Btk*^{-/-} background. *Btk*^{fl/fl} mice were generated from *Btk*^{tm1a} embryos (EUCOMM, Institute Clinique de la Souris, Illkirch, France) as described previously [37]. Female *Btk*^{fl/fl} mice were bred to male *Mrp8cre* transgenic mice (Jackson Laboratory) [38] to generate male *Mrp8cre.Btk*^{fl/Y} mice with neutrophil cell-specific Btk deletion and littermate controls (*Btk*^{fl/Y}) [39]. μMT mice were purchased from Jackson Laboratory. All mice used in these studies were backcrossed at least 8 times to C57BL/6 background and used at 8–12 weeks of age.

Pneumonia and Sepsis Models

Pneumonia was induced using *K. pneumoniae* K2:O1 (ATCC43816) by intranasal inoculation with approximately 1 × 10⁴ colony-forming unit (CFU) *K. pneumoniae*, as previously described [32, 33]. Ibrutinib (Selleckchem; 25 mg/kg in water, 5% mannitol, 0.5% gelatin) or vehicle was administered orally 27 and 3 h prior to inoculation as previously described [40]. Mice were sacrificed at 6, 24, or 30–42 h after infection or followed for 9–11 days. Sepsis was induced by intravenous administration of approximately 1–5 × 10⁴ CFU *K. pneumoniae* [41], and mice were sacrificed 6 or 24 h later. To determine bacterial loads, samples were processed exactly as previously described [32, 33].

Cell Isolation and Characterization

Mouse bone marrow neutrophils were isolated as previously described [42], using a single layer (62.5%) of Percoll (Sigma). Isolated neutrophils were washed with Hank's balanced salt solution (HBSS) and rested in HBSS with 1.26 mM CaCl₂ and 0.49 mM MgCl₂ (HBSS^{+/+}) for 30 min at room temperature prior to the experiments. Purity of isolated neutrophils was verified by flow cytometry after staining with anti-Ly6G mAb (see below). Single-cell suspensions of the lungs were generated by incubation with 1 mg/mL collagenase D (Roche) for 30 min at 37°C followed by passage through a 19 G needle. Single-cell suspensions of the spleen were generated either by passage through a mesh or by incubation with 1 WU/mL Liberase TL (Roche) and 4 mg/mL lidocaine for 15 min at 37°C. Concentration of cell suspensions and blood leukocytes

were determined using a Z1 counter (Beckman Coulter). Flow cytometric analysis was performed as previously described [40]. Cells were stained using the following labeled antibodies: CD19-PE/eF610 (1D3), CD23-PE/Cy7 (B3B4), CD43-PE (R2/60), CD45-PE/eF610 (30-F11), CD115-PE (AFS98), CD169-eF660 (SER4), F4/80-PE (BM8), MHCII-AF700 (M5/114.15.2) (all eBioscience), CD3-PerCP/Cy5.5 (17A2), CD4-FITC (RM4-5), CD11b-PE/Cy7 (M1/70), CD11c-PerCP/Cy5.5 (HL3), CD21/35-APC (7G6), B220-FITC (RA3-6B2), Ly6C-AF700 (AL-21), Ly6G-APC (1A8), and SiglecF-A647 (E50-2440) (all BD Biosciences). Alveolar macrophages (AMs) were identified as CD45⁺/CD11c⁺/SiglecF⁺ cells and lung dendritic cells as CD45⁺/CD11c⁺/SiglecF⁻/MHCII⁺ cells. In blood, monocytes were identified either as CD45⁺/CD11b⁺/Ly6G⁻/Ly6C⁺ cells or as CD45⁺/CD11b⁺/Ly6G⁻/Ly6C⁻ cells, granulocytes as CD45⁺/CD11b⁺/Ly6G⁺ cells, and B lymphocytes as CD45⁺/B220⁺ cells. In spleen, granulocytes were identified as CD45⁺/Ly6G⁺ cells and B lymphocytes as CD45⁺/B220⁺ cells. Flow cytometry was performed using a FACSCanto II. Flow cytometry results were analyzed using FlowJo.

ELISA

Cytokine ELISA (TNF, IL-6, IL-1 β , IL-10, CXCL1, CXCL2, CCL2, myeloperoxidase [MPO], elastase, MRP8/14; R&D Systems) and bead arrays (TNF, IL-6, MCP-1; BD Biosciences) were performed according to the manufacturer's instructions. For measurement of natural antibodies, plates were coated with 10⁸ CFU heat-killed *K. pneumoniae* or 10 μ g/ml *K. pneumoniae* lipopolysaccharide (LPS) (Sigma-Aldrich) and bound antibodies were detected using biotinylated anti-mouse IgM or IgG3 antibodies (Southern Biotechnology Associates) and streptavidin-HRP.

Lung Histology and Immunohistochemistry

Lung sections for histological analysis were prepared as described earlier [43]. Sections were analyzed for bronchitis, edema, interstitial inflammation, intra-alveolar inflammation, pleuritis, and endothelialitis. All parameters were graded on a scale of 0–4 with 0 as “absent” and 4 as “severe.” The total “lung inflammation score” was expressed as the sum of the scores for each parameter, the maximum score being 24. Granulocyte staining using FITC-labeled rat anti-mouse Gr1 mAb (RB6-8C5; BD Biosciences) or Ly6G mAb (1A8; BioLegend) and quantification was done exactly as described [41]. Staining for citrullinated histone 3 (CitH3; NB100-57135; Novus Biologicals) [44] and phosphorylated histone H2AX (γ H2AX; clone 20E3; Cell Signaling Technology) [45] was performed as previously described. The presence of neutrophil extracellular traps (NETs) in inflammatory foci in the lung was scored semiquantitatively in a blinded manner as 0: negative; 1: <10% of inflamed area positive for CitH3; 2: 10–50% of inflamed area positive for CitH3; and 3: >50% of inflamed area positive for CitH3. Cell death was scored in a blinded manner by counting γ H2AX-positive cells in inflammatory foci in the lung in 4 microscope fields at \times 40 magnification and calculating the average number of γ H2AX-positive cells per infiltrate.

Neutrophil Phagocytosis

K. pneumoniae (ATCC43816) was cultured as previously described [33]. Phagocytosis assays were performed as described previously [34] with minor modifications. In summary, 1 \times 10⁵ bone marrow-derived neutrophils were plated in 96-well plates pre-coated with 10% FCS and 1 \times 10⁶ bacteria were added to each well

(MOI10). Plates were centrifuged at 500 \times g for 3 min to synchronize phagocytosis and incubated at 37°C for 30 min. Gentamicin (100 μ g/mL) was added to specific wells and incubated for 1 h at 37°C to kill extracellular bacteria. Control wells with bacteria only were not treated with gentamicin. After incubation, neutrophils were washed extensively and treated with 0.1% Triton X-100 for 10 min at 4°C. Samples were plated on bacterial culture plates to quantify surviving bacteria.

Neutrophil ROS Assay

Neutrophil ROS production was measured using isoluminol-amplified chemiluminescence as reported previously [46] with minor modifications. 1 \times 10⁵ bone marrow-derived neutrophils in HBSS⁺⁺, isoluminol (50 μ M; Sigma-Aldrich), and horse radish peroxidase (15U/mL; Sigma-Aldrich) were plated in FCS pre-coated 96-well plates. *K. pneumoniae* was added to the cells at a MOI of 10 or 20. As positive control, phorbol myristate acetate (Sigma) was added to some wells to a final concentration of 100 nM. As negative control, cells were treated with HBSS⁺⁺. Plates were centrifuged to load bacteria onto the cells as described above. Thereafter, chemiluminescence was measured immediately every 3 min for up to 2 h using a Synergy HT plate reader (BioTek).

Statistical Analysis

Data are expressed as scattered dot plot plus median line. Data were analyzed using GraphPad Prism. The Kruskal-Wallis test was used for comparison of multiple groups, and Mann-Whitney U test was used for comparison between groups. Survival curves are depicted as Kaplan-Meier plots and compared using the log-rank test. A *p* value below 0.05 was considered statistically significant.

Results

Btk^{-/-} Mice Are Highly Susceptible to *K. pneumoniae*-Evoked Pneumosepsis

To determine whether *Btk* is involved in the immune response during *K. pneumoniae*-evoked pneumosepsis, WT and *Btk*^{-/-} mice were infected via the nostrils with a gradually growing hypervirulent strain of *K. pneumoniae* after which survival and bacterial loads in organs were monitored. *Btk*^{-/-} mice displayed accelerated and increased mortality after *K. pneumoniae* infection, as all mice died within 2 days (Fig. 1a), whereas 50% of the WT mice died by the end of day 10. Numbers of bacteria in the lung did not differ between WT and *Btk*^{-/-} mice at 6 h after inoculation, but were significantly increased in *Btk*^{-/-} mice at 24 and 35 h (Fig. 1b). At these later time points, *Btk*^{-/-} mice also had more bacterial dissemination than WT mice, as revealed by markedly increased CFUs in blood and spleen (Fig. 1c, d). To exclude that the difference in antibacterial defense of *Btk*^{-/-} and WT mice resulted from differences in the microbiome between in house bred *Btk*^{-/-} mice and commercial WT mice, we repeated the experiment with WT and *Btk*^{-/-} littermates and

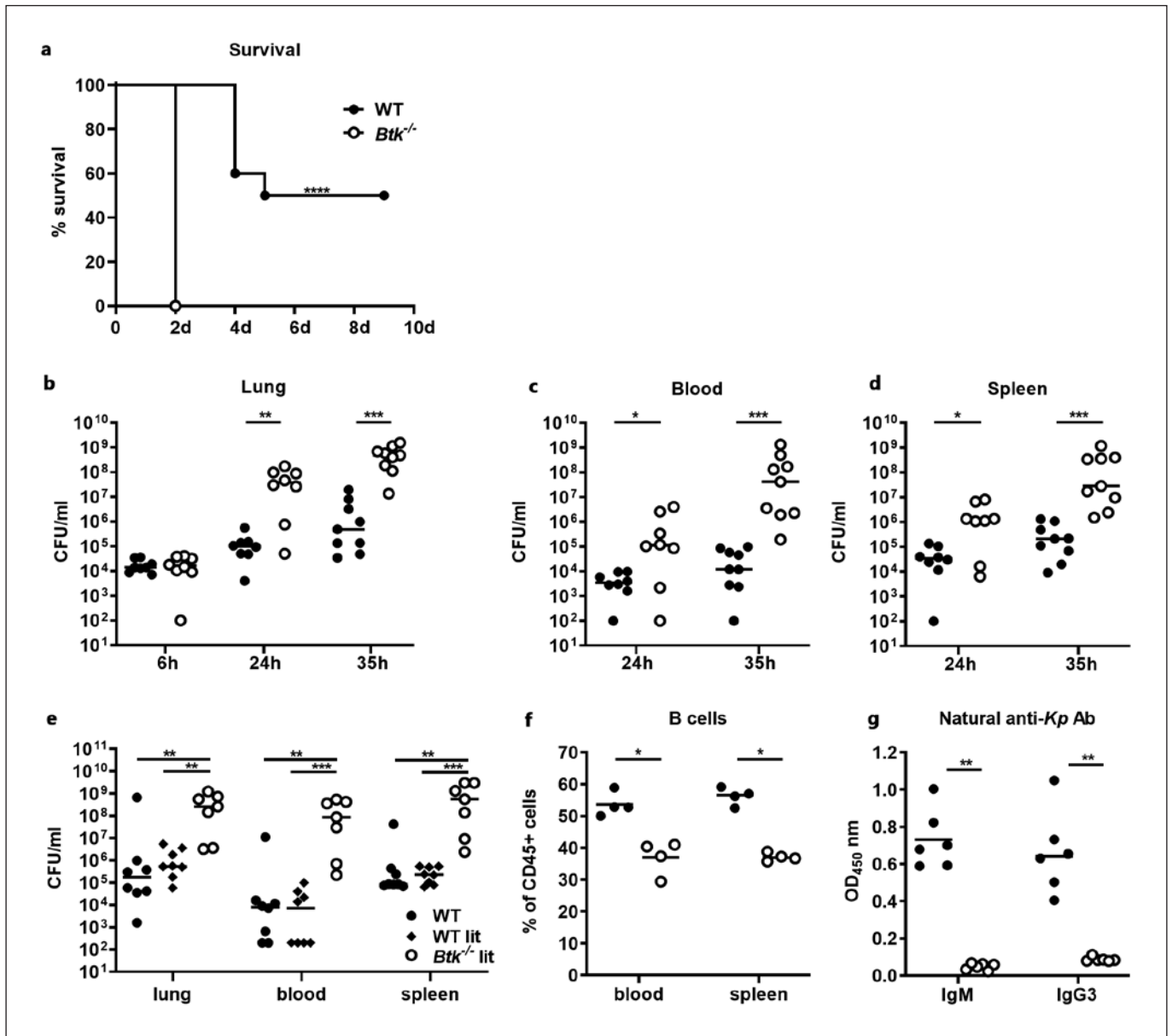


Fig. 1. *Btk*^{-/-} mice are highly susceptible to *K. pneumoniae*-evoked pneumosepsis; WT and *Btk*^{-/-} mice were intranasally inoculated with *K. pneumoniae*. Survival of WT and *Btk*^{-/-} mice (*n* = 10 per group), bacterial counts of WT (a) and *Btk*^{-/-} mice (*n* = 8–9 per group) in the lung (b), blood (c), and spleen (d). e Bacterial counts in the lung, blood, and spleen of purchased WT, WT littermate, and *Btk*^{-/-} littermate 36 h after inoculation (*n* = 7–8 per group). f Percentage of B cells in the blood and spleen of naïve WT and

Btk^{-/-} mice (*n* = 4 per group). g Relative concentrations of anti-*K. pneumoniae* IgM and IgG₃ in serum of naïve WT and *Btk*^{-/-} mice (*n* = 6 per group). Survival is expressed as the Kaplan-Meier plot, and all other graphs are dot plots with individual observations plus median. Survival was compared with the log-rank test, and bacterial loads and cell counts were compared to control mice with the Mann-Whitney U test (**p* < 0.05; ***p* < 0.01; ****p* < 0.001; *****p* < 0.0001).

obtained similar findings (Fig. 1e). To obtain insight in the enhanced susceptibility of *Btk*^{-/-} mice for *K. pneumoniae* infection, we analyzed B-cell numbers and natural antibodies against *Klebsiella* in naïve *Btk*^{-/-} and WT mice. As

expected [35], naïve *Btk*^{-/-} mice had significantly lower B-cell numbers than WT mice in blood and spleen (Fig. 1f). Moreover, natural IgM and IgG₃ antibodies against *K. pneumoniae* were detectable in the plasma of naïve WT

mice, but not in *Btk*^{-/-} mice (Fig. 1g). Analysis of myeloid cells revealed similar numbers of AMs, lung dendritic cells, and blood monocytes in WT and *Btk*^{-/-} mice (online suppl. Fig. S1a, b; for all online suppl. material, see www.karger.com/doi/10.1159/000524583). In line with previous findings [24], *Btk*^{-/-} mice had increased neutrophil numbers in blood and spleen when compared with WT mice (online suppl. Fig. S1c).

Natural Antibodies and Btk Expression in B Cells Play a Limited Role in Host Defense against K. pneumoniae

To establish whether the enhanced susceptibility of *Btk*^{-/-} mice for *K. pneumoniae* resulted from decreased numbers of B cells and lack of natural antibodies, we next performed infection experiments in *Btk*^{-/-} mice with enforced transgenic expression of human BTK specifically in B cells (designated *Cd19-BTK*⁺) [36], in B cell-deficient (μ MT) mice [47], and in WT mice treated with the irreversible Btk inhibitor ibrutinib [48]. Expression of the *Cd19-BTK* transgene is restricted to the B-cell lineage and rescues the B-cell defects in *Btk*^{-/-} mice [36]. Plasma levels of anti-*K. pneumoniae* IgM and IgG3 in *Cd19-BTK*⁺ mice were increased compared to *Btk*^{-/-} mice and compared to WT mice (Fig. 2a). *Cd19-BTK*⁺ mice however were equally susceptible to *K. pneumoniae* as *Btk*^{-/-} mice (Fig. 2b). All *Cd19-BTK*⁺ and *Btk*^{-/-} mice succumbed within 3 days after infection and at a similar rate, whereas 50% of the WT mice died by the end of day 10 and at a much slower rate (Fig. 2b). Bacterial counts in the lung and blood did not differ between *Btk*^{-/-} and *Cd19-BTK*⁺ mice (Fig. 2c, d). *Cd19-BTK*⁺ mice had reduced bacterial counts in the spleen 24 h after infection as compared to *Btk*^{-/-} mice, but this phenotype faded by 32 h (Fig. 2e). To confirm that natural antibodies did not provide protection against *K. pneumoniae* in *Cd19-BTK*⁺ mice, we inoculated mice directly into the blood stream and assessed bacterial loads in blood, spleen, and liver 6 h later. *Btk*^{-/-} mice had increased CFUs in blood, spleen, and liver, as compared to WT mice and a similar increase in CFU was found in the spleen and liver of *Cd19-BTK*⁺ mice (Fig. 2f). To further establish the role of B cells and natural antibodies in host defense against *K. pneumoniae*, we next studied B cell-deficient μ MT mice [47]. In μ MT mice, natural anti-*K. pneumoniae* antibodies were absent (Fig. 2g). After *K. pneumoniae* inoculation, however, CFUs in lung, blood, and spleen of μ MT mice were significantly reduced as compared to *Btk*^{-/-} mice and compared to WT mice (Fig. 2h–j). To further distinguish the contribution of Btk and natural antibodies to host defense against *K. pneumoniae*, we treated WT mice with the ir-

reversible inhibitor ibrutinib. Previously, we have established that short-term oral treatment of mice with ibrutinib abrogated kinase activity of Btk and reduced lung inflammation during antibiotic-treated pneumococcal pneumonia [40]. Ibrutinib treatment did not alter plasma levels of natural anti-*K. pneumoniae* IgM and IgG3 (Fig. 2k). Bacterial counts in lung and spleen of ibrutinib-treated mice were significantly increased as compared to vehicle-treated mice 40 h after inoculation (Fig. 2l). Together, these findings indicate that natural antibodies and B cell-mediated immunity play a limited role in innate host defense against *K. pneumoniae* and suggest that the enhanced susceptibility of *Btk*^{-/-} mice for *K. pneumoniae* results from a critical role of Btk in cells other than B cells.

Btk Expression in Neutrophils Is Required for Host Defense against K. pneumoniae

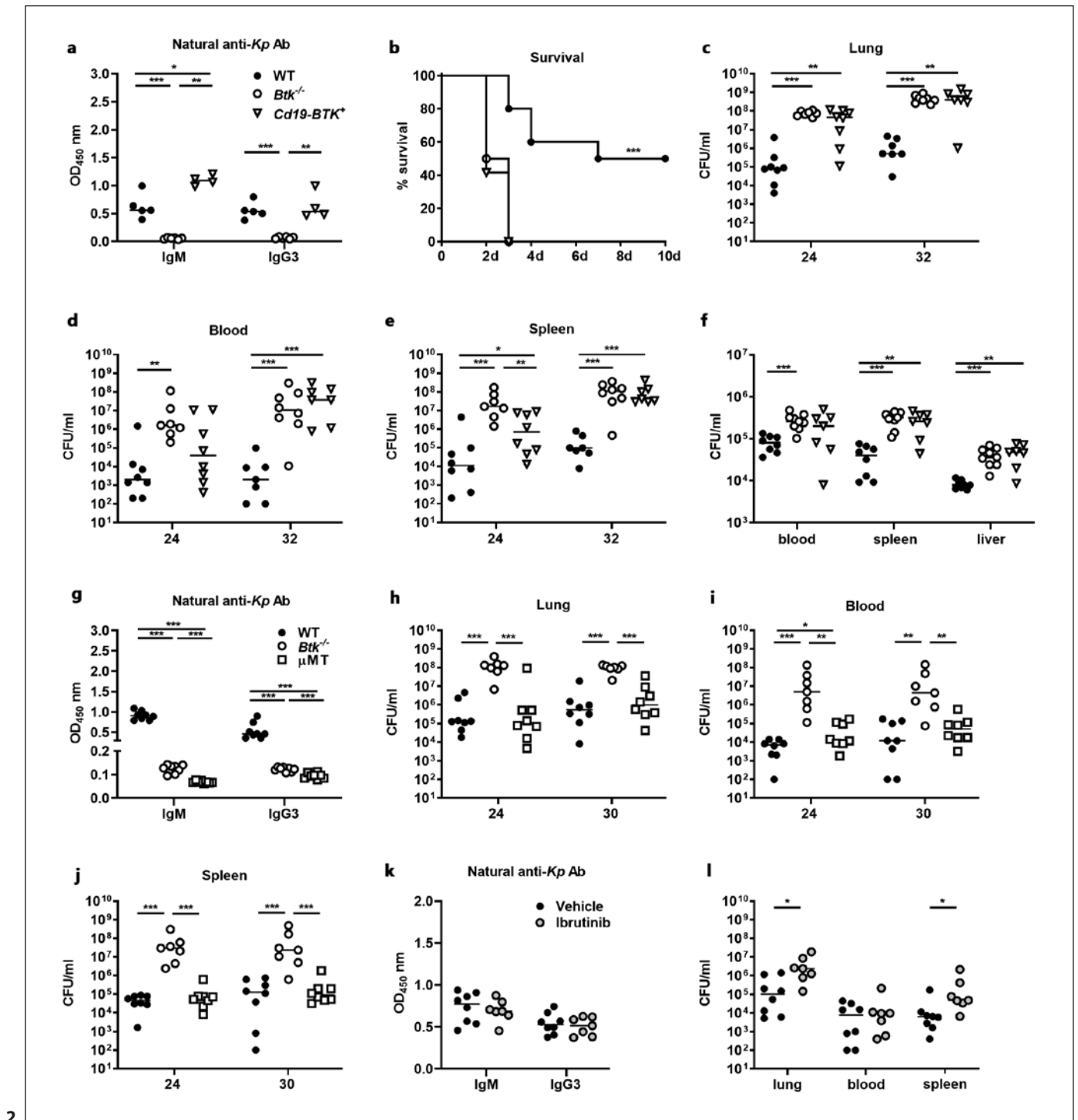
Previously, it has been shown that neutrophils are eminent for host defense against *K. pneumoniae* [31, 32]. To assess whether Btk in neutrophils is important for host defense against *K. pneumoniae*, we generated conditional knockout mice by breeding *Mrp8cre* mice [38] with *Btk*^{fl/fl} mice [37]. In line with the known expression of Cre recombinase in the bulk of neutrophils of *Mrp8cre* mice [49, 50], *Mrp8cre.Btk*^{fl/Y} mice displayed strongly reduced Btk protein levels in neutrophils, whereas Btk protein levels in B cells, monocytes, and alveolar macrophages were unaltered as compared to *Btk*^{fl/fl} controls [39]. Intranasal inoculation with *K. pneumoniae* resulted in increased bacterial numbers in lung, blood, spleen, and liver of *Mrp8cre.Btk*^{fl/Y} mice 24 and 42 h after infection (Fig. 3a–d), comparable to *Btk*^{-/-} mice (Fig. 1b–d). To determine whether the increased bacterial loads in blood and spleen resulted from impaired systemic host defense, we intravenously injected *Mrp8cre.Btk*^{fl/Y} mice and WT littermates with *K. pneumoniae* and assessed bacterial loads 24 h later. *Mrp8cre.Btk*^{fl/Y} mice had similar bacterial counts as WT mice after intravenous *K. pneumoniae* infection (Fig. 3e). These results indicate that Btk in neutrophils is required to control *K. pneumoniae* infection in the lungs during pneumosepsis and suggest that enhanced susceptibility of *Btk*^{-/-} mice for *K. pneumoniae* pneumonia results from a paucity of Btk in neutrophils.

Btk Deficiency in Neutrophils Does Not Impair Pulmonary Inflammatory Response during K. pneumoniae Infection

To further evaluate the impact of Btk deficiency in neutrophils after *K. pneumoniae* infection, we assessed the inflammatory responses in infected *Mrp8cre.Btk*^{fl/Y}

and WT mice. *Mrp8cre.Btk^{fl}/Y* mice had similar lung pathology (Fig. 4a, b) and confluent lung inflammation (online suppl. Table S1) as WT after *K. pneumoniae* infection. Moreover, levels of TNF, IL-1 β , and IL-6 in lung and BALF (Fig. 4c; online suppl. Table S2) were largely similar

between groups, except for IL-1 β levels in lung at 42 h (higher in *Mrp8cre.Btk^{fl}/Y* mice). Likewise, *Btk^{-/-}* and WT mice displayed similar lung pathology after intranasal *K. pneumoniae* infection (online suppl. Fig. S2a), while *Btk^{-/-}* mice had higher lung IL-1 β and IL-6 levels relative



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to WT mice at 35 h, paralleling the much higher bacterial loads at this time point (online suppl. Table S3). Furthermore, Btk deficiency in neutrophils did not impact on plasma cytokine and chemokine levels after intranasal *K. pneumoniae* infection (online suppl. Table S4), except for

IL-6 (higher in *Mrp8cre.Btk^{fl}/Y* mice at 42 h after intranasal infection). These data indicate that Btk deficiency in neutrophils does not significantly alter inflammatory responses in the lung during *K. pneumoniae* infection.

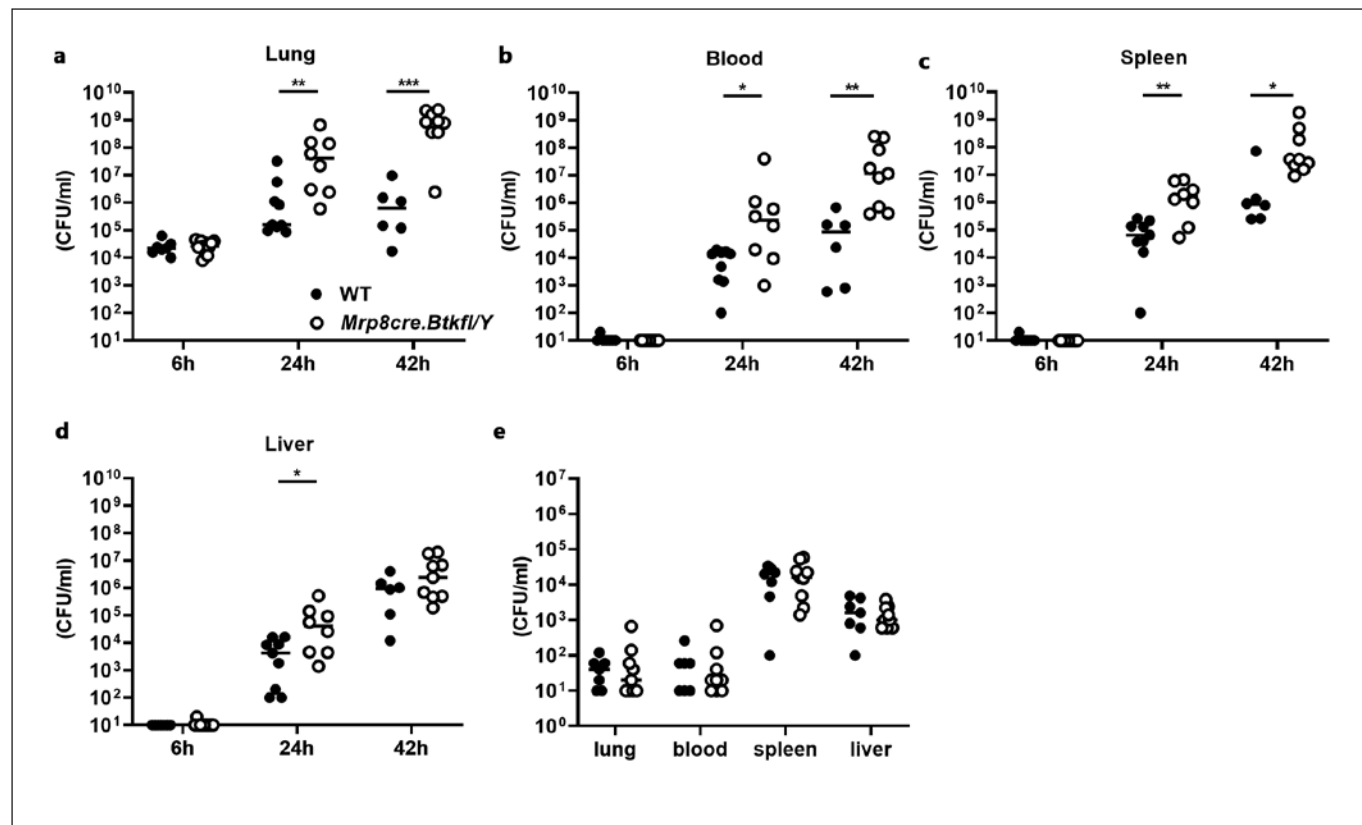
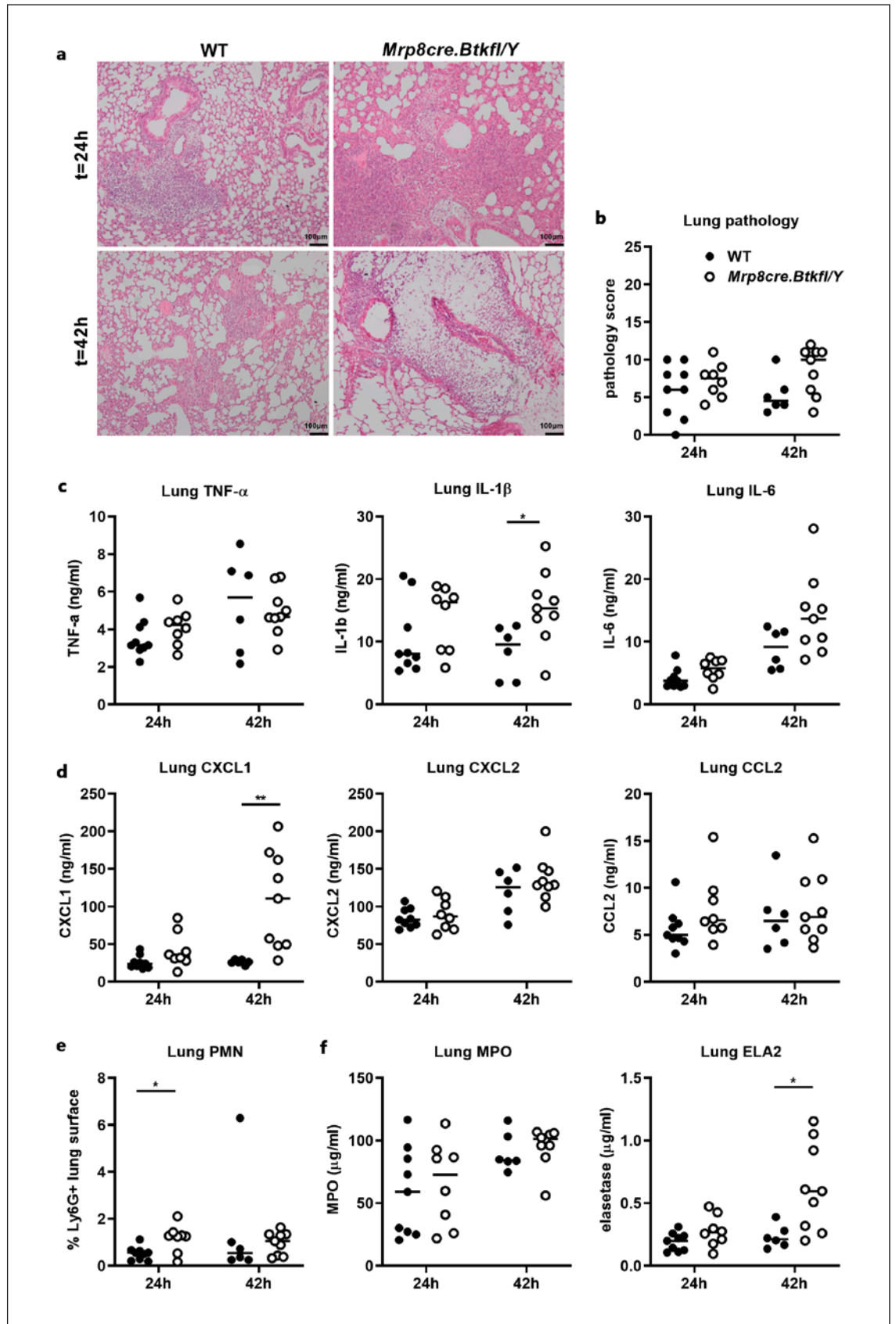


Fig. 3. Btk expression in neutrophils is required for host defense against *K. pneumoniae*. *Mrp8cre.Btk^{fl}/Y* and littermate control (WT) mice were infected intranasally with *K. pneumoniae* and euthanized 6, 24, or 42 h later ($n = 6-11$ per group). Bacterial loads in lung (a), blood (b), spleen (c), and liver (d). *Mrp8cre.Btk^{fl}/Y* and

WT mice were infected intravenously with $\sim 1 \times 10^4$ CFU *K. pneumoniae* and euthanized at 24 h ($n = 8$ per group). Bacterial loads were determined in blood, lung, spleen, and liver. Data are represented as dot plot with individual observations plus median ($*p < 0.05$, $**p < 0.01$, $***p < 0.001$).

Fig. 2. Natural antibodies and Btk expression in B cells play a limited role in host defense against *K. pneumoniae*. a Relative concentrations of anti-*K. pneumoniae* IgM and IgG₃ in serum of naive WT, *Btk^{-/-}*, and *Cd19-BTK⁺* mice ($n = 4-6$ per group). WT, *Btk^{-/-}*, and *Cd19-BTK⁺* mice were intranasally inoculated with *K. pneumoniae*. Survival of WT, *Btk^{-/-}*, and *Cd19-BTK⁺* mice ($n = 10-12$ per group) (b); bacterial counts of WT, *Btk^{-/-}*, and *Cd19-BTK⁺* mice ($n = 7-8$ per group) in the lung (c), blood (d), and spleen (e). f WT, *Btk^{-/-}*, and *Cd19-BTK⁺* mice were intravenously inoculated with $\sim 5 \times 10^4$ CFU *K. pneumoniae* ($n = 7-9$ per group); bacterial counts in the blood, spleen, and liver were determined 6 h after inoculation. WT, *Btk^{-/-}*, and μ MT mice were intranasally inoculated with *K. pneumoniae*. g Relative concentrations of anti-*K. pneumoniae* IgM and IgG₃ in serum of infected WT, *Btk^{-/-}*, and

μ MT mice ($n = 8$ per group). Bacterial counts of WT, *Btk^{-/-}*, and μ MT mice ($n = 7-8$ per group) in the lung (h), blood (i), and spleen (j). WT mice were treated orally with vehicle or ibrutinib (25 mg/kg) 27 and 3 h prior to intranasal inoculation with *K. pneumoniae* ($n = 7-8$ per group). k Relative concentrations of anti-*K. pneumoniae* IgM and IgG₃ in serum of infected vehicle-treated or ibrutinib-treated WT mice ($n = 7-8$ per group). l Bacterial counts in the lung, blood, and spleen were determined 40 h after inoculation (L). Survival is expressed as the Kaplan-Meier plot, and all other graphs are dot plots with individual observations plus median. Survival was compared with the log-rank test; bacterial loads, and cell counts were compared to control mice with the Mann-Whitney U test ($*p < 0.05$; $**p < 0.01$; $***p < 0.001$).



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Btk Deficiency in Neutrophils Does Not Alter Neutrophil Influx into the Lung during *K. pneumoniae* Pneumonia

To determine whether the higher bacterial burden in *Mrp8cre.Btk^{fl}/Y* mice resulted from reduced neutrophil migration into lung, we determined neutrophil chemoattractant levels and neutrophil numbers in the lung after intranasal infection with *K. pneumoniae*. Levels of CXCL1, CXCL2, and CCL2 in lung (Fig. 4d) and BALF (online suppl. Table S5) were largely similar between groups, but lung CXCL1 levels at 42 h were higher in *Mrp8cre.Btk^{fl}/Y* mice compared to WT mice. Ly6G staining of lung sections indicated that *Mrp8cre.Btk^{fl}/Y* mice had more neutrophil influx at 24 h (Fig. 4e and S2d). Neutrophil numbers in the alveolar space however were not different between *Mrp8cre.Btk^{fl}/Y* and WT mice (online suppl. Fig. S2e). In line with these results, lung MPO and elastase (ELA2) levels (indicators of total neutrophil content in tissue homogenates) were similar in WT and *Mrp8cre.Btk^{fl}/Y* mice, except that *Mrp8cre.Btk^{fl}/Y* mice had higher elastase level at 42 h (Fig. 4f). Intranasal *Klebsiella* infection produced comparable results in WT and *Btk^{-/-}* mice (online suppl. Fig. S2b, c). Together, these data indicate the increased bacterial outgrowth in *Mrp8cre.Btk^{fl}/Y* mice after infection with *K. pneumoniae* via the airways is not due to impaired neutrophil migration into the lung, but rather results from deficient neutrophil functions.

Btk Deficiency in Neutrophils Does Not Affect Neutrophil Activation or Degranulation in the Lung during *K. pneumoniae* Pneumonia

Since neutrophil activation is critical in innate immunity and neutrophil degranulation is implicated in host defense against *K. pneumoniae* [51, 52], we assessed neutrophil activation and degranulation after *K. pneumoniae* infection. Analysis of CD11b surface expression on neutrophils in BALF and blood showed similar results in *Mrp8cre.Btk^{fl}/Y* and WT mice (online suppl. Fig. S3a, b). Moreover, *Mrp8cre.Btk^{fl}/Y* mice had MPO, elastase, and MRP8/14 protein levels in BALF that were equivalent to

those in WT mice (online suppl. Fig. S3c). Plasma MPO and elastase levels paralleled blood CFUs in *Mrp8cre.Btk^{fl}/Y* and WT mice after intranasal *K. pneumoniae* infection (online suppl. Fig. S3d), while no difference was found after intravenous *Klebsiella* infection (online suppl. Fig. S3e). Formation of NETs has been implicated to contribute to host defense against *K. pneumoniae* [53]. To determine whether *Btk* is required for NET formation, we analyzed the presence of CitH3, a surrogate marker for NETs [44], in the lungs of infected *Mrp8cre.Btk^{fl}/Y* and littermate control mice. CitH3 was detectable in inflammatory foci in the lungs of both groups (online suppl. Fig. S3f, g), indicating that *Btk* in neutrophils is not required for formation of NETs. To assess whether *Btk* deficiency impacted on cell death of neutrophils, we analyzed the presence of γ H2AX, a marker for double-stranded DNA breaks during various forms of cell death [45], in the lungs. In both *Mrp8cre.Btk^{fl}/Y* mice and littermate controls, *K. pneumoniae* infection was associated with γ H2AX expression at the site of cell infiltration (online suppl. Fig. S3h, i). Together, these data suggest that the impaired host defense against *Klebsiella* of *Mrp8cre.Btk^{fl}/Y* mice is not due to defects in neutrophil activation or degranulation, nor by inability to form NETs or trigger cell death.

Btk in Neutrophils Is Essential for *K. pneumoniae*-Induced Extracellular ROS Production

Although most *Klebsiella* strains are known to be resistant to uptake by phagocytic cells [29], phagocytosis and intracellular killing have been implied as neutrophil antimicrobial mechanisms involved in host defense against *K. pneumoniae* infection [54]. To determine the role of *Btk* in phagocytosis of *K. pneumoniae*, we utilized a gentamicin protection assay [34]. Both WT and *Btk*-deficient neutrophils phagocytosed less than 0.01% of the added *K. pneumoniae* bacteria at a multiplicity of infection of 10 (Fig. 5a; online suppl. Fig. S4a). These results indicate that the *K. pneumoniae* ATCC43816 strain used in our studies is resistant to neutrophil phagocytosis independent of *Btk* expression.

Fig. 4. *Btk* deficiency in neutrophils does not impair pulmonary inflammatory responses nor neutrophil influx into the lung during *K. pneumoniae* pneumonia *Mrp8cre.Btk^{fl}/Y*, and littermate control (WT) mice were infected intranasally with *K. pneumoniae* and euthanized at 24 or 42 h ($n = 6-9$ per group). Representative pictures of hematoxylin and eosin-stained lung sections (**a**) and lung pathology scores of individual mice (**b**). **c** Levels of TNF, IL-1 β , and

IL-6 in lung homogenates. **d** Levels of CXCL1, CXCL2, and CCL2 in lung homogenates. **e** Lung sections were stained with anti-Ly6G, and the percentage of Ly6G positive lung surface was quantified. **f** Levels of MPO and ELA2 in lung homogenates. Data are represented as scatter dot plots plus median ($*p < 0.05$, $**p < 0.01$).

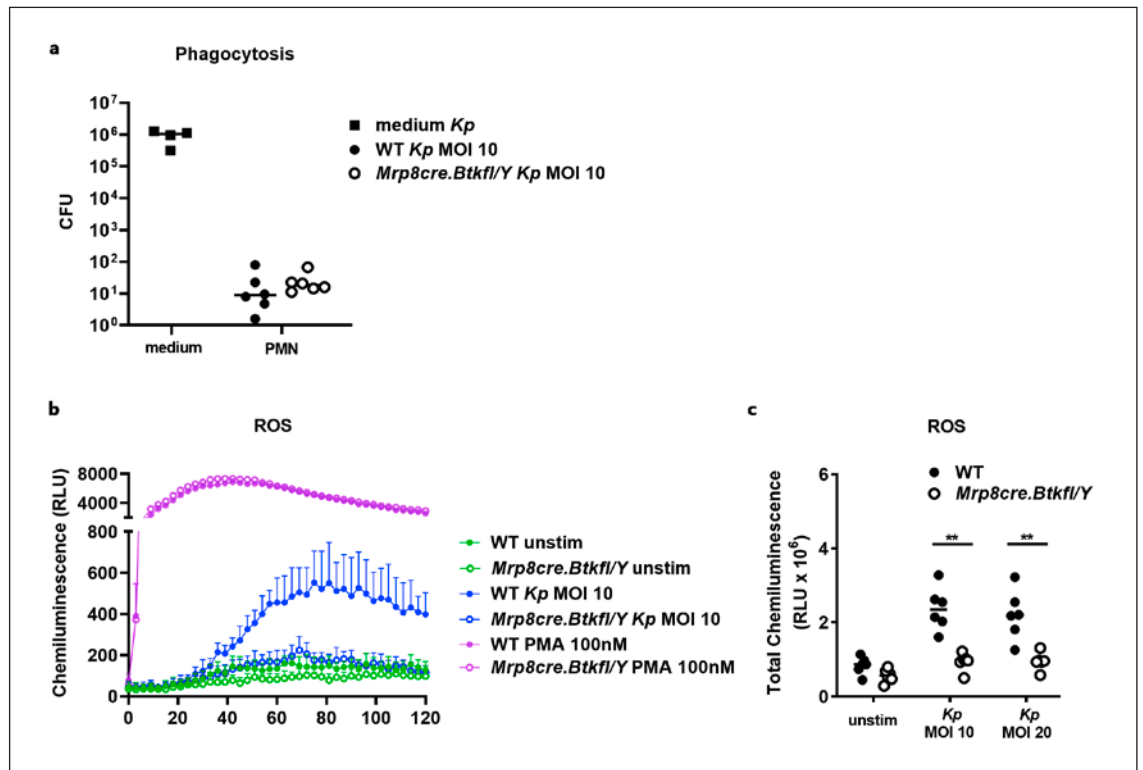


Fig. 5. Btk in neutrophils is essential for *K. pneumoniae*-induced extracellular ROS production *Mrp8cre.Btk^{fl}/Y*, and WT neutrophils were incubated with live *K. pneumoniae* at MOI10 ($n = 6$ per group). **a** *Klebsiella* bacteria in medium and internalized bacteria (phagocytosis) by PMN after gentamicin treatment are shown as CFU. Analysis of respiratory burst of neutrophils from *Mrp8cre.Btk^{fl}/Y* and WT mice using isoluminol chemiluminescence ($n = 6$

per group). Neutrophils were treated with HBSS^{+/+}, live *K. pneumoniae* (MOI10 or 20), or 100 nM PMA. **b** Representative graph of 6 experiments. **c** Total ROS production during 120-min stimulation was calculated as the total area under the curve. Data are represented as dot plots with individual observations plus median ($*p < 0.05$, $**p < 0.01$). PMA, phorbol myristate acetate.

Extracellular ROS is an important mechanism for host defense against *K. pneumoniae* [34, 55]. To investigate whether Btk deficiency resulted in a defect in neutrophil ROS production after *K. pneumoniae* infection, we employed an assay with isoluminol to detect extracellular O₂⁻ [56]. In contrast to neutrophils from WT mice, neutrophils from *Mrp8cre.Btk^{fl}/Y* mice were severely hampered in extracellular ROS production following exposure to *K. pneumoniae* (Fig. 5b, c). Similar results were obtained with neutrophils from *Btk^{-/-}* mice (online suppl. Fig. S4b). Lack of ROS production of Btk-deficient neutrophils was not caused by a defective NADPH oxidase system as after challenge with phorbol myristate acetate, which activates protein kinase C directly and independent of receptor-mediated signaling pathways [34], releases ROS robustly in Btk-deficient neutrophils (Fig. 5b). All together, these results indicate that Btk is essential for *K. pneumoniae*-induced neutrophil ROS production.

Discussion

Since susceptibility of XLA patients and *Btk^{-/-}* mice to bacterial infections [4, 5] is markedly reversed by antibody supplementation treatment [4, 6], it is generally accepted that Btk in myeloid cells is redundant for innate immunity against bacteria. In the present study, we challenged this precept and revealed that Btk in neutrophils is crucial for innate host defense against the common human Gram-negative bacterial pathogen *K. pneumoniae*. Using *Btk^{-/-}* mice rescued for Btk expression in B cells, mice devoid of B cells, and mice treated for a short term with ibrutinib, we demonstrated that natural antibodies and B cell-mediated immunity contribute little to host immunity against *K. pneumoniae*. In contrast, neutrophil-specific Btk-deficient *Mrp8cre.Btk^{fl}/Y* mice displayed severely impaired antibacterial defense during *Klebsiella pneumoniae* as compared to littermate controls.

Btk was not required for neutrophil migration or activation in the lung in response to *Klebsiella*. Btk however was essential for extracellular ROS production by neutrophils induced by *Klebsiella*. Taken together, the results of the current study indicate that Btk in neutrophils is crucial to regulate innate host defense against *K. pneumoniae* in mice.

One of the most important functions of B-1 cells is the generation of natural antibodies [57]. Besides, B-1 cells are involved in the regulation of immune responses by cytokine secretion [57]. Previous studies have implicated B-1 cells and natural antibodies in host defense during Gram-negative infections. *Btk*^{-/-} mice, lacking B-1 cells, display increased mortality after challenge with a lethal dose of LPS [58, 59], a component of the outer membrane of Gram-negative bacteria, which has been attributed to a paucity in LPS clearing natural antibodies [58] and to reduced expression of the anti-inflammatory cytokine IL-10 [59]. Natural IgM and IgG antibodies against LPS and capsular polysaccharides of *K. pneumoniae* are present in human serum, and anti-capsular polysaccharide antibodies promote phagocytosis of *Klebsiella* [60]. Moreover, serum transfer from conventional mice to germ-free mice was able to render germ-free mice resistant to *K. pneumoniae* infection [61], suggesting that natural antibodies present in serum are protective against this pathogen. The results of the current study with *Cd19-BTK*⁺ and μ MT mice however indicate that natural antibodies against *K. pneumoniae* do not confer protection to this bacterium in mice. The discrepancy between the previous studies [60, 61] and our current findings may result from different serotypes used. In the present study, we infected mice with a highly virulent *Klebsiella* strain, which is resistant to opsonophagocytosis [62] and complement-mediated killing [29].

Several studies with chemokine-deficient mice, transgenic chemokine overexpressing mice, as well as with mice depleted of neutrophils [31, 63–65], have demonstrated that neutrophils are essential to restrain *K. pneumoniae* infection. Various degranulation products of neutrophils, including MPO and elastase, have been shown to contribute to host defense against *K. pneumoniae* [66, 67]. Our findings with *Mrp8cre.Btk*^{f/Y} mice demonstrate that Btk in neutrophils contributes to control of *K. pneumoniae* growth in the lungs and dissemination to other organs after infection via the airways. Although Btk deficiency in neutrophils has been described to result in inefficient development of granules and reduced expression of MPO and elastase [24], we have not been able to reproduce these findings [39]. Moreover, in contrast to a

prior investigation that examined the role of Btk in neutrophil migration during sterile inflammation [22], our study argues against a role for Btk in neutrophil migration, activation, and degranulation during *Klebsiella* pneumonia. Strikingly, we recently reported that *Nbeal2*-deficient mice, which have neutrophils devoid of primary, secondary, tertiary, and secretory granules, were not impaired in innate host defense against *Klebsiella* [68]. These findings suggest that antibacterial components of neutrophils other than degranulation products are required to protect against this bacterium. In addition, our study does not reveal a critical role for Btk in formation of NETs during *Klebsiella*-induced pneumonia, opposite to what was reported for influenza-induced lung inflammation [69]. Furthermore, the results of our study also argue against a pivotal role for Btk in the induction of lung inflammation. Strikingly, pulmonary levels of particular inflammatory markers (IL-1 β , CXCL1, and ELA2) were increased in neutrophil-specific Btk-deficient mice rather than reduced, consistent with higher bacterial numbers. Further studies are required to establish the cellular processes causing these specific differences in the inflammatory response.

Hypermucoviscous *K. pneumoniae* is considered an extracellular pathogen due to its thick polysaccharide capsule, which prevents uptake by neutrophils [29, 62]. Consistent with previous studies [34, 70], we here demonstrate the hypervirulent *K. pneumoniae* ATCC43816 strain is resistant to phagocytosis. Few studies however have demonstrated that macrophages are able to phagocytose hypervirulent *Klebsiella* in vivo [71, 72], but the uptake did not trigger intracellular killing and was suggested to benefit persistent infection. Since Btk is involved in regulation of phagocytosis by neutrophils [22], further in vivo experiments are required to establish whether Btk-deficient neutrophils are hampered in bacterial phagocytosis during *Klebsiella*-evoked pneumoepsis and whether this contributes to impaired host defense. In addition to resistance to phagocytosis, we found that incubation of *K. pneumoniae* for 2 h with isolated neutrophils from either WT or *Btk*^{-/-} mice resulted in bacterial outgrowth rather than killing (data not shown), suggesting that this strain is able to resist neutrophil bactericidal activity in vitro. Recently, it was reported that *Cybb*^{-/-} mice (with deficient ROS production) were susceptible to hypervirulent *K. pneumoniae* infection [34], indicating that ROS are important for host defense against this pathogen. In line with these findings, humans with chronic granulomatous disease due to mutations in NADPH oxidase components are frequently in-

ected by *Klebsiella* species [73]. In the current study, we found that Btk-deficient neutrophils produced less extracellular ROS after *K. pneumoniae* stimulation. Results from experiments with WT neutrophils treated with ibrutinib and *K. pneumoniae* [34] corroborate our findings. Taken together, these results indicate that Btk in neutrophils is essential for *Klebsiella*-induced extracellular ROS production and thereby crucial for host defense against this pathogen.

The ROS generating NADPH oxidase complex in neutrophils can be primed through the activation of several surface receptors, including G-protein-coupled receptors, integrin receptors, TNF receptors, FcγR, and TLRs [74, 75]. Btk-deficient neutrophils failed to produce superoxide in response to LPS and when plated on poly-RGD-coated surface, indicating that Btk is involved in TLR4 and integrin receptor-mediated neutrophil activation [10, 22]. In the current study, the signaling pathways and pattern recognition receptors served by Btk and that mediate antibacterial responses are unknown. Since the impaired host defense, accelerated mortality, and modestly altered pulmonary cytokine response of *Klebsiella* infected *Btk*^{-/-} mice closely mimic the outcome of these parameters in myeloid cell-specific MyD88-deficient mice [76] and chimeric mice lacking MyD88 in hematopoietic cells [77], it is tempting to speculate that hampered TLR receptor signaling is responsible for the hypersusceptibility of *Btk*^{-/-} mice for *Klebsiella*. Btk is involved in signaling of TLR2, TLR4, and TLR9 [16, 19, 78], and these TLRs have been shown to contribute to host defense against *K. pneumoniae* [33, 43, 79]. Further studies are required to identify the pattern recognition receptors which depend on Btk to generate ROS production and control *Klebsiella* infection.

Although our study unequivocally demonstrates that the innate host response against *Klebsiella* in *Mrp8cre. Btk*^{fl/Y} mice phenocopies that of complete *Btk*^{-/-} mice after intranasal infection, the antibacterial response in these mouse strains differed after intravenous inoculation. Although bacterial numbers in *Btk*^{-/-} mice were significantly increased in organs as compared to WT mice, *Mrp8cre. Btk*^{fl/Y} mice did not show enhanced susceptibility to *Klebsiella* after intravenous inoculation. These findings suggest that Btk in other myeloid cells, such as spleen and liver macrophages, may contribute to host defense against *Klebsiella*. Further studies with specific macrophage Cre recombinase expressing mice in conjunction with *Btk*^{fl} mice may reveal whether Btk in spleen and liver macrophages restrains systemic *K. pneumoniae* infection.

In summary, the results of this study indicate that natural antibodies and B cell-mediated immunity are dispensable for host defense against *K. pneumoniae* and reveal that Btk expression in neutrophils is crucial for *Klebsiella*-induced extracellular ROS production and innate immunity against this pathogen. We speculate that impaired Btk signaling in myeloid cells may explain why some patients treated with ibrutinib [80] and a proportion of XLA patients treated with antibodies [3] develop bacterial infections.

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Statement of Ethics

The animal experiments were reviewed and approved by the Central Authority for Scientific Procedures on Animals (CCD) and the Animal Ethical Committee (DEC) and the Animal Welfare Body (IvD) of the Academic Medical Center Amsterdam; approval numbers DIX21 and DIX17-4125-1. The animal care and use protocol adhered to the Dutch Experiments on Animals Act (WOD) and European Directive of September 22, 2010 (Directive 2010/63/EU), in addition to the Directive of May 6, 2009 (Directive 2009/41/EC).

Conflict of Interest Statement

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Porto, Regina De Beer, and Alex F. De Vos. Supervision: Cornelis Van't Veer, Tom Van der Poll, and Alex F. De Vos. Resources: Rudi W. Hendriks. Writing – original draft: Zhe Liu, Alexander P.N.A. De Porto, and Alex F. De Vos. Writing – review and editing: Zhe Liu, Alexander P.N.A. De Porto, Cornelis Van't Veer, Rudi W. Hendriks, Tom Van der Poll, and Alex F. De Vos.

Data Availability Statement

All data generated and analyzed during this study are included in this article and its supplementary material files. Further inquiries can be directed to the corresponding author.

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