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A framework to identify functional interactors that contribute to disrupted early retinal development in Vsx2 ocular retardation J mice

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Abstract

Background: A goal of developmental genetics is to identify functional interactions that underlie phenotypes caused by mutations. We sought to identify functional interactors of $Vsx2$, which when mutated, disrupts early retinal development. We utilized the $Vsx2$ loss-of-function mouse, *ocular retardation* J (*orJ*), to assess interactions based on principles of positive and negative epistasis as applied to bulk transcriptome data. This was first tested in vivo with Mitf, a target of Vsx2 repression, and then to cultures of orJ retina treated with inhibitors of Retinoid-X Receptors (RXR) to target $Rxrg$, an upregulated gene in the *orJ* retina, and gamma-Secretase, an enzyme required for Notch signaling, a key mediator of retinal proliferation and neurogenesis.

Results: Whereas *Mitf* exhibited robust positive epistasis with *Vsx2*, it only partially accounts for the *orJ* phenotype, suggesting other functional interactors. RXR inhibition yielded minimal evidence for epistasis between $Vsx2$ and $Rxrg$. In contrast, gamma-Secretase inhibition caused hundreds of *Vsx2*-dependent genes associated with proliferation to deviate further from wild type, providing evidence for convergent negative epistasis with $Vsx2$ in regulating tissue growth.

Conclusions: Combining in vivo and ex vivo testing with transcriptome analysis revealed quantitative and qualitative characteristics of functional interaction between $Vsx2$, Mitf, RXR, and gamma-Secretase activities.

Keywords

neurogenesis; lineage fidelity; microphthalmia; retina; RNA sequencing; epistasis; Chx10; Mitf; Retinoid X receptor; gamma secretase; Notch

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The authors declare no conflicts of interest

RNA sequencing datasets are deposited into the Gene Expression Omnibus Repository (GEO: GSE227056).

Ethics statement: All procedures and experiments with mice were approved under protocol M1500036 by the Vanderbilt Institutional Animal Care and Use Committee and conformed to the ARVO guidelines for the use of animals in vision research. The research was conducted in concordance with the NIH guidelines for Responsible Conduct in Research (RCR).

Introduction

 $V_{S}x2$ is an evolutionarily conserved homeodomain transcription factor that with $V_{S}x1$, defines the *Visual System Homeobox* (VSX) class of homeobox genes $¹$. Mutations in</sup> human VSX2 cause bilateral congenital microphthalmia, disrupted retinal architecture, and lifelong blindness, abnormalities which are also present in V_{SX2} mutant mice $^{2-6}$. A definitive marker of retinal domain specification during regionalization of the optic vesicle, Vsx2 is expressed in retinal progenitor cells (RPCs) throughout development, ultimately resolving to cohorts of bipolar cells and Müller glia⁷. During early retinal development, *Vsx2* regulates tissue identity (i.e. retinal), RPC proliferation, and the initiation of retinal neurogenesis $2.8-12$. *Vsx2* also promotes optic cup morphogenesis in $medaka$ and multipotentiality in zebrafish RPCs 13,14 . The importance and conservation of VSX genes in visual system development is further exemplified by their roles in optic lobe formation in *Drosophila* and in C. elegans, where the VSX ortholog Ceh-10 is a terminal selector gene for the AIY sensory interneuron, which is part of a light-responsive neural circuit in a related nematode species 15,16 .

The functional requirements of *Vsx2* in early mouse retinal development are complex. Best studied with the recessive loss-of-function *ocular retardation J* allele (homozygotes are referred to as orJ, which encodes a premature stop codon in the Vsx2 homeodomain, retinal identity is compromised as revealed by ectopic expression of genes normally restricted to RPE or ciliary epithelium ^{2,8,17}. However, the retinal domain is still specified, optic cup morphogenesis occurs, and Vsx2 mRNA (but not protein) remains expressed ^{2,6,7,18,19}. Genetic analysis of the neomorphic DNA-binding defective mutant $V_{SX2}R^{227W}$, which exhibits a more severe phenotype than the orJ mutant, suggests that $Vsx2$ ensures lineage fidelity, allowing the retinal gene expression program to occur in the absence of extra-lineage or cryptic gene expression programs ⁶. RPC proliferation is greatly reduced in all existing Vsx2 mouse mutants but nevertheless persists through retinal development and at least for the *orJ* retina, into adult stages $9,10$. Neurogenesis occurs in the *orJ* retina but with a tissue-specific delay of about two days, initiating at \sim E13.5^{2,6,11,12,20}. While these problems contribute to severely disrupted retina formation, these partial requirements highlight the complexity in how Vsx2 regulates early retinal development. Adding to this complexity, the disruptions in lineage fidelity, proliferation, and neurogenic timing temporally overlap. Analysis of or *K* wt chimeric embryos suggest that lineage fidelity, proliferation, and neurogenic timing are under cell autonomous regulation by Vsx2, but proliferation also has a non-cell autonomous component that could act in parallel to *Vsx2* or converge onto $V_{\text{SX2-regularized genes}}$ 20–22. To the extent that these phenotypes are interconnected, either hierarchically or at the level of gene regulation, is not clear.

Previously identified functional interactors of Vsx2 in mice and human organoids include genes such as Mitf, p27Kip1, and Prdm1, and signaling pathways such as FGF, Sonic hedgehog, and Wnt ^{6,9,12,19,23–26}. Identification of these interactors has been accomplished with genetics or pharmacological testing and it is likely that additional interactors can be identified through these approaches.

In this study, we suppress the activities of candidate functional interactors in the *orJ* retina. Beginning with *Mitf*, we assessed its genetic impact on the initiation of neurogenesis by immunohistology and an assessment of phenotypic traits with RNA sequencing data using a classification system of gene regulatory dependencies combined with gene set overrepresentation analysis (ORA; see Suppl. File 1 for non-standard abbreviations and terms). We then treated E12.5 organotypic cultures of orJ retina and lens (hereafter referred to as orJ retinal explants) with small molecule inhibitors of two other candidate interactors, Retinoid X receptor gamma $(Rxrg)$, a highly upregulated gene in the *orJ* retina, and gamma-Secretase (GS), an enzymatic activator of Notch signaling and key regulator of RPC proliferation and neurogenesis 27.28 . In addition to immunohistology, functional interactions were assessed with bulk RNA sequencing and applying the logic of positive and *negative* epistasis to the observed changes in gene expression. As defined by Lehner 29 , positive epistasis occurs when a second mutation decreases the phenotypic severity caused by the first mutation, and negative epistasis occurs when the phenotypic severity is increased. Positive epistasis has traditionally served as evidence for direct genetic interaction between two genes with one gene repressing the other as the simplest mechanism. Negative epistasis is more complicated because more severe phenotypes can arise through disruptions in separate mechanisms that are regulated independently by the genes under analysis, or through convergence onto mechanisms such as gene regulatory networks and/or signaling networks. We show here that *Mitf* exhibits partial, but definitive positive epistasis, that *Rxrg* does not appear to exhibit functional epistasis within the confines of our testing paradigm, and that GS activity exhibits convergent negative epistasis with $Vsx2$ through regulation of genes associated with RPC proliferation. We propose that this approach provides sufficient resolution to expand and refine the Vsx2 interaction network for early retinal development and could be adapted to other gene mutations with complex phenotypes.

Results

Analogous to *Vsx2* for the retinal domain, *Mitf* marks the RPE domain during optic vesicle regionalization and drives RPE differentiation $30-32$. *Mitf* is initially expressed throughout the optic vesicle and its subsequent downregulation in the nascent retinal domain is Vsx2 dependent, consistent with it being a direct target of Vsx2 repression 6,26,33–36. Loss of function and dominant negative mutations in Mitf suppress the mutant phenotypes of several Vsx2 mutant alleles, and more severe phenotypes (hyperpigmentation, increased hypocellularity) occur when *Mitf* expression is enhanced ^{6,8,17,37,38}. *Mitf* is therefore an excellent candidate to be the major driver of the traits and underlying gene expression changes that define the early retinal development phenotype in $Vsx2$ mutants. Addressing this first provides the context for placing other functional candidates into the highest level of the Vsx2 interaction network.

The mi allele partially rescues the delay in neurogenesis in the orJ retina

The delayed onset of neurogenesis offered a straightforward measure to assess the impact of reducing Mitf activity, and the gap of 2 days between neurogenesis onset in wild type (E11.5) and orJ retinas (E13.5) provided a window to distinguish between full or partial restoration of timing. Neurogenesis initiates in the central retina and progresses toward the

periphery over several days, thereby allowing evaluation of the pattern and progression. These features are easily tracked by the expression of Class III β -tubulin (Tubb3; Figure 1A–F, arrows indicate first incidence of Tubb3 staining; see Table 2: Primary antibodies), which is activated in RPCs as they exit the cell cycle and transition to postmitotic, neuronal precursor states $39-41$.

The *Mitf* allele *mi* was used to generate combinatorial mutants. The *mi* mutation is a threenucleotide deletion that reduces DNA binding, but dimerization with wild type Mitf protein and other interacting proteins still occur, conferring dominant-negative activity ^{42,43}. For this reason, we used heterozygous *mutants* (m^{het}), unless noted. As expected, neurogenesis initiated earlier in the *orJ; mi^{het}* retina compared to *orJ* (Fig. 1G-I; arrow in H). However, onset was still delayed by about 1 day compared to the wild type retina indicating a partial restoration in timing. This partial rescue could be due to the persistence of Mitf activity or related factors (see discussion). Indeed, more severe phenotypes are observed in mi homozygotes (m^{homo}) or in combinatorial *Mitf* mutant mice ^{42,44,45}. We therefore examined the orJ; mi^{homo} retina for further correction of the neurogenic delay. The delay persisted at E11.5 (Fig. 1J), but Tubb3 expression was evident at E12.5 and E13.5 (Fig. 1K,L). Tubb3+ cells were found in the m^{het} retina at E11.5, but the limited number of cells suggests that the onset of neurogenesis did not occur earlier than the wild type retina, which argues against the idea that improved timing was due to an indirect effect of the mi mutation on RPE to retina signaling (Fig. 1M–O).

To quantify these observations, measurements were done for neurogenic progression (Fig. 1P) and neurogenic output (Fig. 1Q). Neurogenic progression was calculated as the percentage of the retina expressing Tubb3 along a line extending along the central to peripheral axis of the retina and neurogenic output was inferred from the ratio of Tubb3+ pixels to total pixels in the neurogenic region (see Experimental Procedures). As expected, neurogenic progression lagged in the orJ retina but was improved in the combinatorial mutants, with the *orl; mi^{homo}* retinas similar to wildtype at E13.5 (Fig. 1P; see Suppl. File 2 for statistics). Neurogenic output was impaired in m^{fhet} and or *J* retinas relative to wild type, but the *orJ; mi^{het}* and *orJ; mi^{homo}* mutants showed improvements by E13.5 (Fig. 1Q; see Suppl. File 2 for statistics). These observations align with prior findings that the *orJ* and *mi* alleles exhibit positive epistasis, but they also suggest that *Mitf*-independent factors contribute to the *orJ* phenotype.

Mitf partially accounts for the gene expression changes caused by the absence of Vsx2.

To gain a transcriptome-wide view of the changes caused by the *orJ* and *mi* mutations, we performed RNA sequencing on E12.5 retinas from or^{the} (control), orJ, and orJ; mi^{het} mice. This age captures the neurogenic delay in the *orJ* retina as well as improvements caused by the *mi* allele. *or* the mice exhibit normal retinal development 11 and a pilot microarray experiment comparing *or thet* and wild type retinas revealed highly similar gene expression profiles (unpublished observation). Library preparation and sequencing for all samples were done simultaneously, facilitating direct comparisons of gene expression across the 3 genotypes (Fig. 2A). Principal components analysis revealed that most of the variance between datasets correlated with genotype (Fig. 2B). The orJ; mi^{het} samples clustered

between the control and *orJ* samples but were closer to *orJ* on the first principal component axis, indicating that reducing *Mitf* activity did not restore the transcriptome-wide expression profile to a wild type state but that the overall trend was towards restoration.

DESeq2 was used to identify differentially expressed genes (DEGs) by pairwise comparisons across the three genotypes (Fig. 2C; Suppl. File 3). 14073 genome mapped features (GMFs) were identified and after applying a false discovery rate (FDR) cutoff of 0.01 (equivalent to adjusted p-value), 5195 DEGs were identified in the *orJ* retina when compared to control (OvC), 949 DEGs were identified in the *orJ*; m^{het} retina compared to or J (OMvO), and 3380 DEGs were identified in the or J ; m^{het} retina compared to control (OMvC). Like the PCA analysis, the varying degrees of differential gene expression between genotypes suggest that the *orJ*; m^{fhet} retina is more like *orJ* than wild type.

Partitioning of genes based on Vsx2 and Mitf dependence.

We generated a classification system based on a gene's probability of being differentially expressed in the *orJ* and *orJ; mi^{het} mutants* (Table 1). Two FDR cutoffs were applied resulting in three broad categories: Genes with a p_{adj} value of $\,$ 0.01 were assigned a DEG status of 'yes' (DEG-Y) indicating a DEG with high confidence, 'possible' (DEG-P) with a p_{adj} value in the range of >0.01 and $\,$ 0.05 indicating low confidence in the DEG designation, and 'no' (DEG-N) with a p_{adj} value >0.05 (Table 1; Suppl. File 3). DEG status in the OvC comparison defined a gene's dependency on Vsx2 and each gene was assigned to a Vsx2 gene set: V1 for DEG-Y genes, V2 for DEG-P genes, and V3 for DEG-N genes (Table 1). Except where noted, analyses were focused on the Vsx2-dependent genes (V1 gene set).

With *Vsx2*-dependencies defined, the impact of *Mitf* on gene expression was assessed. DEG status in the OMvO comparison defined a gene's dependency on *Mitf* (Table 1; Suppl. File 3) and applying this as a filter to the V1 gene set (Fig. 2D) revealed simple regulatory relationships that are divided into three states of Mitf dependency: dependent (D), possibly dependent (pD), and independent (I). DEG status in the OMvC comparison was not informative on its own with respect to $V_{S}x_2$ or *Mitf* regulation (Table 1), but when applied as an additional filter, the extent of *Mitf* regulation could be inferred. This resulted in a more nuanced classification scheme with up to 6 distinct genes sets based on *Mitf* dependency, defined as V1.M1 through V1.M6 (Fig. 2E; similar classifications for the V2 and V3 genes are provided in Suppl. File 4). For V1.M1 genes, the changes in expression due to $V_{\text{S}X2}$ loss of function are predicted to be fully dependent on *Mitf*, whereas V1.M3 genes are predicted to be partially dependent on Mitf, suggesting additional factors contribute to their differential expression. V1.M2 genes are also Mitf-dependent but distinguishing between full- or partial-dependence is less certain because of their DEG-P status in either the OMvO or OMvC comparisons. This is also true for V1.M4 genes but ambiguity lies in whether they are Mitf-dependent or -independent. V1.M5 genes are predicted to be Mitf-independent, and this gene set accounts for the largest cohort (44%) of Vsx2-dependent genes, in keeping with the partial restoration of the orJ phenotype by the mi mutation. The dependency of the V1.M6 genes on Mitf was deemed inconclusive because their DEG status in the

two comparisons with the OM dataset resulted in low-confidence or ambiguous regulatory predictions (i.e., DEG-P in OMvO and OMvC, or DEG-N in OMvO and OMvC).

Focusing on the Mitf-dependent genes (V1.M1, V1.M2, and V1.M3 gene sets), we predicted these genes would show improved expression in the *orJ; mi^{het}* mutant, trending back towards control levels. Indeed, 993 of 1004 genes (98.9%) exhibited this behavior. Because this aligns well with positive epistasis at the genetic level, this can be considered evidence of positive epistasis at the level of gene expression. Since very few genes exhibited worsened expression (i.e., greater divergence from wild type expression in the *orJ;* m^{het} retina compared to the *orJ* retina), the incomplete rescue was unlikely to be due to aberrant effects of the mi mutation. Rather, it supports the hypothesis that additional factors contribute to the orJ phenotype.

It is also possible that *Mitf* may regulate the expression of genes that are not regulated by $Vsx2$ (i.e., *Mitf*-dependent genes in the V3 gene set). This is an important consideration because *Mitf* is expressed at low levels in the embryonic retina ³⁸ and a role for *Mitf* in regulating retinal gene expression could complicate a phenotypic assessment of the epistatic interaction between orJ and mi. Of the 7448 V3 genes, only 35 exhibited Mitf dependency (V3.M1 gene set; Suppl. File 4), indicating that *Mitf* has a minor impact on the expression of $Vsx2$ -independent genes. Despite this low number of genes, the mi mutation could promote a compensatory factor and one candidate is $Cyclin D2(Ccnd2)$, a $Vsx2$ -independent gene that is upregulated in the *orJ; mi^{het}* retina (Suppl. File 3) that promotes cell cycle progression and regulates neurogenic output in the ciliary margin ⁴⁶.

Designation of gene regulatory circuits for V1 genes based on Mitf-dependency

The high degree of positive epistasis at the level of gene expression allowed us to generate gene regulatory circuits (GRCs for the *Vsx2*- and *Mitf*-dependent genes of the highest confidence, specifically those in the V1.M1, V1.M3, and V1.M5 gene sets (Fig. 3). Considering the directional changes in differential expression (DE) in the three pairwise comparisons (OvC, OMvO, OMvC), 6 GRCs were identified with a major subdivision based on whether Vsx2 promotes or inhibits expression, designated as V1p or V1i, respectively. In general, Mitf-dependent genes skewed toward GRCs based on Vsx2 inhibition (V1i.M1, V1i.M3) whereas a more balanced GRC distribution was observed for Mitf-independent genes (V1i.M5, V1p.M5). This is consistent with the idea that Mitf promotes expression of non-retinal genes to a greater extent than it inhibits the expression of retinal genes. Since differentially expressed transcription factors (DETFs) could be candidates for extending the Vsx2-interaction network, DETFs were identified for each GRC with the top 2 ranked DETFs listed (Fig. 3). In general, the number of DETFs increased with the size of the DEG pool except for the V1p.M5 GRC, which has a similar number of DETFs as the V1i.M3 and V1p.M3 GRCs despite a much larger number of DEGs overall (1184 compared to 215 and 474, respectively). This could reflect a more direct role for Vsx2 in promoting genes that are Mitf-independent. Interestingly, the top DETFs in the Vsx2-promoting GRCs have established roles in promoting retinal development, and neurogenesis in particular ^{47,48}.

Overrepresentation analysis reveals Mitf-dependent and -independent impacts on curated molecular pathways.

Functional annotation of DEGs was done with Ingenuity Pathway Analysis (IPA; Qiagen). We utilized the Canonical Pathways function which performs an overrepresentation analysis (CP-ORA) of curated collections of genes that are components of signaling, metabolic, and other defined molecular pathways 49. The p-value for each pathway was calculated with the right-tailed Fisher's exact test and an alpha level of 0.05 was used to reject the null hypothesis – that overrepresentation or enrichment of a pathway in the query data is due to random chance. The antilog of the p-value was used for visualization purposes and is referred to as the ORA score. An ORA score of 1.3 is equivalent to $p = 0.05$; larger ORA scores are equivalent to smaller p-values. IPA also calculates a Z-score (activation Z-score) to predict whether a pathway is likely to be activated or inhibited from the gene expression data. Activation Z-scores greater than 2 predict activated pathways and less than -2 predict inhibited pathways 49 . Pathways can also lack activation Z-scores, due to insufficient information from the gene expression data or lack of pathway topology.

CP-ORA was done for five DEG sets: V1.ALL (the full cohort of V1 genes), V1.M1-M3 (all Mitf-dependent genes), V1.M1 (V1 DEGs fully dependent on Mitf), V1.M3 (V1 DEGs partially dependent on *Mitf*), and V1.M5 (V1 DEGs that are *Mitf*-independent; tabulated results in Suppl. File 5). As expected, the number of overrepresented pathways increased with the number of genes in each DEG set (tabulated results in Suppl. File 6). To compare pathways by their dependencies on *Vsx2* and *Mitf*, the two pathways with the highest ORA scores for each DEG set were identified and their ranks by ORA score across the 5 DEG sets were assessed (Fig. 4; Suppl. File 6). 6 pathways were identified (Fig. 4A). All have significant ORA scores in the V1.ALL DEG set (Fig. 4B) and 5 of the 6 pathways have significant scores in the gene set containing all *Mitf*-dependent genes (V1.M1-M3; Fig. 4C). The Kinetochore Metaphase Signaling (KMS) and Breast Cancer Regulation by Stathmin1 (BCRS) pathways are associated with microtubule dynamics, mitosis, and cell cycle control, and are overrepresented in the V1.M3 and V1.M5 gene sets, but not in V1.M1 (Fig. 4D– F). Their activation Z-scores suggest that the KMS pathway was inhibited and the BCRS pathway was activated, consistent with inhibited mitosis and cell cycle progression (Fig. 4G,H). The Phagosome Maturation (PM) and Iron Homeostasis Signaling (IHS) pathways are important for rod outer segment turnover and iron regulation in RPE 50,51, respectively, and both are overrepresented in the V1.M1 gene set, indicative of strong Mitf-dependency (Fig. 4C–F). The LPS/IL-1 Meditated Inhibition of RXR Function (RXRi) pathway was overrepresented in the V1.M3 gene set and its activation Z-score is consistent with inhibition (Fig. 4C–F,I). Since Rxrg is a V1.M3 gene and the highest ranked upregulated DEG in the *orJ* retina, the presence of the *RXRi* pathway suggests elevated RXR activity. The Transcriptional Regulatory Network in Embryonic Stem Cells (TRNESC) was the only pathway to be specifically overrepresented in the V1.M5 set (Fig. 4D–F), consistent with the high number of DETFs in the V1.M5 GRCs (Fig. 3).

Mitf partially accounts for lineage infidelity in the orJ retina.

Although IPA identified several gene ontology (GO) terms and disease categories corresponding to disrupted eye development and congenital CNS abnormalities (not shown),

direct insight into potential effects on lineage fidelity were not forthcoming. This likely reflects a lack of contextual information in the Ingenuity Knowledge Base, an issue that could also apply to other broadly used knowledge databases. We predicted that ORA using lists comprised of genes specific to the nascent neural retina (NR) and RPE tissues would resolve this. We used the *My Lists* feature in IPA, which employs the same statistical modeling as CP-ORA, but for user-generated gene lists. To avoid subjectively selecting genes, we generated gene lists from RNA sequencing data produced from self-organizing mouse optic cup cultures that were treated for 5 days with Fibroblast Growth Factor 2 to generate neural retina-fated tissue (NR) or CHIR99201 (Wnt/b-catenin pathway agonist) to generate RPE-fated tissue (Andrabi 2015). We selected the most differentially expressed genes between them, aiming for approximately 100 genes in each list that were also present in our dataset (Fig. 5A; Suppl. File 7; see Experimental Procedures for method and parameters).

ORA for the V1.ALL gene set revealed high ORA scores for the NR and RPE gene lists, suggesting that they provide good representation of both tissue states in the cohort of Vsx2-dependent genes (Fig. 5B, Suppl. File 8). ORA for Mitf-dependent genes (V1.M1, V1.M2, V1.M3) produced significant scores for the NR and RPE lists with a higher ORA score for RPE (Fig. 5B). Comparison of the ORA scores for the V1.M1, V1.M3, and V1.M5 gene sets revealed a trend away from Mitf-dependence for the NR genes and a trend toward Mitf-dependence for the RPE genes (Fig. 5C). Identifying the GRCs to which the NR and RPE genes belong confirmed these trends with most of the RPE genes in the V1i GRCs and the NR genes in the V1p GRCs (Fig. 5D,E). These observations further support the idea that Mitf negatively impacts lineage fidelity by promoting the expression of nonretinal genes to a greater extent than by repressing retinal genes.

Mitf activity is not a major factor in disrupting neurogenesis in the orJ retina

We applied similar logic to determine how genes associated with retinal neurogenesis and retinal cell types were distributed. Gene lists were generated through differential gene expression analysis of a single cell atlas of mouse retinal development 52 . The analysis was limited to cells harvested at E11.5, E12.5, and E14.5 to generate gene lists for developmentally relevant cell types: early RPCs (eRPC), neurogenic RPCs (nRPC), RGCs, amacrine cells (Ama), horizontal cells (HC), cones (C), photoreceptor precursors (PhPr), and an aggregated non-retinal cell types grouping (RPE_M_O) that includes RPE, ciliary margin, lens epithelium, and periocular mesenchyme ⁵². As with the NR and RPE lists, we aimed for approximately 100 genes from each list to be present in our dataset although this was not achieved for several lists (Fig. 6A; Suppl. File 9; see Experimental Procedures for parameters and method). This was largely due to a high number of shared genes between the Cone photoreceptor (C) and Photoreceptor precursor (PhPr) gene lists and the Amacrine (A) and Horizontal cell (HC) gene lists. In these cases, the gene lists were merged (C_PhPr and A_HC). Other genes that were found in more than one cell type were excluded from all cell types except for *Ascl1*, which was assigned to the nRPC list (Suppl. File 9).

ORA for the V1.ALL gene set produced significant scores for all cell types except the RPE_M_O list (Fig. 6B; tabulated data for all gene sets analyzed in Suppl. File 10). The

ORA score for nRPC genes was highest, suggesting that *Vsx2* regulates many genes in nRPCs, or more generally, the nRPC state, which would be consistent with the delayed onset of neurogenesis. ORA was then done for the different V1 gene sets and the V1.M5 genes consistently produced the highest ORA scores for each cell type (Fig. 6C). Mitf is also likely to affect nRPC gene expression since the ORA scores were significant for the V1.M1-M3 and V1.M3 gene sets (Fig. 6C (nRPC graph)). Cone_PhPr genes were also overrepresented in the V1.M1-M3 set (Fig. 6C, Cone_PhPr graph). Gene distributions by GRC showed a skew toward V1p GRCs for nRPC and RGC genes and more even distributions between V1p and V1i GRCs for eRPC, Cone_PhPr, and A_HC genes (Fig. 6D). The unexpected outcome of retinal cell type genes being inhibited by Vsx2 could be because they are also expressed in RPE (i.e., Crx) or other non-retinal tissues, or are expressed prematurely in $orJRPCs$ (i.e., Crx, Rxrg). In sum, the gene lists used to represent each cell type correlate well the delay in neurogenesis and support the hypothesis that *Mitf* partially contributes to the delay in the onset of neurogenesis in the *orJ* retina.

Inhibition of RXR activity in orJ retinal explant culture reveals Rxrg to be a minor functional interactor with Vsx2.

That Mitf activity only partially accounts for the orJ phenotype reveals the pleiotropic nature of Vsx2 interaction network at its highest level and suggests additional factors interact with the *orJ* allele to promote the mutant phenotype as well as to set the degree of phenotypic severity. The most direct approach to identify functional interactors is by genetic suppression of candidate factors in vivo as done for *Mitf*, but this is not easily done because of the limited availability of mutant alleles and the significant effort needed to generate combinatorial mutants. We therefore treated E12.5 or J retinal explant cultures with small molecules to inhibit the activities of candidate interactors (see Experimental Procedures).

Our first focus was on Rxrg, the highest ranked DEG by adj-p-value in the OvC comparison (Suppl. File 3). Antibody staining confirmed the increase in $Rxrg$ expression with a broad distribution across the E12.5 or J retina (Fig. 7A). Rxrg is a nuclear receptor that binds to 9cis retinoic acid and regulates transcription of target genes as a homodimer or in heterodimer combinations with other nuclear receptors that include the retinoic acid receptors (RARs), liver X receptors (LXRs), and thyroid hormone receptors (TRs) 53 . Rxrg is normally expressed in RGCs and cones $54-57$, but the delay in neurogenesis suggests that its ectopic expression in *orJ* RPCs is not due to the differentiation of these cell types. *Rxrg* is also transiently expressed in the nascent RPE at E10.5 and at the peripheral border between the NR and RPE ⁵⁸, suggesting that it could contribute to lineage infidelity in the *orJ* retina. Its pattern of DE places it in the V1i.M3 GRC (Fig. 3E), suggesting that Mitf partially contributes to its upregulation. Based on these data, we hypothesized that *Rxrg* activity is an additional factor in causing the *orJ* phenotype and suppressing its activity will exhibit positive epistasis with the orJ allele.

E12.5 orJ retinal explants were treated for 24 hr with HX531 (Table 3: Small molecules), a highly selective small molecule inhibitor of RXR activity (IC_{50} : 18nM) ⁵⁹. Contrary to our prediction, we did not observe changes in EdU incorporation or in the expression of Hes1, Neurogenin 2, or Tubb3, which mark proliferation, RPCs, nRPCs and postmitotic

neuronal precursors, respectively (data not shown). This suggested that RXR activity, and by inference, $Rxrg$, is not a strong interactor in promoting the *orJ* phenotype at E12.5, despite its ectopic expression.

RNA sequencing was done to determine the effect of HX531 treatment on gene expression. 19321 GMFs were mapped and 67 DEGs were identified (27 upregulated, 40 downregulated) with an FDR cutoff of 0.05 (Fig. 7B; tabulated data in Suppl. File 11). qPCR was done for the 3 top DEGs (defined by adj-pval; Suppl. File 11); the ATP-binding cassette transporters Abca1 and Abcg1, and the Stearoyl-CoA desaturase Scd2. A significant effect was not observed for *Abcg1*, but *Abca1* and *Scd2* were downregulated by HX531 treatment, consistent with the RNAseq data and with RXR regulation in other contexts ^{60,61}. CP-ORA with the HX531 DEGs identified overrepresented pathways associated with RXR signaling (Fig. 7C; tabulated data in Suppl. File 12). These same pathways were identified by CP-ORA in the *orJ* and *orJ;mi^{het}* mutants (referred to as the *mutants* dataset; Fig. 7E; Fig 4, gray bars; tabulated data in Suppl. File 5), but only the LXR/RXR Activation and RXRi pathways were significant in the full cohort of Vsx2-dependent genes (V1.ALL; Fig. 7E). However, ORA with the NR, RPE, and retinal cell type gene lists in the HX531 dataset revealed overrepresentation for the NR gene list in the HX531 DEGs, but with only 3 genes (tabulated results in Suppl. File 13). These observations suggest that HX531 treatment had a measurable effect with respect to RXR activity, but not on retinal identity, proliferation, or neurogenesis.

We next examined the expression characteristics of the HX531 DEGs but in the context of the mutants dataset. 35 of the HX531 DEGs were Vsx2-dependent (V1 genes), 5 were possibly dependent (V2 genes), 12 were Vsx2-independent (V3 genes), and 15 were absent from the mutants dataset, leaving a total of 52 genes for cross comparisons between the HX531 and mutants datasets (Fig. 7F; tabulated data in Suppl. File 14). To assess epistasis at the level of gene expression, the 52 HX531DEGs were parsed by their directional changes in gene expression (DE) in both datasets (Fig. 7G; Suppl. File 14). Eighteen genes changed in opposite directions, indicative of positive epistasis (sum of underlined numbers), and 24 genes changed in the same direction, indicative of negative epistasis (sum of italicized numbers). The roughly even split precluded a prediction of one type of epistasis over the other. The 12 HX531 DEGs that were Vsx2-independent is consistent with RXR activity having a non-epistatic relationship with *Vsx2*, and likely in a *Rxrg*-independent manner. In this regard, *Rxra* and *Rxrb* are expressed in the E12.5 retina ⁵⁸, remain expressed in the E12.5 *orJ* retina (Suppl. File 3), and *Rxra* knockout mice have ventral growth defects and coloboma in the embryonic retina $62,63$. A reasonable prediction is that the *orJ*; Rxra double mutant phenotype would be more severe than the single mutants due to independent, additive effects.

With respect to *Mitf* regulation, the 35 HX531 DEGs that were identified as V1 genes in the *mutants* dataset are distributed across all categories of *Mitf-dependence* (Fig. 7H), but ORA of these DEGs in the mutants dataset revealed that overrepresentation was confined to the V1.M1 (full *Mitf*-dependence), and V1.M3 (partial *Mitf*-dependence) gene sets (Fig. 7I; tabulated data in Suppl. File 15). This suggests that *Mitf* also has a role in regulating genes that are dependent on RXR activity, but the interaction with *Mitf* is likely to be complex. To

illustrate this point, we consider the predicted GRC topologies for $Abca1$ (Fig. 7I) and $Scd2$ (Fig. 7J). Since Abca1 expression was inhibited by HX531 treatment, it is predicted to be promoted by RXR activity, and this is referred to as $RXRp$ for GRC topology. Like $Rxrg$, the increased expression of *Abca1* in the *orJ* retina is partially *Mitf-dependent* (V1.M3), and based on the RNA seq data (Suppl. File 3) and published in-situ hybridization data [\(GenePaint.org](http://genepaint.org), 64), *Abca1* is likely to be expressed at a low level in the wild type retina. Taken together, the resulting GRC (V1i.M3::RXRp; Fig. 7I) places *Rxrg* and *Mitf* as likely regulators of *Abca1* expression in the *orJ* retina and is an example of a positive epistatic regulatory interaction. $Scd2$ expression, on the other hand, is promoted by both $Vsx2$ and RXR activity, and its reduced expression in the orJ retina is fully Mitf-dependent (V1.M1). The resulting GRC topology is V1p.M1::RXRp (Fig. 7J), which raises the question of how *Rxrg* could contribute to its regulation? Considering that *Scd2* is abundantly expressed in the wild type retina (Suppl. File 3; [GenePaint.org](http://genepaint.org),⁶⁴), it's unlikely that *Rxrg* caused it's expression to decrease in the *orJ* retina. Rather, *Rxra* and/or *Rxrb* are better candidates for promoting Scd2 expression resulting in a GRC that predicts a convergent negative epistatic regulatory interaction with $Vsx2$ and *Mitf* (Fig. 7J). In sum, while our data show that regulatory interactions at the level of gene expression can be predicted, we propose that Rxrg, and RXR activity in general, is not a major driver of the early retinal *orJ* phenotype.

Inhibition of gamma-Secretase activity reveals convergent regulation of Vsx2-dependent genes associated with cell cycle progression and mitosis

We next focused on what could account for the delay in the onset of neurogenesis, and we reasoned that active Notch signaling could be a factor. Overexpression of the *Notch1* intracellular domain (NICD) preserves the RPC state at the expense of neurogenesis 65– 68 , and inhibiting Notch signaling promotes neurogenesis at the expense of RPCs $27,28,69-$ ⁷¹. These effects are consistent with Notch signaling acting as a progenitor maintenance pathway and could account for the delayed neurogenesis in the orJ retina. Elevated Notch signaling could also account for the persistence of *orJ* RPCs despite their reduced rate of proliferation.

 $CP-ORA$ for all *Vsx2*-dependent genes (V1.ALL) in the *mutants* dataset identified Notch signaling as an overrepresented pathway, but the activation Z-score was too low to predict activity (Suppl. File 5). This is likely due to changes in expression of Notch pathway genes that are consistent with both activation and inhibition (tabulated data in Suppl. File 16). For example, the expression of the ligand genes *Dll1* and *Dll4* was reduced in the *orJ* retina, but Jag2 was upregulated. Expression of Notch1 receptor was reduced but Notch2 was upregulated and *Notch3* was expressed but unaffected. The expression of *Hes5*, a functional readout of Notch signaling, was strongly reduced suggesting inhibited Notch signaling, but Hes1 was unaffected, and Hey2 was upregulated. Thus, although Notch pathway gene expression was altered in the *orJ* retina, signaling status was ambiguous.

A common pharmacological approach to block Notch signaling is with gamma-Secretase (GS) inhibitors 72,73. Intracellular cleavage of Notch receptors by GS generates the NICD isoform which translocates to the nucleus to regulate target gene expression. Although GS activity is not specific to Notch signaling 74 , treatment of retinal explants or organoids at the

early stages of retinal neurogenesis with the GS inhibitor DAPT caused RPCs to rapidly exit the cell cycle and transition into RGC and cone photoreceptor precursors, effects that align well with genetic models of abrogated Notch signaling ^{27,28,69–71}. Since the components of the GS complex are expressed in the orJ retina (Suppl. File 17), we predicted that GS inhibition would inhibit proliferation and accelerate the onset of neurogenesis in the *orJ* retina.

We treated E12.5 or f ^{het} (control) retinal explants for 24 hr with 1 μ M dibenzazapine (DBZ; Table 3: Small molecules), a potent GSI, for 24 hr. Retinal neurogenesis is underway by E12.5 and serves as a positive control since it overlaps with other studies incorporating DAPT treatment (Table 3: Small molecules) ^{27,28}. As expected, precocious neurogenesis was observed (Fig. 8A, left panels), demonstrating reproducibility with prior studies (5 μM DAPT gave similar results; not shown). We next treated E14.5 or J retinal explants with 1 μ M DBZ and neurogenesis was also observed, demonstrating that *orJ* RPCs exhibit similar responses to GS inhibition after neurogenesis begins in the *orJ* retina (Fig. 8A, middle panels). However, we observed cytotoxicity with 1 μM DBZ (and 5 μM DAPT) in E12.5 orJ explants as indicated by pyknotic nuclei in the retina and lens (Fig. 8A, right panels). Lowering DBZ to 300 nM reduced pyknosis but precocious neurogenesis was not observed, even in E12.5 wild type retina (not shown). However, EdU incorporation was reduced in the E12.5 or J retina and quantifications confirmed this with 300 nM DBZ and 1 μ M DAPT (Fig. 8B). This suggests GS activity promotes proliferation in orJ RPCs, but the lower concentrations of DBZ and DAPT precluded an assessment of GS inhibition on accelerating neurogenesis onset in the orJ retina.

RNA sequencing and differential gene expression analysis was done for 300 nM DBZ or vehicle treated E12.5 orJ explants (tabulated data in Suppl. File 18). Of the 19290 GMFs identified, 376 genes were differentially expressed based on an FDR cutoff of 0.01 (DBZ DEGs; Fig. 8C). This is notably higher than HX531 treatment, where 67 DEGs were identified with a less stringent FDR cutoff (0.05). 317 of the 376 DBZ DEGs exhibited decreased expression, indicating that GS activity generally promotes gene expression in the *orJ* retina. With respect to *Vsx2* regulation, 331 were *Vsx2*-dependent (V1 genes), 13 were possibly dependent (V2 genes), 29 were Vsx2-independent (V3 genes), and 3 were absent from the mutants dataset, yielding a total of 373 DEGs shared by the mutants and DBZ datasets (Fig. 8D). Partitioning of these DEGs by their directional changes in gene expression (ΔDE; tabulated data in Suppl. File 19) in the 2 datasets revealed that 336 genes changed in the same direction (Fig. 8E, sum of italicized numbers). In contrast, only 8 genes changed in opposite directions (Fig. 8E, sum of underlined numbers), and 29 were only changed after DBZ treatment (V3 column). These observations indicate that DBZ treatment altered the expression of a large cohort of Vsx2-dependent genes in the same direction as the orJ mutation, suggesting that Vsx2 and GS activity regulate a common set of genes in a similar manner. This convergence is strong evidence for negative epistasis.

We next determined the extent to which the DBZ DEGs receive input from *Mitf*. In contrast to the HX531 DEGs, which displayed a relatively flat distribution of genes across the V1 gene sets (Fig. 7E), DBZ DEGs in the mutants dataset were clustered into the V1.M3, V1.M4, and V1.M5 sets (Fig. 8F), which typify partial Mitf dependence (V1.M3) and

Mitf independence (V1.M5). ORA confirmed that this distribution was significant (Fig. 8G; tabulated results in Suppl File 20), and consistent with the observation that most of the DBZ DEGs exhibited decreased expression in the *orJ* retina or after DBZ treatment, the predominant GRCs are those in which both *Vsx2* and GS promote their expression (Fig. 8H).

CP-ORA was done for the DBZ DEGs in the DBZ dataset (DBZ DEGs_DBZ dataset) and the overlap of the top 5 pathways was compared to their ORA scores and rank from CP-ORA of all *Vsx2*-dependent genes in the *mutants* dataset (V1.ALL_mutants dataset; tabulated data in Suppl. Files 5 and 21). All 5 pathways in the DBZ DEGs_DBZ dataset analysis were associated with cell cycle control and mitosis (Fig. 8I, upper left graph), and all were identified as significantly overrepresented in the V1.ALL_mutants dataset analysis (Fig. 8I, upper right graph). Of note, the KMS pathway had the highest ORA score in both gene sets. The distribution of the activation Z-scores for the canonical pathways predicted inhibition with significant Z-scores for the KMS, Cell Cycle Control of Chromosomal Replication, Mitotic Roles of Polo-like Kinase, and Estrogen-mediated Sphase Entry pathways in both analyses (lower graphs in Fig. 8H). These trends are consistent with reduced proliferation, and the extensive overlap in the overrepresented pathways and their predicted inhibited states further supports the idea that Vsx2 and GS promote RPC proliferation by promoting the expression of a large cohort of genes in a convergent manner and that GS activity exhibits negative epistasis with Vsx2.

ORA analysis of the DBZ DEGs in the DBZ dataset with the NR, RPE, and retinal cell type gene lists produced significant ORA scores for eRPC and nRPC genes with all identified genes (except one) reduced in expression (Fig. 8J; tabulated results in Suppl. File 22), placing these genes into V1p::GSp GRCs (Fig. 8K). Since ORA scores for retinal cell types other than RPCs were not significant, it is unlikely that DBZ treatment drove RPCs toward neurogenesis. Rather, most of the genes identified in the eRPC and nRPC gene lists are associated with cell cycle control and metabolism with the nRPC genes FoxN4 and Notch1 being notable exceptions (Suppl. File 23). FoxN4 is a transcription factor required for generating amacrine and horizontal cell precursors and it promotes the expression of $DII4^{75}$. Their reductions suggest that Notch signaling was inhibited by DBZ treatment, but more direct studies are required to assess this.

Discussion

We combined transcriptome profiling with *in vivo* and *ex vivo* interaction testing to assess the potential contributions of candidate genes and molecular activities to the early retinal phenotype of the orJ mutant mouse. The triangular experimental design used for the genetic transcriptome analysis enabled a semi-quantitative assessment of the gene regulatory and phenotypic impacts of Mitf, a direct target of Vsx2 transcriptional repression. Our finding that Mitf activity only partially contributes to the early or J phenotype established the context for identifying additional interactors. This led to the identification of $Rxrg$, a top ranked DEG in the *orJ* retina, but the weak effects of small molecule inhibition on RXR activity suggest that *Rxrg* has a minimal role in the phenotypic traits of the E12.5 *orJ* retina. We also determined that GS activity supports RPC proliferation in the absence of Vsx2 by

sustaining expression, albeit reduced, of a large cohort of Vsx2-dependent genes in a manner consistent with convergent negative epistasis. This streamlined approach for interaction testing permitted straightforward assessments of candidate causal factors underlying a complex developmental phenotype (Fig. 9).

That *Mitf* exhibits positive epistasis with *Vsx2* was expected and the nearly complete concordance of improved expression for Vsx2-dependent genes by introduction of the mi allele gave us confidence that epistasis can be assessed at the transcriptomic level. Whereas the impact of the *mi* allele on alleviating the ectopic expression of non-retinal genes was anticipated, its relatively minor impact on the expression of retinal genes was not. Since the RNA sequencing data was generated with the *mi* allele in the heterozygous state, it is possible that wild type Mitf protein was still active in the *orJ; mi^{het}* retina. However, this issue had to be balanced with the potential for non-cell autonomous effects on retinal development from disruptions in RPE development caused by the *mi* allele in a homozygous state $76-78$. Genetic redundancy is also possible since *Tfeb*, a related bHLH-zip gene, was upregulated in the orJ retina. These issues are somewhat mitigated with the mi allele since the mutant protein functions in a dominant negative manner by heterodimerizing with wild type Mitf and Tfeb⁴³, and because a large cohort of *Mitf*-dependent genes were identified. Even with the possibility of residual *Mitf* and/or *Tfeb* activity, our data suggest additional factors contribute to the *orJ* phenotype. Other ectopically expressed DETFs identified in the V1.M3, V1.M4, and V1.M5 gene sets are potential candidates, and their testing could reveal new hubs in the Vsx2 gene regulatory network.

Despite its ectopic expression, *Rxrg* appears to have a minor role on its own, and this may hold true for the majority of candidate interactors. Of the genes affected by HX531 treatment, they were not correlated with the phenotypic traits of lineage fidelity, proliferation, or neurogenesis as suggested by the lack of overrepresentation in the contextspecific gene lists. However, overrepresented canonical pathways were associated with RXR function. The directional changes in the expression of the HX531 DEGs and the distribution of $Vsx2$ dependency suggests that HX531 impacted gene regulation by *Rxra* and/or *Rxrb* in addition to Rxrg. Based on predicted GRC topology, Scd2 is one candidate for Rxra or Rxrb regulation, as are HX531 DEGs that were found to be Vsx2-independent. How this relates to $V_s x2$ function in RPCs is not clear. It could reflect an altered metabolic state in *orJ* RPCs, especially as it pertains to cholesterol transport and/or lipid metabolism, of which Abca1, Scd2, and other HX531 DEGs have established roles $60,79,80$. It could also reflect a role for V sx 2 in controlling the timing of R xrg-dependent gene expression such that it is activated when RPCs transition into RGC and cone precursors, the cells in which $Rxrg$ is normally expressed and functions to establish the dorso-ventral distribution of S-cone photoreceptors in the mouse retina 54–57 .

We acknowledge that concluding that *Rxrg* is not a strong interactor with *Vsx2* is only relevant for the specific conditions tested. For example, other than what was present in the fetal bovine serum, retinoids were not added to the culture medium, potentially causing reduced RXR activity in both the vehicle and HX531-treated cultures. Although this can't be excluded, adding retinoic acid could have had gain of function effects, further complicating the analysis. It is likely, however, that endogenous retinoids were

present in the culture since direct measurements in the embryonic mouse retina revealed high physiological concentrations that approached 500 nM 81 . Furthermore, the abrogated retinoic acid signaling observed in knockout mice for Rdh10, Aldh1a1, or Aldh1a3, three major retinoic acid synthesis enzymes, indicate that the retina is a major source of ocular retinoic acid after E10.5 (reviewed in $82,83$). Importantly, these genes were expressed in the *orJ* retina, although *Aldh1a3* was reduced (Suppl. File 3). Ultimately, genetic tests of interaction could address these limitations.

Whereas $Rxrg$ emerged as a candidate interactor from the RNA sequencing data of the orJ and *orJ;mi*^{het} mutants, our interest in GS activity arose from a prediction that inhibiting Notch signaling would accelerate the onset of neurogenesis in the orJ retina, a hypothesis based on prior knowledge of the function of Notch signaling and pharmacological GS inhibition in the embryonic retina and more recently in human retinal organoids ⁸⁴. Although toxicity issues precluded us from testing this hypothesis, we found that GS inhibition with a low dose of DBZ further reduced RPC proliferation in orJ RPCs. This is not surprising since an established role for Notch signaling is to maintain stem/progenitor cells, but the extensive overlap and directional change in expression of GS-dependent genes with hundreds of Vsx2-dependent genes was unexpected. Importantly, it provides compelling evidence that the interaction of GS activity with $V_{S}x2$ is one of negative epistasis where both support RPC proliferation by convergence onto a set of downstream genes. Convergent negative epistasis could also explain why RPCs persist and continue to proliferate (albeit slowly) in the *orJ* retina $10,85$. Whether this is due to Notch signaling or another GS substrate is not clear but could be addressed by tests of interaction with Notch pathway components and/or GS substrates outside the Notch pathway 74 .

In sum, with further testing of additional candidates, both in isolation and combination, it should be possible to identify factors that contribute to the orJ phenotype, whether acting independently of Vsx2, as minor or non-interactors, or as central hubs in a dynamic interactive network.

Experimental Procedures

Mice

All procedures and experiments with mice were approved under protocol M1500036 by the Vanderbilt Institutional Animal Care and Use Committee and conformed to the ARVO guidelines for the use of animals in vision research. 129S1 wild type mice (RRID:IMSR_JAX:002448) are used to maintain $V_{SX}2^{or}$ mice. $V_{SX}2^{or}$ mice (RRID:IMSR_JAX:000385) have been maintained in the lab for over 10 years with continual outcrossing to 129S1 wild type mice (RRID:IMSR_JAX:002448). *Mitt^{mi}* mice were obtained from the Jackson Laboratories (Jax) on a C57Bl6 background and is currently maintained at Jax with the *Mitt^{mi-wh*} allele (RRID:IMSR_JAX:000158). The *mi* allele has been maintained for over 6 years in our laboratory and continually crossed to $V_{SX}2^{or}J$ mice.

Tissue collection

Single night mating was used to generate embryos. The day of the plug was considered embryonic day 0.5. Embryos were collected at the following time points: E11.5, E12.5, E13.5 and E14.5. Embryos were staged using fore and hindlimb staging 86.

Tissue and Slide Preparation

Retinal explants and whole-head tissue were fixed in 4% PFA/PBS solution for 20 and 35 minutes, respectively. Following sucrose cryoprotection, samples were frozen in TissueTek OCT (Sakura Finetech) and stored at −80°C. 12 μm sections were adhered to Superfrost Plus slides (Fisher Scientific), dried on a warmer 37°C for 1–2 hr before storage at −20°C.

Immunohistochemistry

Slides were incubated in block solution (2% serum, 0.1% triton-x100, PBS pH 7.4) for 30–60 min at room temperature followed by primary antibody incubation overnight at 4°C overnight. After washing with PBS, sections were incubated with secondary antibodies in the dark for 2 hr at room temperature. DAPI (1:40000 in PBS) was added for 15 min. Slides were coverslipped with Fluoromount. EdU incorporation was detected with AlexaFluor 647 Click-iT Cell Reaction Kit (Invitrogen-Molecular Probes, Eugene, OR, USA).

Microscopy

Images were captured on a Zeiss LSM710 confocal microscope using the 40x water objective. Z-stack and tile scan was performed on each section to get a single image. Tile scanning was stitched online using the online stitching function during acquisition of each image using the Zeiss Zen software, and a composite of the z-stack was created in ImageJ/ Fiji 87 using Image>Stacks>Max Projection.

Quantifications and statistical tests of neurogenic length, Tubb3 expression, and EdU incorporation

ImageJ/Fiji was used to obtain the retinal length using the freehand line tool to measure the inner edge of the retina (closest to the lens). The neurogenic region of the retina was defined by measuring the linear length of the tissue that Tubb3-positive cells occupied. The percentage of neurogenic length was calculated by dividing the neurogenic region by the retinal length and multiplying by 100. The Kruskal-Wallis test followed by Dunn's multiple comparisons test was used for statistical analysis (Suppl. File 2).

Neurogenic density was defined by ratio of Tubb3-positive pixels as a proxy for cells within the neurogenic region. This was measured by outlining region positive for Tubb3 staining and clearing the outside of the ROI using Edit>Clear Outside in ImageJ. Neurogenic regions were made binary with *Threshold* tool and *Analyze>Histogram* was used to count the number of positive (white) and negative (black) pixels. The These values were used to produce the density within the neurogenic region by calculating the ratio of positive pixels over total pixels. For samples that did not display any positive beta tubulin staining, the % neurogenic length and Tubb3+ pixel ratios were set to 0. One-way ANOVA, BrowneForsythe test followed by Tukey's multiple comparisons test were used for statistical analysis (Suppl. File 2).

EdU was manually counted in a blinded manner using the multi-point tool in ImageJ. Area was determined by ROI selection of calibrated images using the measure function in ImageJ. One Way ANOVA and Tukey's multiple comparisons test was used for statistical analysis (Suppl. File 2).

Retinal Explant Culture

E12.5 orJ whole neural retina and lens were dissected away from the RPE and all other ocular tissues, transferred into 24-well plates, and cultured in 500 μL of 1x DMEM/F12 (U.S. Biological, cat.# D9807–05), 1% FBS (ThermoFisher, cat.# 16140071), 1x N2-Plus supplement (R&D Systems, cat.# AR003), 33 mM glucose (Sigma, cat.# G7528), 1 mM Glutamax (ThermoFisher, cat.# 35050061), 1.3 mM NaHCO₃ (ThermoFisher, cat.# 25080094), 5 mM HEPES (ThermoFisher, cat.# 15630080), 100 U/ml Pen/Strep solution (ThermoFisher, cat.# 15070063). Control explants were treated with vehicle only (0.1% DMSO) and experimental explants were incubated with pan-RXR inhibitor (HX-531) or GSIs (DAPT and DBZ). Cultures were maintained for 24 hours at 37 degrees in a 5% $CO₂$ atmosphere. EdU (final concentration: 10 μM) was added to the media for 30 min prior to the end of the culture.

RNA preparation, sequencing, and processing

For the *mutants* analysis, isolated retinal tissue was flash frozen in liquid N_2 and stored at −80°C. Upon thawing, samples were pooled (4 control, 6 orJ, 6 orJ; mi^{het}) and total RNA was isolated with the RNeasy mini-Kit (Qiagen). Each pool was an independent replicate and 4 replicates per genotype were sequenced. Stranded mRNA libraries were prepared from RNA samples with a RIN of 7 or greater with the NEB Ultra II library kit.

For explant cultures, the lens was dissected away from the retina at the end of the culture period, flash frozen in liquid N2, and stored at −80°C. Samples were prepped individually with Trizol (Invitrogen). A minimum of 3 replicates per condition were sequenced. Stranded mRNA libraries were prepared from RNA samples with a RIN of 7 or greater with the NEBNext® Single Cell/Low Input RNA Library Prep Kit.

150 bp paired end sequencing was done with the NovaSeq6000 S2 flow cell at the Vantage core facility (Vanderbilt University). Data processing was done by Creative Data Solutions (Vanderbilt University). Paired end raw FASTQ files were assessed for quality by FastQC ([https://www.bioinformatics.babraham.ac.uk/projects/fastqc/\)](https://www.bioinformatics.babraham.ac.uk/projects/fastqc/) and TrimGalore [\(https://www.bioinformatics.babraham.ac.uk/projects/trim_galore/](https://www.bioinformatics.babraham.ac.uk/projects/trim_galore/)) respectively. Reads were aligned to the reference mouse genome mm10 (GRCm38) using Spliced Transcripts Alignment to a Reference (STAR) version 2.6 88 using GENCODE comprehensive gene annotations (Release M14) as a reference. Approximately 70% of the raw reads were uniquely mapped to the reference genome. HTSeq was used for counting reads mapped to genomic features 89 and pairwise differential gene expression analysis was performed using DESeq2 90

Bioinformatics Analyses

Preparation of the DESeq2 datasets in Supplemental Files:

Mutants dataset (Suppl. File 3): Gene metadata, log2FC, adjusted p-values, the FDR rank based on the adjusted p-values, and the means of the normalized counts for each genotype were merged into a single file from each of the DESeq2 comparisons. Molecular function for each gene from IPA was also merged into the file. DEG designations for each gene in the pairwise comparisons were assigned based on two adj p-value cutoffs (red; see results). Vsx2- and Mitf-dependencies (orange) were assigned from the filtering method shown in Fig. 2 and Supplemental Figs. 1 and 2. Vsx2 and Mitf gene sets were assigned as well as GRCs (blue). Genes that didn't fall into a GRC are indicated by #N/A.

HX531 dataset (Suppl. File 11): Gene metadata, log2FC, adjusted p-values, rank based on the adjusted p-values, means of the normalized counts for each treatment and the mean expression of both treatments combined were retained. DEG designations were based on a single adj-p value cutoff (FDR) of 0.05, resulting in DEG-Y and DEG-N classifications (red).

DBZ dataset (Suppl. File 18): Gene metadata, $log2FC$, adjusted p-values, rank based on the adjusted p-values, means of the normalized counts for each treatment and the mean expression of both treatments combined were retained. DBZ DEG designation was based on two adj-p value cutoffs (FDR) resulting in DEG-Y, DEG-P and DEG-N classifications (red).

Ingenuity Pathway Analysis (IPA)—The DESeq2 pairwise comparison tables were uploaded onto the Ingenuity server and the Core Analysis package was used to perform CP-ORA, ORA, and pathway topology analysis 49. The reference genome for CP-ORA and ORA were the dataset themselves as opposed to the complete mouse genome. Statistics (Fishers exact test, Z-score algorithm) were done on specified subsets of genes from the datasets and referred to in IPA as *analysis ready molecules* (ARMs). ARMs based on Vsx2- and Mitf-dependencies were specified with a code that was interpretable to IPA and based on the $Vsx2$ and *Mitf* gene set classifications (available upon request). In cases when HX531 DEGs or DBZ DEGs were analyzed by ORA in the mutants dataset, gene lists were generated for these DEG sets (see below). ARMs and gene expression data were still pulled from the mutants dataset and were based on the Vsx2 and Mitf gene set classification code.

Generation of NR and RPE gene lists—Bulk RNA sequencing data was obtained from Supplementary Table 1 in Andrabi et al ⁹¹. We used the *Day15 +FGF / Day15 +Wnt* column under the header Comparison of Gene Expression Levels to identify NR genes. The inverse values for this column were generated and placed into a new column titled $Day15 + Wnt$ $Day15 + FGF$, which was then used to identify RPE genes. For each gene list, filtering was done to include only those genes with a gene expression ratio greater than 10 for each identity. This yielded 115 neural retina and 192 RPE genes. These lists were loaded into IPA for ORA with results reported in the My Lists section of the Core analysis output. The expression data from Andrabi and colleagues and the gene lists can be found in Suppl. File 7.

Generation of retinal cell type gene lists—Single-cell RNA-Seq data files were obtained from GEO accession: GSE118614⁵². The GSE118614 barcodes, GSE118614_genes, and GSE118614_10x_aggregate.mtx files were read into R and merged to generate a SC-RNA object which included the barcodes, genes, gene counts, and the relevant age and cell type metadata. The object then underwent filtering. Any cell with the 'Doublets' cell type and a cell age greater than E14.5 were filtered out of the object.

The FindMarkers function from the Seurat V3 package 92 was used to identify differentially expressed genes between the cell types of interest and the remaining cell population in the object. The logfc.threshold was set to 0.10 and a Wilcoxon rank sum test was used to assess differential expression. The comparison groups to generate the resulting gene lists were:

- **1.** 'Neurogenic RPCs' vs. all others
- **2.** 'Early RPCs' vs. all others
- **3.** 'Amacrine Cells' vs. all others
- **4.** 'Retinal Ganglion Cells' vs. all others
- **5.** 'Cones' and 'Photoreceptor Precursors' vs. all others
	- **a.** Cones and PhPrs were grouped into the same identity class.
- **6.** 'Horizontal Cells' vs. all others
- **7.** 'RPE/Margin/Periocular Mesenchyme/Lens Epithelial Cells" vs. all others

Filtering was done to restrict the number of differentially expressed genes to approximately 100 genes for each cell type. Filtering parameters included cutoffs for avg logFC, adj p-value and the ratio of the percentage of cells expressing each gene in the target cell type compared to all others (listed in Suppl. File 8). The resulting lists were then compared to identify shared genes, which were culled from all lists. Ascl1 was the sole exception, which was assigned to the nRPC list based on its known function in RPCs. Culling negatively impacted the Amacrine (A) and Horizontal cell (HC) gene lists because of a dearth of cell type specific genes as well as a large overlap in shared genes between the two cell types. The gene lists were merged into a common A_HC gene list and the shared genes were retained. All gene lists were loaded into IPA and are provided in Suppl. File 9.

Generation of HX531 and DBZ DEG gene lists—All 67 HX531 DEGs were loaded into IPA with 53 retained for the HX531 gene list. All 376 DBZ DEGs were loaded into IPA with 375 retained for the DBZ gene list.

Quantitative reverse transcription PCR (qPCR)

Total RNA was isolated using TRIzol reagent (Thermo Fisher Scientific, Cat#15596026). cDNAs were synthesized using SuperScript IV VILO Master Mix (Thermo Fisher Scientific, Cat# 11766051). qPCR was done on QuantStudio 3 Real Time PCR Systems (Thermo Fisher Scientific) with the TaqMan gene expression Master Mix (Thermo Fisher Scientific, Cat# 444557) and TaqMan gene probes for Abca1, Abcg1, Scd2, Gapdh (Assay ID:

Abca1- Mm00442646_m1; Abcg1 - Mm00437390_m1; Scd2 – Mm01028542_m1; Gapdh-Mm99999915_g1).

Relative changes in gene expression were determined with the delta-delta-Ct method (DDCt). Gapdh was used as the endogenous control for the initial normalization (DCt values). DDCt values were generated by normalizing DCt values to the mean DCt value of the control samples (untreated). Data is presented in graphs as the fold change in gene expression ($RQ = 2^{-DDCt}$). Graphing and statistical tests were done with GraphPad Prism (version 9.0) and Microsoft Excel (version 16.43). Descriptive statistics for RQ values and test results are provided in Supplemental File 2. Hypothesis testing was done on DDCt values.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Figure 1: *Mitf* **contributes to the delayed onset of neurogenesis in the** *orJ* **retina. (A-O)** Tubb3 staining marks post-mitotic neuronal precursors from E11.5 – E13.5. Retinas are within dashed lines; magenta arrows point out the initial appearance of Tubb3 in each genotype. Scale bars: 50 μM (by age). (A-C) Tubb3+ cells are evident in the *orf^{het*} (control) retina at E11.5, extending across the retina at E12.5 and E13.5. **(D-F)** In the orJ retina, Tubb3+ cells do not appear until E13.5. **(G-I)** Tubb3+ cells are detected in the orJ; mi^{het} retina at E12.5, with enhanced accumulation at E13.5. **(J-L)** Tubb3 expression is not observed at E11.5 in the *orJ; m^{homo}* retina but is detected at E12.5. **(M-O)** Tubb3+ cells are observed at all three ages in the m^{het} retina. (P) Quantification of Tubb3 expression across the retina as a percentage of retinal length (neurogenic progression) at E12.5 and E13.5. **(Q)** Quantification of Tubb3+ pixels as a fraction of the total pixels within neurogenic regions was used as a measure of neurogenic output at E12.5 and E13.5.

Figure 2: The *mi* **mutation partially restores gene expression in the E12.5** *orJ* **retina.**

(A) Tripartite design of differential gene expression analysis of RNA sequencing data across the 3 genotypes. Comparisons were made between or^{fhet} (C - control), or^{fhomo} (O) and $or f^{homo}$; $m f^{het}$ (OM) with 4 biological replicates per genotype. Genotype in first position was compared against the genotype in the second position for each comparison, thereby assigning the directions of expression changes to the first genotype. **(B)** PCA plot for the transcriptomes from each replicate. **(C)** Summary of differential expression analysis between the 3 genotypes. Genes were assigned as DEGs if they were within the 0.01 FDR cutoff.

DEGs were then split by their directional change in expression (small arrows). **(D)** DEG status of the V1 genes (see Table 1) in the OMvO comparison identified three categories of Mitf dependency. **(E)** Gene set classification of the Vsx2-dependent genes (V1 gene set) based on Mitf-dependence as determined from the OMvO and OMvC comparisons yielded 6 gene sets (see text for details). Suppl. File 4 provides similar classifications for the Vsx2-possibly dependent (V2) and Vsx2-independent (V3) genes (see Table 1).

Figure 3: Classification of V1 genes into gene regulatory circuits (GRCs) based on *Mitf***dependency and directional changes in gene expression.**

V1.M1, V1.M3, and V1.M5 genes were split into GRCs based on their predicted regulation by Vsx2 and Mitf. **(A)** Genes that are promoted by Vsx2 were designated as V1p. **(B)** Genes that are inhibited by Vsx2 were designated as V1i. The red X denotes branches that are not employed in the respective GRC. The number of DEGs and differentially expressed transcription factors (DETFs) are provided for each GRC and the top 2 DETFs by DEG rank (adj-p from OvC comparison) are shown.

Figure 4: Comparison of overrepresented canonical pathways in V1 gene sets.

CP-ORA was done for the full cohort of V1 genes (V1.ALL), for *Mitf*-dependent genes (V1.M1-M3; V1.M1; V1.M3) and Mitf-independent genes (V1.M5). **(A)** The two top ranked pathways for each gene set based on ORA score are indicated. 6 canonical pathways were identified across the 5 gene sets. **(B-F)** Distribution of the 6 pathways by ORA score (y-axis) and rank (numbers above bars) for the V1.ALL, V1.M1-M3, V1.M1, V1.M3, and V1.M5 gene sets. ORA scores ≥1.3 are considered significant. **(G-I)** Activation Z-scores for the KMS, BCRS, and RXRi pathways for each gene set. Positive scores predict pathway activation; negative scores predict inhibition. Dashed lines indicate thresholds for significance.

Figure 5: *Mitf* **differentially impacts the expression of V1 genes associated with neural retina (NR) and RPE identities.**

ORA using differentially expressed genes culled from published RNA sequencing data of FGF- or Wnt-treated ESC-derived optic cup organoids to promote neural retina (NR) or RPE identities, respectively (Andrabe et al., 2015). **(A)** Distribution of genes in each list. Dataset mapped genes (blue and yellow bars) were used for ORA analysis. **(B)** ORA scores for the NR gene list (white bars) and RPE gene list (black bars) in the V1.ALL and V1.M1-M3 gene sets. **(C)** ORA scores for the NR and RPE gene lists in the V1.M1, V1.M3, and V1.M5 gene sets. **(D)** Distribution of genes from the NR gene list by GRC. **(E)** Distribution of genes from the RPE gene list by GRC.

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Figure 6: *Mitf* **has a modest impact on the expression of V1 genes associated with retinal neurogenesis.**

ORA using gene lists representing retinal cell types from published scRNA-seq data of E11.5, E12.5, and E14.5 mouse retinas (Clarke, et al., 2019). **(A)** Distribution of genes in each cell type list. Dataset mapped genes (blue and yellow bars) were used for ORA analysis. The cone and photoreceptor precursor (PhPr) gene lists were combined (Cone_PhPr) because of high gene overlap and low numbers of dataset mapped genes as separate lists. The same was done for amacrine (Ama) and horizontal cells (HC). RPE_M_O

is not a true cell type but was assigned as a cell label in Clarke et al. (2019). **(B)** ORA scores for the cell type gene lists in the V1.ALL gene set. **(C)** ORA scores for each cell type gene lists from the V1.M1-M3, V1.M1, V1.M3, and V1.M5 gene sets. The RPE_M_O gene list was not included because of its low ORA score in the V1.ALL gene set. Dashed lines indicate significance threshold of 1.3, which is equivalent to a p-value of 0.05. **(D)** Distribution of genes from the cell type gene lists by GRC.

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Figure 7: *Rxrg* **is upregulated in the** *orJ* **retina but inhibition of RXR activity with HX531 has a small but measurable effect on gene expression.**

(A) Immunohistology for Rxrg expression in the E12.5 or fhet (control; left panel) and or J retina (right panel). **(B)** Bulk RNA sequencing of E12.5 orJ retinal tissues following HX531 treatment for 24 hr in explant culture. Pairwise comparison of the gene expression profiles from the HX531-treated and vehicle-treated (0.1% DMSO) samples identified 67 DEGs from 19321 GMFs when an FDR cutoff of 0.05 was applied. Of these, 27 were upregulated and 40 downregulated by HX531 treatment. **(C)** Consistent with the RNA seq data, qPCR of Abca1 and Scd2 shows reduced expression due to HX531 treatment. The effect on Abcg1 expression was not statistically significant. **(D)** CP-ORA of the HX531 DEGs in the HX531 dataset identified multiple pathways associated with RXR function. The numbers over each bar indicate their rank based on the ORA score. **(E)** ORA scores for the same pathways

from the CP-ORA analysis of the V1.ALL genes in the mutants dataset. Only two pathways were significantly overrepresented and their ranks by ORA score are indicated. **(F)** Filtering of the 67 DEGs through the mutants dataset identified 52 DEGs with different degrees of Vsx2-dependence. 15 HX531 DEGs were not identified in the mutants data. **(G)** Distribution of the 52 HX531 DEGs by their directional changes in expression (DE) in the HX531 dataset (rows) and the mutants dataset separated by Vsx2-dependencies (columns). **(H)** Distribution of HX531 DEGs in the V1 gene set by Mitf-dependency. **(I)** ORA analysis of the HX531 DEGs in the mutants data. **(J)** Predicted GRC for Abca1. Whether the regulatory impact of *Mitf* on *Abca1* expression is mediated by *Rxrg* alone is an open question. **(K)** Predicted GRC for Scd2. Whereas Mitf accounts for increased Scd2 expression in the orJ retina, HX531 treatment suggests RXR activity through Rxra or Rxrb could be promoting Scd2 expression in the wild type retina.

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Figure 8: gamma-Secretase (GS) inhibition has a negative impact on the expression of *Vsx2* **dependent genes in the** *orJ* **retina.**

(A, B) E12.5 retinal explants were cultured for 24 hr (1DIV). Retinal tissue is contained within the dashed lines. Scale bars: 50 μM. (**A)** Otx2 expression and DAPI staining in retinal explants cultured in vehicle (0.1% DMSO) or $1 \mu M$ DBZ. Left column shows E12.5 *or thet* (Ctrl) retinas, middle column shows E14.5 orJ retinas, and right column shows E12.5 orJ retinas. Examples of pyknotic nuclei are shown with DAPI staining in the retina (arrows) and in the lens (purple dashed line). **(B)** EdU incorporation in E12.5 orJ retinal explants

cultured in vehicle or 300 nM DBZ. Quantification of EdU+ cells. Error bars show S.E.M. Adjusted p-values were calculated with Tukey's multiple comparisons test following 1-way ANOVA. **(C)** Summary of RNA sequencing and differential gene expression from DBZand vehicle-treated E12.5 or J explants. FDR cutoff of 0.01 was used to identify DEGs (376 DBZ DEGs). Up and down arrows indicate increased or decreased expression, respectively, in the DBZ-treated orJ retina. **(D)** Filtering of the 376 DEGs through the mutants dataset identified 373 DEGs with different degrees of Vsx2-dependence. 3 DBZ DEGs were not identified in the mutants data. **(E)** Distribution of the 373 DBZ DEGs by their directional changes in expression (DE) in the DBZ dataset (rows) and in the *mutants* dataset separated by Vsx2-dependencies (columns). **(F)** Distribution of DBZ DEGs in the V1 gene sets. **(G)** ORA analysis of the DBZ DEGs within the V1 gene sets in the mutants dataset. **(H)** GRCs that contain the highest numbers of DBZ DEGs in the V1.M3 and V1.M5 gene sets. Genes promoted by GS activity are referred to as GSp; inhibited genes are referred to as GSi. **(I)** The top 5 pathways identified by CP-ORA analysis of the DBZ DEGs in the DBZ dataset (upper left graph). The lower left graph shows the activation Z-scores for the 5 pathways. Negative Z-scores predict pathway inhibition. Significance thresholds are indicated with dashed lines. The upper right graph shows the same pathways as they were identified by CP-ORA for Vsx2-dependent genes (V1.ALL) in the mutants dataset with their rank by ORA score indicated above the bars. The lower right graph shows activation Z-scores for the pathways. **(J)** ORA analysis of the DBZ DEGs in the DBZ dataset with respect to the cell type gene lists. Dashed line indicates the threshold for significance. **(K)** Distribution of DBZ DEGs in the eRPC and nRPC genes lists by Mitf-dependence and GRC.

Figure 9: Summary of interactions.

Mit f is a central hub in the $V_{S}x2$ interaction network, but other interactors are likely to contribute to the functions of Vsx2 in mediating lineage fidelity, proliferation, and neurogenesis. GS activity converges with Vsx2 to regulate RPC proliferation. How RXR signaling fits with Vsx2 function is not clear, but our data predicts a minor role in the primary phenotypic traits of the early orJ retina.

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Table 1: DEG classifications based on Vsx2- and Mitf-dependencies.

(A) Two FDR cutoffs were used to assign all expressed genes to 3 categories based on their DEG status. The OvC comparison established regulatory dependencies on Vsx2 and the OMvO comparison established regulatory dependencies on Mitf. The OMvO comparison was not informative at this level. Gene sets were defined based on *Vsx2* dependency. The number of genes in each DEG status group are shown.

Table 2:

Primary antibodies used for immunohistology.

Table 3:

Small molecules used for retinal explant cultures.

