Article

Microscopic theory of colour in lutetium hydride

Received: 26 July 2023

Accepted: 25 October 2023

Published online: 14 November 2023

Check for updates

Sun-Woo Kim $\mathbb{O}^1 \boxtimes$, Lewis J. Conway $\mathbb{O}^{1,2}$, Chris J. Pickard $\mathbb{O}^{1,2}$, G. Lucian Pascut \mathbb{O}^3 & Bartomeu Monserrat $\mathbb{O}^{1,4} \boxtimes$

Nitrogen-doped lutetium hydride has recently been proposed as a nearambient-conditions superconductor. Interestingly, the sample transforms from blue to pink to red as a function of pressure, but only the pink phase is claimed to be superconducting. Subsequent experimental studies have failed to reproduce the superconductivity, but have observed pressure-driven colour changes including blue, pink, red, violet, and orange. However, discrepancies exist among these experiments regarding the sequence and pressure at which these colour changes occur. Given the claimed relationship between colour and superconductivity, understanding colour changes in nitrogen-doped lutetium hydride may hold the key to clarifying the possible superconductivity in this compound. Here, we present a full microscopic theory of colour in lutetium hydride, revealing that hydrogen-deficient LuH₂ is the only phase which exhibits colour changes under pressure consistent with experimental reports, with a sequence blue-violet-pink-red-orange. The concentration of hydrogen vacancies controls the precise sequence and pressure of colour changes, rationalising seemingly contradictory experiments. Nitrogen doping also modifies the colour of LuH₂ but it plays a secondary role compared to hydrogen vacancies. Therefore, we propose hydrogen-deficient LuH₂ as the key phase for exploring the superconductivity claim in the lutetium-hydrogen system. Finally, we find no phonon-mediated superconductivity near room temperature in the pink phase.

The proposal by Ashcroft that hydrogen-rich compounds could host high-temperature phonon-mediated superconductivity under pressure^{1,2} has stimulated a profusion of theoretical proposals for high-pressure superconducting hydrides³⁻⁹ and the subsequent experimental discovery of some of these^{10–12}. This new class of hydride superconductors has re-ignited the search for superconductivity at ambient conditions, and Dasenbrock-Gammon and co-workers have recently reported superconductivity in nitrogen-doped lutetium hydride with a maximum critical temperature of 294 K at a moderate pressure of 10 kbar¹³. Interestingly, superconductivity is reported to

coincide with drastic colour changes in the reflectivity of the sample: increasing pressure transforms a non-superconducting blue metal to a superconducting pink metal at 3 kbar, and a further transformation to a non-superconducting red metal above 30 kbar.

This remarkable report has sparked a growing number of experimental¹⁴⁻²⁴ and theoretical²⁵⁻³⁰ investigations, most of which have so far failed at reproducing or explaining near-ambient superconductivity. On the experimental front, most measurements of resistivity and magnetic susceptibility find no superconductivity near ambient conditions, with the exception of a recent work in which

¹Department of Materials Science and Metallurgy, University of Cambridge, 27 Charles Babbage Road, Cambridge CB3 OFS, UK. ²Advanced Institute for Materials Research, Tohoku University, 2-1-1 Katahira, Aoba, Sendai 980-8577, Japan. ³MANSiD Research Center and Faculty of Forestry, Stefan Cel Mare University (USV), Suceava 720229, Romania. ⁴Cavendish Laboratory, University of Cambridge, J. J. Thomson Avenue, Cambridge CB3 OHE, UK. ©e-mail: swk38@cam.ac.uk; bm418@cam.ac.uk resistivity changes consistent with high-temperature superconductivity are reported²⁴. Puzzlingly, multiple studies report pressure-driven colour changes, but these include a wide range of seemingly incompatible colour sequences and pressure conditions: blue-to-pink at 3 kbar and pink-to-red at 30 kbar in the original report¹³; blue-to-pink at 22 kbar and pink-to-red at 40 kbar¹⁴; blue-to-violet upon contact with a diamond culet, violet-to-red at 30 kbar and red-toorange at 120 kbar¹⁵; blue-to-violet at 94 kbar¹⁵; blue-to-violet at 120 kbar, violet-to-pink-to-red gradually between 160 kbar and 350 kbar and red persisting up to 420 kbar^{16,17}; blue-to-violet-to-pinkto-red with transition pressures differing by up to 60 kbar depending on the pressure medium used¹⁸; and persistent blue colour up to 65 kbar¹⁹. Growing evidence suggests that the colour changes are significantly affected by the initial compression procedure¹⁵ and by the pressure medium used in the diamond anvil cell¹⁸.

On the theoretical front there have been multiple reports of structure searches in the Lu–H binary and the Lu–H–N ternary systems^{25–29}. Most studies only report metastable ternary structures, but Ferreira and co-workers report a ternary Lu₄N₂H₅ stable structure²⁹. The roles of pressure and nitrogen doping³⁰ and of quantum and thermal ionic vibrations³¹ in stabilising the cubic LuH₃ structure have also been studied. None of the predicted stable or metastable structures are found to be phonon-mediated superconductors near room temperature.

Given the claimed association between superconductivity and colour changes in the original superconductivity report, understanding colour changes in nitrogen-doped lutetium hydride holds the key to clarifying the possible superconductivity in this compound. However, experimental reports provide an inconsistent picture regarding pressure-driven colour changes, and there are no theoretical studies yet. In this work, we provide a full microscopic theory of colour in lutetium hydride.

Results

LuH₂ under ambient conditions

Lutetium hydride under ambient conditions crystallises in the LuH_2 stoichiometry with cubic space group $Fm\overline{3}m$. As shown in Fig. 1, LuH_2 adopts the fluorite structure with the lutetium atoms occupying the sites of an fcc lattice, and the hydrogen atoms occupying the tetrahedral interstitial sites.

 LuH_2 is a metal whose reflectivity endows it with a blue appearance. We demonstrate the validity of our computational approach by reporting the calculated colour of LuH_2 at ambient conditions in Fig. 1. We show results using three distinct computational models to explore the potential role of electron correlation due to the presence of lutetium 5*d* electrons and the potential role of strong electron–phonon coupling due to the presence of hydrogen.

The first model we consider uses semilocal density functional theory (DFT) in the generalised-gradient approximation, labelled DFT in Fig. 1. This model provides a basic description of the electronic structure without a detailed treatment of electron correlation and without the inclusion of electron–phonon effects. The calculated reflectivity is large in the infrared region above 800 nm, is strongly suppressed in the red part of the visible spectrum with a calculated minimum at 710 nm, and increases gradually towards the blue part of the visible spectrum. The overall shape of the reflectivity is consistent with that observed experimentally¹⁵ and directly leads to the blue colour of LuH₂.

Lutetium has an electronic configuration with a partially filled 5d shell, which suggests that electronic correlation beyond that captured by standard DFT may contribute to the electronic properties of LuH₂. To explore the possible role of electron correlation, we repeat our calculations using DFT corrected with a Hubbard *U* term, labelled as DFT + *U* in Fig. 1, which captures static correlation. The reflectivity curve has a similar shape to that obtained at the DFT level, but the



Fig. 1 | **Structure, reflectivity, and colour of LuH₂. a** Crystal structure of $Fm\overline{3}m$ LuH₂. **b** Reflectivity of LuH₂ calculated using semilocal density functional theory (DFT), DFT corrected with a Hubbard *U* term (DFT + *U*), and DFT including electron–phonon coupling (DFT + EPC). The experimental reflectivity is taken from

ref. 15. **c** Colour and photorealistic rendering of LuH₂ calculated using DFT, DFT + U, and DFT + EPC. The photorealistic rendering is shown as LuH₂ surrounding a grey ball with an opening in the centre.

minimum reflectivity has a lower value and occurs at a slightly shorter wavelength of 690 nm. Combined with a slightly larger reflectivity in the blue part of the visible spectrum, we obtain a slightly brighter blue colour for LuH₂ using the DFT + U model. These results indicate that static electron correlation arising from lutetium only plays a minor role in LuH₂. We have also performed dynamical mean field theory calculations that capture dynamical correlation and also find that they can be neglected. We rationalise these results by noting that 5d orbitals have a large electron bandwidth spanning multiple eV (see Supplementary Fig. S1) which implies that the spatial extent of the orbitals is large and the corresponding local correlations weak.

Hydrogen is the lightest of all elements, and as such it exhibits significant nuclear motion, even at zero temperature, due to quantum zero-point effects. This significant nuclear motion can lead to strong electron–phonon coupling, and this is indeed the prime motivation behind the proposal that hydrogen-rich compounds could be high-temperature phonon-mediated superconductors. To explore the possible role of electron–phonon interactions in LuH₂, we repeat our calculations including contributions from both zero-point quantum nuclear motion at 0 K and thermal nuclear motion at finite temperature, labelled DFT + EPC in Fig. 1. The reflectivity curve has a similar shape to those obtained with DFT and DFT + U, but exhibits a lower value in the infrared region and a larger value in the red region. We again obtain a blue colour, indicating that electron–phonon coupling does not significantly modify the reflectivity of LuH₂.

Overall, we find that electronic correlation and electron–phonon interactions make a small contribution, and that the main features of the reflectivity curve and the resulting blue colour of LuH_2 are correctly captured by semilocal DFT. Therefore, our subsequent discussion neglects electron correlation and electron–phonon interactions, but further details about these contributions are included in the Supplementary Information.

Lutetium hydride colour changes under pressure

To build a microscopic theory of colour in lutetium hydride, we first explore the pressure-driven colour changes in the lutetium-hydrogen system. We have performed extensive structure searches for stoichiometries ranging from LuH₀ to LuH₃ at multiple pressures. The results are summarised in the convex hull diagrams depicted in Fig. 2a. At 0 kbar, the only thermodynamically stable structures are LuH₂ ($Fm\overline{3}m$ space group) and LuH₃ ($P\overline{3}c1$ space group; not cubic). There are multiple metastable structures in the entire composition space from LuH₀ to LuH₃ that are within 60 meV/atom of the convex hull. Increasing pressure leads to multiple additional stable structures with stoichiometries intermediate between LuH₂ and LuH₃. We highlight that substoichiometric $LuH_{2-\delta}$ structures are close to the convex hull at both 0 and 400 kbar (within 11 and 42 meV/atom, respectively, up to δ = 0.25). We have also checked their dynamical stability (see Supplementary Fig. S14), so we expect that they can be accessible experimentally.

We show the calculated colour as a function of pressure for the most stable structure at each composition between LuH₀ and LuH₃ in Fig. 2b. Consistently with experimental observations, we find that pure lutetium has a silvery white colour²⁰ (see also Supplementary Fig. S17) and, as described above in Fig. 1, LuH₂ has a blue colour. Across the entire composition space, the only compositions that exhibit a blue colour at ambient pressure occur for stoichiometries close to LuH₂. Similarly, the only stoichiometries that exhibit a violet colour at high pressure are those close to LuH₂. Specifically, we find this trend is present for substoichiometric LuH_{2- δ}, but not present for suprastoichimoetric LuH_{2+ δ}. We also note that LuH₃ has a grey-green colour at ambient pressure that becomes grey with increasing pressure.

Figure 2c shows reflectivity curves for selected stoichiometries in the range LuH_0 to LuH_3 at multiple pressures. We note that only the reflectivity of LuH_2 has a minimum in the red part of the visible spectrum leading to an overall blue colour, as already discussed in Fig. 1 above. The reflectivities of all other compositions show relatively flat curves across the visible spectrum, which lead to colours with various tones of grey.

Overall, the results depicted in Fig. 2 show that the only compounds in the lutetium–hydrogen binary space that are blue at ambient conditions and violet at high pressure have stoichiometries close to LuH₂ with a moderate amount of hydrogen vacancies. This conclusion still holds when structures in the ternary lutetium–hydrogennitrogen system are considered. To demonstrate this, we perform extensive crystal structure searches in the full Lu–H-N ternary space (see Supplementary Fig. S12), and note that our structure searches are the only ones of all those published that identify a stable ternary compound at ambient pressure²⁹. We have calculated the colour of this stable compound and also the colour of multiple other ternary compounds that are not on the convex hull but whose simulated X-ray diffraction data is consistent with experimental reports. We find that none of these structures exhibit colours that are consistent with experiment (see Supplementary Fig. S13).

These observations allow us to conclude that the colour changes in the lutetium–hydrogen–nitrogen system are dominated by the LuH₂ stoichiometry. In particular, we discard the LuH₃ composition proposed by Dasenbrock-Gammon and co-workers to explain hightemperature superconductivity¹³ as this structure has a grey-green colour at all pressures. LuH₂ has also been identified as the relevant stoichiometry by comparing the calculated equation of state²⁸ and X-ray diffraction patterns^{25,26,28,29} to experiment, and we also note a recent experimental work that uses LuH₂-based samples and that has successfully reproduced the Raman spectrum and colour sequence with pressure reported in the original work¹⁶.

Hydrogen-deficient $LuH_{2-\delta}$

The calculated colour changes from blue-to-violet in LuH₂ are also observed in multiple experiments¹⁵⁻¹⁸, but they occur at different pressures in different experiments, ranging from 0 to at least 190 kbar, but possibly higher as some experiments only observe a blue phase. Furthermore, some experimental observations reveal additional colour changes with increasing pressure, which include pink^{13,14,18}, red^{13–15,18} and orange¹⁵. The pink colour is particularly important as it is associated with the superconducting phase in the original report¹³. Based on these observations, we next explore the pressure-driven colour changes of substoichiometric LuH₂ in more detail.

We show the pressure evolution of the reflectivity and colour of LuH_2 and hydrogen-deficient $LuH_{1.875}$ and $LuH_{1.750}$ in Fig. 3. At ambient conditions LuH_2 has the reflectivity described in Fig. 1 and repeated in Fig. 3 with a minimum in the red part of the spectrum that leads to an overall blue colour. With increasing pressure, the reflectivity from the red part of the spectrum that leads to an other shorter wavelengths, and the reflectivity from the red part of the spectrum increases, in agreement with experiment¹⁵. This leads to a gradual colour change from blue-to-violet with increasing pressure. LuH_2 undergoes a structural phase transition at 732 kbar to a phase of space group *P4/nnm*, which has a grey colour with an orange-red hue (reflectivity and colour shown in Supplementary Fig. S7).

At ambient conditions, $LuH_{1.875}$ exhibits a reflectivity with a shape similar to that of LuH_2 but with the minimum occurring at somewhat shorter wavelenghts of 600 nm. The resulting colour is still blue. Similar to LuH_2 , increasing pressure leads to an overall shift of the reflectivity minimum to shorter wavelengths and to an increase in the reflectivity in the red part of the spectrum. As a result we observe a blue-to-violet colour change at a pressure of about 100 kbar, significantly lower than the corresponding colour change in pure LuH_2 and in the experimentally observed pressure range. Increasing pressure further leads to a gradual transition to pink (peaking at about 300 kbar), followed by red (peaking at about 500 kbar) and tending towards orange approaching 1000 kbar. Therefore, hydrogen-



Fig. 2 | **Pressure dependence of the convex hull diagram, colour, and reflectivity of the lutetium-hydrogen binary system. a** Convex hull diagrams as a function of pressure for compositions in the range LuH₀ to LuH₃. Dark blue circles indicate thermodynamically stable structures and red circles indicate metastable

structures. The dashed vertical lines for selected stoichiometries are shown for guidance only. **b** Colour of LuH_n compounds as a function of pressure. **c** Reflectivity of LuH_n compounds as a function of pressure.

deficient LuH₂ exhibits a sequence of colour changes that includes all colours reported experimentally.

The sequence and pressure of colour changes in hydrogendeficient LuH₂ is strongly dependent on the concentration of hydrogen vacancies. Figure 3 also depicts the pressure evolution of the reflectivity and colour changes of LuH_{1.750} with a higher concentration of hydrogen vacancies. In this case, the reflectivity minimum occurs at a wavelength of 550 nm at ambient conditions, giving a pink colour. Increasing pressure suppresses the reflectivity in the blue region, turning the colour from pink towards orange at lower pressures than those necessary for LuH_{L875} .

These results suggest that the seemingly contradictory experimental observations of colour changes in lutetium hydride are likely due to varying hydrogen vacancy concentrations in LuH_2 . In particular, Dasenbrock-Gammon and co-workers observe a pink phase starting with a pressure of 3 kbar^{13} , significantly lower than the pressure



Fig. 3 | Pressure dependence of the reflectivity and colour of pure and hydrogen-deficient lutetium dihydrides. a–c Reflectivity as a function of pressure (in kbar) for a LuH₂, b LuH_{1.875} and c LuH_{1.750}. d–f Colour and photorealistic rendering of d LuH₂, e LuH_{1.875} and f LuH_{1.750} as a function of pressure.

reported in multiple subsequent experiments. Our results suggest that this is due to a higher concentration of hydrogen vacancies in the original work compared to subsequent studies.

The concentration of nitrogen dopants also induces colour changes in LuH_2 (see Supplementary Fig. S10), but the colour changes driven

by nitrogen doping only play a secondary role compared to hydrogen vacancies. We have also tested the role that hydrogen vacancies and nitrogen doping have on the reflectivity and colour of cubic $Fm\overline{3}m$ LuH₃ (see Supplementary Fig. S11), as this phase has been tentatively identified as the parent phase responsible for the superconductivity claim¹³.

Table 1 | Calculated superconducting properties of hydrogendeficient LuH_2 at various pressures

					_
Structure	Pressure	λ	ω_{log}		T _c
LuH _{1.875}	20 kbar	0.333	257.516	0.107	0.190
	100 kbar	0.326	257.997	0.085	0.161
	400 kbar	0.360	243.566	0.220	0.328
LuH _{1.750}	20 kbar	0.277	231.482	0.008	0.035
	100 kbar	0.276	224.351	0.007	0.032
	400 kbar	0.299	211.951	0.023	0.062

 λ is the total electron–phonon coupling parameter computed from the Eliashberg function, $\omega_{\rm log}$ is the logarithmic averaged frequency, $T_{\rm c}^{\rm AD}$ is the superconducting critical temperature estimated from the semiempirical Allen–Dynes formula⁵⁴, and $T_{\rm c}^{\rm E}$ is the superconducting critical temperature estimated from the isotropic Eliashberg equation. A standard value of μ^* = 0.125 is used for the Morel–Anderson Coulomb pseudopotential.

Our results show that the LuH_3 phase retains a grey colour under nitrogen doping, further discarding it as a relevant phase.

Absence of phonon-mediated superconductivity in the lutetium-hydrogen-nitrogen system

Most experimental reports since the original announcement of nearambient conditions superconductivity in the lutetium-hydrogen-nitrogen system have been unable to confirm this claim. Similarly, no calculation of stable and metastable phases in the lutetium-hydrogen-nitrogen system has predicted a high superconducting critical temperature within a phonon-mediated framework.

Our results suggest that hydrogen-deficient LuH_2 is responsible for the colour changes observed experimentally. Given the claim by Dasenbrock-Gammon and co-workers that it is the pink phase that is a room-temperature superconductor, we calculate the superconducting critical temperature of $LuH_{1.875}$ under pressure, which exhibits the pink phase. We find no room-temperature superconductivity, with a calculated critical temperature of the order of 0.1 K (Table 1; see also details in Supplementary Note 7).

Discussion

Dasenbrock-Gammon and co-workers report superconductivity in nitrogen-doped lutetium hydride over the pressure range $3-30 \text{ kbar}^{13}$. Importantly, the claimed pressure-driven transition to and from the superconducting phase occurs simultaneously with drastic colour changes in the sample, which is pink in the claimed superconducting phase, compared to blue below 3 kbar and red above 30 kbar. In addition, they attribute the claimed superconductivity to a cubic LuH₃ phase with some unknown concentration of nitrogen dopants and some unknown concentration of hydrogen vacancies.

Our calculations show that the cubic LuH₃ phase is not consistent with the colour changes observed experimentally. In addition, our results suggest that the only phase that is consistent with the observed colour changes is hydrogen-deficient cubic LuH₂, and that the concentration of hydrogen vacancies and nitrogen dopants controls the colour at each pressure. Finally, we also show that hydrogen-deficient LuH₂ is unlikely to be a high-temperature phonon-mediated superconductor.

Our work presents a compelling demonstration of how the first principles prediction of the colour of a material can be exploited to effectively identify the microscopic characteristics of the corresponding experimental samples. Given that colour is readily accessible experimentally, while other structural characterisation techniques can be challenging to implement (particularly under pressure), our work provides a promising new avenue for identifying composition and structure of complex samples. It would be interesting to further explore the applicability of this method to study the colour of other compounds, including strongly correlated materials^{32,33} and magnetic materials^{34,35}.

Methods

Electronic structure calculations

We perform density functional theory (DFT) calculations using the Vienna *abinitio* simulation package (vASP)^{36,37} implementing the projector-augmented wave (PAW) method³⁸. We treat the 4f states of lutetium as valence by employing PAW pseudopotentials with 25 valence electrons $(4f^{44}5s^25p^65d^16s^2)$. For the exchange-correlation energy, we use the generalised-gradient approximation functional of Perdew-Burke-Ernzerhof modified for solids (PBEsol)³⁹. Converged results are obtained with a kinetic energy cutoff for the plane-wave basis of 400 eV and a **k**-point grid of size $40 \times 40 \times 40$ for the LuH₂ primitive cell and commensurate grids for other cell sizes and shapes (see convergence tests in Supplementary Fig. S20). The geometry of the structures is optimised until all forces are below 0.01 eV/Å and the pressure is below 1 kbar. We also perform select calculations using DFT corrected with a Hubbard U term, and for these we use a value of U=3 eV. We also perform select calculations using dynamical mean field theory with the eDMFT code^{40,41}, which implements density functional theory with embedded dynamical mean field theory (DFT+ eDFMT). For the DFT part we have used the wien2k code⁴².

Reflectivity and colour

Our reflectivity calculations follow the methodology described in ref. 43. We calculate the complex dielectric function within the independentparticle approximation as implemented in vASP. In the optical limit ($\mathbf{q} \rightarrow 0$), the dielectric function $\varepsilon(\mathbf{q}, \omega)$ is given by the sum of an intraband Drudelike term $\varepsilon^{intra}(\mathbf{q}, \omega)$ due to the electrons at the Fermi surface and an interband term $\varepsilon^{inter}(\mathbf{q}, \omega)$ describing vertical transitions between valence and conduction bands. The explicit form of each term is given by^{44,45}:

$$\varepsilon^{\text{intra}}(\mathbf{q},\omega) = -\frac{\omega_D^2(\hat{\mathbf{q}})}{\omega(\omega + i\gamma)},\tag{1}$$

where the independent-particle approximation Drude plasma frequency is

$$\omega_D^2(\hat{\mathbf{q}}) = \frac{4\pi}{V} \sum_{\mathbf{k}} \sum_{n} \left| \left\langle \psi_{n\mathbf{k}} \right| \hat{\mathbf{q}} \cdot \mathbf{v} | \psi_{n\mathbf{k}} \right\rangle \right|^2 \left(-\frac{\partial f_{n\mathbf{k}}}{\partial E_{n\mathbf{k}}} \right), \tag{2}$$

and

$$\varepsilon^{\text{inter}}(\mathbf{q},\omega) = 1 - \frac{4\pi}{V} \sum_{\mathbf{k}} \sum_{n;n\neq n'} \sum_{n'} \frac{\left| \langle \psi_{n\mathbf{k}} | \hat{\mathbf{q}} \cdot \mathbf{v} | \psi_{n'\mathbf{k}} \rangle \right|^2}{\left(E_{n\mathbf{k}} - E_{n'\mathbf{k}} \right)^2} \frac{f_{n\mathbf{k}} - f_{n'\mathbf{k}}}{\omega + E_{n\mathbf{k}} - E_{n'\mathbf{k}} + i\eta}.$$
(3)

In these equations, *V* is the volume of the system, $|\psi_{n\mathbf{k}}\rangle$ is an electronic state with associated energy $E_{n\mathbf{k}}$ and labelled with quantum numbers (n, \mathbf{k}) , **v** is the velocity operator, and $f_{n\mathbf{k}}$ is the Fermi–Dirac distribution. We use the empirical broadening parameters $\gamma = \eta = 0.1 \text{ eV}$. To obtain the reflectivity, we average the dielectric function and the Drude plasma frequency as

$$\varepsilon(\omega) = \frac{\varepsilon(\hat{\mathbf{x}}, \omega) + \varepsilon(\hat{\mathbf{y}}, \omega) + \varepsilon(\hat{\mathbf{z}}, \omega)}{3} \text{ and } \omega_D^2 = \frac{\omega_D^2(\hat{\mathbf{x}}) + \omega_D^2(\hat{\mathbf{y}}) + \omega_D^2(\hat{\mathbf{z}})}{3}.$$
 (4)

Using the relation $\varepsilon(\omega) = [n(\omega)+ik(\omega)]^2$ with the refractive index $n(\omega)$ and the optical extinction coefficient $k(\omega)$, we compute the reflectivity at normal incidence by assuming a vacuum-material interface as

$$R(\omega) = \frac{[n(\omega) - 1]^2 + k(\omega)^2}{[n(\omega) + 1]^2 + k(\omega)^2}.$$
(5)

We follow the method described in ref. 43 to obtain the colour from the reflectivity and we assign names to the calculated colours based on the online tool ARTYCLICK (https://colors.artyclick.com/color-hue-finder). We note that the intensity of the colour can change slightly using different exchange-correlation functionals (see Supplementary Fig. S19).

We use the MITSUBA 3 renderer for the photorealistic rendering⁴⁶. The photorealistic images presented in the main text are rendered by assuming an ideal bulk system with a clean surface. We have also considered the effects of surface roughness on the colour and find no significant changes (see Supplementary Fig. S18). We note that colour perception is subjective and that colour appearance depends on many effects including surface roughness and thickness of the sample. For this reason, we include all calculated dielectric functions as Supplementary Data, which allows readers to independently explore the resulting colours using their own setup.

Electron-phonon coupling calculations

We use the finite displacement method in conjunction with nondiagonal supercells⁴⁷ to calculate the phonon frequencies $\omega_{\mathbf{q}\nu}$ and eigenvectors $\mathbf{e}_{\mathbf{q}\nu}$ of a phonon mode labelled by wave vector \mathbf{q} and branch ν . The electronic structure parameters are the same as those reported above, and we use a 4 × 4 × 4 coarse \mathbf{q} -point grid to construct the matrix of force constants. Representative phonon dispersions are reported in Supplementary Note 6. We evaluate the imaginary part of the dielectric function at temperature T renormalized by electron–phonon coupling using the Williams–Lax theory^{48,49}:

$$\varepsilon_{2}(\omega;T) = \frac{1}{\mathcal{Z}} \sum_{\mathbf{s}} \langle \Phi_{\mathbf{s}}(\mathbf{u}) | \varepsilon_{2}(\omega;\mathbf{u}) | \Phi_{\mathbf{s}}(\mathbf{u}) \rangle e^{-E_{\mathbf{s}}/k_{\mathrm{B}}T}, \tag{6}$$

where \mathcal{Z} is the partition function, $|\Phi_s(\mathbf{u})\rangle$ is a harmonic eigenstate **s** of energy $E_{\mathbf{s}}$, $\mathbf{u} = \{u_{\mathbf{q}\mathbf{v}}\}$ is a vector containing all atomic positions expressed in terms of normal mode amplitudes $u_{\mathbf{q}\mathbf{v}}$, and k_{B} is Boltzmann's constant. We evaluate Eq. (6) by Monte Carlo integration accelerated with thermal lines⁵⁰: we generate atomic configurations in which the atoms are distributed according to the harmonic nuclear wave function in which every normal mode has an amplitude of $(\frac{1}{2\omega_{\mathbf{q}\mathbf{v}}}[1+2n_{\mathrm{B}}(\omega_{\mathbf{q}\mathbf{v}},T)])^{1/2}$, where $n_{\mathrm{B}}(\omega,T)$ is the Bose–Einstein factor. We note that the two terms in the Bose–Einstein factor imply that the electron–phonon renormalised dielectric function includes the effects of both quantum zero-point nuclear vibrations at T=0 K and thermal nuclear vibrations at finite temperature. We build the electron–phonon renormalised reflectivity using the electron–phonon renormalised dielectric function.

For the superconductivity calculations, we evaluate the electronic and vibrational properties using QUANTUM ESPRESSO⁵¹ together with GBRV ultrasoft pseudopotentials⁵² and the PBE exchange-correlation functional⁵³. We use a plane-wave cutoff of 50 Ry, a $4 \times 4 \times 4$ **k**-point grid, and a Gaussian smearing of 0.02 Ry for geometry optimisations and electronic structure calculations. We use phonon **q**-grids of size $4 \times 4 \times 4$ and dense electron **k**-grids of size $8 \times 8 \times 8$ for the superconductivity calculations. In the calculation of the Eliashberg spectral function,

$$\alpha^{2}F(\omega) = \frac{1}{2}\sum_{\nu} \int_{BZ} \frac{d\mathbf{q}}{\Omega_{BZ}} \lambda_{\mathbf{q}\nu} \delta(\omega - \omega_{\mathbf{q}\nu}), \qquad (7)$$

the integral is calculated by a sum over the **q**-grids and the Dirac-delta functions are replaced by Gaussians with a width of 0.1 THz, where $\lambda_{\mathbf{q}\nu}$ is the electron-phonon coupling parameter for vibrational mode ν at **q**. These parameters provide converged values of λ and ω_{\log} derived from moments of $\alpha^2 F(\omega)$. We estimate the superconducting critical temperature T_c^{AD} using the McMillan-Allen–Dynes formula⁵⁴,

$$k_{\rm B}T_{\rm c}^{\rm AD} = \frac{\omega_{\rm log}}{1.2} \exp\left[-\frac{1.04\,(1+\lambda)}{\lambda(1-0.62\,\mu^*) - \mu^*}\right],\tag{8}$$

where $\mu^* = 0.125$. We also calculate T_c^E from a numeric solution to the isotropic Eliashberg equations. The values of T_c do not change significantly for a range of μ^* values from 0.06 to 0.18.

Structure searches

In the study of the lutetium–hydrogen binary system, we perform structure searches using the same Ephemeral Data-Derived Potential (EDDP)⁵⁵ as used by some of us in Ferreira and co-workers²⁹. To generate the initial binary structures, we remove hydrogen atoms in cubic LuH₃. Starting with a $2 \times 2 \times 2$ supercell of the pristine cubic LuH₃ structure, we enumerate all possible symmetrically inequivalent defect structures with hydrogen vacancies using the DISORDER code^{56,57}. This results in 55,066 unique structures for compositions in the range LuH₀ to LuH₃, reducing the original count from 17,777,214 through symmetry considerations. These structures are then optimised using the potential. The low energy structures resulting from the machine-learned potential relaxation are carried forward for subsequent DFT calculations.

We further use the ab initio random structure searching (AIRSS) method^{58,59} to explore lutetium–hydrogen-nitrogen ternary compounds with cubic symmetry matching that reported in experimental X-ray diffraction data. We generate 1000 cubic structures by randomly replacing all Lu and H sites with H, N, or a vacancy, which supplements the 200,000 randomly generated Lu–H-N structures sampled in previous work²⁹. We then relax these additional structures using DFT.

Data availability

The data that support the findings of this study are available within the paper and Supplementary Information. In particular, the dataset for dielectric functions and structure files can be found at https://github.com/monserratlab/Lu_hydrides_colour.

Code availability

The vASP code used in this study is a commercial electronic structure modelling software, available from https://www.vasp.at. The QUAN-TUM ESPRESSO code used in this research is open source: https://www.quantum-espresso.org/.

References

- 1. Ashcroft, N. W. Metallic hydrogen: a high-temperature superconductor? *Phys. Rev. Lett.* **21**, 1748–1749 (1968).
- Ashcroft, N. W. Hydrogen dominant metallic alloys: high temperature superconductors? *Phys. Rev. Lett.* 92, 187002 (2004).
- 3. Duan, D. et al. Pressure-induced metallization of dense $(H_2S)_2H_2$ with high- T_c superconductivity. Sci. Rep. **4**, 6968 (2014).
- Liu, H., Naumov, I. I., Hoffmann, R., Ashcroft, N. W. & Hemley, R. J. Potential high-T_c superconducting lanthanum and yttrium hydrides at high pressure. *Proc. Natl. Acad. Sci. USA* **114**, 6990–6995 (2017).
- Peng, F. et al. Hydrogen clathrate structures in rare earth hydrides at high pressures: possible route to room-temperature superconductivity. *Phys. Rev. Lett.* **119**, 107001 (2017).
- 6. Liu, H. et al. Dynamics and superconductivity in compressed lanthanum superhydride. *Phys. Rev. B* **98**, 100102 (2018).
- Pickard, C. J., Errea, I. & Eremets, M. I. Superconducting hydrides under pressure. *Annu. Rev. Condensed Matter Phys.* 11, 57 (2020).
- Shipley, A. M., Hutcheon, M. J., Needs, R. J. & Pickard, C. J. Highthroughput discovery of high-temperature conventional superconductors. *Phys. Rev. B* **104**, 054501 (2021).
- 9. Pickett, W. E. Colloquium: room temperature superconductivity: the roles of theory and materials design. *Rev. Mod. Phys.* **95**, 021001 (2023).

- Drozdov, A. P., Eremets, M. I., Troyan, I. A., Ksenofontov, V. & Shylin, S. I. Conventional superconductivity at 203 kelvin at high pressures in the sulfur hydride system. *Nature* 525, 73 (2015).
- Somayazulu, M. et al. Evidence for superconductivity above 260 K in lanthanum superhydride at megabar pressures. *Phys. Rev. Lett.* 122, 027001 (2019).
- 12. Drozdov, A. P. et al. Superconductivity at 250 K in lanthanum hydride under high pressures. *Nature* **569**, 528 (2019).
- Dasenbrock-Gammon, N. et al. Evidence of near-ambient superconductivity in a N-doped lutetium hydride. *Nature* 615, 244 (2023).
- 14. Shan, P. et al. Pressure-induced color change in the lutetium dihydride LuH₂. *Chin. Phys. Lett.* **40**, 046101 (2023).
- Zhao, X. et al. Pressure tuning of optical reflectivity in LuH₂. Sci. Bull. 68, 883–886 (2023).
- Ming, X. et al. Absence of near-ambient superconductivity in LuH_{2±x}N_y. Nature 620, 72–77 (2023).
- Zhang, Y.-J. et al. Pressure induced color change and evolution of metallic behavior in nitrogen-doped lutetium hydride. Sci. China Phys. Mechan. Astron. 66, 287411 (2023).
- 18. Xing, X. et al. Observation of non-superconducting phase changes in nitrogen doped lutetium hydrides. *Nat. Commun.* **14**, 5991 (2023).
- Cai, S. et al. No evidence of superconductivity in the compressed sample prepared from the lutetium foil and H₂/N₂ gas mixture. *Matter Radiat. Extremes* 8, 048001 (2023).
- Zhang, S. et al. Electronic and magnetic properties of Lu and LuH₂. AIP Adv. 13, 065117 (2023).
- Wang, N. et al. Percolation-induced resistivity drop in lutetium dihydride with controllable electrical conductivity over six orders of magnitude. Sci. China Phys. Mechan. Astron. 66, 297412 (2023).
- Moulding, O. et al. Trigonal to cubic structural transition in possibly N-doped LuH₃ measured by Raman and X-ray diffraction. Preprint at https://arxiv.org/abs/2304.04310 (2023).
- Li, Z. et al. Superconductivity above 70 K observed in lutetium polyhydrides. Sci. China Phys. Mechan. Astron. 66, 267411 (2023).
- Salke, N. P., Mark, A. C., Ahart, M. & Hemley, R. J. Evidence for near ambient superconductivity in the Lu-N-H system. Preprint at https:// arxiv.org/abs/2306.06301 (2023).
- Xie, F. et al. Lu-H-N phase diagram from first-principles calculations. Chin. Phys. Lett. 40, 057401 (2023).
- Liu, M. et al. Parent structures of near-ambient nitrogen-doped lutetium hydride superconductor. *Phys. Rev. B* 108, L020102 (2023).
- Huo, Z. et al. First-principles study on the conventional superconductivity of N-doped fcc-LuH₃. *Matter Radiat. Extremes* 8, 038402 (2023).
- Hilleke, K. P. et al. Structure, stability, and superconductivity of N-doped lutetium hydrides at kbar pressures. *Phys. Rev. B* 108, 014511 (2023).
- Ferreira, P. P. et al. Search for ambient superconductivity in the Lu-N-H system. *Nat. Commun.* 14, 5367 (2023).
- Sun, Y., Zhang, F., Wu, S., Antropov, V. & Ho, K.-M. Effect of nitrogen doping and pressure on the stability of LuH₃. *Phys. Rev. B* 108, L020101 (2023).
- Lucrezi, R., Ferreira, P. P., Aichhorn, M. & Heil, C. Temperature and quantum anharmonic lattice effects in lutetium trihydride: stability and superconductivity. Preprint at https://arxiv.org/abs/2304. 06685 (2023).
- Tian, Y. C. et al. Ultrafast dynamics evidence of high temperature superconductivity in single unit cell FeSe on SrTiO₃. *Phys. Rev. Lett.* 116, 107001 (2016).
- Wu, Q. et al. Ultrafast quasiparticle dynamics and electron-phonon coupling in (Li_{0.84}Fe_{0.16})OHFe_{0.98}Se. *Chin. Phys. Lett.* **37**, 097802 (2020).

- Zhao, J., Bragas, A. V., Lockwood, D. J. & Merlin, R. Magnon squeezing in an antiferromagnet: reducing the spin noise below the standard quantum limit. *Phys. Rev. Lett.* **93**, 107203 (2004).
- Zhao, J., Bragas, A. V., Merlin, R. & Lockwood, D. J. Magnon squeezing in antiferromagnetic MnF₂ and FeF₂. *Phys. Rev. B* 73, 184434 (2006).
- Kresse, G. & Furthmüller, J. Efficiency of ab-initio total energy calculations for metals and semiconductors using a plane-wave basis set. Comput. Mater. Sci. 6, 15–50 (1996).
- Kresse, G. & Furthmüller, J. Efficient iterative schemes for ab initio total-energy calculations using a plane-wave basis set. *Phys. Rev. B* 54, 11169–11186 (1996).
- Blöchl, P. E. Projector augmented-wave method. Phys. Rev. B 50, 17953–17979 (1994).
- Perdew, J. P. et al. Restoring the density-gradient expansion for exchange in solids and surfaces. *Phys. Rev. Lett.* **100**, 136406 (2008).
- Haule, K., Yee, C.-H. & Kim, K. Dynamical mean-field theory within the full-potential methods: electronic structure of CelrIn₅, CeCoIn₅, and CeRhIn₅. *Phys. Rev. B* **81**, 195107 (2010).
- 41. Haule, K. Structural predictions for correlated electron materials using the functional dynamical mean field theory approach. *J. Phys.* Soc. Japan **87**, 041005 (2018).
- 42. Blaha, P. et al. WIEN2k: an APW+lo program for calculating the properties of solids. *J. Chem. Phys.* **152**, 074101 (2020).
- 43. Prandini, G., Rignanese, G.-M. & Marzari, N. Photorealistic modelling of metals from first principles. *NPJ Comput. Mater.* **5**, 129 (2019).
- Marini, A., Onida, G. & Del Sole, R. Plane-wave DFT-LDA calculation of the electronic structure and absorption spectrum of copper. *Phys. Rev. B* 64, 195125 (2001).
- 45. Harl, J. The Linear Response Function in Density Functional Theory: Optical Spectra and Improved Description of the Electron Correlation. Ph.D. thesis, Wien, Universität Wien, Dissertation (2008).
- 46. Jakob, W. et al. Mitsuba 3 renderer. https://mitsuba-renderer. org (2022).
- 47. Lloyd-Williams, J. H. & Monserrat, B. Lattice dynamics and electronphonon coupling calculations using nondiagonal supercells. *Phys. Rev. B* **92**, 184301 (2015).
- Williams, F. Theoretical low temperature spectra of the thallium activated potassium chloride phosphor. *Phys. Rev.* 82, 281–282 (1951).
- Lax, M. The Franck-Condon principle and its application to crystals. J. Chem. Phys. 20, 1752–1760 (1952).
- 50. Monserrat, B. Vibrational averages along thermal lines. *Phys. Rev. B* **93**, 014302 (2016).
- Giannozzi, P. et al. Advanced capabilities for materials modelling with Quantum ESPRESSO. J. Phys. Condens. Matter 29, 465901 (2017).
- Garrity, K. F., Bennett, J. W., Rabe, K. M. & Vanderbilt, D. Pseudopotentials for high-throughput DFT calculations. *Comput. Mater. Sci.* 81, 446–452 (2014).
- Perdew, J. P., Burke, K. & Ernzerhof, M. Generalized gradient approximation made simple. *Phys. Rev. Lett.* 77, 3865–3868 (1996).
- Allen, P. B. & Dynes, R. C. Transition temperature of strong-coupled superconductors reanalyzed. *Phys. Rev. B* 12, 905–922 (1975).
- 55. Pickard, C. J. Ephemeral data derived potentials for random structure search. *Phys. Rev. B* **106**, 014102 (2022).
- Lian, J.-C., Wu, H.-Y., Huang, W.-Q., Hu, W. & Huang, G.-F. Algorithm for generating irreducible site-occupancy configurations. *Phys. Rev. B* **102**, 134209 (2020).
- 57. Lian, J.-C. et al. Highly efficient tree search algorithm for irreducible site-occupancy configurations. *Phys. Rev. B* **105**, 014201 (2022).
- Pickard, C. J. & Needs, R. J. High-pressure phases of silane. *Phys. Rev. Lett.* **97**, 045504 (2006).

 Pickard, C. J. & Needs, R. J. Ab initio random structure searching. J. Phys. Condens. Matter 23, 053201 (2011).

Acknowledgements

S.-W.K. and B.M. are supported by a UKRI Future Leaders Fellowship [MR/V023926/1]. B.M. also acknowledges support from the Gianna Angelopoulos Programme for Science, Technology, and Innovation, and from the Winton Programme for the Physics of Sustainability. G.L.P. acknowledges funding from the Ministry of Research, Innovation, and Digitalisation within Program 1-Development of National Research and Development System, Subprogram 1.2-Institutional Performance-RDI Excellence Funding Projects, under contract no. 10PFE/2021. The computational resources were provided by the Cambridge Tier-2 system operated by the University of Cambridge Research Computing Service and funded by EPSRC [EP/P020259/1], by the UK National Supercomputing Service ARCHER2, for which access was obtained via the UKCP consortium and funded by EPSRC [EP/X035891/1], and by the SCARF cluster of the STFC Scientific Computing Department.

Author contributions

B.M. and S.-W.K. conceived the study and planned and supervised the research. S.-W.K. performed the DFT calculations, S.-W.K. and G.L.P. performed the DMFT calculations, L.J.C. and C.J.P. performed the structure searches, and all authors contributed to the analysis. B.M. and S.-W.K. wrote the manuscript with input from all authors.

Competing interests

The authors declare no competing interests.

Additional information

Supplementary information The online version contains supplementary material available at https://doi.org/10.1038/s41467-023-42983-z.

Correspondence and requests for materials should be addressed to Sun-Woo Kim or Bartomeu Monserrat.

Peer review information *Nature Communications* thanks Jimin Zhao and Artem Oganov for their contribution to the peer review of this work. A peer review file is available.

Reprints and permissions information is available at http://www.nature.com/reprints

Publisher's note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http://creativecommons.org/ licenses/by/4.0/.

© The Author(s) 2023