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TRANSLATIONAL SCIENCE

Spanish cohort of VEXAS syndrome: clinical manifestations, outcome of treatments and novel evidences about *UBA1* mosaicism

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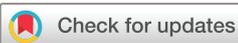
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ABSTRACT

Background The vacuoles, E1-enzyme, X linked, autoinflammatory and somatic (VEXAS) syndrome is an adult-onset autoinflammatory disease (AID) due to postzygotic *UBA1* variants.

Objectives To investigate the presence of VEXAS syndrome among patients with adult-onset undiagnosed AID. Additional studies evaluated the mosaicism distribution and the circulating cytokines.

Methods Gene analyses were performed by both Sanger and amplicon-based deep sequencing. Patients' data were collected from their medical charts. Cytokines were quantified by Luminex.

Results Genetic analyses of enrolled patients (n=42) identified 30 patients carrying *UBA1* pathogenic variants, with frequencies compatible for postzygotic variants. All patients were male individuals who presented with a late-onset disease (mean 67.5 years; median 67.0 years) characterised by cutaneous lesions (90%), fever (66.7%), pulmonary manifestations (66.7%) and arthritis (53.3%). Macrocytic anaemia and increased erythrocyte sedimentation rate and ferritin were the most relevant analytical abnormalities. Glucocorticoids ameliorated the inflammatory manifestations, but most patients became glucocorticoid-dependent. Positive responses were obtained when targeting the haematopoietic component of the disease with either decitabine or allogeneic haematopoietic stem cell transplantation. Additional analyses detected the *UBA1* variants in both haematopoietic and non-haematopoietic tissues. Finally, analysis of circulating cytokines did not identify inflammatory mediators of the disease.

Conclusion Thirty patients with adult-onset AID were definitively diagnosed with VEXAS syndrome through genetic analyses. Despite minor interindividual differences, their main characteristics were in concordance with previous reports. We detected for the first time the *UBA1* mosaicism in non-haematopoietic tissue, which questions the previous concept of myeloid-restricted mosaicism and may have conceptual consequences for the disease mechanisms.

INTRODUCTION

Autoinflammatory diseases (AIDs) are genetically determined conditions characterised by recurrent sterile inflammation mainly mediated by cells of innate immunity.¹ Similar to most human inherited diseases, it has been admitted that most AIDs start during infancy or early childhood. This categorical statement is currently under revision as recent evidences support for a continuously growing group of patients with late-onset, but otherwise typical AIDs. In most of these patients, the disease is a consequence of postzygotic variants leading to gene mosaicism. This mechanism was well established in certain AIDs such as cryopyrin-associated periodic syndromes (CAPS), but it has been considered as minor due to the small number of reported patients.^{2–6} A notable earthquake in the field occurred when the vacuoles, E1 enzyme, X linked, autoinflammatory and somatic (VEXAS) syndrome was described.⁷ This condition has been described

WHAT IS ALREADY KNOWN ON THIS TOPIC

- ⇒ The vacuoles, E1 enzyme, X linked, autoinflammatory and somatic (VEXAS) syndrome is a late-onset autoinflammatory disease characterised by inflammatory manifestations and/or haematological perturbations, with poor or null response to different anti-inflammatory drugs.
- ⇒ This syndrome has been associated with myeloid-restricted mosaicism at *UBA1* gene.
- ⇒ Recent investigations suggest that VEXAS syndrome may be one of the most frequent genetically determined autoinflammatory diseases since pathogenic *UBA1* variants have been detected in up to 1/4269 males over 50 years.

WHAT THIS STUDY ADDS

- ⇒ We evaluated a cohort of patients with late-onset, undiagnosed autoinflammatory disease for the presence of *UBA1* variants, identifying 30 patients with mosaicism at this gene that enable us to diagnose them as suffering from VEXAS syndrome.
- ⇒ The main features of genetically positive patients are in line with previous reports.
- ⇒ The response of patients treated with either hypomethylating drugs or allogeneic haematopoietic stem cell transplantation was evaluated, with the results showing a clear phenotype improvement.
- ⇒ Analysis of distribution of mosaicism revealed for the first time the presence of postzygotic *UBA1* variants in non-haematopoietic tissue.
- ⇒ These data question the previous idea of myeloid-restricted mosaicism in VEXAS, and may have conceptual consequences for the disease mechanisms that are deeply discussed.

HOW THIS STUDY MIGHT AFFECT RESEARCH, PRACTICE OR POLICY

- ⇒ On the basis of the known clinical spectrum of the VEXAS syndrome and considering that gene analyses represent the unique way to establish its diagnosis, in this study we propose to relax the criteria to perform the genetic test to identify as many patients as possible and as early as possible.
- ⇒ The genetic evidence described here suggests that the mutational event causing VEXAS probably occurs during embryonic development.
- ⇒ Consequently, VEXAS syndrome should be also considered in the differential diagnosis of young adults, or even paediatric, patients with otherwise undiagnosed haematological and/or inflammatory diseases.
- ⇒ The patients' responses to treatments targeting the haematopoietic component of the disease were associated with a marked decrease or disappearance of mutant *UBA1* allele.
- ⇒ These preliminary data suggest that monitoring the mutant *UBA1* allele might be a potential future biomarker of the outcome of administered treatments.

as a consequence of postzygotic *UBA1* variants,⁷⁻¹⁰ and typically starts during late adulthood with inflammatory manifestations (fever, neutrophilic dermatosis, relapsing polychondritis, pulmonary manifestations, arthritis) and/or haematological perturbations (anaemia, macrocytosis, bone marrow vacuolisation, thrombocytopenia).^{7,11} In contrast to the rare AIDs due to gene mosaicism, VEXAS syndrome has been genetically confirmed

in hundreds of patients around the world.^{12,13} Recent genetic investigations suggest that the pathogenic *UBA1* variants may be present in up to 1/4269 male over 50 years,¹⁰ strongly suggesting that VEXAS syndrome may be the most frequent genetically determined AID described to date among human populations.

In this study, we investigated a cohort of unrelated adult patients with clinical similarities to those described in VEXAS syndrome. *UBA1* analysis led to the genetic confirmation of this syndrome in 30 patients. These analyses identified four different postzygotic pathogenic variants at *UBA1* gene, with different mutant allele frequency (MAF). Additional studies performed to evaluate the mosaicism distribution revealed the presence of the postzygotic *UBA1* variants in non-haematopoietic tissue (nails), which was previously unreported and questions the original idea that VEXAS syndrome was due to a myeloid-restricted mosaicism at *UBA1*. These evidences led us also to hypothesise that the mutational event leading to the disease-causing *UBA1* variants might occur early in life, probably during embryogenesis, rather than during adulthood, and we discuss the potential clinical and conceptual consequences that these novel insights have for this disease.

METHODS**Patients**

Among those patients with genetically undiagnosed AID received in our centre (Department of Immunology, Hospital Clínic, Barcelona, Spain), we selected a group according the following inclusion criteria: (i) onset of the disease during adulthood (≥ 18 years of age); (ii) recurrent episodes of sterile inflammation spanning a period >6 months of duration; (iii) presence of relapsing polychondritis and/or neutrophilic dermatosis proven by skin biopsies and/or venous thromboembolism and/or recurrent arthritis and/or pulmonary manifestations; (iv) plasma levels of C reactive protein >0.5 mg/dL and/or erythrocyte sedimentation rate (ESR) >10 mm/hour during active disease; (v) negative or non-confirmatory genotypes in genetic studies using a targeted gene panel for AIDs (online supplemental table S1); (vi) exclusion of infectious causes for the disease; (vii) absence or low titre ($\leq 1/160$) of circulating autoantibodies in repetitive tests and (viii) presence of haematological alterations (decreased haemoglobin level (<120 g/L) and/or increased mean corpuscular volume (MCV; >100 fL) and/or leucopenia ($<4 \times 10^9$ /L) and/or thrombocytopenia ($<140 \times 10^9$ /L)). Sex was not considered an inclusion criteria, and consequently, both male and female patients were potentially enrolled. Patients' data were collected at the time of clinical diagnosis and/or at the time of genetic testing.

Molecular genetics

Blood samples were collected in EDTA tubes by venous puncture, and patients' nail clippings were collected after their exhaustive cleaning to prevent contamination by potential scratching of skin lesions. Genomic DNA samples were prepared from whole peripheral blood, from isolated leucocyte subpopulations or from tissues using the QIAamp DNA Blood Mini Kit or the QIAamp DNA Investigator Kit (QIAGEN, Germany) according to the manufacturer's instructions. *UBA1* genotyping was performed by both the Sanger method of DNA sequencing and the amplicon-based deep sequencing (ADS) in all enrolled patients. For Sanger sequencing, all exons of *UBA1* (RefSeq NM_003334.4) were PCR-amplified using in-house designed primers (online supplemental table S2), purified with Illustra ExoStar 1-Step kit (GE Healthcare), bidirectionally fluorescence

sequenced using ABI BigDye Terminator V.3.1 Cycle Sequencing Kit (Applied Biosystems) and run on an automated ABI 3730XL DNA analyzer (Applied Biosystems). Sequence reads were analysed using the SeqPilot software (JSI Medical Systems, Germany), and detected variants were classified according to the previously published recommendations.¹⁴

Additional studies of mosaicism were performed using the ADS method as previously described.¹⁵ Briefly, amplicons covering the exons and intronic boundaries of *UBA1* were generated by in-house-designed PCR. Deep sequencing was performed on a S5XL platform (Ion Torrent, Life Technologies, USA), with coverage >1000×. Reads were mapped against the GRCh37 (hg19) using the BWA, and variants were subsequently analysed using the Integrative Genomics Viewer. The MAF was calculated as the proportion of variant reads from the total reads and expressed as a percentage.

Cell isolation

Fresh whole blood samples were collected in EDTA tubes. Peripheral blood mononuclear cells (PBMCs) were isolated via Ficoll-Paque Premium (Cytiva, Freiburg, Germany) following the manufacturer's instructions. For cell sorting, whole blood or PBMCs were incubated at 4°C with Fc-blocking reagent (Miltenyi Biotech) and stained for 30 min with the following monoclonal antibodies: anti-CD45 AF700, anti-CD14 APC-Cy7 and anti-CD19 PE-Cy7 (all three from Biolegend), anti-CD15 FITC and anti-CD3 AF67 (from Southern Biotech), anti-CD16 AF647 and anti-CD34 PE (both from BD Biosciences Pharmingen). After staining, erythrocytes from whole blood were lysed with the Red Blood Cell Lysis Solution (Miltenyi Biotech). CD45⁺ CD15⁺ CD16⁺ neutrophils from whole blood and CD3⁺ T cells, CD19⁺ B cells, CD14⁺ monocytes and CD34⁺ cells from PBMCs were FACS sorted in the BD FACSAriaII cell sorter (Beckton Dickinson, California, USA) after excluding dead cells by 4',6-diamidino-2-phenylindole (DAPI) staining.

Cytokines profile

The serum concentrations of brain-derived neurotrophic factor, epidermal growth factor, eotaxin, fibroblast growth factor-2, granulocyte macrophage colony-stimulating factor, growth-related oncogene- α , hepatocyte growth factor, interferon (IFN)- α , IFN- γ , interleukin (IL)-1 α , IL-1 β , IL-1Ra, IL-2, IL-4, IL-5, IL-6, IL-7, IL-8, IL-9, IL-10, IL-12p70, IL-13, IL-15, IL-17A, IL-18, IL-21, IL-22, IL-23, IL-27, IL-31, inducible protein-10, leukaemia inhibitory factor, monocyte chemoattractant protein-1, macrophage-inflammatory protein (MIP)1- α , MIP1- β , nerve growth factor- β , platelet-derived growth factor-BB, placental growth factor 1, RANTES, stem cell factor, stromal cell-derived factor- α , tumour necrosis factor (TNF)- α , TNF- β , vascular endothelial growth factor (VEGF)-A and VEGF-D cytokines were measured using a custom bead-based multiplex Luminex immunoassay (eBioscience).

Statistical analysis

Statistics were calculated using Prism software (GraphPad). Analyses between two groups were performed with a two-tailed unpaired t-test. Differences were considered statistically significant at a p value of <0.01.

RESULTS

Identification of patients with *UBA1* variants

Forty-two unrelated patients fulfilling the inclusion criteria were enrolled in this study. *UBA1* genotyping using Sanger sequencing

detected 30 patients with postzygotic *UBA1* variants (table 1 and online supplemental figure S1). These analyses detected four different single nucleotide variants at *UBA1*, all of which have been reported as causing VEXAS syndrome.^{7,8} According to the recommendations for gene variant classification of the American College of Medical Genetics and Genomics and the Association for Molecular Pathology, the four detected *UBA1* variants were classified as pathogenic (online supplemental table S3), thus supporting the definitive diagnosis of VEXAS syndrome in the 30 genetically positive patients. Genetic studies performed in eight healthy daughters from six *UBA1*-positive patients (P6, P8, P26, P29, P32, P38) tested all negative (online supplemental table S4).

Clinical features and analytical abnormalities in patients with VEXAS syndrome

Among those patients with confirmed VEXAS syndrome, the mean and median age at disease onset was 67.5 and 67.0 years, respectively (range 52–86 years). At the time of writing the manuscript, the mortality rate was 40.0% (12 deceased). The mean time of disease evolution was slightly shorter in alive patients compared with that of deceased patients or until allogeneic haematopoietic stem cell transplantation (allo-HSCT) (4.0 vs 5.3 years, respectively; table 2 and online supplemental table S5). All participants displayed recurrent and simultaneously occurring inflammatory manifestations that are shown in detail in table 2 and online supplemental table S6. In this series, the cutaneous inflammatory manifestations were the most frequently detected (90%), and highly variable among patients. The most frequently detected skin lesion was the erythematous papules or nodules secondary to neutrophilic dermal infiltration (figure 1A–B). Other less frequent manifestations included urticaria-like skin rash (figure 1C) or livedo racemosa (figure 1D), and recurrent chondritis at ear and/or nose (figure 1E).

All patients presented with severe and persistent perturbations of analytical parameters, even when they were treated with anti-inflammatory drugs. Among biochemical tests, the most frequent alterations included a marked increase of ESR, with 73.2% of all collected determinations with values >50 mm/hour, and a tendency to hyperferritinemia (44.7% of all determinations with values >1000 ng/mL) (table 2, online supplemental table S7 and online supplemental figure S2). The most frequently detected haematological abnormalities included low or very low haemoglobin concentration (mean 99.2 g/L; range 59–161), macrocytosis (mean 104.5 fL; range 85–133) and thrombocytopenia (48.2% of all determinations with platelet count <140×10⁹/L) (table 2, online supplemental table S8 and online supplemental figures S3 and S4). As a consequence of their haematological disturbances, 23 patients underwent analysis of bone marrow, which demonstrated in all cases the cytosolic vacuolisation of erythroid and myeloid participants (figure 1F, G, H). The main features of patients who tested negative in the *UBA1* analysis are shown in the online supplemental table S9.

UBA1 mosaicism analyses

We further addressed the characterisation of the *UBA1* mosaicism by quantifying the MAF using the ADS method. We first evaluated the MAF in haematopoietic tissues among patients. For this objective, DNA samples extracted from peripheral blood or from bone marrow aspirates from 26 patients were

Table 1 Results of *UBA1* genotyping in enrolled patients

Pt	Sample	Sanger sequencing		ADS	
		Nucleotide <i>UBA1</i> variant*	Amino acid exchange*	Mutant allele fraction—mean (SD)†	Coverage—mean (SD)†
1	Peripheral blood	c.121A>G	p.Met41Val	18.6% (1.0)	1098× (55)
2	Peripheral blood	—	—	0%	1262× (50)
3	Peripheral blood	c.122T>C	p.Met41Thr	76.3% (1.1)	7486× (893)
4	Bone marrow aspirate	c.122T>C	p.Met41Thr	40.5% (0.6)	4519× (517)
5	Peripheral blood	—	—	0%	8335× (203)
6	Peripheral blood	c.122T>C	p.Met41Thr	86.3% (0.3)	6606× (2523)
7	Peripheral blood	—	—	0%	1538× (25)
8	Peripheral blood	c.121A>C	p.Met41Leu	82.0% (0.8)	1453× (207)
9	Peripheral blood	c.122T>C	p.Met41Thr	60.7% (1.0)	4621× (525)
10	Bone marrow aspirate	c.118-1G>C	Splice acceptor site variant	71.8% (1.0)	1876× (1588)
11	Peripheral blood	—	—	0%	1289× (38)
12	Peripheral blood	c.118-1G>C	Splice acceptor site variant	n.p.	n.p.
13	Peripheral blood	—	—	0%	6759× (268)
14	Peripheral blood	c.122T>C	p.Met41Thr	n.p.	n.p.
15	Peripheral blood	c.121A>C	p.Met41Leu	n.p.	n.p.
16	Peripheral blood	c.121A>G	p.Met41Val	14.6% (0.7)	5369× (2214)
17	Bone marrow aspirate	c.122T>C	p.Met41Thr	76.2% (0.4)	14 889× (769)
18	Peripheral blood	—	—	0%	1142× (36)
19	Peripheral blood	—	—	0%	1390× (104)
20	Peripheral blood	c.121A>G	p.Met41Val	76.9% (0.5)	5761× (82)
21	Peripheral blood	c.121A>C	p.Met41Leu	68.5% (0.5)	8036× (729)
22	Peripheral blood	c.121A>G	p.Met41Val	55.6% (2.5)	1043× (45)
23	Peripheral blood	—	—	0%	5443× (566)
24	Peripheral blood	c.121A>C	p.Met41Leu	76.8% (2.9)	5749× (1028)
25	Peripheral blood	c.122T>C	p.Met41Thr	67.4% (0.3)	4939× (578)
26	Bone marrow aspirate	c.122T>C	p.Met41Thr	57.5% (0.3)	5229× (2196)
27	Peripheral blood	—	—	0%	1254× (34)
28	Peripheral blood	—	—	0%	1383× (91)
29	Peripheral blood	c.122T>C	p.Met41Thr	85.0% (0.2)	2284× (78)
30	Peripheral blood	c.122T>C	p.Met41Thr	64.7% (0.7)	10 175× (1163)
31	Peripheral blood	—	—	0%	2465× (506)
32	Peripheral blood	c.121A>G	p.Met41Val	45.3% (1.5)	4425× (1421)
33	Peripheral blood	c.121A>C	p.Met41Leu	60.0% (2.7)	3039× (748)
34	Bone marrow aspirate	c.121A>C	p.Met41Leu	76.1% (1.0)	9619× (356)
35	Peripheral blood	c.122T>C	p.Met41Thr	n.p.	n.p.
36	Peripheral blood	c.122T>C	p.Met41Thr	50.2% (1.6)	1786× (415)
37	Peripheral blood	—	—	0%	1152× (19) ¹⁹
38	Bone marrow aspirate	c.121A>C	p.Met41Leu	36.9% (1.4)	5112× (1346)
39	Bone marrow aspirate	c.122T>C	p.Met41Thr	75.5% (1.0)	3180× (263)
40	Peripheral blood	c.121A>G	p.Met41Val	48.1% (0.1)	41 309× (2409)
41	Peripheral blood	c.122T>C	p.Met41Thr	48.0% (1.0)	13 789× (1676)
42	Peripheral blood	c.121A>C	p.Met41Leu	54.0% (0)	15 725× (1227)

*RefSeq: NM_003334.4.

†Mean of data collected from three independent experiments.

ADS, amplicon-based deep sequencing; n.p., not performed; Pt, patient.

analysed, revealing a mean MAF in haematopoietic tissues of 60.5% (range 14.6%–86.3%; [table 2](#) and [figure 2A](#)).

In a second step, we evaluated the mosaicism distribution among the circulating leucocyte subpopulations. For this proposal, fresh peripheral blood samples from two patients (Pt 3 and Pt 9) were collected and the leucocyte subpopulations isolated by FACsorting. Sanger and ADS analyses performed on genomic DNA from each isolated subpopulation detected the *UBA1* variant in CD34⁺ progenitor cells and in myeloid cells (neutrophils and monocytes), while only a trace of the variant

(<1%) was found in lymphoid cells (T and B lymphocytes) (online supplemental table S10).

Finally, we evaluated the potential presence of the *UBA1* mosaicism in non-haematopoietic tissue. For this proposal, we performed DNA extraction from nail samples, an ectodermic tissue that may be easily and repetitively collected with no risk of blood contamination. Nail samples were collected from nine patients with VEXAS syndrome, and ADS analyses revealed the presence of the respective *UBA1* variants in these samples in all patients (mean MAF 24.2%; range 2.7%–73.7%), usually at

Table 2 Demographics, clinical and analytical features of genetically confirmed patients with VEXAS syndrome allo-HSCT, allogeneic haematopoietic stem cell transplantation; MAS, macrophage activation syndrome; Hb, haemoglobin; MCV, mean corpuscular volume; WBC, white blood cells count; ESR, erythrocyte sedimentation rate; NSAID, non-steroid anti-inflammatory drugs; IVIG, intravenous immunoglobulins; TNF, tumour necrosis factor; IL-6, interleukin 6; IL-1, interleukin 1.

General features	
Gender (male/female)—n	30/0
Alive/Deceased—n (%)	18/12 (60.0%/40.0%)
Mean age at disease onset (range)	67.5 years (52–86)
Mean age of alive patients (range)	72.9 years (56–88)
Mean age at death/allo-HSCT (range)	71 years (55–79)
Mean time of disease evolution of alive patients (range)	4.0 years (0.5–8)
Mean time of disease until death/allo-HSCT (range)	5.3 years (1–11)
Clinical manifestations	
Recurrent skin manifestations—n (%)	27/30 (90.0%)
Erythematous papules/plaques/nodules—n (%)	20/30 (66.6%)
Purpuric papules/plaques—n (%)	14/30 (46.7%)
Panniculitis—n (%)	9/30 (30.0%)
Livedo racemosa—n (%)	7/30 (23.3%)
Recurrent fever—n (%)	20/30 (66.7%)
Pulmonary manifestations—n (%)	20/30 (66.7%)
Ocular manifestations—n (%)	17/30 (56.7%)
Palpebral oedema—n (%)	8/30 (26.7%)
Conjunctivitis—n (%)	6/30 (20.0%)
Uveitis—n (%)	5/30 (16.7%)
Arthritis—n (%)	16/30 (53.3%)
Recurrent chondritis (ear/nose)—n (%)	16/30 (53.3%)
Chondritis (non-ear/non-nose)—n (%)	3/30 (10.0%)
Venous thromboembolism—n (%)	12/30 (40.0%)
Arterial thrombosis—n (%)	4/30 (13.3%)
MAS-like episodes—n (%)	2/30 (6.7%)
Analytical parameters	
Hb (mean; range) (g/L)	99.2 (59–161) Reference range 130–170
Hb <120 g/L (%; n/total)	86.9% (730/840)
MCV (mean; range) (fL)	104.5 (85–133) Reference range 80–100
MCV >100 fL (%; n/total)	72.4% (608/840)
Haematocrit <36% (%; n/total)	83.8% (704/840)
Platelets (mean; range) (10 ⁹ /L)	150.5 (4–753) Reference range 140–400
Thrombocytopenia (platelet count <140.10 ⁹ /L) (%; n/total)	48.2% (405/840)
WBC (mean; range) (10 ⁹ /L)	5.9 (0.2–33.3) Reference range 4.0–11.0
Leucopenia (WBC <4.10 ⁹ /L) (%; n/total)	32.9% (271/823)
Neutropenia (neutrophil count <2.5.10 ⁹ /L) (%; n/total)	40.6% (333/821)
Lymphopenia (lymphocyte count <0.9.10 ⁹ /L) (%; n/total)	39.1% (321/821)
Ferritin (mean; range) (ng/mL)	1163 (54–11 931) Reference range 20–400
Increased ferritin (>400 ng/mL) (%; n/total)	77.1% (195/253)
High increased ferritin (>1000 ng/mL) (%; n/total)	44.7% (113/253)
ESR (mean; range) (mm/hour)	78.5 (2–141) Reference range <10
Increased ESR (>10 mm/hour) (%; n/total)	95.1% (365/384)
High increased ESR (>50 mm/hour) (%; n/total)	73.2% (281/378)
Bone marrow vacuoles (%; n/total)	100% (23/23)
Treatments/Outcome	
Colchicine	11/30—complete response 0% partial 18.2% negative 81.8%
NSAIDs	17/30—complete response 0% partial 52.9% negative 47.1%
Glucocorticoids	30/30—complete response 70.0% partial 26.7% negative 3.3%
Methotrexate	17/30—complete response 0% partial 35.3% negative 64.7%
Mycophenolate mofetil	6/30—complete response 0% partial 16.7% negative 83.3%
Azathioprine	9/30—complete response 0% partial 22.2% negative 77.8%
Cyclophosphamide	2/30—complete response 0% partial 50% negative 50%
IVIGs	4/30—complete response 0% partial 25% negative 75%
Anti-TNF	3/30—complete response 0% partial 0% negative 100%

Continued

Table 2 Continued

General features	
Anti-IL-6	8/30—complete response 12.5% partial 25% negative 62.5%
Anti-IL-1	5/30—complete response 0% partial 60% negative 40%
Anti-CD20	4/30—complete response 0% partial 75% negative 25%
Jak inhibitors	5/30—complete response 20% partial 40% negative 40%
Genetics	
c.118-1G>C—n (%)	2 (6.7%)
p.Met41Leu—n (%)	8 (26.7%)
p.Met41Val—n (%)	6 (20.0%)
p.Met41Thr—n (%)	14 (46.7%)
Mutant allele frequency—haematopoietic tissues (mean; range) (%)	60.5 (14.6–86.3)
Mutant allele frequency—nails (mean; range) (%)	24.2 (2.7–73.7)

allo-HSCT, allogeneic haematopoietic stem cell transplantation; ESR, erythrocyte sedimentation rate; Hb, haemoglobin; IL-1, interleukin 1; IL-6, interleukin 6; IVIG, intravenous immunoglobulins; MAS, macrophage activation syndrome; MCV, mean corpuscular volume; NSAID, non-steroid anti-inflammatory drugs; TNF, tumour necrosis factor; VEXAS, vacuoles, E1-enzyme, X linked, autoinflammatory and somatic syndrome; WBC, white blood cells count.

lower percentages than that detected in haematopoietic samples (figure 2B and online supplemental table S11).

Outcome of medical treatments

During the course of their disease, the patients were treated with different anti-inflammatory drugs (median=5). Treatment with corticosteroids, often administered in doses ≥ 20 mg once daily, was the only therapeutic approach that improved the inflammatory manifestations, with mild or no improvement of haematological disturbances. Among those anti-inflammatory drugs administered to decrease the corticosteroids dose, partial or negative responses were often detected (table 2 and online supplemental table S12).

One patient of the cohort (Pt 9) is being treated with the hypomethylating drug decitabine (20 mg/m² \times 5 days; cycles of 28 days) in the last 17 months ago. During this period, the MAF in blood samples showed a progressive decrease after the sixth month of treatment until its near disappearance (MAF <2%) in the last 5 months (figure 3A). During the first two cycles, an episode of arthritis, which was well controlled with corticosteroids, appeared. However, from the fourth cycle onwards, the response was clearly positive, with no relapse of inflammatory manifestations, marked decrease of administered corticosteroids,

suppression of transfusional support and a progressive improvement until normalisation of different haematological and biochemical parameters (figure 3B–F). Interestingly, a bone marrow aspiration (BMA) performed after the 11th cycle showed occasional cytosolic vacuoles in the promyelocytes and proerythroblasts in contrast with the numerous vacuoles observed in a BMA performed before starting the decitabine treatment (data not shown).

Phenotype and genotype rescue by allogeneic haematopoietic stem cell transplantation

Among the patients with confirmed VEXAS, patient 10 underwent an human leukocyte antigen (HLA)-matched related allo-HSCT at the age of 55 years due to treatment-refractory haematological and inflammatory manifestations, years before VEXAS syndrome was described. After the procedure, all previous manifestations completely disappeared, but symptoms of a mild-to-moderate graft-versus-host disease were present during the following 7 years until the death of patient due to a solid neoplasia. We evaluated the outcome of allo-HSCT in this patient at different analytical levels. As DNA samples from haematopoietic cells collected before and after the transplant were available, we first investigated the evolution of *UBA1* mosaicism along the time. ADS analyses detected the postzygotic *UBA1* variant with a moderate-to-high MAF (49.2%–71.8%) before the transplantation, and its complete and permanent disappearance immediately after the procedure, remaining at undetectable level during the following years (figure 4A). The absence of *UBA1* mosaicism in peripheral blood was associated with the disappearance of inflammatory manifestations as well as with the progressive normalisation of those haematological and biochemistry perturbations detected before the allo-HSCT (figure 4B–F). Altogether, these evidences support both a phenotype and a genotype rescue of the disease after allo-HSCT.

Circulating cytokines

Finally, we quantified several circulating cytokines in serum samples collected from 14 patients to identify potential inflammatory mediators that may be involved in the disease pathogenesis. Unexpectedly, most of these cytokines were under the level of detection, or when detected, no significant statistical differences were observed with the values obtained in the control group (online supplemental figure S5).

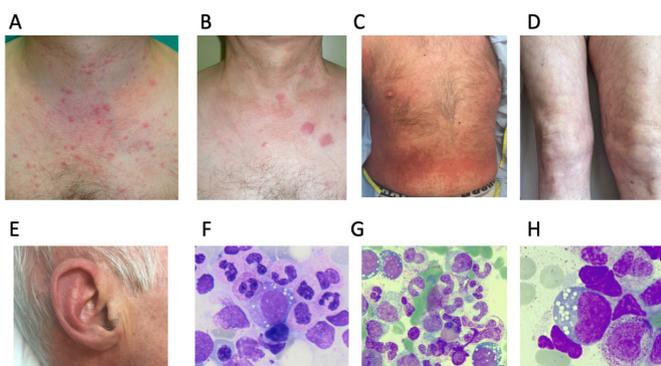


Figure 1 Cutaneous lesions and cytosolic vacuoles in patients (Pt) with vacuoles, E1-enzyme, X linked, autoinflammatory and somatic syndrome. (A) Multiple erythematous papules on the chest and neck (Pt 10). (B) Erythematous and edematous plaques, and a few papules on the chest and neck (Pt 10). (C) Urticaria-like lesions on the abdomen (Pt 22). (D) Livedo racemosa (Pt 22). (E) Ear chondritis (Pt 22). (F, G, H) Cytosolic vacuoles in myeloid precursor cells in bone marrow aspirate from Pt 3.

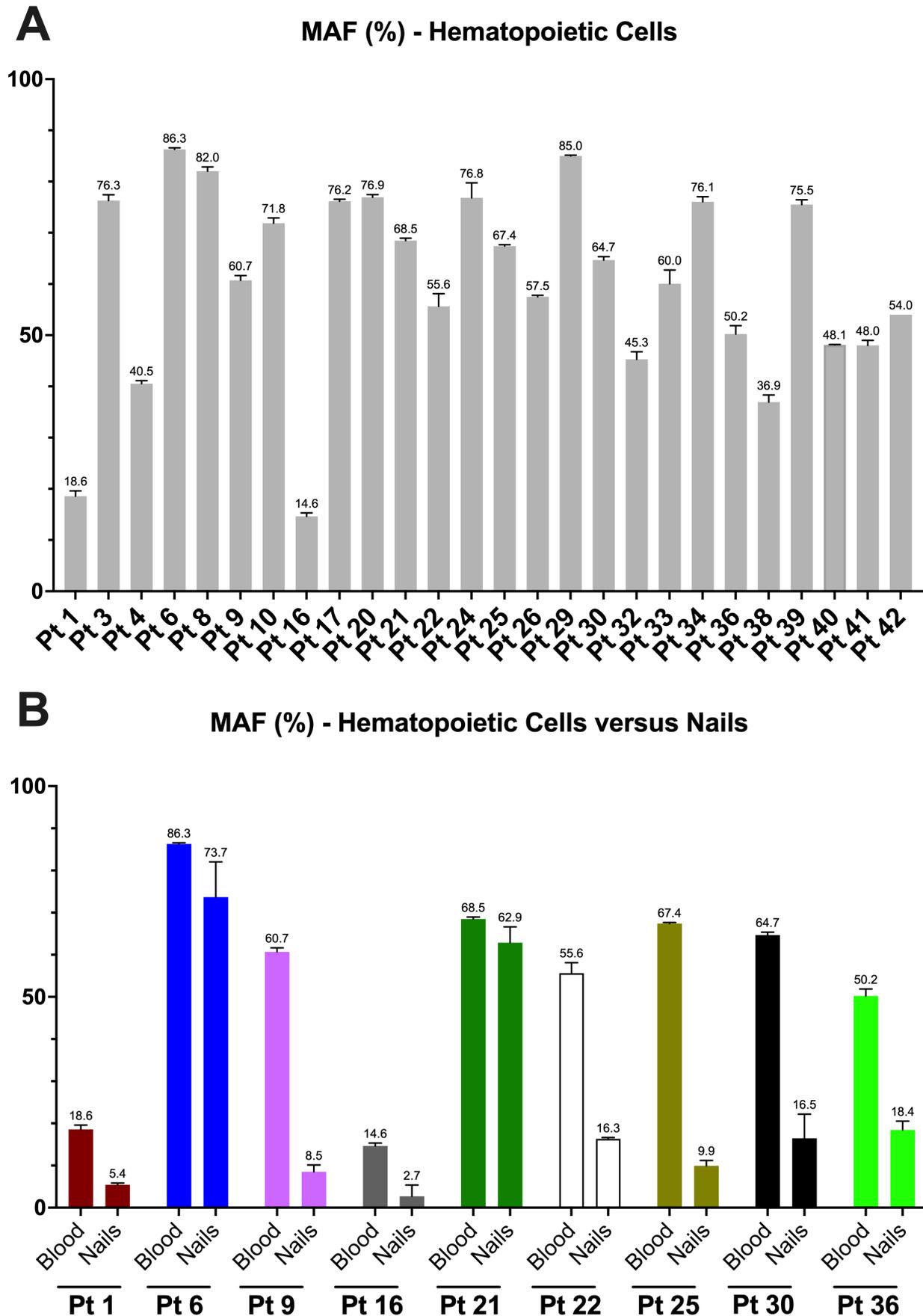


Figure 2 Amplicon-based deep sequencing (ADS) of *UBA1* gene in patients (Pt) with vacuoles, E1-enzyme, X linked, autoinflammatory and somatic syndrome. (A) ADS results obtained using DNA samples extracted from haematopoietic tissues. (B) Comparative results of ADS analyses using DNA samples extracted from haematopoietic tissues and non-haematopoietic tissues (nails). Columns represent the mean value of three independent experiments, and the error bars, the SD. MAF, mutant allele frequency.

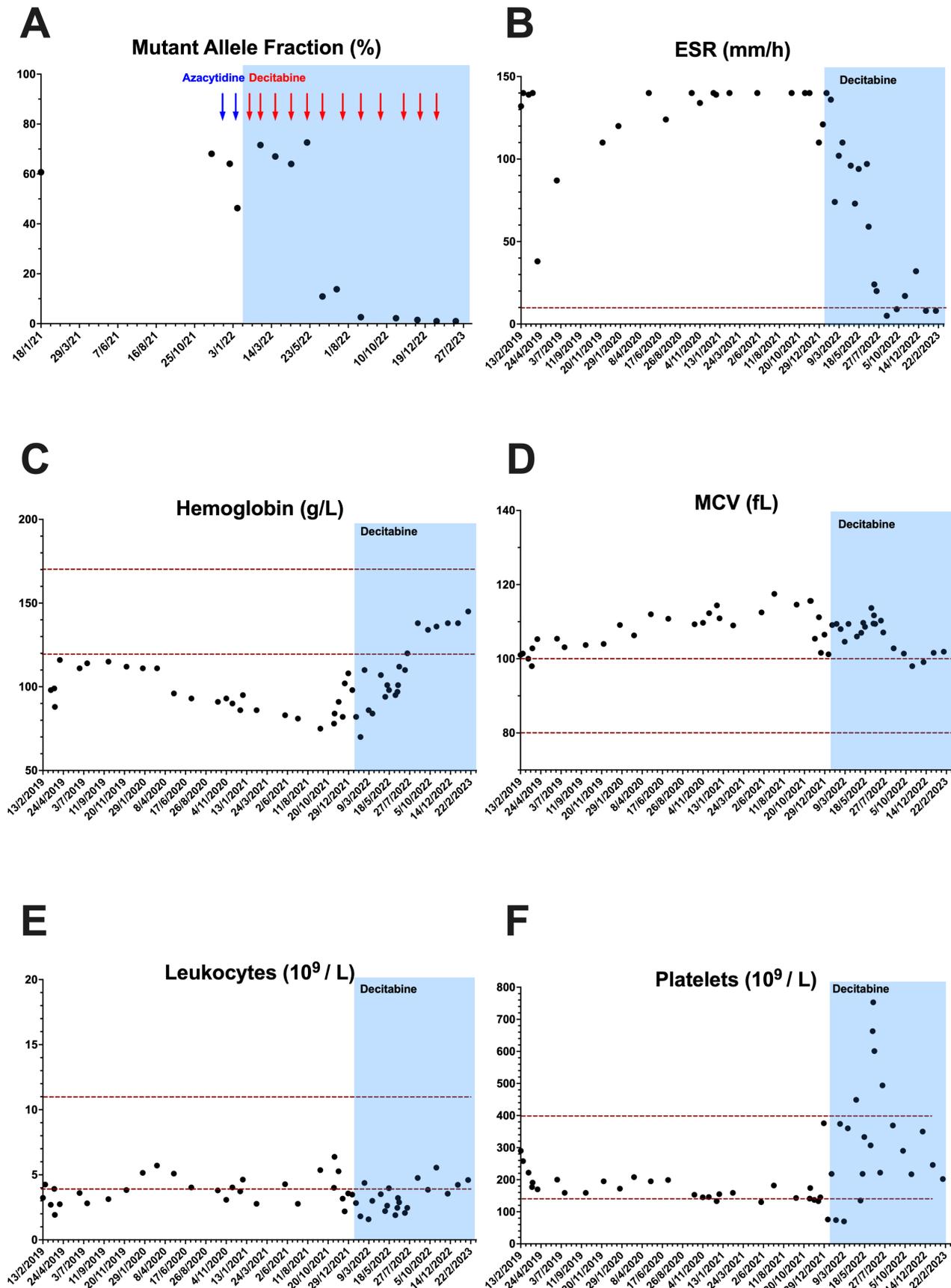


Figure 3 Analytical results during treatment with the hypomethylating drug decitabine in patient 9. Evolution of mutant allele frequency of *UBA1* gene (A), erythrocyte sedimentation rate (ESR) (B), haemoglobin concentration (C), mean corpuscular volume (MCV) (D) and leucocytes (E) and platelet (F) counts before and after treatment. Blue and red arrows indicate the different cycles of treatment with azacytidine and decitabine, respectively. The horizontal dotted red lines indicate the normal reference range for each parameter and the blue rectangle indicates treatment with decitabine.

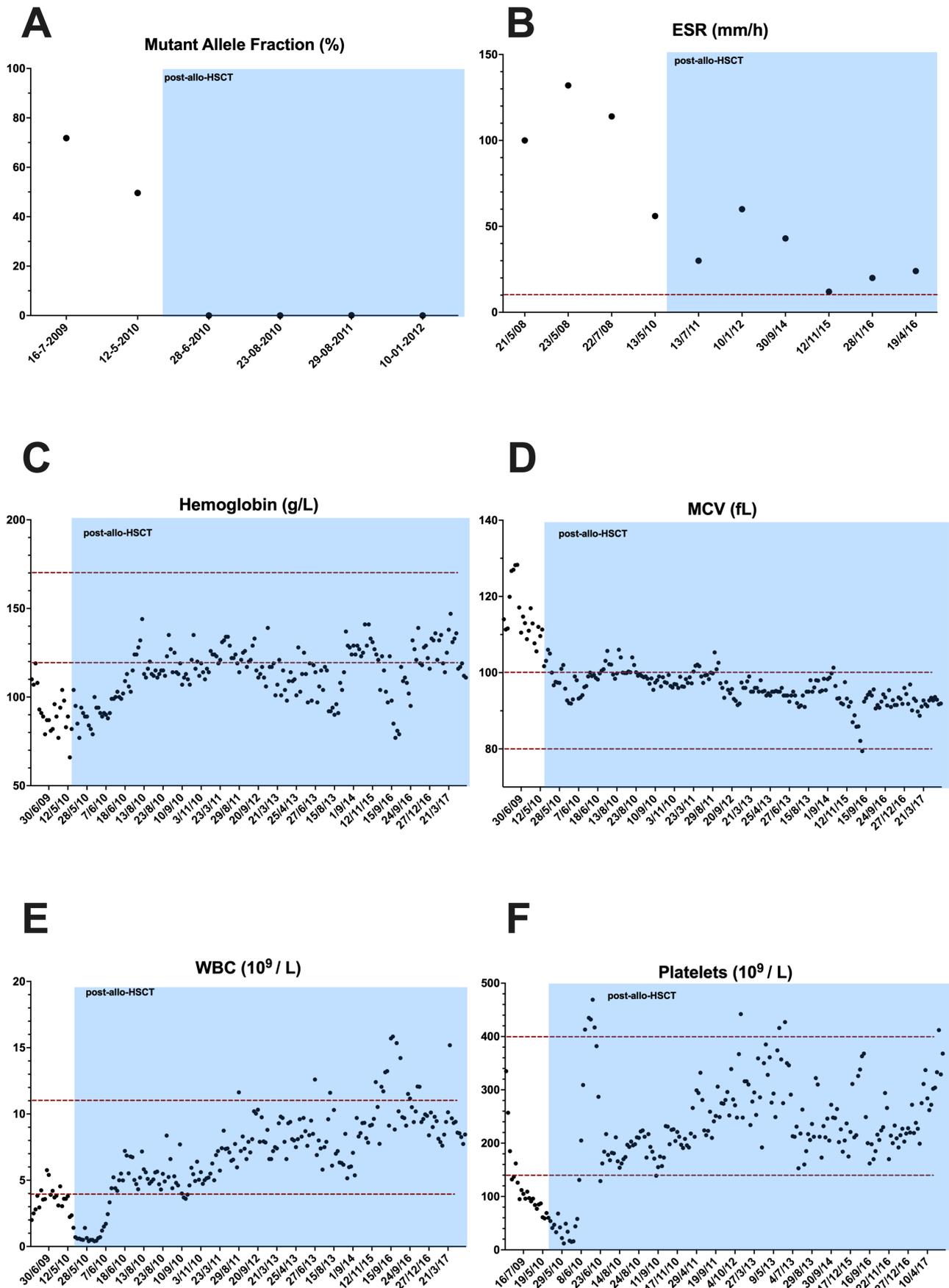


Figure 4 Reversal of analytical abnormalities after HLA-matched related allogeneic haematopoietic stem cell transplantation (allo-HSCT) in patient 10. Evolution of mutant allele frequency of *UBA1* gene (A), erythrocyte sedimentation rate (ESR) (B), haemoglobin concentration (C), mean corpuscular volume (MCV) (D) and leucocytes (E) and platelet (F) counts before and after allo-HSCT. The horizontal dotted red lines indicate the normal reference range for each parameter, and the blue rectangle indicates the post-allo-HSCT period. WBC, white blood cell.

DISCUSSION

Investigations here described allowed the definitive diagnosis of VEXAS syndrome to be made in 30 unrelated individuals previously classified as suffering from undiagnosed AID, representing one of the largest cohorts reported to date. The clinical features of these patients reflect interindividual differences, but the overall clinical picture is relatively homogenous and in concordance with the disease manifestations already described by several authors.^{7 11–13} Thus, all patients with VEXAS syndrome detected in our study were male in their 60s–80s, with a disease starting during late adulthood and mainly characterised by neutrophilic dermatosis, fever, pulmonary manifestations, arthritis, recurrent polyarthralgia, venous thromboembolism and a high mortality rate as a consequence of the evolution of the disease, infections or side events of administered treatments. Moreover, all patients also displayed haematological disturbances, with macrocytic anaemia, extremely high ESR values, moderate-to-high hyperferritinemia and a tendency to moderate-to-severe cytopenias requiring transfusional support as the most frequently detected. Despite the high number of patients with VEXAS we detected, we firmly believe that the disease has been underdiagnosed in our population on the basis of our inclusion criteria. At the beginning of this work, we established stringent inclusion criteria based on the main clinical and analytical manifestations reported at that time in the VEXAS syndrome.⁷ However, as novel studies have been published, it has become evident that there is a broader than expected clinical spectrum in the VEXAS syndrome, which ranges from the classical, highly inflammatory phenotype already reported in the first description of the disease and subsequently corroborated by different authors,^{8 9 12 13} until a recently described form in which all typical inflammatory manifestations are absent or under-represented, and the disease is mainly characterised by haematological perturbations and rheumatological manifestations (polymyalgia rheumatica, dermatomyositis, sarcoidosis, psoriasis).¹⁰ Those potential patients from our population with this ‘pauci-inflammatory’ phenotype of VEXAS syndrome did not fulfil our inclusion criteria and consequently, they were not enrolled in this work. This issue has to be addressed in the future to answer the questions of how many patients with the pauci-inflammatory phenotype could be identified, and whether there are clinical or therapeutic differences comparing them with the classical, highly inflammatory phenotype. On the basis of these reflections and considering that the *UBA1* analyses represents a unique way to diagnose the VEXAS syndrome at present, we strongly suggest a relaxation of the criteria to perform this genetic test with the proposal to identify as many patients as possible and as early as possible. In this sense, candidates for *UBA1* screening might include all those individuals with any sterile inflammatory manifestation associated with anaemia, highly increased ESR and/or ferritin level and a tendency of macrocytosis.

With regard to the response to treatments, the data we collected are also in line with previous reports.^{7 11–13} Thus, only high dose of corticosteroids ameliorated the inflammatory manifestations, with limited efficacy in the haematological perturbations, and often associated with serious side effects that prevented their long-lasting use. Among biological or synthetic drugs administered to decrease the corticosteroids dose, the responses were often negative or partial, and severe local side effects to anakinra were detected in some patients as has been previously described.⁷ In contrast, treatments targeting the haematological component of the disease seem to be more effective than the anti-inflammatory drugs. On one side, the unique patient of our series treated during a long period with the hypomethylating drug decitabine experienced a significant improvement of both inflammatory and haematological features, as has

been also described in patients treated with the hypomethylating drug azacytidine.¹⁶ This clinical improvement was associated with a progressive and marked decrease of the MAF in peripheral blood. Despite this observation being based on a single patient, we propose that the monitorisation of MAF during administered treatments should be seriously considered as a potential biomarker in clinics to evaluate the patients’ responses. This particular issue should be addressed in future to confirm or to rule out its utility. On the other side, the results of allo-HSCT we collected are in line with previous reports, with a complete rescue of the clinical phenotype and analytical abnormalities associated with the disappearance of the mutant allele.^{17–19} Despite results of allo-HSCT being promising, the usual late-onset of the disease and the relevant comorbidities that most patients with VEXAS syndrome have prevent its use in several patients. In this sense, it is mandatory to obtain a diagnosis as early as possible through genetic analyses to be in a scenario to consider this type of curative approach with as many patients as possible.

From a genetic point of view, VEXAS syndrome is a consequence of *UBA1* postzygotic variants that provoke the loss of the functional cytoplasmic *UBA1b* isoform. Most of the reported patients carried variants at the Met41 amino acid residue, which represents the main hot spot for the disease.^{7 10–13} The results of the *UBA1* genotyping in our series are consistent with previously reported data similar to the similarities in patients’ clinical features and response to treatments mentioned earlier. Thus, in our series 93% patients carried pathogenic variants at the Met41 residue, with the remaining 7% carrying already known pathogenic variants at canonical splicing sites. Interesting and not previously reported data were obtained in the studies of distribution of mosaicism. On one side, the analyses of different isolated haematopoietic cell types showed that the *UBA1* variant was present in all cell types with the exception of peripheral T cells and B cells, which are in line with previously published data.⁷ However, the most intriguing genetic data we obtained was the presence of the *UBA1* variants in the DNA extracted from nail samples in nine patients. To reinforce these data, it would have been convenient to analyse nail samples from the entire cohort, but we were not able to collect them due to the death/allo-HSCT of some patients and to logistic issues in others alive patients. Moreover, it would have been also interesting to monitor the nail mosaicism during decitabine treatment, as was done in peripheral blood. However, the patients’ nail samples were not collected at different time points along the treatment. *UBA1* variants had been previously detected in different non-haematopoietic tissue such as skin and muscle biopsies, but these results could have been false positive results as a consequence of blood contamination.^{20 21} The evidences we obtained in nails, a non-blood contaminated tissue collected in a manner that minimise potential contamination due to scratching of skin lesions, might indicate that both ectoderm and mesoderm, the germ layers that lead to nails and blood respectively, carry the *UBA1* variants. Consequently, the mutational event at that gene would have occurred during an early phase of the embryonic development, and not during adulthood as has been classically thought. Consequently, our evidences do not concur with the previous idea that VEXAS syndrome is a consequence of a myeloid-restricted *UBA1* mosaicism, which was mainly supported by the absence of *UBA1* variants in patients’ fibroblasts.⁷ In this sense, it would have been of great interest to analyse isolated fibroblasts from fresh skin biopsies from patients of our cohort. However, the unique available skin biopsies were paraffined, a material from which we would have not been able to isolate the fibroblasts (the cell type of interest) from the myeloid cells (carrying the *UBA1* variant) to evaluate the presence/absence of mosaicism at the *UBA1* gene. This represents a limitation of the present study that should be addressed in future works. Despite this limitation, we realise that our conclusion of an early occurrence of the mutational

event leading to VEXAS syndrome has important conceptual consequences for the disease pathogenesis, and both pro-argument and counterargument exist and should be carefully evaluated.

Among the favourable arguments for an early mutational event, we can indicate the following: (i) it has been previously reported that the *UBA1* variants leading to VEXAS syndrome are present in all CD34⁺ cell types (haematopoietic stem cells, multipotent progenitors, megakaryocyte-erythroid progenitors, common myeloid progenitors, common lymphoid progenitors).⁷ This distribution in the CD34⁺ compartment is markedly different with that previously described in other AIDs due to myeloid-restricted mosaicism (eg, CAPS), where the unique type of CD34⁺ cells carrying the mosaicism were the common myeloid progenitors³; (ii) it has been recently reported that the haematological disturbances in the VEXAS syndrome may precede the inflammatory manifestations, which suggest the presence of the *UBA1* variants in the haematopoietic compartment earlier than expected.¹⁰ This scenario may fit with a progressive, but undetermined course of the disease, which may be due to the expansion of a mutant clone through the well-known mechanism of clonal haematopoiesis of indeterminate potential.^{22,23} This mechanism typically appears with ageing, thus explaining the late onset of the disease in VEXAS syndrome, and does not evolve to a malignant condition as has been also reported in this syndrome.¹¹ In contrast to these pro-arguments, there are also evidences against our mechanistic hypothesis of an early occurrence for the *UBA1* mutational event associated with an expansion of the mutant clone, the following being the most relevant: (i) if our hypothesis is correct, we may have expected to identify individuals in large databases aged <40 years with these *UBA1* variants at low MAF, before the expansion of the mutant clone occurred. We investigated this possibility by searching for postzygotic *UBA1* variants with specific bioinformatic algorithms in the sequences of 1236 male individuals of the 1000 Genomes Project,²⁴ and the results did not identify any individual with the *UBA1* pathogenic variants. A limitation of these investigations was the total number of analysed individuals in this database, which may be solved in the future with specific investigations in larger repositories than 1000 Genomes Project (ie, gnomAD, UK Biobank) with appropriate bioinformatic pipelines to evaluate the presence of postzygotic *UBA1* variants at low MAF among healthy adult or paediatric individuals; (ii) if our hypothesis is correct, it should be explained why no paediatric or young adults with VEXAS syndrome have been reported to date and (iii) if the mutational event occurred early as we propose, then there is the possibility that the postzygotic *UBA1* variant was also present in the gonadal tissue. If this occurs, the individual has a moderate-to-high probability to transmit the mutant allele to his offspring, who will receive it as a germline variant. However, all available genetic evidence obtained in daughters and sons of affected patients, in previous studies and also in the present work, did not identify any individual with the *UBA1* variant in germline status.

Finally, we tried to identify potential inflammatory mediators involved in the disease pathogenesis. We analysed serum samples from different patients collected during active disease (high ESR) and while patients were treated with steroids. The results of these investigations did not identify a clear mediator, a result that is in line with the absence of a positive response to the different administered treatments targeting inflammatory cytokines, but not in concordance with previous data.⁷ The differences with previous reports might be consequence of the disease activity at the time of blood collection, the years of disease evolution and most importantly the treatments that patients were receiving, because they often receive simultaneously different anti-inflammatory drugs that may modify the gene expression profile and consequently the pattern of circulating cytokines. Overall, these results raise the question about the

type of mechanism involved in the (hyper)inflammation observed in the VEXAS syndrome. In this sense, the positive therapeutic responses observed when targeting the haematological component of the disease, and the previously mentioned poor responses to classical anti-inflammatory drugs seem to point towards inflammatory mechanisms other than the previously identified in the classical monogenic AID such as inflammasomopathies or type I interferonopathies.

In summary, we have identified a series of 30 patients with VEXAS syndrome by means of *UBA1* analysis among adult patients with otherwise undiagnosed AID. Their clinical manifestations, results of laboratory tests and outcome of administered treatments are in line with previous reports. Genetically, all patients' disease is a consequence of already described *UBA1* pathogenic variants. Additional genetic investigations about mosaicism distribution support the early occurrence of the mutational event leading to mosaicism, in opposition to the previous concept of a myeloid-restricted mutational event occurring during adulthood. These novel evidences may have conceptual consequences for the mechanism causing the syndrome, and additional studies addressing this issue will be necessary.

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Correction notice This article has been corrected since it published Online First. A second affiliation has been added for Dr Andrea Cerutti.

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