1

Model-based inference of a plant-specific dual role for HOPS in regulating guard cell vacuole fusion

- 3
- 4 Charles Hodgens¹, DT Flaherty², Anne-Marie Pullen³, Imran Khan³, Nolan J English⁴, Lydia Gillan⁵,
- 5 Marcela Rojas-Pierce³, Belinda S Akpa^{1,2,4}*
- 6
- ⁷ ¹Department of Chemical and Biomolecular Engineering, University of Tennessee-Knoxville,
- 8 Knoxville, Tennessee, USA
- ²Department of Molecular Biomedical Sciences, North Carolina State University, Raleigh, North
 Carolina, USA
- ³Department of Plant and Microbial Biology, North Carolina State University, Raleigh, North
- 12 Carolina, USA
- 13 ⁴Biosciences Division, Oak Ridge National Laboratory, Oak Ridge, Tennessee, USA
- ⁵Department of Chemical and Biomolecular Engineering, North Carolina State University, Raleigh,
- 15 North Carolina, USA
- 16
- 17 *Corresponding author & Lead Contact
- 18 E-mail: <u>bakpa1@utk.edu</u>
- 19
- 20
- 21 Notice: This manuscript has been authored by UT-Batelle, LLC, under contract DE-AC05-
- 22 00OR22725 with the US Department of Energy (DOE). The US government retains and the
- 23 publisher, by accepting the article for publication, acknowledges that the US government retains a
- 24 nonexclusive, paid-up, irrevocable worldwide license to publish or reproduce the published form of
- this manuscript, or allow others to do so, for US government purposes. DOE will provide public
- access to these results of federally sponsored research in accordance with the DOE Public Access
- 27 Plan (http://energy.gov/downloads/does-public-access-plan).

2

28 Abstract

Stomata are the pores on a leaf surface that regulate gas exchange. Each stoma consists of two guard cells whose movements regulate pore opening and thereby control CO₂ fixation and water loss. Guard cell movements depend in part on the remodeling of vacuoles, which have been observed to change from a highly fragmented state to a fused morphology during stomata opening. This change in morphology requires a membrane fusion mechanism that responds rapidly to environmental signals, allowing plants to respond to diurnal and stress cues. With guard cell vacuoles being both

35 large and responsive to external signals, stomata represent a unique system in which to delineate

36 mechanisms of membrane fusion.

Fusion of vacuole membranes is a highly conserved process in eukaryotes, with key roles played by two multi-subunit complexes: HOPS (homotypic fusion and vacuolar protein sorting) and SNARE (soluble NSF attachment protein receptor). HOPS is a vacuole tethering factor that is thought to chaperone SNAREs from apposing vacuole membranes into a fusion-competent complex capable of rearranging membranes. To resolve a counter-intuitive observation regarding the role of HOPS in regulating plant vacuole morphology, we derived a quantitative model of vacuole fusion dynamics

43 and used it to generate testable predictions about HOPS-SNARE interactions. We derived our model

by applying simulation-based inference to integrate prior knowledge about molecular interactions
 with limited, qualitative observations of emergent vacuole phenotypes. By constraining the model

45 with finited, quantative observations of emergent vacuole phenotypes. By constraining the model 46 parameters to yield the emergent outcomes observed for stoma opening – as induced by two distinct

47 chemical treatments – we predicted a dual role for HOPS and identified a stalled form of the SNARE

48 complex that differs from phenomena reported in yeast. We predict that HOPS has contradictory

49 actions at different points in the fusion signaling pathway, promoting the formation of SNARE

50 complexes, but limiting their activity.

51 Author summary

52 Plants "breathe" through pores in their leaves where each pore is formed by two specialized cells 53 called guard cells. To open these pores, guard cells change in volume. This volume change is 54 controlled by water-filled organelles called vacuoles that morph from multiple small entities to a few 55 large ones capable of taking up more water to reshape the cell. Specialized proteins in vacuole membranes make this change happen by pulling vacuoles together until they fuse. Some of these 56 57 proteins reside in membranes, but others must be drawn to the membrane from the cell's cytoplasm. 58 Specific lipid molecules in the membrane play an important role in recruiting those proteins to the 59 vacuole membrane. We previously made an unexpected finding that removing this lipid induces plant vacuole fusion. To make sense of this observation, we used a mathematical model to piece together 60 61 our knowledge of the proteins involved in this process and what we know about the chemical 62 treatments that cause vacuoles to morph. Using computer simulations, we uncovered new rules about 63 how molecules interact in membranes to accomplish the task of vacuole fusion in plants. We think 64 the rules uncovered through mathematical modeling allow plants to respond quickly to

65 environmental cues.

66

3

67 **Text**

68 Introduction

69 Stomata are pores in the surface of plant leaves that are critical for gas exchange – as required for 70 photosynthesis and the control of leaf transpiration and temperature. Stomatal movement (opening 71 and closing) is tightly controlled in response to exogenous cues such as changes in light or 72 temperature, and endogenous cues such as circadian regulation or hormone signaling [1]. The 73 transition from closed to open stomata is a complex process with several well-studied component 74 phenomena, including the activation of blue-light photoreceptors, K+ ion influx, and water uptake 75 [2]. Studies by confocal and electron microscopy have shown dynamic changes in the morphology of 76 the vacuole between closed and open stomata [3,4]. Specifically, in the closed state of the stoma, the 77 vacuoles within guard cells exhibit a fragmented or highly convoluted morphology, appearing 78 sometimes as numerous small organelles [3–6]. When the pore is open, these same cells exhibit a 79 vacuole morphology typical of other mature plant cells – namely a single large vacuole, or few 80 vacuoles, occupying most of the intracellular space. Importantly, vacuole membrane fusion is 81 necessary for full opening of the pore [6]. This dynamic vacuole activity is not observed in most

82 mature plant tissue, making the guard cells a unique model to study vacuole fusion.

83 Fusion of vacuole membranes is a highly conserved process in eukaryotes and is best described 84 in yeast [7,8]. Two multi-subunit protein complexes act in concert to induce vacuole fusion, the homotypic fusion and vacuolar protein sorting (HOPS) and soluble NSF attachment protein receptor 85 (SNARE). HOPS is a tethering complex that is recruited from the cytosol by active RAB proteins 86 87 and the presence of specific phosphoinositides at the vacuole membrane [8]. HOPS then is thought to provide binding sites for SNAREs from apposing membranes and thereby promote the formation of 88 89 gap-spanning trans-SNARE complexes to support fusion. HOPS was also proposed to proofread the 90 fidelity of the *trans*-SNARE complex and protect it from disassembly [9,10]. In the case of plant 91 vacuoles, RAB7 has been implicated in homotypic vacuole fusion upstream of HOPS recruitment 92 [11], which also requires the accumulation of phosphatidylinositol 3-phosphate (PI3P) [12].

93 Thus, the series of events leading to plant vacuole fusion, an essential transformation for full 94 opening of the stomata, would seem to be: (1) HOPS subunits arrive at the vacuole membrane, 95 mediated, in part, by the presence of PI3P; (2) HOPS tethers a pair of vacuoles and chaperones their 96 SNARE proteins into the *trans*-SNARE fusion machinery; (3) the *trans*-SNARE complex zippers, 97 exerting the force required to fuse apposing membranes, an event that is accompanied by HOPS 98 release from the membrane (Figure 1A). Linear logic would lead one to expect that withdrawing 99 PI3P from this system would impair the cell's ability to respond to pore-opening signals by fusing 100 vacuoles. However, depleting PI3P by treating guard cells with the Phosphatidyl-Inositol 3-Kinase 101 (PI3K) inhibitor wortmannin causes spontaneous fusion in plants (Figure 1B). That is, even in the 102 absence of the appropriate environmental or biological cue, guard cell vacuoles fuse when PI3P, a 103 membrane lipid thought to be required for forming the fusion machinery, is removed [6]. This seems 104 to be a plant-specific process, as PI3P depletion does not induce vacuole or lysosome fusion in yeast 105 or animal cells [13–15].



Fig 1. Contradictory results regarding the mode of action of PI3P and HOPS in plant vacuole fusion. (A) HOPS is recruited from a cytosolic pool to the vacuole membrane, due, in part, to the presence of the phosphoinositide PI3P. HOPS is responsible for tethering vacuoles together and chaperoning membrane-embedded SNARE proteins into a *trans*-SNARE fusion complex. Vacuole fusion is mediated by the zippering activity of the SNARE complex. As part of the fusion event, HOPS leaves the membrane. Based on this prior knowledge, one would expect fusion to be impossible in the absence of PI3P. (B) When wortmannin, a PI3K inhibitor, is used to deplete PI3P from the guard cells of closed stoma, small vacuoles rapidly fuse.

106 These observations present a puzzle as to the preconditions for vacuole fusion. To explore how 107 PI3P depletion could promote spontaneous fusion, we developed a systems model of known and 108 hypothetical events that may control fusion complex assembly and activation in Arabidopsis. Our 109 model consists of ordinary differential equations (ODEs) describing the dynamic recruitment, complexation, and interaction of HOPS and SNARE proteins at vacuolar membranes. We used mass 110 111 action kinetics to capture the dependence of each event on the abundance of required species. As 112 with all such mathematical models, we then had the challenge of assigning values to rate constants 113 and other parameters of the model. As directly measuring the kinetics of individual molecular-scale 114 events is challenging, we sought to inform the kinetics of molecular events by simulation-based 115 inference [16–21]. Essentially, knowing what system perturbations should promote fusion, and 116 having some sense of how fusion rates differ under different perturbations, we can classify candidate parameter sets as plausible or implausible based on the model's ability to predict the expected fusion 117 118 dynamics. This means that we make no pretense of defining a single parameterization, but instead 119 computationally pre-screen the model to exclude kinetics that are inconsistent with current 120 knowledge and define the domain of kinetics that is worthy of further interrogation.

While computational models can offer a machine-assisted approach to reason about biological data, one typically desires abundant, quantitative data to inform such models. However, biological data often come in the form reported in Figure 2, which captures emergent, qualitative vacuole



Fig 2. Fusion due to wortmannin treatment proceeds more quickly than fusion due to a fusicoccin stimulus. (A) Dark-acclimated, ABA-treated *Arabidopsis thaliana* guard cells were imaged prior to treatment with a fusion-inducing stimulus. (B) Vacuole morphology after 20 minutes of fusicoccin and light treatment and (C) after 20 minutes of wortmannin treatment. (D) A qualitative survey of vacuole morphology reveals rapid wortmannin-driven fusion. We classified guard cells as having fragmented, fully fused, or intermediate vacuole phenotypes at zero minutes, twenty minutes, and two hours after inducing fusion. The evolution of vacuole morphology was complete after 20 minutes in the wortmannin-treated cell group. Vacuole morphology in fusicoccin-treated cells continued to evolve after that time. Vacuoles were stained with BCECF. Chloroplasts (dark ovals inside guard cells) typically do not take up the vacuole stain.

124

- 125 phenotype. Here, we do not have a finely resolved time-course of stomatal dynamics or vacuole
- number. In principle, one could derive quantitative information about vacuole size and number from
- 127 live-cell images. That would require (i) a sufficient number of images to obtain statistically sound
- 128 estimates, (ii) automated image segmentation algorithms to alleviate the laborious tasks of counting
- and measuring vacuoles, (iii) images of sufficient quality for successful application of such
- algorithms, and (iv) adequate financial and skilled human resources to perform the larger number of
- 131 experiments required. By contrast, a qualitative summary of vacuole fusion phenomena is
- 132 straightforward to make, and we sought to determine whether this information might be adequate to
- 133 constrain our definition of plausible biological mechanisms via a mathematical model. If so, such a
- 134 modeling approach could pre-screen likely regulatory mechanisms and inform a targeted
- experimental strategy in which to invest greater time and resources.

136 **Results and Discussion**

137 **PI3P** depletion causes vacuoles to fuse faster than fusicoccin treatment does.

138 Stoma vacuole fusion can be induced under laboratory conditions by treatment with fusicoccin or

139 wortmannin. Fusicoccin is a fungal toxin that promotes stomata opening by activation of the plasma

140 membrane H⁺ ATPase [22,23]. Thus, fusicoccin can be used as a proxy for the signaling pathway

141 that triggers stomata opening downstream of light perception [23], but fusicoccin is not expected to

142 drive vacuole fusion directly. The modeling strategy we report herein was motivated by experiments

- 143 comparing the dynamics of fusicoccin- and wortmannin-induced vacuole fusion. We first induced
- stomata closure by incubating leaves with abscisic acid (ABA) in the dark. Consistent with prior

6

- results [6,24], this resulted in guard cells with highly fragmented vacuoles (Figure 2A). The leaves were subsequently treated with either fusicoccin or wortmannin, and both treatments induced vacuole
- 147 fusion (Figure 2B and C, respectively).

148 Vacuoles in wortmannin-treated guard cells fused rapidly, completing this change in morphology 149 within 20 minutes (Figure 2D, left). At that 20-minute timepoint, vacuoles fusing in response to

- fusicoccin still presented an intermediate morphology, with fusion activity continuing for over an
- hour (Figure 2D, right). Thus, guard cell vacuole fusion presents non-heuristic emergent dynamics.
- 151 Specifically, (1) wortmannin depletes PI3P from vacuole membranes, and thereby initiates a series of
- events previously thought to depend on the presence of that lipid; and (2) the fusion process initiated
- by wortmannin treatment is accelerated compared to that associated with normal physiological
- responses (mimicked here by fusicoccin treatment). With the goal of proposing a molecular pathway
- 156 capable of explaining these observations, we used mathematical modeling as a tool to integrate these
- 157 phenotypic observations with prior knowledge about the molecular machinery of fusion.

158 Prior knowledge of molecular mechanisms fails to explain PI3P regulation of fusion

159 By collating information about membrane fusion in plants, yeast, and animal cells, we 160 established the following as prior knowledge about the molecular events leading to vacuole fusion: (1) Membrane fusion requires a *trans*-SNARE complex [25]; (2) HOPS chaperones free SNARE 161 proteins into a trans-SNARE complex [26,27]; (3) The HOPS subunit VPS41 (AT1G08190) is 162 observed at vacuole membranes only in the presence of the membrane lipid PI3P [12]. Together, 163 these facts align with the linear scheme shown in Figure 3A, where the process of fusion appears to 164 165 emerge as a direct consequence of the presence of PI3P. The scheme implies that the absence of PI3P would prevent recruitment of HOPS subunits to the vacuole membrane and would thus prevent 166 167 chaperoning of the *trans*-SNARE fusion complex. However, our experiments yielded the unexpected 168 observation that PI3P depletion causes vacuoles to fuse. Given that linear reasoning about the 169 molecular mechanisms underlying vacuole fusion failed to explain the reality of the biology, we sought an alternative mechanism that could capture the complex outcomes observed – and do so 170 171 while remaining consistent with our prior knowledge about the molecular players in the system.



Fig 3. Two schematics of increasing complexity that describe potential molecular events leading to vacuole fusion (A) A schematic of the known signaling events leading to vacuole fusion. Circles represent species and their complexes. Squares represent events. Arrows into an event indicate the logical requirements for that event to take place. Arrows originating from an event indicate the event's consequences. The replacement of an arrowhead by a dot indicates that a species is required for an event

7

to take place, but that species is not consumed when the event happens. Thus, PI3P is required for HOPS to be recruited from the cytosol to the membrane (event 1). HOPS may then leave the membrane (event 2) or participate in chaperoning of free SNARE proteins into a *trans*-SNARE complex (event 3). Finally, the *trans*-SNARE complex drives fusion activity (event 4). This linear scheme and its cascading series of logical requirements implies that the removal of PI3P can only prevent fusion. (B) Schematic of increased complexity positing the spontaneous dissociation (and reassociation) of a HOPS:*trans*-SNARE supercomplex. **Events 1 and 2 are retained from part A.** HOPS chaperoning of free SNARES (event 3) results in a HOPS:*trans*-SNARE super-complex from which HOPS must be removed – if we assume that the *trans*-SNARE complex is the competent species driving fusion (event 6). The simplest way we can posit for HOPS to be removed is by spontaneous dissociation (event 4). Species that dissociate spontaneously can likely reassociate (event 5).

172 We began by asking what additional complexity might have been overlooked in our simple, linear description of the biological mechanism. For one, if HOPS chaperones SNARE proteins into a 173 174 trans-SNARE complex, then there is, at some point, a HOPS: trans-SNARE super-complex. This 175 complex's existence was previously implicit in the chaperoning event (event 3 in Figure 3A). Making 176 it explicit in the model compels us to ask whether the HOPS: trans-SNARE super-complex is 177 competent for facilitating fusion. The alternative would be to posit that the bare *trans*-SNARE 178 complex is the fusion-competent assembly. We proceeded with this second assumption, which 179 creates a requirement for HOPS to be removed from the super-complex. Following the principle of 180 parsimony, we adopted the simplest possible explanation for HOPS removal – namely, spontaneous 181 dissociation (Figure 3B, event 4). If two species can spontaneously dissociate, it is reasonable to 182 consider the possibility of reassociation (Figure 3B, event 5). Finally, we made explicit the events 183 that follow membrane fusion. After two membranes join, the SNARE complex has all members 184 co-located in the same membrane, as a *cis*-SNARE complex. This must be disassembled to prime 185 free SNAREs to participate in new vacuole-bridging complexes that drive subsequent rounds of 186 vacuole fusion. We combined the events of fusion, disassembly, and priming into a single abstracted 187 event 6, as shown in Figure 3B.

188 This non-linear scheme offers a potential explanation for the fusion response observed in the 189 wortmannin experiment. If the fusion-competent species is the bare *trans*-SNARE complex, once the 190 HOPS: trans-SNARE super-complex is formed, any perturbation that promotes the dissociated state 191 will lead to fusion activity. If dissociation of the super-complex were reversible, an excess of HOPS 192 in the membrane would tend to keep the *trans*-SNARE complex in the super-complex state. 193 Conversely, any perturbation that reduces HOPS abundance in the membrane would promote 194 accumulation of the fusion-competent *trans*-SNARE machinery. In this schematic, two competing 195 processes determine HOPS abundance in the membrane: HOPS subunit recruitment (event 1), which 196 requires PI3P, and HOPS turnover from the membrane (event 2), which we assume to proceed at 197 some basal rate. Depleting PI3P would put a stop to HOPS recruitment, leaving the turnover process 198 to eliminate HOPS from the vacuole membrane. If turnover were sufficiently rapid, the result would 199 be a swift release of fusion competent *trans*-SNARE complexes and corresponding fusion activity.

While this conceptual model may explain the wortmannin experiment, it does not allow for fusion in the presence of PI3P. As PI3P depletion is a consequence of a lab-based chemical perturbation and is not known to be a feature of vacuole fusion in the native plant, the model is, at best, incomplete. However, the framework defines a hypothetical function required to complete the

8

formation of fusion-competent SNARE complexes – one that enables a search for a missing signal in
 our biological mechanism.

Conceptual model suggests a missing signaling event, and yeast vacuole fusion offers a candidate signal

208 Our conceptual model suggests that HOPS removal could be a critical event to activate the *trans*-SNARE complex. Thus, we posited that the native stoma might possess an active mechanism for 209 210 HOPS displacement from fusion complexes. While absent from the Arabidopsis literature, we found 211 evidence of such stalling and activation phenomena amongst the comparatively well-studied proteins 212 involved in yeast vacuole fusion. Specifically, we noted the example of Sec17 (SGD:S00000146), 213 an alpha NSF attachment protein (α -SNAP) with a multifaceted role in yeast membrane fusion [28– 214 33]. Sec17 facilitates rapid fusion when added to mixtures of stalled intermediate complexes in 215 reconstituted proteoliposome experiments [33,34] involving truncated SNARE proteins which cannot 216 fully zipper together to drive membrane fusion. Interactions between membrane lipids and an apolar 217 loop on Sec17 lower the energy barrier for membrane rearrangement, thereby encouraging fusion 218 [33]. Given this information, we asked whether Arabidopsis possesses homologs of yeast Sec17. A 219 220 BLASTP search of the Arabidopsis genome returns two loci with high similarity to Sec17: one locus

with two isoforms (AT3G56190, E-values of 3e-38 and 1e-29) and another with one form
 (AT3G56450, E-value of 5e-15). These loci are annotated as ASNAP/ALPHA-SOLUBLE NSF

223 ATTACHMENT PROTEIN (SNAP)2 (AT3G56190) and ALPHA-SNAP1 (AT3G56450). Roles for

these proteins in the *Arabidopsis* fusion apparatus have not been reported, but a role in

225 gametogenesis for ASNAP/ALPHA-SNAP2 has been established by Liu *et al.* [35]. Finally, both

ASNAP1 and ASNAP2 transcripts are present in *Arabidopsis* guard cells [36,37]. Thus, we adopted

227 Sec17 as a candidate signal for displacement of HOPS from HOPS-*trans*SNARE super-complexes,

as depicted in the updated model shown in Figure 4. However, we wish to reiterate our hypothesis

concerns a functional role, not a specific protein. While these proteins are strong candidates, it may
 be the case that another protein besides ASNAP1 or ASNAP2 performs this function in *Arabidopsis*.

231 Notwithstanding, the schematic in Figure 4 informs the remainder of this investigation.

Determining the validity of this conceptual model required that we confront it with empirical data. To this end, we turned the diagram in Figure 4 into a system of differential equations that we could simulate to predict emergent outcomes under different perturbations. The equations, reported in the detailed methods section, reflect the evolution of membrane protein abundances and configurations over time. When non-dimensionalized, the system of equations featured eight parameters. Table 1 defines those parameters, along with their associated events in the conceptual

238 model.



RECRUIT ASSEMBLE PRIME & FUSE

Fig 4. Final schematic offering the hypothesis that HOPS displacement is required to activate the *trans*-SNARE complex and drive fusion. The literature on yeast vacuole fusion offers a candidate signal with precisely that function – *i.e.*, activating assembled, but inactive, trans-SNARE complexes. Furthermore, the *Arabidopsis* analogue of that yeast protein is expressed in guard cells. In our schematic, this protein, Sec17, is a cytoplasmic species recruited to the membrane (event 6), where it can associate with and activate *trans*-SNARE complexes (event 9). We posit that Sec17 cannot displace HOPS from HOPS:*trans*-SNARE super-complexes until the system receives some upstream signal that triggers stoma opening (event 8). After HOPS displacement, the fusion-competent complex drives fusion events (event 10). We allow the abstraction in event 10 to be inclusive of post-fusion phenomena such as disassembly of the *cis*-SNARE complex, freeing individual SNARE proteins to participate in further rounds of fusion. Event 7 represents turnover of Sec17 from the membrane. Events 1 through 5 are retained from earlier schematics (Figure 3).

239

parameter	corresponding event	description
K ₁	1,2	rates of HOPS recruitment and turnover
K ₃	3	chaperoning rate
K ₄	4	rate of HOPS: trans-SNARE super-complex dissociation
$\alpha (= K_5/K_4)$	5	HOPS & <i>trans</i> -SNARE reassociation rate expressed relative to HOPS: <i>trans</i> -SNARE dissociation rate
K ₆	6	rate of Sec17 recruitment
K ₇	7	rate of Sec17 turnover
$\beta (= K_8/K_9)$	8	rate for Sec17 displacement of HOPS expressed relative to rate for Sec17 association with <i>trans</i> -SNARE complexes

Table 1. Model parameters and their descriptions

10

	K ₉	9	rate of Sec17 association with <i>trans</i> -SNARE complexes
240	The only d	ata available to in	nform the model (Figure 2) reports on the evolution of vacuole
241	morphology ar	nd does not captu	re the kinetics of individual events represented in the model.
242	Assuming that	the model param	neters have been determined to be structurally identifiable, parameter
243	inference appr	oaches can be use	ed to reverse engineer parameter values based on known systems-
244	level outcomes	s. However, that t	typically involves quantitative observations, and, preferably, time-
245	series data of s	sufficient quality	and quantity to render parameters practically identifiable. With only
246	qualitative phe	notypic observat	ions to work with, we questioned whether that information could
247	meaningfully o	constrain the para	meterization of our proposed model and whether we could learn
248	anything about	t the properties of	f the molecular signaling pathway from the available data.

249 Vacuole morphology, as a qualitative phenotype, constrains model parameterization

250 As a first attempt to assess whether the existing observations might meaningfully constrain 251 model parameterization, we ran simulations using parameter values randomly sampled across a large 252 range spanning eight orders of magnitude. While sampling $\sim 10^5$ different parameter sets could not 253 even begin to meaningfully explore the eight-dimensional parameter space, this cursory assessment 254 did shed some light on whether the expected fusion characteristics are trivial to produce. To this end, 255 we specified semi-quantitative emergent behaviors that the simulations would need to produce for the 256 predictions to match our biological observations. We defined a match as any simulation that met five criteria: (1) the system must have a stable steady state prior to any perturbation causing fusion 257 258 activity; (2) the system should exhibit increased fusion activity upon removal of PI3P; (3) the system 259 should exhibit increased fusion activity upon triggering of event 8, our hypothetical mechanism of 260 fusicoccin-responsive fusion; (4) fusion due to PI3P removal should occur more quickly than fusion due to trigger activation; (5) spontaneous fusion events in the absence of a specific signaling 261 262 perturbation should be rare. We converted these qualitative statements into quantitative criteria (CR_i) 263 by setting numerical thresholds (TH_i) for acceptance of any given simulation. Table 2 details these 264 acceptance criteria, expressed as inequalities.

phenotypic constraint (CR _i)		mathematical interpretation	percent of sampled parameter sets that satisfice criterion
CR ₁	Prior to signal perturbation, the system should exhibit a stable steady state.	$\ d/dt\ _{allspecies} \le 10^{-8}$	56.4%
CR ₂	PI3P depletion induces fusion.	$\frac{\int [Sec17:SNARE]_{PI3P \text{ depletion }} dt}{\int [Sec17:SNARE]_{no \text{ perturbation }} dt} \le 0.1$	9.7%
CR ₃	Trigger activation induces fusion.	$\frac{\int [Sec17:SNARE]_{\text{trigger activation}} dt}{\int [Sec17:SNARE]_{\text{no perturbation}} dt} \le 0.1$	24.0%
CR ₄	Fusion due to PI3P depletion should be faster than that due to trigger activation.	$\frac{\int [Sec17:SNARE]_{\text{trigger activation}} dt}{\int [Sec17:SNARE]_{\text{PI3P depletion}} dt} \le 0.1$	20.2%
CR ₅	In the absence of an external signal,	$[Sec17:SNARE] _{no \ perturbation} \le 10^{-6}$	6.9%

Table 2. Translating qualitative phenotype observations into quantitative constraints

11

spontaneous fusion events should be rare.		
all criteria satisficed concurrently		0.1%

265

266 As our model can only report the instantaneous flux associated with the fusion event (*i.e.*, a rate 267 proportional to the abundance of [Sec17:SNARE], as indicated by event 10 in Figure 4), we chose to quantify cumulative fusion activity as the integral under the curve of fusion rate vs. time. For a given 268 269 parameter set, we compared these integrals across simulations performed without a signal 270 perturbation to those with a signal perturbation. As we had no quantitative data on relative fusion 271 dynamics, we selected very conservative thresholds for the expected changes in fusion activity. For 272 example, for criterion 2, when we simulated PI3P removal, we accepted any simulation increasing 273 fusion activity by ten-fold or greater. Biological intuition suggests that a ten-fold increase is likely 274 insufficient to explain our guard cell observations. If vacuole fusion takes place within 20 minutes 275 (Figure 2), and that process involves fusion activity only ten-fold greater than that in untreated cells, 276 one might expect to see vacuoles fuse spontaneously in untreated cells over a several hours. This 277 does not occur, so our chosen threshold is likely overly permissive. However, an overly restrictive 278 threshold might elide regions of parameter space that are, in truth, biologically relevant. We chose to 279 err on the side of permissiveness.

280 Table 2 reports the mathematical definitions of our criteria and our chosen acceptance thresholds. 281 We accepted as plausible any parameterization that produced simulation results that met these 282 thresholds. In this Table, we also detail the fraction of the evaluated parameter sets that returned 283 emergent dynamics matching this quantitative interpretation of our qualitative biological 284 observations. These fractions were determined by evaluating model outcomes for N=2¹⁸ points in the 285 eight-dimensional parameter space. We generated these samples using uniform Sobol' sampling 286 (sobolset function in MATLAB) and found that less than 1% of the examined parameter sets 287 produced simulation outcomes matching all five of our desired emergent behaviors. As fully 288 satisficing parameter sets were scarce, we posited that our conservative criteria, expressed as 289 inequalities, may be sufficient to constrain parameterization of our model. The parameters would 290 clearly not be uniquely identifiable, but we should be able to discriminate subdomains of parameter 291 space that return biologically plausible kinetics. Interrogation of those sub-domains could then 292 indicate experimentally tractable measurements that would permit us to establish the viability of our 293 hypothesized signaling pathway. A simulation-based inference approach such as approximate 294 Bayesian computation can enable a search for those domains by identifying plausible parameter 295 values.

296 Simulation-based inference delineates plausible regions of parameter space

297 As we approached the problem of parameterizing this model, we first determined whether the 298 model might be insensitive to any of the eight parameters. If so, one could fix the value of one or 299 more parameters and thereby simplify our search for plausible kinetic constants. To this end, we 300 performed a Sobol' global sensitivity analysis using the correlation-based approach of Glen and 301 Isaacs [38]. This variance-based approach returns indices indicating how much each model parameter 302 contributes to the variance of each simulation outcome. Performing the analysis required that we turn 303 our simulation acceptance criteria into quantitative metrics indicating how far a given simulation is 304 from satisficing the established criteria. We chose to translate each criterion and its acceptance 305 threshold via a RelU objective function, as indicated in Equation 1. This formulation has the benefit 306 of mapping all results meeting the acceptance threshold to identical scores of zero, while penalizing 307 results that do not meet our thresholds. Failing simulations will return scores that increase linearly as

12

308 the simulation outcomes increasingly deviate from our desired behaviors. Using these functions as 309 the outcomes evaluated in the Sobol' analysis allowed us to evaluate how each model parameter 310 contributed to a decision as to whether a simulation would produce satisficing amergent behaviors.

310 contributed to a decision as to whether a simulation would produce satisficing emergent behaviors.

311
$$O_i = RelU\left(\frac{CR_i - TH_i}{TH_i}\right)$$
[1]

312 Figure 5 reports our estimates of the first order and total sensitivity indices. We note that 313 acceptance of the steady state criterion appears insensitive to all parameters when only first-order 314 effects are considered. However, when second and higher order parameter interactions are included 315 (*i.e.*, in total sensitivity index), the steady-state requirement exhibits the greatest sensitivity to all 316 parameters. Although the parameter describing the rate constant for Sec17 displacement of HOPS 317 contributes little variance to satisfaction of our five outcomes of interest, the parameter's impacts on 318 outcomes 3 and 4 (relative rates of fusion under different treatments and expectations for basal 319 fusion, respectively) are significantly different from zero, as determined by a Wilcoxon Rank Sum test ($T_i = 0.009$ and 0.03, respectively; p < 1e-6. Approach detailed in Methods). Note that these 320 321 values do not reflect absolute sensitivity, but rather indicate each parameter's relative contribution to 322 overall variance of each model outcome. Given the results of this sensitivity analysis, we chose to 323 estimate all eight parameters.



Fig 5. Variance-based global sensitivity analysis. (A) First-order indices, indicating what fraction each model parameter (y labels) independently contributes to the variance of each model outcome (x labels). (B) Total Sobol' indices indicating each parameter's contribution to the variance of each outcome when considering all inter-parameter interactions. We calculated the Sobol' indices using two independent sets of N=10⁵ samples. Results indicate that all parameters make a statistically significant contribution to satisficing the desired model outcomes. However, the contribution of the rate constant for HOPS displacement by Sec17 is weak across all outcomes, and the SNARE chaperoning rate has little impact on fusion dynamics. Seven of the eight parameters strongly impact the steady state criterion, but they do so almost exclusively through parameter interactions.

Using our objective functions as indicators of valid emergent dynamics, we attempted to infer plausible regions of parameter space for our model. To achieve this, we used the Bayesian inference

approach described by Toni *et al.* [39]. – namely, Approximate Bayesian Computation using

327 Sequential Monte Carlo (ABC-SMC). This algorithm requires a distance metric to quantify the

deviation of a simulation outcome from a target value. Given our desire to satisfice multiple

outcomes simultaneously, we summed the five objective functions, defined as per Equation 1, to give

a single summary statistic. With this definition, the target value for our summary statistic was zero.

- We used a uniform perturbation kernel, N=5000 particles, and a schedule that reduced the rejection
- constant by 10% for each successive particle population. Further details on our implementation of the
- ABC-SMC algorithm can be found in the Methods section.

To assess the reproducibility of our parameter inference strategy, we performed two independent

ABC trials that differed in their randomly generated initial particle population. We tested

reproducibility by performing two-sample Kolmogorov-Smirnov hypothesis tests on the paired

marginal distributions obtained in the two trials (α =0.05). The two trials exhibited no statistically

- significant difference in the distributions inferred for any parameter (p-values ranged from
 [0.218.0.758]). Thus, we concluded that the results were representative and reproducible. Figure (
- 339 [0.218,0.758]). Thus, we concluded that the results were representative and reproducible. Figure 6
- depicts the inferred marginal distributions for each model parameter, and Table 3 reports the







Fig 6. Marginal distributions indicating plausible parameter values, as inferred via approximate Bayesian computation. Inference was performed for two independent replicates using a particle population of 5000 parameter sets each. Plausible parameter sets are those that allow the model to satisfice all five criteria presented in Table 2 (see Table 1 for parameter descriptions). Satisficing these criteria implies that the model recapitulates the experimental observations of interest. The plots represent the final particle populations from both replicates, yielding histograms reflecting N=10000 satisficing parameter sets.

343

14

parameter	95% credible interval
K ₁	[-0.38, 3.9]
K_3	[0.25, 3.9]
K_4	[-3.2, 3.8]
$\alpha (= K_5/K_4)$	[2.1, 4.0]
K_6	[-3.9, 2.0]
K_7	[-1.9, 3.9]
$\beta (= K_8/K_9)$	[-2.7, -0.51]
K ₉	[-3.9, 1.9]

Table 3. Ninety-five percent credible intervals for plausible parameter values, as inferred via approximate Bayesian computation. Values are reported as $log_{10}(\theta)$.

344

345 Although no parameters were well-constrained, our inference approach did identify plausible 346 sub-domains in parameter space. Alternatively, one could say that we effectively excluded 347 implausible domains that would not be worthy of further interrogation. Having searched over eight 348 orders of magnitude, our ABC-SMC algorithm found plausible values ranging over 2-7 orders of 349 magnitude (Table 3). On the more-constrained end of the scale, α (the relative rate of HOPS and 350 SNARE reassociation) and β (the relative rate of HOPS displacement by Sec17) varied over 2-3 351 orders of magnitude. At the other extreme, inferred values for K₄ (the HOPS: trans-SNARE 352 dissociation rate), K₆ (the Sec17 recruitment rate), K₇ (rate of Sec17 turnover from the membrane), 353 and K₉ (the rate of Sec17 association with bare trans-SNARE complexes) extended across at least 6 354 orders of magnitude. Indeed, the marginal distribution for K4 exhibited plausible values across nearly 355 the full range examined. Despite the broad range of values deemed plausible, we learned general 356 emergent principles when we examined the relationships between parameter pairs by plotting 2D 357 histograms.

358 Model predicts that closed stoma exhibit stalled trans-SNARE fusion complexes

359 As indicated by the inferred values for α , our ABC-SMC process identified plausible parameter domains where HOPS and SNARE complexes reassociate with a rate constant greater than the 360 361 HOPS:trans-SNARE super-complex dissociates. While we found plausible values for the 362 HOPS: trans-SNARE dissociation rate, K₄, throughout the interrogated parameter range, we 363 consistently observed that the reassociation rate was 2-4 orders of magnitude faster (Table 3). Indeed, 364 an associated finding was the predominance of protein super-complexes rather than HOPS absence or 365 free SNARE proteins in the pre-fusion steady state. A survey of the model's steady state across all 366 10,000 inferred parameter sets consistently returned the result that HOPS complexes are in HOPS: trans-SNARE super-complexes prior to fusion signaling (95% CI for free HOPS abundance 367 368 relative to HOPS: trans-SNARE super-complexes: [0.952, 0.952]). Our simulations similarly 369 predicted that SNARE proteins are almost exclusively in super-complexes rather than the free 370 SNARE state (95% CI: [0.992, 1.00]). From this, we offer the testable hypothesis that HOPS should 371 be observed in the vacuole membranes of closed stoma, and an appropriate biophysical experiment

372 should provide evidence of membrane HOPS being associated with SNARE proteins.

The other key protein in our simulations is Sec17. We observed that the predicted abundance of

374 Sec17 in the membrane is consistently lower than that of HOPS. Upon surveying the ratio of total

375 membrane Sec17 to total HOPS across simulations based on our inferred parameter sets, we obtained

15

steady-state values of 5.0e-5 (median; 95% CI: [2.2e-8,0.017]). Inspecting the plausible parameter
 sets indicates this may be due to differences in protein recruitment rates. When we examined the 2D

histogram of HOPS and Sec17 turnover rates (K_1 and K_7 , respectively), we found that turnover rates

379 for the proteins may be comparable (Figure 7A). If not comparable, either protein might exhibit the

380 greater rate of turnover. However, the relationship between rates of recruitment was less ambiguous.

381 Two-D histograms suggest that HOPS and Sec17 recruitment rates (K₁ and K₆, respectively) may

382 differ considerably (Figure 7B). Indeed, the modal outcome corresponds to HOPS being recruited at

rates ~5 orders of magnitude faster than Sec17. With Figure 7C, we introduce the additional

observation that Sec17 turnover is typically faster than Sec17 recruitment (Figure 7C). From these

385 observations, we offer the testable hypothesis that Sec17 should not be readily observed in the

vacuole membranes of closed stoma. However, not seeing the protein under these conditions should

not be misconstrued as implying that Sec17 could not have a role in vacuole membrane fusion.



Fig 7. Plausible parameter domains indicate distinguishing recruitment rates for membrane HOPS and Sec17. Two-dimensional histograms depicting parameter values that satisfice all simulation criteria. (A) HOPS and Sec17 turnover rate constants (K_1 and K_7 , respectively). (B) HOPS and Sec17 recruitment rate constants (K_1 and K_6 , respectively). (C) Rate constants for Sec17 recruitment and turnover (K_6 and K_7 , respectively). Plots represent combined parameter sets from two ABC trials, giving a total of N=10⁴ parameter sets satisficing all simulation criteria (see Table 2).

Lastly, our model frames the guard cell treatments that induce fusion as doing so via two different paths: one that proceeds by Sec17 engaging spontaneously with *trans*-SNARE complexes.

and one that proceeds via Sec17 actively displacing HOPS from the HOPS:*trans*-SNARE

391 super-complex (via events 8 and 9, respectively, in Figure 4). Via our inference algorithm, we

estimated β – the ratio between the rate constants governing these paths (K₈/K₉). We observed this

value to be consistently negative in log space (median -1.5; 95% CI [-2.7, -0.51]). The inferred range

of values implies that the process requiring HOPS displacement proceeds at less than half the rate

that it would if the *trans*-SNARE complex were not associated with HOPS (median ratio of 0.029;

95% CI: [0.0022, 0.31]). This suggests that Sec17 association with *trans*-SNARE complexes is

397 hindered by the presence of HOPS.

16

398 *Modeling positions HOPS as a dual regulator of guard cell vacuole fusion, encouraging formation* 399 *of the trans-SNARE fusion complex, but stalling the complex's activity*

400 By carefully integrating our knowledge of the molecular machinery involved in vacuole fusion 401 with our observations of emergent vacuole morphology, we have arrived at a novel hypothesis (Figure 8) regarding the function of HOPS in plant guard cells. We predict that HOPS complexes 402 403 promote the formation of a stable HOPS: trans-SNARE super-complex, but that super-complex 404 cannot facilitate fusion until an appropriate signal is perceived. We thus frame a regulatory role for HOPS that is distinct from its chaperoning activity. Chaperoning helps form the fusion machinery, 405 406 but our model suggests that this event is decoupled from fusion activity. In fact, analysis of the model indicates that the presence of HOPS hinders fusion activity. Furthermore, a sensitivity analysis 407 constrained to the model's plausible parameter domains indicates that the fusion rate may be 408 409 insensitive to the chaperoning rate. We thus posit that a biological signal capable of triggering fusion should ultimately impact the HOPS: trans-SNARE super-complex, and it should do so by facilitating 410 411 HOPS displacement. This impact could arise via changes in HOPS/SNARE binding affinity or 412 Sec17/SNARE interactions. Such a change could, in turn, be induced by post-translational 413 modification of a relevant protein -e.g., a HOPS subunit. Whether this change is mediated by 414 phosphorylation status, an allosteric regulatory interaction, or another mechanism as yet unknown

415 remains a topic for future investigation.



Fig 8. Modeling positions HOPS as a dual regulator of guard cell vacuole fusion . (A) HOPS promotes formation of the *trans*-SNARE fusion machinery, but then prevents fusion activity by hindering the access of Sec17. (B) A biological signal capable of reducing HOPS:*trans*-SNARE binding affinity (*e.g.*, a signal resulting in the post-translational modification of a HOPS subunit, as indicated by the yellow star) could create the conditions required for Sec17 to displace HOPS and (C) thereby activate the otherwise stalled fusion complex, resulting in (D) membrane rearrangement and vacuole fusion.

- 416 Finally, we note that the proposed scheme positions the signal for vacuole fusion as one acting
- 417 downstream of forming the *trans*-SNARE fusion complex. For example, in the plant-specific
- 418 phenomenon of stomatal response to daylight, this mechanism would allow the plant to pre-dock
- 419 pairs of fragmented vacuoles by forming stalled *trans*-SNARE complexes overnight. The system

17

would then be poised to respond rapidly at daylight by producing a burst of fusion activity to support

421 guard cell swelling and stomatal opening.

422 Conclusion

423 We began this study with the unexpected biological observation that chemical depletion of a 424 specific phosphoinositide – one apparently required to assemble fusion machinery in guard cells – 425 induces fusion. Using simulation-based inference, we integrated existing biological knowledge with 426 scarce phenotypic data to establish plausible kinetics associated with a candidate systems model. As 427 data to inform the model, we considered the observed state of vacuole fragmentation in live cells 428 under two lab-based perturbations: (i) a fusicoccin treatment that mimics the normal cues for stoma 429 opening and (2) a wortmannin treatment that depletes the regulatory lipid of interest, PI3P. Taking 430 this phenotypic data to be evidence of relative fusion rates, we implemented a model that predicts 431 fusion activity emerging from a multi-step signaling pathway. Our observations regarding the state of 432 fragmentation were few and qualitative, but they proved sufficient information to constrain a search 433 for plausible model parameters.

434 Using an ODE model to make forward predictions and a Bayesian inference approach to reverse 435 engineer governing parameters, we characterized those regions in the model's multidimensional 436 parameter space that are consistent with the expected fusion dynamics. Then, by sampling from that 437 domain of plausible kinetics, we generated falsifiable mechanistic hypotheses regarding the 438 intracellular localization of HOPS and SNARE complexes prior to fusion. We predicted that the 439 apparently contradictory observation that PI3P is required for fusion, but removing PI3P promotes 440 spontaneous fusion in plants, can be resolved by positing that HOPS and SNARE proteins exist as 441 pre-formed, but stalled, HOPS: trans-SNARE super-complexes in the guard cells of closed stoma. 442 Our work thus positions HOPS as having a dual role in regulating *trans*-SNARE fusion complexes in 443 Arabidopsis guard cells. Namely, HOPS acts as both a promoter and inhibitor of vacuole fusion, 444 executing these roles at different points in the non-linear signaling pathway that regulates vacuole 445 fusion. We propose that the HOPS complex chaperones individual SNARE proteins into their trans-446 SNARE fusion machinery and then acts as a brake on the function of that machinery. Environmental 447 cues for stoma opening would then act as a signal to release that brake.

448 Our model also introduces a functional role for a protein acting to displace HOPS from the *trans*-449 SNARE complex. This protein interacts with trans-SNARE assemblies to form a fusion-competent 450 complex capable of rearranging membranes. By leveraging information from other kingdoms of life, 451 we inferred that the yeast protein Sec17 could serve this function. Furthermore, apparent homologs of Sec17 (ASNAP1 and ASNAP2) exist in Arabidopsis. Additionally, ASNAP2 has been shown to 452 453 interact with the vacuolar Qa SNARE SYP22 in root tissue isolates [40]. We suggest that research 454 efforts focused on ASNAP1 and ASNAP2 would be useful avenues for future investigation. Whether 455 ASNAP proteins interact with the specific SNARE hetero-tetramer involved in guard cell vacuole 456 fusion remains unknown.

In addition to the insights documented here, our proposed model for vacuole fusion dynamics
lays the foundation for future research as a tool for hypothesis generation. This will facilitate study of
protein functions and interactions that would otherwise be difficult to track experimentally. Finally,
future validation of this model may eventually lead to genetic applications to increase water use
efficiency in dicot crop systems.

- 462
- 463 Methods

18

464 *Plant growth conditions and stomata assays*

465 Wild type Arabidopsis thaliana ecotype Columbia-0 (Col-0) plants were grown in soil at 22°C with a

- 466 16 h photoperiod. Leaves from 4-week old plants were cut in the morning and immediately processed 467 to generate epidermal peels as described [41–43] with modifications. Briefly, a small leaf fragment
- to generate epidermal peels as described [41–43] with modifications. Briefly, a small leaf fragment was applied abaxially to a coverslip coated with medical adhesive and all but the bottommost layers
- 469 of abaxial cells containing the stomata were scrapped away with a razor. 1 mm thick silicone
- 470 isolators (GraceBio #664170) were used to create wells around the adhesive for incubations.
- 471 Epidermal peels were immediately incubated in stomata buffer (MES pH 6.1). To induce stomatal
- 472 closure, peels were incubated in closing buffer (10 mM MES pH 6.1, 40 mM malate, 5 mM CaCl₂,
- 473 10 μM 2',7'-Bis-(2-CarboxyEthyl)-5-(and-6)-CarboxyFluorescein, Acetoxymethyl Ester (BCECF,
- 474 Fisher Scientific B1170), 50 mM abscisic acid (ABA, Sigma Aldrich A1049) at 22°C in the dark for
- two hours. To induce stomatal opening or vacuole fusion, ABA-treated peels were incubated in
- opening buffer (10 mM MES pH 6.1, 50 mM KCl) supplemented with 10 μM BCECF and either 3
 μM fusicoccin (Sigma F0537) or 33 μM wortmannin (Sigma W3144). Wortmannin-treated peels
- 4// µvi iusicoccin (Sigma F0557) or 35 µvi wortmannin (Sigma W3144). Wortmannin-treated peel 478 were kept in the dark, while fusicoccin-treated peels were exposed to the light for up to 1 h. All
- 476 were kept in the dark, while fusicoccin-freated peels were exposed to the light for up to 1 h. All 479 concentrated stocks were first dissolved in DMSO. Images of leaf epidermal peels were acquired
- 475 concentrated stocks were first dissolved in Diviso. Images of leaf epidefinal peers were acquired 480 after ABA incubation and after 20, 40 and 60 min of fusicoccin or wortmannin treatments.

481 Microscopy

482 Confocal laser scanning microscopy was carried out in a Zeiss LSM 980 confocal microscope.

- 483 Images were taken with a 40× FCS water objective (1.1 N.A.). Acquisition of BCECF fluorescence
- 484 was accomplished with 405 nm laser excitation and 495-550 nm emission filter set. Images were
- 485 acquired with an Airyscan detector with a pinhole size of 2.5 airy units.

486 Protein sequence comparisons

- 487 Sequence comparisons were performed using BLASTP 2.9.0+ comparing the Araport 11 protein
- 488 dataset and the yeast protein sequence for Sec17 taken from the *Saccharomyces* Genome Database 489 (SGD) [44–48].

490 *Governing equations of the mathematical model*

491 Our model of consists of the following set of ordinary differential equations:

d[HOPS]

492 dt

$$= k_1\{HOPSc\}\{PI3P\} + k_4[HOPS:SNARE] - k_5[HOPS][transSNARE] - k_3[HOPS][SNAREs]^4 - k_2 \\ [HOPS]$$

493
$$\frac{d[transSNARE]}{dt} = k_4[HOPS:SNARE] - k_5[HOPS][transSNARE] - k_9[SEC17][transSNARE]$$

494 $\frac{d[SNAREs]}{dt} = 4 * k_{10}[SEC17:SNARE] - 4 * k_3[HOPS][SNAREs]^4$

d[SEC17:SNARE]

495 $dt = k_9[SEC17][transSNARE] + k_8[SEC17][HOPS:SNARE]{biological signal} - k_{10}$ [SEC17:SNARE]

19

496
$$\frac{d[HOPS:SNARE]}{dt} = k_3[HOPS][SNAREs]^4 + k_5[HOPS][transSNARE] - k_4[HOPS:SNARE] - k_8[SEC17] [HOPS:SNARE]{biological signal}$$

$$\frac{d[SEC17]}{dt}$$

497

 $= k_6[SEC17c] - k_7[Sec17] - k_8[SEC17][HOPS:SNARE] \{biological signal\} - k_9[SEC17] \\ [transSNARE]$

498 The quantities enclosed in curly brackets are Boolean variables indicating whether the indicated 499 species is present or absent. We abstracted the availability of cytosolic HOPS subunits into a single 500 cytosolic species that we denote as HOPSc. We assume that VPS41 recruitment acts as the rate-501 limiting step for recruitment of all HOPS subunits to form the HOPS complex at the membrane 502 VPS41 is the subunit whose recruitment has been observed to be regulated by PI3P. Treating the 503 HOPS subunits as abundant in the cytosol (*i.e.*, with concentration not meaningfully altered by 504 membrane recruitment) allowed us to fold the cytosolic abundance of HOPS into the rate constant for 505 HOPS recruitment. We then treated the presence of cytosolic HOPS as binary variable {HOPSc}.

506 We non-dimensionalized the system of ODEs using a concentration scale of k_1/k_2 (*i.e.*, the ratio of 507 the rate constants for HOPS recruitment from the cytoplasm and HOPS turnover from the membrane) 508 and a time scale of $1/k_{10}$ (*i.e.*, the inverse of the rate constant for fusion). The dimensionless rate 509 constants and their constituent parameters are listed in Table 4. This scaling reduced the number of 510 model parameters from nine to eight. It also allowed us to express all rate constants relative to that 511 for the fusion event, which has a non-dimensional value of one. In our analyses, for all parameters in 512 our dimensionless system of equations, we considered rate constants four orders of magnitude larger 513 and four orders of magnitude smaller than this reference value of one.

event	dimensionless rate constant	definition
1	K ₂	k_2/k_{10}
2	K ₂	k_2/k_{10}
3	K ₃	$k_3 k_1^4 / (k_{10} k_2^4)$
4	K_4	k_4/k_{10}
5	K_5	$k_1 k_5 / k_2 k_{10}$
6	K_6	k_{6}/k_{10}
7	K_7	k_7/k_{10}
8	K ₈	$k_1 k_8 / k_2 k_{10}$
9	K ₉	$k_1 k_9 / k_2 k_{10}$
10	K ₁₀	1

Table 4. Dimensionless parameters and their definitions

514

515 Sobol' global sensitivity analysis

516 The Sobol' algorithm provides a point estimate of the Sobol' index associated with each parameter

and outcome. However, efficient calculation of the Sobol' indices requires a numerical

518 approximation involving many samples across the interrogated parameter domain. To determine

519 whether each estimated index could credibly be differentiated from zero (at the chosen level of

sampling), we introduced a dummy parameter and a dummy outcome to the analysis and used them

as a control for true insensitivity. The dummy parameter does not feature in the systems model and

20

thus provides a negative control for sensitivity of model outcomes. We set the dummy outcome equal

- to one of the model kinetic parameters, so that the dummy outcome provides an example of (2) are fast examples of (2) and (3) are fast examples of (2) and (3) are fast examples of (3) are fast examples of (3) and (3) are fast examples of (3) and (3) are fast examples of (3) and (3) are fast examples of (3) are fast exam
- (i) perfect variance $(T_j = 1)$ for that outcome-parameter combination and (ii) true insensitivity for all
- 525 other parameters. We then used a Wilcoxon ranked sum test to determine whether, at a given
- 526 sampling level, we could reject the null hypothesis that the distribution of bootstrapped sensitivity 527 values for any given outcome-parameter pair has a median matching the negative control. We
- 527 values for any given outcome-parameter pair has a median matching the negative control. We solve interpreted rejection of the null hypothesis (at a confidence level of p < 0.05) as evidence of a
- situation statistically significant sensitivity index. (at a confidence level of p < 0.05) as evidence of a statistically significant sensitivity index.

530 Parameter estimation using ABC-SMC

- 531 We performed parameter estimation using the approximate Bayesian computation with sequential
- 532 Monte Carlo (ABC-SMC) approach described by Toni *et al.* [39]. We began with an uninformative
- uniform prior (defined in log space) for every model parameter and used uniform Sobol' sampling to
- generate an initial population of N=5000 particles. We then solved the system of ODEs and
- evaluated the summary statistic for each particle. As per the ABC-SMC algorithm, we randomly
- selected a particle for perturbation initially using uniform weighting. The particle's parameters
- 537 were then perturbed using a Markov kernel. We chose a uniform proposal kernel, $K(\theta_j^t | \theta_j^{t-1})$, defined
- as per Equation 2, where θ_j denotes the value of parameter *j*, *t*-1 denotes the current particle
- 539 population, and *t* denotes the subsequent population. This limited the perturbation range for
- 540 parameter *j* to within a distance *D* of the parameter's current value. We defined *D* as $0.25\Delta_j$, where Δ_j
- 541 is the range of θ_j values represented in the current particle population.

542
$$K(\theta_j^t \mid \theta_j^{t-1}) = U(\theta_j - D, \theta_j + D)$$
[2]

543 We rejected the proposed particle perturbation if the summary statistic evaluated for the 544 perturbed parameter values exceeded a rejection constant, ε . Initially, we set the rejection constant to 545 the 99th percentile of the summary statistics characterizing the particle population. We then reduced 546 ε by 10% for each subsequent population (*i.e.*, $\varepsilon_t = 0.9\varepsilon_{t-1}$). If the perturbed particle was rejected, we 547 returned to the particle sampling step and repeated the process of particle selection, perturbation, and 548 evaluation until an acceptable perturbation was identified. We then assigned that accepted particle to 549 the next particle population and iterated until we identified a complete set of N new particles. 550 Subsequent rounds of particle perturbation employed importance sampling to weight the selection of 551 candidate particles to perturb. By iteratively reducing the rejection constant, the algorithm moved 552 each subsequent population closer to the target distribution we sought -i.e., one that reflects a 553 domain in parameter space plausibly describing our biological system. We iteratively generated new 554 populations until 99% of the particles reflected a summary statistic of zero, where zero indicates a simulation that satisfies all acceptance criteria. 555

556 Programming languages and code availability

- 557 The codes for this model and associated analyses were written in MATLAB (R2022B) (Inc. n.d.).
- 558 Data and code used to generate the figures and perform the analyses for this paper are hosted at
- 559 <u>https://gitlab.com/hodgenscode/hodgens2023</u>. A copy of the microscopy data has been made
- available at Zenodo under DOI:10.5281/zenodo.8408018.

561 Acknowledgements

- 562 This work was supported by the National Science Foundation (MCB-1918746 award to M.R.P. and
- 563 B.S.A.). Co-author DT Flaherty acknowledges fellowship support from NIH 5T32GM133366 (PIs

21

- 564 Robert M. Kelly and Jason Haugh). We also thank Xiaohan Yang for help reviewing this manuscript.
- 565 Figure 1 and Figure 8 were created using BioRender.com.

566 Author contributions (CRediT taxonomy)

- 567 Investigation: CH, BSA, MRP, DTF, IK, AMP, LMG, NJE
- 568 Conceptualization: BSA, MRP
- 569 Funding Acquisition: BSA, MRP
- 570 Writing-original draft: CH, BSA
- 571 Writing-review & editing: BSA, CH, DTF, AMP, MRP
- 572 Methodology: CH, BSA, DTF
- 573 Software: CH, DTF
- 574 Formal Analysis: CH, DTF
- 575

576 Supporting Information

- 577 Supplemental Table 1. Guard cell vacuole fragmentation status. Images of BCECF-stained guard cell
- 578 vacuoles were qualitatively assessed to determine their fragmentation status. Individual guard cells
- 579 were annotated as either fragmented ("F"), unfragmented ("U"), intermediate ("M"), or un-callable
- 580 ("N"). Each row provides the number of guard cells for a given combination of treatment condition,
- acquisition date, treatment time, peel number, and fragmentation status. Source images used for this
- analysis are available on Zenodo under DOI:10.5281/zenodo.8408018.

583 Data Availability Statement

- 584 Code used to perform the analyses for this paper are hosted at
- 585 <u>https://gitlab.com/hodgenscode/hodgens2023</u>. Microscopy data used to generate the figures has been
- 586 made available at Zenodo under DOI:10.5281/zenodo.8408018. Analyses were performed using
- 587 MATLAB (R2022B).
- 588

589 Financial Disclosure Statement

- 590 This work was supported by the National Science Foundation (MCB-1918746 award to M.R.P. and
- 591 B.S.A.) The funders had no role in study design, data collection and analysis, decision to publish, or
- 592 preparation of the manuscript.

593 **Competing interests**

- 594 The authors have no competing interests to declare.
- 595
- 596 **References**

599 2. Kwak JM, Mäser P, Schroeder JI. The Clickable Guard Cell, Version II: Interactive	l
600of Guard Cell Signal Transduction Mechanisms and Pathways. Arab B. 2008;6: e01601doi:10.1199/tab.0114	Model 14.
6023.Gao XQ, Wang XL, Ren F, Chen J, Wang XC. Dynamics of vacuoles and actin filar603in guard cells and their roles in stomatal movement. Plant, Cell Environ. 2009;32: 16041116. doi:10.1111/j.1365-3040.2009.01993.x	ments 108–
6054.Gao XQ, Li CG, Wei PC, Zhang XY, Chen J, Wang XC. The dynamic changes of tonoplasts in guard cells are important for stomatal movement in <i>Vicia faba</i> . Plant P 2005;139: 1207–1216. doi:10.1104/pp.105.067520	hysiol.
6085.Cao W, Li Z, Huang S, Shi Y, Zhu Y, Lai MN, et al. Correlation of vacuole morpho609with stomatal lineage development by whole-cell electron tomography. Plant Physic6102022;188: 2085–2100. doi:10.1093/plphys/kiac028	ology ol.
 6. Zheng J, Han SW, Rodriguez-Welsh MF, Rojas-Pierce M. Homotypic Vacuole Fusi 612 Requires VTI11 and Is Regulated by Phosphoinositides. Mol Plant. 2014;7: 1026–16 613 doi:10.1093/mp/ssu019 	on 040.
 614 7. Chen YA, Scheller RH. Snare-Mediated Membrane Fusion. Nat Rev Mol Cell Biol. 615 2001;2: 98–106. 	
 8. Wickner W. Membrane Fusion: Five Lipids, Four SNAREs, Three Chaperones, Two Nucleotides, and a Rab, All Dancing in a Ring on Yeast Vacuoles. Annu Rev Cell D Biol. 2010;26: 115–136. doi:10.1146/annurev-cellbio-100109-104131 	o)ev
 619 9. Starai VJ, Hickey CM, Wickner W. HOPS Proofreads the <i>trans</i>-SNARE Complex for Yeast Vacuole Fusion. Mol Biol Cell. 2008;19: 2500–2508. doi:/10.1091/mbc.E08–0077 	or 01–
 Ku H, Jun Y, Thompson J, Yates J, Wickner W. HOPS prevents the disassembly of SNARE complexes by Sec17p/Sec18p during membrane fusion. EMBO J. 2010;29: 1948–1960. doi:10.1038/emboj.2010.97 	trans-
 Takemoto K, Ebine K, Askani JC, Krüger F, Gonzalez ZA, Ito E, et al. Distinct sets tethering complexes, SNARE complexes, and Rab GTPases mediate membrane fusi the vacuole in Arabidopsis. Proc Natl Acad Sci U S A. 2018;115: E2457–E2466. doi:10.1073/pnas.1717839115 	of on at
629 12 Brillada C. Zheng I. Krüger F. Rovira-Diaz F. Askani IC. Schumacher K. et al	with
 Phosphoinositides control the localization of HOPS subunit VPS41, which together VPS33 mediates vacuole fusion in plants. Proc Natl Acad Sci U S A. 2018;115: E83 E8314. doi:10.1073/pnas.1807763115 	505-

23

636 637 638 639	14.	Reaves BJ, Bright NA, Mullock BM, Luzio JP. The effect of wortmannin on the localisation of lysosomal type I integral membrane glycoproteins suggests a role for phosphoinositide 3-kinase activity in regulating membrane traffic late in the endocytic pathway. J Cell Sci. 1996;109: 749–762. doi:10.1242/jcs.109.4.749
640 641 642 643	15.	Bright NA, Lindsay MR, Stewart A, Luzio JP. The Relationship Between Lumenal and Limiting Membranes in Swollen Late Endocytic Compartments Formed After Wortmannin Treatment or Sucrose Accumulation. Traffic. 2001;2: 631–642. doi:10.1034/j.1600-0854.2001.20906.x
644 645 646 647	16.	Järvenpää M, Abdul Sater MR, Lagoudas GK, Blainey PC, Miller LG, McKinnell JA, et al. A Bayesian model of acquisition and clearance of bacterial colonization incorporating within-host variation. PLoS Comput Biol. 2019;15: 1–25. doi:10.1371/journal.pcbi.1006534
648 649 650	17.	Ross RJH, Baker RE, Parker A, Ford MJ, Mort RL, Yates CA. Using approximate Bayesian computation to quantify cell–cell adhesion parameters in a cell migratory process. Syst Biol Appl. 2017;3: 1–9. doi:10.1038/s41540-017-0010-7
651 652 653	18.	Johnston ST, Simpson MJ, McElwain DLS, Binder BJ, Ross J V. Interpreting scratch assays using pair density dynamics and approximate Bayesian computation. Open Biol. 2014;4. doi:10.1098/rsob.140097
654 655	19.	Cheng C, Kirkpatrick M. Inversions are bigger on the X chromosome. Mol Ecol. 2019;28: 1238–1245. doi:10.1111/mec.14819
656 657 658	20.	Ziegler C, Dyson RJ, Johnston IG. Model selection and parameter estimation for root architecture models using likelihood-free inference. J R Soc Interface. 2019;16. doi:10.1098/rsif.2019.0293
659 660 661	21.	Carballo-Pacheco M, Nicholson MD, Lilja EE, Allen RJ, Waclaw B. Phenotypic delay in the evolution of bacterial antibiotic resistance: Mechanistic models and their implications. PLoS Comput Biol. 2020;16: 1–24. doi:10.1371/journal.pcbi.1007930
662 663	22.	Assmann SM, Schwartz A. Synergistic Effect of Light and Fusicoccin on Stomatal Opening. Plant Physiol. 1992;98: 1349–1355. doi:10.1104/pp.98.4.1349
664 665	23.	Shimazaki KI, Doi M, Assmann SM, Kinoshita T. Light regulation of stomatal movement. Annu Rev Plant Biol. 2007;58: 219–247. doi:10.1146/annurev.arplant.57.032905.105434
666 667	24.	Hsu PK, Dubeaux G, Takahashi Y, Schroeder JI. Signaling mechanisms in abscisic acid- mediated stomatal closure. Plant J. 2021;105: 307–321. doi:10.1111/tpj.15067
668 669	25.	Ungermann C, Sato K, Wickner W. Defining the functions of <i>trans</i> -SNARE pairs. Nature. 1998;396: 543–548.
670 671 672	26.	Zick M, Wickner W. The tethering complex HOPS catalyzes assembly of the soluble SNARE Vam7 into fusogenic <i>trans</i> -SNARE complexes. Mol Biol Cell. 2013;24: 3746–3753. doi:10.1091/mbc.E13-07-0419
673 674	27.	Mima J, Hickey CM, Xu H, Jun Y, Wickner W. Reconstituted membrane fusion requires regulatory lipids, SNAREs and synergistic SNARE chaperones. EMBO J. 2008;27: 2031–

675		2042. doi:10.1038/emboj.2008.139
676 677 678	28.	Jun Y, Wickner W. Sec17 (α -SNAP) and Sec18 (NSF) restrict membrane fusion to R-SNAREs, Q-SNAREs, and SM proteins from identical compartments. Proc Natl Acad Sci U S A. 2019;116: 23573–23581. doi:10.1073/pnas.1913985116
679 680 681	29.	Schwartz ML, Nickerson DP, Lobingier BT, Plemel RL, Duan M, Angers CG, et al. Sec17 (α -SNAP) and an SM-tethering complex regulate the outcome of SNARE zippering in vitro and in vivo. Elife. 2017;6: 1–28. doi:10.7554/eLife.27396
682 683 684	30.	Song H, Orr A, Duan M, Merz AJ, Wickner W. Sec17/Sec18 act twice, enhancing membrane fusion and then disassembling <i>cis</i> -SNARE complexes. Elife. 2017;6: 1–21. doi:10.7554/eLife.26646
685 686	31.	Song H, Wickner W. Tethering guides fusion-competent <i>trans</i> -SNARE assembly. Proc Natl Acad Sci U S A. 2019;116: 13952–13957. doi:10.1073/pnas.1907640116
687 688	32.	Song H, Wickner W. Fusion of tethered membranes can be driven by Sec18/NSF and Sec17/ α SNAP without HOPS. Elife. 2021;10: 1–10. doi:10.7554/eLife.73240
689 690 691	33.	Zick M, Orr A, Schwartz ML, Merz AJ, Wickner WT. Sec17 can trigger fusion of <i>trans</i> -SNARE paired membranes without Sec18. Proc Natl Acad Sci U S A. 2015;112: E2290–E2297. doi:10.1073/pnas.1506409112
692 693 694	34.	Song H, Torng TL, Orr AS, Brunger AT, Wickner WT. Sec17/Sec18 can support membrane fusion without help from completion of SNARE zippering. Elife. 2021;10: 1–26. doi:10.7554/elife.67578
695 696 697	35.	Liu F, Li JP, Li LS, Liu Q, Li SW, Song ML, et al. The canonical α-SNAP is essential for gametophytic development in Arabidopsis. PLoS Genet. 2021;17: 1–22. doi:10.1371/journal.pgen.1009505
698 699 700	36.	Pandey S, Wang RS, Wilson L, Li S, Zhao Z, Gookin TE, et al. Boolean modeling of transcriptome data reveals novel modes of heterotrimeric G-protein action. Mol Syst Biol. 2010;6: 1–17. doi:10.1038/msb.2010.28
701 702 703	37.	Waese J, Fan J, Pasha A, Yu H, Fucile G, Shi R, et al. ePlant: Visualizing and Exploring Multiple Levels of Data for Hypothesis Generation in Plant Biology. Plant Cell. 2017;29: 1806–1821. doi:10.1105/tpc.17.00073
704 705	38.	Glen G, Isaacs K. Estimating Sobol sensitivity indices using correlations. Environ Model Softw. 2012;37: 157–166. doi:10.1016/j.envsoft.2012.03.014
706 707 708	39.	Toni T, Welch D, Strelkowa N, Ipsen A, Stumpf MPH. Approximate Bayesian computation scheme for parameter inference and model selection in dynamical systems. J R Soc Interface. 2009;6: 187–202. doi:10.1098/rsif.2008.0172
709 710 711	40.	Fujiwara M, Uemura T, Ebine K, Nishimori Y, Ueda T, Nakano A, et al. Interactomics of Qa-SNARE in <i>Arabidopsis thaliana</i> . Plant Cell Physiol. 2014;55: 781–789. doi:10.1093/pcp/pcu038
712	41.	Behera S, Kudla J. Live Cell Imaging of Cytoplasmic Ca ²⁺ Dynamics in Arabidopsis

713		Guard Cells. Cold Spring Harb Protoc. 2013;8: 665–669. doi:10.1101/pdb.prot072983
714 715 716	42.	Li LJ, Ren F, Gao XQ, Wei PC, Wang XC. The reorganization of actin filaments is required for vacuolar fusion of guard cells during stomatal opening in <i>Arabidopsis</i> . Plant, Cell Environ. 2013;36: 484–497. doi:10.1111/j.1365-3040.2012.02592.x
717 718 719	43.	Schroeder JI, Schmidt C, Sheaffer J. Identification of High-Affinity Slow Anion Channel Blockers and Evidence for Stomatal Regulation by Slow Anion Channels in Guard Cells. Plant Cell. 1993;5: 1831–1841. doi:10.2307/3869698
720 721 722	44.	Cherry JM, Hong EL, Amundsen C, Balakrishnan R, Binkley G, Chan ET, et al. Saccharomyces Genome Database: The genomics resource of budding yeast. Nucleic Acids Res. 2012;40: 700–705. doi:10.1093/nar/gkr1029
723 724 725	45.	Engel SR, Dietrich FS, Fisk DG, Binkley G, Balakrishnan R, Costanzo MC, et al. The Reference Genome Sequence of <i>Saccharomyces cerevisiae</i> : Then and Now. G3 Genes, Genomes, Genet. 2014;4: 389–398. doi:10.1534/g3.113.008995
726 727 728	46.	Berardini TZ, Reiser L, Li D, Mezheritsky Y, Muller R, Strait E, et al. The Arabidopsis Information Resource: Making and Mining the "Gold Standard" Annotated Reference Plant Genome. Genesis. 2015;53: 474–485. doi:10.1002/dvg.22877
729 730 731	47.	Cheng CY, Krishnakumar V, Chan AP, Thibaud-Nissen F, Schobel S, Town CD. Araport11: a complete reannotation of the <i>Arabidopsis thaliana</i> reference genome. Plant J. 2017;89: 789–804. doi:10.1111/tpj.13415
732 733 734 735	48.	Schäffer AA, Aravind L, Madden TL, Shavirin S, Spouge JL, Wolf YI, et al. Improving the accuracy of PSI-BLAST protein database searches with composition-based statistics and other refinements. Nucleic Acids Res. 2001;29: 2994–3005. doi:10.1093/nar/29.14.2994