Title: Progressively shifting patterns of co-modulation among premotor cortex neurons carry dynamically similar signals during action execution and observation Authors: Zhonghao Zhao¹ and Marc H. Schieber^{1,2,3*} Affiliations: ¹Department of Biomedical Engineering, University of Rochester, Rochester, NY, 14627 ²Department of Neurology, University of Rochester, Rochester, NY, 14642 ³Department of Neuroscience, University of Rochester, Rochester, NY 14642 *Corresponding Author: Marc H. Schieber E-mail: mschiebe@ur.rochester.edu Number of: Pages -Figures -8 (+7 figure supplements) Tables -Multimedia -3D models -Words in: Abstract -Introduction -Discussion -**Conflict of interest:** The authors declare no conflicts of interest. Acknowledgements: The authors thank John Housel and Jennifer Gardinier for technical assistance, Gil Rivlis for custom task-control software, and Marsha Hayles for editorial comments. This work was supported by grant R01NS102343 (MHS) from the National Institute of Neurological Disorders and Stroke.

39 ABSTRACT

40 Many neurons in the premotor cortex show firing rate modulation whether the subject performs an action or observes another individual performing a similar action. Although such 41 42 "mirror neurons" have been thought to have highly congruent discharge during execution and observation, many if not most actually show non-congruent activity. Studies of neuronal 43 populations active during both execution and observation have shown that the most prevalent 44 45 patterns of co-modulation—captured as neural trajectories—pass through subspaces which are shared in part, but in part are visited exclusively during either execution or observation. These 46 studies focused on reaching movements for which low-dimensional neural trajectories exhibit 47 48 comparatively simple dynamical motifs. But the neural dynamics of hand movements are more complex. We developed a novel approach to examine prevalent patterns of co-modulation 49 during execution and observation of a task that involved reaching, grasping, and manipulation. 50 Rather than following neural trajectories in subspaces that contain their entire time course, we 51 52 identified time series of instantaneous subspaces, calculated principal angles among them, 53 sampled trajectory segments at the times of selected behavioral events, and projected those 54 segments into the time series of instantaneous subspaces. We found that instantaneous neural subspaces most often remained distinct during execution versus observation. Nevertheless, 55 56 latent dynamics during execution and observation could be partially aligned with canonical correlation, indicating some similarity of the relationships among neural representations of 57 different movements relative to one another during execution and observation. We also found 58 that during action execution, mirror neurons showed consistent patterns of co-modulation both 59 within and between sessions, but other non-mirror neurons that were modulated only during 60 action execution and not during observation showed considerable variability of co-modulation. 61

62 INTRODUCTION

63 Although the premotor (PM) and primary motor cortex (M1) are generally thought to be involved in the planning and execution of movement, many neurons in these areas have been 64 65 found to discharge not only when the subject executes a movement, but also when the subject observes a similar movement being performed by another individual. Such neurons have been 66 67 found in the ventral premotor cortex (PMv) (Bonini et al., 2014; Gallese et al., 1996), dorsal 68 premotor cortex (PMd) (Cisek and Kalaska, 2004; Papadourakis and Raos, 2019; Albertini et al., 2021; Pezzulo et al., 2022), and M1 (Dushanova & Donoghue, 2010; Kraskov et al., 2014a; 69 70 Vigneswaran et al., 2013). The prevalence of such execution/observation neurons in cortical 71 motor areas argues against their activity during observation being merely an epiphenomenon unrelated to their activity during execution, but also poses a larger question: what is the nature 72 of the relationship between their activity during execution versus observation? 73

Early studies of these neurons emphasized those with congruent discharge during execution and observation contexts. Congruent neurons discharged during the same type of grasp (Gallese et al., 1996; Rizzolatti et al., 1996) or retained the same preferred direction (Dushanova & Donoghue, 2010; Kilner & Lemon, 2013) during both execution and observation. Emphasis on such congruent neurons led to the notion that they mediate understanding of observed actions as they mirror their own activity during execution (di Pellegrino et al., 1992; Rizzolatti and Craighero, 2004).

81 In addition to congruent neurons, however, even early studies also reported many other 82 noncongruent neurons that also discharged during execution and during observation, but discharged differently in the two contexts (Gallese et al., 1996). In many studies roughly half or 83 more of the neurons modulated during both execution and observation were noncongruent 84 85 (Dushanova and Donoghue, 2010; Kraskov et al., 2014; Mazurek et al., 2018; Jiang et al., 2020). Of PMv neurons modulated during both execution and observation, over the time course 86 of behavioral trials only ~20% showed brief periods with strictly congruent firing rates (Pomper 87 88 et al., 2023). And in both PMv and PMd, the proportion of congruent neurons may not be 89 different from that expected by chance alone (Papadourakis and Raos, 2019). Though many 90 authors apply the term mirror neurons strictly to highly congruent neurons, here we will refer to 91 all neurons modulated during both contexts-execution and observation-as mirror neurons 92 (MNs).

93 That so many mirror neurons are active differently during action execution versus 94 observation calls into question not only the extent to which the representation of movements by these neuron populations actually matches in the two contexts, but also the extent to which 95 96 mirror neuron activity during observation has any meaningful function for the organism (Hickok, 97 2009; Krakauer et al., 2017). Nevertheless, multiple studies have found that of the neurons in cortical motor areas that are modulated during execution, a large fraction are also modulated 98 during observation. For example, 31 of 64 (49%) pyramidal tract neurons (PTNs) in PMv and 99 65 of 132 (49%) in M1 showed modulation during both execution and observation (Kraskov et 100 al., 2009; Vigneswaran et al., 2013; Kraskov et al., 2014). Such findings suggest that the 101 102 observation-related activity of execution-related neurons in PMv, PMd, and M1, some of which project to the spinal cord, is somehow related to the motoric functions of these cortical areas. 103

104 The widely varying degrees of congruence versus non-congruence among individual 105 mirror neurons may obscure population-level relationships between their patterns of co106 modulation during execution and observation. Behavior evolving in time may be represented 107 more accurately by the temporal progression of co-modulation in populations of neurons than by 108 the temporal pattern of firing rate in single neurons (Shenoy et al., 2013; Cunningham and Yu, 109 2014; Vyas et al., 2020). Patterns of co-modulation can be considered in a high-dimensional 110 neural-state space where the firing rate of each neuron is a separate, orthogonal dimension. The instantaneous, simultaneous firing rates of all N neurons then is a point in this space, and 111 the time series of instantaneous points traces out a neural trajectory in time. Neural population 112 trajectories do not visit all regions of the *N*-dimensional state-space equivalently, however. 113 114 Dimensionality reduction techniques can be used to identify a small set of latent dimensions—a 115 subspace—that captures the most prevalent patterns of co-modulation among the population of 116 N neurons.

Studies of neural trajectories underlying action execution that focused on reaching 117 movements made with the arm have revealed that rotational motifs in a low-dimensional 118 subspace capture much of the neural population's firing rate variance (Churchland et al., 2012; 119 Russo et al., 2020). But the M1 neural trajectories underlying grasping movements (Suresh et 120 al., 2020) or force production at the wrist (Dekleva et al., 2024) are more complex. The latent 121 subspaces that capture the predominant patterns of co-modulation among M1 neurons, for 122 123 example, shift progressively over the time course of behavioral trials involving reaching to, 124 grasping, and manipulating various objects at various locations (Rouse and Schieber, 2018).

A relevant but often overlooked aspect of such dynamics in neuron populations active 125 126 during both execution and observation has to do with the distinction between condition-127 independent and condition-dependent variation in neuronal activity (Kaufman et al., 2016; 128 Rouse and Schieber, 2018). The variance in neural activity averaged across all the conditions 129 in a given task context is condition-independent. For example, in an 8-direction center-out 130 reaching task, averaging a unit's firing rate as a function of time across all 8 directions may 131 show an initially low firing rate that increases prior to movement onset, peaks during the 132 movement, and then declines during the final hold, irrespective of the movement direction. Subtracting this condition-independent activity from the unit's firing rate during each trial gives 133 the remaining variance, and averaging separately across trials in each of the 8 directions then 134 averages out noise variance, leaving the condition-dependent variance that represents the unit's 135 modulation among the 8 directions (conditions). Alternatively, condition-independent, condition-136 137 dependent, and noise variance can be partitioned through demixed principal component analysis (Kobak et al., 2016; Gallego et al., 2018). The extent to which neural dynamics occur 138 in a subspace shared by execution and observation versus subspaces unique to execution or 139 140 observation may differ for the condition-independent versus condition-dependent partitions of neural activity. Here, we tested the hypothesis that the condition-dependent activity of PM 141 mirror neuron populations progresses through distinct subspaces during execution versus 142 143 observation, which would indicate distinct patterns of co-modulation amongst mirror neurons during execution versus observation. 144

Because of the complexity of condition-dependent neural trajectories for movements involving the hand, we developed a novel approach. Rather than examining trajectories over the entire time course of behavioral trials, we identified time series of instantaneous PM mirror neuron subspaces covering the time course of behavioral trials. We identified separate time series for execution trials and for observation trials, both involving four different reach-graspmanipulation (RGM) movements. Given that each subspace in these time series is instantaneous (a snapshot in time), it captures condition-dependent variance in the neural

- activity among the four RGM movements while minimizing condition-independent (time-
- 153 dependent) variance.

154 We then tested the hypothesis that the condition-dependent subspace shifts progressively over the time course of behavioral trials (Figure 1A) by calculating the principal 155 angles between four selected instantaneous subspaces that occurred at times easily defined in 156 157 each behavioral trial-instruction onset (I), go cue (G), movement onset (M), and the beginning of the final hold (H)—and every other instantaneous subspace in the time series. Initial 158 analyses showed that condition-dependent neural trajectories for the four RGM movements 159 tended to separate increasingly over the course of behavioral trials. We therefore additionally 160 examined the combined effects of i) the progressively shifting subspaces and ii) the increasing 161 trajectory separation, by decoding neural trajectory segments sampled for 100 msec after times 162 I, G, M, and H and projected into the time series of instantaneous subspaces (Figure 1B). 163

Finally, we used canonical correlation to ask whether the prevalent patterns of mirror neuron co-modulation showed similar relationships among the four RGM movements during



Figure 1. Conceptual approach. A. We hypothesized that the condition-dependent instantaneous subspace of PM MN activity shifts progressively throughout the time course of behavioral trials both during execution (orange) and during observation (green). Such shifting can be examined by calculating the principal angles between a selected instantaneous subspace and every other subspace in the time series, t. B. Segments clipped from the neural trajectories of two different movements (magenta, purple) in a high dimensional space, *I*, show varying distance between them when projected into a time series (t = i, ii, iii) of shifting, low-dimensional instantaneous subspaces (gray). This varying distance indicative of the progressive shifting of the instantaneous subspace can be followed by decoding the different movements from the trajectory segments projected into the time series of instantaneous subspaces. C. Neural trajectory segments from the four RGM movements (magenta, purple, cyan, and yellow) during execution and during observation originate in the same high-dimensional space (a), but project into distinct low-dimensional execution (orange, b1) and observation (green, b2) subspaces. Nevertheless, canonical correlation analysis (CCA) may identify another subspace (pale blue, c) where the projected magenta, purple, cyan, and yellow segments from both execution and observation show a similar spatial relationship to one another, with the two segments of each color projecting close to one another. Such correlation between the two sets of trajectory segments projected into the same subspace would indicate similar latent dynamic relationships among the four movements during execution and observation.

- 166 execution and observation (Figure 1C). Such alignment would indicate that the relationships
- among the trajectory segments in the execution subspace are similar to the relationships among
- the trajectory segments in the observation subspace, indicating a corresponding structure in the
- 169 latent dynamic representations of execution and observation movements by the same PM MN
- population. And finally, because we previously have found that during action execution the
- activity of PM mirror neurons tends to lead that of non-mirror neurons which are active only
- during action execution (AE neurons) (Mazurek and Schieber, 2019), we performed parallel
- analyses of the instantaneous state space of PM AE neurons.

175 **RESULTS**

176 We recorded spiking activity as each of three monkeys executed a delayed response reach-grasp-manipulate (RGM) task, and then as each monkey observed the same task being 177 178 performed by an experimenter (Figure 2A). Because we chose to study relatively naturalistic movements, the reach, grasp, and manipulation components were not performed separately, 179 180 but rather in a continuous fluid motion during the movement epoch of the task sequence (Figure 181 2B). In previous studies involving a version of this task without separate instruction and delay 182 epochs, we have shown that joint kinematics, EMG activity, and neuron activity in the primary motor cortex, all vary throughout the movement epoch in relation to both reach location and 183 184 object grasped, with location predominating early in the movement epoch and object predominating later (Rouse and Schieber, 2015, 2016a, b). The present task, however, did not 185 dissociate the reach, the hand shape used to grasp the object, and the manipulation performed 186 on the object. Additional details of the behavioral task are described in the Methods. Three 187 188 sessions were recorded from each of the three monkeys, R, F, and T (a 6 kg female, 10 kg 189 male, and 10 kg male, respectively). The numbers of successful execution trials (Exe) and observation trials (Obs) involving each of the four objects-sphere, button, coaxial cylinder, and 190 perpendicular cylinder-are given in Table 1. 191

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Figure 2. The reach-grasp-manipulate (RGM) task. **A.** In separate blocks of trials monkeys reached to, grasped, and manipulated four different objects themselves (Exe), and then observed a human performing the same task (Obs). **B.** The times of eight behavioral events from Start-of-trial to End-of-trial divided each trial into seven epochs from Initial hold to Reward. For analyses the data were aligned separately on, and trajectories were sampled for 100 msec following, the times of four selected events—Instruction onset (I), Go cue (G), Movement onset (M), and the beginning of the final Hold (H). **C.** Recording array locations in PMv (green) and PMd (orange) for each monkey have been redrawn from intraoperative photographs. PCD – precentral dimple; AS – arcuate sulcus; CS – central sulcus; r – rostral; m – medial. Scale bars, representing 4 mm, apply to all three monkeys.

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Table 1. Numbers of trials in each session

	Monkey R		Monkey F		Monkey T	
	Exe	Obs	Exe	Obs	Exe	Obs
Session 1	(22,8,25,26)	(32,31,30,31)	(58,59,62,63)	(71,72,71,72)	(57,54,57,55)	(60,61,59,57)
Session 2	(34,26,34,38)	(40,41,40,37)	(59,58,60,56)	(73,72,75,74)	(47,53,52,43)	(57,53,58,58)
Session 3	(42,41,49,45)	(49,50,51,49)	(63,58,58,58)	(72,75,74,74)	(43,41,38,42)	(50,48,48,50)

Table 1. Numbers of trials in each session. For each of the three sessions from each of the three monkeys, numbers of trials involving each of the four objects (sphere, button, coaxial cylinder, perpendicular cylinder) are given in parentheses separately for execution and for observation.

The three monkeys each were implanted with Floating Microelectrode Arrays (FMAs, 194 Microprobes for Life Sciences) in the ventral premotor cortex (PMv) and in the dorsal premotor 195 cortex (PMd). The locations of the arrays in each monkey are illustrated in Figure 2C. Using 196 197 object and epoch as factors, we performed two-way repeated measures analysis of variance (ANOVA) on the firing rate of each sorted unit recorded from the arrays in each session (see 198 Methods). Because unit firing rates typically differed during execution and observation, we 199 200 performed such ANOVAs separately on execution trials and observation trials. Table 2 gives the numbers of PM (PMv+PMd) units identified in each session as being modulated significantly 201 during both execution and observation, which we refer to as mirror neurons (MN), along with the 202 numbers of units modulated significantly during execution but not observation (AE), during 203 observation but not execution (AO), or with no significant modulation during either execution or 204 205 observation (NS). The numbers of AO and NS units were consistently small across monkeys and sessions. The present analyses therefore focus on MNs and, for comparison, AE neurons. 206

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Table 2. Numbers of units in each session

Monkey	Session	MN	AE	AO	NS
	1	48(19,29)	35(20,15)	3(1,2)	5(2,3)
R	2	47(21,26)	25(16,9)	5(1,4)	11(4,7)
	3	37(19,18)	49(20,29)	1(1,0)	8(7,1)
	1	79(37,42)	15(5,10)	2(0,2)	7(1,6)
т	2	91(48,43)	22(6,16)	3(1,2)	7(1,6)
	3	100(48,52)	18(7,11)	0(0,0)	6(2,4)
F	1	44(24,20)	7(5,2)	1(1,0)	8(8,0)
	2	47(32,15)	10(9,1)	5(1,4)	3(3,0)
	3	42(28,14)	9(7,2)	3(1,2)	3(3,0)

Table 2. Numbers of PM units in each session. For each of the three sessions from each of the three monkeys (R, T, and F), numbers of PM units are given for each of four classes in the format of Total (PMv, PMd). MN – mirror neurons, modulated significantly during action execution and during action observation. AE – action execution neurons, modulated during execution but not during observation. AO – action observation neurons, modulated during observation but not execution. NS – not significantly during either execution or observation.

208 Condition-dependent versus condition-independent neural activity in PM MNs

209 Whereas a large fraction of condition-210 dependent neural variance during reaching 211 movements without grasping can be captured in a two-dimensional subspace (Churchland et al., 212 2012; Ames et al., 2014), condition-dependent 213 214 activity in movements that involve grasping is more complex (Suresh et al., 2020). In part, this may 215 reflect the greater complexity of controlling the 24 216 degrees of freedom in the hand and wrist as 217 compared to the 4 degrees of freedom in the elbow 218 219 and shoulder (Sobinov and Bensmaia, 2021). Figure 3 illustrates this complexity in a PM MN 220 221 population during the present RGM movements. Figure 3. Neural trajectories of conditionindependent versus condition-dependent activity. A. Neural trajectories of PM MN firing rates averaged across multiple execution trials involving each of the four objects (Sphere – purple, Button – cyan, Coaxial cylinder [Coax]- magenta, Perpendicular cylinder [Perp]- yellow) have been projected into the PC1 vs PC2 plane of the Total neural activity. Averaging these four trajectories gives their common, conditionindependent (CI) trajectory (black). Time proceeds clockwise from left, with data separately aligned at four selected times: triangle – instruction onset (I); circle – go cue (G); square – movement onset (M);

diamond – beginning of final hold (H). **B**. Conditiondependent trajectories obtained by subtracting the CI trajectory (black) from each of the four single-object trajectories (colors) in **A**, and then projected into the PC1 vs PC2 plane of their common, condition dependent (CD) subspace across the entire time course of trials. Data from monkey R, session 2.



222 Here, PCA was performed on the activity of a PM MN population across the entire time course of execution trials involving all four objects. The colored traces in Figure 3A show neural 223 trajectories averaged separately across trials involving each of the four objects and then 224 225 projected into the PC1 vs PC2 plane of the total neural space. Most of the variance in these four trajectories is comprised of a shared rotational component. The black trajectory, obtained 226 by averaging trajectories from trials involving all four objects together, represents this condition-227 228 independent (i.e. independent of the object involved) activity. The condition-dependent (i.e. dependent on which object was involved) variation in activity is reflected by the variation in the 229 230 colored trajectories around the black trajectory. The condition-dependent portions can be 231 isolated by subtracting the black trajectory from each of the colored trajectories. The resulting four condition-dependent trajectories have been projected into the PC1 vs PC2 plane of their 232 own common subspace in Figure 3B. Rather than exhibiting a simple rotational motif, these 233 234 trajectories appear knotted. To better understand how these complex, condition-dependent

trajectories progress over the time course of RGM trials, we chose to examine time series of instantaneous subspaces.

237 Instantaneous subspaces shift progressively during both execution and observation

238 We identified an instantaneous subspace at each one millisecond time step of RGM 239 trials. At each time step, we applied PCA to the 4 instantaneous neural states (i.e. the 4 points on the neural trajectories representing trials involving the 4 different objects each averaged 240 241 across 20 trials per object, totaling 80 trials), yielding a 3-dimensional subspace at that time (see Methods). Note that because these 3-dimensional subspaces are essentially 242 243 instantaneous, they capture the condition-dependent variation in neural states, but not the 244 common, condition-independent variation. To examine the temporal progression of these instantaneous subspaces, we then calculated the principal angles between each 80-trial 245 instantaneous subspace and the instantaneous subspaces averaged across all trials at four 246 247 behavioral time points that could be readily defined across trials, sessions, and monkeys: the onset of the instruction (I), the go cue (G), the movement onset (M), and the beginning of the 248 249 final hold (H). This process was repeated 10 times with replacement to assess the variability of 250 the principal angles. The closer the principal angles are to 0°, the closer the two subspaces are 251 to being identical; the closer to 90°, the closer the two subspaces are to being orthogonal.

Figure 4A-D illustrate the temporal progression of the first principal angle of the mirror 252 253 neuron population in the three sessions (red, green, and blue) from monkey R during execution 254 trials. As illustrated in Figure 4 – figure supplement 1 (see also the related Methods), in each session all three principal angles, each of which could range from 0° to 90°, tended to follow a 255 256 similar time course. In the Results we therefore illustrate only the first (i.e. smallest) principal angle. Solid traces represent the mean across 10-fold cross validation using the 80-trial 257 258 subsets of all the available trials; shading indicates ±1 standard deviation. As would be expected, the instantaneous subspace using 80 trials approaches the subspace using all trials 259 260 at each of the four selected times—I, G, M, and H—indicated by the relatively narrow trough 261 dipping toward 0°. Of greater interest are the slower changes in the first principal angle in between these four time points. Figure 4A shows that after instruction onset (I) the 262 instantaneous subspace shifted quickly away from the subspace at time I, indicated by a rapid 263 increase in principal angle to levels not much lower than what might be expected by chance 264 alone (horizontal dashed line). In contrast, throughout the remainder of the instruction and delay 265 epochs (from I to G), Figure 4B and C show that the 80-trial instantaneous subspace shifted 266 gradually and concurrently, not sequentially, toward the all-trial subspaces that would be 267 reached at the end of the delay period (G) and then at the onset of movement (M), indicated by 268 the progressive decreases in principal angle. As shown by Figure 4D, shifting toward the H 269 subspace did not begin until the movement onset (M). To summarize, these changes in principal 270 angles indicate that after shifting briefly toward the subspace present at time the instruction 271 appeared (I), the instantaneous subspace shifted progressively throughout the instruction and 272 273 delay epochs toward the subspace that would be reached at the time of the go cue (G), then further toward that at the time of movement onset (M), and only thereafter shifted toward the 274 275 instantaneous subspace that would be present at the time of the hold (H).

Figure 4E-H show the progression of the first principal angle of the mirror neuron population during observation trials. Overall, the temporal progression of the MN instantaneous subspace during observation was similar to that found during execution, particularly around times I and H. The decrease in principal angle relative to the G and M instantaneous subspaces during the delay epoch was less pronounced during observation than during



Figure 4. Time course of the first principal angle between instantaneous subspaces. **A** – **D**: MN populations during execution trials; **E** – **H**: MN populations during observation trials; **I** – **L**: AE neuron populations during execution trials. Each frame shows the time course of the first principal angle between the time series of instantaneous subspaces and that present at one of four selected times— **A**, **E**, **I**: instruction onset; **B**, **F**, **J**: go cue; **C**, **G**, **K**: movement onset; or **D**, **H**, **L**: the beginning of the final hold. Results in 1 ms steps have been aligned separately at the times of the instruction onset (I), go cue (G), movement onset (M), and hold (H)—each indicated by a vertical line as labeled in the frame at upper left. Red, green, and blue traces represent sessions 1, 2, and 3, respectively, from monkey R. Solid traces represent means and shaded areas represent ±1 standard deviation across 10-fold cross validation as described in the Methods. Horizontal black lines indicate the average (solid) and the average minus 3 standard deviations (dashed) of the first principal angle between a fixed 3D space and other 3D spaces chosen randomly within a *N*-dimensional space (see Figure 4 – figure supplement 2 and related Methods). Here N = 37, the number of MNs in session 3. Horizontal purple bars in the left column (**A**, **E**, **I**) indicate 500 ms, which applies to the entire row. execution. Nevertheless, these findings support the hypothesis that the condition-dependent
 subspace of PM MNs shifts progressively over the time course of RGM trials during both
 execution and observation, as illustrated schematically in Figure 1A.

284 We also examined the temporal progression of the instantaneous subspace of AE neurons. As would be expected given that AE neurons were not modulated significantly during 285 observation trials, in the observation context AE populations had no gradual changes in 286 287 principal angle (Figure 4 – figure supplement 3). During execution, however, Figure 4I-L show that the AE populations had a pattern of gradual decrease in principal angle similar to that found 288 in the MN population (Figure 4A-D). After the instruction onset, the instantaneous subspace 289 shifted quickly away from that present at time I and progressed gradually toward that present at 290 291 times G and M, only shifting toward that present at time H after movement onset. As for the PM 292 MN populations, the condition-dependent subspace of the PM AE populations shifted 293 progressively over the time course of execution RGM trials.

294 Neural trajectories separate progressively during both execution and observation

The progressive changes in principal angles do not capture another important aspect of 295 296 condition-dependent neural activity. The neural trajectories during trials involving different 297 objects separated increasingly as trials progressed in time. To illustrate this increasing 298 separation, we clipped 100 ms segments of high-dimensional MN population trial-averaged 299 trajectories beginning at times I, G, M, and H, for trials involving each of the four objects. We 300 then projected the set of four object-specific trajectory segments clipped at each time into each of the four instantaneous 3D subspaces at times I, G, M, and H. This process was repeated 301 302 separately for execution trials and for observation trials.

303 For visualization, we projected these trial-averaged trajectory segments from an example session into the PC1 vs PC2 planes (which consistently captured > 70% of the 304 305 variance) of the I, G, M, or H instantaneous 3D subspaces. In Figure 5, the trajectory segments 306 for each of the four objects (sphere - purple, button - cyan, coaxial cylinder - magenta, 307 perpendicular cylinder - yellow) sampled at different times (rows) have been projected into each of the four instantaneous subspaces defined at different times (columns). Rather than 308 appearing knotted as in Figure 3, these short trajectory segments are distinct when projected 309 310 into each instantaneous subspace.

Along the main diagonal of Figure 5A, each set of trajectory segments is projected into 311 its corresponding subspace, showing that during execution the trajectory segments for the four 312 313 objects were close together at the time of instruction onset (I), beca, e more separated at the time of the go cue (G), had separated further still at movement onset (M), and had become 314 somewhat less separated at the beginning of the final hold (H). During observation (Figure 5B) 315 a similar trend is evident along the main diagonal, although the separation is less, reflecting the 316 317 commonly described lower firing rates of MNs during observation than during execution (Ferroni et al., 2021). In addition, during observation the separation of the four trajectories was 318 319 somewhat greater at the beginning of the hold (H) than at movement onset (M). Off-diagonal frames along the rows (same trajectory segments, different instantaneous subspaces) or along 320 321 the columns (different trajectory segments, same instantaneous subspaces) show less 322 separation than along the main diagonal, both during execution and during observation. To 323 summarize these differences in trajectory separation, we calculated the 3-dimensional 324 cumulative separation (CS - see Methods) for each set of four segments projected into each of

the four instantaneous subspaces both for this example session and averaged across all 9 sessions (Figure 5 – figure supplement 1). These differences in separation when the same trajectory segments are projected into different subspaces reflect the progressive shifting of the condition-dependent instantaneous subspace of the PM MN population as trials progressed in time, illustrated schematically in Figure 1B.



Figure 5. MN trajectory segments projected into instantaneous subspaces. **A.** Using execution data from an example session (monkey T, session 3), trajectory segments averaged across trials involving each of the four objects (sphere – purple, button – cyan, coaxial cylinder [coax] – magenta, perpendicular cylinder [perp] – yellow) were clipped for 100 ms immediately following each of four behavioral events (rows: Instruction onset, Go cue, Movement onset, Hold). Each set of these four segments then was projected into the PC1 vs PC2 plane of the instantaneous 3D subspace present at four different times (columns: I, G, M, H). **B.** The same process was performed using observation data from the same session. The PC1 vs PC2 scales at lower left in **B** apply to all frames in both **A** and **B**.

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331 Decodable information changes progressively during both execution and observation

As RGM trials proceeded in time, the condition-dependent neural activity of the PM MN population thus changed in two ways. First, the instantaneous condition-dependent subspace shifted, indicating that the patterns of firing-rate co-modulation among neurons representing the four different RGM movements changed progressively, both during execution and during observation. Second, as firing rates generally increased, the neural trajectories representing the four RGM movements became progressively more separated, more so during execution than during observation.

To evaluate the combined effects of these two progressive changes, we clipped 100 ms 339 340 single-trial trajectory segments beginning at times I, G, M, or H, and projected these trajectory 341 segments from individual trials into the instantaneous 3D subspaces at 50 ms time steps. At 342 each of these time steps, we trained a separate LSTM decoder to classify individual trials 343 according to which of the four objects was involved in that trial. We expected that the trajectory segments would be classified most accurately when projected into instantaneous subspaces 344 near the time at which the trajectory segments were clipped. At other times we reasoned that 345 classification accuracy would depend both on the similarity of the current instantaneous 346 347 subspace to that found at the clip time as evaluated by the principal angle (Figure 4), and on the separation of the four trajectories at the clip time (Figure 5). 348

349 Figure 6A-D shows the resulting classification accuracy as a function of trial time for the 350 100 ms Instruction, Go, Movement, or Hold mirror-neuron execution trajectory segments, each 351 projected into the same time series of instantaneous mirror-neuron execution subspaces from 352 the same session. Solid curves indicate classification accuracy averaged across 10-fold crossvalidation (as described in the Methods); the surrounding shaded areas indicate ± 1 standard 353 354 deviation from that average; different colors indicate results from the three different sessions in monkey R. Horizontal lines indicate the range of classification accuracies that would have been 355 356 obtained had the instantaneous subspaces been chosen randomly, which we estimated for 357 each set of trajectory segments by bootstrapping-projecting the trajectory segments into a randomly selected 3D space, training an LSTM decoder, and classifying single trials, repeated 358 359 500 times (Natraj et al., 2022).

As might have been expected based both on principal angles and on trajectory 360 361 separation, classification accuracy consistently peaked at a time point within or near the 100 ms 362 duration of the corresponding trajectory segments (orange flags at the top of the vertical lines). 363 Classification accuracy decreased progressively at times preceding and following each of these peaks. In monkey R, mean classification of the Instruction trajectory segments (Figure 6A) 364 365 initially was ~0.25, rose toward ~0.50 around the time of the instruction onset, and then fell back to ~0.25. Mean accuracy for the Go segments (Figure 6B) also began at ~0.25, rose gradually 366 during the delay epoch to peak at ~0.75 around the time of the Go cue, and decreased 367 thereafter. For the Movement (Figure 6C) and Hold (Figure 6D) segments, classification 368 accuracy started somewhat higher (reflecting greater trajectory segment separation at the time 369 370 they were clipped, Figure 5) and peaked at ~0.90. Similar trends were seen for monkeys T and F. For each monkey, classification accuracy for each of the four sets of trajectory segments— 371 Instruction, Go, Movement, and Hold—as a function of time was relatively consistent across 372 373 sessions.

Although classification accuracy consistently peaked near the behavioral event at which time each set of trajectory segments was clipped, the rise in accuracy before and the decline after the peak differed depending on the behavioral event. Peak classification accuracy for Instruction segments was modest, beginning to rise from mean chance levels ~100 ms before the instruction onset, and quickly falling back thereafter (Figure 6A). At times outside of this brief peak, however, the instantaneous subspace was no more similar to that at the time of instruction onset than would be expected from chance alone.

In contrast, classification accuracy for the Go trajectory segments (Figure 6B) was
 elevated above mean chance levels for more of the RGM trial duration. Though exceeding 3
 standard deviations from mean chance only late in the delay epoch, Go-segment classification
 accuracy rose steadily through the delay epoch, peaked near the go cue, then fell back to near



Figure 6. Decodable information as a function of time. A - D: Classification accuracy for mirrorneuron execution trajectory segments projected into instantaneous execution subspaces; E - H: for mirror-neuron observation trajectory segments projected into their instantaneous observation subspaces; I-L: for action-execution neuron trajectory segment projected into their instantaneous execution subspaces. A, E, I: Instruction trajectory segments; B, F, J: Go segments; C, G, K: Movement segments; D, H, L: Hold segments. Red, green, and blue traces represent sessions 1, 2, and 3, respectively, from monkey R. Results in 50 ms steps have been aligned separately at the times of the instruction onset (I), go cue (G), movement onset (M), and hold (H)—each indicated by a vertical line as labeled in the frame at upper left. In each frame, the short horizontal orange flag at the top of the vertical lines indicates the 100 ms during which each set of trajectory segments was clipped; the horizontal purple bar at lower left represents 500 ms. Solid curves indicate mean classification accuracy across 10-fold cross validation as a function of time, with the shaded areas indicating 1 standard deviation. Horizontal black lines indicate the mean (solid) ± 3 standard deviations (dashed) classification accuracy obtained by projecting each set of trajectory segments into 500 randomly selected 3D spaces. 385 mean chance levels during the reaction (G to M) and movement (M to H) epochs. Likewise, the 386 rise in classification accuracy of Movement trajectory segments (Figure 6C) also began, not 387 after the go cue, but earlier, in the middle of the delay epoch (I to G). Movement-segment 388 classification accuracy rose steadily from the second half of the delay epoch through the 389 reaction epoch (G to M), peaked above chance levels shortly after movement onset (M), and fell back to near baseline during the movement epoch (M to H). Had the condition-dependent 390 391 instantaneous subspaces during the delay epoch been orthogonal to those at the time of movement onset, the Movement trajectory segments would have had no projection in delay 392 393 epoch subspaces and classification accuracy would have remained at baseline. The progressive increase in classification accuracy of Movement trajectory segments during the 394 395 preparatory delay and reaction epochs indicates that as these epochs proceeded the condition-396 dependent neural trajectories of PM MNs shifted gradually, not abruptly, toward where they 397 would be at movement onset.

Classification accuracy of the Hold trajectory segments (Figure 6D) increased relatively
late in execution trials. During the instruction, delay, and reaction epochs the instantaneous
subspaces were no more similar than chance to that at the beginning of the hold epoch.
Classification accuracy of Hold trajectory segments began to increase only after movement
onset (M), rising through the movement epoch, peaking near the beginning of the hold epoch
and decreasing thereafter.

We performed a similar classification accuracy analysis for observation trials. For Instruction trajectory segments (Figure 6E), the brief peak of classification accuracy occurring around the time of instruction onset (I) during observation trials was quite like that found during execution trials. For the Go and Movement segments (Figure 6F,G), although classification accuracy tended to be lower, a gradual rise again began during the delay epoch. Classification accuracy of the Hold trajectory segments, during observation as during execution, began to increase only after movement onset (Figure 6H).

411 During execution trials, classification accuracy for AE populations (Figure 6I-L) showed a time course quite similar to that for MN populations, though amplitudes were lower overall, most 412 likely because of the smaller population sizes. During observation, AE populations showed only 413 414 low-amplitude, short-lived peaks of classification accuracy around times I, G, M, and H (Figure 6 - figure supplement 1). Given that individual AE neurons showed no statistically significant 415 416 modulation during observation trials, even these small peaks might not have been expected. Previous studies have indicated, however, that neurons not individually related to task events 417 nevertheless may contribute to a population response (Shenoy et al., 2013; Cunningham and 418 419 Yu, 2014; Gallego et al., 2017; Jiang et al., 2020).

Do PM mirror neurons progress through the same subspaces during execution and observation?

423 Having found that PM mirror neuron populations show similar progressive shifts in their 424 instantaneous neural subspace during execution and observation of RGM trials, as well as 425 similar changes in decodable information, we then asked whether this progression passes 426 through similar subspaces during execution and observation. To address this question, we first 427 calculated the principal angles between the instantaneous mirror-neuron execution subspace at selected times I, G, M, or H and the entire time series of instantaneous mirror-neuron 428 429 observation subspaces (Figure 7A-D). Conversely, we calculated the principal angles between the instantaneous observation subspaces at selected times I, G, M, or H and the entire time 430 series of instantaneous execution subspaces (Figure 7E-H). Although the principal angles were 431 432 slightly smaller than might be expected from chance alone, indicating some minimal overlap of 433 execution and observation instantaneous subspaces, the instantaneous observation subspaces did not show any progressive shift toward the I, G, M, or H execution subspace (Figure 7A-D), 434 nor did the instantaneous execution subspaces shift toward the I, G, M, or H observation 435 436 subspace (Figure 7E-H). We also used classification accuracy to evaluate cross-projected trajectory segments and again found little evidence of overlap between execution and 437 438 observation subspaces (Figure 7 – figure supplement 1). Although monkey T did show evidence of some degree of overlap (Figure 7 – figure supplement 2), throughout the time 439



Figure 7. Time course of the first principal angle cross-calculated between instantaneous execution and observation subspaces of PM MNs as a function of time. First principal angles between the instantaneous *execution* subspace at selected times I, G, M, or H and the entire time series of instantaneous *observation* subspaces are shown above (**A-D**); between the instantaneous *observation* subspace at selected time series of instantaneous *execution* subspaces are shown above (**A-D**); between the instantaneous *observation* subspace at selected times I, G, M, or H and the entire time series of instantaneous *execution* subspaces below (**E-H**). Formatting is the same as in Figure 4.

440 course of trials in in monkeys R and F, the instantaneous execution and observation condition-441 dependent subspaces showed little if any overlap.

442 Alignment of latent dynamics

We next asked whether mirror neuron execution and observation trajectory segments, 443 444 though progressing through distinct subspaces, nevertheless could be aligned using canonical correlation analysis (CCA) to project both sets of trajectory segments into another, common 445 446 subspace, as illustrated schematically in Figure 1C. Such alignment would indicate that neural representations of trials involving the four objects bore a similar relationship to one another in 447 448 neural space during execution and observation, even though they occurred in different 449 subspaces. For example, the trajectories of PMd+M1 neuron populations recorded from two different monkeys during center-out reaching movements could be aligned well (Safaie et al., 450 2023). CCA showed, for example, that in both brains the neural trajectory for the movement to 451 the target at 0° was closer to the trajectory for movement to the target at 45° than to the 452 trajectory for the movement to the target at 180°. Relationships among these latent dynamic 453 454 representations of the eight movements thus were similar even though the neural populations were recorded from two different monkeys. 455

456 We therefore applied CCA (see Methods) to align the trajectory segments of execution 457 trials with those of observation trials. As an example, trial-averaged Hold execution trajectory 458 segments in their original execution subspace at time H, and Hold observation trajectory 459 segments in their original observation subspace at time H, are shown in Figure 8A. The relationships among the execution trajectory segments appear substantially different than that 460 461 among the observation trajectory segments. But when both sets of trajectory segments are projected into another common subspace identified with CCA, as shown in Figure 8B, a similar 462 relationship among the neural representations of the four movements during execution and 463 observation is revealed. In both behavioral contexts the neural representation of movements 464 465 involving the sphere (purple) is now closest to the representation of movements involving the coaxial cylinder (magenta) and farthest from that of movements involving the button (cyan). The 466 467 two sets of trajectory segments are more or less "aligned."

As a positive control, we first aligned MN execution trajectory segments from two 468 469 different sessions in the same monkey (which we abbreviate as MN:1/2). The 2 sessions in monkey R provided only 1 possible comparison, but the 3 sessions in monkeys T and F each 470 471 provided 3 comparisons. For each of these 7 comparisons, we found the bootstrapped average 472 of CC1, of CC2, and of CC3. The 3D means ± standard deviations of these 7 averages for the Instruction, Go, Movement, and Hold trajectory segments have been plotted in Figure 8C 473 (black). The progressive increase in mean correlation coefficients reflects the general increase 474 475 in firing rates relative to trial-by-trial variability from the early to later trial epochs. The highest 476 values for MN:1/2 correlations were obtained for the Movement trajectory segments ($\overline{CC1}$ = $0.89, \overline{CC2} = 0.77, \overline{CC3} = 0.61$). These relatively high values indicate relatively consistent 477 relationships among the Movement neural trajectory segments representing the four different 478 479 RGM movements from session to session, as would have been expected from previous studies 480 (Gallego et al., 2018; Gallego et al., 2020; Safaie et al., 2023).





Figure 8. Alignment of trajectory segments by canonical correlation. A. For an example session (monkey F, session 2), mirror neuron Hold trajectory segments from execution trials have been projected into their original instantaneous execution subspace at time H (left), and from observation trials into their original instantaneous observation subspace also at time H (right). B. The same execution (left) and observation (right) trajectory segments all have been projected into another, common subspace identified with canonical correlation. Colors indicate trajectory segments from trials involving the sphere – purple, coaxial cylinder (coax) – magenta, perpendicular cylinder (perp) – yellow, and button – cyan. C. The three correlation coefficients resulting from CCA (CC1, CC2, and CC3) have been averaged across comparisons from all sessions from the three monkeys. Thick bars representing the standard deviations of the three coefficients cross at their means, with a thin line dropped vertically from that point to the CC1 vs CC2 plane. CCA of MN trajectory segments from execution trials recorded in two different sessions from the same monkey (black, MN:1/2) is used as a point of reference with which to compare alignment of MN execution versus observation trials collected in the same session (red, MN:E/O), and MN versus AE neuron execution segments from the same session (blue, MN/AE). D. Correlation coefficients from within-group CCA alignment for MN execution segments (gray, MN:E/E), MN observation trajectory segments (orange, MN:O/O) and AE execution segments (light blue, AE:E/E). See text for further description.

482 Given that PM MN activity progressed largely through non-overlapping instantaneous 483 subspaces during execution versus observation, we proceeded to ask whether the relationship 484 among the neural representations of the four RGM movements was similar during execution 485 versus observation. To address this question, we aligned MN execution trajectory segments 486 with MN observation trajectory segments from the same session (MN:E/O; 2 sessions from monkey R, 3 from monkey T, 3 from monkey F). The 3D mean ± standard deviation correlation 487 coefficients for these 8 alignments also has been plotted in Figure 8C (red). Here, the highest 488 values were reached for the Hold trajectory segments ($\overline{CC1} = 0.73$, $\overline{CC2} = 0.54$, $\overline{CC3} = 0.39$). 489 Though not as high as for execution/execution alignment, these values indicate substantial 490 491 alignment of MN trajectory segments from execution and observation. PM MN populations thus 492 showed some degree of similarity in the relationships among their latent dynamic representations of the four RGM movements during execution and observation, particularly at 493 494 the time of the hold.

495 Although MNs are known to be present in considerable numbers in both the primary motor cortex and premotor cortex (see Introduction), most studies of movement-related cortical 496 activity in these areas make no distinction between neurons with activity only during action 497 execution (AE neurons) and those with activity during both execution and observation (MNs). 498 499 This reflects an underlying assumption that during action execution, mirror neurons function in 500 parallel with AE neurons, differing only during observation. We therefore tested the hypothesis that MN and AE neuron execution trajectory segments from the same session would align well. 501 Figure 8C (blue) shows the mean CCs between MN and AE execution trajectory segments 502 across 8 alignments (MN/AE; 2 R, 3 T, 3 F), which reached the highest values for the Hold 503 segments ($\overline{CC1} = 0.57$, $\overline{CC2} = 0.35$, $\overline{CC3} = 0.19$). All three of these coefficients were 504 505 substantially lower than those for the MN execution vs. observation alignments given above. Surprisingly, the alignment of AE neuron execution trajectory segments with those of the 506 simultaneously recorded MN population was weaker than the alignment of MN trajectories 507 508 during execution vs. observation.

509 Statistical comparisons across the three sets of alignments illustrated in Figure 8C (MN:1/2; MN:E/O; and MN/AE) showed significant variation in each of the three CCA 510 coefficients for each set of trajectory segments, with the exception of the Instruction segments 511 512 which were all quite low (Kruskal-Wallis tests; Instruction segments, p > 0.05; Go segments, p < 0.01; Movement segments, p < 0.01; Hold segments, p < 0.001). Post-hoc testing showed 513 514 that in all significant cases (9 cases: 3 CCA coefficients x 3 sets of trajectory segments, Tukey 515 honestly significant difference tests), though the MN:E/O coefficients might not be significantly 516 lower than the corresponding MN/1:2 coefficients and/or significantly higher than the MN/AE 517 coefficients, the MN/AE coefficients were significantly lower than the corresponding MN/1:2 518 coefficients in all 9 cases. These findings fail to support the hypothesis that during action execution MN and AE neuron trajectory segments would align well, and suggest instead that the 519 520 patterns of co-modulation among AE neurons during the four different RGM movements did not 521 align with the patterns of co-modulation among MNs.

522 Did these differences in MN:1/2, MN:E/O, and MN/AE alignment result from consistent 523 differences in their respective patterns of co-modulation, or from of greater trial-by-trial variability 524 in the patterns of co-modulation among MNs during observation than during execution, and still 525 greater variability among AE neurons during execution? The bootstrapping approach we used 526 for CCA (see Methods) enabled us to evaluate the consistency of relationships among trajectory

527 segments across repeated samplings of trials recorded from the same neuron population in the 528 same session and in the same context (execution or observation). We therefore performed 500 iterations of CCA between two different random samples of MN execution (MN:E/E), MN 529 530 observation (MN:O/O), or AE execution (AE:E/E) trajectory segments from a given session (2 R, 531 3 T, 3 F). This within-group alignment of MN execution trajectory segments from the same session (Figure 8D, MN:E/E, gray, Hold: $\overline{CC1} = 0.88$, $\overline{CC2} = 0.74$, $\overline{CC3} = 0.55$) was as strong as 532 533 between session alignment (Figure 8C, MN/1:2, black). But within-group alignment of MN 534 observation trajectory segments (Figure 8D, MN:O/O, orange, Hold: $\overline{CC1} = 0.65$, $\overline{CC2} =$ $0.46, \overline{CC3} = 0.24$) was lower than that found with MN execution segments (Figure 8C, MN:E/O, 535 red, $(\overline{CC1} = 0.73, \overline{CC2} = 0.54, \overline{CC3} = 0.39)$. Likewise, within-group alignment of AE neuron 536 trajectory segments (Figure 8D, AE:E/E, light blue, Hold: $\overline{CC1} = 0.46$, $\overline{CC2} = 0.25$, $\overline{CC3} = 0.10$) 537 was lower than their alignment with MN execution segments (Figure 8C, MN/AE, blue, Hold: 538 $\overline{CC1} = 0.57$, $\overline{CC2} = 0.35$, $\overline{CC3} = 0.19$). Whereas MN execution trajectories were relatively 539 consistent within sessions, MN observation trajectories and AE execution trajectories were less 540 541 SO.

Statistical comparisons across these three sets of within-group alignments (MN:E/E: 542 MN:O/O; and AE:E/E) showed significant variation in each of the three CCA coefficients for all 543 544 four trajectory segments (Kruskal-Wallis tests; Instruction segments, p < 0.05; Go segments, 0,01; Movement segments, p < 0.001; Hold segments, p < 0.001). Post-hoc testing showed that 545 in all significant cases (12 cases: 3 CCA coefficients x 4 sets of trajectory segments, Tukey 546 honestly significant difference tests), though the within-group MN:O/O coefficients might not be 547 significantly lower than the corresponding MN:E/E coefficients and/or significantly higher than 548 the AE:E/E coefficients, the within-group AE:E/E coefficients were significantly lower than the 549 corresponding MN:E/E coefficients in all 12 cases. These findings suggest that the patterns of 550 co-modulation among AE neurons during the four different RGM movements, as well as the 551 552 patterns of co-modulation among MNs during observation, were more variable from trial to trial than were the patterns of MN co-modulation during execution. This greater trial-to-trial 553 variability in co-modulation of MNs during observation and even greater variability in AE 554 555 neurons during execution (Figure 8D) likely contributes to the weaker alignment of MN observation segments with MN execution segments and even weaker alignment of AE and MN 556 execution segments (Figure 8C). Whereas the predominant patterns of co-modulation among 557 558 MNs during the four different RGM movements were relatively consistent, co-modulation among 559 MNs during observation was less consistent, and co-modulation of AE neurons during execution even less so. 560

562 **DISCUSSION**

563 As neurophysiological studies have advanced from examination of single neurons to neuron populations, analytic approaches have advanced from analyses of single neuron firing 564 rates to analyses of co-modulation patterns among neuron populations. The co-modulation in a 565 566 neuronal population can be expressed as the trajectory of the simultaneous firing rates of the N neurons through their N-dimensional state space, and the predominant patterns of co-567 568 modulation can be extracted by projecting this high-dimensional trajectory into a low-569 dimensional subspace that captures a large proportion of the population's firing-rate variance. Previous studies of reaching movements have shown that the low-dimensional population 570 571 trajectories of PMd and M1 neurons occupy one subspace during a preparatory delay epoch 572 and then transition to a different subspace during the reaching movement per se (Kaufman et al., 2014; Elsayed et al., 2016). Compared to reaching movements, however, the low-573 dimensional trajectories of neuronal activity controlling hand movements are relatively complex 574 (Rouse and Schieber, 2018; Suresh et al., 2020). To approach this problem, rather than 575 576 examining neural trajectories in subspaces that capture only a selected epoch of the behavioral task, we identified time series of instantaneous, condition-dependent subspaces covering the 577 entire time course of reach-grasp-manipulate (RGM) behavioral trials that included a 578 579 preparatory delay epoch.

Using this approach, we found that the instantaneous, condition-dependent subspace of 580 PM MN populations shifts progressively during both execution and observation of RGM trials. 581 582 The instantaneous subspace of AE neuron populations likewise shifts progressively during action execution. This progressive shifting of the instantaneous subspace resembles that found 583 previously using fractional overlap of condition-dependent variance in M1 neuron populations 584 performing a similar RGM task without a delay epoch (Rouse and Schieber, 2018). Although 585 586 the progressive shifting described here is a rotation in the mathematical sense, it is not necessarily a smooth rotation in a few dimensions. We therefore have used the word "shift" to 587 588 contrast with the smooth rotation of neural trajectories in a low-dimensional subspace described 589 in other studies, particularly those using iPCA (Churchland et al., 2012; Russo et al., 2020; 590 Rouse et al., 2022).

591 Features of the instantaneous subspace

592 Short bursts of "signal" related discharge are known to occur in a substantial fraction of 593 PMd neurons beginning at latencies of ~60 ms following an instructional stimulus (Weinrich et al., 1984; Cisek and Kalaska, 2004). Here we found that the instantaneous subspace shifted 594 briefly toward the subspace present at the time of instruction onset (I), similarly during execution 595 596 and observation. This brief trough in principal angle (Figure 4A) and the corresponding peak in 597 classification accuracy (Figure 7A) in part may reflect smoothing of firing rates with a 50 ms Gaussian kernel. We speculate, however, that the early rise of this peak at the time of 598 599 instruction onset also reflects the anticipatory activity often seen in PMd neurons in expectation 600 of an instruction, which may not be entirely non-specific, but rather may position the neural 601 population to receive one of a limited set of potential instructions (Mauritz and Wise, 1986). We attribute the relatively low amplitude of peak classification accuracy for Instruction trajectory 602 603 segments to the likely possibility that only the last 40 ms of our 100 ms Instruction segments captured signal related discharge. 604

605 The firing rates of MNs in both PMv and PMd have been shown previously to modulate 606 during preparatory delay periods (Cisek and Kalaska, 2004; Maranesi et al., 2014). During 607 execution of a reaching task, condition-dependent subspaces during the preparatory delay are 608 orthogonal to those found during the subsequent movement epochs (Kaufman et al., 2014; 609 Elsayed et al., 2016). Studies that have identified such orthogonal subspaces specifically optimized preparatory and movement subspaces to be orthogonal to one another, however, 610 whereas the present approach did not. Here, we found that during the preparatory delay epoch 611 of the present RGM task, the condition-dependent, instantaneous subspace did not remain 612 613 orthogonal to that which would be present at movement onset or during the movement epoch. Rather, as the preparatory delay proceeded, the instantaneous subspace shifted concurrently 614 toward both the subspace that would be present at the time of the go cue ending the 615 preparatory delay (G) and that which would be present at movement onset (M). By time G, the 616 instantaneous subspace already had shifted approximately halfway toward the time M 617 subspace. This difference in the orthogonality of preparatory versus movement subspaces may 618 619 reflect differences in reaching without grasping, which involves coordinated motion in 4 degrees of freedom (DOFs) at the shoulder and elbow, versus the present RGM movements, which 620 621 involve simultaneous, fluidly coordinated motion in at least 22 DOFs of the shoulder, elbow, wrist, and digits (Rouse and Schieber, 2015). Finally, we note that the progressive shift toward 622 the subspace present at the onset of the final hold (H) did begin only after the delay period had 623 624 ended (G) and around the time of movement onset (M).

625 **PM MN populations during execution versus observation.**

626 In general, instantaneous execution subspaces were distinct from instantaneous observation subspaces, indicated by the continuously large principal angles between them 627 (Figure 9) and by low classification accuracy when execution trajectories were cross-projected 628 into observation subspaces and vice versa (Figure 10). This was the case not only during 629 630 corresponding time points in execution and observation trials, but throughout their entire time 631 course. Moreover, in all three monkeys, progressive shifting of the instantaneous, conditiondependent subspace was absent both in the principal angles between execution and 632 observation subspaces and in the decoding of execution trajectory segments cross-projected 633 634 into observation subspaces (and vice versa). These findings indicate that the predominant modes of co-modulation among PM MNs are largely distinct during execution and observation. 635

636 Although mirror neurons originally were thought to provide highly congruent neural representations of action execution and action observation (Gallese et al., 1996; Rizzolatti et al., 637 1996), the present findings are consistent with recent studies that have emphasized the 638 considerable fraction of neurons with non-congruent activity, as well as differences in neural 639 640 population activity during action execution versus action observation (Jiang et al., 2020; Pomper et al., 2023). As more situations have been investigated, the number of conditions needed to 641 define a "true" mirror neuron in the strict sense of being entirely congruent has grown, making 642 643 the duration of such congruence brief and/or its likelihood comparable to chance (Papadourakis and Raos, 2019; Pomper et al., 2023). 644

We did not attempt to classify neurons in our PM MN populations as strictly congruent,
broadly congruent, or non-congruent. Nevertheless, the minimal overlap we found in
instantaneous execution and observation subspaces would be consistent with a low degree of
congruence in our PM MN populations. Particularly during one session monkey T was an

649 exception in this regard, showing a considerable degree of overlap between execution and 650 observation subspaces, not unlike the shared subspace found in other studies that identified

orthogonal execution and observation subspaces as well (Jiang et al., 2020). Although our

652 microelectrode arrays were placed in similar cortical locations in the three monkeys, by chance

653 monkey T's PM MN population may have included a substantial proportion of congruent

654 neurons.

655 Alignment of trajectory segments with canonical correlation

Given the complexity of condition-dependent neural trajectories across the entire time 656 657 course of RGM trials (Figure 3B), rather than attempting to align entire neural trajectories, we 658 applied canonical correlation to trajectory segments clipped for 100 ms following four well-659 defined behavioral events: Instruction onset, Go cue, Movement onset, and the beginning of the final Hold. In all cases, alignment was poorest for Instruction segments, somewhat higher for 660 661 Go segments, and strongest for Movement and Hold segments (Figure 8C). This progressive increase in alignment likely reflects a progressive increase in the difference between average 662 neuron firing rates for trials involving different objects (Figure 5) relative to the trial-by-trial 663 664 variance in firing rate for a given object.

665 Corresponding neural representations of action execution and observation during task epochs with higher neural firing rates have been described previously in PMd MNs and in PMv 666 667 MNs using representational similarity analysis RSA (Papadourakis and Raos, 2019). And 668 during force production in eight different directions, neural trajectories of PMd neurons draw similar "clocks" during execution, cooperative execution, and passive observation (Pezzulo et 669 670 al., 2022). Likewise in the present study, despite execution and observation trajectories progressing through largely distinct subspaces, in all three monkeys execution and observation 671 trajectory segments showed some degree of alignment, particularly the Movement and Hold 672 673 segments (Figure 8C), indicating similar relationships among the latent dynamic representations 674 of the four RGM movements during execution and observation.

Alignment between trajectory segments of the same PM MN population during execution versus observation in the same session, however, was less than that found between MN execution segments from two different sessions in the same monkey. In part, this may reflect the lower firing rates of PM MNs typically found during observation as compared to execution trials (Ferroni et al., 2021). Alternatively, the lower alignment may reflect more trial-by-trial variability in MN observation segments than in MN execution segments, as indicated by the limited within-group alignment of MN observation trajectory segments (Figure 8D).

682 Based on the assumption that AE neurons and MNs function as a homogenous neuron population during action execution, we had expected AE and MN execution trajectory segments 683 to align closely. During execution trials, the progression of instantaneous condition-dependent 684 685 subspaces and of classification accuracy in AE populations was guite similar to that in MN populations. We were surprised to find, therefore, that alignment between execution trajectory 686 687 segments from AE populations and from the simultaneously recorded MN populations was even 688 lower than alignment between MN execution and observation segments (Figure 8C, blue versus red). Moreover, whereas within-group alignment of MN execution trajectory segments was high, 689 within-group alignment of AE neuron execution trajectory segments was low (Figure 8D, gray 690 691 versus light blue). These findings indicate that the predominant patterns of co-modulation

among MNs during execution are quite consistent within sessions, but the patterns of co-

693 modulation among AE neurons are considerably more variable. Together with our previous

694 finding that modulation of MNs leads that of non-mirror neurons in time, both at the single

695 neuron level and at the population level (Mazurek and Schieber, 2019), this difference in

consistency versus variability leads us to speculate that during action execution, while MNs

- 697 carry a consistent forward model of the intended movement, AE neurons carry more variable
- 698 feedback information.

699The role of mirror neuron populations

700 Neither the congruence versus non-congruence of individual MN discharge nor the 701 canonical correlation of population dynamics during execution and observation provide direct causal evidence that MNs mediate understanding of the observed actions of other individuals 702 (Hickok, 2009; Yuste, 2015; Krakauer et al., 2017). Many interpretations of such findings are 703 704 possible, and testing various hypotheses ultimately may require selective experimental manipulation (e.g. inactivation) of MN activity during observation in ways beyond our current 705 706 capabilities. Nevertheless, the common finding that large fractions of neurons in both PM and M1 discharge both during execution and during observation makes it unlikely that the discharge 707 of MNs during observation is vestigial, with no meaning for the organism. 708

709 Although we did not track extraocular movements, video monitoring demonstrated that 710 our monkeys remained attentive throughout the blocks of observation trials, actively scanning 711 the visual environment. Though perhaps not following the experimenter's movements closely with eye movements, or even with covert visual attention, the present results in and of 712 713 themselves demonstrate that during observation trials the PM MN population was processing information on the sequential epochs of the behavioral task (Mazurek et al., 2018) as well as the 714 715 object to which the experimenter's actions were directed on each trial. These findings are consistent with the notion that the PM MN population predictively represents the sequence of 716 717 behavioral events during observation trials (Kilner et al., 2007; Maranesi et al., 2014; Ferroni et 718 al., 2021). Our finding that within-group alignment of MN observation trajectory segments was lower than that of MN execution segments (Figure 8D), however, indicates more trial-by-trial 719 variability of MN co-modulation during observation than during execution. In addition to any 720 721 consistent, predictive, forward model of the observed experimenter's expected performance, 722 MNs thus may also receive visual input that incorporates more variable, trial-by-trial deviation 723 from the predicted performance being observed.

One classic interpretation of similar latent dynamics in the PM MN population during execution and observation would be that this similarity provides a means for the brain to recognize similar movements performed by the monkey during execution and by the experimenter during observation. Through some process akin to a communication subspace (Semedo et al., 2019), brain regions beyond PM might recognize the correspondence between the latent dynamics of the executed and observed actions.

Alternatively, given that observation of another individual can be considered a form of social interaction, PM MN population activity during action observation, rather than representing movements made by another individual similar to one's own movements, instead may represent different movements one might execute oneself in response to those made by another individual (Ninomiya et al., 2020; Bonini et al., 2022; Ferrucci et al., 2022; Pomper et al., 2023). This possibility is consistent with the finding that the neural dynamics of PM MN populations are more similar during observation of biological versus non-biological movements than during

- execution versus observation (Albertini et al., 2021). Though neurons active only during
- observation of others (AO units) have been hypothesized to drive observation activity in MNs,
- the present AO populations were too small to analyze with the approaches we applied here.
- 740 Nevertheless, the similar relative organization of the execution and observation population
- activity in PM MNs revealed here by alignment of their latent dynamics through CCA could
- constitute a correspondence between particular movements that might be made by the subject
- in response to particular movements made by the other individual, i.e. responsive movements
- which would not necessarily be motorically similar to the observed movements.

745 The present analyses as well as others have focused on the condition-dependent variance in MN population activity (Jiang et al., 2020). Other studies that have not separated 746 747 the condition-dependent versus condition-independent variance in neural activity have described even more similar latent dynamics during execution and observation (Mazurek et al., 748 749 2018; Jerjian et al., 2020; Pezzulo et al., 2022). We speculate that condition-dependent activity 750 may represent particular types of movement (e.g. sphere, button, coaxial cylinder, or perpendicular cylinder) in a manner that differs depending on the actor (one's self versus 751 another individual). Concurrently, condition-independent activity may provide a neural 752 753 representation of a class of action (e.g. RGM movements) independent of the actor.

755 **METHODS**

Three Rhesus monkeys, R, T, and F (a 6 kg female, a 10 kg male, and an 11 kg male, *Macaca mulatta*) were used in the present study. All procedures for the care and use of these non-human primates followed the Guide for the Care and Use of Laboratory Animals and were approved by the University Committee on Animal Resources at the University of Rochester, Rochester, New York.

761 Execution trials

762 Each monkey was trained to perform a delayed-response Reach-Grasp-Manipulate (RGM) task (Figure 2). Prior to each trial a ring of blue LEDs was illuminated around the pole 763 supporting a center object and a 4 kHz tone began, both signaling the end of an inter-trial 764 interval and the opportunity to begin a new trial. The monkey initiated the following sequence by 765 766 pulling the center object for an initial hold epoch of randomly varied duration (500-1000 ms). A 767 ring of blue LEDs around the pole supporting one of four peripheral objects then was illuminated 768 instructing the monkey as to the target object for the current trial. After 500 ms these instruction 769 LEDs were extinguished, and the monkey was required to wait for a preparatory delay epoch 770 lasting randomly 500-2000 ms. At the end of this preparatory delay epoch, the blue LEDs for the 771 center object were extinguished and the 4 kHz tone ceased, providing a go cue. The monkey 772 then reached to, grasped, and manipulated the remembered target object: turning a sphere, 773 pushing a button, pulling a coaxial cylinder (coax), or pulling a perpendicular cylinder (perp). 774 The reach, grasp, manipulate sequence was performed as a single, uninterrupted, fluid 775 movement of the entire upper extremity (Rouse and Schieber, 2015, 2016a, b). Once the 776 instructed object had been manipulated, a ring of green LEDs around the object illuminated 777 (indicating successful manipulation of the object) and the ring of blue LEDs for that object also 778 illuminated (indicating correct object). The monkey then was required to hold the instructed 779 object in its manipulated position for a final hold epoch of 1000 ms, after which the blue LEDs 780 were extinguished. (The green LEDs extinguished whenever the monkey released the object.) 781 After a 300 ms delay, the monkey received a liquid reward on each successful trial.

The selection and sequence of target objects in successive trials was controlled by 782 783 custom software (Unified Task Control System, Gil Rivlis), which also 1) generated behavioral 784 event marker codes (Figure 2B), and 2) arranged trials involving the four different objects in a 785 pseudorandom block design. The behavioral event marker codes indicated the times at which specific behavioral events occurred: Start of trial, Instruction onset, Instruction offset, Go cue 786 787 (delay epoch ended), Movement onset, Hold began, Hold ended, End of trial. One trial involving 788 each of the four different objects was presented sequentially in a block. Once a block had been completed, the sequence of the four objects was shuffled randomly for the next block. To 789 790 prevent the monkey from skipping more difficult objects, if the monkey failed to complete a trial 791 successfully the same target was repeated until the monkey succeeded.

792 **Observation trials**

In a separate block of trials, the monkey observed an experimenter performing the same delayed-response RGM task. The experimenter occasionally made errors intentionally. The monkey received a reward each time the experimenter performed a successful trial, but not when the experimenter made an error, which kept the monkey attentive to the experimenter's performance. Although extraocular movements were not recorded or controlled, video monitoring verified that the monkey remained alert and attentive throughout blocks of

799 observation trials.

800 Neuron Recording

The three monkeys each were implanted with Floating Microelectrode Arrays (FMAs. 801 802 Microprobes for Life Sciences), in the ventral premotor cortex (PMv) and in the dorsal premotor 803 cortex (PMd). In monkeys R and T, 16-channel FMAs were implanted; in monkey F, 32-channel 804 FMAs were used (Figure 2C). Monkeys R and F each had a total of 64 recording electrodes 805 implanted in PMd and 64 in PMv, whereas monkey T had 64 in PMd, but only 48 in PMv. Broadband signals were recorded simultaneously from all 128 electrodes using a Nomad/Trellis 806 807 data acquisition system (Ripple, Salt Lake City, UT), which also recorded the behavioral event 808 marker codes generated by the behavioral control system. In each recording session, data were collected during similar numbers of successful trials involving each target object during 809 execution and then during observation, as summarized in Table 2. Off-line, spike waveforms 810 were extracted and sorted using custom software (Rouse and Schieber, 2016). Sorted units 811 812 were classified as definite single units, probably single units, multi-units, or noise based on their 813 signal-to-noise ratio and estimated fraction of false-positive spikes using our previously published criteria. All three types of units were included in the present analyses. 814

815 Mirror Neuron Identification

816 Although many studies have focused on neurons from either PMv or PMd, given that 817 neurons in each area have been shown to be modulated during both reaching and grasping (Stark et al., 2007) and during both execution and observation (Papadourakis and Raos, 2019). 818 819 we chose to combine units from these two cortical areas for the present analyses. Each unit 820 was tested for task-related modulation. Because a given neuron's firing rates during execution 821 and observation trials almost always differed (Ferroni et al., 2021; Pomper et al., 2023), we 822 tested each unit for modulation using data from these two contexts separately. Spike counts 823 from each successful behavioral trial were extracted during eleven 200 ms periods: i) before 824 instruction onset, ii) after instruction onset, iii) before instruction offset, iv) after instruction offset 825 (delay epoch began), v) before delay ended, vi) after delay ended (reaction epoch began), vii) 826 before movement onset, viii) after movement onset (movement epoch began), ix) before 827 movement ended, x) after movement ended (hold epoch began), xi) before hold ended. We then conducted two-way ANOVA on these spike counts using object and time period as factors. We 828 829 considered a unit task-related if it showed a significant main effect of either i) object or ii) time period, or a significant iii) interaction effect. Any unit modulated significantly both during 830 831 execution and during observation was considered to be a mirror neuron (MN). Because each 832 unit thus had six opportunities to show significance, we used a corrected significance criterion of 833 p<0.0083 (<0.05/6). Any unit modulated during execution but not during observation was 834 considered an action execution (AE) neuron. Any unit modulated during action observation but not during execution was considered an action observation neuron (AO). Units unmodulated 835 836 during both execution and observation were considered not significantly (NS) related to the task.

837 Data analysis

Spike times for each neuron were binned (bin width = 1 ms), smoothed with a Gaussian kernel (σ = 50 ms), and square-root transformed to render variance similar from low to high firing rates (Kihlberg et al., 1972; Snedecor and Cochran, 1980). The activity of each neuron was time-aligned to four behavioral events and truncated before and after using the median delay, reaction, and movement times per object and per session as follows: i) instruction onset (I)—500 ms before to 500 ms after; ii) go cue (G)—median delay duration before to half the median reaction time after; iii) movement onset (M)—half the median reaction time before to 200 ms after; and iv) start of final hold (H)—200 ms before to 200 ms after. These four snippets of neural activity were concatenated for each trial. Neural activity then was stored as a threedimensional tensor ($N \times K \times T$, where N is number of neurons, K the number of trials, and T the number of time points) for each of the four target objects.

849 Instantaneous subspace identification

Instantaneous neural subspaces were identified at 1 ms intervals. At each 1 ms time 850 851 step, the N-dimensional neural firing rates from trials involving the four different objectssphere, button, coaxial cylinder, and perpendicular cylinder—were averaged separately, 852 providing four points in the N-dimensional space representing the average neural activity for 853 trials involving the different objects at that time step. PCA then was performed on these four 854 855 points. Because three dimensions capture all the variance of four points, three principal 856 component dimensions fully defined each instantaneous subspace. Each instantaneous 3D 857 subspace can be considered a filter described by a matrix, W, that can project high-dimensional 858 neural activity into a low-dimensional subspace, with the time series of instantaneous 859 subspaces, W_i , forming a time series of filters (Figure 1B).

860 Trajectory visualization and separation

We projected 100 ms segments of neural activity into each instantaneous subspace by multiplying the neural activity, X(t), by the transforming matrix for the i^{th} subspace, W_i , which yielded low dimensional trajectories, $L(t) = X(t)W_i$ ($t \in T$). This process was repeated for each instantaneous subspace in the time domain of interest. To quantify the separation between the four trial-averaged trajectory segments involving the different objects in a given instantaneous subspace, we then calculated their cumulative separation (*CS*) as:

867
$$CS = \frac{1}{T} \sum_{t \in T} D(t) = \frac{1}{T} \sum_{t \in T} \sum_{i \neq j} d_{ij}(t)$$

where $d_{ij}(t)$ is the 3-dimensional Euclidean distance between the i^{th} and j^{th} trajectories at time point *t*. We summed the 6 pairwise distances between the 4 trajectory segments across time points and normalized by the number of time points, T = 100. The larger the *CS*, the greater the separation of the trajectory segments.

872 Subspace Comparisons—Principal Angles

To assess the progressive shift of instantaneous subspaces, we computed the principal angles (Bjorck and Golub, 1973; Gallego et al., 2018) between the instantaneous subspace at each of four selected time points—onset of the instruction (I), go cue (G), onset of movement (M), and beginning of the final hold (H)—and each of the other instantaneous subspaces in a time series. For example, given the 3-dimensional instantaneous subspace at the time of movement onset, W_M , and at any other time, W_i , we calculated their 3x3 inner product matrix and performed singular value decomposition to obtain:

$$W_M^T W_i = P_M C P_i^T$$

881 where 3x3 matrices P_M and P_i define new manifold directions which successively minimize the 3 882 principal angles specific to the two subspaces being compared. The elements of diagonal matrix 883 *C* then are the ranked cosines of the principal angles, θ_i , ordered from smallest to largest:

884 $C = diag(\cos(\theta_1), \cos(\theta_2), \cos(\theta_3)).$

In Figure 4 – figure supplement 1, using all trials from monkey R, session 1, we have plotted the three principal angles as a function of time. Note that at time when $W_i = W_M$, all three principal angles are zero by definition, and the sharp decline before time M and the sharp rise afterward reflect the Gaussian kernel ($\sigma = 50$ ms) used to smooth unit firing rates. These sharp troughs thus are trivial, but both the gradual decline before and the gradual rise following the sharp troughs are not. Given that the set of three principal angles typically followed similar time courses, in the Results we illustrate only the first principal angle, θ_1 .

Furthermore, to provide some indication of the degree of variability in the first principal angle, we randomly selected 20 trials involving each target object (totaling 80 trials) with replacement and calculated the first principal angle as a function of time, repeating this process 10 times. The results, shown in Figures 4, 7, Figure 4 – figure supplement 3, and Figure 7 – figures supplement 2 are presented as the mean ±1 standard deviation across these 10-fold cross-validations. Note that this mean never reaches zero because the instantaneous subspaces at times I, G, M, and H were computed using all the available trials.

899 In the example of Figure 4 – figure supplement 1, the first principal angle never reached 90° either. To determine whether this reflected a lack of orthogonality or a limitation of 900 population size, we computed the first principal angle between a fixed 3-dimensional subspace, 901 902 and 5000 3-dimensional subspaces randomly chosen from N-dimensional spaces, for N varying 903 from 5 to 500. Figure 4 – figure supplement 2 shows that for large N, principal angles between 904 a fixed subspace and other randomly chosen subspaces are likely to be close to 90°. But as N decreases, these random principal angles are less likely to approach 90°, without necessarily 905 906 indicating non-random overlap of the subspaces. In Figures 4, 7, Figure 4 – figure supplement 907 3, and Figure 7 – figures supplement 2, we therefore indicate levels of principal angles that 908 might arise by chance alone using the smallest N from any of the 3 sessions for a given monkey 909 (see Table 2).

910 Decodable information—LSTM

911 As illustrated schematically in Figure 1B, the same segment of high-dimensional neural 912 activity projected into different instantaneous subspaces can generate low-dimensional 913 trajectories of varying separation. The degree of separation among the projected trajectory segments will depend, not only on their separation at the time when the segments were clipped, 914 915 but also on the similarity of the subspaces into which the trajectory segments are projected. To 916 quantify the combined effects of trajectory separation and projection into different subspaces, 917 we projected high-dimensional neural trajectory segments (each including 100 points at 1 ms 918 intervals) from successful trials involving each of the four different target objects into time series 919 of 3-dimensional instantaneous subspaces at 50 ms intervals. In each of these instantaneous 920 subspaces, the neural trajectory segment from each trial thus became a 100 point x 3 921 dimensional matrix. For each instantaneous subspace in the time series, we then trained a 922 separate long short-term memory (LSTM, (Hochreiter and Schmidhuber, 1997)) classifier to attribute each of the neural trajectories from individual trials to one of the four target object 923 924 labels: sphere, button, coaxial cylinder, or perpendicular cylinder. Using MATLAB's Deep

925 Learning Toolbox, each LSTM classifier had 3 inputs (instantaneous subspace dimensions). 20 hidden units in the bidirectional LSTM layer, and a softmax layer preceding the classification 926 layer which had 4 output classes (target objects). The total number of successful trials available 927 928 in each session for each object is given in Table 1. To avoid bias based on the total number of successful trials, we used the minimum number of successful trials across the four objects in 929 930 each session, selecting that number from the total available randomly with replacement. Each LSTM classifier was trained with MATLAB's adaptive moment estimation (Adam) optimizer on 931 40% of the selected trials, and the remaining 60% were decoded by the trained classifier. The 932 933 success of this decoding was used as an estimate of classification accuracy from 0 (no correct 934 classifications) to 1 (100% correct classifications). This process was repeated 10 times and the mean ± standard deviation across the 10 folds was reported as the classification accuracy at 935 936 that time. Classification accuracy of trials projected into each instantaneous subspace at 50 ms 937 intervals was plotted as a function of trial time.

938 Similarity of aligned latent dynamics

We used Canonical Correlation Alignment (CCA) to compare the similarity of latent dynamics in different subspaces (Gallego et al., 2020). In brief, given latent dynamics (trajectory segments) in two original subspaces, L_A and L_B , CCA finds a linear transformation of each original subspace such that, when projected into a common subspace, the aligned latent dynamics, \tilde{L}_A and \tilde{L}_B , are maximumly correlated in each dimension of the common subspace. Larger canonical correlation coefficients (CCs) indicate a higher degree of alignment.

945 CCA was performed as follows: The original latent dynamics, L_A and L_B , first were 946 transformed and decomposed as $L_A^T = Q_A R_A$ and $L_B^T = Q_B R_B$. The first m = 3 column vectors of 947 each Q_i provide an orthonormal basis for the column vectors of L_i^T (where i = A, B). Singular 948 value decomposition on the inner product matrix of Q_A and Q_B then gives $Q_A^T Q_B = USV^T$, and 949 new manifold directions that maximize pairwise correlations are provided by $M_A = R_A^{-1}U$ and 950 $M_B = R_B^{-1}V$. We then projected the original latent dynamics into the new, common subspace: 951 $\tilde{L}_A^T = L_A^T M_A$; $\tilde{L}_B^T = L_B^T M_B$. Pairwise correlation coefficients between the aligned latent dynamics 952 sorted from largest to smallest then are given by the elements of the diagonal matrix $S = \tilde{L}_A \tilde{L}_B^T$.

953 To provide an estimate of variability, we used a bootstrapping approach to CCA. From each of two data sets we randomly selected 20 trials involving each target object (totaling 80 954 955 trials) with replacement, clipped trajectory segments from each of those trials for 100 ms (100 956 points at 1 ms intervals) after the instruction onset, go cue, movement onset, or beginning of the 957 final hold, and performed CCA as described above. (Note that because session 1 from monkey 958 R included only 8 button trials (Table 1), we excluded this session from CCA analyses.) With 500 iterations, we obtained a distribution of the correlation coefficients (CCs) between the two 959 data sets in each of the three dimensions of the aligned subspace, which permitted statistical 960 961 comparisons. We then used this approach to evaluate alignment of latent dynamics between different sessions (e.g. execution trials on two different days), between different contexts (e.g. 962 963 execution and observation), and between different neural populations (e.g. MNs and AE neurons). This bootstrapping approach further enabled us to assess the consistency of 964 relationships among neural trajectories within a given group—i.e. the same neural population 965 966 during the same context (execution or observation) in the same session-by drawing two separate random samples of 80 trials from the same population, context, and session (Figure 967 968 8D), which would not have been possible had we concatenated trajectory segments from all trials in the session (Gallego et al., 2020; Safaie et al., 2023). 969

970 Code Availability

- 971 Code packages for all analyses performed in this work are available at:
- 972 <u>https://github.com/ShiftingSubspace/shiftsubs</u> .

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1105 **Figure Supplements**





Figure 4 – figure supplement 3. Time course of the first principal angle of instantaneous subspaces for AE neurons during observation trials. As would be expected given that AE neurons were not modulated significantly during observation trials, in the observation context AE populations had no gradual changes in principal angle, showing only relatively sharp troughs dipping toward 0° at each of the four selected times when the current instantaneous subspace, by definition, approached that at times I, G, M, or H. Formatting is the same as in Figure 4.



Figure 5 – figure supplement 1. Cumulative separation. To summarize the changes in trajectory separation illustrated in Figure 5, we calculated the 3-dimensional cumulative separation (CS, the summed pointwise Euclidean distance between all pairwise combinations of the four object-specific trajectory segments, see Methods) for each set of four segments projected into each of the four instantaneous subspaces at times I, G, M, or H. CS values, which we use only to characterize the phenomenon of trajectory separation, are illustrated for execution from the example session of Figure 5 as a color matrix in A, and for observation in B. For both execution and observation, the highest CS values lie on the main diagonal, increasing in temporal order from Instruction to Go to Movement to Hold, with the exception that for execution, CS for Hold was less than for Movement. C and D show CS matrices averaged across all three sessions from all three monkeys for execution and observation, respectively, demonstrating that the features seen in the example session of Figure 5 were relatively consistent across sessions. Across all nine sessions two-way ANOVA showed significant main effects on CS values of both segment and subspace as well as a significant interaction effect during both execution and observation (p < 0.05). In both of these contexts, as the instantaneous subspace of the PM MN population shifted progressively over the time course of RGM trials, the separation of conditiondependent neural trajectories also increased.



1113



Figure 7 – figure supplement 1. Classification accuracy of trajectory segments cross-projected between instantaneous execution and observation subspaces of PM MNs as a function of time. On top, Instruction, Go, Movement, and Hold *execution trajectory segments* (**A**, **B**, **C**, **D**, respectively) from individual trials have projected into the time series of *instantaneous observation subspaces* and classified with a separate LSTM decoder at each time point; below, Instruction, Go, Movement, and Hold *observation trajectory segments* (**E**, **F**, **G**, **H**, respectively) have been projected into the time series of *instantaneous execution subspaces* and classified. Neither of these cross-projections showed gradual progression to peaks of classification accuracy. Nor did the classification accuracy in either cross-projection exceed that expected from chance alone (horizontal dashed lines). These results confirm that little if any overlap between instantaneous, condition-dependent execution and observation subspaces was present in monkey R. Findings were similar in monkey F. Formatting is the same as in Figure 6.



Figure 7 – figure supplement 2. Partial overlap of execution and observation subspaces in monkey T. **A-D**. The first principal angles between the instantaneous *execution* subspace at times I, G, or M (though not H) and the time series of instantaneous *observation* subspaces showed an abrupt drop beginning at the time of instruction onset (I) and continuing until the time of movement onset (M). This drop, which reflects partial overlap of the execution and observation subspaces, was marked during session 1 (red), but less so during sessions 2 and 3 (green and blue, respectively). **A'-D'**. Likewise, Instruction, Go, or Movement, *execution trajectory segments* projected into the time series of *instantaneous observation subspaces* showed a rise in decodable information, also indicative of some degree of overlap, beginning at the time of instruction onset (I). **A-D** are formatted as Figure 4; **A'-D'** as in Figure 6. Overlap like that seen here in monkey T was not found in monkeys R or F.