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Rapid Reduction in *Staphylococcus aureus* in Atopic Dermatitis Subjects Following Dupilumab Treatment

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Abstract

Background: Atopic dermatitis (AD) is an inflammatory disorder characterized by dominant type 2 inflammation leading to chronic pruritic skin lesions, allergic comorbidities and *Staphylococcus aureus* skin colonization and infections. *S. aureus* is thought to play a role in AD severity.

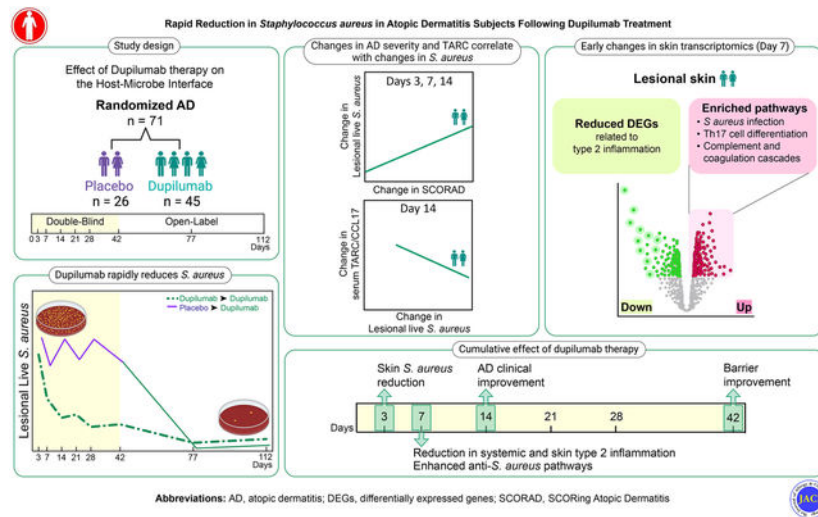
Objective: We characterized the changes in the host-microbial interface in AD subjects following type 2 blockade with dupilumab.

Methods: Participants (n=71) with moderate-severe AD were enrolled in a randomized (dupilumab vs placebo; 2:1), double-blind study at Atopic Dermatitis Research Network centers. Bioassays were performed at multiple timepoints: *S. aureus* and virulence factor quantification, 16s rRNA microbiome, serum biomarkers, skin transcriptomic analyses and peripheral blood T-cell phenotyping.

Results: At baseline, 100% of participants were *S. aureus* colonized on the skin surface. Dupilumab treatment resulted in significant reductions in *S. aureus* after only 3 days (compared to placebo); 11 days before clinical improvement. Participants with the greatest *S. aureus* reductions had the best clinical outcomes, and these reductions correlated with reductions in serum CCL17 and disease severity. Reductions (10-fold) in *S. aureus* cytotoxins (day 7), perturbations in Th17 subsets (day 14), and increased expression of genes relevant for IL-17, neutrophil and complement pathways (day 7) were also observed.

Conclusion: Blockade of IL-4 and IL-13 signaling, very rapidly (day 3) reduces *S. aureus* abundance in AD subjects, and this reduction correlates with reductions in the type 2 biomarker, CCL17 and measures of AD severity (excluding itch). Immunoprofiling and/or transcriptomics suggest a role for Th17, neutrophils and complement activation as potential mechanisms to explain these findings.

Graphical Abstract



Capsule Summary:

Dupilumab reduces lesional *S. aureus* abundance and virulence factor production within three days. These changes likely occur through enhancement of innate immune responses linked to IL-17, as well as neutrophil and complement activation.

Keywords

Atopic dermatitis; dupilumab; interleukin-4; interleukin-13; interleukin-17; *Staphylococcus aureus*; microbiome; cytotoxins; barrier; type 2 immunity

INTRODUCTION

Atopic dermatitis (AD), which affects 30 million people in the United States, is a chronic skin condition characterized by type 2 inflammation (i.e. elevated interleukin [IL]-4 and -13), skin barrier defects and colonization with *Staphylococcus aureus*.¹ Skin infections represent common comorbidities with increased rates of both viral (herpes simplex virus [HSV], molluscum contagiosum and human papilloma virus) and bacterial infections, primarily caused by *S. aureus*.² The Atopic Dermatitis Research Network (ADRN) is an NIH-funded, multi-institutional consortium with the overarching objective of improving our understanding of the observed susceptibility to cutaneous infections in patients with AD. To date, the ADRN uncovered genetic risk factors and novel immunologic abnormalities that contribute to the elevated rates of HSV infections.^{3–8} Most recently, the ADRN reported that 43% of AD patients (all severities) are colonized with *S. aureus*, based on skin swabs analyzed by a clinical microbiology laboratory.⁹ This *S. aureus*-colonized AD subset has greater skin barrier dysfunction, disease severity and allergen sensitization, increased serum IgE and Type 2 biomarkers than the non-colonized AD participants.

S. aureus secretes many virulence factors that may exacerbate atopic inflammation which may in part explain these findings. AD-associated *S. aureus* produces numerous cytotoxins.¹⁰ High cytotoxin levels appear to be required for *S. aureus* skin infections, and

because many are pore-forming they enhance susceptibility to viral infections.^{11, 12} This is consistent with an earlier ADRN observation, that AD patients who have history of the HSV complication, eczema herpeticum, more commonly report a history of *S. aureus* skin infections than AD patients without a history of EH.^{9, 13, 14} Besides cytotoxins, all pathogenic strains of *S. aureus* produce superantigens; a large family of proteins that stimulate both T cells and epithelial cells.¹⁰ While cytotoxins act locally to kill immune cells and keratinocytes, superantigens act locally and systemically to alter structural cells and adaptive immunity. Superantigens can persist for months in tissues.¹⁵ The superantigens primarily associated with AD include the six-member enterotoxin (SE) gene cluster and SE-like Q.^{13, 16} Other potential *S. aureus* virulence factors include lipase and various proteases. *S. aureus* strains isolated from the skin produce more lipase and cytotoxins than strains infecting mucous membranes.^{17, 18} These virulence factors likely contribute to clinical infection, including impetigo, cellulitis and rarely sepsis as well as skin barrier defects in AD patients.¹⁹

Previous studies have shown topical and systemic anti-inflammatory treatments reduce *S. aureus* colonization in AD patients. However, the kinetics of the response and the molecular mechanisms involved in this reduction remain poorly understood.²⁰ Pre-registration and post-marketing studies have demonstrated that the blockade of IL-4 and -13, achieved by treatment with dupilumab, a fully-humanized monoclonal antibody directed against IL-4R α , leads to clinically meaningful improvements in disease severity by as early as two weeks and reduced non-viral skin infections.^{21, 22} To better understand the role that these type 2 cytokines play in the microbial environment on the skin surface, we investigated the clinical, host immune responses, microbiome, and *S. aureus* virulence factors and colonization kinetics in patients randomized to either dupilumab or placebo monotherapy.

METHODS

Study design

This was a National Institute of Allergy and Infectious Diseases (NIAID)-funded multi-center, randomized, double-blind, placebo-controlled (RDBPC) trial investigating the effect of 6 weeks of dupilumab treatment on measures of cutaneous microbial community structure, skin barrier biology, and circulating T cell profiles, followed by a 10-week open-label extension (OLE; [ClinicalTrials.gov](https://clinicaltrials.gov/ct2/show/study/NCT03389893) Identifier: [NCT03389893](https://clinicaltrials.gov/ct2/show/study/NCT03389893) [ADRN09]). Adults (18–75 years) with moderate to severe AD were recruited from 8 US academic centers with AD expertise (Fig 1A) after protocol approval by the centralized Western Institutional Review Board Copernicus Group. Informed consent was obtained from the participants, and written assent was provided by the participants, as applicable, before participation. Dupilumab dosing followed package insert (600 mg loading dose, then 300 mg every 2 weeks). Fig 1B & E1 show the schedule of events during the study and the flow of enrollment and allocation into the study. The primary, secondary and exploratory endpoints, as well as the inclusion/exclusion criteria, are available on [clinicaltrials.gov](https://clinicaltrials.gov/ct2/show/study/NCT03389893) ([NCT03389893](https://clinicaltrials.gov/ct2/show/study/NCT03389893)).

Clinical assessments

Clinical evaluations included assessment of AD severity by Eczema Area and Severity Index (EASI), SCORing AD (SCORAD), validated Investigator Global Assessment (vIGA), pruritus Numerical Rating Scale (NRS) and Nottingham Eczema Severity Score (NESS).²³ A detailed medical history was obtained, including concomitant medication use; allergy history; infection history; history of AD and other skin conditions; and observations of eyes/nose/throat, respiratory/lungs, heart, gastrointestinal, psychiatric, endocrine, and other conditions. A physical examination was performed which included vital signs (temperature and blood pressure), growth parameters (height and weight) and examination of the skin, hair, eyes, and lungs.

Additional methodological details including diagnostic criteria, case report forms, collection methodology (i.e. blood, skin swab and skin biopsy), skin barrier measurements, characterization of cutaneous microbial flora, RNA-Seq, peripheral blood immunoprofiling, and statistical analysis are listed in the Online Repository.

Statistical analysis

In the original power calculations, a sample size of approximately 84 participants (56 in the dupilumab arm and 28 in the placebo arm) was established to detect a 0.36 geometric mean ratio of *S. aureus* abundance between arms by Day 28 with 90% power and 5% type I error for a two-sample pooled t-test with log normal data. The final observed geometric mean ratio was below the one assumed, providing great power to primary and secondary hypotheses.

The pre-specified primary endpoint, *S. aureus* abundance (\log_{10} transformed) and secondary endpoints were analyzed using a mixed model for repeated measures using an unstructured covariance matrix with treatment, baseline endpoint value (randomization/Day 0), clinical site, baseline disease severity as measured by EASI (> 21.1 vs. < 21.1) and treatment by-day interactions included as covariates. The comparisons between placebo vs dupilumab were generated using least-square means at each discrete time. Similarly, the comparison for the open-label portion of the study between day 42 vs day 77 or day 112 within arms was provided using least square means.

All tests of treatment effects were performed at a 2-sided alpha level of 0.05, and no adjustments for multiplicity were made, except in the flow cytometry data in Supplemental Fig E5B. All statistical computations were performed using SAS software Version 14.1 (SAS Institute, Inc., Cary, NC, USA) and results displayed using R (Version 4.2.0) and the ggplot2 library.

RESULTS

Characteristics of study population

Of the 82 participants screened, 72 participants with moderate to severe AD met inclusion/exclusion criteria and were randomized, and 71 (ages 18–65 years) were analyzed, with 45 participants randomized to dupilumab and 26 to placebo arms (approximate 2:1 ratio; Fig

E1). Demographics and baseline phenotypic and endotypic characteristics were balanced between the groups, with the exception of serum lipocalin-2 and lesional TEWL (Tables 1 and E1). Lipocalin-2 levels were higher, and basal TEWL values were lower in the dupilumab group ($p=0.02$ and 0.058 ; respectively). But differences in baseline values on study entry were accounted for in the repeated measures modeling. More males were enrolled than females (66% vs 34%), and the mean age was 36.9 years. The population was racially diverse with participants self-reporting as White (48%), Asian (20%), and Black (15%). Hispanics (11%) were slightly underrepresented compared to US census. Body Mass Index (BMI) was typical of the US average of 26.9.²⁴ The majority (62%) of the participants had severe AD as measured by disease status on study entry-EASI (21.1), SCORAD (49) or IGA (=4), as well as by NESS (12–15) (92%).^{23, 25, 26} The two treatment arms were well matched for severity metrics.

Using quantitative measures to detect *S. aureus* on both lesional and non-lesional skin, all participants were colonized at study entry. Furthermore, 34% had a history of staphylococcal skin infections and almost 3% had a history of eczema herpeticum. There were no significant differences in most endotypic features, including serum biomarkers (except lipocalin-2), circulating cell numbers, skin barrier measures (except basal lesional TEWL) and measures of *S. aureus* abundance in these two populations on study entry (Table E1).

Clinical improvement

A greater absolute reduction in SCORAD ($p=0.04$) was observed in the dupilumab group compared to placebo by as early as day 14 and remained lower at all later timepoints (Fig 2). For all other severity measures (EASI, Pruritus NRS and IGA) a statistically significant separation of the dupilumab versus placebo groups was first achieved after 21 days of treatment. The dupilumab group continued to improve during OLE (i.e. from day 42 to days 77 and 112; $p < 0.005$) for each of the severity measures. The placebo group experienced significant ($p<0.001$) clinical improvement in all severity measures only during OLE when participants were receiving dupilumab treatment, comparing day 42 (day 0 of dupilumab treatment for this group) to days 77 (five weeks later) and 112 (10 weeks later). Safety was similar to what was observed in pivotal trials with no serious adverse events related to study drug or procedures (Table E2).

Reduction in *S. aureus* colonization and cytotoxin levels

All participants were colonized with *S. aureus* on the skin as determined by both *fem A* qPCR (Fig 3A) or viable counts (Fig 3C). Dupilumab rapidly reduced *S. aureus* abundance in lesional skin as measured by both rCFUs (Fig 3A) and viable CFUs (Fig 3C) with a separation (approximately 7.5-fold) from placebo as early as day 3 ($p=0.02$) which continued at later timepoints. The reduction (approximately 100-fold) in viable *S. aureus* (plate counts) plateaued after 28 days of dupilumab treatment, with no further reductions observed in OLE (day 42 to day 112). The placebo group had a similar trajectory in the OLE phase (when receiving dupilumab), with a remarkable drop of almost two logs in viable *S. aureus* observed as early as 35 days into treatment (at day 77) with no further reductions observed by day 112 (Fig 3C). qPCR quantification of *S. aureus*, which measures both

viable and nonviable bacteria, was still dropping even in OLE for the dupilumab randomized group (Fig 3A).

Nonlesional skin had lower *S. aureus* CFU abundance (>1.7 logs lower; Fig 3D and Table E1) than lesional skin on study entry. Dupilumab treatment reduced *S. aureus* CFUs on non-lesional skin after 21 days, which was similar to what was observed in placebo-randomized participants during OLE. Treatment with dupilumab had a more modest impact on viable *S. aureus* than on rCFUs (Fig 3B and D).

S. aureus cytotoxins, measured directly from swabs, were significantly reduced (10-fold) in lesional skin of the dupilumab group by day 7, reaching maximal reduction (100-fold) by day 28 (Fig 3E). Consistent with the lower *S. aureus* counts on non-lesional skin, the non-lesional cytotoxin reductions were more modest, with dupilumab differentiated from the placebo group after 21 days of treatment (Fig 3F). Notably, reductions continued until day 77 (11 weeks). Similar changes were observed in the placebo-randomized group when they entered OLE. No differences in *S. aureus* superantigens, the four major *S. aureus* proteases or lipase (data not shown) from lesional and non-lesional skin swabs were observed with dupilumab versus placebo treatment (Fig E2).

Relationship of *S. aureus* reduction to AD severity

To evaluate the association between the change in *S. aureus* abundance with the change in AD severity measured by SCORAD on days 3, 7, and 14, a *post hoc* analysis was undertaken. A repeated measures correlation showed a statistically significant correlation (0.39, 95% CI [0.20, 0.56] $p < 0.001$) in lesional skin of the dupilumab group while there was no association (0.05 95% CI [-0.23, 0.32] $p = 0.72$) in the placebo treatment arm (Fig E3). A similar result was observed with other AD severity measurements, such as EASI, vIGA but not for pruritus NRS (Fig E3). These results suggest that dupilumab-treated participants with the most significant early reduction in lesional *S. aureus* are likely to have the greatest improvement in AD severity at early timepoints.

Changes in microbial diversity and relative abundance of different genera and species

Dupilumab treatment increased the Shannon microbial α -diversity in lesional skin (but not non-lesional) as early as 3 days, with near maximal effect by day 28 (Fig 4A and B). Similar changes were observed for the placebo group during OLE, when they were receiving dupilumab treatment and the maximal effect was observed at day 77 (five weeks) into dupilumab treatment. Fig 4C shows relative abundance of the most common bacterial genera in dupilumab (upper graphs) and placebo (lower graphs) in both lesional and non-lesional skin over the course of the study. *Staphylococcus* (genus level) was more abundant in lesional skin than non-lesional skin on study entry (day 0) as expected. The reduction in relative abundance of lesional and non-lesional *Staphylococcus* was most prominent in the first 28 days of dupilumab treatment. The placebo group showed no change in relative abundance of *Staphylococcus* until they entered dupilumab OLE (days 77, 112). The changes in the most common bacterial species are shown in Fig 4D. Greater relative abundance of *S. aureus* was observed in lesional compared with non-lesional skin on study entry, followed by *S. epidermidis*. Dupilumab treatment resulted in a progressive reduction

in the relative abundance of *S. aureus* (both lesional and non-lesional skin) through day 28 and remained relatively stable through day 112, which was similar to changes observed from *S. aureus* rCFUs (Fig 3A and B). During dupilumab treatment, several species increased, including *S. epidermidis*, *Cutibacterium acne*, *S. hominis* and *Micrococcus luteus*. Placebo-randomized participants had stable bacterial species composition until OLE when the changes seen at day 77 and 112 mirrored those observed in the dupilumab group during RDBPC phase.

Reduction in CCL17 and other Type 2 biomarkers

Dupilumab led to a rapid drop in the type 2 chemokine, CCL17 (TARC) in the serum, which was significantly different from the placebo group by day 7 ($p=0.04$; Fig 5A). CCL17 dropped precipitously and significantly ($p<0.001$) through day 21 and then more slowly thereafter. Noting that the trajectory for CCL17 reduction mirrored that observed for *S. aureus* CFU abundance in lesional skin (Fig 3C), we examined the correlation between reduction in serum TARC in both dupilumab- and placebo-randomized participants and their log reductions in lesional *S. aureus* CFUs at early timepoints. Only the dupilumab-treated participants demonstrated greater reductions in this type 2 serum biomarker correlated with greater reductions in lesional *S. aureus*, which was most significant ($p=0.01$) at day 14 but was seen as early as day 7 ($p=0.04$; Fig 5B).

Several additional serum biomarkers were measured; soluble CD25 (sIL-2R), LDH, NGAL (lipocalin-2) and calprotectin (heterodimer S100A8/S100A9) (Fig E4). Dupilumab treatment resulted in a drop in sCD25 and LDH ($p=0.03$) at day 42, but no changes were observed in acute inflammation and neutrophil activation markers, NGAL or calprotectin, which were only measured at early timepoints.^{27–31}

To better understand dupilumabs impact on the skin transcriptome, we performed RNA-Seq collected from skin biopsies of lesional (dupilumab $n=36/37$ and placebo $n=21/21$, day 0/7; respectively) and non-lesional skin (dupilumab $n=34/36$ and placebo $n=21/20$, day 0/7; respectively) at study entry (day 0) and at day 7. Table E3 lists the top 50 differentially expressed genes (DEGs; up and down-regulated as a function of dupilumab treatment) identified by DESeq2 analysis ($FC \geq 1.25$; $FDR < 0.05$). If we use a $(FC) > 2.0$ we observe that of the 27 downregulated DEGs in dupilumab-treated lesional skin, eleven (or 40.7%) were genes related to type 2 inflammation, including CCL17 (Fig 5C), and six of these (CCL26/eotaxin-3, NTRK1, ALOX15, IL13RA2, CCL13/MCP-4 and POSTN) were also reduced in non-lesional skin, although not all at $FC \geq 2$. The expression of these six genes are shown in Fig 5D for days 0 and 7. The 16 additional downregulated DEGs from lesional skin biopsies (day 7) were AC243829.4, CERS1, COL6A5, COL6A6, DRAIC, FAM124B, FRMD5, HSD3BP4, LRAT, LURAP1L, MMP3, PPP1R3C, SLC16A14, SLC5A5, SLC9A3 and TREML2.

Transcriptomic DEG and pathway analysis relevant for *S. aureus* host responses

To further investigate mechanisms underlying the dramatic drop in *S. aureus* observed by day 3 in dupilumab-treated participants, we examined expression of different functional gene classes relevant for establishment and/or persistence of *S. aureus* skin colonization

(Fig 6A) at the first post-baseline biopsy timepoint, day 7. These include genes 1) with antimicrobial activity, 2) that are innate immune receptors and signaling partners, 3) that are relevant for the Th17 pathway and/or neutrophil production/function, 4) host ligands for microbial surface components recognizing adhesive matrix molecules (MSCRAMMs), 5) found in the complement system and 6) epidermal barrier genes. Some of the antimicrobial peptides (AMPs) thought to be active against *S. aureus* were either not affected (DEFA1, DEFA1B, DEFA3, DEFA4, DEFA5, DEFA6, DEFB1, DEFB4A, DEFB103B) by dupilumab treatment or paradoxically had reduced expression (CAMP). Only CCL20/MIP-3 α and LYZ were upregulated in both lesional and non-lesional skin after dupilumab treatment. Of the TLR and related genes, only TLR7 was upregulated in both lesional and non-lesional skin at day 7. Five Th17/neutrophil related genes (CLEC5A, CX3CR1/Fractalkine Receptor, VN1, ITGAL and LYZ) were upregulated in both lesional and non-lesional skin of dupilumab participants. Twenty-four additional Th17/neutrophil genes were also upregulated with dupilumab treatment, but only in non-lesional skin. LCN2 (lipocalin), also known as NGAL, was elevated in non-lesional skin (day 7 compared to day 0), but we did not observe increases in sera at this same timepoint (day 7; Fig E4C). Similarly, we observed increases in S100A8 and S100A9, which dimerize to form the alarmin calprotectin. We did not observe significant changes in serum calprotectin after dupilumab treatment at early timepoints (days 7 and 14; Fig E4D). There was little change in 21 host ligands for MSCRAMMs at Day 7; however, 7/30 complement genes were increased in lesional (n=5) or non-lesional (n=2) skin with dupilumab treatment. Lastly, very few barrier genes of relevance for AD were modulated by dupilumab treatment with only SERPINB9 (a serine proteinase inhibitor) increasing in both lesional and non-lesional skin samples.

The Day 7 DEGs identified in lesional and non-lesional skin, with significant differences between dupilumab (or placebo) groups on day 7 compared to day 0 (FDR<0.05 and FC>1.25) were functionally annotated using the KEGG database.³²⁻³⁴ Volcano plots of lesional skin, highlight 296 upregulated and 383 downregulated DEGs in the dupilumab group (Fig 6B) and 279 upregulated and only 18 downregulated DEGs in non-lesional skin (Fig 6C). (The top 50 up- and down-regulated DEGs based on an FDR value were listed in Table E3). The upregulated DEGs were enriched in 18 pathways in lesional and 26 pathways in non-lesional skin (Fig 6B and C). Pathways that might suggest a mechanism by which dupilumab reduces *S. aureus* are shaded in yellow. Both lesional and non-lesional were enriched for genes in the *S. aureus* infection pathway. Lesional was also enriched for Th17 cell differentiation and complement and coagulation cascade pathways and non-lesional for neutrophil extracellular trap formation and IL-17 signaling pathway.

Changes in skin barrier function

There was a modest reduction in basal lesional TEWL in the dupilumab group at day 42 (6 weeks), with no further improvement when this group received 10 additional weeks of OLE treatment (Fig 7A). Although the dupilumab group appeared to have a significant reduction in lesional TEWL at day 3, this was not seen at subsequent timepoints (day 7, 14, 21 or 28). The group, initially randomized to placebo, was found to have reductions in TEWL after 10 weeks of dupilumab OLE treatment (day 112). As noted earlier, the placebo group had

higher lesional TEWL on study entry than the dupilumab group ($p=0.058$; Table E1) but this was accounted for statistically.

Not surprisingly, TEWL was higher in lesional than non-lesional skin on study entry, indicating greater barrier dysfunction in AD lesions (Table E1). Non-lesional skin barrier integrity was measured using two approaches, basal TEWL (as was done for lesional skin; Fig 7B) as well as by the stratum corneum (SC) integrity assay (Fig 7C and D). There were no differences in basal TEWL between the two treatment groups during the double-blind phase of the study; moreover, the placebo group did not experience a change in TEWL even during OLE (day 42 to 112) (Fig 7B). The dupilumab-randomized group experienced a reduction in TEWL from day 42 to day 112, suggesting that even the more modest barrier defect in non-lesional (compared to lesional) skin can improve with longer dupilumab treatment (> 6 weeks). AUC is graphically depicted in Fig 7C. The only significant reduction in AUC was observed in the dupilumab and placebo groups when comparing their day 42 (6 week) value to day 112 (16 weeks).

Changes in IL-17 CD4+ cell clusters in PBMC

In addition to Th17 cell-derived cytokines, which induce expression of neutrophil chemoattractants and AMPs,^{35–37} IL-26 released by Th17 cells has a direct antimicrobial activity against *S. aureus*.³⁸ Therefore, we investigated the possible role of peripheral blood Th17 cells in *S. aureus* reductions with dupilumab therapy.

The clinical response to dupilumab varied among participants. Thus, to facilitate comparisons between the number of cells in the IL-17A high (IL-17⁺) sub-population and the cutaneous bacterial load, the clinical responses were assessed by calculating the slope (by linear regression) of disease severity scores (SCORAD) in dupilumab-treated participants from day 0 to day 42. The flow gating strategy used unsupervised SWIFT clustering to isolate cell populations more precisely than can be achieved by cell gating (Fig. E5A).^{39, 40} Participants with SCORAD slopes below -0.7 were classified as high responders (21 participants; Fig E5B [red]), and above -0.7 were classified as low responders (24 participants; Fig, E5B [black]). The number of cells in five IL-2^{lo}, IL-17⁺ T cell clusters generally increased from day 0 to 14, in the high responder, but not in the low responder or placebo groups (Fig E5B). However, these differences were not significant after Benjamini-Hochberg⁴¹ correction.

These clusters were then compared to the *S. aureus* CFUs on skin swabs taken from lesional or non-lesional skin at different time points in the dupilumab-treated group. The changes in frequency of these five IL-2^{lo}, IL-17⁺ clusters were compared to the levels of *S. aureus* CFUs in skin swabs at all time points from 0 to 112 days. Fig E5C shows the Spearman correlation coefficients for each comparison of changes in a Th17 cluster and *S. aureus* burden at all timepoints. Star symbols represent significant values ($p<0.05$). Bacterial counts in non-lesional skin showed similar trends (Fig E5C [bottom row]), but were not as significant, possibly due to wide variability among non-lesional skin sites and their lower *S. aureus* burden on study entry. The change in the proportion of cluster 1584 (day 0 to 14) correlated significantly with CFU values (lesional skin) at days 3, 7, 14 and 21, in high responder dupilumab participants; this cluster increased more in participants with higher

bacterial loads at these timepoints. Compared to other IL-17⁺ clusters, 1584 expressed higher levels of CLA and Ki-67, and lower levels of IL-2, GM-CSF, and IFN- γ (Fig E5D). This may represent a skin-homing, recently-proliferated Th17 population that is elevated because of the higher levels of *S. aureus*. The normalized cell counts for the twelve Th17 clusters in all samples can be found in Table E4. As the T cells were evaluated from day 0 to 14, it is not surprising that the correlations with bacterial load are most obvious at earlier timepoints.

In contrast, increases in cluster 1583 were correlated with increased *S. aureus* counts in dupilumab-treated participants who did NOT respond strongly to dupilumab (SCORAD), but did not change significantly in either placebo or high responder dupilumab participants. This correlation was seen with *S. aureus* counts at days 3, 7, 14, 21 and 28. Cluster 1583 expressed similar markers to 1584, except for lower CLA and Ki-67 (Fig E5D). Thus, dupilumab induced changes in PBMC sub-populations with the potential to produce IL-17, and these changes were correlated with the *S. aureus* counts on skin swabs at multiple time points.

DISCUSSION

This study found that all moderate to severe AD subjects were colonized by *S. aureus*, a bacterium known to worsen AD inflammation and increase the likelihood of infections.⁹ Inhibition of IL-4 and IL-13 signaling, achieved with dupilumab treatment resulted in a remarkable decrease in *S. aureus* colonization after only 3 days reaching a stable nadir after 28 days. Clinical and deep endotyping (skin microbial ecology, transcriptome, serum biomarkers, functional barrier assessments and PBMC immunoprofiling) of AD participants identified multiple molecular changes occurring at the host-microbe interface that may explain the early changes in *S. aureus* abundance and virulence factor production, including increased expression of neutrophil-, IL-17-, and complement-related genes, increased relative abundance of beneficial bacteria (observed in setting of greater microbial diversity)⁴² and increased clusters of skin-homing IL-17⁺ CD4⁺ cells in the circulation. These findings confirm the pleiotropic effects of type 2 cytokines in promoting AD comorbidities and highlight that inhibition of IL-4 and IL-13 enhances the host's ability to fight *S. aureus* likely through a variety of mechanisms. Combined, dupilumab's effects on the host-microbe interface substantially contribute to the clinical improvement and likely the reduction in bacterial skin infections observed in pivotal clinical trials.^{22, 43}

While *S. aureus* colonization rates are known to be elevated in patients with AD, our study found 100% *S. aureus* colonization in the patient population. Previous studies reported colonization rates between 28–99% on lesional skin.⁴⁴ The higher rates in our study cannot be explained by geography and climate given that enrollment of patients was distributed across 8 different regions within the US (Fig 1A). The higher rates found in our study are likely due to the AD severity of our population and methodologic improvements in *S. aureus* detection. *S. aureus* was detected using two methods, qPCR and viable CFUs, performed in the laboratory of a *S. aureus* researcher (P.M.S.), which eliminated inter-laboratory variation. Mannitol salt plates were retained for longer than most laboratories because some *S. aureus* strains, grow slowly due to intrinsic metabolism differences or normal flora slowing their

growth. Bright pink colonies on mannitol salt plates are often considered coagulase-negative staphylococci, but in our study some of these were catalase⁺, coagulase⁺, defining *S. aureus*. These data suggest that diagnostic microbiology laboratory assessments of *S. aureus* may underestimate the true abundance unless they include catalase and coagulase assays.

Dupilumab therapy not only reduced *S. aureus* colonization but also reduced virulence factor production. Cytotoxins quantified from skin swabs were significantly reduced while we observed no changes in the quantity of *S. aureus* superantigens, proteases or lipase. Cytotoxins, including α , β , γ , δ , ϵ , and phenol-soluble modulins are known initiators of inflammation.^{45–48} Furthermore, Type 2 cytokines enhance the necrotic responses to cytotoxins.⁴⁹ Cytotoxins have been implicated in the maintenance of AD skin lesions, thus lending clinical credibility to our cytotoxin findings.^{11, 50} Superantigens have been widely implicated as potential mechanisms to explain AD flares, making it surprising to see no change in their levels with dupilumab therapy.^{51–53} We believe this is due to the fact that *S. aureus* superantigens can remain in tissue for up to 3 to 4 months.¹⁵

The changes we observed in the microbial composition as a function of dupilumab treatment are consistent with previous studies, albeit our data identifies these changes much earlier (3 days) than previously realized (4 weeks).^{20, 54, 55} Dupilumab and tralokinumab, in contrast to less targeted anti-inflammatory therapies (i.e. azathioprine, mycophenolate, topical corticosteroids), have been shown to increase microbial diversity.⁵⁵ We observed a decrease in the relative abundance of *S. aureus* and an increase in both coagulase-negative commensals (*S. epidermidis*, *S. hominis* and *S. lugdunensis*) and other commensals (*Micrococcus luteus* and *Cutibacterium acnes*). These bacteria can produce novel lantibiotics that selectively kill *S. aureus*.^{56, 57} Further, the ratio of *S. epidermidis* to *S. aureus* has been correlated to AD severity.⁵⁸ Additional ADRN projects show that microbiome transplants utilizing these commensals have shown promise in reducing *S. aureus* in AD subjects.⁵⁸

We found additional changes in innate host defenses with dupilumab therapy, starting with the broadest definition; namely skin barrier function. Biophysical measures of barrier function modestly improved with dupilumab, which was only observed in lesional skin after six weeks of treatment. This modest and delayed skin barrier improvement appears to contrast with some published literature which reported improvements in TEWL AUC by as early as two weeks.⁵⁹ This may be explained by the fact that earlier reports were from non-randomized and often uncontrolled studies and compared barrier measures to baseline values. Notably, when we evaluated the change in lesional TEWL focusing only on the dupilumab group, we observed a significant reduction as early as day 14 (p=0.048) which became more significant with further treatment (Table E5; Fig 7A). This highlights the importance of a blinded, placebo-controlled study design as you can see that our placebo group also experienced improvements in barrier function during the RDBPC phase of the study. The somewhat delayed barrier improvement seen in our blinded and controlled study suggests that IL-4/-13 diminish barrier function indirectly; possibly by reducing *S. aureus* abundance and its cytotoxin production.^{60–62} Additionally, we and others have shown that *S. aureus* derived superantigens promote epidermal barrier dysfunction *in vitro*, but because superantigens can persist in tissues long after *S. aureus* bioburden is reduced – might

provide another explanation for the delayed effect on barrier recovery in our participants (Fig E2).^{19, 63}

While skin permeability is a gross measure of epidermal function, reductions in AMPs have been the prevailing hypothesis to explain AD susceptibility to *S. aureus*. Ong, et al. and others have reported decreased AMP (LL-37/*CAMP* and HBD2/*DEFB4*) expression in the lesional skin of AD, although some studies found elevated levels of AMPs in lesional skin⁶⁴ and serum.⁶⁵ Our transcriptomic analyses did not observe increased expression in the genes encoding for LL-37 or the defensin family (alpha or beta) members in the dupilumab-treated participants, but did demonstrate increases in other genes with anti-*S. aureus* actions (CCL20, LYZ, CXCL9, calprotectin, LCN2 and IL-26).^{66–70}

With multi-parameter flow cytometry of SEB-stimulated PBMCs, we observed an increase in several IL-17⁺ IL-2^{lo} CD4⁺T cell clusters at day 14 (compared to day 0) in the dupilumab-treated group. For some clusters, the magnitude of this increase correlated directly with the *S. aureus* counts in skin swabs, possibly because the persistence of *S. aureus* in some subjects may have led to continued stimulation and expansion of Th17 cells. Conversely, the rapid reduction of *S. aureus* counts as seen at day 3 may have led to a reduction in stimulation of Th17 cells. It is also possible that Th17 cells in the circulation are depleted by migration into the skin and deployment of their anti-*S. aureus* effector function, resulting in reduced *S. aureus* loads in subjects with lower Th17 cells in the circulation.

Recently, Leyva-Castillo, et al. found dupilumab's ability to reduce *S. aureus* in a mouse model of AD was dependent on Th17 responses (Leyva-Castillo; manuscript submitted). We observed correlations between specific IL-17-producing CD4⁺T cell clusters and *S. aureus* load on the skin. Our transcriptomic data collected at much earlier timepoints (day 7) than earlier studies (day 28),^{71–73} suggest that dupilumab's anti-*S. aureus* effects may be due to PMN-mediated killing. PMNs, critical for innate immunity, have downstream effects on *S. aureus* through the release of lysozyme (down-regulation of virulence factors) and complement activation (intracellular killing), both of which were up-regulated in our studies. Importantly, neutrophils have functional type I and II IL-4 receptors, and their signaling reduces a number of neutrophil effector functions such as chemotaxis and neutrophil extracellular trap (NET) formation.⁷⁴ We hypothesize that this rapid dupilumab-mediated reduction in *S. aureus* is a consequence of the reducing the inhibitory actions of IL-4 and IL-13 on neutrophil functions; combined with enhanced Th17 mediated biology.^{75, 76} In fact, it has been hypothesized that dupilumab treatment may disturb the balance in the type 2 (IL-4 & IL-13)/type 3 (IL-17) axis⁷⁷ and this may explain the reports of psoriasis and enthesitis, which are IL-17-mediated inflammatory diseases infrequently arising in dupilumab-treated AD patients.^{78–80}

Lastly, we identified other changes in adaptive immunity with dupilumab therapy from our serum and peripheral blood immunophenotyping studies which help to explain our findings. The type 2 biomarker, CCL17/TARC was significantly decreased in skin (mRNA) and blood, and the reduction of serum CCL17/TARC levels correlated with the reduction in *S. aureus*. We previously showed a strong relationship between *S. aureus* colonization and serum CCL17/TARC and now confirm a direct linear relationship between the two endpoints

in dupilumab-treated participants. Consistent with our observations, three children with the autosomal dominant hyper-IgE syndrome (caused by a mutation in *STAT3*), achieved good clinical improvement in their severe AD-like dermatitis, which was seen in the context of reduced skin infections.⁸¹ Whether an expansion of skin-homing Th17 cells and activated neutrophils, critical for host defense against *S. aureus*, were responsible for this clinical improvement, was not addressed.

In summary, in our RDBPC trial, we report the novel discovery of dramatic changes in *S. aureus* colonization and virulence factor production after only 3 days of dupilumab therapy. The early reduction in *S. aureus* abundance (lesional skin) correlated with improvements in all measures of AD severity except itch. The magnitude of *S. aureus* reduction correlated with reductions in the type 2 biomarker, CCL17/TARC. A Cochrane review concluded that there is no clear benefit from commonly used antistaphylococcal interventions,⁸² but our study would suggest that therapies that can both reduce *S. aureus* abundance and reduce type 2 immunity may be highly beneficial. In other words, only reducing *S. aureus* may not provide sufficient clinical benefit. Our data suggest that this *S. aureus* reduction may be in part due to enhanced innate immune responses and IL-17 responses with downstream recruitment and activation of PMNs leading to *S. aureus* killing possibly through up-regulation of lysozyme and complement. Physiological measures of skin barrier improved more slowly and were not significantly different than placebo-treated subjects until 42 days, suggesting that this improvement might be in part due to changes in *S. aureus*. The extensive biosampling of multiple cutaneous and immune compartments allowed for a novel and comprehensive investigation into the impact dupilumab exerts on the host-microbe interface. Future studies are needed to determine whether *S. aureus* reduction persists upon treatment withdrawal. It will also be important to study whether *S. aureus* reductions in other type 2 driven diseases may also contribute to the clinical benefit seen with dupilumab treatment.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Abbreviations:

AD	atopic dermatitis
ADRN	Atopic Dermatitis Research Network
CBC	complete blood count
AEC	absolute eosinophil count
CHLA	Children's Hospital of Los Angeles
CRF	case report form
CSC	clinical study consortium
DEG	differentially expressed genes
DAIT	Division of Allergy, Immunology and Transplantation
EASI	Eczema Area and Severity Index
EDC	electronic data capture
FC	fold change
FDR	false discovery rate
IgE	immunoglobulin E
IRB	institutional review board
KEGG	Kyoto Encyclopedia of Genes and Genomes
MSCRAMM	microbial surface components recognizing adhesive matrix molecules
NESS	Nottingham Eczema and Severity Score
NGAL	Neutrophil gelatinase-associated lipocalin
NIAID	National Institute of Allergy and Infectious Diseases
NIH	National Institutes of Health
NJH	National Jewish Health
NL	non-lesional skin
NRS	numerical rating scale
OHSU	Oregon Health & Science University
OLE	Open Label Extension
OTU	operational taxonomic unit
PI	principal investigator

rRNA	ribosomal ribonucleic acid
SACCC	statistical and clinical coordinating center
SCORAD	SCORing Atopic Dermatitis
STAN	Stanford Medical Center
UCSD	University of California San Diego
UF	University of Florida
UPENN	University of Pennsylvania
URMC	University of Rochester Medical Center
vIGA	validated Investigator Global Assessment

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Clinical Implications:

Dupilumab leads to significant and rapid reductions in *Staphylococcus aureus* abundance (day 3) on atopic dermatitis skin. This reduction correlates with all metrics of AD improvement except itch.

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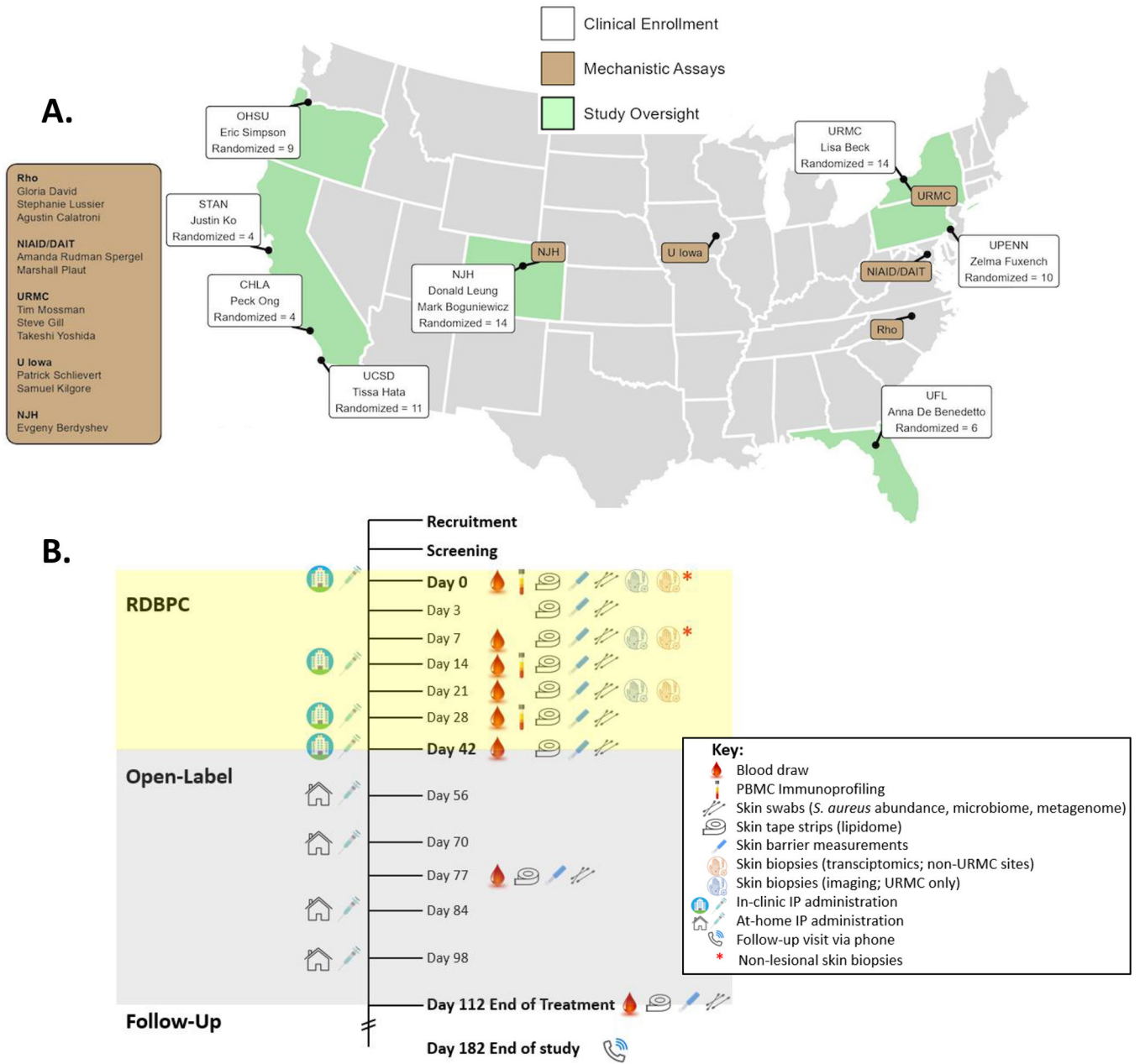


Figure 1. US academic centers involved in AD subject recruitment and Study Schematic for the Atopic Dermatitis Research Network (ADRN) 09 study (NCT03389893).

(A) A map of the US academic centers involved in clinical enrollment (white boxes) or mechanistic assays or study oversight (brown boxes). Each white box represents a single center with investigator(s) and enrollment totals. OHSU=Oregon Health & Science University, STAN=Stanford Medical Center, CHLA=Children’s Hospital of Los Angeles, UCSD=University of California San Diego, NJH=National Jewish Health, URMC=University of Rochester Medical Center, UPENN=University of Pennsylvania, UF=University of Florida. (B) High density sampling of the microbial, epithelial, and immune compartments was performed during the 6-week RDBPC phase (yellow) of the trial to characterize and quantify changes and their relationship to disease improvements,

followed by a 10-week open-label extension (OLE; grey) phase with a safety assessment (by phone) 10 weeks after the OLE phase ended. *Timepoints when non-lesional skin biopsies were collected in addition to lesional biopsies for transcriptomics analysis.

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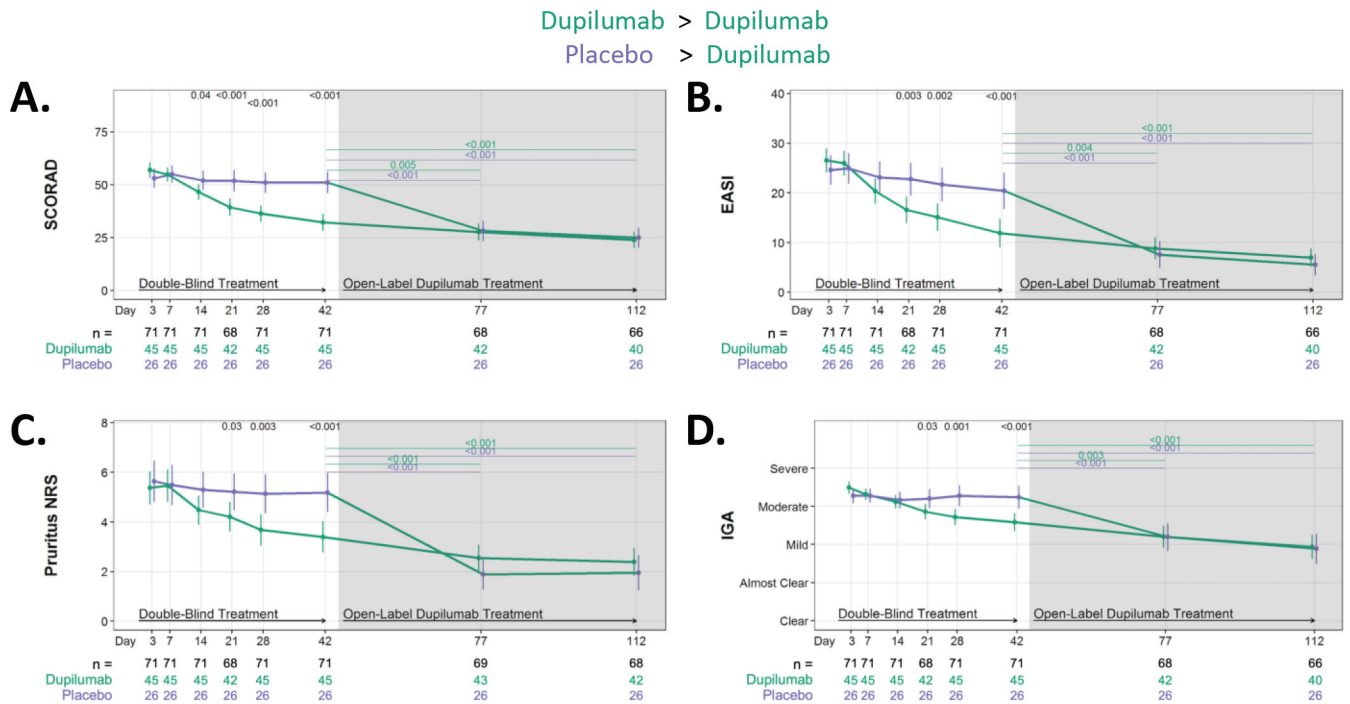


Figure 2. Dupilumab treatment improves all measures of AD severity. Absolute changes in AD severity measures during RDBPC phase (up to day 42 [6 weeks]) and during the OLE phase (day 42 – day 112 or [6 – 16 weeks]). **(A)** Absolute reductions in SCORing AD (SCORAD), **(B)** Eczema Area and Severity Index (EASI), **(C)** Pruritus Numerical Rating Scale (NRS) and **(D)** Investigator Global Assessment (IGA). Data for **A**, **C**, and **D** are shown as the means and 95% CIs adjusted for clinical site and EASI (< 21.1 or < 21.1), and the severity measure at day 0. Data for **B** is shown as the means and 95% CIs adjusted for clinical site and EASI at day 0. The number of participants with evaluable data at each timepoint are noted below the X axis (with the total population denoted in black, dupilumab-randomized participants in green and placebo-randomized in purple).

dupilumab-randomized participants in green and placebo-randomized in purple). rCFU, relative colony-forming units

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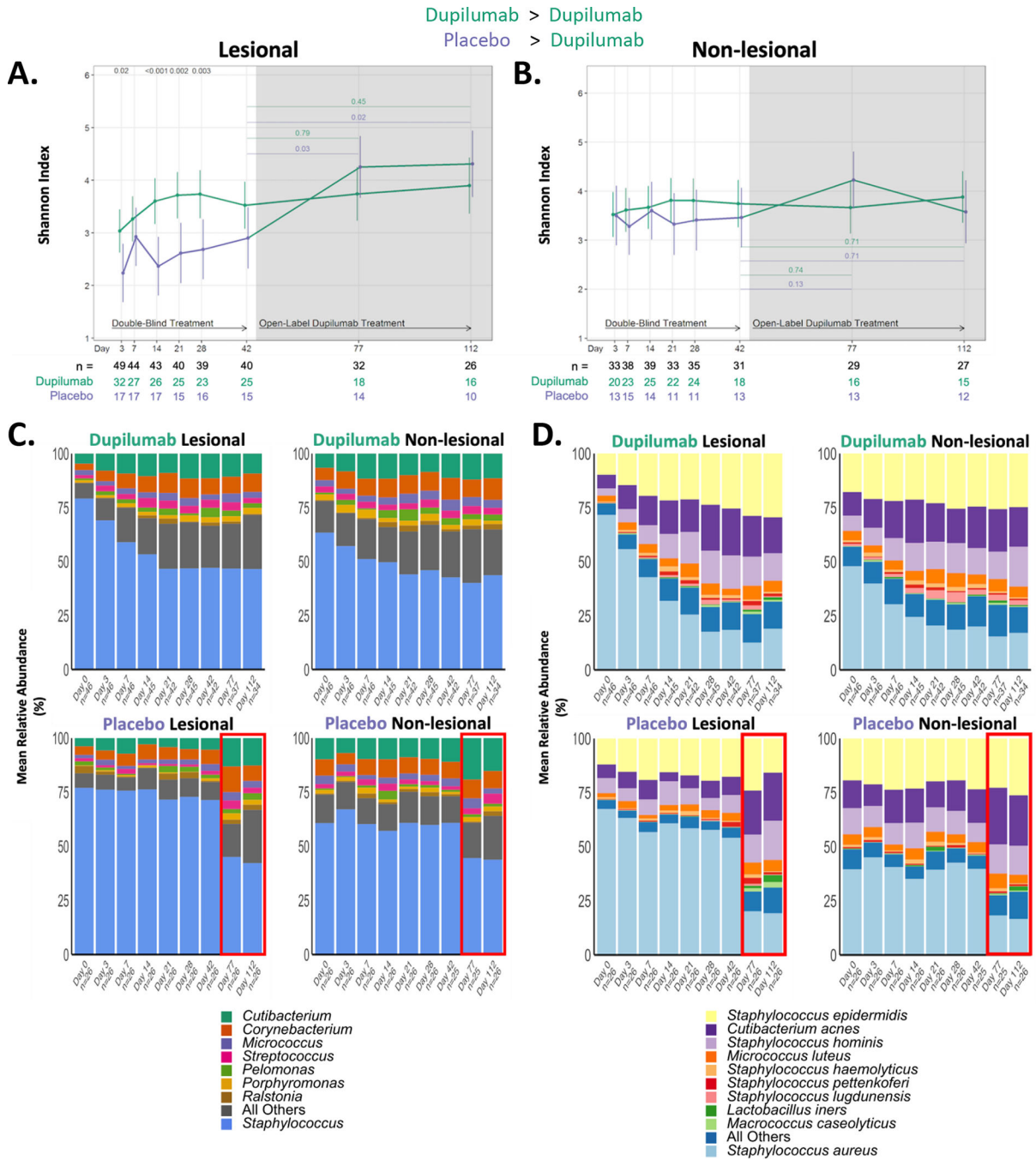


Figure 4. Measures of the cutaneous microbial community changed rapidly in response to dupilumab treatment. (A) Longitudinal changes in Shannon diversity observed from 16S rRNA analysis of lesional and (B) non-lesional skin in the placebo and dupilumab-treated groups. The number of participants with evaluable data at each timepoint are noted below the X axis (with the total population denoted in black, dupilumab-randomized participants in green and placebo-randomized in purple). (C & D) Relative abundance of the most dominant genera (C) and species (D) in dupilumab and placebo randomized groups. Only the most abundant

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OTUs are presented. A decrease in the relative abundance of *S. aureus* is observed in the dupilumab treatment groups. The red box in the placebo-randomized group (**C & D**) illustrates that for those two timepoints (Day 77 and 112) participants originally randomized to placebo were now receiving dupilumab (as part of the OLE phase of the study).
rRNA, ribosomal RNA; OTU, operational taxonomic unit

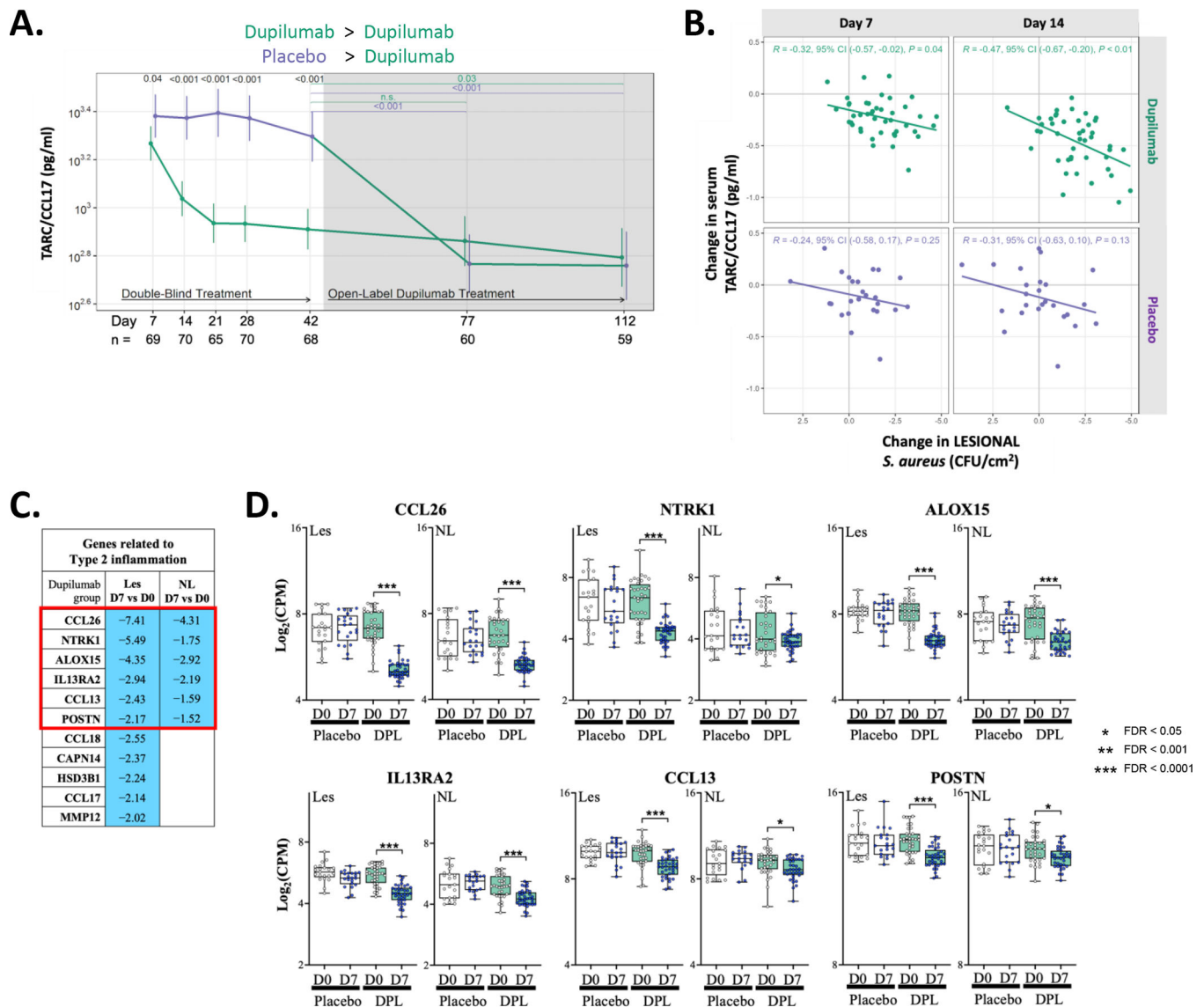


Figure 5. Reductions in type 2 serum and tissue biomarkers as a function of dupilumab treatment.

(A) Longitudinal changes in serum TARC/CCL17 during RDBPC and OLE phases of the study. The number of participants with evaluable data at each timepoint are noted below the X axis (with the total population denoted in black, dupilumab-randomized participants in green and placebo-randomized in purple). (B) Reductions in serum CCL17 significantly correlated with reductions in *S. aureus* lesional CFU abundance at both day 7 ($p=0.04$) and day 14 ($p=0.01$) in dupilumab-treated participants but there was no correlation observed at either timepoint in placebo-treated participants. (C) Differentially expressed genes (DEGs) related to type 2 inflammation (with a FDR of <0.05 and with a fold change >2.0 in lesional skin) is shown comparing day 7 to day 0 in the dupilumab-treated group. Blue indicates reduced expression at day 7 in the dupilumab-treated group. (D) Graphical illustration of the genes reduced in both Les and NL skin (Red Box in [C]). Placebo data is shown in open box plots and dupilumab in shades of green.

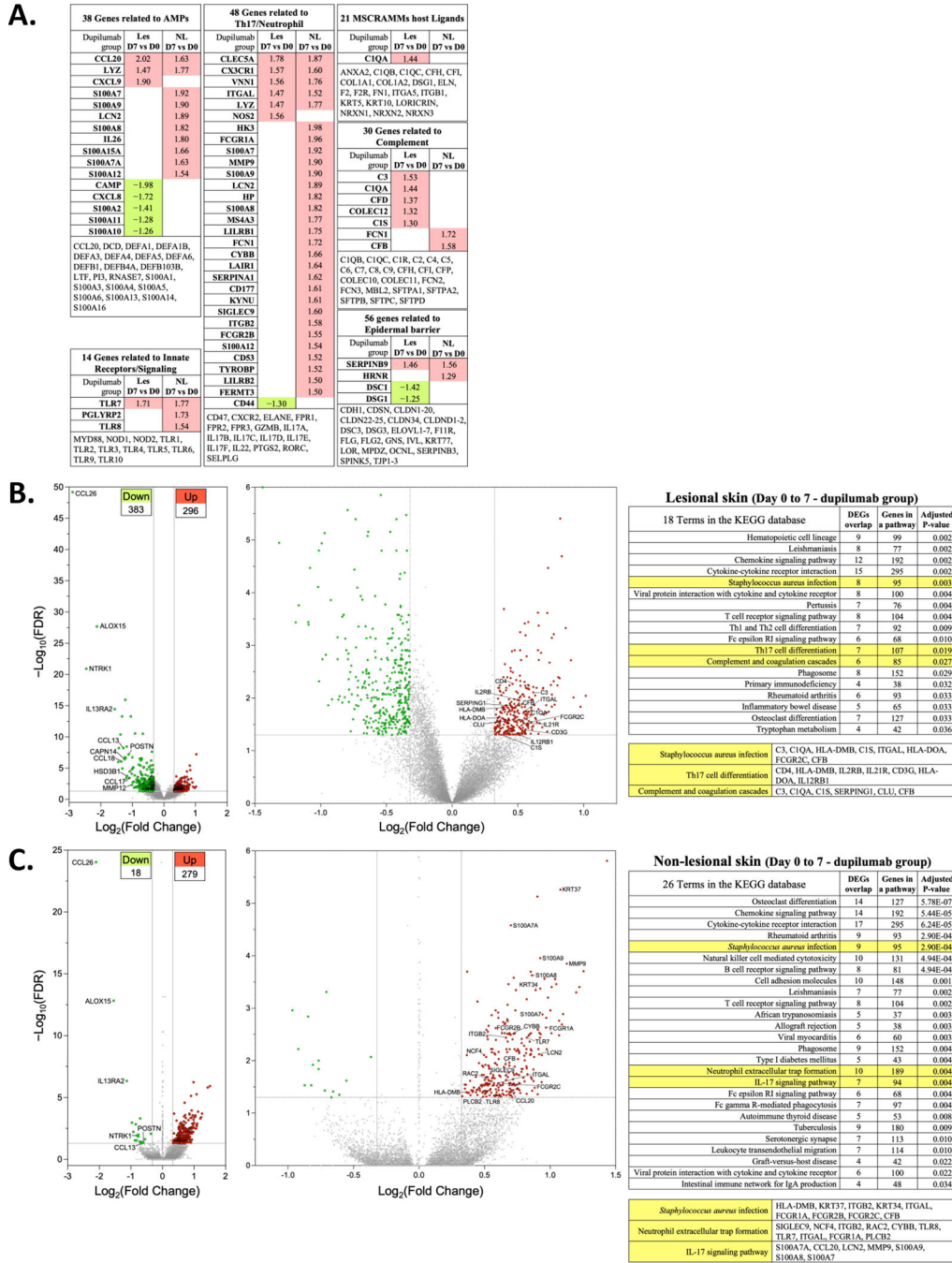
CFU, colony forming units; FDR, false discovery rate; les, lesional skin; NL, nonlesional skin

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skin of dupilumab treated subjects (Day 7 vs Day 0). The graphs show \log_2 FC in gene expression of lesional skin (Day 7) over lesional skin (Day 0) plotted against negative \log_{10} FDR. (C) Volcano plot showing upregulated (n=279) or downregulated (n=18) DEGs from non-lesional skin of dupilumab treated subjects (Day 7 vs Day 0). Genes represented in red are upregulated by >1.25-fold in Day 7 lesional or non-lesional skin (FDR < 0.05). Genes represented in green are downregulated by >1.25-fold in Day 7 lesional or non-lesional skin (FDR < 0.05). The left most volcano plot is all of the DEG and the right most volcano plot reduces the y axis to enable labelling of some of the upregulated DEGs. The table lists the KEGG pathways with a few highlighted in yellow because of their potential relevance to dupilumab-induced *S. aureus* reductions. We list the DEGs in these pathways that are dysregulated in our samples. DEG, differentially expressed genes; FDR, false discovery rate; les, lesional skin; NL, nonlesional skin; KEGG, Kyoto Encyclopedia of Genes and Genomes

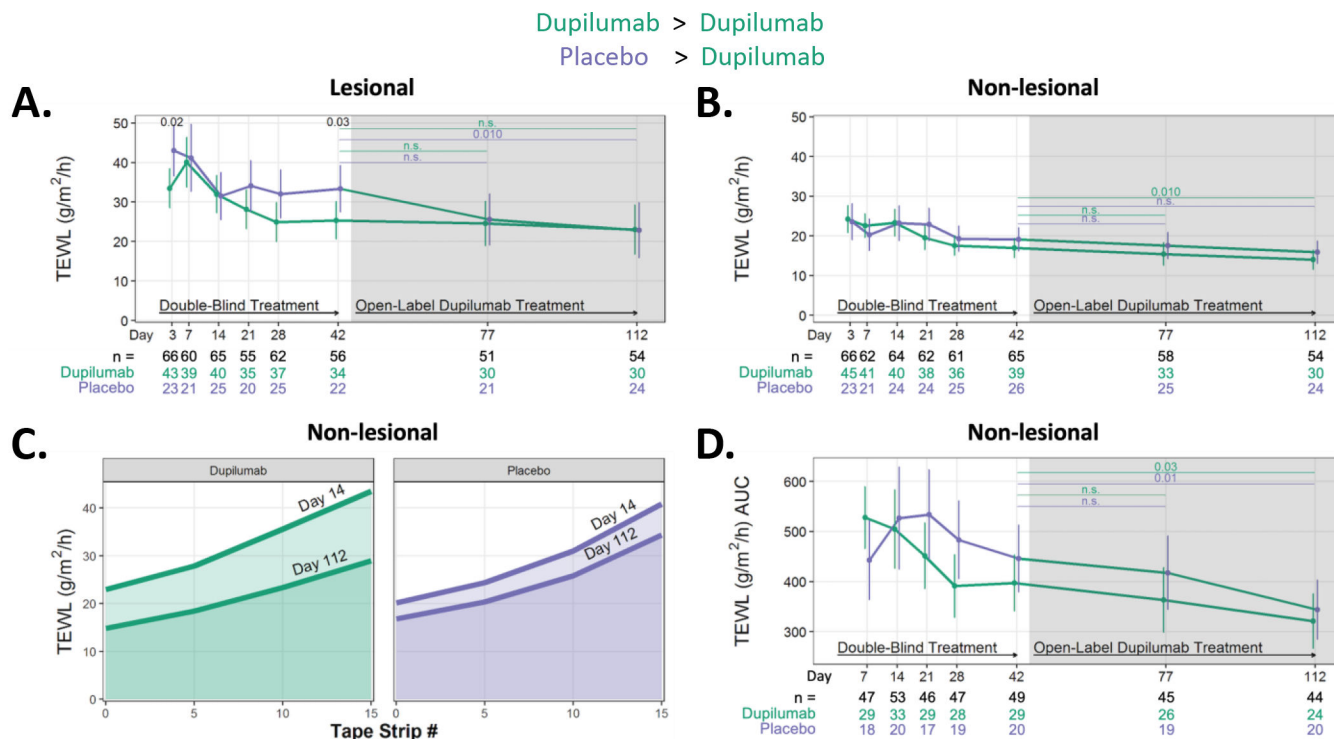


Figure 7. Dupilumab treatment modestly affects lesional and non-lesional barrier function at later timepoints.

(A) Changes in basal TEWL measurements in les and (B) NL skin sites. (C) The mean TEWL values obtained at NL skin site at Days 14 and 112 following five, ten and fifteen tape strips are shown for the dupilumab (green) and placebo (purple)-randomized populations to visualize how the AUC is calculated. (D) The longitudinal changes in TEWL AUC at NL skin sites.

AUC, area under the curve; les, lesional skin; NL, nonlesional skin; TEWL, transepidermal water loss.

Table 1.

Demographics and Baseline Characteristics

	Dupilumab, N = 45	Placebo, N = 26	Overall, N = 71
Study Site			
NJH	9 (20%)	5 (19%)	14 (20%)
URMC	9 (20%)	5 (19%)	14 (20%)
UCSD	7 (16%)	4 (15%)	11 (15%)
OHSU	6 (13%)	3 (12%)	9 (13%)
UPENN	6 (13%)	3 (12%)	9 (13%)
UFL	4 (8.9%)	2 (7.7%)	6 (8.5%)
CHLA	2 (4.4%)	2 (7.7%)	4 (5.6%)
STAN	2 (4.4%)	2 (7.7%)	4 (5.6%)
Gender (Female)	17 (38%)	7 (27%)	24 (34%)
Age at Screening			
Mean (SD)	36.6 (15.6)	37.3 (15.6)	36.9 (15.5)
Range	18, 65	18, 65	18, 65
Race			
White	21 (47%)	13 (50%)	34 (48%)
Asian	7 (16%)	7 (27%)	14 (20%)
Black or African American	8 (18%)	3 (12%)	11 (15%)
More than One Race	5 (11%)	1 (3.8%)	6 (8.5%)
Unknown or not reported	3 (6.7%)	2 (7.7%)	5 (7.0%)
American Indian or Alaska Native	1 (2.2%)	0 (0%)	1 (1.4%)
Ethnicity			
Not Hispanic or Latino	39 (87%)	22 (85%)	61 (86%)
Hispanic or Latino	4 (8.9%)	4 (15%)	8 (11%)
Unknown or Not Reported	2 (4.4%)	0 (0%)	2 (2.8%)
BMI at Screening (kg/m²)			
Mean (SD)	27.5 (5.7)	25.8 (4.9)	26.9 (5.5)
Range	18.6, 41.5	17.6, 36.0	17.6, 41.5
IGA			
Moderate	16 (36%)	11 (42%)	27 (38%)
Severe	29 (64%)	15 (58%)	44 (62%)
EASI at Baseline			
EASI < 21.1	16 (36%)	10 (38%)	26 (37%)
EASI ≥ 21.1	29 (64%)	16 (62%)	45 (63%)
EASI (0–72)			
Mean (SD)	28.44 (12.16)	28.55 (10.89)	28.48 (11.63)
Range	16.10, 58.80	16.00, 50.25	16.00, 58.80

	Dupilumab, N = 45	Placebo, N = 26	Overall, N = 71
SCORAD (0–103)			
Mean (SD)	62.11 (11.70)	59.89 (10.86)	61.30 (11.38)
Range	42.44, 91.41	43.97, 90.14	42.44, 91.41
Pruritus NRS (0–10)			
Mean (SD)	6.69 (2.17)	7.04 (1.48)	6.82 (1.94)
Range	1.00, 10.00	4.00, 10.00	1.00, 10.00
NESS (3–15)			
Mean (SD)	13.51 (1.49)	13.58 (1.21)	13.54 (1.38)
Range	10.00, 15.00	11.00, 15.00	10.00, 15.00
Lesional <i>S. aureus</i> (rCFUs) OR (CFUs)			
Negative	1 (2.2%)	0 (0%)	1 (1.4%)
Positive	44 (98%)	26 (100%)	70 (99%)
Non-lesional <i>S. aureus</i> (rCFUs) OR (CFUs)			
Negative	0 (0%)	0 (0%)	0 (0%)
Positive	45 (100%)	26 (100%)	71 (100%)
<i>S. aureus</i> (rCFU/cm² Log₁₀) Lesional			
Mean (SD)	3.64 (1.52)	3.14 (2.14)	3.46 (1.77)
Range	0.00, 6.04	0.00, 6.30	0.00, 6.30
<i>S. aureus</i> (rCFU/cm² Log₁₀) Non-lesional			
Mean (SD)	2.12 (1.50)	1.89 (1.18)	2.03 (1.39)
Range	0.00, 5.01	0.00, 4.04	0.00, 5.01
Ever been diagnosed with EH? (Yes)	1 (2.2%)	1 (3.8%)	2 (2.8%)
Ever had a Staph infection? (Yes)	15 (33%)	9 (35%)	24 (34%)
Known allergy to animals? (Yes)	30 (67%)	19 (73%)	49 (69%)
Pets living in home? (Yes)	26 (58%)	10 (38%)	36 (51%)
Anyone smoke in home? (Yes)	11 (24%)	3 (12%)	14 (20%)

Data are presented as mean (standard deviation) for continuous variables or as number (%) for categorical variables.