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## Sex and pubertal influences on the neurodevelopmental underpinnings of schizophrenia: a case for longitudinal research on adolescents

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### Abstract

Sex is a significant source of heterogeneity in schizophrenia, with more negative symptoms in males and more affective symptoms and internalizing comorbidity in females. In this narrative review, we argue that there are likely sex differences in the pathophysiological mechanisms of schizophrenia-spectrum disorders (SZ) that originate during puberty and relate to the sex-specific impacts of pubertal maturation on brain development. Pubertal maturation might also trigger underlying (genetic or other) vulnerabilities in at-risk individuals, influencing brain development trajectories that contribute to the emergence of SZ. This review is the first to integrate links between pubertal development and neural development with cognitive neuroscience research in SZ to form and evaluate these hypotheses, with a focus on the frontal-striatal and frontal-limbic networks and their hypothesized contribution to negative and mood symptoms respectively. To test these hypotheses, longitudinal research with human adolescents is needed that examines the role of sex and pubertal development using large cohorts or high risk samples. We provide recommendations for such studies, which will integrate the fields of psychiatry, developmental cognitive neuroscience, and developmental endocrinology towards a more nuanced understanding of the role of pubertal factors in the hypothesized sex-specific pathophysiological mechanisms of schizophrenia.

### Keywords

hormones; sex-specific; pathophysiology; psychosis; MRI

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Conflicts of interest

The Authors have declared that there are no conflicts of interest in relation to the subject of this study.

## 1. Introduction

Despite years of research efforts, long-term outcomes for individuals with schizophrenia-spectrum disorders (SZ) remain poor (Lally et al., 2017) and associated healthcare and societal costs are disproportionately high (Cloutier et al., 2016; Desai et al., 2013). Although remission of psychotic symptoms is achievable (Masand et al., 2009), 80% of individuals relapse within five years (Eisner et al., 2013). Moreover, existing pharmacological treatments do not alleviate negative symptoms, cognitive symptoms, or social impairments (Dodell-Feder et al., 2015). One barrier to effective treatments is the striking heterogeneity in clinical presentations of SZ, with varying symptom types (hallucination vs. delusion), content (paranoid vs. grandiose delusion), and comorbidities. The mechanisms underlying this heterogeneity are unknown, leaving a significant gap in knowledge that is important to address for the development of more efficacious treatments (Cooper et al., 2019; Leucht et al., 2009; Samara et al., 2018).

A significant source of heterogeneity in schizophrenia is sex (note: this review broadly uses the term sex without further differentiating between biological sex, sex assigned at birth, and gender identity<sup>1</sup>). Age of SZ onset peaks in early adulthood, but is 3–4 years earlier in males than females, and there is a secondary peak around menopause in females (Häfner, 2003; Kirkbride et al., 2012; Mancuso et al., 2015). Additionally, sex differences exist in symptoms, clinical presentation, and treatment outcomes (Hanlon et al., 2017; Heila et al., 1997; Mancuso et al., 2015; Schultz et al., 1997) and are already visible in clinical-high-risk stage (Walder et al., 2013). Specifically, males have worse negative symptoms (e.g., anhedonia, avolition) (Schultz et al., 1997) and occupational and social outcomes (Hanlon et al., 2017; Mancuso et al., 2015); females have higher rates of affective symptoms and comorbid depression (Mancuso et al., 2015), anxiety (Cosoff and Häfner, 1998), and suicidal behaviors (Heila et al., 1997). Further, although females respond better to antipsychotic medication (Ceskova et al., 2015), they experience more serious side effects (Seeman, 2009). Given these sex differences, it is plausible that the pathophysiological mechanisms of SZ vary by sex, and may begin to manifest during puberty.

Sex differences in SZ pathophysiology might manifest during puberty through the sex-specific impacts of pubertal maturation and associated psychosocial experiences on brain development. SZ is conceptualized as a neurodevelopmental disorder (Murray et al., 2017) typically emerging in late adolescence. Adolescence starts at the onset of puberty and entails substantial physical and neural maturation and adaptation to new expectations for social and cultural roles and responsibilities (Herting and Sowell, 2017). Puberty involves hormonal and physical changes, which unfold in a sex-dependent manner. Animal and human research demonstrates that the effects of pubertal hormones on brain structure and function vary by sex.

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<sup>1</sup>We don't differentiate between biological sex, sex assigned at birth, and gender identity given that, unfortunately, the extant literature reviewed does not consistently report how sex is defined or how/whether gender-diverse youth are included. Given the focus on neural functioning, we emphasize sex as a biological variable although we acknowledge sex and gender as intertwined but non-redundant constructs, see Methodological Considerations for more information.

Of particular interest are two brain networks whose development is affected by pubertal processes, the frontal-striatal and frontal-limbic networks. The frontal-striatal network encompasses the subcortical regions of the striatum and medial prefrontal cortex (PFC), and has an important role in reward and motivational processes (Liu et al., 2011). The frontal-limbic network encompasses limbic regions (e.g., amygdala) and medial and lateral PFC, and is known for its importance in emotion regulation (Etkin et al., 2015). Later sections detail how these networks have been associated with symptoms that show a sex discrepancy in SZ, i.e., frontal-striatal with negative symptoms (Chung and Barch, 2016; Katthagen et al., 2020; Murray et al., 2008; Waltz et al., 2009; Zhang et al., 2016) and frontal-limbic with affective and psychotic symptoms (Modinos et al., 2015; Park et al., 2018; Vai et al., 2015).

Puberty might also trigger underlying genetic, epigenetic, and fetal/early environmental vulnerabilities for SZ, putting at-risk individuals on a different brain development trajectory that could lead to development of SZ. This idea combines evidence of genetic and early environmental risk factors of SZ (Birnbaum and Weinberger, 2017; Rapoport et al., 2012) with theories that SZ arises from neurobiological abnormalities originating, in part, from disruptions in adolescent brain development (Rapoport et al., 2012). However, research examining neurodevelopmental pathways to SZ has largely ignored pubertal effects. Consequently, very little is known about their effects on the development of brain networks associated with SZ, and whether these effects are altered in at-risk individuals.

Understanding the mechanisms that contribute to the onset and maintenance of SZ requires systematic investigation of the impact of pubertal development, including steroid hormones, on brain development. First, pubertal development might cause sex differences in symptom profile and course of illness in SZ, through its effect on brain development. Second, puberty might express underlying (genetic or other) vulnerabilities, resulting in an altered neurodevelopmental path that can lead to SZ. Although this current directions paper is not the first to mention the relevance of puberty and hormonal factors (Owens et al., 2018; Patel et al., 2021), it is the first to integrate links between pubertal development and neural development with cognitive neuroscience research in SZ to shape and evaluate the two above-mentioned theories on the pathophysiological mechanisms of SZ (summarized in Figure 1), with a focus on the frontal-striatal and frontal-limbic networks and their hypothesized contribution to negative and mood symptoms respectively. We aim to form testable hypotheses and methodological recommendations for the research required to better evaluate these theories.

## **2. Puberty, steroid hormones, and brain network development in relation to schizophrenia symptoms**

### **2.1 Direct links between steroid hormones and SZ**

Given the sex-related differences in SZ symptom presentation, steroid hormones may play a role in this differentiation. Indeed, a large literature has examined the role of estrogen in female adults with SZ. Findings indicate an antipsychotic effect of estrogen, demonstrated by reduced psychotic symptom severity during high estrogen periods in the menstrual cycle

(Bergemann et al., 2007) and in response to exogenous estrogen administration (Begemann et al., 2012), and a secondary peak in incidence after menopause. A smaller and more varied literature has addressed testosterone. Some studies report lower testosterone levels in males with SZ (Huber et al., 2005) and male adolescents at clinical high risk (Van Rijn et al., 2011); others have failed to replicate these findings (Ceskova et al., 2015), possibly due to individual differences in negative symptoms masking group effects (Markham, 2012). Low testosterone is consistently associated with more severe negative symptoms, and administration of exogenous testosterone improves negative symptoms (Ko et al., 2008). Notably, no studies have examined links between changes in steroid hormones in adolescence and SZ symptoms.

## 2.2 Pubertal timing and SZ

Motivated by the theory that estrogen is protective, studies tested whether earlier onset of puberty is associated with later onset of psychosis and lower symptom severity in females. Non-conclusive results are reported, likely due to small samples using retrospective cross-sectional designs: some indicate associations in the predicted direction (Cohen et al., 1999; Galdos et al., 1993) whilst others indicate no association (Hochman and Lewine, 2004; Rubio-Abadal et al., 2016; Ruiz et al., 2000). To better understand pubertal influences prior to the onset of SZ, recent work has focused on high-risk samples. The North American Prodrome Longitudinal Study (NAPLS), the largest study of high-risk individuals to date, reported no difference in self-reported physical pubertal development between high- and low-risk adolescents of the same age (Moskow et al., 2016). However, another study indicated later timing of self-reported physical pubertal development in males (but not females) at genetic high risk for SZ relates to more severe prodromal negative symptoms (Ramanathan et al., 2015). In sum, no consistent association has been found between the timing of puberty and risk for SZ.

## 2.3 Frontal-limbic and frontal-striatal brain networks in SZ

The frontal-limbic and frontal-striatal networks are two key circuits implicated in SZ. The frontal-limbic network is involved in processing and regulating response to emotionally salient stimuli; its functioning is relevant for psychotic, depression, and anxiety symptoms. Reduced frontal-limbic connectivity during emotion processing in adults with SZ relates to more severe psychotic symptoms (Modinos et al., 2015; Park et al., 2018; Vai et al., 2015), worse symptoms overall (Anticevic et al., 2013), and worse social functioning (Bjorkquist et al., 2016). Additionally, reduced cognitive control of emotion is associated with worse paranoia in adults with SZ (Hooker et al., 2011), suggesting a mechanism through which frontal-limbic function might influence positive symptoms. Studies in adolescent samples (non-SZ) demonstrate involvement of frontal-limbic network activation (Bertocci et al., 2017; Swartz et al., 2014) and connectivity (Gard et al., 2018; Swartz et al., 2014) in anxiety and depression, putatively given involvement of this network in emotion regulation. Considering these links with both psychotic and internalizing disorders, abnormalities in frontal-limbic function might explain comorbid risk for internalizing disorders in those at clinical high risk for psychosis (Lin et al., 2015). This transdiagnostic risk is also illustrated by the effectiveness of cognitive behavioral therapy, which targets emotion regulation and strengthens lateral prefrontal cortex activation (Yang et al., 2018), for reducing the risk

of transition to psychosis in high-risk individuals (van der Gaag et al., 2013). A role for estrogen receptors in modulating the frontal-limbic network is suggested by a placebo-controlled study in adults with SZ, which reported increased lateral PFC activation during implicit emotion regulation after treatment with a selective estrogen receptor modulator, although symptoms did not significantly improve (Kindler et al., 2016). However, the full pathway from estrogen receptor functioning to psychotic symptoms through frontal-limbic function is not yet established. The frontal-limbic system has also been considered in the context of the stress sensitivity model: stressful life events are a risk factor for SZ and internalizing disorders, and heightened cortisol levels have been found in participants with first-episode psychosis or clinical-high-risk (Hubbard and Miller, 2019), but not in studies focused on schizophrenia only (Hubbard and Miller, 2019; Zorn et al., 2017). Lower hippocampal volume has been considered as a mechanism linking cortisol to psychosis because of its high number of glucocorticoid receptors, but meta-analyses concluded hippocampal volume is normal in high-risk (Walter et al., 2016) and first-episode patients (Radua et al., 2012).

The frontal-striatal network supports motivational, reward, and several cognitive processes. Negative symptoms in SZ (e.g., avolition, anhedonia) are thought primarily to reflect impairments in initiating rewarding activities driven by disruptions in the frontal-striatal network. This is supported by a robust literature demonstrating dysfunction of striatal regions during anticipation and receipt of rewards (Chung and Barch, 2016; Katthagen et al., 2020; Murray et al., 2008; Waltz et al., 2009; Zhang et al., 2016) and aberrant medial PFC activation during reward-related tasks (Lee et al., 2019; Segarra et al., 2016; Waltz et al., 2013; Zhang et al., 2016) in SZ. Reduced ventral striatum activation during reward anticipation has also been consistently associated with negative symptoms (Juckel et al., 2006; Simon et al., 2010; Waltz et al., 2009) in adults, although recent work reports hypoactivation in the *dorsal* striatum during reward anticipation in relation to anhedonia in early adolescents (Pornpattananangkul et al., 2019). A study in male adults demonstrated a positive association between testosterone and ventral striatal response to unexpected rewards that was absent in males with SZ, which the authors speculate is due to dysfunctional sex steroid receptor signaling in the midbrain of people with SZ (Morris et al., 2015). Similar research in younger SZ-relevant populations, such as prodromal or high-risk adolescents, in relation to puberty and steroid hormones, is needed.

## 2.4 Summary and next steps

Findings so far suggest a link between higher estrogen and fewer positive symptoms and between higher testosterone and fewer negative symptoms. Neuroimaging research reports involvement of the frontal-limbic network in positive and affective symptoms, and associations of reduced activation of the frontal-striatal network during rewards with negative symptoms. To overcome limitations of the current research, we need well-powered, longitudinal studies that include both sexes to discern the neurobiological mechanisms behind steroid hormone associations with (sex differences in) SZ symptoms. There is also a need for more research on brain function and pubertal maturation in *adolescents* with psychosis or at high risk for psychosis.

### 3. Understanding puberty and its relevance to frontal-limbic and frontal-striatal brain development

#### 3.1 Processes of Puberty: Adrenarche and Gonadarche

Puberty encompasses hormonal and physical changes, broadly categorized as adrenarche and gonadarche. Puberty begins with adrenarche when adrenal glands mature following activation of the hypothalamic-pituitary-adrenal (HPA) axis (starting age 6–9) culminates in increased production of adrenal androgens like androstenedione, dehydroepiandrosterone (DHEA) dehydroepiandrosterone sulfate (DHEAS), and testosterone. These hormones are responsible for physical changes like pubic hair and acne, and continue to increase into the mid-20s (Herting and Sowell, 2017; Sjøberg et al., 2014; Vijayakumar et al., 2018).

Gonadarche involves activation of the hypothalamic-pituitary-gonadal (HPG) axis (starting age 9–10): gonadotropin-releasing hormone pulses from the hypothalamus occur more frequently, stimulating the anterior pituitary to secrete luteinizing hormone and follicle stimulating hormone (FSH) which, in turn, act on the ovaries and testes to produce sex steroids like estrogen, progesterone and testosterone (Mendle et al., 2019a; Vijayakumar et al., 2018). Sex steroids drive reproductive maturity and development of secondary sex characteristics. These hormones can increase into the early-to-mid 20s (Frederiksen et al., 2020; Sjøberg et al., 2014). Gonadarche begins approximately 1–1.5 years later in males than females (Mendle et al., 2019a).

Of note, the HPA axis also produces cortisol, and cortisol levels and reactivity increase with pubertal development (Gunnar et al., 2009; Shirtcliff et al., 2012). The HPG and HPA axes are generally positively coupled but can interact in various, complex ways (which are beyond the scope of this review but see (Shirtcliff et al., 2015)).

Thus, pubertal development encapsulates several underlying processes expressed in sex-specific ways. Next we review how these processes impact, directly or indirectly (e.g., through physique/motivation changes that influence psychosocial interactions), development of the frontal-striatal and frontal-limbic brain networks.

#### 3.2 Puberty and Frontal-Limbic Development

Pubertal processes contribute to structural development of the frontal-limbic network in adolescence. Increasing testosterone and physical pubertal maturation have been consistently associated with increased amygdala and hippocampus volumes in males but not females (Barendse and Pfeifer, 2021). Pubertal development, including hormones, contributes to the normative process of cortical thinning across many regions of the cortex (Barendse and Pfeifer, 2021; Vijayakumar et al., 2018).

A prominent neurodevelopmental theory posits an increase in subcortical reactivity (e.g., amygdala response) to emotional stimuli starting at puberty, along with a slower, linear increase in lateral PFC function that supports emotion regulation (Casey et al., 2011). However, pubertal maturation has been associated with increased, decreased, and unaltered amygdala response to emotional faces (Barendse and Pfeifer, 2021; Vijayakumar et al.,

2018). Discrepant findings are likely influenced by small sample sizes, varying age ranges, sex-specific effects, and fMRI task design variations. Evidence for stronger lateral PFC activation during emotion regulation in adults compared to adolescents is also inconsistent (Pozzi et al., 2021). More advanced pubertal development has been associated with less ventrolateral PFC activation and higher DHEA with less dorsolateral PFC activation to emotional faces, although null findings have been reported (Vijayakumar et al., 2018). Recent models of frontal-limbic development and emotion regulation more prominently include social brain regions and suggest that adolescent development of the PFC can have both ‘good’ and ‘bad’ consequences (the ‘bad’ including contributions to rumination and anticipating problems) (Pfeifer and Allen, 2020).

### 3.3 Puberty and Frontal-Striatal Development

Reward sensitivity and sensation seeking behaviors peak in late adolescence, accompanied by a peak in ventral striatum response to rewards (Braams et al., 2015; Shulman et al., 2015; Silverman et al., 2015). Pubertal processes might play a role in these developmental changes in reward processing: More advanced physical development and higher testosterone have been associated with smaller nucleus accumbens volume (Goddings et al., 2014; Wierenga et al., 2018) (although null findings are reported (Koolschijn et al., 2014; Neufang et al., 2009)) and pubertal stage is positively associated with orbitofrontal-accumbal white matter development in males (Chahal et al., 2021). Several studies have reported positive associations between adolescents’ testosterone and striatal activation during reward feedback in both sexes (Alarcón et al., 2017; Braams et al., 2015; Op de Macks et al., 2011), although there are null findings as well (Forbes et al., 2010; Ladouceur et al., 2019; Poon et al., 2019). Striatal response to reward *anticipation* has been studied less often, but results show a similar positive association with testosterone in males (Forbes et al., 2010). Higher testosterone has further been related to stronger connectivity between ventral striatum and anterior cingulate during reward anticipation in female but not male adolescents (Ladouceur et al., 2019).

### 3.4 Summary and next steps

Prior literature indicates that steroid hormones and pubertal development are associated with variations in frontal-limbic and frontal-striatal networks and that some of these associations are moderated by sex. Adolescence is characterized by increased striatal activation to reward and these effects may be driven in part by testosterone, independent of age (Alarcón et al., 2017; Braams et al., 2015; Op de Macks et al., 2011). This is consistent with the neurodevelopmental model positing a mismatch between the development of subcortical systems supporting *reactivity* to rewarding and emotional stimuli and prefrontal cortical systems supporting *regulation* of those stimuli (Casey et al., 2011). This model proposes a similar mismatch in the frontal-limbic network, but evidence for a puberty-driven peak in limbic reactivity to emotional stimuli is inconsistent (Barendse and Pfeifer, 2021). Research is needed to establish pubertal processes in relation to frontal-striatal and frontal-limbic *connectivity* (as opposed to regional activation), and to determine whether developmental changes are linear. One study (Vijayakumar et al., 2019) reported nonlinear relations between changes in testosterone levels and limbic activation in response to emotional faces,

highlighting the importance of longitudinal study designs and the modeling of non-linear associations.

## 4. Puberty, Steroid Hormones, and Brain Chemistry in SZ

### 4.1 Hormonal mechanisms

Steroid hormones released from the gonads and adrenal cortex across sexes influence functioning of a wide range of organs, including the brain. Estrogens and androgens bind to estrogen and androgen receptors, respectively, after which the receptor relocates to the nucleus to impact gene transcription. Testosterone can be converted to estradiol through aromatization. Steroid hormones can also bind to membrane receptors for rapid influence on neuronal function, acting as receptor agonists or antagonists. In these ways, the hormones modulate functioning of neurotransmitters and their receptors, including in the dopamine, glutamate,  $\gamma$ -Aminobutyric acid (GABA) and serotonin systems (Maninger et al., 2009; McCarthy, 2008; Schulz et al., 2009). Effects of steroid hormones on neurotransmission vary by brain region and sex. Importantly, functioning of these neurotransmitter systems or their receptors have been found to be disrupted in SZ, possibly contributing to hallucinations and delusions, and occurring in regions underlying cognitive and social functioning (Stahl, 2018). Below we highlight ways in which steroid hormones modulate neurotransmitter functioning (for expansive reviews, see (Maninger et al., 2009; McCarthy, 2008; Schulz et al., 2009)), with particular focus on contributions to SZ symptoms and pathophysiology.

### 4.2 Dopamine

A core mechanism underlying positive symptoms in SZ is thought to be dopamine hyperactivity at D2 dopamine receptors in the ventral striatum (Stahl, 2018). Simultaneously, dopamine is a key neurotransmitter in the frontal-striatal network, and dysfunction of this network has been consistently linked to negative symptoms (Juckel et al., 2006; Simon et al., 2010; Waltz et al., 2009). Prenatal stress, a well-known risk factor for SZ, causes increased dopamine activity and receptor expression in the ventral striatum, with implications for prepulse inhibition and animal phenotypes of SZ (Markham and Koenig, 2011). These effects are often not visible until after pubertal onset, suggesting the rise in steroid hormones and their impact on the frontal-striatal network at puberty might expose the early vulnerabilities. Animal studies support the idea that gonadal hormones modulate the dopamine system. For example, testosterone enhances midbrain dopamine synthesis and D2 receptor expression and normalizes medial PFC dopamine after gonadectomy (Sinclair et al., 2014). In female rats, estrogen appears protective against sensorimotor gating disruptions induced by DR1 and DR2 agonists (Gogos et al., 2010). Most research on gonadal hormones and dopamine was done in adult animals though, despite differences between adolescents and adults in hormone levels and dopamine function; more research during adolescence is needed.

### 4.3 Glutamate

Glutamate is another key neurotransmitter involved in SZ pathophysiology, given consistent findings of N-methyl-D-aspartate receptor hypofunction and elevated glutamatergic levels in SZ. Proton Magnetic Resonance Spectroscopy (MRS) investigation of glutamate and



glutamine levels in the medial PFC of adolescents at high genetic risk for SZ (Tibbo et al., 2004) support findings in unmedicated patients (Poels et al., 2014) that there are increased levels of glutamate in this region, which may lead to excitotoxicity that later contributes to the decreased levels in chronic patients (Tibbo et al., 2004). Animal research indicates estradiol can modulate PFC glutamate function in adolescence: in adolescent rodents, estrogen protects against the negative impact of repeated stress on glutamatergic transmission and PFC-dependent cognition (Wei et al., 2014); conversely repeated stress exposure in males leads to impaired cognition and reductions in glutamate transmission and receptor expression in the PFC, consistent with chronic SZ.

#### 4.4 GABA

GABA has been of interest in SZ research because of its inhibitory function, opposing excitation by glutamate. Proton MRS studies have not consistently found altered GABA levels in any region in SZ compared to controls, despite indications from postmortem studies of impaired GABA function in SZ (Egerton et al., 2017). Impairments in GABA function might be limited to a subgroup of individuals or specific to the synapse (which cannot be measured with MRS). Animal studies on sex steroid hormones suggest estradiol and progesterone influence GABA function. Estradiol can induce temporary changes in the number of dendritic spines in the hippocampus and arcuate nucleus of the hypothalamus in adult females, such that numbers fluctuate with the menstrual cycle, and, in the arcuate nucleus, is likely due to estradiol's influence on GABA synthesis (McCarthy, 2008). Female puberty in mice increases GABA release and inhibitory neurotransmission in the anterior cingulate cortex (Piekarski et al., 2017), a region implicated in SZ and adolescent depression (Fornito et al., 2009; Lichenstein et al., 2016). Further, progesterone reductions have been linked to premenstrual and postpartum depressive symptoms in high-risk females (Bloch et al., 2000; Hantsoo and Epperson, 2015), which might be partially mediated by the impact of progesterone and its metabolites on GABA<sub>A</sub> receptors in e.g. the hippocampus (Andréen et al., 2009). Together, these findings suggest that estradiol and progesterone influence GABA function in subcortical regions and the anterior cingulate. These patterns have relevance for mood problems, warranting further investigation of these hormones and GABA function in subgroups of psychoses with affective symptoms.

#### 4.5 Serotonin

Serotonin also plays a role in psychosis. Hyperactivity of serotonin receptor 5-HT<sub>2A</sub> on glutamate neurons in the visual cortex is a potential pathway to visual hallucinations (Stahl, 2018). Animal and human research has found steroid hormones, especially estrogens, to modulate serotonin functioning, including modulation of serotonin biosynthesis and receptor and transporter expression (Barth et al., 2015; Bethea et al., 2002). However, whether estrogen has a facilitatory or inhibitory impact varies by serotonin receptor subtype, and receptor subtypes show a complex pattern of neurochemical actions. Few studies have examined these modulatory impacts in the context of SZ. In a small sample of healthy women, treatment with estradiol prevented the disruption of prepulse inhibition induced by a partial serotonin 5-HT<sub>1A</sub> receptor agonist (Gogos et al., 2006). Disruption of prepulse inhibition is a common cognitive deficit in SZ. In summary, estrogens can alter serotonin

functioning through a variety of molecular and cellular mechanisms, although there is limited research on the clinical implications for psychotic symptoms.

#### 4.6 Summary and next steps

Steroid hormones have sex-specific and region-specific impact on the functioning of many neurotransmitter systems. Examples include estradiol augmenting striatal dopamine function in female rats; testosterone regulating cortical dopamine function in male animals; and estradiol and progesterone increasing GABA release and inhibitory neurotransmission in medial frontal regions of female pubertal mice. Notably, other neurotransmitter systems are likely influenced by steroid hormones and contribute to brain mechanisms underlying psychosis, such as cannabinoids (Viveros et al., 2012), but are beyond the scope of this review.

An important consideration is that findings on steroid hormone effects on neurotransmitter function from other phases of life or from rodent models are not always translatable to human adolescence. Proton MRS is an effective way to study neurotransmitter function, mainly of glutamate and GABA, in living humans, and could be used as a next step to elucidate associations between changes in hormone levels in human adolescents and changes in neurotransmitter function implicated in SZ (e.g., glutamate in the medial PFC).

### 5. Methodological Considerations

We reviewed evidence supporting the idea that changes in steroid hormones in adolescence and their sex-specific impacts on brain development might contribute to sex differences in symptom profile and course of illness in SZ; or might express underlying vulnerabilities, placing some individuals on a neurodevelopmental trajectory toward higher SZ risk. These hypotheses remain to be tested in large, longitudinal studies in human adolescents. Below we describe recommendations for such studies.

#### 5.1 Study Design

A key starting point is to conduct prospective longitudinal studies. Longitudinal designs permit examination of both inter- and intra-individual variation; they allow modeling of developmental trajectories and evaluating differences between (groups of) people or effects of biological or social factors on these trajectories over time. Mixed effects modeling and latent change score modeling are powerful and flexible methods for modeling change over time and estimating the associations between (changes in) two variables in longitudinal research. These models can give insight into processes that unfold over years (e.g., many pathophysiological processes of SZ).

Adolescence is a particularly important developmental period of focus for longitudinal research on SZ. Considering the timeframe of pubertal processes (starting in late childhood and continuing through adolescence), relevant neurodevelopmental processes (all through adolescence), and emergence of SZ and other psychotic illnesses (likely late adolescence and early adulthood), longitudinal studies will ideally follow participants for all of adolescence. Additionally, data collection would begin before symptoms typically onset, and would include a very large sample to have enough adolescents who go on to

develop psychosis. Examples of such studies already underway are the Human Connectome Project-Development (HCP-D) and Adolescent Brain and Cognitive Development (ABCD) studies. Another promising approach is to collect a moderately large sample of high-risk adolescents, such as in NAPLS. This latter design is especially useful to determine whether the contribution of physical and hormonal pubertal processes to brain development in adolescence differs between those with versus without early risk factors for SZ.

## 5.2 Measuring relevant frontal-limbic & frontal-striatal processes

In addition to longitudinal study designs (which may be out of scope for some researchers), thorough investigation of the brain networks of interest (frontal-limbic and frontal-striatal) is achievable via well designed tasks for use both inside and outside of the MRI scanner. Recommendations for tasks that activate the frontal-limbic network include those that engage cognitive control of emotion, which encompasses both the effortful control of the experience and expression of emotional states (i.e., explicit emotion regulation, or ER) as well as the automatic control of the influence of emotional information on decision-making and behavior (i.e., implicit emotional conflict adaptation, or ECA). Neuroimaging studies demonstrate both ER and ECA tasks engage the frontal-limbic network (Tully and Niendam, 2014) and relate to key real-world experiences such as psychotic symptoms and social functioning (Hooker et al., 2014, 2011; Tully et al., 2012). Reappraisal tasks that require individuals to explicitly change the intensity of their emotional experience are used to investigate ER processes (Gross and Thompson, 2007), whereas tasks that require the inhibition of irrelevant emotional information in order to give task-relevant responses are used to investigate ECA (e.g., emotional n-back tasks (Casey et al., 2018), the faces-houses task (Bishop et al., 2004), the facial-emotional Stroop task (Etkin et al., 2006), and emotional flanker tasks (Hooker et al., 2014)). Recommendations for tasks that activate the frontal-striatal network include those that target reward processes, comprising four stages of reward prediction/anticipation (typically measured using the monetary incentive delay task [MID]), decision (i.e., choosing between options, typically measured using a gambling task), action (typically measured by modeling participant task responses), and reward receipt (typically measured during the feedback phase of a task like the MID) (Keren et al., 2018). Given that frontal-striatal activation during both reward anticipation and reward receipt has been linked to negative symptoms in SZ (Chung and Barch, 2016; Kathagen et al., 2020; Murray et al., 2008; Waltz et al., 2009; Zhang et al., 2016), we recommend using a task that measures both stages of reward processing. In an ideal scenario, researchers would include both frontal-limbic and frontal-striatal tasks in the context of cross-sectional experimental design with a broad age range, enabling the examination of inter-individual pubertal and age effects in both frontal-limbic and frontal-striatal networks.

## 5.3 Measuring Sex

Reminiscent of the state of the field (Hartung and Lefler, 2019), studies reviewed do not sufficiently define their sex variable or differentiate sex from gender. Sex-assigned-at-birth based on visual inspection of external genitalia often reflects biological sex (e.g., chromosomes, hormones, gonads) but not always (Calleja-Agius et al., 2012). Sex should be measured in a way it can be distinguished from gender identity, for questions for older adolescents and adults see Schmalenberger et al. (2021) and for measures for younger

adolescents and parents see Potter et al. (2022). Researchers should describe how intersex and gender-diverse participants were considered. One promising side effect of increased integration of hormone measurement in studies is that it permits the investigation of biological aspects of sex (e.g., hormonal profile) in a non-binary manner.

## 5.4 Measuring Puberty

Measuring puberty can be divided into assessing physical pubertal development (i.e., development of secondary sex characteristics) and pubertal hormones (i.e., sex steroid hormones). Pubertal development encapsulates three constructs: *pubertal status or stage*, where an individual is in the process of puberty, *pubertal timing*, a person's pubertal status relative to others their age and sex, and *pubertal tempo*, the pace of pubertal maturation, i.e. how fast an individual goes through puberty (Berenbaum et al., 2015; Mendle et al., 2019b). Pubertal status, timing, and tempo are measured by a widening range of methods.

**5.4.1 Physical Measures**—Table 1 provides a brief description of common methods for assessing physical pubertal development, summarizing advantages and limitations. Self-report or parent-report of secondary sex characteristics is an easy and inexpensive way to capture overall pubertal development. These are often scored as Tanner Stages (Tanner and Whitehouse, 1976), a classification method with five stages: pre-pubertal, early pubertal, mid-pubertal, late pubertal, and adult development. We recommend parent-report when the sample is young and/or early in puberty (e.g. 9–10), but self-report at older ages (e.g. 12+), see Table 1. Clinician assessment is also a valid method, but has high cost and time requirements, and it does not systematically outperform questionnaire methods for research purposes (Chavarro et al., 2017; Shirtcliff et al., 2009). Gonadal and adrenal aspects in physical development can be distinguished with a coding system for the PDS (Shirtcliff et al., 2009).

**5.4.2 Hormonal Measures**—Measuring hormone levels is of particular interest if researchers aim to examine a specific neurobiological pathway or neurotransmitter system (see Section 4). Hormone measurement is also valuable at the earliest (e.g., adrenarche) and the latest (e.g., early 20s) phases of pubertal development, when Tanner staging may show little variation but hormonal maturation occurs. If the research only requires a broad index of pubertal status or timing, then questionnaire methods will be most cost-effective and hormone assessment can be seen as complementary. Most pubertal hormones can be detected in blood, saliva, urine and hair. See Table 2 for advantages and limitations of each collection method. Saliva is the most commonly used biospecimen in pubertal development research, because it is less invasive and easier to collect than blood (Gröschl, 2008; Herting et al., 2021b; Liening et al., 2010). Hair sampling has recently gained interest because it is less sensitive to short-term fluctuations (e.g., diurnal and menstrual cycling), and this method is actively being validated in puberty research (with mixed results (Byrne et al., 2019; Smith et al., 2019; Wang et al., 2019)). We recommend repeated sampling of biospecimens to improve reliability and allow for correction of short-term variations in hormone levels. Sex should always be taken into account when modeling pubertal hormones.

**5.4.3 Pubertal Timing and Tempo**—Pubertal timing and tempo capture individual differences in pubertal development. Early pubertal timing has consistently been associated with higher risk for internalizing and externalizing psychopathology in both sexes (Ullsperger and Nikolas, 2017), as well as with threatening forms of early life adversity (Colich et al., 2020), but pubertal timing has not consistently been linked with SZ risk (see Pubertal timing and SZ). There is very little knowledge regarding the relevance of pubertal *tempo* for any form of psychopathology, and limited knowledge on how pubertal timing and tempo relate to brain function and structure (Barendse et al., 2019; Chahal et al., 2021). Pubertal tempo is theoretically interesting since puberty is often considered a sensitive window for brain development and pubertal tempo would influence the length of that window. Research is needed to examine whether pubertal timing and tempo are relevant to brain developmental processes contributing to SZ risk/symptomatology. The measurement method of pubertal timing matters for associations with internalizing and externalizing psychopathology, with the strongest effects for physical markers relative to age (Ullsperger and Nikolas, 2017). Extracting the residuals from physical maturation regressed on age can be a cost-effective and valid way to examine pubertal timing in SZ studies. We do not recommend adult reports of age at menarche, since these are less reliable than prospective measurement and menarche is only one component of pubertal development. Pubertal tempo requires longitudinal tracking of pubertal status and focusing on within-person change. A robust alternative for modeling timing and tempo is latent growth modeling (Mendle et al., 2010).

## 6. Conclusion

More effective treatments for SZ are urgently needed. One barrier to this goal is heterogeneity in clinical presentations and treatment response. A significant source of heterogeneity in schizophrenia is sex, with more negative symptoms in males and more internalizing comorbidity and stronger response to antipsychotics in females. In this current directions paper, we presented a theoretical model based on integration of multiple disparate fields to encourage and inform future research on sex differences in SZ. Specifically, we argue that there are likely sex differences in the pathophysiological mechanisms of SZ that originate in puberty and in the sex-specific impacts of pubertal maturation on brain development.

One area of focus in the literature is the association between testosterone, the frontal-striatal network, and negative symptoms. Increased testosterone in adolescence is associated with stronger striatal response to reward, and higher testosterone relates to less negative symptoms in males with SZ. Weakened frontal-striatal function also relates to negative symptoms in the general population. This suggests, although based on limited direct evidence, that disruptions in the way testosterone shapes frontal-striatal function during adolescence might be a mechanism of the more prevalent presentation of negative symptoms in males with SZ. Conversely, affective and positive symptoms relate to frontal-limbic network function and its role in cognitive control of emotion. Some evidence suggests this network is also influenced by pubertal development and estradiol, but variations in methodology and inconsistent results limit specific conclusions.

Additionally, pubertal development might trigger underlying genetic or prepubertal environmental vulnerabilities in at-risk individuals, altering neurodevelopmental trajectories and contributing to the development of SZ. Prenatal stress, for example, alters dopamine levels and function, but much of its impact on the dopamine system is not yet visible prior to puberty. Although direct evidence for this idea is limited, it is a promising avenue for future research, considering (a) genetic and perinatal environmental factors are important risk factors for SZ, (b) these factors, potentially in interaction with steroid hormones, shape fetal brain development, and (c) steroid hormones during puberty act on receptors and modulate systems laid out during fetal development.

Altogether, there is a need for longitudinal research on SZ with human adolescents; to date, investigations of the neurodevelopment of SZ have largely ignored sex and pubertal influences on relevant brain networks. We argue that SZ researchers ought to include measures of sex and puberty in longitudinal studies of adolescents (indeed, longitudinal examination of sex and pubertal influences on neurodevelopment could also aid in a better understanding of other expressions of psychopathology and neurodiversity, but this is beyond the scope of this paper; see e.g. (Walsh et al., 2021) for further discussion). Finally, we make a variety of methodological recommendations. First, we recommend proton MRS studies that examine how steroid hormone changes relate to neurotransmitter function, especially of glutamate given its central role in SZ pathophysiology. Second, we recommend using large, community samples to test sex-specific pubertal influences on brain development and if these influences predict the development of SZ symptoms in each sex. Third, research should be conducted using high-risk populations to reveal how pubertal processes interact with genetic or prepubertal environmental risk factors to alter the course of neurodevelopmental trajectories relevant to SZ. These approaches will unite the fields of psychiatry, cognitive neuroscience, and endocrinology towards a comprehensive understanding of the role of pubertal factors in the hypothesized sex-specific pathophysiological mechanisms of schizophrenia.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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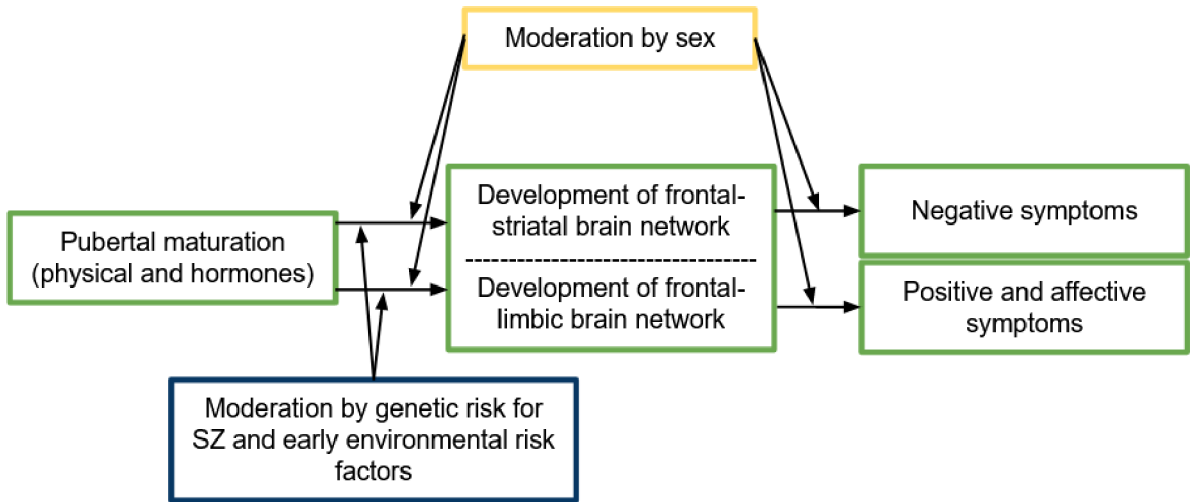
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**Figure 1.** Theoretical model of how sex and genetic and early-environmental risk factors for schizophrenia-spectrum disorders (SZ) are hypothesized to moderate the influence of pubertal maturation on the development of frontal-limbic & frontal-striatal brain networks, changing the risk for the emergence of psychotic, affective and negative symptoms. The hypothesized pathways are based on the literature discussed in sections 2 to 4 and can be tested using recommendations described in section 5.

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**Table 1.**

## Advantages and Limitations of Pubertal Physical Assessment Measures for Research Purposes

Measure	Construct	Advantages	Limitations
<p>Tanner Stages by clinician administered physical examination (Tanner and Whitehouse, 1976)</p> <p>Physician/researcher categorizes adolescent into one of five Tanner Stages of puberty from 1) no development to 5) adult development, based on breast/genital development and pubic hair.</p>	Visible secondary sex characteristics	<ul style="list-style-type: none"> <li>- Stages are associated with hormonal changes in puberty (Berenbaum et al., 2015; Shirtcliff et al., 2009)</li> </ul>	<ul style="list-style-type: none"> <li>- High time- and financial investment</li> <li>- Exam may be embarrassing</li> <li>- Developed with reference to a single ethnic group</li> </ul>
<p>Tanner Stage line drawings (Morris and Udry, 1980)</p> <p>Self-report or parent-report. Two sets of five line drawings (breast and hips/pubic hair for females; testicular and pubic hair for males). The adolescent picks the drawing that looks most like them for each set. Scored as Tanner Stages as described above.</p>	Secondary sex characteristics	<ul style="list-style-type: none"> <li>- Maps directly onto Tanner Staging</li> <li>- Easy, quick, cheap</li> <li>- Captures basal hormones in parallel to the clinician-administered physical exam (Shirtcliff et al., 2009)</li> </ul>	<ul style="list-style-type: none"> <li>- Not completely objective</li> <li>- Parent might not know their child's body well enough, especially at older ages</li> </ul>
<p>Pubertal Development Scale (Petersen et al., 1988)</p> <p>Self-report or parent-report. Questions regarding height spurt, body hair growth, skin changes, breast (female) or facial hair (male) development, and menarche (female) or voice changes (male). Scored on a 4-point scale from 'not started yet' to 'seems complete'</p>	Secondary sex characteristics	<ul style="list-style-type: none"> <li>- Valid and reliable in comparison to clinician Tanner Staging (Carskadon, 1993)</li> <li>- Easy, quick, cheap</li> <li>- Captures basal hormones in parallel with the physical exam in males, in females, the gonadal score performed slightly better than the exam (Shirtcliff et al., 2009)</li> </ul>	<ul style="list-style-type: none"> <li>- Not completely objective, males tend to overestimate their development (Herting et al., 2021a)</li> <li>- Young participants might not know enough about the pubertal process to understand and accurately answer the questions</li> <li>- Parent might not know their child's body well enough, especially at older ages</li> </ul>

**Table 2.**

## Advantages and Limitations to Venipuncture-Alternative Hormone Collection Methods for Research Purposes

Collection Method	Common Hormones	Advantages	Limitations
Passive-drool saliva (Gröschl, 2008; Herting et al., 2021b; Liening et al., 2010)	Testosterone DHEA Estradiol Progesterone	<ul style="list-style-type: none"> <li>- Non-invasive</li> <li>- Self-sampling and repeated sampling feasible</li> <li>- Cost-effective</li> <li>- Well-validated</li> <li>- Measures free hormone</li> </ul>	<ul style="list-style-type: none"> <li>- Cold storage and transport required</li> <li>- Cannot measure FSH and LH</li> <li>- Might need to correct for oral hygiene and need to eliminate contamination by blood and phlegm</li> <li>- Need to consider diurnal and menstrual cycling</li> <li>- Less well-validated for estradiol in males compared to females</li> </ul>
Dried blood spot (Fischer et al., 2019; McDade, 2014)	DHEAS Testosterone Estradiol Progesterone FSH LH Prolactin	<ul style="list-style-type: none"> <li>- Self-sampling feasible, easier to collect than venipuncture</li> <li>- Transportation and storage may be more cost-effective than serum or saliva storage and transport</li> <li>- Measures free+bound hormone (need to measure SHBG to distinguish them)</li> </ul>	<ul style="list-style-type: none"> <li>- Low sample volume</li> <li>- Self-collection procedures vary in how complicated and well-tolerated they are</li> <li>- Need to consider diurnal and menstrual cycling</li> <li>- Additional validation in comparison to serum samples may be needed (Fischer et al., 2019)</li> </ul>
Hair (Byrne et al., 2019; Smith et al., 2019; Wang et al., 2019)	DHEA Testosterone Estradiol Progesterone	<ul style="list-style-type: none"> <li>- Captures average hormone levels across larger time windows, i.e. months</li> <li>- Easy to collect, store and transport</li> </ul>	<ul style="list-style-type: none"> <li>- Relatively new approach, more validation needed</li> <li>- Participants with shorter hair may not have enough for sample</li> </ul>
Urine (Kesner et al., 1998; Singh et al., 2015)	DHEA Testosterone Estradiol Progesterone LH FSH	<ul style="list-style-type: none"> <li>- Captures average levels over several hours</li> <li>- Self-sampling feasible</li> <li>- Generally accepted by participants</li> </ul>	<ul style="list-style-type: none"> <li>- Cold storage and transport required</li> <li>- Not well-correlated with hormone levels from other biospecimens</li> <li>- Need to correct for concentration of urine</li> <li>- How well-validated it is, depends on the hormone</li> </ul>