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### Transcriptome changes in the nucleus of the solitary tract induced by repeated stress, alcohol dependence, or stressinduced drinking in dependent mice

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### Abstract

Stress increases alcohol consumption in dependent animals and contributes to the development of alcohol use disorder. The nucleus of the solitary tract (NTS) is a critical brainstem region for integrating and relaying central and peripheral signals to regulate stress responses, but it is not known if it plays a role in alcohol dependence- or in stress-induced escalations in alcohol drinking in dependent mice. Here, we used RNA-sequencing and bioinformatics analyses to study molecular adaptations in the NTS of C57BL/6J male mice that underwent an ethanol drinking procedure that uses exposure to chronic intermittent ethanol (CIE) vapor, forced swim stress (FSS), or both conditions (CIE + FSS). Transcriptome profiling was performed at three different times after the last vapor cycle (0-hr, 72-hr, and 168-hr) to identify changes in gene expression associated with different stages of ethanol intoxication and withdrawal. In the CIE and CIE + FSS groups at 0-hr, there was upregulation of genes enriched for cellular response to type I interferon (IFN) and type I IFN- and cytokine-mediated signaling pathways, while the FSS

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CRediT authorship contribution statement

**Emily K. Grantham:** Conceptualization, Formal analysis, Investigation, Writing – original draft. **Gayatri R. Tiwari:** Formal analysis. **Olga Ponomareva:** Investigation. **R. Adron Harris:** Writing – review & editing, Funding acquisition. **Marcello F. Lopez:** Investigation, Writing – review & editing, Funding acquisition. **R. Dayne Mayfield:** Conceptualization, Formal analysis, Writing – original draft, Writing – review & editing, Visualization, Project administration, Supervision, Funding acquisition.

Declaration of competing interest

We declare this manuscript is original, has not been published before, and is not being considered for publication elsewhere. We have no conflicts of interest to declare.

Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.neuropharm.2023.109768.

group showed upregulation of neuronal genes. IFN signaling was the top gene network positively correlated with ethanol consumption levels in the CIE and CIE + FSS groups. Results from different analyses (differential gene expression, weighted gene coexpression network analysis, and rank-rank hypergeometric overlap) indicated that activation of type I IFN signaling would be expected to increase ethanol consumption. The CIE and CIE + FSS groups also shared an immune signature in the NTS as has been demonstrated in other brain regions after chronic ethanol exposure. A temporal-based clustering analysis revealed a unique expression pattern in the CIE + FSS group that suggests the interaction of these two stressors produces adaptations in synaptic and glial functions that may drive stress-induced drinking.

### Keywords

Alcohol dependence; Chronic intermittent ethanol vapor; Voluntary ethanol consumption; Forced swim stress; Nucleus of the solitary tract; Transcriptome; WGCNA; Interferon and immune signaling

### 1. Introduction

Stress is a major contributor to the development or progression of alcohol and other substance use disorders (Becker, 2017; Lijffijt et al., 2014). Chronic alcohol exposure and withdrawal disrupt the function of the hypothalamic-pituitary-adrenocortical (HPA) axis, a principal neuroendocrine stress system (Lijffijt et al., 2014). Repeated alcohol-induced perturbations act as potent stressors, producing dysregulations in neuroendocrine responses and in brain stress circuits outside the hypothalamus that contribute to the development of alcohol use disorder (AUD) (Becker, 2017). In the brainstem, the nucleus of the solitary tract (NTS) is a critical regulator of stress responses (Herman, 2018). It acts as a hub for integrating inputs from the limbic forebrain (e.g., the prefrontal cortex and amygdala) with peripheral signals (e.g., glucocorticoids and visceral afferents) to influence behavioral, neuroendocrine (via the HPA axis), and autonomic stress responses (Myers et al., 2017). Unlike studies of the HPA or other brain stress systems, there are few studies of how ethanol affects the NTS beyond those showing that acute ethanol administration or binge-like drinking activate neurons in the NTS (Burnham and Thiele, 2017; Robinson et al., 2020; Ryabinin et al., 1997; Thiele et al., 2000). Although the NTS is highly interconnected with stress-regulating systems, the effects of chronic alcohol, repeated stress, or both stressors on this region are not known.

In the present study, we performed transcriptome profiling and bioinformatics analysis to investigate the molecular changes in the NTS from mice that underwent a model of alcohol drinking that incorporates exposure to repeated stress or to chronic intermittent ethanol (CIE) vapor or exposure to both conditions. This well-established model has shown that repeated, brief periods of forced swim stress (FSS) enhance ethanol consumption in dependent (CIE-treated) but not in nondependent mice (Anderson et al., 2016; Haun et al., 2022; Lopez et al., 2016). Our previous findings in prefrontal cortex identified a specific pattern of changes in neurotransmitter and ligand-gated ion channel related genes in mice treated with CIE + FSS (Farris et al., 2020). While the initial goal of the current study

was to identify molecular changes in the NTS associated with stress-induced drinking in the CIE + FSS group, we found strong evidence of shared profiles in the CIE and CIE + FSS groups that could be important drivers of drinking escalations in dependent animals. We identified interferon (IFN) signaling as a critical pathway altered by CIE alone and by CIE + FSS. Our analyses predicted that activation of type I IFN signaling would increase ethanol consumption. We further identified a network of genes with a unique temporal expression pattern in the CIE + FSS group that indicate initial changes in synaptic and glial functions and long-term changes in cell survival and intracellular signaling in stress-induced drinking escalations.

### 2. Methods

### 2.1. Animals

We profiled the NTS from brains dissected previously from adult male C57BL/6J mice that were housed and treated (as described in the next section) at the Medical University of South Carolina (MUSC). Information on these animals can be found in our previous publication (Farris et al., 2020). We also used transcriptome data from the mPFC from these same mice (Farris et al., 2020). All procedures were conducted in accordance with the Institutional Animal Care and Use Committees at MUSC and the Guide for the Care and Use of Laboratory Animals adopted by the National Institutes of Health.

### 2.2. CIE-FSS drinking model

The CIE-FSS drinking model conducted previously at MUSC (Farris et al., 2020) is illustrated in Supplemental Fig. 1a and is also described in detail elsewhere (Anderson et al., 2016; Lopez et al., 2016). Briefly, adult male C57BL/6J mice were first trained to drink 15% (v/v) ethanol with access for 1-hr/day starting 3-hr after lights off. Once stable baseline drinking was established (~3 weeks), mice were separated into four treatment groups (equated for baseline levels of ethanol intake): AIR vapor (control), CIE vapor, FSS, and CIE + FSS. Ethanol drinking tests were performed in between CIE or AIR exposure cycles using the same drinking procedure used for baseline measurements. Mice were decapitated after their fifth and last CIE or AIR exposure at 0-hr, 72-hr, or 168-hr, and whole brains were immediately snap-frozen and shipped to UT Austin. The medial prefrontal cortex (mPFC) was dissected and transcriptionally profiled (Farris et al., 2020), and the NTS was isolated and transcriptionally profiled separately for the present study. Drinking data and blood ethanol concentrations (BECs) for these mice can be found in the mPFC study (Farris et al., 2020).

### 2.3. RNA-sequencing and bioinformatics analyses

Isolated total RNA from mouse NTS (Supplemental Fig. 1b) was submitted to the Genomic Sequencing and Analysis Facility at UT Austin. Sequencing libraries were constructed using a 3' Tag-based approach (TagSeq), targeting the 3' end of RNA fragments using ~16 ng/ $\mu$ L of each RNA sample. Samples were sequenced on the HiSeq 2500 (Illumina) platform with a read depth of approximately 7.6 million reads. Reads were mapped to the mouse reference genome (Gencode GRCm38. p6 release M25) using a STAR (version STAR\_2.5.4 b) aligner. A total of 89 samples were included with an average of 2.6 million uniquely

mapped reads (86.3%). Sequencing sample size (*n*) for each group: CIE + FSS: 0-hr (n = 7), 72-hr (n = 6), and 168-hr (n = 5); CIE: 0-hr (n = 8), 72-hr (n = 5), and 168-hr (n = 9); FSS: 0-hr (n = 8), 72-hr (n = 7), and 168-hr (n = 9); and CTL: 0-hr (n = 7), 72-hr (n = 8), and 168-hr (n = 10). On average, ~38,000 transcripts per sample were detected, representing ~22,000 protein-coding genes. Read quality was assessed using MultiQC (version 1.7). Raw counts were quantified using HTSeq (version 0.11.2). The raw data are available from the corresponding author upon request. NTS RNA-sequencing data were deposited in NCBI's Gene Expression Omnibus and accessible at GSE202236. GSE202236 also includes the raw

#### 2.4. Differential gene expression and time-course cluster analyses

sequence data for the mPFC from Farris et al. (2020).

The R (version 3.6.1) package DESeq2 (version 1.22.2) identified differentially expressed genes (DEGs) between groups at each time using the default Wald Test or a likelihood ratio test (LRT) across different levels for clustering analysis using the *DESeq* function. For comparisons within time points, nominal p < 0.05 was selected to ascertain shared and nonshared changes in gene expression. For comparisons across time and groups, the clustering function *degPatterns* from the R package DEGReports (version 1.33) was used on regularized log transformation of the normalized counts of DEGs identified using LRT on the full DESeq model of *~Group + Time + Group:Time*, rather than the reduced model *~ Group + Time. degPatterns* uses hierarchical clustering based on pairwise correlations to identify groups of genes similarly expressed across time and within groups. Statistically significant changes were limited to genes with FDR <0.05.

#### 2.5. Weighted Gene Co-expression Network Analysis (WGCNA)

The R package WGCNA (Langfelder and Horvath, 2008) (version 1.69) is used to identify genes correlated with behavioral traits of interest. The general framework for WGCNA has been previously described (Zhang and Horvath, 2005). Briefly, we constructed a signed adjacency matrix by calculating Pearson correlations for all pairs of genes. To emphasize strong correlations on an exponential scale, we raised the adjacency to power B (which was recalculated for each time point comparison), so the resulting networks exhibited approximate scale-free topology (scale free topology fit = 0.85). To identify gene modules, all genes were hierarchically clustered based on connection strength determined using a topological overlap dissimilarity calculation. Resulting gene dendrograms were used for module detection using the dynamic tree cut method (minimum module size = 100). To determine module-trait relationships, Pearson correlations were calculated for module eigengene expression with CIE treatment status and BEC. Resulting p-values from module-trait correlations were adjusted for multiple comparisons using FDR <0.05.

### 2.6. Ingenuity Pathway Analysis (IPA)

Cluster gene lists were entered into Ingenuity Pathway Analysis (IPA) (Jiménez-Marín et al., 2009) (version 1-19-02) with log2 fold-change, p-value, and baseMean as inputs. We used a nominal p < 0.05 to identify DEGs. IPA identified enrichment for canonical pathways and molecular function based on the gene expression patterns submitted.

### 2.7. Gene ontology and cell-type enrichment analysis

DEGs were analyzed for enrichment of canonical gene ontologies and molecular pathways using the bioinformatics tool and R package Enrichr (Chen et al., 2013; Kuleshov et al., 2016) (version 3.0). Reported ontological categories and pathways were limited to the top six terms for each time within each experimental group (p < 0.05). DEGs (*Group* + *Time*) were compared to cell-type marker genes within the community-curated, single-cell RNA-sequencing dataset Panglao Database (Franzén et al., 2019) to determine the overrepresentation of the major CNS cell types using a Fisher's exact test (p < 0.05).

#### 2.8. Rank-rank hypergeometric overlap (RRHO) test

We used the RRHO test to compare patterns of gene regulation between the NTS and mPFC from the same animals (Farris et al., 2020). RRHO identifies overlap between expression profiles in a threshold-free manner to assess the degree and significance of overlap (Plaisier et al., 2010). Full differential expression lists were ranked by the –log10 (p-value) multiplied by the sign of the fold change from the differential gene expression analysis. The RRHO2 Bioconductor package (version 1.0) was used to evaluate the overlap of differential expression lists between the mPFC and NTS in the CIE + FSS group across time. Heatmaps visualize hypergeometric p-values for significant overlap between sets of genes, where smaller p-values correspond to warmer colors.

### 3. Results

### 3.1. Temporal changes in gene expression in the NTS after FSS, CIE, or CIE + FSS

The number of DEGs was determined by comparing the control (AIR) condition with each treatment group (CIE, FSS, and CIE + FSS) at 0-hr, 72-hr, and 168-hr after the last CIE or AIR vapor exposure cycle (see Supplemental Fig. 2b). The number of DEGs with nominal p < 0.05 varied from 412 to 2020 genes (Fig. 1a-c, lower left corners). At 0-hr, the CIE and CIE + FSS groups had the largest numbers of DEGs and overlapping genes (782 common DEGs) (Fig. 1a). At 72-hr, the FSS and CIE groups contained the largest number of DEGs, while the CIE and CIE + FSS groups had more overlapping genes (Fig. 1b). At 168-hr, the CIE and FSS groups had the largest numbers of DEGs and overlapping genes (Fig. 1c). Many of the dysregulated genes were unique to each group and time point. DEGS unique to each treatment group and common between treatment groups compared with the air vapor control group are listed in Supplemental Table 1.

The three times were used to differentiate gene expression patterns related to different stages of intoxication and withdrawal (Jin et al., 2013). For example, 0-hr corresponds to immediate removal from the ethanol vapor inhalation chamber, when blood and brain alcohol levels are relatively high (e.g., 175–225 mg/dL). The 72-hr time corresponds to the early protracted phase of withdrawal, when tremor and convulsions have substantially subsided, but measures of negative affect (i.e., anxiety) may emerge (Heilig et al., 2010). This is also when mice resume access to their next drinking session and are likely in a highly anticipatory state. The 168-hr time is useful for evaluating persistent changes in gene expression after protracted abstinence.

There were striking similarities between the CIE and CIE + FSS treatments when evaluating cellular enrichment of DEGs. For example, upregulated DEGs in both groups at 0-hr were enriched for cellular response to type I IFN, type I IFN- and cytokine-mediated signaling pathways (Table 1), and for microglia at the cell-type level (Table 2). Both groups of ethanol-dependent mice also shared an immune signature, unlike the FSS group, which showed changes in expression of neuronal genes (Tables 1-2).

The DEGs that were unique to the CIE + FSS group may be important in stress-induced increases in ethanol consumption. There were 589, 428, and 285 unique DEGs at 0-hr, 72-hr, and 168-hr, respectively (Fig. 1a-c). Those at 0-hr were enriched for glutamate signaling and regulation of glial cell differentiation, while DEGs at 72-hr were enriched for steroid metabolic processes and cholesterol esterification (Table 3). At 168-hr, DEGs unique to CIE + FSS were enriched for death-inducing signaling complex and tyrosine kinase signaling (Table 3). These results indicate early adaptations in synaptic and glial cell functions and long-term changes in cell survival and intracellular signaling as potential drivers of stress-induced alcohol consumption in dependent mice.

The FSS treatment group did not show increased ethanol drinking, so its unique DEGs likely represent changes induced by stress alone (Fig. 1). These transcriptional changes in the NTS may inform other behavioral phenotypes altered by exposure to stress. For example, repeated FSS also alters performance in learning and memory tasks (Jin et al., 2013; Warner et al., 2013). Overall, the early and intermediate transcriptional changes after FSS indicate significant alterations in NTS synaptic function (Table 1). Given the abundant changes in immune-related genes observed in both the CIE and CIE + FSS groups, we specifically evaluated differential expression of immune genes in the FSS alone group. Several genes that were upregulated in the CIE and CIE + FSS groups were at some point downregulated in the FSS group (e.g., *Cc18, II10ra, II1r1, Ifi27, II16*, and *Tnfrsf1a*), suggesting that these drive drinking in dependent animals.

### 3.2. Immune regulatory gene networks are positively correlated with ethanol consumption at 0-hr and 72-hr

Genes tend to work in coordinated networks in response to different treatments. We used WGCNA module-trait relationships to identify gene networks related to ethanol intake across treatment groups. There were several modules at each time point that correlated negatively or positively with ethanol intake on the last test day (Test 4), blood ethanol concentration (BEC), and change in ethanol intake (Test 4 drinking compared with baseline).

At 0-hr, the turquoise module was positively correlated with ethanol intake on the last test day ( $r^2 = 0.73$ , p = 8e-06), while the brown, tan, and red modules were negatively correlated (Supplemental Fig. 2a). Turquoise eigengene expression was upregulated in both the CIE and CIE + FSS groups (Fig. 2a), consistent with these genes being positively correlated with ethanol consumption on the final drinking session. Mice in these treatment groups consumed the highest levels of ethanol compared with the FSS or air vapor control groups (Farris et al., 2020). The turquoise module was enriched for IFN  $\alpha/\beta$  signaling, immune signaling by interferons, interleukins, prolactin and growth hormones, the immune system, and antigen processing (Fig. 2b). The top 30 hub genes (genes with the highest number

of connections within the network) were significantly upregulated in the CIE + FSS group relative to control (Fig. 2c).

At 72-hr, the pink module was the only one positively correlated with ethanol consumption ( $r^2 = 0.59$ , p = 0.002) (Supplemental Fig. 2b). Pink eigengene expression was upregulated in the CIE and CIE + FSS groups (Fig. 2d). Like the turquoise module at 0-hr, the pink module was enriched for IFN  $\alpha/\beta$  signaling and immune signaling by interferons, interleukins, prolactin, and growth hormones, and the immune system (Fig. 2e). The top 30 hub genes from the pink module include several that are known to regulate alcohol drinking in mice, such as *B2m* and *Ctss* (Blednov et al., 2012) (Fig. 2f).

## 3.3. Clustering analysis identifies a unique subset of genes related to cell-to-cell signaling in the CIE + FSS group

Using WGCNA, none of the modules were uniquely correlated with the CIE + FSS group. This is likely because gene expression changes that are unique to this group are below the detection limit (minimum module size = 100 genes). Thus, we used the *degPatterns* function from R package DEGreports to identify temporal gene expression signatures unique to the CIE + FSS group. We identified 224 significantly DEGs using the LRT with an adjusted p < 0.05. The function *degPatterns* then clustered these DEGs into 11 different clusters with similar expression profiles (Fig. 3a).

The CIE and CIE + FSS DEGs typically showed very similar expression patterns across time, except for cluster 5. Here, gene expression in the CIE + FSS group increased sequentially across the three times, whereas the same genes showed more transient changes in the other treatment groups. Cluster 5 gene membership is listed in Supplemental Table 6. The DEGs in this cluster were enriched for cell-to-cell signaling and interaction, cellular movement, and other critical cellular processes (Fig. 3b). Top canonical pathways were GABA receptor signaling, synaptic long term depression, and netrin signaling (Fig. 3b). The cell-to-cell signaling network had the largest number of DEGs, many of which are involved in immune function (*II16*), synaptic signaling (*Gabra6, Gabrd, Ca8, Grm4*), and cell-to-cell contact (*Reln, Aqp4, Tmod1*) (Fig. 3c). Cluster 5 enrichment highlights the unique cellular changes in the NTS associated with stress-induced alcohol consumption in dependent mice.

#### 3.4. Overlapping expression profiles between the NTS and mPFC

In our previous study, we identified unique transcriptional changes in mouse mPFC associated with CIE + FSS (Farris et al., 2020). The mPFC sends projections directly to the NTS (Gasparini et al., 2020) and may act to depress NTS activity (Owens et al., 1999). Therefore, we examined correlated gene expression patterns between the NTS and mPFC in the same CIE + FSS group of mice using the RRHO test (Cahill et al., 2018; Plaisier et al., 2010). The RRHO algorithm assessed the overlap in DEGs between the mPFC and NTS in the CIE + FSS group to identify concordant and discordant patterns in gene expression between two datasets (i.e., genes that are coordinately up- or down-regulated, or oppositely up- or down-regulated between the two brain regions).

The largest overlap in genes upregulated in the mPFC and NTS was at 0-hr (max  $-\log 10$  (p-value) = 136) (Fig. 4a). There were 253 significantly upregulated DEGs in both regions

in the CIE + FSS group (Fig. 4b). Enrichment analysis again revealed a type I IFN signaling signature ( $p = 2.88 \times 10^{TM11}$ ) (Fig. 4b). There was weaker overlap in genes coordinately downregulated at 0-hr (max <sup>TM</sup>log10 (p-value) = 55) (Fig. 4a), suggesting that CIE + FSS leads to more common upregulated DEGs across these regions. There were 142 coordinately downregulated DEGs in the mPFC and NTS that were enriched for regulation of long-term synaptic depression and regulation of microtubule-based process (Fig. 4c), suggesting synaptic and structural changes in both regions.

Co-upregulated genes also showed a significant overlap at 72-hr (max  $^{TM}$ log10 (p-value) = 23) (Fig. 4a), though to a lesser degree than at 0-hr. At 168-hr, there was no significant overlap for co-regulated or discordantly regulated genes (Fig. 4a). Thus, CIE + FSS treatment produced similar expression patterns across two distinct brain regions at early and intermediate time points but not after protracted withdrawal.

### 4. Discussion

We identified molecular networks in the NTS associated with FSS, CIE, or CIE + FSS using a systems genomics approach that integrates co-expression network analysis, temporal clustering analysis, and behavioral evidence. While a primary goal was to identify molecular changes that drive stress-induced drinking in dependent animals, the highly shared signatures in the CIE and CIE + FSS groups emerged as strong candidates of drinking escalation in dependent mice. Different analyses showed consistent upregulation of type I IFN and cytokine signaling in the NTS in both groups of alcohol-dependent animals. For example, DEGs in both the CIE alone and CIE + FSS groups at 0-hr were enriched for type I IFN signaling. These findings provide new evidence that chronic alcohol perturbs immune signaling in the NTS as has been demonstrated in other brain regions (Grantham et al., 2020) and that chronic alcohol and stress also alter immune signaling in this region. Activation of the neuroimmune system has also been linked with stress responses in other substance use disorders (Smiley and Wood, 2022). Interestingly, the largest overlap in upregulated genes in the mPFC and NTS from the CIE + FSS group at 0-hr were also enriched for type 1 IFN signaling.

There were very few persistent gene expression changes. Only three genes (*Ccl5, Ly86*, and *Ttyh1*) were differentially expressed across all three time points in the CIE and CIE + FSS groups. *Ccl5* was the only upregulated gene across all times, suggesting long-term alterations in its transcriptional regulation. *Ccl5* encodes C—C motif chemokine 5 and induces migration of phagocytes across the blood brain barrier to sites of inflammation (Ubogu et al., 2006) and recruits peripheral macrophages into the CNS in response to chronic alcohol consumption (Lowe et al., 2020). The persistent upregulation of *Ccl5* in both treatment groups and its role in alcohol-induced neuroimmune activation make it an interesting target for future study.

Co-expression network analysis is useful for identifying gene networks correlated with ethanol intake that may play a role in excessive drinking (Osterndorff-Kahanek et al., 2015; Smith et al., 2016, 2020). Genes of interest in the 0-hr turquoise hub network that are correlated with increased ethanol consumption in the CIE and CIE + FSS groups include

neuronal genes *Gabrr2* and *Clcn3*, glucocorticoid-inducible genes *Mt1*, *Mt2*, and *Sgk1*, immune-related genes *Irf9*, *Itgad*, and *Gstm1*, and extracellular matrix (ECM)-related genes *Tmod2*, *Gjb6*, and *Plin4*. Several of these have been linked with alcohol consumption. For example, *Gabrr2* encodes the GABA-A receptor subunit  $\rho$ 2, which is linked to alcoholrelated behaviors in mice and to alcohol dependence in humans (Xuei et al., 2010). *Clcn3* is upregulated in the nucleus accumbens of a genetic rodent model of excessive ethanol consumption (Rodd et al., 2008). Metallothionein 1 and 2 genes (*Mt1* and *Mt2*) encode glucocorticoid-inducible astrocytic proteins that have neuroprotective functions (Chung et al., 2008; Hidalgo et al., 1997; Køhler et al., 2003; Waller et al., 2018), and *Mt2* expression in the brain is associated with preference for ethanol in mice (Loney et al., 2003). The ECM genes are also of interest considering the critical function of the ECM and its known sensitivity to ethanol (Erickson et al., 2018; Lasek, 2016; Smith, 2017).

Hub genes in the pink module at 72-hr also include immune genes (e.g., *B2m* and *Ctss*) that have been shown to regulate ethanol consumption in mice (Blednov et al., 2012). Several of the immune genes associated with escalations in drinking are localized in microglia, including *C1qb*, *C3*, and *Ifitm3* (Baker et al., 2017; Coleman et al., 2020; Lacagnina et al., 2017; Warden et al., 2020). *Mt1* and *Gstm1* found in the turquoise module at 0-hr are also hub genes in the pink module at 72-hr, suggesting their expression in the NTS may be critical for escalations in alcohol consumption. Interestingly, *Gstm1*-promotes astrocytedriven microglial activation during brain inflammation (Kano et al., 2019) and may be involved in microglial-mediated drinking escalations in alcohol-dependent animals (Warden et al., 2020).

Next, we performed temporal clustering analysis to identify a group of dysregulated genes in the NTS that were unique to the CIE + FSS condition (Fig. 3c). Notably, none of these genes from this group were dysregulated in the mPFC (Farris et al., 2020), which instead showed upregulation of genes involved in transmitter-gated ion channel activity. Several genes within this cluster have been implicated in AUD, including *Gabra6* and *Gabrd. Gabra6* is linked to alcohol dependence (Li et al., 2014; Loh et al., 1999; Radel et al., 2005) and to variations in stress response (Gonda et al., 2019; Uhart et al., 2004) in humans. The GABAergic system is widely known as a critical regulator of stress responses and is a common drug target for stress-related psychiatric disorders (Hou et al., 2020). *Gabra6* and *Gabrd* are also both upregulated in the brains of high alcohol-drinking P rats (McClintick et al., 2016). Their altered expression in the NTS suggests they also have a role in stressinduced escalations in alcohol consumption.

A clear pattern emerged across all bioinformatic analyses pointing to type I IFN signaling as an important mediator of escalations in drinking in dependent animals. Based on these findings, we propose that activation of type I IFN signaling would be expected to drive alcohol consumption in B6 male mice. This prediction is also consistent with our work showing that poly(I:C) (a TLR3 agonist and type I IFN activator) increases drinking in B6 male mice (Warden et al., 2019).

To our knowledge, our findings are the first to demonstrate a role for immune-related genes in ethanol-dependent mice in the NTS, a critical stress-regulating brain region that has

been understudied in alcohol research. The overlapping profiles in the CIE and CIE + FSS groups identified novel findings with translational potential in alcohol-dependent animals. In particular, type 1 IFN signaling in the CIE and CIE + FSS groups emerged as a key gene network by multi-level analyses. Finally, we identified a network of genes enriched for cell-to-cell signaling, cellular movement, and other critical cellular processes that were unique to the CIE + FSS condition and may contribute to stress-induced escalations in drinking in dependent mice.

### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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### Data availability

Data will be made available on request.

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The R package UpSetR (Version 1.4.0) was used to show the number of differentially expressed genes (DEGs) unique to each treatment group and common between treatment groups compared with the air vapor control group at p < 0.05. Number of DEGs by group at a) 0-hr, b) 72-hr, and c) 168-hr. Total number of DEGs per group is shown in the lower left corner of each plot. The filled circles indicate which group(s) contribute to the plotted number of overlapping DEGs above. FSS, forced swim stress; CIE, chronic intermittent ethanol vapor.

Grantham et al.





a) Turquoise module eigengene (ME) expression by group (Summary output of the network analysis is available in Supplemental Tables 2-4). b) Enrichment of turquoise module using BioPlanet repository (Huang et al., 2019) Supplemental Table 5c) Top 30 hub genes for turquoise module. d) Pink module eigengene expression by group (Summary output of the network analysis is available in Supplemental Tables 2-4). e) Enrichment of pink module using BioPlanet repository (Huang et al., 2019) Supplemental Tables 5f) Top 30 hub genes for pink module. CTL, air vapor control; FSS, forced swim stress; CIE, chronic intermittent ethanol vapor.



Fig. 3. Clustering analysis of significantly differentially expressed genes identified temporal gene expression signatures unique to each treatment group.

a) Cluster plots of differentially expressed genes (DEGs) across time within groups plotted against the Z-score of gene abundance. b) Enrichment analysis of Cluster 5: Molecular and Cellular Function and Top Canonical Pathways using IPA. c) Cell-to-Cell Signaling and Interaction Network visualized with IPA software.



### Fig. 4. Overlapping differentially expressed genes in the CIE + FSS group between NTS and mPFC.

a) Rank-rank hypergeometric overlap (RRHO) plots of overlapping differentially expressed genes at 0-hr, 72-hr, and 168-hr between the nucleus of the solitary tract (NTS) and prefrontal cortex (mPFC) in the CIE + FSS group. b) Venn diagram of coordinately upregulated genes from the lower left quadrant of 0-hr RRHO plot (left). Arrow points to the top 5 overlapping genes in the rank-rank gene list (see Supplemental Table 7 for full list of genes). Enrichment of 253 coordinately upregulated genes in the NTS and mPFC (right). c) Venn diagram of coordinately downregulated genes from the upper right quadrant of 0-hr RRHO plot (left). Arrow points to the top 5 overlapping genes in the rank-rank gene list (see Supplemental Table 7 for full list of genes). Enrichment of 132 coordinately downregulated genes in NTS and mPFC (right). CIE, chronic intermittent ethanol vapor; FSS, forced swim stress.

# Table 1Enrichment of DEGs from the NTS by treatment group and time, separated byupregulated (top) and downregulated (bottom) DEGs.

Ontologies identified using Enrichr; CIE, chronic intermittent ethanol vapor; FSS, forced swim test.

Time	Group	Name	Overlap	<i>P</i> -value
Upreg.				
0-hr	CIE + FSS	cellular response to type I interferon (GO:0071357)	19/65	4.95E-12
		type I interferon signaling pathway (GO:0060337)	19/65	4.95E-12
		cytokine-mediated signaling pathway (GO:0019221)	58/621	1.94E-09
	CIE	cytokine-mediated signaling pathway (GO:0019221)	88/621	1.51E-16
		cellular response to type I interferon (GO:0071357)	21/65	1.58E-11
		type I interferon signaling pathway (GO:0060337)	21/65	1.58E-11
	FSS	norepinephrine biosynthetic process (GO:0042421)	3/6	5.15E-05
		synaptic transmission, dopaminergic (GO:0001963)	3/7	8.92E-05
		norepinephrine metabolic process (GO:0042415)	3/7	8.92E-05
72-hr	CIE + FSS	synapse pruning (GO:0098883)	5/9	9.07E-07
		cell junction disassembly (GO:0150146)	4/6	4.73E-06
		cytokine-mediated signaling pathway (GO:0019221)	33/621	1.90E-05
	CIE	cilium movement (GO:0003341)	12/52	4.94E-07
		axoneme assembly (GO:0035082)	7/34	7.40E-05
		cellular response to type I interferon (GO:0071357)	11/65	0.000184
	FSS	modulation of chemical synaptic transmission (GO:0050804)	24/109	1.32E-11
		chemical synaptic transmission (GO:0007268)	40/306	1.19E-10
		anterograde trans-synaptic signaling (GO:0098916)	34/244	5.43E-10
168-hr	$\operatorname{CIE} + \operatorname{FSS}$	positive regulation of smooth muscle cell migration (GO:0014911)	3/17	0.000829
		regulation of cytokine-mediated signaling pathway (GO:0001959)	5/74	0.001421
		collagen-activated tyrosine kinase receptor signaling pathway (GO:0038063)	2/7	0.002505
	CIE	response to interferon-gamma (GO:0034341)	12/80	2.59E-06
		cell junction disassembly (GO:0150146)	4/6	9.29E-06
		neutrophil activation involved in immune response (GO:0002283)	32/485	1.02E-05
	FSS	cotranslational protein targeting to membrane (GO:0006613)	7/94	0.001274
		regulation of systemic arterial blood pressure by hormone (GO:0001990)	3/16	0.002472
		membrane raft distribution (GO:0031580)	2/5	0.002916
Downre	g.			
0-hr	CIE + FSS	central nervous system development (GO:0007417)	27/268	5.16E-07
		regulation of endothelial cell migration (GO:0010594)	12/89	4.69E-05
		brain development (GO:0007420)	16/150	5.34E-05
	CIE	positive regulation of neuron projection development (GO:0010976)	17/88	4.92E-07
		enzyme linked receptor protein signaling pathway (GO:0007167)	21/140	1.91E-06
		commissural neuron axon guidance (GO:0071679)	5/8	1.05E-05
	FSS	adenylate cyclase-modulating G protein-coupled receptor signaling pathway (GO:0007188)	9/165	0.000698
		embryonic skeletal system morphogenesis (GO:0048,704)	4/31	0.001001

Time	Group	Name	Overlap	P-value
		negative regulation of insulin-like growth factor receptor signaling pathway (GO:0043,569)	2/5	0.002036
72-hr	CIE + FSS	regulation of cholesterol esterification (GO:0010872)	5/12	1.03E-06
		positive regulation of cholesterol esterification (GO:0010873)	4/9	1.00E-05
		chylomicron assembly (GO:0034378)	4/10	1.65E-05
	CIE	myelination (GO:0042552)	7/48	0.0001
		vascular transport (GO:0010232)	9/84	0.000125
		transport across blood-brain barrier (GO:0150104)	9/86	0.00015
	FSS	myelination (GO:0042552)	8/48	2.79E-05
		extracellular matrix organization (GO:0030198)	21/300	3.66E-05
		supramolecular fiber organization (GO:0097435)	23/351	4.44E-05
168-hr	CIE + FSS	chloride transport (GO:0006821)	5/76	0.000475
		mammary gland epithelial cell differentiation (GO:0060644)	2/8	0.001945
		inorganic anion transmembrane transport (GO:0098661)	4/65	0.002279
	CIE	nervous system development (GO:0007399)	30/447	1.42E-06
		central nervous system development (GO:0007417)	20/268	1.80E-05
	FSS	neural tube development (GO:0021915)	5/16	3.56E-05
		regulation of Rho protein signal transduction (GO:0035023)	8/73	0.000133
		sphingolipid metabolic process (GO:0006665)	10/116	0.000153
		membrane lipid biosynthetic process (GO:0046467)	7/58	0.00019

#### Table 2

## Cell-type enrichment of DEGs from the NTS by treatment group and time, separated by upregulated (top) and downregulated (bottom) DEGs.

Ontologies identified using Enrichr; CIE, chronic intermittent ethanol vapor; FSS, forced swim test.

Time	Group	Cell-type	Overlap	P-value
Upreg.				
0-hr	CIE + FSS	Microglia	24/158	1.91E-08
		Plasmacytoid Dendritic Cells	20/150	2.39E-06
		Eosinophils	18/127	3.14E-06
	CIE	Microglia	65/158	2.23E-40
		Macrophages	71/204	2.84E-38
		Plasmacytoid Dendritic Cells	55/150	3.09E-31
	FSS	Noradrenergic Neurons	7/104	0.000627
		Adrenergic Neurons	6/106	0.003656
		Intercalated Cells	6/118	0.006157
72-hr	$\operatorname{CIE} + \operatorname{FSS}$	Microglia	27/158	9.84E-16
		Monocytes	27/176	1.57E-14
		Plasmacytoid Dendritic Cells	23/150	1.45E-12
	CIE	Ependymal Cells	45/136	7.20E-34
		Ciliated Cells	29/104	6.73E-20
		Ionocytes	17/107	3.71E-08
	FSS	Glutaminergic Neurons	30/107	2.87E-17
		Neuroendocrine Cells	31/123	2.37E-16
		Immature Neurons	31/136	4.91E-15
168-hr	CIE + FSS	Macrophages	34/204	7.15E-17
		Microglia	29/158	1.00E-15
		Oligodendrocytes	30/178	3.58E-15
	CIE	Macrophages	34/204	7.15E-17
		Microglia	29/158	1.00E-15
		Oligodendrocytes	30/178	3.58E-15
	FSS	Proximal Tubule Cells	10/164	0.000615
		Ependymal Cells	8/136	0.002654
		Epsilon Cells	8/138	0.002905
Downre	g.			
0-hr	CIE + FSS	Endothelial Cells	34/246	3.59E-12
		Müller Cells	24/147	1.71E-10
		Meningeal Cells	21/115	2.80E-10
	CIE	Müller Cells	30/147	5.38E-12
		Radial Glia Cells	26/114	9.91E-12
		Meningeal Cells	24/115	4.40E-10
	FSS	Tanycytes	7/121	0.00194
		Gamma (PP) Cells	7/128	0.002666

CIE

FSS

Time

72-hr

168-hr

Group	Cell-type	Overlap	P-value
	Cholinergic Neurons	6/102	0.003717
CIE + FSS	Astrocytes	10/154	0.000334
	Müller Cells	9/147	0.001002
	Endothelial Cells	12/246	0.001166
CIE	Oligodendrocytes	26/178	4.84E-14
	Glycinergic Neurons	16/100	9.55E-10
	Astrocytes	19/154	2.42E-09
FSS	Oligodendrocytes	22/178	1.25E-09
	Loop Of Henle Cells	17/147	2.54E-07
	Endothelial Cells	17/246	0.000231
CIE + FSS	Interneurons	14/305	0.004839
	Osteoblasts	9/154	0.004936

10/185

25/132

20/123

22/155

9/154

6/178

5/132

0.005403

3.33E-15

3.73E-11

6.19E-11

6.91E-06

0.004213

0.005437

Endothelial Cells (Aorta)

Neuroendocrine Cells

Bergmann Glia

Purkinje Neurons

Oligodendrocytes Bergmann Glia

Astrocytes

### Table 3Biological pathways uniquely differentially regulated by CIE + FSS in the NTS.

Ontologies identified using Enrichr; CIE, chronic intermittent ethanol vapor; FSS, forced swim test.

Time	Name	Overlap	P-value
0-hr	glutamate receptor signaling pathway (GO:0007215)	7/37	8.8491E-05
	regulation of glial cell differentiation (GO:0045685)	3/7	0.00081403
	vascular associated smooth muscle cell differentiation (GO:0035886)	3/8	0.001274
72-hr	positive regulation of steroid metabolic process (GO:0045940)	5/13	2.23E-40
	positive regulation of cholesterol esterification (GO:0010873)	4/10	2.84E-38
	leukocyte tethering or rolling (GO:0050901)	5/18	3.09E-31
168-hr	collagen-activated tyrosine kinase receptor signaling pathway (GO:0038063)	2/7	0.00405324
	death-inducing signaling complex assembly (GO:0071550)	2/7	0.00405324
	mammary gland epithelial cell differentiation (GO:0060644)	2/8	0.00535363