

# *Article* **GraphGPT: A Graph Enhanced Generative Pretrained Transformer for Conditioned Molecular Generation**

**Hao Lu † [,](https://orcid.org/0009-0009-6553-6205) Zhiqiang Wei † , Xuze Wang, Kun Zhang and Hao Liu \***

College of Computer Science and Technology, Ocean University of China, Qingdao 266100, China

**\*** Correspondence: liu.hao@ouc.edu.cn

† These authors contributed equally to this work.

Abstract: Condition-based molecular generation can generate a large number of molecules with particular properties, expanding the virtual drug screening library, and accelerating the process of drug discovery. In this study, we combined a molecular graph structure and sequential representations using a generative pretrained transformer (GPT) architecture for generating molecules conditionally. The incorporation of graph structure information facilitated a better comprehension of molecular topological features, and the augmentation of a sequential contextual understanding of GPT architecture facilitated molecular generation. The experiments indicate that our model efficiently produces molecules with the desired properties, with valid and unique metrics that are close to 100%. Faced with the typical task of generating molecules based on a scaffold in drug discovery, our model is able to preserve scaffold information and generate molecules with low similarity and specified properties.

**Keywords:** molecular generation; generative pretrained transformer; graph neural networks



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### **1. Introduction**

Drug discovery and development constitute a complex and challenging process demanding extensive time, resources, and domain expertise  $[1-4]$  $[1-4]$ . To address this issue, virtual screening methods have emerged as pivotal tools for efficiently identifying potential drug candidates [\[5–](#page-14-2)[7\]](#page-14-3). Currently, the virtual screening library can be scaled up to the billion level and is gradually increasing  $[8-10]$  $[8-10]$ . However, since the lead compound does not meet certain essential drug properties, including topological polar surface area, lipophilicity, etc., subsequent molecular optimization is required, which may even lead to drug development failures. Therefore, condition-based molecular generation can not only build high-quality molecular virtual screening libraries but also plays an important role in lead compound optimization, which in turn, drives drug development.

Molecular generation models based on artificial intelligence (AI) have showcased immense potential and have become pivotal in de novo drug design [\[11](#page-14-6)[,12\]](#page-14-7). These models encompass the Variational Autoencoder (VAE) [\[13\]](#page-14-8), the Generative Adversarial Network (GAN) [\[14\]](#page-14-9), and Reinforcement Learning (RL) [\[15\]](#page-14-10). The reward function of RL can quickly focus on a chemical region while causing instability in the model  $[16,17]$  $[16,17]$ , e.g., LS-MolGen [\[18\]](#page-14-13) and DrugEx v3 [\[19\]](#page-14-14). Transfer learning mitigates this problem but results in generated molecules that are similar to the molecules in the training set due to the rapid concentration on a certain target region [\[20\]](#page-14-15). Generative pretrained language models have exhibited tremendous potential across various domains [\[21–](#page-14-16)[23\]](#page-14-17). Molecular generation methods based on language models have demonstrated impressive capabilities in SMILES [\[24\]](#page-15-0) syntactic representation [\[25,](#page-15-1)[26\]](#page-15-2). These methods grapple with a significant challenge: the inability to capture molecular topological information. Conventional sequence-to-sequence models often disregard the intrinsic spatial arrangement of atoms, resulting in an incomplete representation of molecular topology [\[27\]](#page-15-3). Transformer [\[28\]](#page-15-4) and graph neural networks are currently combined in works like GraphTransformer [\[29\]](#page-15-5)

and the Structure-Aware Transformer (SAT) [\[30\]](#page-15-6). Nevertheless, unlike supervised learning methods, molecular generation models lack explicit structural information during the generation process [\[31\]](#page-15-7). Consequently, these models are unable to fully incorporate the structural features of molecules into the generation process, thereby limiting their capacity to generate molecules with specific topological properties.

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In this work, we focus on the molecular generation task to produce molecules with designated properties, thereby expanding molecular screening libraries (Figure 1). We proposed a graph neural network augmented GPT model called GraphGPT. To compensate for the lack of topology of molecules characterized by SMILES, we introduce an innovative methodology that integrates graph-based representations into the sequence-to-sequence paradigm. This innovative approach enables our model to maintain and leverage crucial structural information during the molecular generation process. By amalgamating the advantages of graph-based representation and language modeling, our approach provides a more comprehensive and contextually enriched avenue for molecular generation. generation.

<span id="page-1-0"></span>

**Figure 1.** Condition-based molecular generation and downstream applications of virtual screenlibrary. ing library.

### **2. Results 2. Results**

## *2.1. Molecular Generation Based on Properties 2.1. Molecular Generation Based on Properties*

Fo test the ability of our model to generate molecules with a specific property, we specified a single property (Synthetic Accessibility Score (SAS)) [\[32\]](#page-15-8), Quantitative Esti-pressured a single property (Synthetic Accessibility Score (SAS)) [32], Quantitative Estimation of Drug-likeness (QED) [\[33\]](#page-15-9), lipophilicity (logP) [\[34\]](#page-15-10), and the Topological Polar tion of Drug-likeness (QED) [33], lipophilicity (logP) [34], and the Topological Polar Sur-Surface Area (TPSA) [\[35\]](#page-15-11)) of the molecule to generate the molecule separately. We evaluated  $\epsilon$  area (TPSA)  $\epsilon$  (TPS) of the molecule to generation using molecule to generate the molecule separately. We evaluate the molecule separately. We evaluate the molecule separately. We evaluate  $\epsilon$  and  $\epsilon$  and  $\epsilon$  an the performance of molecular generation using metrics that include validity, uniqueness, and novelty To test the ability of our model to generate molecules with a specific property, we and novelty.

As shown in Table [1,](#page-2-0) we observed that both the MolGPT [\[36\]](#page-15-12) and our model exhibit a relatively similar performance across the four properties. These metrics are close to one in terms of being valid and unique, indicating the capability of both models to generate high-quality molecular structures, with the majority of these molecules being unique. Furthermore, both models achieve a novelty value of one, indicating that the generated molecules are not present in the training dataset, thus mitigating overfitting concerns. Attention should also be paid to the standard deviation (SD) and mean absolute deviation (MAD) metrics. These metrics gauge the stability and consistency of the results. A smaller standard deviation and mean absolute deviation signify a more stable and consistent distribution of samples. It is evident that our model exhibits smaller standard deviations and mean absolute deviations in most SD/MAD metrics, indicating a greater stability compared to the MolGPT. This suggests that our model yields more consistent results across multiple experiments, displaying minimal performance fluctuations.

	Model	Valid $\uparrow$	Unique $\uparrow$	Novelty $\uparrow$	$SD \downarrow$	$\mathbf{MAD} \downarrow$
logP	MolGPT	0.971	0.998	1	0.31	0.23
	ours	0.969	0.998	1	0.29	0.22
<b>TPSA</b>	MolGPT	0.972	0.996	1	4.66	3.52
	ours	0.971	0.997		4.21	3.31
OED	MolGPT	0.977	0.995		0.20	0.13
	ours	0.968	0.999	1	0.07	0.05
<b>SAS</b>	MolGPT	0.975	0.998	1	0.20	0.13
	ours	0.977	0.996	1	0.19	0.14

<span id="page-2-0"></span>**Table 1.** Single property molecule generation, tested with the GuacaMol dataset.

multiple experiments, displaying minimal performance fluctuations, displaying minimal performance fluctuations.

In the context of generating a single property, our findings demonstrate (Figure [2\)](#page-2-1) that the generated molecules exhibit desirable properties in terms of logP, SAS, and TPSA, affirming that the model effectively generates molecules with specific properties. It is noteworthy that some deviations were observed in the QED property, indicating a need noteworthy that some deviations were observed in the QED property, indicating a need<br>for further refinement to ensure precise alignment with this metric. QED describes the for further refinement to ensure precise alignment with this metric. QED describes the drug-likeness of molecules, influenced by multiple underlying properties. As such, the drug-likeness of molecules, influenced by multiple underlying properties. As such, the and might face challenges in accurately controlling QED. These observations underscore model might are enancingly in accurately controlling QED. These observations and crisis the capability of our model in tailoring molecular properties while also highlighting the necessity to enhance its performance in generating molecules with specified QED. the necessity to enhance its performance in generating molecules with specified QED. In the context of generating a single property, our findings demonstrate (Figure 2) that the context of generating a single property, our intense demonstrate (1980).

<span id="page-2-1"></span>

**Figure 2.** Generating property distribution from single-property molecules based on the model **Figure 2.** Generating property distribution from single-property molecules based on the model trained on the GuacaMol dataset; molecular properties include  $logP(A)$ , TPSA (B), SAS (C), and QED  $\mathcal{D}$ . The legend in the upper right-hand corner represents the combinations of molecular proportions of  $\mathcal{D}$ . **(D)**. The legend in the upper right-hand corner represents the combinations of molecular properties that we defined.

Concurrently, we tested the performance of molecular generation based on multi properties. Table [2](#page-3-0) and Figure [3](#page-3-1) contain the evaluation results of two models, involving property and evaluation metrics such as validity, uniqueness, and novelty, and MAD/SD as a stability metric of the results. First of all, we can see that MolGPT and our model perform similarly under most combinations of properties, with scores very close to one for validity, uniqueness, and novelty, indicating that they are both capable of generating high-quality, unique, and novel molecular structures. MAD and SD are indicators used to assess the stability of molecular samples generated by our model. A smaller MAD and SD is a smaller MAD and SD is a smaller MAD and SD imply a more stable and consistent distribution of results. As can be seen from  $T_{\text{max}}$ Table [2,](#page-3-0) the MAD and SD of our model are generally smaller for all combinations of properties. Our model is able to establish the relationship between molecular structure and molecular properties by integrating topological information, thereby demonstrating improved in the state o<br>. improved multi-property generation. multi-property generation. for validity, uniqueness, and novelty, indicating that they are both capable of generating high-quality, unique, and novel molecular structures. MAD and SD are indicators used to

	Model	Valid $\uparrow$	Unique $\uparrow$	Novelty $\uparrow$	$SD/MAD \downarrow$		
					logP	<b>SAS</b>	<b>TPSA</b>
$logP + SAS$	MolGPT	0.972	0.992		0.340/0.250	0.210/0.140	
	ours	0.971	0.991		0.331/0.252	0.208/0.151	
$SAS + TPSA$	MolGPT	0.971	0.988	1		0.220/0.150	4.940/3.760
	ours	0.970	0.988			0.217/0.158	4.705/3.647
$logP + TPSA$	MolGPT	0.965	0.994	1	0.320/0.240		4.770/3.710
	ours	0.961	0.995		0.314/0.240		4.575/3.607
$logP + TPSA$	MolGPT	0.973	0.969	1	0.350/0.270	0.260/0.180	4.800/3.790
$+ SAS$	ours	0.966	0.964		0.335/0.259	0.247/0.183	4.461/3.532

<span id="page-3-0"></span>**Table 2.** Multi property molecule generation, tested with the GuacaMol dataset. **Table 2.** Multi property molecule generation, tested with the GuacaMol dataset.

<span id="page-3-1"></span>

**Figure 3.** Distribution of molecular properties generated when specifying multiple properties using **Figure 3.** Distribution of molecular properties generated when specifying multiple properties using a model trained on the GuacaMol dataset. SAS and TPSA (A), logP and TPSA (B), SAS and logP (C), SAS, logP and TPSA (**D**). The legend in the upper right-hand corner represents the combinations of SAS, logP and TPSA (**D**). The legend in the upper right-hand corner represents the combinations of molecular properties that we defined. molecular properties that we defined.

In summary, although MolGPT and our model perform similarly in terms of validity, uniqueness, and novelty, our model is superior in terms of the stability of the results, i.e., the generated samples are more consistent and reliable across multiple experiments. It can be concluded that our model is better in this molecular generation task, and the generated molecular structures are not only of high quality but also show more stable performance in different specified properties experiments.

# 2.2. Molecular Generation Based on Properties and Scaffold

in different specified properties experiments.

The molecular scaffold constitutes a pivotal element in drug design, influencing the structure, properties, and interactions of molecules. By strategically designing and modifying the molecular scaffold, drug molecules can attain specific biological activities,  $\overline{\phantom{a}}$ pharmacokinetics, and safety profiles, thereby laying a robust foundation for novel drug<br>
The performance of Graph Contract of Graph Graph Contract of development. Therefore, we tested the performance of GraphGPT in generating molecules for a given scaffold and properties. given scariou and properties.  $\mathcal{L}$  and  $\mathcal{L}$  and  $\mathcal{L}$  and tested the model the m

We adopted the five molecular scaffolds used by Bagal et al. [\[36\]](#page-15-12), and tested the molecular generation based on these molecular scaffolds and single property. A boxplot<br>construction in the QED, logPS in the CAS of generated molecules, as in the distribution of the distribution of the distribution of the was constructed by calculating the QED, logP, TPSA, and SAS of generated molecules, as<br>ill the presence of the DED property, and the property of several outliers in the QED property, and the proper illustrated in Figure [4A](#page-4-0)–D. Except for the presence of several outliers in the QED property,<br>the molecular scale molecules generated based on the five molecular scale molecular scale molecular scale in t the molecular properties of the molecules generated based on the five molecular scaffolds<br>were mostly within 1.5 of the interventile range (IQR). Moreover, the means and medians were mostly within 1.5 of the interquartile range (IQR). Moreover, the means and medians of the various properties of the generated molecules were similar to those in the Moses of the various properties of the generated molecules were similar to those in the Moses dataset. Furthermore, Figure [4E](#page-4-0) indicates that the novelty of the molecules generated from dataset. Furthermore, Figure 4E indicates that the novelty of the molecules generated from the five molecular scaffolds exceeded 0.998, with valid samples of approximately 0.96. As the five molecular scaffolds exceeded 0.998, with valid samples of approximately 0.96. As shown in Section [2.4,](#page-6-0) the atom sizes of the molecular scaffold we used vary, with scaffold5 Fold the discussion of the measurement of the discussion of the measurements of the having up to 19 heavy atoms and scaffold2 having 9 heavy atoms. The uniqueness of the enerated molecules based on both scaffold and single property exceeds 0.5, except for  $\frac{1}{2}$  scaffold5. The unique metric was above 0.564 for the four sets of generated molecules, whereas scaffold5 exhibited a uniqueness value of 0.103. This difference of 0.65 compared whereas scaffold5 exhibited a uniqueness value of 0.103. This difference of 0.65 compared to the corresponding indicator for scaffold2 might be attributed to the larger molecular size of scaffold5, resulting in a smaller space for the generated molecules. size of scaffold5, resulting in a smaller space for the generated molecules.  $\ell$  we adopted the five indiccular scaffolds used by bagai et al.  $[30]$ , and tested the

<span id="page-4-0"></span>

**Figure 4.** Properties of generated molecules based on five scaffolds and a single property. (**A**–**D**) are box-and-line plots for generated molecules with specified QED, logP, TPAS, and SAS, respectively. (**E**) is validity, uniqueness, and novelty of the generated molecules.

We visualize the properties of the generated samples in the scaffold and single property-based molecular generation experiments. As can be seen in Figure [5,](#page-5-0) the model is largely able to generate molecules according to a specified scaffold and a single property. Similar to the single property generation experiment without a scaffold, the molecules generated with the specified QED are more dispersed. In the case of specifying the scaffold and three properties (Figure [6\)](#page-5-1), the quality of molecule generation is poorer under SAS: 1.0, logP: 2.0, and TPSA: 40.0, which may be due to the lower property coverage of these properties for the training set molecules. The molecule generation results were better in other cases. and three properties (Figure 6), the quality of molecule generation is p

<span id="page-5-0"></span>

Figure 5. Distribution of molecular properties for molecular generation based Figure 5. Distribution of molecular properties for molecular generation based on five molecular scaffolds and a single property, (**A–D**) are distribution of generated molecules specifying logP, QED, SAS and TPSA, respectively. The legend in the upper right-hand corner represents the combinations of molecular properties that we defined.

<span id="page-5-1"></span>

Figure 6. Molecular generation samples of multiple properties and scaffold. (A) SAS, LogP and fold. (**B**) LogP, TPSA, and scaffold. (**C**) SAS, TPSA, and scaffold. (**D**) SAS, LogP, TPSA, and scaffold. scaffold. (**B**) LogP, TPSA, and scaffold. (**C**) SAS, TPSA, and scaffold. (**D**) SAS, LogP, TPSA, and scaffold. The legend in the upper right-hand corner represents the combinations of molecular properties that we defined.

#### *2.3. Unconditional Molecular Generation*

We conducted unconditional molecular generation on the GuacaMol [\[37\]](#page-15-13) dataset, sampling 10,000 molecules and calculating various metrics for the generated molecules. The FCD [\[38\]](#page-15-14) metric of the molecules significantly exceeded that of other models, reaching 1.009 (Table [3\)](#page-6-1). Additionally, the KL divergence [\[39\]](#page-15-15) metric matched that of the MolGPT model at 0.992, suggesting that it had a strong grasp on the training data distribution. While the validity of the generated molecules experienced a slight decrease, both uniqueness and novelty levels remained high. This indicates that the model, while capable of generating high-quality molecules, also learned the statistical characteristics of the trained molecules.

<span id="page-6-1"></span>**Table 3.** Sampling of 10,000 molecules with different metrics for unconditional molecule generation based on a model trained on the GuacaMol dataset.



As shown in Table [4,](#page-6-2) when trained on datasets like the Moses dataset [\[40\]](#page-15-16) containing drug-like small molecules, the model demonstrated a slight improvement in the validity metric while experiencing marginal reductions in uniqueness and novelty. The slight decrease in novelty is attributed to the model's improved ability to learn a more accurate representation of the molecules in the dataset, as a result of incorporating topological information. This leads to the generation of molecules that more closely resemble those in the training set. The IntDiv1 and IntDiv2 metrics for molecule diversity saw an increase. This demonstrates that our model can generate high-quality molecules in an unconstrained scenario.

<span id="page-6-2"></span>**Table 4.** Sampling of 10,000 molecules with different metrics for unconditional molecule generation based on a model trained on the Moses dataset.



#### <span id="page-6-0"></span>*2.4. Case Study*

We employed the model trained on the Moses dataset for molecular generation, predefining the molecular scaffold, logP, and TPSA before generating molecules. Five molecular scaffolds were used to test the performance of molecular optimization. LogP was set to 2.0, and TPSA was set to 40.0. In other words, we aimed to generate molecules that retained their molecular scaffolds while achieving logP and TPSA values close to 2.0 and 40.0, respectively. Partially sampled molecules are illustrated in Figure [7;](#page-7-0) it can be observed that the generated molecules preserved the specified molecular scaffold while approximating the predetermined properties. Hence, our model can achieve molecular generation based on both molecular scaffold and properties.

<span id="page-7-0"></span>

**Figure 7.** Samples of the generated molecules based on the five molecular scaffolds and properties **Figure 7.** Samples of the generated molecules based on the five molecular scaffolds and properties (logP: 2, TPSA: 40.0). The generated bonds are represented in blue, and the atoms in light red. (logP: 2, TPSA: 40.0). The generated bonds are represented in blue, and the atoms in light red.

#### *2.5. Ablation Experiment*

To verify the effect of different decoder layers as well as graph encoders on miniGPT, we performed ablation experiments. As shown in Table [5,](#page-7-1) three values of 40, 80, and 120 are set in the specified TPSA, and it can be seen that there is little difference in the validity, uniqueness, and novelty metrics. As the number of layers in the decoder increases, the standard deviation and MAD gradually become smaller, indicating the model's ability to simulate the properties of SMILES (the top three rows of Table [5\)](#page-7-1). Considering that as the number of decoder layers increases the molecular validity, uniqueness, and novelty are close to one, we added graph encoders to the model, with eight decoders for runtime as well as efficiency reasons. The addition of the graph encoder reduces SD by 0.266 and MAD by 0.178 under the condition that all eight decoders are used (miniGPT\_b and GraphGPT). The validity of the molecule is reduced by 0.001, which is acceptable for the sake of coincidence. After adding the graph encoder, the standard deviation of the numerator is even smaller, proving the effectiveness of the graph encoder.

<span id="page-7-1"></span>**Table 5.** Impact of different number of decoder layers and graph encoders on the model.



#### *2.6. Attention Visualization*  $F_{\text{in}}$  depicts and the final layer of the final layer of the final layer of the model layer of the model

Figure [8](#page-8-0) depicts an attention heatmap between tokens in the final layer of the model I gare o deplets an attention neating between toxens in the final layer of the model.<br>before and after encoding with a graph. The attention heatmap offers a visual interpretation of the representation of SMILES by the model. It is evident that, during the characterization of the representation of similarly by the model. It is evident that, during the characterization or the morecule using Stuppion 1, an looking in the mot part of the structure (Cercecter)<br>are strongly focused on the first "c", except for "C". This results in the formation of toluene and the presence of a significant number of benzene ring structures in the drug, which and the presence of a significant number of benzene ring structures in the drug, which and the presence of a eigenmeant number of sentience may entertable in the analy, which is consistent with chemical knowledge. Furthermore, the model places greater emphasis on the non-atomic tokens such as "1" and "-". This indicates the significance of accessory tokens in SMILES for the process of molecular generation. This behavior may be due to the model searching for signs of the conclusion of a functional group or other factors. increasing which  $\alpha$  is the model to the molecule using GraphGPT, all the structure  $\alpha$  is the structure of the struc

<span id="page-8-0"></span>

**Figure 8.** Attention heatmap between tokens in the last decoder layer. (A) Using the sequence coder only, and (**B**) using GraphGPT. encoder only, and (**B**) using GraphGPT.

#### **3. Discussion**

We use a GAT-based graph encoder to encode the molecular structure in order to solve the problem of missing topology in the sequence-based molecular generation process. The alignment of the graph encoder with the sequence encoder enables the fusion of structural information into the sequence encoder. Experiments demonstrate that the model is able to generate more accurate molecular properties with little degradation in performance such as molecular validity. There are also variants of SMILES such as SELFIES [\[41\]](#page-15-17), R-SMILES [\[42\]](#page-15-18), etc., which encode molecules, and in the future, we can try to use this sequence information for molecule generation. In addition, we did not try to take information such as target activity into account, which is the main area for our future research.

#### **4. Methods and Materials**

In this section, the overarching architecture of the model is initially presented. Subsequently, the structure of the graph-based encoder is expounded upon. Following this, the encoding of molecular scaffolds, properties, and molecular SMILES sequences are delineated through the utilization of a sequence encoder akin to the GPT framework. Lastly, the employed loss function is elucidated, facilitating the realization of molecular sequence generation fortified by graph-enhanced structures.

#### *4.1. Overview of the Model*

A sequence-to-sequence molecular generation model enhanced with graph structures was proposed. This model was capable of generating molecules based on molecular scaffolds and properties. As illustrated in Figure [9,](#page-9-0) the approach commenced by employing a graph-based encoder to encode the molecular structures, with the aim of capturing the inherent graph-related information of the molecules and thereby creating molecular encoding inclusive of graph-based structures. Subsequently, employing techniques from natural lan<span id="page-9-0"></span>guage processing, the molecules were represented using SMILES, facilitating the extraction of relationships between molecular properties and sequences, as well as capturing the syntactical format of SMILES. Lastly, a loss function was formulated and devised to seamlessly integrate the structure of molecules within the sequential data, thereby accomplishing the amalgamation of structural insights into the sequence generation process.

encoding inclusive of graph-based structures. Subsequently, employing techniques from



**Figure 9.** Architecture of GraphGPT. **Figure 9.** Architecture of GraphGPT.

#### *4.2. Graph Encoder*

To address the deficiency in capturing the previous molecular topology within the molecular generation model, we introduced the Graph Attention Mechanism (GAT) [\[43\]](#page-15-19) for encoding the structural aspects of molecules. As depicted in Figure [10,](#page-10-0) the atoms of a molecule were conceptualized as nodes within a graph, while the chemical bonds were construed as edges, collectively forming a graph-based representation of the entire molecule. Transformer-based molecular encoding calculates the impact of all atoms on the current atom. This results in even distant and unimportant atoms contributing to the representation of the current atom. As shown in Figure [10B](#page-10-0), graph attention networks only consider the influence of directly linked atoms on the current atom, helping the model focus on more important atoms. Through the construction of molecular graphs and the utilization of the GAT model to learn the relationships between atoms, a more comprehensive grasp of the molecular topology could be achieved. During the training process, for each atomic node, GAT dynamically adjusted the weights based on the strengths of connections with neighboring atoms, thereby directing heightened attention toward atoms that bore more relevance. Consequently, the GAT model adeptly accentuated crucial connectivity patterns within the molecular representation, concurrently disregarding less significant elements, and thereby facilitating a more effective expression of the molecular topology.

We commenced by employing one-hot encoding to represent atomic attributes, encompassing atom type, degree, amount of hydrogen, and implicit valence. Subsequently, the interatomic relationships within the molecule were established, employing a two-layer graph attention for the encoding of graph structure. As expressed in Equation (1), the one-hot tensor of each atom was subjected to a linear transformation, projecting it into a higher-dimensional space. Here,  $h_i$  signifies the feature representation of atom  $j$ , W denotes the transformation matrix, and *||* denotes the concatenation of the features of atom *i* and atom *j*. Following the application of the *LeakyReLU* activation function, the resultant *ei,j* emerged, representing the weight indicative of the influence of atom *j* on atom *i*. Equation (2) encapsulated the process of aggregating all connected atoms to atom *i* and subsequently normalizing the aggregated values. Incorporating a multi-head attention mechanism, as

depicted in Equation (3), the elevated-dimensional feature representation of atom *i* was updated. The Sigmoid function  $(\sigma)$  was employed as the activation function, yielding the refined atom feature  $h'_{j}$ , which was subject to the dropout function. Following the passage refined atom feature  $h'_{j}$ , which was subject to the dropout function. Following the passage of the molecule through the two layers of graph attention networks, a  $ReLU$  activation of the molecule through the two layers of graph attention networks, a  $ReLU$  activation In the morecule unough the two tayers of graph attention networks, a next determinent for the representation. note that our graph encoder was exclusively operational during the training phase; during note that our graph encoder was exclusively operational during the training phase; during inference, its functionality was suspended due to the unavailability of molecular graph structural information. molecule in Equation (3), the chemical content and chemical bonds were very while the chemical bonds were chemical bonds w fucularity was suspended and to the anavanability of molecular graphs of molecular graphs

$$
e_{ij} = LeakyReLU(\alpha^{T}[Wh_{i}||Wh_{j}])
$$
\n(1)

$$
a_{ij} = \frac{exp(e_{ij})}{\sum_{k=1}^{k \in N_i} exp(e_{ik})}
$$
 (2)

$$
h'_i = \prod_{k=1}^K \sigma \left( \sum_{j \in \mathcal{N}_i} \alpha_{ij}^k W^k \overrightarrow{h}_j \right) \tag{3}
$$

<span id="page-10-0"></span>

**Figure 10.** Details of graph encoder. (**A**) Graph encoding of molecules, type, degree, NumHs, and **Figure 10.** Details of graph encoder. (**A**) Graph encoding of molecules, type, degree, NumHs, and imVal refer to atom type, degree, amount of hydrogen, and implicit valence, respectively. (**B**) Graph imVal refer to atom type, degree, amount of hydrogen, and implicit valence, respectively. (**B**) Graph attention mechanism. attention mechanism.

#### We commenced by employing one-hot encoding to represent atomic attributes, en-*4.3. GPT encoding of SMILES and Properties*

The molecules were portrayed as sequences in the form of SMILES, serving as the input for the model. Additionally, RDKit [\[44\]](#page-15-20) was utilized to procure molecular descriptors such as SAS, QED, logP, and TPSA. During the training phase, the molecular properties were concatenated with the respective molecular SMILES, enabling the model to discern the intricate associations existing between molecular properties and SMILES (Figure 11). In the inference stage, predefined molecular properties were specified, facilitating the achievement of conditional molecular generation.

In fact, our model employed a decoder module akin to the Transformer architecture, comprising 8 stacked decoders: a design reminiscent of architectures found within the GPT series. A comparison between the model we utilized and GPT-1 is provided in Table [6.](#page-11-1) For the sake of simplicity and efficiency, the number of decoder layers in the model as well as the attention header are compressed. An attention mechanism was employed to discern the influence of individual characters within the SMILES, thereby facilitating the feature updates. The calculation methodology for the attention mechanism is outlined in Equation (4), where *Q*, *K*, and *V* represent the Query, Key, and Value vectors, respectively, *T* represents the transpose, and *d<sup>k</sup>* represents the Key vector dimension. After the sequence representation through the class GPT, the representation of the sequence is mapped to the

same space as the representation of the graph encoder through a mapper, which is used with a fully connected representation in this study.

$$
Attention(Q, K, V) = softmax\left(\frac{QK^T}{\sqrt{d_k}}\right)V\tag{4}
$$

 $Q = -T$ 

<span id="page-11-0"></span>

**Figure 11.** MiniGPT encoding of SMILES; properties and scaffold of molecules. **Figure 11.** MiniGPT encoding of SMILES; properties and scaffold of molecules.

<span id="page-11-1"></span>**Table 6.** Comparison of GPT-1 and GraphGPT.



#### *4.4. Optimum Objectives*

In order to fuse the topological information, we employ a GAT in the molecule generation task and subsequently generate molecules with specific properties. As demonstrated in Equation (5), our formulated loss function encompasses two distinct components. The first loss function, denoted as *L<sub>BT</sub>*, encapsulates the disparity between the molecular representation post-traversal through the graph encoder and SMILES sequence encoder. Given that these representations emanate from differing perspectives in molecular characterization, the features resulting from the graph encoder and the sequential encoder should exhibit close proximity. We constrained sequential encoding by employing the graph-encoded representation, thereby enabling the model to indirectly glean topological structural infor-<br> mation inherent to the molecule. The second loss function, denoted as *L<sub>ground</sub>*, encapsulates the divergence between the molecular predictions yielded by the model and the actual molecular structures. As depicted in Equation (6), the computation approach for measuring<br>divergence by the divergence of the di the gap between the graph structure encoding and the sequence encoding adopts the computation (6), the computation of the computation  $\Gamma$ Barlow Twins loss function [\[45\]](#page-15-21). Here, *λ* serves as a hyperparameter, set to 0.005, and<br>Convergents the servelstics ass(fisiant hatuser, the graph structure and dine and the  $\epsilon_{ij}$  represents the conclusion from the Barlow Twins loss function  $\epsilon$  has a server as a hyperparameter as  $\epsilon_{ij}$ sequence encoding, as calculated in Equation (7). Within these equations, *b* denotes a batch *Cij* represents the correlation coefficient between the graph structure encoding and the

index, while *i* and *j*, respectively, index the graph encoder and sequence encoder. *A* and *B* correspond to the graph encoder and sequence encoder, respectively.

$$
L = L_{\mathcal{BT}} + L_{ground} \tag{5}
$$

$$
L_{\mathcal{BT}} = \sum_{i} (1 - \mathcal{C}_{ii})^2 + \lambda \sum_{i} \sum_{j \neq i} \mathcal{C}_{ij}^2 \tag{6}
$$

$$
\mathcal{C}_{ij} = \frac{\sum_{b} z_{b,i}^{A} z_{b,j}^{B}}{\sqrt{\sum_{b} \left( z_{b,i}^{A} \right)^{2} \sqrt{\sum_{b} \left( z_{b,j}^{B} \right)^{2}}}}
$$
(7)

*4.5. Dataset*

We tested GraphGPT for the molecular generation task using two datasets: the GuacaMol dataset and the MOSES dataset. The GuacaMol dataset comprises a subset of 1.6 million molecules from the ChEMBL 24 database [\[46\]](#page-15-22). The molecular properties, such as molecular weight, LogP, and the number of rotatable bonds, exhibit heterogeneous distributions within this dataset. The MOSES dataset, containing 1.9 million lead-like compounds derived from the ZINC database [\[47\]](#page-15-23), was created to represent molecule-like lead compounds. As a result, the molecular distribution in the MOSES dataset adheres more closely to desirable drug-like properties. Notably, the molecular properties within the MOSES dataset align more closely with those of actual drugs, featuring properties, such as logP lower than 7 and greater than 3.5. Given the wider range of molecular property distribution within the GuacaMol dataset, it was utilized for testing the generation of molecules with specified properties. In contrast, the MOSES dataset, closely mimicking attributes of real-world drugs, was employed to test the generation of molecules with designated scaffolds and properties. In both test scenarios, 10,000 molecules were generated using the model for evaluation. We employed the RDKit to calculate molecular properties and extract Bemis–Murcko scaffolds and four properties of the molecule, including Synthetic Accessibility Score, Quantitative Estimation of Drug-likeness, lipophilicity, and Topological Polar Surface Area.

### *4.6. Metrics*

We used six metrics to assess the effectiveness as well as the diversity of generated molecules by the model, and here is what the metrics mean:

- Valid: Valid pertains to the valid portions within the generated molecules based on SMILES syntax and atomic valency rules. We consider a molecule valid when the generated SMILES can be analyzed using an RDKit. A high valid score indicates that the model has learned the accurate representation of molecules and their chemical properties.
- Unique: Unique specifies that it is a case of duplicates in the generated molecule. If the newly generated molecule has not been generated before, then it is considered ideal. A lower uniqueness score suggests that the model is generating repetitive or redundant molecules.
- Novelty: Novelty refers to the segments present in the generated valid and unique molecules that are absent in the training dataset. This metric is employed to determine whether the model is overfitting, signifying that it has memorized the training data without generalizing to unseen molecules.
- Internal Diversity (IntDivp): Internal Diversity evaluates the similarity between generated molecules. As shown in Equation (8), *s*1 and *s*2 denote two molecules, and *T*

represents Tanimoto similarity [\[48\]](#page-15-24). This entails similarity comparisons between all pairs of molecules within the generated set (*S*). The parameter *p* can be either 1 or 2.

$$
IntDiv_p(S) = 1 - \sqrt[p]{\frac{1}{|S|^2} \sum_{s1, s2 \in S} T(s1, s2)^p}
$$
 (8)

• Frechet ChemNet Distance (FCD): This metric tests the similarity of the generated molecular data to the training molecular data. As shown in Equation (9), where *µ<sup>G</sup>* is the mean and  $\Sigma_G$  is the covariance of the distribution *G*. In the same way as Bagal et al. [\[36\]](#page-15-12) for the Guacamol data set, the final value is −0.2 power of *FCD*.

$$
FCD(G, D) = ||\mu_G - \mu_D||_2 + Tr(Σ_G + Σ_D - 2(Σ_GΣ_D)^{1/2})
$$
\n(9)

• KL Divergence: KL Divergence was computed using a plethora of physicochemical descriptors for both the generated molecules and the training set. Lower values denote a proficient learning of the distribution of these properties by the model. The calculation is shown in Equations (10) and (11). Here, *k* represents the *k*th properties.

$$
D_{KL}(P,Q) = \sum_{i} P(i) \log \frac{P(i)}{Q(i)} \tag{10}
$$

$$
S = \frac{1}{k} \sum_{i}^{k} \exp(-D_{\text{KL},i})
$$
\n(11)

#### *4.7. Baselines*

We juxtaposed our model against seven distinct baseline models, encompassing Char-RNN [\[49\]](#page-15-25), VAE [\[50\]](#page-15-26), AAE [\[51\]](#page-15-27), JTN-VAE [\[52\]](#page-15-28), LatentGAN [\[53\]](#page-16-0), ORGAN [\[54\]](#page-16-1), and MolGPT.

#### **5. Conclusions**

The primary contribution of this work lies in the successful integration of graph structures into an NLP-inspired sequence-to-sequence framework. This novel approach not only harnesses the power of language modeling but also seamlessly captures and exploits the topological features of molecules. Our model demonstrates exceptional performance in generating molecules with higher validity, uniqueness, and diversity. Unconditional molecule generation, property molecule generation, and scaffold-based molecule generation experiments all demonstrate the performance of our model. Through extensive experimentation and evaluation of established datasets, we showcase GraphGPT making significant strides in achieving more advanced structure-aware molecular generation techniques. Our method facilitates the construction of large-scale molecular screening libraries and the generation of lead compounds with specified properties, thereby propelling advancements in AI-driven drug discovery and related fields.

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