

## Probing the Yeast Phase-Specific Expression of the *CBP1* Gene in *Histoplasma capsulatum*

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***Histoplasma capsulatum* is a pathogenic fungus that exists in two distinct forms. The saprophytic mycelial phase inhabits moist soil environments; once inhaled, hyphae and conidia convert to a unicellular yeast phase that is capable of parasitizing macrophage phagolysosomes. Yeasts cultures, but not mycelial cultures, release large quantities of a calcium-binding protein (CBP) which may be important in calcium acquisition during intracellular parasitism. In this study, we show that the gene encoding CBP (*CBP1*) is transcriptionally regulated. To identify promoter sequences that are important for yeast phase-specific activity, we created a series of fusions between successively truncated *CBP1* 5' untranslated regulatory sequences and the *Escherichia coli lacZ* gene. The fusions were constructed on a telomeric shuttle plasmid that can replicate autonomously in the fungus. By assaying for  $\beta$ -galactosidase activity from *H. capsulatum* transformants, we identified a 102-bp region that mediates promoter activation and yeast phase promoter activity. Base pair substitution analysis suggests that the sequences between 839 and 877 bp upstream of the start codon are the most important for this positive regulation.**

The dimorphic fungus *Histoplasma capsulatum* causes a pulmonary infection that can develop into potentially life-threatening disseminated disease, especially in an immunocompromised individual. Infection occurs when the host inhales aerosolized conidia and hyphal fragments from contaminated soil. In the 37°C environment of the lung, the fungus converts to a unicellular yeast phase. This form of the organism is able to parasitize alveolar macrophages, which can act as a vehicle for dissemination throughout the host (6). The transition from the saprophytic mycelial phase to the parasitic yeast phase is thought to be an essential prerequisite for pathogenicity.

*H. capsulatum* yeasts reside within macrophage phagolysosomes (7), where the environment is unsuitable for survival of most microorganisms. Microbes that live in a phagolysosome must develop mechanisms to deal with this hostile environment. Little is known about the mechanisms by which *H. capsulatum* survives and proliferates within this particular niche, but it is likely that some of these mechanisms are yeast phase specific. The first such candidate to be identified is an abundant, extracellular protein that is produced by yeast cultures but not by mycelial cultures (3). This protein is called calcium-binding protein (CBP) because of its ability to bind  $^{45}\text{CaCl}_2$  in vitro, and we have hypothesized that this protein is important for  $\text{Ca}^{2+}$  acquisition by yeasts inside phagolysosomes. In support of this hypothesis, we found that yeasts, unlike mycelia, grow well in a low- $\text{Ca}^{2+}$  environment (3) and that purified CBP facilitates the uptake of  $^{45}\text{CaCl}_2$  by yeasts (2). Calcium levels in phagolysosomes have never been measured directly, but studies with *Salmonella typhimurium* suggest that calcium concentrations in this compartment are low (8). *S. typhimurium* has a two-component regulatory system that represses gene expression in response to high concentrations of magnesium or

calcium. These repressed genes are normally expressed when *S. typhimurium* inhabits macrophage phagolysosomes, implying that this environment is low in both magnesium and calcium.

A limited number of *Histoplasma* genes which are transcribed only in the yeast phase have been identified (5, 9, 12, 15), but little is known about the mechanisms of their regulation. Until recently, the molecular tools necessary for the characterization of promoter regulatory sequences have not been available. Our laboratory has developed an *Escherichia coli*-*H. capsulatum* shuttle plasmid which exists as a circular replicon in *E. coli* and as a linear, telomeric plasmid in *H. capsulatum* (17). The plasmid is maintained in *H. capsulatum* by uracil prototrophy selection. A UV-mutagenized, uracil-auxotrophic strain, which has a defect that can be complemented by the *Podospora anserina URA5* gene (*PaURA5*), serves as the host strain (21). Plasmids are linearized to expose the telomeric sequences at the ends of the DNA and then are introduced into the host strain by an efficient electroporation procedure. Nearly all transformants retain the DNA as linear, extrachromosomal plasmids that replicate at a high copy number and are unmodified except for the addition of extra telomeric sequences at the ends (17). Using this plasmid as a vector, our laboratory has also developed *lacZ* as a reporter gene in *H. capsulatum* (18). The original construct showed that an in-frame insertion of the *E. coli lacZ* gene into the *H. capsulatum URA5* gene (*HcURA5*) (18a) resulted in the production of  $\beta$ -galactosidase.

In this study, we examine the genetic basis of phase-specific production of CBP by *H. capsulatum*. We show by Northern blot analysis that the *CBP1* gene is transcriptionally regulated. To identify the 5' untranslated region (UTR) sequences that promote yeast phase-specific activity, we constructed a shuttle plasmid with a promoterless *lacZ* gene. Various versions of the *CBP1* 5' UTR sequence were cloned upstream of *lacZ* so that their ability to promote *lacZ* expression could be evaluated in *H. capsulatum*. We show that a 102-bp region mediates promoter activation and that promoter sequences up to and in-

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cluding this region are sufficient for yeast phase-specific promoter activity. Further base substitution analysis suggests that the sequences between -839 and -877 are the most important for activation. This study provides the first functional evidence for positive regulation of a phase-specific gene in a dimorphic fungal pathogen.

#### MATERIALS AND METHODS

***H. capsulatum* strains and culture conditions.** A UV-mutagenized (20) isolate of ATCC strain G217B was used for all experiments described here. This strain designated G217Bura5-23 is a uracil auxotroph that has the same growth rate as its parent and parasitizes macrophages *in vitro* as effectively as its parent (15a). Growth of this strain requires supplementation with 100 µg of uracil per ml of medium.

*H. capsulatum* yeasts were grown at 37°C in HMM broth or on HMM plates (19). Liquid cultures were grown in an orbital shaker in an atmosphere of 95% air–5% CO<sub>2</sub> and maintained by a 1:25 dilution into fresh HMM every 3 days. Mycelial cultures were grown in the same media but at 25°C. For the Northern blot experiments, 30-ml broth cultures were inoculated heavily with mycelia and incubated for 8 days on an orbital shaker in ambient air. The cultures used for the β-galactosidase assays were inoculated with a small mycelial patch and incubated in the same manner for 4 weeks.

**Preparation of total RNA from *H. capsulatum*.** Yeast RNA was extracted by the method of Lodge et al. (14). Briefly, a 3-day 25-ml HMM broth culture was harvested by centrifugation and washed in an equal volume of ice-cold RNA buffer (200 mM Tris-HCl, 50 mM NaCl, 10 mM EDTA [pH 7.4]). After resuspension in 8 ml of RNA buffer, we added 15 ml of a phenol-chloroform-isoamyl alcohol solution (24:24:1) along with 5 ml of acid-washed glass beads. This mixture was vortexed for 2 min with periodic chilling on ice. After centrifugation at 10,000 × g for 10 min, the aqueous phase was recovered and then extracted two more times with 15 ml of the phenol-chloroform-isoamyl alcohol solution. Total RNA from the supernatant was precipitated by addition of an equal volume of 100% ethanol and centrifugation at 12,000 × g for 10 min. The precipitated RNA was washed two times with 75% ethanol, dried, resuspended in diethyl pyrocarbonate-treated deionized water, and stored at -20°C.

Mycelial RNA extracts were prepared as described by Harris et al. (11). Organisms were grown in HMM broth, pelleted at 500 × g, washed, and resuspended in UNET buffer (8 M urea, 0.15 M NaCl, 1 mM EDTA, 0.1 M Tris [pH 7.5]). Phenol was added to a final concentration of 20%, and the organisms were Dounce homogenized with periodic chilling on ice. Sodium dodecyl sulfate was added to a final concentration of 2%, and the mixture was extracted repeatedly with phenol. RNA was precipitated, dried, and resuspended as described above.

**Northern analysis.** Yeast and mycelial RNAs (18 µg) were separated by 0.9% agarose gel electrophoresis. RNA was transferred to a Nytran membrane (Schleicher and Schuell, Inc., Keene, N.H.), using a vacuum blotter (Bio-Rad Laboratories, Hercules, Calif.). The filter was probed with either *H. capsulatum CBP1* coding sequence or ribosomal DNA sequences (17a) which were labeled by random priming (Rediprime kit; Amersham Life Science, Inc., Arlington Heights, Ill.). After overnight hybridization at 68°C and subsequent washing of the filters, radioactivity was detected with a Bio-Rad phosphorimager.

**Primer extension.** Primer extension reactions were done with the Promega (Madison, Wis.) Avian myeloblastosis virus reverse transcriptase primer extension system. Approximately 18 µg of total RNA, prepared from a G217Bura5-23 transformant which carried either pJBP40 or pJBP41, was used in the reaction. Primer LACZPE was used to identify the 5' end of the *CBP1-lacZ* fusion transcripts, and primer CBPPE was used to map the 5' end of the endogenous *CBP1* transcript (Table 1). Sequencing reactions were performed with the T7 Sequenase version 2.0 DNA sequencing kit (Amersham Life Science), using primer CBPPE and plasmid pJB1 (2) as the template. Radioactivity was detected using a Bio-Rad phosphorimager.

**Sequencing.** We obtained additional 5' *CBP1* sequence either by manual sequencing with the T7 Sequenase version 2.0 DNA sequencing kit or by a commercial automated sequencing service provided by Joan Strange at the University of Montana. Both strands of the DNA were sequenced. The *CBP1* 5' UTRs of plasmids pJBP39 and pJBP40 were sequenced to ensure that no misincorporations occurred during amplification. These plasmids were sequenced by a commercial automated sequencing service provided by Retrogen (San Diego, Calif.).

**Plasmid construction.** Plasmid pJBP33 was constructed in three stages. The first stage began by moving *HcURA5* 3' termination sequences into the polylinker of pUC19. The polylinker provided additional restriction sites that would ultimately be used for cloning the *lacZ* gene and 5' UTR sequences upstream of the *lacZ* gene. To facilitate the insertion of *HcURA5* sequences into the polylinker, a fusion was made by a multistep PCR procedure (4). First the pUC19 polylinker was amplified with primers PUC19F and UCURAT, and the *HcURA5* region was amplified from pWU75 (18a) with primers URATERMF and SPH1URA (Table 1). Then these PCR products were used as the template for a third amplification with primers PUC19F and SPH1URA. The final PCR product was digested with *EcoRI* and *SphI* and cloned into a *EcoRI/SphI*-digested pUC19. A *BglII* site was created upstream of the *HcURA5* sequences by cloning a *BglII* linker (Table 1)

TABLE 1. Primers used

Name	Sequence (5'-3')
CBPPE	GGAGCGATAACCTTGGAGAAAAG
LACZPE	CGACGGGATCTTCAGCTCTAGA
PUC19F	ATACCGCATCAGGCGCC
UCURAT	CCGAATTGTGAGGTCGACTCTAGAGG
URATERMF	ACCTGCACAATTCGGCGTCCCACTGC
SPH1URA	ACATGCATCGCGATGTGCTGTATCGCATCG
BAMURAP	CGGGATCCAAGCTTTATATAAATCTGAGAG
XBAURAP	GCTCTAGACATTGTAGCAATCCCGCT
CBP1	CGGGATCCGAACGAACCAATCAGAACAC
CBP5	GCTCTAGACATTTTGAATGACGAAGTGG
CBP8	CGGGATCCGCGTAATGAAAAACGAAGTGC
CBP9	CGGGATCCCTATTAATATCGTACAGTA
CBP10	CGGGATCCTACCCGCCATAGCGAATTG
CBP12	CGGGATCCTTATACTGATGTCTGAACAAT
CBPAR	GACATGCACGTAGTCTGGCGGGTATGACTCTTG
CBPAF	GACTACGTGCATGTCTTTTCAATGTTGCAAGGGCTAA
CBPBR	GACATGCACGTAGTCAACCATGAAAGAAGCCAATTG
CBPBF	GACTACGTGCATGTCTAATGTTCAACACAAAAGTTC
CBPCR	GACATGCACGTAGTCTGAACATTAAGTTTAGCCC
CBPCF	GACTACGTGCATGTCTAACCAATGTCACACTAATT
CBPDR	GACATGCACGTAGTCCCATTGGTTAACTTGAACCT
CBPDF	GACTACGTGCATGTCTCTATTAATATCGTACAGT
<i>BglII</i> linker	GAAGATCTTC

in the *HincII* site of the modified polylinker. This site would eventually be used for cloning of the *lacZ* gene. The second stage was to move a portion of the polylinker that contained *HcURA5* sequences and additional upstream restriction sites into the telomeric plasmid, pWU55 (18). A 360-bp *BamHI/SphI* fragment of the modified polylinker was cloned into the *BamHI* and *SphI* sites of pWU55. Briefly, pWU55 was created by cloning into the *HpaI* site of pWU1 (16) a cassette containing the Tn5 kanamycin resistance gene flanked on both ends with telomeric repeat sequences. The final stage was to clone the 3.1-kb *BamHI lacZ* gene from pWU77 (18) into the *BglII* site of the pWU55 derivative to create pJBP33.

Insertion of the 5' UTR sequence from *CBP1* or *HcURA5* into the *BamHI/XbaI* sites of pJBP33 required (i) amplification of the sequence with a forward primer that has a *BamHI* site at the 5' end and a reverse primer that has a *XbaI* site at the 5' end, (ii) digestion of the amplified fragment with *BamHI* and *XbaI*, and (iii) ligation of the fragment with *BamHI/XbaI*-digested pJBP33. Primers BAMURAP and XBAURAP (Table 1) were used to amplify a 395-bp fragment of the *HcURA5* 5' UTR from pWU75. This fragment was cloned into pJBP33 to create pJBP20. The template for amplification of the *CBP1* 5' UTR was pJB1 (2), and the reverse primer was always CBP5. The forward primers, sizes of the amplified products, and resulting plasmids are as follows: CBP1, 564 bp, pJBP35; CBP8, 685 bp, pJBP38; CBP9, 782 bp, pJBP39; CBP10, 883 bp, JBP40; CBP12, 1,102 bp, pJBP41 (Table 1). The DNA polymerase used in all of the amplification reactions was *Pfu*, the high-fidelity enzyme from Stratagene.

To create the four 15-bp substitutions in the *CBP1* 5' UTR, a multistep PCR protocol was used (4). First, the region 5' to the substitution was amplified with forward primer CBP12 and a reverse primer that hybridized just upstream of the substituted region. This primer also had a nonhybridizing 5' tail of the sequence 5'-GACTACGTGCATGTC-3', which we had chosen to replace the wild-type sequence. Next, the region 3' to the substituted bases was amplified with the reverse primer CBP5 and a forward primer that hybridized just downstream of the substituted region with the same nonhybridizing 5' tail. These two PCR products were used as the template in a third amplification with CBP12 as the forward primer and CBP5 as the reverse primer. The final product was digested with *BamHI* and *XbaI* and cloned into pJBP33 as described above. The new sequence created a *BsaAI* site in the *CBP1* 5' UTR, and so a digest of the new plasmids with *BsaAI* confirmed the presence and location of this sequence. The reverse primer for the first amplification and the forward primer for the second amplification for each plasmid are as follows: CBPAR and CBPAF for pJBP44; CBPBR and CBPBF for pJBP45; CBPCR and CBPCF for pJBP46; and CBPDR and CBPDF for pJBP47 (Table 1).

**Electrotransformation of DNA into *H. capsulatum*.** Prior to its introduction into yeasts, each plasmid (approximately 3 µg) was linearized by digestion with *PacI*. The linear plasmid was purified away from the fragment carrying the kanamycin resistance gene by agarose gel electrophoresis, and then the plasmid was ethanol precipitated to concentrate it. Plasmid DNA was resuspended in 2 µl of sterile deionized water. Introduction of DNA into yeasts was achieved by an electroporation procedure (18). Briefly, 5 ml of a 2-day culture was centrifuged at 300 × g for 5 min. The supernatant was discarded, and the yeasts were resuspended in 5 ml of warm (37°C) 10% (wt/vol) mannitol. Again the yeasts

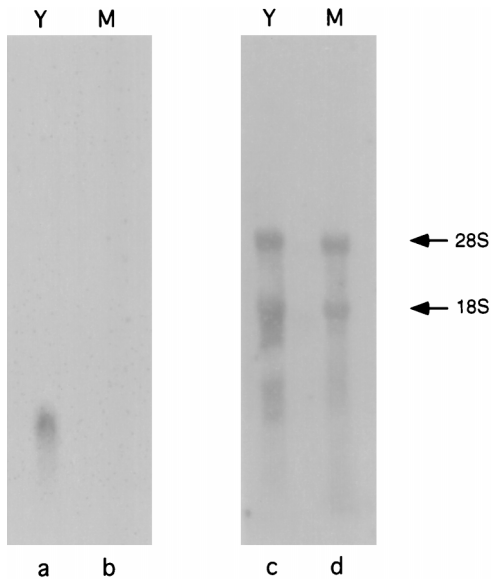


FIG. 1. Northern analysis of yeast (Y) and mycelial (M) total RNAs. Lanes a and b were probed with *CBPI* coding sequence, and lanes c and d were probed with rRNA sequences. The arrows indicate the 28S and 18S rRNA bands.

were recovered by centrifugation as described above and resuspended in 200  $\mu$ l of 10% mannitol. The yeasts were transferred to 0.2-cm cuvettes and mixed with the resuspended plasmid at room temperature. The electroporations were done at a capacitance of 25  $\mu$ F, a resistance setting of 600  $\Omega$ , and a voltage of 0.75 kV. Time constants were between 8 and 12 ms. The electroporated cells were directly plated onto HMM without uracil and incubated at 37°C for about 2 weeks.

**Cytoplasmic extracts and assays for  $\beta$ -galactosidase and total protein.** *H. capsulatum* yeasts from a 5-ml 3-day culture were pelleted by centrifugation at 800  $\times$  g for 3 min. Yeasts were washed once in 5 ml of 0.1 M sodium phosphate buffer (pH 7.5), pelleted, and resuspended in 1 ml of 0.1 M sodium phosphate buffer with 0.75 ml 0.5-mm zirconia/silica beads (Biospecs, Bartlesville, Okla.). Prior to disruption in a Mini-8 Beadbeater (Biospecs), the resuspended yeasts were placed on ice for 4 min. Disruption was achieved in two 1-min beatings separated by a 4-min chilling on ice. Extracts were then centrifuged at 4°C for 30 min at 16,000  $\times$  g. The supernatants of each extract were recovered and stored on ice.

*H. capsulatum* mycelial extracts were prepared by pouring a culture through a Millipore filtering manifold with a 2.5-cm-pore-size glass fiber filter. The harvested mycelia were washed once with 0.1 M sodium phosphate buffer and then transferred into a microcentrifuge tube for disruption as described above for yeasts.

To determine  $\beta$ -galactosidase activity, 10  $\mu$ l of each extract was diluted 1:10 in ice-chilled microtiter wells containing 1 mM  $MgCl_2$ , 4.5 mM  $\beta$ -mercaptoethanol, 0.4 mg of *o*-nitrophenyl- $\beta$ -D-thiogalactopyranoside per ml, and 10 mM sodium phosphate buffer (pH 7.5) (18). The enzyme kinetics of each reaction was measured by a Molecular Devices Precision Microplate Reader. Reaction mixtures were maintained at 37°C while the optical density (OD) at 405 nm was determined every 30 s for 15 min.  $\beta$ -Galactosidase standards, prepared by diluting  $\beta$ -galactosidase (Sigma grade VIII) in 0.1 M sodium phosphate buffer to concentrations of 0 to 3,000 mU/ml, were assayed to generate a standard curve for the conversion of  $V_{max}$  (mOD/minute) to milliunits of  $\beta$ -galactosidase activity per ml. The Bio-Rad protein assay was used to standardize total protein in 5- $\mu$ l aliquots of each extract.

**Nucleotide sequence accession number.** The sequence data shown in Fig. 3 have been submitted to the DDBJ/EMBL/GenBank databases under accession no. AF006209.

## RESULTS

**The *CBPI* gene is transcriptionally regulated.** Previously, Batanghari and Goldman (3) showed that CBP is produced by yeast cultures, but not mycelial cultures, of *H. capsulatum*. To determine whether this phase-specific production is regulated at the level of *CBPI* transcription, a Northern blot analysis was performed with total RNA that had been isolated from either yeast or mycelial *H. capsulatum* (Fig. 1). A ribosomal DNA probe indicated that equal amounts of yeast and mycelial RNA

were present in all lanes. However, the *CBPI* probe hybridized only with yeast phase RNA, and no signal was apparent with mycelial extracts. These results clearly indicate that the *CBPI* promoter is much more active in the yeast phase than in the mycelial phase.

**Sequence analysis and mapping of the transcription start site.** Since the *CBPI* promoter is developmentally regulated, a thorough analysis of the 5' UTR could reveal sequences important in phase-specific gene activation and/or repression. The first step was to obtain additional 5' sequence and to map the transcription and translation start sites. Primer extension analysis revealed two transcription start sites of equal intensity (Fig. 2). A potential TATA box is located 47 bp upstream from the first start site of transcription, but there is no apparent CAAT box (Fig. 3). Previously, we were unable to identify the translation start site for *CBPI* because there are two potential ATG codons and the mature CBP protein is a processed form that lacks the amino terminus. However, our primer extension results indicate that the second ATG codon must be the start site of translation. Moreover, the sequences surrounding this codon (TCAA ATG CT) show good homology to a consensus Kozak sequence for filamentous fungi (TCA[C/A][A/C]ATG [G/T]C) (1).

**Telomeric reporter plasmids to study CBP1 regulation.** With more than 1 kb of confirmed sequence information, we constructed a series of plasmids to identify the essential regulatory sequences in the *CBPI* 5' UTR. For this purpose, we created an *E. coli-H. capsulatum* shuttle vector that carried a promoterless *lacZ* gene (Fig. 4). To express the *lacZ* gene efficiently in *H. capsulatum*, we fused it to the 3' region of the *HcURA5* gene, which supplied termination sequences. Plasmid pJBP33 and its derivatives were linearized with *PacI* prior to electroporation into *H. capsulatum*. The presence of linear,

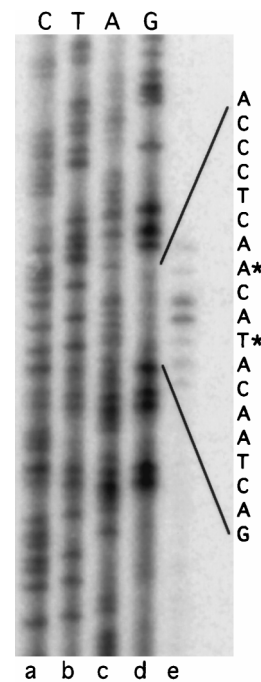


FIG. 2. Primer extension analysis of the *CBPI* transcript. Lanes a to d are chain termination sequencing reactions with the termination base indicated at the top; lane e is the primer extension reaction. The bases located at the 5' ends of the *CBPI* transcript are indicated by asterisks.



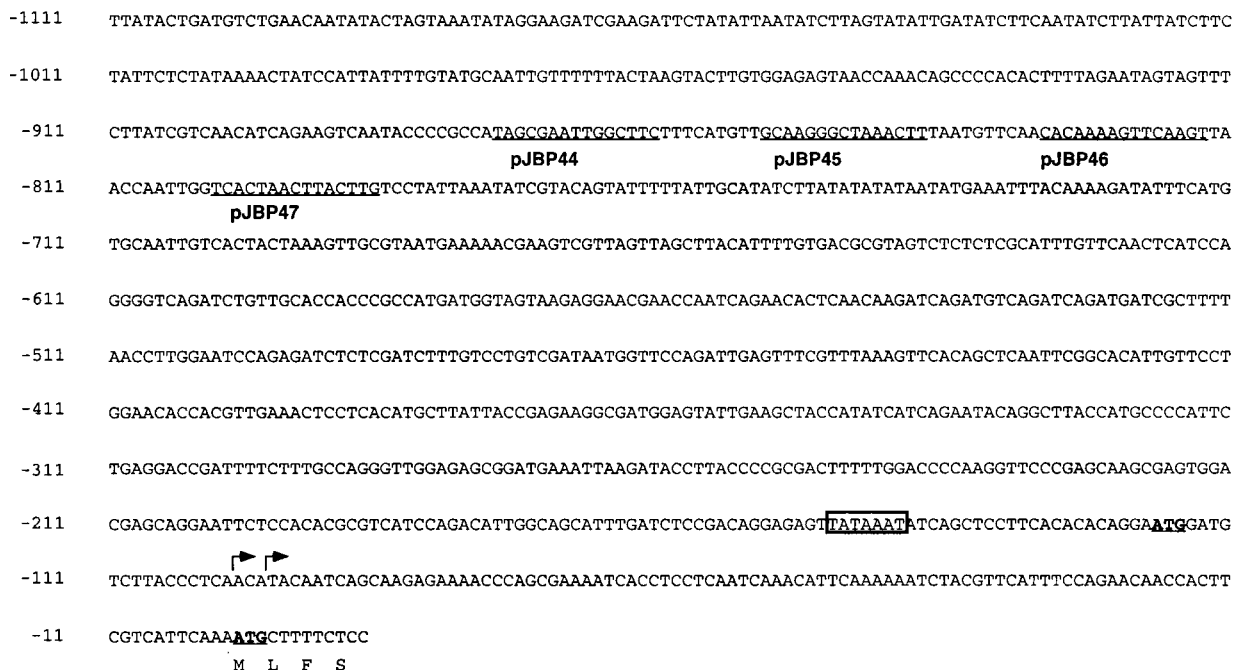


FIG. 3. The 5' UTR sequence of *CBP1*. The sequence is numbered so that the translation start site is +1. The two ATG codons are underlined and in boldface. The first amino acids of CBP are indicated below the sequence. Arrows mark the two transcription start sites, and a potential TATA sequence is boxed. Plasmids carrying 15-bp sequence substitutions are indicated below the sequences (underlined) that were altered.

extrachromosomal plasmids in *Histoplasma* transformants was confirmed by Southern blot analysis (data not shown).

To identify the minimum region of the *CBP1* 5' UTR that is necessary for yeast phase activity, we prepared successively truncated versions of the promoter, up to and including the start codon, and cloned them upstream of the *lacZ* gene at the *Bam*HI and *Xba*I sites of pJBP33 (see Materials and Methods) (Fig. 5). Cell extracts from yeast transformants that carried these plasmids were assayed for  $\beta$ -galactosidase activity and total protein so that enzyme activity could be normalized to protein concentration. For a positive control, *HcURA5* 5' UTR sequences were also cloned into pJBP33, and *Histoplasma* transformants with this plasmid were assayed. The observation that pJBP33 transformants had no detectable  $\beta$ -galactosidase activity confirmed that there was no fortuitous promoter activity originating in the parent plasmid to drive *lacZ* expression (Fig. 6). When we analyzed the series of truncated *CBP1-lacZ* fusions, we found that 786 bp of *CBP1* 5' UTR (pJBP39) had some promoter activity, but an additional 102 bp of upstream (pJBP40) increased promoter activity about 4.5-fold. However, a construct with an additional 223 bp (pJBP41) had approximately the same promoter activity as the pJBP40 construct.

We performed primer extension analysis on RNA from the pJBP40 and pJBP41 yeast transformants to determine whether transcription of the *CBP1-lacZ* fusions was initiating at the same location as in the endogenous *CBP1* gene. We found that transcription was originating at the same two bases in the *lacZ* fusions, but the intensity of the *CBP1-lacZ* fusion signal was weaker than that of the endogenous *CBP1* gene (data not shown).

To determine whether 887 bp of *CBP1* 5' UTR was sufficient for phase-specific promoter activity, we compared  $\beta$ -galactosidase activity from pJBP40 transformants in the yeast phase to that of the same transformants in the mycelial phase. The *HcURA5-lacZ* fusion (pJBP20) was used as a constitutive

promoter control. As expected, the promoter activities from *HcURA5* sequences were similar in the two phases. In contrast, the  $\beta$ -galactosidase activity from the minimum *CBP1* promoter sequence (pJBP40) was about 12-fold greater in the yeast phase than in the mycelial phase (Fig. 7). Therefore, this portion of the *CBP1* 5' UTR also corresponds to the region responsible for yeast phase-specific promoter activity.

**Mutational analysis of the *CBP1* 5' UTR.** In an effort to confirm and further define the sequences that are important for *CBP1* promoter activity, we substituted 15 bp of sequence in four evenly spaced regions between -887 and -785 of the *CBP1* 5' UTR. These substitutions were made in the largest

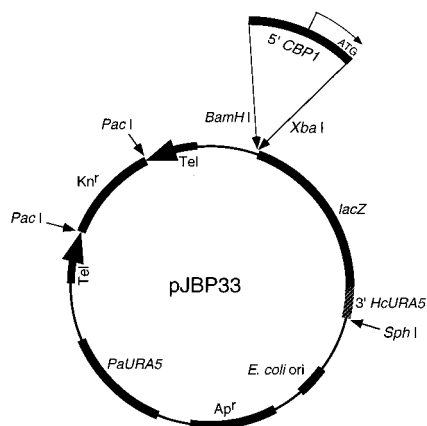


FIG. 4. Expression plasmid pJBP33. Locations of relevant coding and regulatory sequences are indicated. Ap<sup>r</sup>, ampicillin resistance gene; Kn<sup>r</sup>, kanamycin resistance gene; Tel, telomeric sequences. Large arrows indicate orientations of the telomeric sequences. Amplified 5' UTR sequences were cloned into the *Bam*HI and *Xba*I sites as depicted (see Materials and Methods).

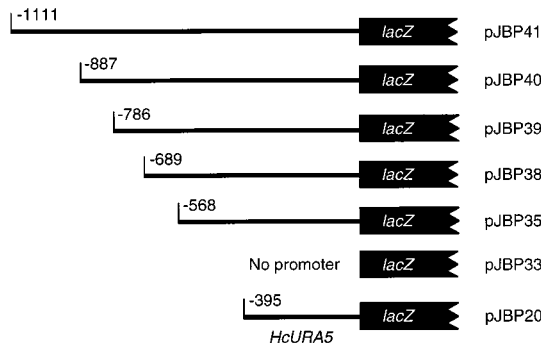


FIG. 5. Promoter-*lacZ* fusions. The thin lines represent 5' UTR sequences from either *CBP1* (pJBP35, -38, -39, -40, and -41) or *HcURA5* (pJBP20) which were fused to the *lacZ* gene by being cloned into pJBP33. The numbers indicate how many base pairs upstream of the start codon were included.

length of *CBP1* 5' UTR (1,110 bp) and cloned into pJBP33 so that the promoter activity of these mutated sequences could be assessed. We chose to substitute sequences rather than to delete them so that we would not alter the spacing between other promoter sequences. The stretches of 15 bp wild-type sequences were substituted with an arbitrary sequence, 5'-GAC TACGTGCATGTC-3'. The locations of these substitutions and the plasmids in which these versions of the promoter were cloned are shown in Fig. 3. Promoter activities of these mutant sequences and the wild-type sequence were assayed in the yeast phase of *H. capsulatum*. Although none of the substituted sequences had wild-type activity, the two constructs with the most 5'-proximal substitutions were much less active, with about 8-fold (pJBP44) and 10-fold (pJBP45) decreases in activity (compared to the wild-type level). The other two substituted sequences had approximately threefold (pJBP46) and twofold (pJBP47) decreases in activity. These results confirm that the sequences between -887 and -786 are important for promoter activity and suggest that the sequences between -877 and -839 are the most important.

## DISCUSSION

Most of the organisms responsible for systemic mycoses are the dimorphic ascomycetes *H. capsulatum*, *Blastomyces derma-*

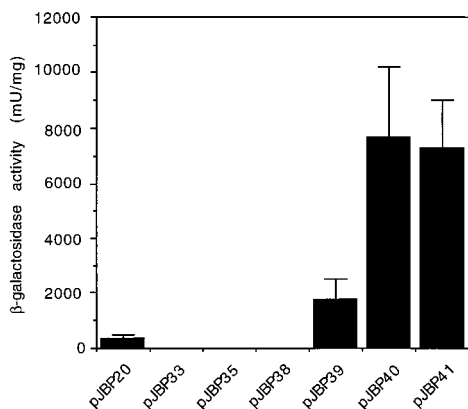


FIG. 6. Yeast phase promoter activity in successively truncated *CBP1* 5' UTR sequences. Each bar represents the relative  $\beta$ -galactosidase activity from at least three *H. capsulatum* transformants that carried the plasmid indicated below the bar. The absence of a bar corresponds to samples with  $\beta$ -galactosidase levels of  $<50$  mU/ml.

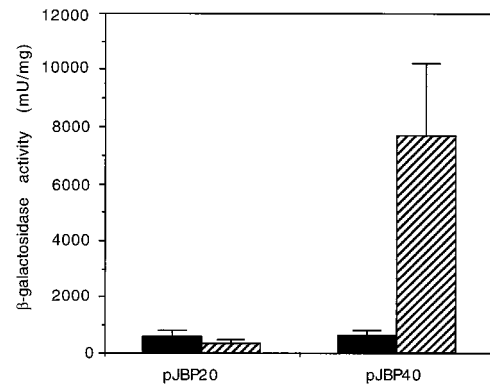


FIG. 7. Phase-specific regulation with the minimum *CBP1* promoter sequence. Each bar represents the relative  $\beta$ -galactosidase activity from at least three *H. capsulatum* transformants that carried the plasmid indicated below the bar. Mycelial phase  $\beta$ -galactosidase activity is represented by solid bars, and yeast phase activity is represented by hatched bars.

*titidis*, *Paracoccidioides brasiliensis*, and *Coccidioides immitis*. Each of these organisms exists in a saprophytic mycelial form outside the host and switches to a parasitic yeast form within the host. This transition undoubtedly requires the expression of a new subset of genes. Because these organisms have traditionally posed severe biological and technical challenges, few molecular genetic tools have been developed for them. Studies to examine regulation of dimorphism have largely been limited to the identification of phase-specific genes or proteins (5, 9, 10, 12, 13, 15). Ours is the first attempt to pinpoint phase-specific promoter elements, with the aid of a reporter gene, in any of these dimorphic fungal pathogens.

The first step toward understanding phase-specific gene regulation is to identify a phase-specific gene. The *CBP1* gene of *H. capsulatum* is an attractive candidate because it encodes an abundant yeast phase-specific product which is hypothesized to play a role in pathogenesis (2, 3). Therefore, we predicted that *CBP1* would have a strong promoter and that it would be transcriptionally regulated, since this is the most common type of regulation. Northern blot analysis confirmed that *CBP1* is transcriptionally regulated, with mycelial phase expression below the limits of detection by this technique. The temperature restrictions on yeast growth (37°C) versus mycelial growth (25°C) do not allow us to distinguish whether *CBP1* expression is merely temperature sensitive or whether regulation is more

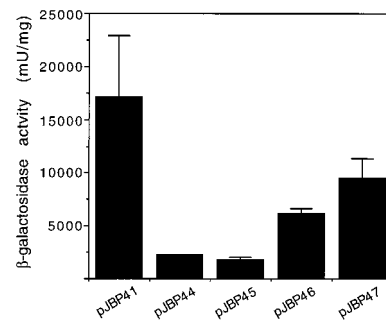


FIG. 8. Yeast phase promoter activity in *CBP1* 5' UTR with substituted sequences (Fig. 3). Each bar represents the relative  $\beta$ -galactosidase activity from at least three *H. capsulatum* transformants that carried the plasmid indicated below the bar.  $\beta$ -Galactosidase activity from the pJBP44 construct is the result of two transformants, and so no error bar is shown.

complex; we therefore refer to *CBP1* transcriptional regulation simply as phase specific.

We then assessed the promoter activity of 5' UTR sequences by shuttling *CBP1-lacZ* fusions into *H. capsulatum* and assaying for  $\beta$ -galactosidase activity. These promoter-*lacZ* fusions were introduced into *H. capsulatum* on a telomeric plasmid because this is the most reliable method available for introducing and maintaining foreign DNA in this organism. The most common fate of transforming nontelomeric DNA into *H. capsulatum* is random integration into the chromosome (21). This event often results in multiple integrations, tandem duplications, and/or rearrangement of the transformed DNA and adjacent sequences. In contrast, electroporation with linearized telomeric plasmids yield transformants that maintain the plasmid extrachromosomally, unmodified except for the possible addition of more telomeric sequences repeats (17). These plasmids are multicopy but the exact copy number is not known, and there is probably some variation in copy number between transformants. This may be the cause for variation in  $\beta$ -galactosidase activity between isolates with the same plasmids. However, based on results of assays for  $\beta$ -galactosidase activity from multiple transformants, we believe that the effects of variation in copy number are minimized.

By assaying for promoter activity of successively truncated *CBP1* 5' UTR fragments in the yeast phase, we identified a 102-bp region, between bp -786 and -887 upstream of the start codon, which significantly increases promoter activity. We also showed that promoter sequences up to and including this region (887 bp) are sufficient for yeast phase-specific activity. These results suggest that the *CBP1* promoter is positively regulated in the yeast phase and that the sequences between -786 and -887 mediate promoter activation. Substitution analysis at four regions of the promoter helped to localize the important sequences more precisely. It is not surprising that all of the promoter constructs containing substituted sequences were less active than the wild-type 1,110-bp promoter, since a 15-bp stretch of substituted bases anywhere in this promoter would likely affect activity to some degree. However, the two most 5' substitutions (pJBP44 and pJBP45) have a dramatic effect on promoter activity (8- and 10-fold less activity, respectively), suggesting that these sequences are necessary for phase-specific promoter activity.

Although these results suggest that the promoter is positively regulated in the yeast phase, we have not ruled out the possibility that the promoter is also regulated by repression in the mycelial phase. Promoter activity from our series of truncated constructs assayed in the mycelial phase (data not shown) shows no evidence of repression. However, repression may be mediated by sequences close to the transcriptional start site, and our constructs would probably be too large to address this question.

The *CBP1-lacZ* fusions have the same start site of transcription as the endogenous *CBP1* gene, which suggests that the same sequences which promote transcription of the endogenous gene also promote transcription in the fusions. However, there was significantly less transcript from the fusions than from the endogenous gene. It is possible that all of the elements required for wild-type promoter activity are not present even in the largest fusion construct (pJBP41). For most genes in filamentous fungi, 400 bp of promoter sequence would be sufficient (1), but regulated promoters could require enhancer elements which may be several kilobases away. It is also possible that the fusion transcript is not as stable as the transcript from the endogenous gene. For instance, the *HcURA5* 3' termination sequences which are fused to *lacZ* may not be optimal and thus could interfere with stability of the transcript.

We have demonstrated that certain *CBP1* promoter sequences are necessary for activation, but the precise mechanism remains undefined. The simplest hypothesis is that a yeast phase *trans*-acting protein binds to the promoter in this region and activates transcription. A more complicated hypothesis is that these sequences are important for the structure of the promoter. If this promoter is regulated by the same mechanism as other yeast phase-specific genes, then we might expect to find similar sequences in the promoters of these genes. Only four genes that are preferentially transcribed in the yeast phase have been reported: *yps-3* (12), *cdc2* (5), *Ole1* (9), and *hsp82* (15). However, there are no reported functional analyses of the promoters for these genes, and a comparison of the available sequences does not reveal any striking similarities (data not shown). Future progress in understanding phase-specific transcription will require the identification of additional regulated genes, a functional analysis of their promoter sequences, and the identification of *trans*-acting regulators.

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