Epidemiology and outcomes of obese critically ill patients in Australia and New Zealand

Paul Secombe, Richard Woodman, Sean Chan, David Pilcher and Frank van Haren

The apparent survival benefit conferred by being overweight or class I obesity (the obesity paradox) has attracted controversy since it was first described.1,2,3 At the core of this phenomenon is that a "normal" body mass index (BMI) of 18.5–24.9 kg/m² is the ratio of weight (in kg) to height (in m2) at which mortality should be lowest, and that by extension, an ideal body weight for a given height exists.²

Obesity is often associated with a range of comorbidities that usually correlate with a reduction in life span. Therefore, the intuitive response to the inverse relationship between obesity and mortality is of scepticism, particularly, as there is no accepted physiological mechanistic model that explains how mild levels of obesity could be protective.^{4,5} A number of confounding issues have been postulated for these results. These include the sole use of BMI as the definition of obesity, selection bias, treatment bias, time varying exposure, and failing to account for underlying malnutrition and socioeconomic status.^{2,6-12} Furthermore, the assumption that a normal BMI is the same across ethnicity, culture, time or through the life span has been challenged.¹³⁻¹⁵

While most observational studies that have described this relationship in critically ill patients have been either single centre or the analysis of patients with a single common pathophysiological process (eg, stroke, acute and chronic renal failure, cardiovascular disease, pneumonia), two recent large studies using national datasets also demonstrated a J-shaped relationship between BMI and mortality, with the nadir occurring above 30 kg/m².¹⁶⁻²³

We sought to describe the distribution of BMI in critically ill patients within Australian and New Zealand intensive care units (ICUs), and to confirm whether the obesity paradox existed. Our hypotheses were that after adjusting for confounders, increasing levels of obesity would be associated with lower mortality, and that this relationship would vary in different pre-specified subgroups.

Methods

The Australian and New Zealand Intensive Care Society (ANZICS) Adult Patient Database (APD) — one of four

ABSTRACT

Objective: The apparent survival benefit of being overweight or obese in critically ill patients (the obesity paradox) remains controversial. Our aim is to report on the epidemiology and outcomes of obesity within a large heterogenous critically ill adult population.

Design: Retrospective observational cohort study.

Setting: Intensive care units (ICUs) in Australia and New Zealand.

Participants: Critically ill patients who had both height and weight recorded between 2010 and 2018.

Outcome measures: Hospital mortality in each of five body mass index (BMI) strata. Subgroups analysed included diagnostic category, gender, age, ventilation status and length of stay.

Results: Data were available for 381 855 patients, 68% of whom were overweight or obese. Increasing level of obesity was associated with lower unadjusted hospital mortality: underweight (11.9%), normal weight (7.7%), overweight (6.4%) , class I obesity (5.4%) , and class II obesity (5.3%) . After adjustment, mortality was lowest for patients with class I obesity (adjusted odds ratio, 0.78; 95% CI, 0.74– 0.82). Adverse outcomes with class II obesity were only seen in patients with cardiovascular and cardiac surgery ICU admission diagnoses, where mortality risk rose with progressively higher BMIs.

Conclusion: We describe the epidemiology of obesity within a critically ill Australian and New Zealand population and confirm that some level of obesity is associated with lower mortality, both overall and across a range of diagnostic categories and important subgroups. Further research should focus on potential confounders such as nutritional status and the appropriateness of BMI in isolation as an anthropometric measure in critically ill patients.

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clinical quality registries administered by the ANZICS Centre for Outcome and Resource Evaluation (CORE) — was used to identify all patients aged 16 years or older admitted to one of the 166 contributing ICUs who had both weight and height recorded between 2010 and 2018. The ANZICS APD encompasses 90% of all ICU admissions in Australia and New Zealand over this period.24 The BMI was calculated by dividing weight (in kilograms), by height (in metres) raised to the power of 2. Definitions of overweight and obesity were taken from the World Health Organization and were divided into underweight (BMI ≤ 18.4 kg/m 2), normal (BMI 18.5–24.9 kg/m²), overweight (BMI 25.0–29.9 kg/m²), class I obesity (BMI 30.0–34.9 kg/m²), and class II obesity and above (BMI \geq 35.0 kg/m²).²⁵ To avoid double-counting patient outcomes, ICU readmissions during the same hospital episode and extreme BMI values that were considered unrealistic (≤ 10 kg/m² and ≥ 60 kg/m²) were excluded.⁵ The study was approved by the Central Australian Human Research Ethics Committee (CAHREC 19-3339).

Data regarding baseline characteristics (age and gender), the presence of comorbidities (defined by the ANZICS APD data dictionary), and outcomes were retrieved.²⁶ Illness severity was described using the Acute Physiology and Chronic Health Evaluation (APACHE) III scoring system and the Australian and New Zealand Risk of Death (ANZROD).27-29 ANZROD is derived from locally collected variables and components of the APACHE scoring system. It provides accurate mortality prediction for admissions to Australian and New Zealand ICUs.²⁹

The reason for ICU admission was taken from the ANZICS modification of the APACHE III diagnostic coding system.²⁶ Individual admission diagnoses were grouped into eight major system-based categories. A subsequent analysis was also conducted on several subgroups identified a priori, including gender, age quartiles, operative and nonoperative diagnostic codes, ventilated and non-ventilated patients, emergency and elective patients, care type (high dependency unit *v* ICU) and, given that metabolic reserve has been given as a plausible underlying mechanistic cause for the obesity paradox, ICU length of stay in three epochs $(< 4$ days, 4–9 days, and ≥ 10 days).³⁰⁻³³

In-hospital mortality was examined in all patients and in the subgroups described above. Secondary outcomes included ICU mortality and both ICU and hospital length of stay.

Statistical analysis

Data were analysed with STATA version 15.1 (StataCorp, Texas, USA). All data were initially assessed for normality. Group comparisons were performed using χ^2 tests, Student *t* test for normally distributed data, and Kruskall– Wallis for non-normally distributed data and comparisons

org.au/Resources/Publications/ Journal), the study dataset comprised 381 855 patients (median age, 64.5 years; IQR, 51.1–74.2 years; 59% male) from all jurisdictions within Australia and New Zealand, 68% of whom were classified as overweight or obese (Table 1). When compared with an age-matched distribution of BMI for the Australian population, patients with a BMI greater than 35 kg/m² (class II obesity and above) were over-represented in ICUs (Figure 1), and the proportion of admissions with a BMI greater than 35 kg/m2 had increased over the sampling period (online Appendix, supplementary figure 2).⁵ The median APACHE III score was 48 (IQR, 36–63), and the mean

across multiple groups. Results are reported as *n* (%), mean (standard deviation [SD]) or median (interquartile range [IQR]) respectively. Mixed effects multivariable logistic regression was used to adjust for baseline severity of illness using ANZROD methodology, gender, year of admission, diagnostic category, region, age and hospital type, clustered by site, and site treated as a random effect. Results are presented as adjusted odds ratio (aOR) with 95% confidence interval (CI), and discrimination of the multivariable models was assessed using area under the receiver operator characteristic (AUROC). Curves were subsequently fitted with restricted cubic splines with three knots after a multivariate logistic regression adjusted for risk of death (ANZROD), hospital type, admission year, gender, region and diagnostic category, except where the covariate contained the subgroup of interest, in which case the covariate was omitted from the regression model. A BMI of 22.0 kg/m² (being the middle of the normal range) was used as the reference for all curves. Given the size of the dataset and the multiple analyses undertaken, a two-sided *P* value of 0.01 was used to indicate statistical significance.

Results

Within the study period, there were 1323071 admissions to adult Australian ICUs reported to the ANZICS APD. After exclusions (online Appendix, supplementary figure 1; available at cicm. risk of in-hospital mortality, as measured by ANZROD, was 7.1% (SD, 14.7%) (Table 1).

Unadjusted hospital mortality (Table 2) demonstrated that increasing levels of obesity were associated with lower mortality. There was a similar pattern for ICU mortality, and the ICU length of stay was shortest for the most obese patients (Table 2).

Unadjusted mortality for each diagnostic category by BMI category (online Appendix, supplementary table 1) demonstrated a similar association for all diagnostic categories, with the exception of cardiac surgery and admissions with a cardiovascular diagnosis. For these diagnostic categories, there was a marked increase in unadjusted mortality for patients with a BMI greater than 35 kg/m2 (class II obesity and above), such that patients with class I obesity had the lowest mortality.

After adjustment for baseline illness severity, hospital type, region, diagnostic category and year of admission, the BMI classification at which adjusted mortality was lowest was class I obesity (aOR, 0.78; 95% CI, 0.74–0.82; *P* < 0.001) (online Appendix, supplementary table 2), and the association between BMI and mortality described the obesity paradox (Figure 2).

Evidence of the obesity paradox appeared in all of the subgroups defined a priori, with mortality highest in underweight patients and a fall in mortality up to class I obesity (online Appendix, supplementary figures 3–10).

Table 2. Study outcomes

However, there were differences in the odds ratio of mortality at higher levels of obesity across subgroups. For patients with an admission diagnostic category of respiratory disease and sepsis, the adjusted mortality was no different to the unadjusted one and continued to demonstrate that higher levels of obesity were associated with lower mortality (online Appendix, supplementary figure 3, panels C and F), although the confidence intervals above 35.0 kg/m^2 are broad. Similarly, for patients with an ICU length of stay greater than 240 hours, there was also an apparent reduction in mortality at a BMI greater than 35 kg/m² (class II obesity and above) (online Appendix, supplementary figure 9, panel C).

In contrast, for the diagnostic categories of cardiac surgery, cardiovascular disease and trauma, there was a clear nadir in mortality at class I obesity and an increase in mortality at higher levels of obesity (online Appendix, supplementary figure 3, panels A, B and G). This phenomenon was also observed in males, patients aged over 65 years, post-operative admissions, ventilated patients, elective admissions, and patients with an ICU length of stay of less than 96 hours (online Appendix, supplementary figure 4, panel B; supplementary figure 5, panels C and D; supplementary figure 6, panel A; supplementary figure 7, panel A; supplementary figure 8, panel A; and supplementary figure 9, panel A, respectively). The similarity in the relationship between mortality and BMI for the categories of post-operative and elective admission

is due to the high proportion of overlap between these groups (97% of elective admissions are operative).

Finally, for patients admitted under the diagnostic categories of neurology, sepsis or "other diagnosis", there was an apparent plateau in mortality above class I obesity (online Appendix, supplementary figure 3 panels F, G and H) as well as for the remaining subgroup comparisons of female gender, non-operative categories, emergency admissions, and patients with an ICU length of stay of 96– 120 hours (online Appendix, supplementary figure 4, panel A; supplementary figure 6, panel B; supplementary figure 8, panel B; and supplementary figure 9, panel B, respectively)

Discussion

This large retrospective study examining the epidemiology of obesity in Australian and New Zealand ICUs confirms that patients with a BMI greater than 35 kg/m2 are over-represented relative to the Australian population. Furthermore, our results confirm that increasing levels of obesity were associated with lower unadjusted mortality (Table 2). After adjustment for a range of confounders, mortality was lowest for patients with a BMI between 30.0 and 34.9 kg/m² (class I obesity) (Figure 2).

Subgroup analysis undertaken to explore contributors to the paradox confirm that the phenomenon is consistent across a range of categories, including diagnostic category, gender, age quartiles, operative category, ventilation status, elective status, and ICU length of stay.

diseased states.14,35 Afzal and colleagues,14 in comparing the mortality outcomes of thee large registry studies, in which each cohort was recruited during successive decades in Denmark, report that the BMI at which mortality is lowest has increased with successive generations.14 Similarly, Thomson and colleagues, 15 in reporting outcomes from the Women's Health Initiative, demonstrate that the BMI at which mortality is lowest occurs for patients who are overweight. More recently, Gribsholt et al^{35} found that the adjusted 30-day mortality of more than 90 000 Danish hospitalisations was also

It is not clear, however, what contributes to the different patterns of mortality at higher BMI categories, with substantial variation in mortality seen in different subgroups at higher levels of obesity. For some subgroups, the relationship is U-shaped, with an increase in mortality as BMI increases above 35 kg/m². In contrast, there are several subgroups in which mortality continues to fall as BMI increases. For the remaining subgroups, there is a plateau in mortality above class I obesity. However, for this latter group, the odds ratios never approach 1. It is difficult to isolate any single common theme among these apparently disparate subgroups which provides a physiological rationale for these different patterns of in-hospital mortality.

Age appears to have a complex effect on the relationship between BMI and mortality. Our data indicate lower mortality with increasing level of obesity for patients aged less than 50 years, while the association is more complex with increasing age such that mortality increases in each successive age quartile as the BMI increases. Given the overrepresentation of older patients in ICUs generally, this may be responsible for skewing the results slightly. Nevertheless, it remains the case that, in critical illness, some obesity is protective for all, but that at older ages, morbid obesity is associated with an increased probability of death and lends support to the contention that the BMI at which mortality is lowest is not stable across the age spectrum.³⁴

Our results suggest that, in critical illness, the BMI at which mortality is lowest is higher than that which has traditionally been taken to be the ideal body weight. There is evidence in the literature of this rightward shift in both healthy and lowest for patients who are overweight. Of more relevance to critical care, the mortality for critically ill patients has been reported to be lowest for patients who are either overweight or have class I obesity in heterogenous cohorts; for patients with specific pathophysiological processes, such as sepsis, pneumonia and acute respiratory distress syndrome; and for ventilated patients. $33,36-39$ Our results support these findings, both overall and in specific subgroups, in a much larger cohort.

Possible physiological explanations for the obesity paradox have been advanced and include:

- the endocrine functions of adipose tissue, resulting in higher levels of anti-inflammatory mediators, including leptin, adinopectin and soluble tumour necrosis factor receptor 2;
- \blacksquare the presence of high density lipoproteins, which bind bacterial lipopolysaccharides;
- **n** the upregulation of the renin-angiotensin system, producing protective haemodynamic effects;
- possible ischaemic pre-conditioning as a result of obstructive sleep apnoea; and
- \blacksquare the nutritional, metabolic and energy reserve provided by adipose tissue.32,33

Non-physiological methodological explanations have also been postulated, including selection bias, collider bias, reverse causation, and failing to account for confounders such as chronic disease, sarcopenia, malnutrition, and smoking status.^{40,41} The observation in these data that patients who have class II obesity or more had less acute physiological derangement at admission suggests that some degree of selection bias may be occurring. However, given the strength of the effect seen here and that the BMI category at which mortality is lowest remains class I obesity after adjustment for illness severity, it seems unlikely that selection bias alone is completely responsible.

It is possible that BMI is a poor proxy for adiposity and body shape and the paradox is not so much a paradox as the poor use of an inappropriate and outdated anthropometric measure. While it is intuitively attractive to assume that an individual has an optimal or ideal body weight for a given height, it does not necessarily follow that this weight is the same for all individuals, that it is the same through their life cycle, or that it is the same in health as it is in sickness.

Strengths and limitations

The major strength of this paper is the large, multicentre database from which the data are drawn, incorporating data from 166 ICUs representing the majority of Australian and New Zealand ICUs, which provides strong external validity. The breadth of the data also meant that the same comparisons could be made across a range of diagnostic categories, offering a significant advantage over the large number of single pathophysiological process or single centre studies that exist.

The study has limitations. First, as a retrospective registry data, it is only possible to highlight associations, and no conclusion about causality can be drawn. It is also possible that biases in the admission threshold drive the observed effect, or that obese patients are dying before being admitted to the ICU. There is a heavy reliance on the accuracy of coding. Furthermore, there is no indication within the database regarding whether weight and height were measured or estimated, nor at what time point in the critical care admission this was undertaken, both of which are likely to be important.¹⁶ There was also a substantial proportion of patients excluded from the analysis dataset who had missing height or weight data. This is an important source of potential selection bias because nearly 70% of admissions were excluded on the basis of missing height and/or weight data. Second, the results from this dataset cannot be translated to a non-critically ill population. The existing public health message that the ideal ratio of weight to body height lies between 18.5 and 25 kg/m2 has evidential support, including an association with a range of chronic diseases and early death, and the overrepresentation of class II obesity and above in this dataset may speak to the increased burden of disease associated with obesity.^{4,42-45} Furthermore, the distribution of obesity in this dataset differs from that of the Australian population, and no comparison was made to the general New Zealand

population (who contribute about 10% of the admissions to the dataset). Third, the very low hospital mortality rate observed in this dataset may suggest that only survivors have both their weight and height recorded, which may introduce survival bias. Finally, we were unable to explore all possible confounders due to the limitations of the dataset. While it leaves unanswered the question of whether BMI is the best measure of body shape, there is sufficient evidence in these results to suggest it is not, and further research is urgently required to ascertain whether the inclusion of other measures, such as waist circumference, would be preferable.12,17,46

Future research needs to focus on the pathophysiological influences of obesity, the effects of different distributions of adipose tissue (peripheral *v* visceral), different phenotypes, and the effect of confounders that could not be measured in these data, including the influence of malnutrition, which may be important given the apparent lower mortality seen in the very obese with a longer ICU length of stay. Furthermore, priority should be given to teasing out the underlying reasons for the difference in mortality at class II obesity and above.

Conclusion

Overweight and obese critically ill patients are overrepresented in the ICU relative to the distribution of obesity in the general community and this study confirms that the relationship described by the obesity paradox exists in this large heterogenous cohort.

While it has not been possible with the dataset available to confirm or refute any of the proposed mechanisms, there are indications in these data that should guide future research efforts.

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Competing interests

None declared.

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