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# **Review Article**

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# Brain Lipids and Lipid Droplet Dysregulation in Alzheimer's Disease and Neuropsychiatric Disorders

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#### **Keywords**

Lipids · Cholesterol · Lipid droplets · Dysfunction · Alzheimer's disease · Schizophrenia · Neuropsychiatric disorders

### Abstract

Background: Lipids are essential components of the structure and for the function of brain cells. The intricate balance of lipids, including phospholipids, glycolipids, cholesterol, cholesterol ester, and triglycerides, is crucial for maintaining normal brain function. The roles of lipids and lipid droplets and their relevance to neurodegenerative and neuropsychiatric disorders (NPDs) remain largely unknown. Summary: Here, we reviewed the basic role of lipid components as well as a specific lipid organelle, lipid droplets, in brain function, highlighting the potential impact of altered lipid metabolism in the pathogenesis of Alzheimer's disease (AD) and NDPs. Key Messages: Brain lipid dysregulation plays a pivotal role in the pathogenesis and progression of neurodegenerative and NPDs including AD and schizophrenia. Understanding the cell type-specific mechanisms of lipid dysregulation in these diseases is crucial for identifying better diagnostic biomarkers and for developing therapeutic strategies aiming at restoring lipid homeostasis.

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### Introduction

Neuropsychiatric disorders (NPDs), including schizophrenia (SZ), bipolar disorder (BD), autism spectrum disorder (ASD), major depressive disorder (MDD), and attention deficit hyperactivity disorder (ADHD), are mental illnesses that impact brain function, emotion, and mood. These illnesses are prevalent (~0.64% SZ, 2.8% BD, 4.4% ADHD, and 8.4% MDD in adults, and 2.8% ASD in children) and highly debilitating (www.nimh.nih.gov/health/statistics), which led to an approximately USD 5 trillion economic burden in 2019 [1], and is projected to rise to USD 6 trillion in 2030 [2]. Another major NPD is Alzheimer's disease (though in this review, we usually refer to it separately as AD), a devastating neurodegenerative disorder that also elicits some neuropsychiatric symptoms. AD is the most common cause of dementia and afflicts more than 6.5 million Americans with a projected growth to 13.8 million by 2060 [3], and there are an estimated 40 million people worldwide living with dementia [4]. Despite decades of research, mostly on  $\beta$ amyloid (A $\beta$ ) and tau lesions, effective treatment for AD is still lacking. The lack of major breakthroughs in understanding the pathogenic mechanisms and subsequent development of effective treatments of these NPDs, including AD, supports a need for paradigmatic change in understanding the possible disease pathogenesis. These NPDs all have a complex etiology, each involving polygenic and

Correspondence to: Jubao Duan, jduan@uchicago.edu environmental risk factors, some possibly interacting. Recent genome-wide association studies (GWAS) and exome sequencing studies have identified hundreds of reproducible risk loci for NPD [5-17] and AD [18-23], providing unprecedented opportunities to better understand the disease biology of NPD and AD. However, most biological follow-up of these genetic findings in the field are aspects that have been explored for decades, i.e., focusing on neurodevelopmental deficits or synaptic dysfunction in neurons for NPD and on classical neuropathic  $A\beta$  and tau lesions for AD. On the other hand, available effective new treatments for these disorders remain scarce, and the therapeutic armamentarium largely relies on old drugs. For instance, most antipsychotic drugs for treating positive symptoms of SZ still target dopamine receptor D2, an approach taken due to a discovery made almost half a century ago [24]. Thus, a paradigm change in understanding the novel biology of NPD and AD is highly needed, which may help develop more accurate biomarkers for clinical diagnosis and identify more effective treatment through identifying druggable targets.

Dysregulation of lipid synthesis and metabolism in the brain may represent one such novel biological mechanism for influencing disease progression in NPD and AD. Brain is a lipid-rich organ, and 50% of brain dry weight is constituted by lipids [25]. The brain lipids consist of approximately 50% phospholipids, 40% glycolipids, 10% cholesterol and cholesterol ester, and a small amount of triglycerides [26]. Accumulating evidence, both genetic and biological, suggests that lipid systems play critical roles in NPD such as SZ and AD [27, 28]. In fact, Alois Alzheimer originally described "lipoid granules" in the AD brain, suggesting that irregular lipid metabolism may be a driving factor [29]. In this review, we will first discuss the supportive genetic evidence for linking lipids to NPD and AD and then address the cell type-specific biosynthesis, metabolism, and function of the main lipid components, including the lipid organelle named lipid droplets (LDs). Each section is followed by a discussion of the pathophysiological role of different types of lipids in developing AD and NPD. We also critically reviewed the possible link between sex-specific lipids and sex differences of these disorders and outlined potential disease treatment approaches targeting lipids.

# Genetic Evidence That Supports the Link of Lipids with AD and NPD

In recent years, lipid dysmetabolism has drawn much attention for contributing to the development of AD [27]. Besides strong supporting evidence from the "lipoid granules" originally found in the AD brain by Alois Alzheimer [29], perhaps the clearest evidence is that many lipid-related genes have been identified as some of the strongest risk factors for AD by GWAS studies, such as ApoE, ApoJ (clusterin), and ABCA7 [23, 30, 31]. Elevated plasma levels of low-density lipoprotein-cholesterol (LDL-C) and ApoB are significantly associated with increased risk of early-onset AD, and this effect is only partially mediated by the ApoE E4 genotype [32]. It was also found that plasma lipids can differentiate AD patients from healthy controls with cholesterol esters, phosphatidylethanolamines (PEs), and triacylglycerols showing differential associations with separate AD genes [33]. Collectively, with all the 568 genes curated in Reactome with function related to metabolism of lipids and lipid proteins (reactome.org/PathwayBrowser/#/R-HSA-556833), our disease risk gene enrichment analysis using DisGeNET [34] identified the most significant enrichment for AD and dementia among all major neurodegenerative and NPDs or traits (Fig. 1a).

Furthermore, an unbiased phenome-wide association between individual polvgenic analysis burden (i.e., polygenic risk score; PRS) and a variety of disease phenotypes or traits in the UK Biobank found that PRS of AD is strongly associated with high plasma cholesterol levels (Fig. 1b) [36]. Conversely, PRS of LDL levels is also significantly associated with AD [36], suggesting a potentially shared genetic risk liability (i.e., pleiotropy) between AD and lipid disorders. Such genetic pleiotropy is further supported by a recent coding-wide association study (a GWAS for protein-coding SNPs only) that showed strong correlation of the risk effect sizes of AD and lipid disorders [37]. Interestingly, a rare missense SNP, rs150484293 (minor allele frequency, MAF = 0.3%) in CLPTM1, a gene at the same ApoE risk locus that has a known role in GABA type A receptor forward trafficking [38], showed independent association to both AD and lipid disorders with effect sizes similar to those of ApoE [37, 39].

Compared to the strong genetic evidence for the role of lipids in AD or dementia, the genetic evidence, especially directly from GWAS, for linking lipids to NPD is lacking. However, our disease risk gene enrichment analysis using DisGeNET [34] for all 568 genes curated in Reactome with function related to metabolism of lipids and lipid proteins (reactome.org/PathwayBrowser/#/R-HSA-556833) identified much less robust (compared to AD), but still significant, enrichment for most NPDs (SZ, BD, ADHD, and MDD) (Fig. 1a). Among those enriched NPDs, SZ showed the strongest enrichment. Genetic study of ASD also suggests potential involvement of lipid metabolism in disease pathogenesis. ASD children and their close



**Fig. 1.** Genetic evidence that supports the link of lipids to AD and NPDs. **a** Disease gene set enrichment analysis by using DisGeNET in Enrichr (maayanlab.cloud/Enrichr/). Lipid- and lipid protein-related genes are from Reactome (reactome.org/PathwayBrowser/ #/R-HSA-556833). Shown are the adjusted enrichment *p* values (in

-log10 scale). **b** PheWAS-polygenic burden associations with human phenome in the UK Biobank (mrcieu.mrsoftware.org/PRS\_atlas/). PRS of AD is calculated using a GWAS dataset with 74,046 individuals [21], and PRS of LDL is calculated using a GWAS dataset with 173,082 individuals [35]. PRS, polygenic risk score.

relatives are more likely to carry genetic mutations within genes known to regulate lipid metabolism [40]. Nonetheless, the lack of strong risk genes directly involved in lipid pathways for NPD does not exclude the importance of lipids in the pathogenesis of NPD; for instance, a mouse model of the SZ-associated CNV 3q29 deletion (40-fold increase of SZ risk) showed strong global alteration of genes related to fatty acid synthesis and other lipid metabolisms, suggesting a mechanistic link between abnormal lipid levels and NPD.

# Role of Glycerophospholipids and Sphingolipids in AD and NPD

Glycerophospholipids (GPLs) and sphingolipids are the two types of phospholipids that are essential components of the cellular membrane. Phosphatidylcholine (PC), PE, and phosphatidylserine are the three most common GPL species. Sphingolipids, together with cholesterol, form lipid rafts [41] that are also considered key membrane components. Sphingolipids encompass a broad spectrum of lipids derived from their precursor ceramide, and the main bioactive ones include sphingomyelin, sphingosine, sphingosine-1-phosphate (S1P), ceramide-1-phosphate (C1P), dihydroceramide, and glycosphingolipids [42]. Phospholipids utilize the long chain (>20 carbons) polyunsaturated fatty acids to constitute hydrophobic membrane bilayers. As for their biosynthesis and metabolism, phosphatidic acid (PA) and diacylglycerol are two common precursors for GPLs with the final products being PC, PE, PS, and phosphatidylinositol (PI). The biosynthesis of sphingolipids starts from sphingosine, which is converted to dihydroceramide by ceramide synthase enzymes and further to ceramide by ceramidase [43]. Phospholipids are degraded in the lysosome, where GPLs are catabolized by phospholipases into second messengers such as DAG, lysoglycerophospholipid, inositol 1,4,5-trisphosphate, platelet-activating factor, and long-chain polyunsaturated fatty acids [44, 45], while sphingolipids are hydrolyzed to ceramide then to sphingosine [43]. Despite their fundamental role as building blocks of cell membranes and their conserved cellular mechanism of biosynthesis and metabolism, phospholipids show brain cell type-specific functions.

# Function of Phospholipids in Neurons

Phospholipids play an important role in regulating dendrite branch formation, neurite outgrowth, and synaptic vesicle shuttling in neurons. Phosphoinositides (PPIs), the phosphorylated derivatives of PI, are the docking sites of actin cytoskeleton regulators (Wiskott-Aldrich syndrome proteins) [46]. PPIs can also bind with lipid-binding proteins (e.g., Bin-amphiphysin-Rvs), which further interact with actin regulators and contribute to neurite branching [47]. PA supports neurite elongation by stimulating vesicle fusion for plasma membrane expansion, although it only represents a small fraction of the membrane [48]. As major types of glycosphingolipids mainly present in neurons [49] and highly enriched at synapses [50], gangliosides and other sphingolipids are essential for driving neural stem cell (NSC) proliferation and differentiation and neuronal synaptogenesis, myelination, and axonal arborization during CNS development [43, 51]. For instance, depletion of GD3, a minor ganglioside that is converted from ganglioside GM3 by the enzyme  $\alpha$ -2,8-sialyltransferase (GD3 synthase), impairs neurogenesis and reduces dendritic complexity and spine density [52]. In addition, GM1 interacts with neurotrophic factors and their receptors, further promoting neuron growth and survival [53, 54].

# Function of Phospholipids in Glial Cells

For astrocytes and microglia, brain cell types that may be more relevant to neurodegenerative disorders, lipids are also instrumental for normal cellular function. Inflammatory response is one of key capacities of astrocytes and microglia, which need inflammatory cell signaling to induce cytokine secretion. An important inflammatory cell signaling molecule is PPI 3-kinase (PI3K) that can phosphorylate the 3-position hydroxyl group of the inositol ring of PI. Lipid secondary signaling molecules including PPIs and lactosylceramide are either directly or indirectly involved in the PI3K signaling process. Specifically, PIP2 is the substrate of PI3K that activates the downstream AKT pathway [55], while lactosylceramide interacts with inflammatory pathways and induces gliosis, pro-inflammatory cytokines, and inducible nitric oxide synthase secretion [56]. PPIs also regulate microglial phagocytosis [57]. In addition, sphingomyelin, galactosylceramide, and its sulfatide are highly enriched in oligodendrocytes, where the myelin sheath is used to wrap the axon and accelerate neurotransmission and support neuronal function [58]. Sphingomyelin also interacts with cholesterol to regulate ion channel function [58].

# GPLs and Sphingolipids in AD

Consistent with the strong genetic evidence that supports the involvement of abnormal lipids in AD pathogenesis (Fig. 1), membrane lipid composition and integrity along with neuroinflammation and oxidative stress have been suggested to play an important role in AD pathogenesis [59-61]. Decreased phospholipids (PC, PE, and PI) have been reported in AD cohorts (reviewed in [62]). The phospholipid composition determines the biolayer's phase, fluidity, charge, and thickness, which directly affects  $A\beta$  binding and permeation and further alters A $\beta$  aggregation [63, 64]. For instance, specifically disturbing PE (with an intrinsic negative curvature of the membrane) disrupts Aß membrane binding and blocks its toxicity [65]. In addition, phospholipase A2 (PLA2), which hydrolyzes fatty acid from membrane phospholipids, and its derivative product, arachidonic acid (AA), have been reported to mediate the Aβ-induced excitotoxicity in AD and contribute to the observed learning and memory deficits in mouse models of AD [66].

As precursors of sphingolipids, ceramide, C1P, and S1P are reported as signaling molecules involving stress response, cell proliferation, and differentiation [67, 68]. In an early stage of AD, ceramide, which assists proapoptotic signaling, is elevated; conversely, the antiapoptotic S1P level is decreased [69, 70]. Based on these observations, the C1P/SIP pro-survival signaling pathway has been proposed as a therapeutic target for AD [71]. In addition, ceramide facilitates AB production through stabilizing  $\beta$ -secretase, which produces A $\beta$  by proteolytic cleavage [72]. Lipid raft regions that are rich in cholesterol and sphingolipid could serve as an anchor for βsecretase and  $\gamma$ -secretase and are directly associated with A $\beta$  production [73]. Besides the effects of lipids on the classical AB lesion in AD, the glial function of lipid secondary signaling in glial cell activation and oxidative stress is strongly congruent with the well-established neuroinflammatory pathogenic theory in AD [74].

# GPLs and Sphingolipids in NPD

Given that and synaptic dysfunction are the major postulated pathophysiological mechanisms of NPD, it is conceivable that dysregulation of phospholipids in neurons plays an important role in developing NPD. Despite the much weaker genetic evidence for the role of lipids in NPD than in AD (Fig. 1), abnormal lipid levels in the brain have been implicated in multiple NPDs. In postmortem brain, lower levels of lipids including free fatty acids (FFAs), PC, and ceramides, and decreased expression levels of lipid metabolism-related genes are detected in SZ cohorts in different brain regions [75–77].

Along with decreased phospholipids, PLA2 overactivation and reduced AA levels have been found in SZ [78]. Increased PLA2 activity is also found in MDD, BD, and substance use [79]. Such changes of PLA2 and AA are found to be associated with monoaminergic neurotransmission dysregulation [78]. It is noteworthy that the reduced AA level in SZ is expected to result in neural hypofunction, which is opposite to the AA-mediated Aβinduced neural hyperexcitability in AD [66].

Consistently, a recent study of lipidome composition of prefrontal cortex gray matter in 396 cognitively healthy individuals and 67 adult individuals diagnosed with ASD, SZ, and Down syndrome found that 95% of the 5,024 detected lipids showed significant age-dependent concentration differences, and a concentration decrease of those in the category of GP metabolism was found for all three disorders [80]. Interestingly, the lipid concentration decrease in SZ can be further linked to genetic risk variants in genes involved in PI signaling [80], indicating the relevance of the brain lipidomic decrease for SZ. The PI3K-AKT pathway, which uses PI as a substrate, has also been postulated to be involved in the pathophysiological development of MDD and suicide, with significantly reduced activity of PI3K and AKT in the patients' brains [81, 82].

The observed alteration of PI-related function in NPD also seems to be supported by animal studies of stress models that mimic the depressive state of MDD, which consistently showed reduced PI in the prefrontal cortex and some other brain regions [83]. In addition to PI, other lipids like PC and PE were also reduced, while LPC, LPE, ceramide, DAG, and TAG levels were elevated in induced depressive states [83]. In addition, the elevated activity of acid sphingomyelinase and the increase of its product ceramide were also found in BD and MDD patients' brain or peripheral samples [76, 84]. Such studies suggest a plausible pathway that may link chronic stress, lipidomic dysregulation, and the development of MDD and other NPDs [83]. However, the emerging pattern of the reduced PC, PE, and PI and the increased LPC, LPE, ceramide, TAG, and DAG levels in response to depressed states in NPD needs to be replicated in more independent studies.

# Role of Cholesterol in AD and NPD

Cholesterol accounts for about 20% of the entire body weight of human and around 25% of the body's cholesterol is found in the brain [85]. In the brain, there are two major locations of cholesterol: myelin sheath and cell membranes. About 70% of cholesterol is made from de novo synthesis in local organs themselves rather than being directly transported from the liver, and almost all brain cholesterol is synthesized locally due to the separation of brain from peripheral sources of cholesterol by the blood-brain barrier (BBB) [86]. Brain cholesterol mostly exists in an unesterified form, with only about 1% esterified cholesterol stored in LD (see below) [42]. Brain cholesterol biosynthesis, metabolism, and transport are highly cell-type-specific, contributing to NPD and AD in a cell-type-specific manner.

# Cholesterol in Neurons

Mature neurons gradually abandon cholesterol synthesis after the embryogenesis stage and rely on it being supplied from astrocytes. However, upon cellular stress, neurons can regain the ability of cholesterol synthesis to a limited extent [87] (Fig. 2). Cholesterol is synthesized in the subcellular organelle, endoplasmic reticulum (ER), involving more than 15 enzymes in about 30 reactions [88]. This process can be further divided into two main steps: (1) from acetyl-CoA to lanosterol, which involves two rate-limiting enzymes, 3-hydroxy-3methylglutaryl coenzyme A reductase (HMGCR) and squalene monooxygenase (SM) and (2) through two cell type-dependent pathways to complete final cholesterol synthesis, the K-R (neurons) and Bloch (astrocytes) pathways [88–90]. In human-induced pluripotent stem cell (hiPSC)-derived neurons, suppression of cholesterol levels by HMGCR inhibition from statins can impair synaptic function in a way that is similar to the effect of y-secretase suppression, which increases synapse numbers but decreases synaptic transmission by lowering neuronal cholesterol levels [91]. Neuronal cholesterol can also mediate the neural-glial interaction; neuronal de novo cholesterol synthesis was recently suggested to be essential for remyelination of damaged neurons in aging and AD, likely due to cholesterolmediated oligodendrocyte progenitor cell proliferation [92-94] (Fig. 2).

Generally, cholesterol cannot be degraded in mammals and is usually excreted or locally recycled. One exception is that a small amount of cholesterol can be hydroxylated to 24-hydroxycholesterol by cholesterol 24-hydroxylase in some neurons such as pyramidal neurons in the hippocampus and cortex or Purkinje cells in the cerebellum. The addition of a hydroxyl group at the 24position makes it more lipophilic with easier penetration of the BBB [95], thereby promoting a rapid diffusion to systematic circulation and cerebrospinal fluid (CSF). Despite some inconsistent findings, several studies



**Fig. 2.** Schematic diagram of neuronal LD formation and cholesterol synthesis and lipids transport between brain cell types. Also shown are genetic risk factors and other risk factors (stress or aging) that may affect neural lipid synthesis, metabolism, and transport in developing AD or NPDs. OPC, oligodendrocyte precursor cells; CE, cholesterol ester; FFA, free fatty acids; LDs, lipid droplets; TC, triacsin C; AD, Alzheimer's disease; NPDs, non-AD neuropsychiatric disorders. The figure was partially created with www.biorender.com.

suggest 24-hydroxycholesterol as a biomarker of the dysregulated cholesterol in AD and other neurodegenerative disorders [96, 97].

In the periphery, cholesterol transport is carried out mainly through the formation of chylomicrons, very low-density lipoprotein, intermediate-density lipoprotein, LDL, and highdensity lipoprotein (HDL) with assistance of apolipoproteins. However, only a few LDL and HDL can cross the BBB through LDL receptor or scavenger receptor class B type I in normal conditions, and thus are usually considered negligible sources of cholesterol [98]. As a separate pool, the brain has different lipoproteins for cholesterol trafficking, named "HDL-like" particles with a wider range of sizes (10–22 nm) and similar density. Although the main apolipoproteins such as ApoE, ApoA-I, and ApoJ (clusterin, also known as CLU) are all highly expressed in astrocytes and presumably function in astrocytes, ApoJ also shows robust expression in neurons, and neural ApoE also seems to play an important role in lipid transfer from neurons to astrocytes under stress [99]. As one of the main lipoprotein endocytic receptors responsible for lipoprotein particle metabolism in the CNS, LRP1 is mainly expressed in neurons and metabolizes the ApoE-containing lipoproteins [100]. Moreover, among the ATP-binding cassette (ABC) transporters that are also involved in cholesterol transport in the brain [101], ABCA1 is the most studied and predominately expressed in neurons to remove excess cholesterol [100]. The ABCA1 expression level is tightly controlled by liver X receptors, a sterol-sensitive transcription factor from a nuclear receptor family [88]. ABCA1 expression can also be similarly regulated by another steroid hormone nuclear receptor, retinoid X receptor [102]. It would be interesting to test whether the steroid-related cholesterol transport regulation through ABCA1 in neurons may mediate the sex-specific role of lipids in AD and NPD.

# Cholesterol in Glial Cells

Astrocytes are the main source of cholesterol, which can be further transported by lipoproteins to support neurite maintenance and synapse connectivity [103]. Although the cholesterol synthesis process in astrocytes shares some steps with neurons such as HMGCRmediated conversion of acetyl-CoA to lanosterol, the final cholesterol synthesis follows an astrocyte-specific Bloch pathway [88-90]. For cholesterol trafficking, the "HDL-like" particles are mainly generated from astrocytes and cycled to the CSF [104]. The most abundant apolipoproteins found in the CSF are ApoE and ApoA-I, both of which can constitute lipoprotein particles independently from each other [100, 105]. ApoJ is also found in the CSF but is specifically enriched there in smaller sized (10-12 nm) lipoprotein particles that have limited lipid content without ApoE or ApoA-I [105]. Lipoprotein particle metabolism in astrocytes is mediated by LDLR and heparan sulfate proteoglycan, which mainly metabolize ApoE-containing lipoproteins [100]. Among ABC transporters that are also involved in cholesterol transport in the brain [101], unlike the major role of ABCA1 in neurons, ABCA7, ABCA8, ABCG1, and ABCG4 tend to be more involved in astrocytes [101].

Cholesterol biosynthesis in microglia is usually deemed an emergent response to abnormal conditions, i.e., during myelin damage (Fig. 2). In this case, microglia engulf and digest myelin debris and myelin associated cholesterol, and simultaneously synthesize desmosterol, an immediate precursor of cholesterol from the Bloch pathway. Microglia then excrete cholesterol, activate liver X receptor-signaling, and facilitate the cholesterol recycling process to assist remyelination [106]. As mentioned above, one of main uses of cholesterol is constituted myelin (~80%). Oligodendrocytes are the main cell type that synthesizes cholesterol for myelination by itself, only with some complements from astrocytes during the developmental stages [85, 107, 108]. Once myelination is completed, oligodendrocytes only keep low rates of cholesterol synthesis for myelin maintenance, while astrocytes continue to synthesize and supply cholesterol to support all neighboring cells [107, 108]. Lipoprotein particle metabolism in microglia is dominated by the lipoprotein endocytic receptor, triggering receptor expressed on myeloid cells 2, which mainly mediates myelin phagocytosis [100].

# Cholesterol in AD

Cholesterol plays a central role in AD by affecting the two main pathogenic hallmarks of AD: A $\beta$  plaque and tau accumulation. As discussed above, AD risk genes ApoE,

ApoJ, and ABCA7 are all involved in cholesterol trafficking. As the strongest AD risk variant, ApoE4 is associated with higher AB plaque burden and more severe tau pathology in the postmortem brain, whereas the opposite effect was observed for ApoE2, a protective isoform [109-111]. Some mechanistic studies show that ApoE4 directly dysregulates the cholesterol pathway in different cell types, which further impairs myelination of oligodendrocytes [112], induces malfunction of astrocytes and microglia [113-115], and promotes pathogenesis of AD [111, 116, 117]. ApoE4 also affects sphingolipid metabolism [118] and LD storage [115, 116, 119-122] to facilitate AD progression. ApoJ, besides its direct involvement in lipid transport and metabolism, can bind to  $A\beta$  oligomers and interfere with  $A\beta$  aggregation, which further induces neurotoxicity with excess amounts of AB [123]. ApoJ can also enhance tau aggregates, promoting tau pathology [124]. For ABCA7, different splicing isoforms and methylation levels of its CpG sites are all strongly associated with AD [125]. At a functional level, studies show that ABCA7 mediates phagocytosis and immune responses, which could contribute to AD development [126, 127].

Although it remains largely unclear how abnormal brain cholesterol leads to AD pathogenesis, recent studies seem to suggest the involvement of different brain cell types. Studying AD postmortem with different numbers of copies of the ApoE4 allele found that ApoE4 affected gene expression across all assayed brain cell types [112]. Specifically for cholesterol changes, the APOE4 allele was associated with increased expression of cholesterolmanufacturing genes and dysregulated cholesteroltransporting genes in oligodendrocytes, which may explain the observed accumulation of abnormal amounts of cholesterol in oligodendrocytes rather than using it to make healthy myelin sheaths around axons [112]. As the main source of cholesterol in the CNS, astrocytes also play an important role in mediating the effect of cholesterol on AD. For instance, compared to ApoE3 astrocytes, ApoE4 astrocytes express higher levels of genes involved in cholesterol biosynthesis and displayed cholesterol accumulation in lysosomes [113]. The role of neuronal cholesterol in AD is relatively understudied, but neuronal de novo cholesterol synthesis was recently suggested to be essential for remyelination of damaged neurons in aging and AD, likely due to cholesterolmediated oligodendrocyte progenitor cell proliferation [92-94].

It is interesting to note that the peripheral cholesterol level is similarly associated with AD. High levels of cholesterol, particularly LDL-C, are a well-established risk factor for coronary artery disease and stroke, and numerous studies have implicated a high blood cholesterol level as the risk factor for AD [128]. The link between the elevated level of blood cholesterol and AD is further confirmed by a recent large study with 1.8 million UK adults aged over 40 who had a blood cholesterol measurement between 1992 and 2009 [129]. Both total cholesterol levels and LDL-C in midlife were found associated with an increased risk of developing dementia and AD over a decade later, although the association with total cholesterol level was much weaker than LDL-C [129]. Whether or how the elevated peripheral cholesterol level is correlated with the accumulation of abnormal amounts of cholesterol in oligodendrocytes or other brain cell types in AD [112] remains to be addressed.

### Cholesterol in NPD

In the cell membrane, cholesterol is enriched in lipid rafts that serve as hubs to cluster proteins such as neurotransmitter receptors, ion channels, and synaptic proteins [130]. Cholesterol homeostasis is thus essential for maintaining neuronal activity. Furthermore, cholesterol constitutes the basic cellular components for synaptic outgrowth and myelin formation. In addition, cholesterol is the substrate of steroid hormones acting on the hypothalamic-pituitary-adrenal axis, and an aberrant hypothalamic-pituitary-adrenal axis has been suggested to contribute to the pathophysiology of NPD, especially for MDD and anxiety disorders [131]. It is thus conceivable that abnormal cholesterol in the brain plays an important role in developing NPD. However, reports on brain cholesterol and NPD have been scarce. The most relevant studies are on the role of apolipoproteins in NPD and have yielded inconsistent results. Increased ApoE expression has been reported in the brains of SZ patients [132, 133], supporting the association of ApoE allele with SZ [134], but this was not confirmed by others [135]. Similarly inconsistent, several studies reported the decline of APOA1 level in SZ [136, 137], while others supported its elevation in CNS [132].

Compared to the lack of studies on the role of brain cholesterol in NPD, there have been numerous studies of the link between blood cholesterol levels and multiple NPDs. Higher levels of serum lipids including cholesterol and TAGs in SZ cohorts have been found in multiple studies [138, 139]. However, for the first-episode psychosis, a recent meta-analysis found that while blood triglycerides were greater in patients, the mean total cholesterol and HDL-C were reduced in patients [140]. Consistently, lower total cholesterol and HDL-C were also found in a cohort of drug-naïve patients with BD [141]. These observations in drug-naïve patients with SZ and BD seem to be supported at a molecular level by a recent transcriptomic profiling of blood samples of SZ and BD, in which the expression of a disease-associated innate immunity signature gene was found to show a positive correlation with triglyceride and a negative correlation with HDL cholesterol [142]. These studies strongly suggest that lipid levels including cholesterol may be a credible blood biomarker for the disease progression of NPD.

It is unclear how to reconcile decreased brain lipids and elevated serum lipids with reduced cholesterol in SZ and some other NPDs. One possibility is that serum lipid levels may be associated with antipsychotic treatments [139]. In this regard, it is noteworthy that antipsychotic clozapine can strongly upregulate cholesterol biosynthesis genes including dihydropyrimidinase like 2 in iPSC-derived neurons [143]. It would be interesting to test for possible "lipid deficiencies" in neural models derived from SZ donors and see whether they can be restored or reversed by clozapine.

# **Lipid Droplets**

LDs are a conserved organelle that stores intracellular neutral lipids including TAGs, cholesteryl esters, and a small amount of retinyl esters [144-146]. Unlike other organelles with similar sizes such as lysosomes and endosomes, there is a phospholipid monolayer embedded with LDs-associated proteins, which can regulate LDs function [144] (Fig. 2). As a lipid reservoir, LDs play a significant role in membrane formation and energy metabolism [147]. Phase separation of hydrophobic lipids by LDs also protects the cell from toxic fatty acid accumulations [148]. LDs are found in all types of brain cells, but mostly in glial cells [149, 150]. Aging, inflammation, and oxidative stress are believed to be the major factors that trigger LDs accumulation in brain cells [149]. However, whether LDs are beneficial or detrimental remains controversial; for instance, heavily LDs-accumulated astrocytes or microglia often become inflammatory or toxic to neurons [150], and neurotoxic reactive astrocytes can secrete FFA and other lipids that kill neurons and oligodendrocytes [151]. Addressing this question will benefit from a better understanding of the cell type-specific LDs biogenesis, turnover, and function in the brain.

# Neuronal LDs

LDs are formed de novo from the ER and budded off from its leaflet. Generally, TAGs and cholesteryl esters are synthesized between ER leaflets by recruiting and

converting fatty acids and cholesterol. The formation of LDs is further facilitated by Seipin, a conserved ER membrane protein, and other LDs biogenesis factors such as lipid binding protein perilipin-1 localized at the ER interface. Finally, LDs are expanded and maturated through LD-LD fusion or via increasing TAG content by transferring TAGs from the ER or directly synthesized on the LDs surface [144]. Catabolism of LDs has two main mechanisms: lipolysis and lipophagy. Lipolysis is induced by lipase, which binds to the surface of LDs and hydrolyzes the TAGs into FFA and glycerol [150, 152]. Lipophagy is the autophagy of LDs, which involves the lysosome pathway [150, 152]. An important component of lipophagy is microtubule-associated protein 1A/1Blight chain 3, that help engulf and fuse LDs to the lysosome, and then catabolized it by lysosomal acid hydrolase [150, 152].

Unlike glial cells, neurons usually do not store and utilize neutral lipid as an energy source due to the limited capacity for fatty acid catabolism; instead, they constantly convert TAGs to phospholipids to maintain neurite integrity [150, 153, 154]. Thus, LDs accumulation is rarely detected in neurons. Usually, FFAs are transferred from neurons to astrocytes and stored as LDs, and ApoE plays the pivotal role during the process [99, 154]. However, emerging evidence suggests that hyperactive neurons under stress, such as in aging or AD, can synthesize lipids that are sequestered as LDs (Fig. 2). When these LDs cannot be efficiently cleaned up by dysfunctional astrocytes, they may lead to neuronal damage [99, 150, 154-156] (Fig. 2). In other stress conditions, such as overloading with fatty acid or carrying toxic ApoE4 [99, 154, 157], neurons can also accumulate LDs (Fig. 2). Due to different circumstances of the in vivo system, cultured neurons are considered a stress model that often leads to LDs formation in their cell body [150]. One possible mechanism for neuronal LDs formation is that due to the relatively poor antioxidant defense system in neurons, the mitochondrial reactive oxygen species (ROS) generation stimulated by the FFA β-oxidation becomes toxic to neurons [158]. However, it is still controversial whether it is ROS generation that induces LDs or vice versa. The functional implications of neuronal LDs in normal aging or under pathogenic conditions such as AD or other NPDs remain largely unknown.

# Glial LDs

LDs in astrocytes could serve as an alternative energy source in case glucose energy becomes exhausted [159]. It is estimated that around 20% of brain energy production comes from FFA metabolism [160, 161]. Astrocytes utilize this function to support neuronal energy consumption, particularly in starving conditions. LDs are also found in ependymal cells, a special glial cell type that forms the epithelial lining of the ventricles to maintain CSF homeostasis. It is believed that CSF lipoprotein particle internalization by ependymal cells facilitates LDs formation [150]. In the nonpathological in vivo context, abundant LDs are indeed observed in ependymal cells [162–164], which is even more pronounced in the AD brain [165].

LDs accumulation in microglia is often correlated with its phagocytic function. Many lipid-rich contents, including dead cells, myelin debris, lipoprotein particles, and neuron-derived lipid particles, can be engulfed by microglia to form LDs [150]. Recently, a special microglia subpopulation with LDs has been identified in aged brain and named as LD-accumulating microglia [166]. LDaccumulating microglia have a unique transcriptomic signature that further contributes to age-related neuronal inflammation and neurodegeneration [166]. In oligodendrocytes, LDs accumulation usually occurs during the developmental stage with a high rate of myelination and demyelination, when a considerable amount of cholesterol is synthesized [150].

Given that the LDs levels often rise in glial cells under stress or inflammation conditions, e.g., neurodegenerative disease, hypoxia, metabolic stress, cancer, and BBB leakage [166-171] but stays low under normal physiological conditions [172], it is conceivable to speculate that the main role of LDs in glial cells is protective. Such protective effects of LDs may be reflected by buffering the release of lipid-rich content induced by abnormal or pathogenic conditions, and/or serving as an energy resource. On the other hand, LDs in brain cells may also impair their normal cellular functions, e.g., lipopolysaccharide-treated microglia result in fatty acid accumulation that further leads to more LDs, which makes microglia lose their phagocytic capacity [166, 173]. A reasonable hypothesis could be that homeostasis of LDs in glial cells, astrocytes or microglia, is important for maintaining normal glial cell and neuron function.

# LDs in AD

Despite Alois Alzheimer noticing LDs or "adipose saccules" in glial cells of the AD brain in 1907 [174], the importance of LDs in AD has been largely neglected until recently. LDs accumulation appears earlier than senile plaques and neurofibrillary tangles in an animal model of AD and was also found in AD postmortem brain [165], suggesting LDs may be a more upstream "causal" factor for AD pathogenesis. Transgenic mouse models of AD have yielded contradictory findings on the effect of LDs on NSC proliferation [165, 167]. While the relevance of NSC proliferation to AD remains to be established, these interesting findings on the cellular effects of LDs in AD mouse models highlight the potential significance of further exploring the role of LDs in AD pathogenesis.

The most direct supporting evidence for the link of LDs to AD comes from studying the effect of the strongest AD risk factor, ApoE4, on LD formation in glial cells and neurons (Fig. 2). In the absence of neurons, ApoE4 glial cells accumulate more LDs than ApoE3 or ApoE2 [99]. Similarly, ApoE4 microglia (hiPSC-derived) also accumulate more LDs than other APOE variants [116]. The observed upregulation of genes involved in lipid production such as acyl-CoA synthetase 1 in both iPSC-derived ApoE4 microglia and astrocytes [116, 119] suggests excess lipid production may also partially explain LDs accumulation in ApoE4 cells. Some other observations at the transcriptional level may also explain increased LDs in ApoE4 cells. For instance, ApoE4 microglia showed a dramatic decrease of expression of genes relating to mitochondrial oxidative phosphorylation [116], a process that may impair fatty acid oxidation and consequently lead to cellular lipid accumulation [155]. Moreover, ApoE4 microglia also showed significant downregulation of genes related to lipid catabolic processes [116], which would also contribute to the accumulation of lipids and LDs. Although the dysfunction of APOE, i.e., the impaired lipid transport capacity of the APOE4 allele, has been commonly used to explain lipid accumulation in glial cells, this would contradict the observations that knockdown of APOE in either the neurons or glia can reduce LDs formation [99, 154]. Therefore, mechanistically, it remains unclear how the APOE4 allele is linked to more LDs in glial cells or microglia.

The relevance of LDs to AD in neurons is less clear than in glial cells and microglia. Interestingly, when cultured in vitro in the absence of glial cells, mouse ApoE3 neurons exhibited stronger LDs accumulation than ApoE4 neurons; however, when cocultured with glial cells, mouse ApoE4 neurons retained more LDs than in ApoE3 neurons [99]. This observation seems to support the hypothesis that dysfunctional neuronal ApoE4 may impair neuronal cleanup of lipids by astrocytes. It would be interesting to see whether the neuronal ApoE4-induced LDs accumulation in mouse neurons can also be observed in human neurons and what would be the functional implications of neuronal LDs for AD pathogenesis.

From a functional perspective, cholesterol inclusion in LDs significantly increases p-tau levels, which can be reversed by inhibiting the cholesterol synthesis pathway [175]. Also, neuronal hyperactivity alone can sufficiently induce LDs accumulation in astrocytes and accelerate AD pathologies [175]. In microglia, LDs accumulation has been considered to represent a dysfunctional and pro-inflammatory state in aging brains [166]. Recently, ApoE4-induced LDs accumulation was found to render microglia weakly responsive to neuronal activity [116]. However, as addressed above, LDs accumulation may also be an adaptive process to neurotoxicity because blocking glial LDs formation worsens neurodegeneration [169]. Therefore, whether LDs are detrimental or beneficial in AD remains controversial.

# LDs in NPD

The role of LDs in other (non-AD) NPDs has been understudied and is not well established. Despite the polygenic nature and complex etiology of NPDs, dysfunctional dopaminergic, glutamatergic, GABAergic, and/or serotoninergic neurotransmission have been implicated in these disorders [176]. Given the known role of sphingolipids and cholesterol in synaptogenesis and neurogenesis (see review [177]), it is conceivable that lipids and neuronal LDs may play a significant role in NPD pathogenesis. Furthermore, because inflammation and oxidative stress are among the major factors besides aging that trigger LD accumulation in brain cells [149] and given the well-established role of inflammation and oxidative stress in NPDs [178, 179], it is tempting to postulate that LDs in brain cells may contribute to the risk of developing NPDs.

Unlike neurodegenerative disorders such as AD, the neurodevelopmental feature of NPDs may point to different stages and brain cell types in which LDs may play a role in disease pathogenesis or act as a biomarker. For instance, for ASD that has the strongest neurodevelopmental aspect, abnormal LDs have been implicated in NSC or neural precursor cells (NPCs). It has been reported that NSC-autonomous insufficiencies in the activity of TMLHE, an ASD risk factor that supports long-chain fatty acid oxidation by catalyzing carnitine biosynthesis, may alter fatty acid mobilization from LDs, thereby reducing NSC pools in the mouse embryonic neocortex [180]. Similarly, NPCs in the subventricular zone and dentate gyrus niches of adult mouse brain express the LDs marker gene perilipin 2 (plin2) and, when cultured in vitro, they accumulate abundant Plin2+ LDs [181]. Interestingly, Plin2+ LDs content per NPC varies and correlates positively with oxygen consumption and

cell proliferative ability [181]. In SZ, a proteomic screen of anterior cingulate cortex showed a 2.4-fold increase of the expression of Mover (also known as RP11-46F15.3 and TPRG1L) [182], a presynaptic protein that also serves as an LDs coat and induces accumulation of LDs in astrocytes [183].

As a neurodevelopmental cellular model, hiPSCderived neuronal cells may help provide some novel insights on the role of LDs in NPD. The iPSC-derived neural cells from SZ patients often show alterations in oxidative phosphorylation and ROS levels (see review [184]), which may directly link to LDs formation. Furthermore, patient-specific iPSC models carrying a specific genetic risk factor of SZ, e.g., 22qdeletion, have suggested a link between SZ risk factors to dysfunctional mitochondria (see review [184]), which may induce LDs formation as a generalized response to stress or affect the mitochondria-LD bond [185, 186]. In this regard, it would be interesting to test whether such NPD genetic risk factors may affect LDs formation in iPSC-derived neurons and whether abnormal LDs may contribute to the altered neuronal phenotypic changes such as neuronal hyperexcitability [184, 187].

### Sex Differences of Lipids in AD and NPDs

Lipid levels and lipid metabolism vary substantially between males and females and across the life span [188]. The sex differences of lipids have been commonly attributed to effects of sex hormones [188]; however, potential genetic contributions from sex chromosome differences between males and females are becoming more appreciated. For instance, a recent meta-analysis of GWAS on blood lipid levels with over 1.5 million participants identified over 2,000 lipid loci, including 21 novel lipid loci on the X chromosome [189]. Interestingly, the population effect sizes of those sex-specific lipid loci were often larger in females, i.e., "risk" variants often had stronger effects in females than in males [189]. It is thus likely that both sex hormones and genetic factors interactively contribute to the sex differences of lipids and, given the role of lipids in NPDs and AD, may partially explain the sex difference of these disorders or conditions.

For AD, it has been well established that females are more affected, with approximately two-thirds of the patients being female [190]. Although higher AD prevalence in females is partially explained by longer life expectancy of females, other sex-specific changes during aging and AD development such as lipid metabolism may also play an important role. The clearest evidence of sex-

specific contributions has been built upon the interplay between sex hormone and the ApoE4 allele [191]. Specifically, estrogen elevates the expression of ApoE in the brain through estrogen receptor  $\alpha$  activation [192, 193], providing a neuroprotective effect [194]. After menopause, the sudden drop of estrogen in women makes them more vulnerable to develop AD. This is in line with female ApoE4 carriers being more likely to develop LOAD and exhibit more severe  $A\beta$ , tau pathology, and worse cognitive decline [195–198]. In addition, ApoE4 directly impairs the beneficial effects of estrogen on antiinflammatory effects of microglia and neurite growth [194, 199]. Moreover, ApoE4 regulates sex-specific transcriptional activity of genes involved in immune response, inflammation, oxidative stress, and aging, making females more vulnerable to develop AD [200]. In general, besides the interplay between sex hormones and ApoE risk loci, the drastic metabolic changes occurring during the critical period of perimenopause in women also increase oxidative stress in the brain [191], which may further lead to accumulation of LDs in specific brain cell types and contribute to sex-specific AD vulnerability. For example, female microglia show an increase of several long-chain species of TAGs with more LDs; such LDladen microglia that are chronically exposed to AB exhibit a dysfunctional phagocytosis [201].

For NPDs, sex differences in prevalence and severity have been well documented. Those with neurodevelopmental aspects such as SZ and ASD affect more males and often with more severe symptoms than females, while some other NPDs such as MDD and anxiety are more prevalent in females (see review [202]). However, the link between sex differences of NPDs and lipids is not as well established as for AD. An earlier study with a small sample found increased blood cholesterol fractions of HDL and LDL during acute psychological stress, and interestingly, males had larger increases of LDL-C and blood pressure [203]. Another study of fatty acid levels in blood cells showed that SZ patients and men had higher levels of lipid peroxidation [204]. While it is not clear that these sex-specific blood lipids in SZ and under psychological stress conditions reflect a sex-specific response to stress in human brains, a recent cell type-specific singlecell transcriptomic profiling in mouse brains did find that oligodendrocytes in males showed a much stronger transcriptomic response than females under stress conditions [205]. Male mice also seem to more likely exhibit LPS-induced depressive behavior and an increase of oxidative stress in the hippocampus [206]. Given that oxidative stress is a major factor that may drive LD accumulation in brain cells [149], it would be interesting to

test whether NPDs show sex-specific LD accumulation in human postmortem brains, iPSC-derived neurons, or mouse models. Independent from the sex-specific stress response, lower levels of docosahexaenoic acid (DHA) were found in blood cells of the first-episode male psychotic patients [207] and in the orbitofrontal cortex of male patients with SZ compared to females [208].

# Treatment Strategies for AD and NPDs by Targeting Lipids

Because of the potential pathogenic role of lipids, including cholesterol and LDs, in developing AD and NPDs, it is tempting to explore the treatment of these disorders by modulating lipid levels. For AD that often exhibits elevated cholesterol levels (especially LDL-C) in the plasma and some brain cells, the use of statins, a class of drugs that are commonly used to lower blood cholesterol, seems to be a rational choice for reducing AD risk [209]. Some studies show that statins indeed can alleviate AD pathology, possibly through inhibition of cholesterol biosynthesis, thereby reducing A $\beta$  production [210, 211]. However, some randomized trials find no beneficial effects of statins on AD symptoms, or even with a negative effect on cognition under high-dose statins [212, 213]. The negative impact of high-dose statins may be attributed to the excess reduction of cholesterol synthesis in some brain cell types where cholesterol is essential for neuronal function as discussed above. It is noteworthy that a recent study of the UK Biobank database finds potential beneficial effects of statins in ApoE4 carriers [214], suggesting the need for stratifying study participants by disease risk genotypes for evaluating the effects of cholesterol-lowering drugs for AD.

Compared to AD that seems to have consistent elevation of lipids in both serum and some brain cells, NPDs often show elevated serum lipids (TAGs) and cholesterol but reduced brain lipids (see above). Thus, cholesterollowering drugs like statins may not seem to be a rational choice for treating NPDs. Statins were found to be able to improve the depression score in MDD and alleviate the symptoms of SZ and BD in some studies [215-218], but not in others [219-221]. The beneficial effects of statins observed in some studies for NPDs may be due to its ability to reducing the serum cholesterol level, which subsequently positively influences brain function; alternatively, statins may confer neuroprotective effects by inhibiting neuroinflammatory and oxidative stress pathways that are involved in NPDs [130]. Besides targeting cholesterol, the possible deficit of DHA in the firstepisode male psychotic patients or SZ [207, 208] also makes supplementing dietary marine omega-3 fatty acids (eicosapentaenoic acid [EPA] and DHA) a promising approach to reduce depression risk and promote favorable mood [222, 223].

#### **Conclusion and Perspective**

Lipids constitute the cell membrane, transduce cell signaling, and store energy. Lipids also regulate neuronal growth and synaptic plasticity, mediate cell toxicity and apoptosis, and assist in coping with stress, inflammation, and aging process. All these functions make lipids a prominent target for understanding the etiology of NPD and AD. However, the roles of cell type-specific lipids and LDs, and their relevance to neurodegenerative and NPDs remain largely unknown. Lipids can directly or indirectly affect chromatin accessibility and subsequently gene expression; for instance, a transcription factor, brain acid soluble protein 1, can recruit cholesterol to open chromatin regions and regulate gene expression [156, 224]. Therefore, a comprehensive ascertainment of cellular and molecular effects of lipids in disease states and health conditions will provide better mechanistic insight on the role of lipids in AD and NPDs. In addition, although glial LDs are known to be largely neuroprotective [150], the cellular and molecular ramifications of LDs in human neurons are less clear [150]. Furthermore, despite the supportive genetic evidence for the involvement of lipidrelated genes in AD and NPDs, whether neural LDs, FFA, and cholesterol are correlated with peripheral plasma lipid levels and other clinical outcomes of AD and NPDs during disease progression is unknown. To address these challenging questions, besides the traditionally used postmortem brains and animal models, iPSC-derived neurons, astrocytes, and microglia may provide invaluable in vitro cellular models [184, 187, 225-231] for studying cell type-specific lipids and LDs in the context of different disease states, which will significantly advance our understanding of how cell type-specific abnormal lipids contribute to the risk for AD and some NPDs. Some cellular lipid metrics may serve as biomarkers for early AD diagnosis and progression, and the downstream gene pathways of lipids and LDs may be promising targets for developing more tailored and effective treatments for AD.

#### **Conflict of Interest Statement**

The authors declare no conflicts of interests.

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#### Author Contributions

X.Z. wrote the manuscript. S.Z. collected the data and prepared the graphs. A.R.S. and J.D. edited and wrote the manuscript. J.D. supervised the work. All authors have approved the final manuscript.

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