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Sodium‑glucose cotransporter‑2 OPEN inhibitors improve clinical outcomes in patients with type 2 diabetes mellitus undergoing anthracycline‑containing chemotherapy: an emulated target trial using nationwide cohort data in South Korea

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Novel hypoglycemic agents, sodium-glucose cotransporter 2 inhibitors (SGLT2i), have shown protective efects against anthracycline (AC)-induced cardiotoxicity and exhibit partial anticancer efects in animal models. However, clinical evidence for this is scarce. This study aimed to evaluate whether SGLT2i improve the clinical outcomes of patients with type 2 diabetes mellitus (T2DM) undergoing AC-containing chemotherapy. A total of 81,572 patients who underwent AC chemotherapy between 2014 and 2021 were recruited from a nationwide Korean cohort. Patients were classifed into three groups: patients with T2DM taking SGLT2i (n= 780) and other hypoglycemic agents excluding SGLT2i (non-SGLT2i; n= 3,455) during AC chemotherapy, and the non-DM group (n= 77,337). The clinical outcome was a composite of heart failure hospitalization, acute myocardial infarction, ischemic stroke, and death. After propensity score matching, 779 SGLT2i users were compared with 7800 non-DM patients and 2,337 non-SGLT2i users. The SGLT2i group had better composite outcomes compared with the non-DM group (adjusted hazard ratio [HR]= 0.35, 95% confdence interval [95% CI] = 0.25–0.51) and compared with the non-SGLT2i group (adjusted HR= 0.47, 95% CI = 0.32–0.69). In conclusion, SGLT2i may contribute to improving clinical outcomes in patients with T2DM undergoing AC-containing chemotherapy, through an emulated target trial using Korean nationwide cohort data.

As anticancer therapies advanced over the past decades, cancer-related mortality has decreased but the risk of cancer therapy-related cardiotoxicity has become an emerging concern in cancer survivors^{1[,2](#page-7-1)}. In particular, anthracyclines (ACs) are efective chemotherapeutic agents for the treatment of several solid organ cancers and hematologic malignancies but are well known to cause dose-dependent cardiac toxicity^{[2](#page-7-1)}.

Previous experimental and clinical studies demonstrated that sodium-glucose cotransporter 2 inhibitors (SGLT2i) decrease inflammatory pathways^{[3](#page-7-2),[4](#page-7-3)} and improve endothelial function⁴ and metabolic pathways^{[5,](#page-7-4)[6](#page-7-5)}.

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Furthermore, the use of SGLT2i in patients with type 2 diabetes mellitus (T2DM) was associated with reduced arrhythmia^{[7](#page-7-6)}, atherosclerosis⁸, and major adverse cardiovascular (CV) events, including acute myocardial infarction $(AMI)^9$ $(AMI)^9$, heart failure $(HF)^{10,11}$ $(HF)^{10,11}$ $(HF)^{10,11}$ $(HF)^{10,11}$ $(HF)^{10,11}$, and CV death^{10,11}. Similarly, in doxorubicin (DOX)-treated experimental models, SGLT2i reduced cardiotoxicity by reducing infammatory cytokine expression, myocardial fbrosis, and apoptosis $12-15$. Recently, a retrospective study showed that the use of SGLT2i in patients with diabetes mellitus (DM) undergoing AC chemotherapy decreased CV events and mortality compared to those in non-SGLT2i users¹⁶. However, considering that the previous research was conducted with a small sample size, evidence for this is still limited. Tus, in this study, we investigated whether using SGLT2i during AC chemotherapy in patients with T2DM improves clinical outcomes compared with: (1) patients without DM and (2) patients with T2DM who are taking other oral hypoglycemic agents (OHA) excluding SGLT2i (non-SGLT2i), using the Korean nationwide cohort.

Methods

Data sources, study population, and design

Tis study used the nationwide claims data from the Health Insurance Review and Assessment (HIRA) Service database of South Korea. It provides demographic and diagnostic data based on the International Classifcation of Disease-10th Revision-Clinical Modifcation diagnostic codes, electronic data interchange procedure codes, and mortality information for the entire Korean population.

A detailed fow chart of the study is shown in Fig. [1](#page-1-0). Patients aged≥18 years who were newly diagnosed with cancer and underwent AC-containing chemotherapy between January 2014 and December 2021 were recruited. The index date was defined as the date on which the ACs were first administered.

Exclusion criteria were as follows: patients diagnosed with cancer in 2013 or earlier; patients with metastasis or malignancy on other sites on the index date; patients with preexisting signifcant cardiac diseases, including cardiac arrest, myocardial infarction or ischemic heart disease, HF or cardiomyopathy, cardiac valve diseases, and atrial and ventricular arrhythmias; patients with a history of severe or decompensated systemic diseases, including severe lung diseases, end-stage renal diseases or dialysis, and liver cirrhosis or hepatic failure; patients with preexisting stroke; patients with type 1 DM; users of insulin or glucagon-like peptide-1 receptor agonists; and patients with change of SGLT2i within four months from the index date.

The patients were classified into three groups: those with and without SGLT2i use at the date of AC chemotherapy initiation among patients with T2DM (SGLT2i and non-SGLT2i groups) and those without DM

Figure 1. Study fow chart. DM—diabetes mellitus; GLP1 RA—glucagon-like peptide-1 receptor agonist; SGLT2i—sodium-glucose cotransporter-2 inhibitor.

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(non-DM group). Two propensity score-matched cohorts were constructed to compare the clinical outcomes: (1) between the non-DM and SGLT2i groups in cohort 1 and (2) between the SGLT2i and non-SGLT2i groups in cohort 2. Detailed defnitions of the diagnostic codes for inclusion and exclusion, comorbidities (including T2DM), and other chemotherapy information are described in Supplementary Table S1. High-dose AC was defined as > four cycles of DOX or a cumulative dose of > 240 mg/m² and > five cycles of epirubicin or a cumulative dose of > 300 mg/m² (equivalent dose of DOX as a reference)¹⁷.

Tis study was conducted according to the guidelines of the Declaration of Helsinki and approved by the Ethics Committee of the Kyung Hee University Hospital at Gangdong (KHNMC 2022-10-045). The requirement for informed consent was waived by the ethics committee of the Kyung Hee University Hospital at Gangdong because data from the HIRA Service database were anonymized and de-identifed.

Clinical outcomes

The following clinical outcomes were assessed: HF hospitalization, AMI, ischemic stroke, death, and the composite outcome of HF hospitalization, AMI, ischemic stroke, and death. Detailed defnitions of the outcomes are provided in Supplementary Table S1. The patients were followed-up for outcome assessment until February 2022.

Sample size calculation

Based on previous research and literature review[s16](#page-7-13)[,18](#page-7-15), we initially calculated a statistical power of 75% for each group to detect a 60% reduction in CV event incidence through SGLT2i use among patients with T2DM undergoing AC-containing chemotherapy, at a 5% significance level with a 1:2 ratio. The enrollment required would be 619 SGLT2i users and 1,238 non-SGLT2i users. Considering the missing values in this dataset, a sample size of 780 SGLT2i users and 3,455 non-SGLT2i users was deemed appropriate.

Statistical analysis

Propensity score matching was performed to balance the baseline clinical characteristics between the two groups; in cohort 1: covariates included age, sex, indexed year, history of hypertension, dyslipidemia, coronary artery disease, use of antithrombotic agents, statins, renin-angiotensin-system (RAS) inhibitors, and beta-blockers, and cancer types; in cohort 2: covariates included T2DM duration and total numbers of distinct OHA classes along with covariates used in cohort 1.

Each propensity score-matched model used a greedy nearest-neighbor algorithm. The matching rates were determined based on the sample size between the groups as follows: 1:10 ratio between the SGLT2i and non-DM groups and 1:3 ratio between the SGLT2i and non-SGLT2i groups. A standardized mean diference≤0.1 for a covariate was defined as well balanced. The crude event numbers and incidence rates of clinical outcomes were calculated for each propensity score-matched population. The incidence rate was expressed as per 100 personyears of follow-up. Clinical outcomes were compared using Cox proportional hazards models and presented as crude and adjusted hazard ratios (HRs) and 95% confidence intervals (95% CIs). The covariates used for adjustment were consistent with those used in each propensity score-matched model. For the sensitivity test, the 1-year composite outcomes were compared to each propensity score-matched cohort. Statistical analyses were performed using SAS version 9.4 (SAS Institute Inc., Cary, NC, USA) and R version 3.5. Statistical signifcance was set at a two-sided $p < 0.05$.

Results

Clinical characteristics

A total of 8[1](#page-1-0),572 patients who initiated AC chemotherapy were recruited (Fig. 1). The non-DM, SGLT2i, non-SGLT2i groups consists of 77,337 (94.8%), 780 (1.0%), and 3,455 (4.2%) patients, respectively. Te clinical char-acteristics of each group are presented in Table [1](#page-3-0). The non-DM, SGLT2i, non-SGLT2i groups were 52 ± 12 years (men, 20%), 56 ± 10 years (men, 29%), and 62 ± 11 years (men, 37%), respectively.

Afer propensity score matching, the non-DM, SGLT2i, and non-SGLT2i groups included 7,800, 779, and [2](#page-4-0),337, respectively (Table 2). The covariates in each propensity score model were generally well balanced.

There was no significant difference in the use of chemotherapy, including high-dose ACs, alkylating agents, antimicrobial agents, human epidermal growth factor receptor 2 inhibitors, and vascular endothelial growth factor-targeting agents, between the two groups in each propensity score-matched cohort (Supplementary Table S2).

Clinical outcomes

The mean follow-up duration for the clinical outcomes was 3.4 ± 2.3 years. In matched cohort 1, the incidence rate of the composite outcome was 3.95 per 100 person-years in the non-DM group and 1.52 per 100 personyears in the SGLT2i group (Table [3\)](#page-5-0). Afer adjusting by covariates, the SGLT2i group had better composite outcome (adjust HR = 0.35, 95% CI = 0.25 – 0.51), ischemic stroke (adjust HR = 0.30, 95% CI = 0.09 – 0.96), and death (adjust HR = 0.33 , 95% CI = $0.23 - 0.49$) compared with the non-DM group. In matched cohort 2, the incidence rate of the composite outcome was 2.91 per 100 person-years in the non-SGLT2i group and 1.52 per 100 person-years in the SGLT2i group. Afer adjusting by covariates, the SGLT2i group had better composite outcome (adjust HR = 0.47 , 95% CI = $0.32 - 0.69$) and death (adjust HR = 0.42 , 95% CI = $0.28 - 0.63$) compared with the non-SGLT2i group.

In the 1-year composite outcome, the SGLT2i group had better composite outcomes compared with the non-DM group (adjust $HR = 0.41$, 95% CI = 0.26 – 0.66) and the non-SGLT2i group (adjust $HR = 0.40$, 95% CI = 0.25 – 0.67) (Supplementary Table S3).

Table 1. Baseline clinical characteristics in the overall study population. *AC* anthracycline; *DPP4i* dipeptidyl peptidase-4 inhibitors; *HER* human epidermal growth factor receptor; *NA* not applicable; *No* number; *OHA* oral hypoglycemic agent; *RAS* renin-angiotensin system; *SD* standard deviation; *SGLT2i* sodium-glucose cotransporter-2 inhibitors; *T2DM* type 2 diabetes mellitus; *VEGF* vascular endothelial growth factor.

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Table 2. Covariates for propensity score-matched cohorts. *RAS* renin-angiotensin system; *SD* standard deviation; *SGLT2i* sodium-glucose cotransporter-2 inhibitor; *SMD* standardized mean diference; *OHA* oral hypoglycemic agent; *No* number; *T2DM* type 2 diabetes mellitus. An SMD of<0.1 indicates no major imbalance. All SMD values were < 0.1 in each propensity score-matched cohort except for age in cohort 1 $(SMD = 0.1649)$.

Discussion

DM is a risk factor for AC-induced cardiotoxicity^{[19](#page-7-16),[20](#page-7-17)}, and hypoglycemic SGLT2i have been proposed as potential cardioprotective agents against AC toxicity in in vitro and in vivo studies^{[12–](#page-7-11)[15](#page-7-12),[21](#page-7-18)}. This study on cancer patients undergoing AC-containing chemotherapy found that SGLT2i users with T2DM experienced better clinical outcomes than non-DM patients or non-SGLT2i users with T2DM (Fig. [2\)](#page-6-0).

AC‑induced cardiotoxicity and DM as a risk factor

ACs are potent anticancer agents that are widely used in patients with breast cancer, bladder cancer, sarcoma, lymphoma, and acute leukemi[a17](#page-7-14). However, they are cardiotoxic in a dose-dependent manner and can cause cardiomyopathy even at low doses in patients with genetic susceptibility or several CV risks^{[22,](#page-7-19)23}. Currently, the most commonly accepted pathophysiologic mechanism of AC-induced cardiotoxicity is cardiomyocyte injury due to oxidative stress^{[22](#page-7-19)}. However, other mechanisms, including mitochondrial dysfunction, DNA damage, impaired iron handling, apoptosis, and autophagy dysfunction, have also been hypothesized $^{21,24-26}$.

DM signifcantly increases the incidence of HF and atherosclerotic CV diseases such as AMI and ischemic stroke^{21,[27,](#page-8-3)28}. Furthermore, a recent meta-analysis assessing risk factors for AC-related cardiotoxicity found DM to be a significant risk factor (odds ratio [95% CI] = 1.74 [1.11 – 2.74])^{[20](#page-7-17)}. Therefore, patients with DM undergoing AC chemotherapy require more careful management.

The cardioprotective efects and proposed mechanisms of SGLT2i in T2DM

Hyperglycemia leads to SGLT2 overexpression in human cardiomyocytes in vitro and in vivo, potentially resulting in systolic and diastolic dysfunction and, ultimately, heart failure, particularly in patients with $DM⁶$. Therefore, SGLT2i may modulate the intracellular glucose pathway in cardiomyocytes and are expected to have

Table 3. Crude event number and incidence rate and hazard ratios for clinical outcomes in the propensity score-matched cohorts. Signifcant are in value [bold]. *AMI* acute myocardial infarction; *CI* confdence interval; *DM* diabetes mellitus; *HF* heart failure; *HR* hazard ratio; *SGLT2i* sodium-glucose cotransporter-2 inhibitor. Numbers in boldface indicate significant differences (p < 0.05). *Per 100 person-years.

cardioprotective efects. Indeed, in a study by Marfella et al., SGLT2i blunted metabolic alterations resulting from

hyperglycemia and insulin resistance in non-diabetic heart transplant recipients with DM⁵. In animal and in vitro models, the use of SGLT2i improved endothelial function by reducing oxidative stress and inflammation^{[4](#page-7-3)}. Similarly, in patients with T2DM, the use of SGLT2i stabilized carotid plaque through anti-inflammatory action⁸; and decreased arrhythmic burden^{[7](#page-7-6)}, HF hospitalization, and CV death^{[9](#page-7-8)} after AMI. Notably, the reduction in the infammatory burden due to the use of SGLT2i occurred earlier than achieving control of hyperglycemia²⁹. Therefore, it is suggested that the cardioprotective effects of SGLT2i may be glycemic-independent.

The cardioprotective efects of SGLT2i against AC toxicity

In in vitro and in vivo models of DOX, SGLT2i-treated cardiomyocytes showed reduced pro-infammatory and inflammatory cytokine production, fibrosis, and apoptosis^{[13](#page-7-20),[14,](#page-7-21)[30](#page-8-6)[,31](#page-8-7)}; improved cell viability^{[14](#page-7-21)}; restored mitochondrial dysfunction 30 ; and enhanced cardiac energy production by improving adenosine triphos-phate generation^{[32](#page-8-8),[33](#page-8-9)}. The cardioprotective effects of SGLT2i were consistent in both diabetic and non-diabetic model[s21,](#page-7-18)[30.](#page-8-6) Moreover, SGLT2i-treated animal models showed less reduction in lef ventricular ejection fraction (LVEF) and myocardial strain following DOX administration[13](#page-7-20),[15.](#page-7-12) However, this experimental evidence does not guarantee the efficacy of SGLT2i in cancer patients undergoing AC-containing chemotherapy, necessitating further clinical studies. Gongora et al. showed that SGLT2i users had better CV outcomes than non-SGLT2i users in patients with DM undergoing AC chemotherapy (one event in the 32 SGLT2i group [3%] vs. 19 events in the 96 non-SGLT2i group $[20\%]$, $p=0.025$ ¹⁶. However, their study was a small retrospective study.

DM is a concurrent risk factor for CV disease^{27,28} and AC-induced cardiotoxicity^{[19](#page-7-16),[20](#page-7-17)}. Nevertheless, in our study, SGLT2i users with T2DM had a lower incidence of ischemic stroke compared to patients without DM. In addition, HF hospitalization was lower in SGTL2i users with T2DM (0.1 per 100 person-years in the SGLT2i group vs. 0.26 per 100 person-years in the non-DM group), although there was no statistically signifcant diference due to fewer event numbers. These findings suggest that SGLT2i may exert cardioprotective effects against AC toxicity. We also propose that this may be attributed to the anti-infammatory action of SGLT2i.

SGLT2i and decreased mortality in cancer patients

In our study, SGLT2i users with T2DM had lower mortality rates than non-DM patients or non-SGLT2i users with T2DM. In human prostate and lung cancer cells, SGLT2i reduced cellular proliferation and clonogenic survival by inhibiting glucose uptake and mitochondrial function 34 . A similar phenomenon has been observed in other cancer cell lines, including kidney³⁵ and breast^{[36](#page-8-12)}. Animal models have consistently demonstrated that SGLT2i decrease the occurrence and growth of cancer cells^{36–[38](#page-8-13)}. The findings suggest that SGLT2i may attenuate

Figure 2. Crude and adjusted hazard ratios for clinical outcomes in the propensity score-matched cohorts. AMI—acute myocardial infarction; CI—confdence interval; DM—diabetes mellitus; HF—heart failure; HR hazard ratio; SGLT2i—sodium-glucose cotransporter-2 inhibitor.

the growth of SGLT2-expressing cancer cells by inhibiting glucose uptake and blocking several subsequent intracellular metabolic pathways. Furthermore, SGLT2i reduce body weight through glycosuria, consequently improving insulin resistance^{38[,39](#page-8-14)}. Reducing body weight has been reported to reduce the risk of certain cancers, including obesity-associated breast⁴⁰ and colon^{[41](#page-8-16)} cancers.

In a clinical study for patients with DM by Gongora et al., SGLT2i users had a lower mortality rate than non-SGLT2i users^{[16](#page-7-13)}. The authors suggested that this finding may be due to reduced CV events in SGLT2i users. However, the fnding that SGLT2i users showed a lower mortality rate even in our study with fewer CV events cannot be explained simply by improved CV events following the use of SGLT2i, which can be partially due to the anticancer efects of SGLT2i.

Study limitations

This study has some limitations. First, it was a claim-based cohort study. Therefore, asymptomatic HF, as assessed by LVEF decline, cannot be estimated as a clinical outcome. The HIRA Service database of South Korea also does not provide laboratory data, including creatinine clearance, blood glucose, and glycated hemoglobin levels, or demographic information, including cancer staging, body mass index, and smoking status. However, we supposed that general medical treatments, such as glycemic control, would be efectively managed, at least during adjuvant chemotherapy for primary cancers. Furthermore, several studies found that the protective efects of SGLT2i due to anti-inflammatory action are independent of glycemic control^{29[,42](#page-8-17)}. Additionally, propensity score matching in this study included T2DM duration and the total number of distinct OHA classes as covariates for adjusting T2DM severity and hyperglycemic status. Second, insurance coverage for SGLT2i has been available in South Korea since 2014. Terefore, patients diagnosed with cancer since 2014 were enrolled and the number

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in the SGLT2i group was relatively small. Tird, we excluded patients with previous CV diseases, including HF; at-risk patients with highly uncontrolled hyperglycemia, such as insulin use or type 1 DM; and at-risk patients with vulnerability, such as metastatic cancers. This exclusion aimed to minimize the potential influence of unexpected confounding factors that could distort the comparison of clinical outcomes between the groups in claim data analysis. However, it may have resulted in fewer CV events, potentially leading to insignifcant diferences in HF hospitalization according to SGLT2i use. Fourth, we aimed to evaluate the protective efects of SGLT2i against AC toxicity. Tus, the persistence of SGLT2i was investigated for only four months from AC initiation. Therefore, the long-term outcomes according to the persistent use of SGLT2i were not estimated in this study. Fifh, since the study exclusively enrolled Korean patients with cancer, the efects of SGLT2i against AC toxicity remain inconclusive in non-East Asian populations. Further study using diverse global cohorts is necessary.

Conclusions

Trough an emulated target trial using Korean nationwide cohort data, SGLT2i may contribute to decreasing mortality and improving clinical outcomes in patients with T2DM undergoing AC-containing chemotherapy. Future randomized controlled trials, including laboratory data to elucidate underlying mechanisms, are necessary.

Data availability

All data analyzed during this study are included in this published article and its supplementary information fles. Additionally, the datasets analyzed during the current study are not publicly available due to Korean legal restrictions, but they can be obtained from the corresponding author upon reasonable request.

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Author contributions

H.J.H. contributed to the study conception and design, data interpretation, and manuscript drafing. M.K. contributed to the data acquisition, interpretation, and manuscript drafing. J.E.J. contributed to the study design, data supervision, and critical revision of the manuscript. D.K.Y. contributed to the study design, data interpretation, data acquisition and interpretation, and critical revision of the manuscript. H.J.H. and D.K.Y. contributed equally as corresponding authors. H.J.H. and M.K. contributed equally. All the authors have read and approved the fnal version of the manuscript.

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Competing interests

The authors declare no competing interests.

Additional information

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